

# On Quotients of Non-Archimedean Köthe Spaces

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*Abstract.* We show that there exists a non-archimedean Fréchet-Montel space  $W$  with a basis and with a continuous norm such that any non-archimedean Fréchet space of countable type is isomorphic to a quotient of  $W$ . We also prove that any non-archimedean nuclear Fréchet space is isomorphic to a quotient of some non-archimedean nuclear Fréchet space with a basis and with a continuous norm.

## Introduction

In this paper all linear spaces are over a non-archimedean non-trivially valued field  $\mathbb{K}$  which is complete under the metric induced by the valuation  $|\cdot|: \mathbb{K} \rightarrow [0, \infty)$ . For fundamentals of locally convex Hausdorff spaces (lcs) and normed spaces we refer to [4, 5, 6].

In [9, 10] we investigated closed subspaces in Fréchet spaces of countable type. In this paper we study quotients of Fréchet spaces of countable type.

By a *Köthe space* we mean a Fréchet space with a basis and with a continuous norm. First, we prove that any Fréchet space of countable type is isomorphic to a quotient of some Köthe space  $V$  (Theorem 3 and Corollary 4) and any Köthe space is isomorphic to a quotient of some Köthe–Montel space (Theorem 5). Thus any Fréchet space of countable type is isomorphic to a quotient of some Köthe–Montel space  $W$  (Corollary 6).

Next, we show that any nuclear Fréchet space is isomorphic to a quotient of some nuclear Köthe space (Theorem 7), but there is no nuclear Fréchet space  $X$  such that any nuclear Köthe space is isomorphic to a quotient of  $X$  (Theorem 10 and Corollary 12).

## Preliminaries

The linear span of a subset  $A$  of a linear space  $E$  is denoted by  $\text{lin } A$ .

Let  $E, F$  be locally convex spaces. A map  $T: E \rightarrow F$  is called an *isomorphism* if  $T$  is linear, injective, surjective and the maps  $T, T^{-1}$  are continuous.  $E$  is *isomorphic* to  $F$  if there exists an isomorphism  $T: E \rightarrow F$ .

A *seminorm* on a linear space  $E$  is a function  $p: E \rightarrow [0, \infty)$  such that  $p(\alpha x) = |\alpha|p(x)$  for all  $\alpha \in \mathbb{K}, x \in E$  and  $p(x + y) \leq \max\{p(x), p(y)\}$  for all  $x, y \in E$ . A seminorm  $p$  on  $E$  is a *norm* if  $\ker p = \{0\}$ .

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The set of all continuous seminorms on a metrizable lcs  $E$  is denoted by  $\mathcal{P}(E)$ . A non-decreasing sequence  $(p_k) \subset \mathcal{P}(E)$  is a *base* in  $\mathcal{P}(E)$  if for every  $p \in \mathcal{P}(E)$  there exists  $k \in \mathbb{N}$  with  $p \leq p_k$ . A sequence  $(p_k)$  of norms on  $E$  is a *base of norms* in  $\mathcal{P}(E)$  if it is a base in  $\mathcal{P}(E)$ .

Any metrizable lcs  $E$  possesses a base  $(p_k)$  in  $\mathcal{P}(E)$ .

A metrizable lcs  $E$  is of *finite type* if  $\dim(E/\ker p) < \infty$  for any  $p \in \mathcal{P}(E)$ , and of *countable type* if  $E$  contains a linearly dense countable set.

A *Fréchet space* is a metrizable complete lcs. Any infinite-dimensional Fréchet space of finite type is isomorphic to the Fréchet space  $\mathbb{K}^{\mathbb{N}}$  of all sequences in  $\mathbb{K}$  with the topology of pointwise convergence (see [2, Theorem 3.5]).

Let  $(x_n)$  be a sequence in a Fréchet space  $E$ . The series  $\sum_{n=1}^{\infty} x_n$  is convergent in  $E$  if and only if  $\lim x_n = 0$ .

A sequence  $(x_n)$  in an lcs  $E$  is a *basis* in  $E$  if each  $x \in E$  can be written uniquely as  $x = \sum_{n=1}^{\infty} \alpha_n x_n$  with  $(\alpha_n) \subset \mathbb{K}$ . If additionally the coefficient functionals  $f_n: E \rightarrow \mathbb{K}, x \rightarrow \alpha_n, (n \in \mathbb{N})$  are continuous, then  $(x_n)$  is a *Schauder basis* in  $E$ . As in the real and complex case any basis in a Fréchet space is a Schauder basis (see [3, Corollary 4.2]).

A *Banach space* is a normable Fréchet space. Any infinite-dimensional Banach space  $E$  of countable type is isomorphic to the Banach space  $c_0$  of all sequences in  $\mathbb{K}$  converging to zero with the sup-norm [5, Theorem 3.16].

Let  $p$  be a seminorm on a linear space  $E$  and  $t \in (0, 1)$ . A sequence  $(x_n)$  in  $E$  is *t-orthogonal* with respect to  $p$  if  $p(\sum_{i=1}^n \alpha_i x_i) \geq t \max_{1 \leq i \leq n} p(\alpha_i x_i)$  for all  $n \in \mathbb{N}, \alpha_1, \dots, \alpha_n \in \mathbb{K}$ .

A sequence  $(x_n)$  in an lcs  $E$  is *1-orthogonal* with respect to  $(p_k) \subset \mathcal{P}(E)$  provided  $p_k(\sum_{i=1}^n \alpha_i x_i) = \max_{1 \leq i \leq n} p_k(\alpha_i x_i)$  for all  $k, n \in \mathbb{N}, \alpha_1, \dots, \alpha_n \in \mathbb{K}$ .

Every basis  $(x_n)$  in a Fréchet space  $E$  is 1-orthogonal with respect to some basis  $(p_k)$  in  $\mathcal{P}(E)$  [2, Proposition 1.7].

Let  $B = (b_{n,k})$  be an infinite real matrix with  $0 < b_{n,k} \leq b_{n,k+1} \forall n, k \in \mathbb{N}$ . The space  $K(B) = \{(\alpha_n) \subset \mathbb{K} : \lim_n |\alpha_n| b_{n,k} = 0 \text{ for all } k \in \mathbb{N}\}$  with the base of norms  $(p_k): p_k((\alpha_n)) = k \max_n |\alpha_n| b_{n,k}, k \in \mathbb{N}$ , is a Köthe space. The sequence  $(e_n)$  of coordinate vectors forms a basis in  $K(B)$ ; the coordinate basis is 1-orthogonal with respect to the base  $(p_k)$  [1, Proposition 2.2].

Put  $B_{\mathbb{K}} = \{\alpha \in \mathbb{K} : |\alpha| \leq 1\}$ . Let  $A$  be a subset of an lcs  $E$ . The set  $\text{co } A = \{\sum_{i=1}^n \alpha_i a_i : n \in \mathbb{N}, \alpha_1, \dots, \alpha_n \in B_{\mathbb{K}}, a_1, \dots, a_n \in A\}$  is the *absolutely convex hull* of  $A$ ; its closure in  $E$  is denoted by  $\overline{\text{co}} A$ .

A subset  $B$  of an lcs  $E$  is *absolutely convex* if  $\text{co } B = B$ .

A subset  $B$  of an lcs  $E$  is *compactoid* if for each neighbourhood  $U$  of 0 in  $E$  there exists a finite subset  $A$  of  $E$  such that  $B \subset U + \text{co } A$ .

By a *Fréchet–Montel space* we mean a Fréchet space in which any bounded subset is compactoid.

Let  $E$  and  $F$  be locally convex spaces. A linear map  $T: E \rightarrow F$  is *compact* if there exists a neighbourhood  $U$  of 0 in  $E$  such that  $T(U)$  is compactoid in  $F$ .

For any seminorm  $p$  on an lcs  $E$  the map  $\bar{p}: E_p \rightarrow [0, \infty), x + \ker p \rightarrow p(x)$  is a norm on  $E_p = (E/\ker p)$ . Let  $\varphi_p: E \rightarrow E_p, x \rightarrow x + \ker p$ .

An lcs  $E$  is *nuclear* if for every continuous seminorm  $p$  on  $E$  there exists a contin-

uous seminorm  $q$  on  $E$  with  $q \geq p$  such that the map

$$\varphi_{pq}: (E_q, \bar{q}) \rightarrow (E_p, \bar{p}), x + \ker q \rightarrow x + \ker p$$

is compact.

Let  $E$  be a Fréchet space with a basis  $(x_n)$  which is 1-orthogonal with respect to a base of norms  $(p_k)$  in  $\mathcal{P}(E)$ . Then  $E$  is nuclear if and only if  $\forall k \in \mathbb{N}, \exists m > k : \lim_n [p_k(x_n)/p_m(x_n)] = 0$  [1, Propositions 2.4 and 3.5].

### Results

A sequence  $(x_n)$  in a Fréchet space  $X$  is a *pseudo-basis* of  $X$ , if for any element  $x$  of  $X$  there is a sequence  $(\alpha_n) \subset \mathbb{K}$  such that the series  $\sum_{n=1}^\infty \alpha_n x_n$  is convergent in  $X$  to  $x$ .

In [8] we have proved that there exist nuclear Fréchet spaces without a basis. For pseudo-bases we have the following.

**Proposition 1** *Any Fréchet space  $E$  of countable type has a pseudo-basis.*

**Proof** Let  $(p_k)$  be a base in  $\mathcal{P}(E)$  and  $U_k = \{x \in E : p_k(x) \leq 1\}, k \in \mathbb{N}$ . Let  $\beta \in \mathbb{K}$  with  $0 < |\beta| < 1$ . Choose a linearly independent and linearly dense sequence  $(z_i)$  in  $E$ . Put  $Z_n = \text{lin}\{z_i : 1 \leq i \leq n\}, n \in \mathbb{N}$ . Let  $(N_k)$  be a partition of  $\mathbb{N}$  into infinite subsets. For  $n \in N_k, k \in \mathbb{N}$ , let  $x_{n,1}, \dots, x_{n,n}$  be a basis in  $Z_n$  which is  $|\beta|$ -orthogonal with respect to  $p_k$  (see [10, proof of Lemma 1.1]). We will show that the sequence  $(x_n) = (x_{1,1}, x_{2,1}, x_{2,2}, x_{3,1}, x_{3,2}, x_{3,3}, \dots)$  is a pseudo-basis in  $E$ .

Let  $k \in \mathbb{N}, x \in U_k$  and  $m \in \mathbb{N}$ . Then for some  $n \in N_k$  with  $n \geq m$  there is  $y \in Z_n \cap (x + U_{k+1})$ . Thus  $\exists \beta_1, \dots, \beta_n \in \mathbb{K} : y = \sum_{i=1}^n \beta_i x_{n,i}$  and

$$|\beta| \max_{1 \leq i \leq n} p_k(\beta_i x_{n,i}) \leq p_k(y) \leq \max\{p_k(y - x), p_k(x)\} \leq 1.$$

Hence  $\beta_1 x_{n,1}, \dots, \beta_n x_{n,n} \in \beta^{-1} U_k$ .

We have proved that  $\forall k \in \mathbb{N} \forall x \in U_k \forall m \in \mathbb{N} \exists s \geq m \exists \alpha_m, \dots, \alpha_s \in \mathbb{K} :$

$$(x - \sum_{i=m}^s \alpha_i x_i) \in U_{k+1} \text{ and } \{\alpha_m x_m, \dots, \alpha_s x_s\} \subset \beta^{-1} U_k.$$

It follows that the sequence  $(x_n)$  is a pseudo-basis in  $E$ . ■

**Remark 2** It is easy to see that any dense sequence  $(x_n)$  in a Fréchet space  $E$  is a pseudo-basis of  $E$ . Unfortunately, any non-zero Fréchet space over a non-separable field is non-separable.

Using the existence of pseudo-bases in any Fréchet space of countable type we get the following.

**Theorem 3** *Any Fréchet space  $E$  of countable type is isomorphic to a quotient of some Köthe space.*

**Proof** Assume that  $E$  is not of finite type. Then for some  $p \in \mathcal{P}(E)$  the quotient space  $(E/\ker p)$  is infinite-dimensional. Let  $G$  be an algebraic complement of  $\ker p$  in  $E$ . Since  $G$  is an infinite-dimensional metrizable lcs of countable type, it contains a linearly independent and linearly dense sequence  $(g_n)$ . Let  $(s_k)$  be a linearly dense sequence in  $\ker p$  and let  $(N_k)$  be a partition of  $\mathbb{N}$  into infinite subsets. We can choose a sequence  $(\alpha_n) \subset (\mathbb{K} \setminus \{0\})$  with  $\lim_{n \in N_k} \alpha_n g_n = 0, k \in \mathbb{N}$ . Put  $z_n = \alpha_n g_n + s_k$  for  $n \in N_k, k \in \mathbb{N}$ . The sequence  $(z_n)$  is linearly independent and linearly dense in  $E$ , and  $\text{lin}(z_n) \cap \ker p = \{0\}$ .

By Proposition 1 and its proof, the space  $E$  has a pseudo-basis  $(e_n)$  such that  $(e_n) \subset (\text{lin}(z_n) \setminus \{0\})$ . Let  $(p_k)$  be a base in  $\mathcal{P}(E)$  with  $p_1 \geq p$ . Put  $a_{n,k} = p_k(e_n)$  for  $n, k \in \mathbb{N}$ . Clearly,  $0 < a_{n,k} \leq a_{n,k+1}$  for all  $n, k \in \mathbb{N}$ . Let  $A = (a_{n,k})$  and let  $X$  be the Köthe space  $K(A)$ .

For any  $\alpha = (\alpha_n) \in X$  the series  $\sum_{n=1}^\infty \alpha_n e_n$  is convergent in  $E$ . Moreover,  $p_k(\sum_{n=1}^\infty \alpha_n e_n) \leq \max_n |\alpha_n| a_{n,k} \leq q_k(\alpha)$  for  $k \in \mathbb{N}, \alpha \in X$ , where  $(q_k)$  is the standard base of norms in  $\mathcal{P}(X)$ . Thus the linear operator  $T: X \rightarrow E, T\alpha = \sum_{n=1}^\infty \alpha_n e_n$ , is well defined and continuous. We show that  $T(X) = E$ . Let  $e \in E$ . Then there exists  $(\alpha_n) \subset \mathbb{K}$  such that  $\sum_{n=1}^\infty \alpha_n e_n = e$ . Clearly,  $\lim_n |\alpha_n| a_{n,k} = \lim_n |\alpha_n| p_k(x_n) = 0, k \in \mathbb{N}$ . Thus  $\alpha = (\alpha_n) \in X$  and  $T\alpha = e$ . It follows that  $E$  is isomorphic to the quotient  $(X/\ker T)$  of  $X$ .

If  $E$  is of finite type, then it is isomorphic to a quotient of  $\mathbb{K}^\mathbb{N} \times c_0$  and, by the first part of the proof, to a quotient of some Köthe space. ■

In [12] we have proved that there exists a Köthe space  $V$  (unique up to isomorphism) such that any Köthe space is isomorphic to a complemented closed subspace of  $V$ . Thus, by Theorem 3, we get

**Corollary 4** Any Fréchet space of countable type is isomorphic to a quotient of the Köthe space  $V$ .

Now we prove the following.

**Theorem 5** Any Köthe space  $X$  is isomorphic to a quotient of some Köthe–Montel space.

**Proof** Let  $(x_n)$  be a basis in  $X$ . This basis is 1-orthogonal with respect to a base of norms  $(p_k)$  in  $\mathcal{P}(X)$ . Without loss of generality we can assume that  $p_1(x_n) \geq 1, n \in \mathbb{N}$ . Put  $d_{m,k} = p_k(x_m)$  for  $m, k \in \mathbb{N}$ . Let  $(N_i), (S_m)$  be two partitions of  $\mathbb{N}$  such that the set  $N_i \cap S_m$  is non-empty for all  $i, m \in \mathbb{N}$ .

For  $n \in N_i \cap S_m, i, m \in \mathbb{N}$  and  $k \in \mathbb{N}$  we put  $b_{n,k} = k^i d_{m,k}$  if  $k \leq i$  and  $b_{n,k} = k^{i-n} d_{m,k}$  if  $k > i$ . Clearly,  $0 < b_{n,k} \leq b_{n,k+1}$  for all  $n, k \in \mathbb{N}$ . Put  $B = (b_{n,k})$ . The Köthe space  $K(B)$  is a Fréchet–Montel space (see [10, Corollary 1.10, Example 1.9 and its proof]). We will prove that  $X$  is isomorphic to a quotient of  $K(B)$ . Put  $Y = K(B)$ .

Let  $(f_n) \subset Y'$  be the sequence of coefficient functionals associated with the coordinate basis  $(e_n)$  in  $Y$ . For any  $\alpha = (\alpha_n) \in Y$  we have  $\lim_n f_n(\alpha) = 0$ , since

$\lim_n |\alpha_n|b_{n,1} = 0$ . Put  $g_m(\alpha) = \sum_{n \in S_m} f_n(\alpha)$  for  $m \in \mathbb{N}$  and  $\alpha \in Y$ . By the Banach–Steinhaus theorem, the linear functionals  $g_m, m \in \mathbb{N}$ , are continuous on  $Y$ . For all  $k, m \in \mathbb{N}$  and  $\alpha \in Y$  we have

$$p_k(g_m(\alpha)x_m) = |g_m(\alpha)|d_{m,k} \leq \sup_{n \in S_m} |f_n(\alpha)|d_{m,k} \leq \sup_{n \in S_m} |\alpha_n|b_{n,k}$$

and  $\lim_n |\alpha_n|b_{n,k} = 0$ , so  $\lim_m g_m(\alpha)x_m = 0$  in  $X$ , for any  $\alpha \in Y$ . Put  $T: Y \rightarrow X, T\alpha = \sum_{m=1}^\infty g_m(\alpha)x_m$ . For  $k, m \in \mathbb{N}$  and  $\alpha \in Y$  we get

$$p_k(T\alpha) \leq \max_m \max_{n \in S_m} |f_n(\alpha)|d_{m,k} \leq \max_m \max_{n \in S_m} q_k(\alpha)(d_{m,k}b_{n,k}^{-1}) \leq q_k(\alpha),$$

where  $(q_k)$  is the standard base of norms in  $\mathcal{P}(Y)$ . Thus the linear operator  $T$  is continuous. We show that  $T(Y) = X$ . Let  $x \in X$ . Then  $\exists (\alpha_m) \subset \mathbb{K} : x = \sum_{m=1}^\infty \alpha_m x_m$  and  $\forall k \in \mathbb{N}, \lim_m |\alpha_m|d_{m,k} = 0$ . Therefore there exists an increasing sequence  $(m_k) \subset \mathbb{N}$  with  $m_1 = 1$  such that  $|\alpha_m|d_{m,k} \leq k^{-k-1}p_1(x)$  for  $m_k \leq m < m_{k+1}, k \in \mathbb{N}$ . Let  $t_m \in N_k \cap S_m$  for  $m_k \leq m < m_{k+1}, k \in \mathbb{N}$ . Let  $l \in \mathbb{N}$ . Then for  $k \geq l$  and  $m_k \leq m < m_{k+1}$  we have

$$|\alpha_m|b_{t_m,l} \leq |\alpha_m|b_{t_m,k} = |\alpha_m|d_{m,k}k^k \leq k^{-1}p_1(x).$$

Hence  $\forall l \in \mathbb{N}, \lim_m |\alpha_m|b_{t_m,l} = 0$ . Thus the series  $\sum_{m=1}^\infty \alpha_m e_{t_m}$  is convergent in  $Y$  to some element  $y$ . Clearly,  $Ty = x$ ; so  $T(Y) = X$ . It follows that  $X$  is isomorphic to the quotient  $(Y / \ker T)$  of  $Y$ . ■

By Corollary 4 and Theorem 5 we obtain

**Corollary 6** Any Fréchet space of countable type is isomorphic to a quotient of some Köthe–Montel space  $W$ .

For nuclear Fréchet spaces we shall prove the following.

**Theorem 7** Any nuclear Fréchet space  $E$  is isomorphic to a quotient of some nuclear Köthe space.

**Proof** Assume that  $E$  is not of finite type. Let  $\beta \in \mathbb{K}$  with  $0 < |\beta| < 1$ . Then  $E$  possesses a base  $(p_k)$  in  $\mathcal{P}(E)$  such that:

- (1)  $\dim(E / \ker p_1) = \infty$ ;
- (2)  $\forall k \in \mathbb{N}, p_k \leq |\beta|^2 p_{k+1}$ ;
- (3) for any  $k \in \mathbb{N}$  the canonical map  $\varphi_{k,k+1}: (E_{k+1}, \overline{p_{k+1}}) \rightarrow (E_k, \overline{p_k})$  is compact.

Let  $(z_n)$  be a linearly independent and linearly dense sequence in  $E$  such that  $\text{lin}(z_n) \cap \ker p_1 = \{0\}$  (see the proof of Theorem 3). Put  $Z = \text{lin}(z_n)$  and  $U_m = \{x \in E : p_m(x) \leq 1\}$  for  $m \in \mathbb{N}$ . Let  $k \in \mathbb{N}$ .

Let  $(v_n)$  be a  $|\beta|$ -orthogonal basis in  $(E_{k+1}, \overline{p_{k+1}})$  with  $|\beta| < \overline{p_{k+1}}(v_n) \leq 1, n \in \mathbb{N}$ , such that  $\text{lin}(v_n) = \text{lin}(\varphi_{k+1}(z_n))$  (see [5], Theorem 3.16 (i) and its proof). Put  $u_n = (\varphi_{k+1}|_Z)^{-1}(v_n), n \in \mathbb{N}$ . Then  $(u_n) \subset Z \cap U_{k+1}$ .

We will show that  $U_{k+2} \subset \overline{\text{co}}(u_n)$ . Let  $x \in U_{k+2}$ . Assume that  $m \in \mathbb{N}, \alpha_1, \dots, \alpha_m \in \mathbb{K}$  and  $(x - \sum_{i=1}^m \alpha_i u_i) \in U_{k+2}$ . Then

$$p_{k+1}\left(\sum_{i=1}^m \alpha_i u_i\right) \leq \max\left\{p_{k+1}\left(\sum_{i=1}^m \alpha_i u_i - x\right), p_{k+1}(x)\right\} \leq |\beta|^2$$

and

$$p_{k+1}\left(\sum_{i=1}^m \alpha_i u_i\right) = \overline{p_{k+1}}\left(\sum_{i=1}^m \alpha_i v_i\right) \geq |\beta| \max_{1 \leq i \leq m} \overline{p_{k+1}}(\alpha_i v_i) \geq |\beta|^2 \max_{1 \leq i \leq m} |\alpha_i|.$$

Hence  $\max_{1 \leq i \leq m} |\alpha_i| \leq 1$ . We have proved that  $\sum_{i=1}^m \alpha_i u_i \in \text{co}(u_n)$  provided  $(x - \sum_{i=1}^m \alpha_i u_i) \in U_{k+2}$ . Thus  $x \in \overline{\text{co}}(u_n)$ , since  $(u_n)$  is linearly dense in  $E$ . Hence  $U_{k+2} \subset \overline{\text{co}}(u_n)$ .

Put  $W = Z \cap U_{k+1}$ . The set  $\varphi_k(W)$  is absolutely convex and compactoid in  $(E_k, \overline{p_k})$ . Therefore there exists a sequence  $(y_i) \subset (\beta^{-1} \varphi_k(W) \setminus \{0\})$  with  $\lim_i \overline{p_k}(y_i) = 0$  such that  $\varphi_k(W) \subset \overline{\text{co}}(y_i)$  (see [6, Proposition 8.2]).

Let  $d_i \in \beta^{-1}W$  with  $\varphi_k(d_i) = y_i, i \in \mathbb{N}$ . Clearly,  $0 < p_k(d_i) \leq |\beta|, i \in \mathbb{N}$ , and  $\lim_i p_k(d_i) = 0$ . Since  $(u_n) \subset Z \cap U_{k+1}$ , we have

$$\forall n \in \mathbb{N} \exists m \in \mathbb{N} \exists \alpha_1, \dots, \alpha_m \in B_{\mathbb{K}} : 0 < \overline{p_k}(\varphi_k(u_n) - \sum_{i=1}^m \alpha_i y_i) < n^{-1}.$$

Put  $b_n = u_n - \sum_{i=1}^m \alpha_i d_i, n \in \mathbb{N}$ . Then  $0 < p_k(b_n) < n^{-1}, n \in \mathbb{N}$ .

Let  $x_{2n-1}^k = d_n, x_{2n}^k = b_n$  for  $n \in \mathbb{N}$ . Clearly,  $(x_n^k) \subset Z \cap (U_k \setminus \{0\}), \lim_n p_k(x_n^k) = 0$  and  $(u_n) \subset \text{co}(x_n^k)$ ; hence  $U_{k+2} \subset \overline{\text{co}}(u_n) \subset \overline{\text{co}}(x_n^k)$ .

Let  $(S_k)$  be a partition of  $\mathbb{N}$  into infinite subsets and let  $(x_n)$  be a sequence in  $E$  such that  $(x_n)_{n \in S_k} = (x_1^k, x_2^k, \dots)$  for any  $k \in \mathbb{N}$ . Let  $d_{n,k} = p_k(x_n)$  for  $n, k \in \mathbb{N}$ . Clearly,  $0 < d_{n,k} \leq d_{n,k+1}$  for  $n, k \in \mathbb{N}$ . Moreover,  $0 < d_{n,m} \leq 1$  for  $n \in S_m, m \in \mathbb{N}$ , and  $\lim_{n \in S_m} d_{n,m} = 0, m \in \mathbb{N}$ .

Put  $b_{n,k} = d_{n,k} d_{n,m}^{-k/m} |\beta|^{-km}$  for  $n \in S_m, m \in \mathbb{N}$ , and  $k \in \mathbb{N}$ . Clearly,  $0 < b_{n,k} \leq |\beta| b_{n,k+1}$  for all  $n, k \in \mathbb{N}$ . Let  $k \in \mathbb{N}$ . For  $n \in S_m, m \in \mathbb{N}$ , we have  $b_{n,k} b_{n,k+1}^{-1} \leq d_{n,m}^{1/m} |\beta|^m$ . Let  $\epsilon > 0$ . Then  $\exists l \in \mathbb{N} \forall m > l, |\beta|^m \leq \epsilon$  and  $\exists t \in \mathbb{N} \forall 1 \leq m \leq l \forall n \in (S_m \setminus \{1, \dots, t\}), d_{n,m} \leq \epsilon^m$ . Hence  $\forall n > t, b_{n,k} b_{n,k+1}^{-1} \leq \epsilon$ . Thus  $\lim_n b_{n,k} b_{n,k+1}^{-1} = 0, k \in \mathbb{N}$ ; so the Köthe space  $K(B)$ , associated with the matrix  $B = (b_{n,k})$ , is nuclear.

We shall show that  $E$  is isomorphic to a quotient of  $K(B)$ . Put  $Y = K(B)$  and  $q_k(\alpha) = \max_n |\alpha_n| b_{n,k}$  for  $\alpha = (\alpha_n) \in Y$  and  $k \in \mathbb{N}$ . Clearly,  $(q_k)$  is a base in  $\mathcal{P}(Y)$ . Let  $\alpha = (\alpha_n) \in Y$  and  $k \in \mathbb{N}$ . For  $n \in S_m, m \in \mathbb{N}$  we have

$$p_k(\alpha_n x_n) = |\alpha_n| d_{n,k} \leq q_k(\alpha) b_{n,k}^{-1} d_{n,k} = q_k(\alpha) (d_{n,m}^{1/m} |\beta|^m)^k.$$

Thus  $\lim_n p_k(\alpha_n x_n) = 0$  and  $\max_n p_k(\alpha_n x_n) \leq q_k(\alpha)$  for all  $\alpha = (\alpha_n) \in Y$  and  $k \in \mathbb{N}$ . It follows that the linear map

$$T: Y \rightarrow E, T\alpha = \sum_{n=1}^{\infty} \alpha_n x_n$$

is well defined and continuous. Put  $V_m = \{\alpha \in Y : q_m(\alpha) \leq 1\}$ ,  $m \in \mathbb{N}$ . Let  $(e_n)$  be the coordinate basis in  $Y$ . Let  $m \in \mathbb{N}$ . Since  $q_m(\beta^{m^2} e_n) = |\beta|^{m^2} b_{n,m} = 1$  for  $n \in S_m$ , we have  $T(V_m) \supset \{\beta^{m^2} x_n : n \in S_m\}$ ; so  $\overline{T(V_m)} \supset \beta^{m^2} \overline{\text{co}}\{x_n^m : n \in \mathbb{N}\} \supset \beta^{m^2} U_{m+2}$ . Thus the map  $T$  is almost open. By the open mapping theorem [4, Theorem 2.72] we infer that  $T(Y) = E$  and  $E$  is isomorphic to the quotient  $(Y / \ker T)$  of  $Y$ .

If  $E$  is of finite type and  $K(B)$  is a nuclear Köthe space, then  $E$  is isomorphic to a quotient of  $\mathbb{K}^{\mathbb{N}} \times K(B)$  and, by the first part of the proof, to a quotient of some nuclear Köthe space. ■

Finally, we shall show that there is no nuclear Fréchet space  $X$  such that any nuclear Köthe space is isomorphic to a quotient of  $X$ .

For arbitrary subsets  $A, B$  in a linear space  $E$  and a linear subspace  $L$  of  $E$  we denote  $d(A, B, L) = \inf\{|\beta| : \beta \in \mathbb{K} \text{ and } A \subset \beta B + L\}$  (we put  $\inf \emptyset = \infty$ ). Let  $d_n(A, B) = \inf\{d(A, B, L) : L \subset E \text{ and } \dim L < n\}$ ,  $n \in \mathbb{N}$ .

It is easy to check the following.

**Remark 8** Let  $E$  and  $F$  be linear spaces. If  $A, B \subset E$  and  $T$  is a linear map from  $E$  onto  $F$ , then  $d_n(A, B) \geq d_n(T(A), T(B))$  for  $n \in \mathbb{N}$ . If  $A' \subset A \subset E$  and  $B \subset B' \subset E$ , then  $d_n(A, B) \geq d_n(A', B')$  for  $n \in \mathbb{N}$ .

By the second part of the proof of [11, Lemma 2], we get

**Lemma 9** Let  $(f_n)$  be the sequence of coefficient functionals associated with a basis  $(x_n)$  in an lcs  $E$ . Let  $(a_k), (b_k) \subset (0, \infty)$ . Put  $A = \{x \in E : \max_k |f_k(x)| a_k^{-1} \leq 1\}$  and  $B = \{x \in E : \max_k |f_k(x)| b_k^{-1} \leq 1\}$ . Then for any  $n \in \mathbb{N}$  and  $\alpha \in \mathbb{K}$  with  $|\alpha| < 1$  we have  $d_n(A, B) \geq |\alpha| a_n b_n^{-1}$ .

If  $a = (a_n) \subset (0, \infty)$  is a non-decreasing sequence with  $\lim a_n = \infty$ , then the following Köthe space is nuclear:  $A_\infty(a) = K(B)$  with  $B = (b_{k,n}), b_{k,n} = k^{a_n}$  (see [1]);  $A_\infty(a)$  is a power series space of infinite type.

Now we can prove our last theorem.

**Theorem 10** For any nuclear Köthe space  $X$  there exists a non-decreasing sequence  $(a_n) \subset (0, \infty)$  with  $\lim_n a_n = \infty$  such the space  $A_\infty(a)$  is not isomorphic to any quotient of  $X$ .

**Proof** Let  $\beta \in \mathbb{K}$  with  $0 < |\beta| < 1$ . Let  $(x_n)$  be a basis of  $X$  which is 1-orthogonal with respect to a base of norms  $(p_k)$  in  $\mathcal{P}(X)$  with  $\lim_n [p_k(x_n) p_{k+1}^{-1}(x_n)] = 0, k \in \mathbb{N}$ . Put  $U_k = \{x \in X : p_k(x) \leq 1\}$  for  $k \in \mathbb{N}$ . It is easy to see that

$$\forall i \in \mathbb{N} \forall m \in \mathbb{N} \exists n \in \mathbb{N} : U_{i+1} \subset \beta^m U_i + \text{lin}\{x_1, \dots, x_n\}.$$

Hence  $\lim_n d_n(U_{i+1}, U_i) = 0, i \in \mathbb{N}$ . Thus there exists an increasing sequence  $(v_n) \subset \mathbb{N}$  such that for any  $n \in \mathbb{N}$  we have

$$\max_{1 \leq k \leq n} d_{v_n}(U_{k+1}, U_k) < |\beta| n^{-n}.$$

Put  $a_m = \min\{n \in \mathbb{N} : v_n \geq m\}$ ,  $m \in \mathbb{N}$ , and  $a = (a_n)$ . Clearly,  $0 < a_m \leq a_{m+1}$  for  $m \in \mathbb{N}$ , and  $\lim_m a_m = \infty$ .

Assume that the space  $A_\infty(a)$  is isomorphic to a quotient of  $X$ . Then there exists a linear continuous and open mapping  $T$  from  $X$  onto  $A_\infty(a)$ . Thus for some  $k, s \in \mathbb{N}$  we have

$$V_1 \supset T(U_k) \supset T(U_{k+1}) \supset V_s,$$

where  $V_i = \{\alpha = (\alpha_n) \in A_\infty(a) : \max_n |\alpha_n| i^{a_n} \leq 1\}$ ,  $i \in \mathbb{N}$ .

Using Remark 8, we get

$$d_m(U_{k+1}, U_k) \geq d_m(T(U_{k+1}), T(U_k)) \geq d_m(V_s, V_1), m \in \mathbb{N}.$$

Let  $n \in \mathbb{N}$  with  $a_{v_n} \geq \max\{k, s\}$ . Put  $m = v_n$ ; then  $a_n = n \geq \max\{k, s\}$ . By Lemma 9 we have

$$d_m(V_s, V_1) \geq |\beta| s^{-a_m} \geq |\beta| n^{-n} > d_m(U_{k+1}, U_k);$$

a contradiction. ■

Similarly to the proof of Theorem 10 one can show the following

**Remark 11** For any nuclear Köthe space  $K(A)$  with  $A = (a_{n,k})$  there exists a non-decreasing sequence  $(t_n) \subset \mathbb{N}$  with  $\lim_n t_n = \infty$  such that for  $B = (b_{n,k})$  with  $b_{n,k} = a_{t_n,k}$ ,  $n, k \in \mathbb{N}$ , the nuclear Köthe space  $K(B)$  is not isomorphic to a quotient of  $K(A)$ .

By Theorems 7 and 10, we obtain

**Corollary 12** *There is no nuclear Fréchet space  $X$  such that any nuclear Köthe space is isomorphic to a quotient of  $X$ .*

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