

CONCERNING NON-PLANAR CIRCLE-LIKE CONTINUA

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1. Introduction. In this paper it is proved that if a circle-like continuum M cannot be embedded in the plane, then M is not a continuous image of any plane continuum (Theorem 5).

Suppose that (S, ρ) is a metric space. A finite sequence of domains L_1, L_2, \dots, L_n is called a *linear chain* provided L_i intersects L_j if and only if $|i - j| \leq 1$. If, in addition, there is a positive number ϵ such that, for each i , the diameter of L_i is less than ϵ , then the linear chain is called a *linear ϵ -chain*. If for each positive number ϵ the continuum M can be covered by a linear ϵ -chain, then M is said to be *chainable (or snake-like)* (2).

The definition of a *circular chain* (circular ϵ -chain) differs from that of a linear chain (linear ϵ -chain) only in that L_n intersects L_1 . The continuum M is said to be a *circle-like* if for each positive number ϵ , M can be irreducibly covered by a circular ϵ -chain.

If the finite sequence of domains c_1, c_2, \dots, c_n forms a circular chain (similarly, a linear chain) it will be denoted by $C(c_1, c_2, \dots, c_n)$ and it will be referred to as the circular (linear) chain C , and the domains c_1, c_2, \dots, c_n will be called links of C .

If C and D are circular chains (linear chains), C is said to be a *refinement* of D if and only if each link of C is contained in some link of D . Further, C is said to be a *strong refinement* of D if and only if the closure of each link of C is contained in some link of D .

If D is a finite collection of point sets, then $\mu(D)$ denotes the largest number which is the diameter of an element of D ; $\mu(D)$ is called the mesh of D .

If G is a collection of point sets, the sum of the elements of G is denoted by G^* .

With Bing, we adopt the following convention. If $D(d_1, d_2, \dots, d_m)$ is a circular chain, then we consider d_{i-1} as the link preceding d_i , and in case $i = 1$ we interpret d_{i-1} to mean d_m . Further, we consider d_{i+1} as the link following d_i , so if $i = m$ we interpret d_{i+1} to mean d_1 . Thus, it will be convenient to understand d_0 to be another name of d_m .

In view of the above definitions and the Lebesgue covering lemma (10, p. 154), if M is a circle-like continuum, then there exists a simple infinite sequence of circular chains C_1, C_2, C_3, \dots covering M such that, for each integer n , (1) $\mu(C_n) < 1/n$ and (2) C_{n+1} is a refinement of C_n . Such a sequence of

Received March 1, 1965, and in revised form, September 9, 1966. Presented to the Graduate Faculty of Auburn University in partial fulfilment of the requirements for the Ph.D. degree, August 1964, and to the American Mathematical Society in Athens, Georgia, November 21, 1964.

circular chains C_1, C_2, C_3, \dots is said to be a sequence of circular chains defining M .

If C is either a circular or linear chain and D is either a circular or linear chain, then C is a *consolidation* of D if (1) each link of C is the sum of a sub-collection of links of D and (2) D is a refinement of C .

The continuum M is said to be *indecomposable* if and only if it is non-degenerate and is not the sum of two continua both distinct from it (14). Further, the continuum M is said to be *hereditarily indecomposable* if and only if each of its non-degenerate subcontinua is indecomposable.

A *pseudo-arc* is a hereditarily indecomposable chainable continuum (3, 13).

2. Definition and some properties of the function of Bing (5) which determines circling.

Let the circular chain $C(c_1, c_2, \dots, c_n)$ be a refinement of the circular chain $D(d_1, d_2, \dots, d_m), m \geq 3$. Let k be an integer, $1 \leq k \leq n$, and let $f'(c_k)$ be a subscript of one of the links of D which contains c_k . Whenever there is a choice, if possible, $f'(c_k)$ is chosen so that \bar{c}_k is contained in the $f'(c_k)$ th link of D .

Let f be a map of the set of integers $\{0, 1, 2, \dots, n\}$ into a set of integers defined as follows:

$$f(0) = f'(c_n),$$

$$f(i + 1) = \begin{cases} f(i) - 1 & \text{if } f'(c_{i+1}) \text{ precedes } f'(c_i), \\ f(i) & \text{if } f'(c_{i+1}) = f'(c_i), \\ f(i) + 1 & \text{if } f'(c_{i+1}) \text{ follows } f'(c_i). \end{cases}$$

Property 1. If i is an integer, $1 \leq i \leq n$, $f(i) \equiv f'(c_i) \pmod m$, and $f(0) = f'(c_n) \equiv f(n) \pmod m$ (5).

Definition. The number of times that C circles in D is $|f(n) - f(0)|/m$ (5). (As Bing has noted (5) this number is invariant under taking different links of C or D as the first link or in ordering the links in a counter fashion. Nor does it matter which of the two choices for $f'(c_k)$ was made when there was a choice.)

Property 2. If x and y are integers, $1 \leq x \leq n, 1 \leq y \leq n$, such that $f(x) \equiv f(y) \pmod m$, then c_x and c_y are contained in the same link of D .

Property 3. If x and y are integers, $1 \leq x \leq n, 1 \leq y \leq n$, such that $f(x) + 1 = f(y)$, then either $f'(c_x) + 1 = f'(c_y)$ or $f'(c_x) = m$ and $f'(c_y) = 1$.

Property 4. If x and y are integers, $1 \leq x \leq n, 1 \leq y \leq n$, such that $f(x) + 1 = f(y)$, then there is an integer z such that (1) either z is x or z is between x and y and (2) if z' is its immediate successor in the order from x to y , then $f(z) = f(x)$ and $f(z') = f(y)$.

Property 5. If x and y are integers $1 \leq x \leq n, 1 \leq y \leq n$, such that $f(x) < f(y) - 1$ and if j is an integer such that $f(x) < j < f(y)$, then there is an integer z between x and y such that $f(z) = j$.

3. Circle-like continua.

THEOREM 1. *Let C and D be circular chains such that C is a refinement of D . If C circles in D zero times, then there is a linear chain E such that (1) E is a consolidation of C and (2) E is a refinement of D , and, hence, $\mu(E) \leq \mu(D)$.*

Proof. Let f be a function which determines the circling of C in D . Since f is bounded, there exist integers M and N each of which is the image under f of an integer between 0 and n (where n is the number of links of C), such that if c_x is a link of C , $M \leq f(x) \leq N$. If i is an integer, $M \leq i \leq N$, then denote by L_i the collection to which the link c_x of C belongs if and only if $f(x) = i$. By Property 5, L_i exists for each i . Moreover, by Property 2, for each i , there exists a link of D which contains L_i^* , so the diameter of L_i^* is less than or equal to $\mu(D)$.

Now, L_i^* intersects L_j^* if and only if $|i - j| \leq 1$. First, suppose that $|i - j| \leq 1$. For convenience assume that $i + 1 = j$ and that c_x belongs to L_i and c_y belongs to L_j . Then $f(x) = i$ and $f(y) = i + 1$; so by Property 4, there exist consecutive integers z and z' such that $f(z) = f(x)$ and $f(z') = f(y)$. Therefore, c_z belongs to L_i , $c_{z'}$ belongs to L_j , and, since c_z intersects $c_{z'}$, L_i^* intersects L_j^* . On the other hand, suppose L_i^* intersects L_j^* , $i \neq j$; then there are links c_x of L_i and c_y of L_j which intersect. Thus, $|x - y| \leq 1$; so, by definition of f , $|f(x) - f(y)| \leq 1$. However, $f(x) = i$ and $f(y) = j$; consequently, $|i - j| \leq 1$. Thus, $E(L_M^*, L_{M+1}^*, \dots, L_N^*)$ is a linear chain which is a refinement of D , so $\mu(E) \leq \mu(D)$.

An immediate corollary to Theorem 1 is the following theorem. Theorem 2 also follows from (12, Theorem 4, p. 46).

THEOREM 2. *If M is a circle-like continuum and there is a sequence of circular chains D_1, D_2, D_3, \dots defining M such that, for each positive integer n , D_{n+1} circles in D_n zero times, then M is chainable.*

Definition. Let δ be a positive real number. A circular or linear chain is δ -regular if the distance between any two non-intersecting links of it is greater than or equal to δ .

A proof of a theorem for linear chains similar to the following theorem for circular chains is given by H. Cook in (6). Essentially the same proof can be applied to the following theorem.

THEOREM 3. *If C is a circular chain irreducibly covering the continuum M , then there is a positive number δ and a finite sequence d_1, d_2, \dots, d_n of domains with respect to M such that (1) $D(d_1, d_2, \dots, d_n)$ is a strong refinement of C and (2) either D is a δ -regular circular chain or D is a δ -regular linear chain.*

From Theorems 1, 2, and 4 of (5) it follows that if M is a circle-like continuum which cannot be embedded in the plane and if C_1, C_2, C_3, \dots is a sequence of circular chains defining M , then there is a subsequence

D_1, D_2, D_3, \dots of the sequence C_1, C_2, C_3, \dots defining M such that D_{n+1} circles at least twice in D_n .

Definitions. Let X_1, X_2, X_3, \dots be a sequence of topological spaces and f_1, f_2, f_3, \dots be a sequence of continuous transformations such that f_k throws X_{k+1} onto X_k . Then, if X denotes the sequence X_1, X_2, \dots and f denotes the sequence f_1, f_2, \dots , the ordered pair (X, f) is said to be an *inverse limit sequence*. Let M denote the subset of the Cartesian product of X_1, X_2, \dots to which the point (x_1, x_2, x_3, \dots) belongs if and only if $f_k(x_{k+1}) = x_k$. The space M is the *inverse limit space* of the sequence (X, f) .

Denote by f_k^k the identity transformation on X_k . Define $f_n^m, m > n$, by $f_n^m = f_n f_{n+1} \dots f_{m-1}$ and note that f_n^m throws X_m onto X_n . Further, denote by p_k the projection of M onto X_k .

Definition. Let n_1, n_2, n_3, \dots be a sequence of positive integers. For each positive integer, k , let C_k denote the unit circle in the plane with centre at the origin, and f_k the transformation throwing C_{k+1} onto C_k , defined by

$$f_k(1, t) = (1, n_k t)$$

(in polar coordinates). If C denotes the sequence C_1, C_2, C_3, \dots and f denotes the sequence f_1, f_2, f_3, \dots , the inverse limit space of the sequence (C, f) is a *solenoid* (4).

The author wishes to thank the referee for suggestions which shortened the proof of the following theorem.

THEOREM 4. *If M is a circle-like continuum which cannot be embedded in the plane, then there is a continuous transformation throwing M onto a solenoid which cannot be embedded in the plane.*

Proof. Since M cannot be embedded in the plane, there is a positive number ϵ such that M cannot be covered by a linear ϵ -chain and a sequence D_1, D_2, D_3, \dots of circular chains defining M such that (i) $\mu(D_1) < \epsilon$, (ii) for each n there is a positive number δ_n such that D_n is δ_n -regular, (iii)

$$\mu(D_{n+1}) < 1/4 \delta_n,$$

and (iv) D_{n+1} is a strong refinement of D_n which circles in D_n at least twice.

Now, we shall define a sequence E_1, E_2, E_3, \dots of circular chains such that, for each positive integer n , (1) E_n is a consolidation of D_{j_n} , where $j_1 = 1$ and $j_n > j_{n-1}$, (2) E_{n+1} is a strong refinement of E_n such that no link of E_{n+1} intersects more than two links of E_n , and (3) E_{n+1} circles at least twice in E_n and a function which determines the circling is non-decreasing.

Let $E_1 = D_1$ and suppose E_k has been defined. From (iii) it follows that $\mu(E_k) < \epsilon$ since $\mu(E_k) \leq \mu(E_1) < \epsilon$. Thus, the circular chain $D_{j_{k+1}}$ circles at least once in E_k , for, if it does not, then, by Theorem 1, there is a linear chain E which is a consolidation of $D_{j_{k+1}}$ such that $\mu(E) < \epsilon$, a contradiction to

the choice of ϵ . If $D_{j_{k+1}}$ circles in E_k at least twice, then choose j_{k+1} to be $j_k + 1$; but, if $D_{j_{k+1}}$ circles in E_k only once, choose j_{k+1} to be $j_k + 2$. In the latter case $D_{j_{k+1}}$ circles in E_k at least twice because of Bing's product theorem (5, Theorem 1) since $D_{j_{k+1}}$ circles $D_{j_{k+1}}$ at least twice and $D_{j_{k+1}}$ circles E_k only once.

For convenience, let D be $D_{j_{k+1}}$ and f be a function which determines the circling of D in E . Suppose D has n links, E has m links, D circles in E p times, and $f(n) > f(0)$. Note that $f(n) - f(0) = mp$. Since E is a consolidation of D_{j_k} , E is δ_{j_k} -regular. Let $\delta = \delta_{j_k}$.

For each positive integer i , $1 \leq i \leq mp$, denote by $g(i)$ the collection to which the link d_x of D belongs if and only if $f(x) \equiv i \pmod{mp}$. Note that $g(i)^*$ is a subset of e_j , where $i \equiv j \pmod{p}$. Using properties (1)–(5) of f , each $g(i)$ exists and only adjacent sets $g(i)^*$ intersect. So $G(g(1)^*, \dots, g(mp)^*)$ is a circular chain consolidating D , strongly refining E , and circling E p times.

Denote by $g(i)_+$ the subcollection of $g(i)$ to which the set d_x belongs if and only if d_x intersects e_{j+1} , and let $g(i)_- = g(i) - g(i)_+$. Since E is δ -regular and $\mu(D) \leq \frac{1}{4}\delta$, each $g(i)$ contains links of D which do not intersect e_{j+1} ; therefore, the collections of $g(i)_+$ and $g(i)_-$ exist.

Then $G(g(1)_-^*, g(1)_+^*, \dots, g(mp)_-^*, g(mp)_+^*)$ is the required circular chain E_{k+1} .

Suppose that E_k has m_k links and E_{k+1} circles in E_k n_k times, $n_k > 1$. Then, $m_k = 2^{k-1} n_{k-1} n_{k-2} \dots n_1 m_1$.

For convenience, denote the i th link of E_n by $L(i, E_n)$. We note that the closure of $L(j, E_{k+1})$ is a subset of $L(i, E_k)$ if and only if it is true that, if $j = 2(r - 1)m_k + s$, where $1 \leq r \leq n_k$ and $1 \leq s \leq 2m_k$, then $i = s/2$ if s is even and $i = (s + 1)/2$ if s is odd.

For each positive integer k denote by C_k the unit circle in the plane with centre at the origin. Denote by f_k the transformation throwing C_{k+1} onto C_k defined by $f_k(1, t) = (1, n_k t)$ (using polar coordinates). Denote by M' the solenoid which is the inverse limit space of the sequence (C, f) (4), and let p_k denote the projection of M' onto C_k .

Denote by U_k the collection to which the arc X of C_k belongs if and only if there exists an integer j , $1 \leq j \leq m_k$, such that, if $(1, t)$ belongs to X , then for some integer h , $2\pi(j - 1)/m_k \leq t - 2\pi h \leq 2\pi j/m_k$. In this case X will be denoted by $X(j, k)$. Then for each k , $X(i, k)$ intersects $X(j, k)$ if and only if $|i - j| \leq 1$ or one of i and j is 1 and the other is m_k .

Denote by V_k the collection to which the subset Y of M' belongs if and only if there is an arc X of U_k such that $Y = p_k^{-1}(X)$. Each element of V_k , for each k , is said to be a *section* of M' . Moreover, if $X = X(j, k)$ and $Y = p_k^{-1}(X)$, then Y is denoted by $Y(j, k)$. Thus, $Y(i, k)$ intersects $Y(j, k)$ if and only if $|i - j| \leq 1$ or one of i and j is 1 and the other is m_k .

We wish to show that V_1, V_2, V_3, \dots is a sequence of sections of M' such that for each k : (1') V_k has m_k elements; (2') $Y(i, k)$ intersects $Y(j, k)$ if and only if $|i - j| \leq 1$ or one of i and j is 1 and the other is m_k ; (3') $Y(i, k)$ contains

$Y(j, k + 1)$ if and only if $L(i, E_k)$ contains $\bar{L}(j, E_{k+1})$; (4') if ϵ is a positive number, there is an integer N such that if k is an integer, $k \geq N$, and i is an integer, $1 \leq i \leq m_k$, then the diameter of $Y(i, k)$ is less than ϵ ; and (5') each section in V_{k+1} is a subset of some section in V_k .

To prove (3') let $[a, b]$ denote the interval on the unit circle going counter-clockwise from $(1, a)$ to $(1, b)$ (polar coordinates).

Since $m_{k+1} = 2n_k m_k$ and $1 \leq j \leq m_{k+1}$, there exist integers r and s , $1 \leq r \leq n_k$, $1 \leq s \leq 2m_k$, such that $j = 2(r - 1)m_k + s$. Now, $Y(i, k)$ contains $Y(j, k + 1)$ if and only if $[2\pi(i - 1)/m_k, 2\pi i/m_k]$ contains

$$f_k([2\pi(j - 1)/m_{k+1}, 2\pi j/m_{k+1}]);$$

thus if and only if $[2\pi(i - 1)/m_k, 2\pi i/m_k]$ contains $[2\pi(s - 1)/2m_k, 2\pi s/2m_k]$, and thence if and only if

$$i = \begin{cases} s/2 & \text{if } s \text{ is even,} \\ (s + 1)/2 & \text{if } s \text{ is odd.} \end{cases}$$

But this is precisely the condition for $L(i, E_k)$ to contain the closure of $L(j, E_{k+1})$.

To prove (4') let d denote the usual metric on the unit circle. Then an equivalent metric for M' is given by

$$d_1(x, y) = \sum_{k=1}^{\infty} \frac{d(p_k(x), p_k(y))}{2^k}$$

(p_k is the projection of M' onto C_k). There is then a positive integer Q such that

$$\sum_{k=Q+1}^{\infty} \frac{d(p_k(x), p_k(y))}{2^k} < \epsilon/2, \quad \text{for any } x, y \text{ in } M'.$$

Let x be a point of $Y(i, k) = p_k^{-1}([2\pi(i - 1)/m_k, 2\pi i/m_k])$. If n is a positive integer, $n \leq k$, then $p_n(x)$ is a point of

$$f_n^k(X(i, k)) = \left[\frac{2\pi(i - 1)}{m_n} \cdot \frac{1}{2^{k-n}}, \frac{2\pi i}{m_n} \cdot \frac{1}{2^{k-n}} \right],$$

where $f_n^k = f_n f_{n+1} \dots f_{k-1}: C_k \rightarrow C_n$.

For fixed n , the diameter of $f_n^k(X(i, k)) \rightarrow 0$ as $k \rightarrow \infty$. Hence, there exists an integer N_Q such that if x and y are points of $Y(i, k)$, $k > N_Q$, then $d(p_n(x), p_n(y)) < \epsilon/2$ for $n = 1, 2, \dots, Q$. So

$$d_1(x, y) = \sum_{k=1}^Q \frac{d(p_k(x), p_k(y))}{2^k} + \sum_{k=Q+1}^{\infty} \frac{d(p_k(x), p_k(y))}{2^k} < \epsilon.$$

Hence the diameter of $Y(i, k)$ is less than ϵ .

Now, (5') follows from the fact that if X' belongs to U_{k+1} , $f_k(X')$ is a subset of an element X of U_k , so that $p_k^{-1}(X)$ contains $p_{k+1}^{-1}(X')$.

Suppose that x is a point of M . Denote by $J_n(x)$, $n = 1, 2, 3, \dots$, the sum of the collection to which the section in V_n belongs if and only if its subscript

is the same as that of a link of E_n which contains x . Since x belongs to no more than two links of E_n , $J_n(x)$ is the sum of at most two elements of V_n . Moreover, if a link of E_{n+1} contains x , its closure is contained in one of the links of E_n which contains x . Therefore, by (3'), $J_n(x)$ contains $J_{n+1}(x)$. By (4'), there is only one point common to the terms of the sequence $J_1(x), J_2(x), J_3(x), \dots$; denote it by $T(x)$. We shall show that the set T of ordered pairs $(x, T(x))$ is a continuous transformation throwing M onto M' .

If y is a point of M' , there is a sequence Y_1, Y_2, Y_3, \dots of sections of M' such that Y_k belongs to V_k and contains y , and Y_{k+1} is a subset of Y_k . Thus, y is the point common to Y_1, Y_2, Y_3, \dots . Correspondingly, there exist links L_1, L_2, L_3, \dots of E_1, E_2, E_3, \dots , respectively, such that the subscript of L_k (in E_k) is the same as the subscript of Y_k (in V_k); thus \bar{L}_{k+1} is contained in L_k . Therefore, there is a point common to L_1, L_2, L_3, \dots , and, if x is a point of the common part, y belongs to $J_n(x)$ for each n . Thus, $T(x) = y$, so T is a transformation throwing M onto M' .

Furthermore, T is continuous, for suppose that x is a point of M and $T(x) = y$ and R is a region containing y . There is an integer n such that if Y is a section of V_n containing y and Y' intersects Y , then $Y + Y'$ is a subset of R . That such an integer exists follows from (4'). Suppose L is the link of E_n with the same subscript as that of Y . If z belongs to L , either $J_n(z)$ is Y or $J_n(z)$ is the sum of Y and only one other section Y' in V_n which intersects Y . Thus, $J_n(z)$ is a subset of R . However, if $m \geq n$, $J_n(z)$ contains $J_m(z)$, so $T(z)$ belongs to R . But L is a domain containing x such that R contains $T(L)$ so T is continuous.

4. Circle-like continua and weakly chainable continua. In (12) M. C. McCord generalized the theorem of M. K. Fort (9) that the dyadic solenoid is not a continuous image of any plane continuum. McCord proved that each solenoidal continuum is not a continuous image of any plane continuum. Theorem 5 of this paper depends heavily on this result.

Definition. A finite sequence of domains X_1, X_2, \dots, X_n is said to be a *weak chain* provided that X_i intersects X_j if $|i - j| \leq 1$.

Definition. A weak chain $X(X_1, X_2, \dots, X_n)$ is a *refinement* of a weak chain $X'(X'_1, X'_2, \dots, X'_m)$ if and only if each link X_i of X is contained in a link X'_{k_i} of X' such that $|k_i - k_j| \leq 1$ if $|i - j| \leq 1$.

Definition. A continuum M is said to be *weakly chainable* if there exists a sequence G_1, G_2, G_3, \dots of finite collections of domains covering M such that, for each n , (1) G_n is a weak chain, (2) each link of G_n has diameter less than $1/n$, and (3) G_{n+1} is a refinement of G_n .

THEOREM. *The continuum M is weakly chainable if and only if it is a continuous image of the pseudo-arc.*

Remark. The preceding three definitions and theorem are due to A. Lelek (11). Essentially the same definitions and theorem are presented in an earlier work by Lawrence Fearnley (7); see also (8).

THEOREM 5. *If M is a circle-like continuum which cannot be embedded in the plane, then M is not a continuous image of any plane continuum and is, therefore, not weakly chainable.*

Proof. Let M be a non-planar circle-like continuum and f a continuous transformation throwing the plane continuum K onto M . Then since there is a continuous transformation g throwing M onto a solenoid M' , which cannot be embedded in the plane, the continuous transformation gf throws K onto M' . But each solenoid which cannot be embedded in the plane is a solenoidal continuum and, therefore, is not a continuous image of any plane continuum (12, Theorem 25).

The following theorem was proved in another way by Fearnley (7).

THEOREM 6. *If H and K are weakly chainable continua with a point in common, then $H + K$ is weakly chainable.*

Proof. Suppose that M_1 and M_2 are pseudo-arcs such that a is the only point of $M_1 \cdot M_2$.

Since H and K are weakly chainable, there exist continuous transformations f and g such that $f(M_1) = H$ and $g(M_2) = K$.

Let x be a point of $H \cdot K$, and suppose that y and z are points of M_1 and M_2 , respectively, such that $f(y) = x$ and $g(z) = x$.

Since M_i is homogeneous (1), for $i = 1, 2$, there is a topological transformation T_i such that $T_i(M_i) = M_i$, $i = 1, 2$, and $T_1(a) = y$ and $T_2(a) = z$. So, $fT_1(a) = x$, $gT_2(a) = x$.

Denote by h the transformation throwing $M_1 + M_2$ onto $H + K$ defined by

$$h(t) = \begin{cases} fT_1(t) & \text{if } t \text{ belongs to } M_1, \\ gT_2(t) & \text{if } t \text{ belongs to } M_2. \end{cases}$$

Then h is a continuous transformation such that $h(M_1 + M_2) = H + K$. But $M_1 + M_2$ is chainable, so if M is a pseudo-arc, there is a continuous transformation F such that $F(M) = M_1 + M_2$. Thus $hF(M) = H + K$, and $H + K$ is weakly chainable.

THEOREM 7. *If M is a decomposable circle-like continuum, then M is weakly chainable.*

Proof. There exist proper subcontinua H and K such that $M = H + K$. Since H and K are chainable continua and $H \cdot K$ exists, $H + K$ is weakly chainable.

THEOREM 8. *If M is a circle-like continuum which cannot be embedded in the plane, then M is indecomposable.*

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