

## ON THE BOAS–BELLMAN INEQUALITY IN INNER PRODUCT SPACES

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New results related to the Boas–Bellman generalisation of Bessel’s inequality in inner product spaces are given.

### 1. INTRODUCTION

Let  $(H; (\cdot, \cdot))$  be an inner product space over the real or complex number field  $\mathbb{K}$ . If  $(e_i)_{1 \leq i \leq n}$  are orthonormal vectors in the inner product space  $H$ , that is,  $(e_i, e_j) = \delta_{ij}$  for all  $i, j \in \{1, \dots, n\}$  where  $\delta_{ij}$  is the Kronecker delta, then the following inequality is well known in the literature as Bessel’s inequality (see for example [6, p. 391]):

$$(1.1) \quad \sum_{i=1}^n |(x, e_i)|^2 \leq \|x\|^2 \quad \text{for any } x \in H.$$

For other results related to Bessel’s inequality, see [3, 4, 5] and Chapter XV in the book [6].

In 1941, Boas [2] and in 1944, independently, Bellman [1] proved the following generalisation of Bessel’s inequality (see also [6, p. 392]).

**THEOREM 1.** *If  $x, y_1, \dots, y_n$  are elements of an inner product space  $(H; (\cdot, \cdot))$ , then the following inequality:*

$$(1.2) \quad \sum_{i=1}^n |(x, y_i)|^2 \leq \|x\|^2 \left[ \max_{1 \leq i \leq n} \|y_i\|^2 + \left( \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^2 \right)^{1/2} \right]$$

holds.

A recent generalisation of the Boas–Bellman result was given in Mitrinović–Pečarić–Fink [6, p. 392] where they proved the following.

**THEOREM 2.** *If  $x, y_1, \dots, y_n$  are as in Theorem 1 and  $c_1, \dots, c_n \in \mathbb{K}$ , then one has the inequality:*

$$(1.3) \quad \left| \sum_{i=1}^n c_i(x, y_i) \right|^2 \leq \|x\|^2 \sum_{i=1}^n \|c_i\|^2 \left[ \max_{1 \leq i \leq n} \|y_i\|^2 + \left( \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^2 \right)^{1/2} \right].$$

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They also noted that if in (1.3) one chooses  $c_i = \overline{(x, y_i)}$ , then this inequality becomes (1.2).

For other results related to the Boas–Bellman inequality, see [4].

In this paper we point out some new results that may be related to both the Mitrinović–Pečarić–Fink and Boas–Bellman inequalities.

### 2. SOME PRELIMINARY RESULTS

We start with the following lemma which is also interesting in itself.

**LEMMA 1.** *Let  $z_1, \dots, z_n \in H$  and  $\alpha_1, \dots, \alpha_n \in \mathbb{K}$ . Then one has the inequality:*

$$(2.1) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \begin{cases} \max_{1 \leq i \leq n} |\alpha_i|^2 \sum_{i=1}^n \|z_i\|^2; \\ \left( \sum_{i=1}^n |\alpha_i|^{2\alpha} \right)^{1/\alpha} \left( \sum_{i=1}^n \|z_i\|^{2\beta} \right)^{1/\beta}, & \text{where } \alpha > 1, \frac{1}{\alpha} + \frac{1}{\beta} = 1; \\ \sum_{i=1}^n |\alpha_i|^2 \max_{1 \leq i \leq n} \|z_i\|^2, \end{cases}$$

$$+ \begin{cases} \max_{1 \leq i \neq j \leq n} \{ |\alpha_i \alpha_j| \} \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|; \\ \left[ \left( \sum_{i=1}^n |\alpha_i|^\gamma \right)^2 - \left( \sum_{i=1}^n |\alpha_i|^{2\gamma} \right) \right]^{1/\gamma} \left( \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^\delta \right)^{1/\delta}, \\ \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ \left[ \left( \sum_{i=1}^n |\alpha_i| \right)^2 - \sum_{i=1}^n |\alpha_i|^2 \right] \max_{1 \leq i \neq j \leq n} |(z_i, z_j)|. \end{cases}$$

**PROOF:** We observe that

$$(2.2) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 = \left( \sum_{i=1}^n \alpha_i z_i, \sum_{j=1}^n \alpha_j z_j \right)$$

$$= \sum_{i=1}^n \sum_{j=1}^n \alpha_i \overline{\alpha_j} (z_i, z_j) = \left| \sum_{i=1}^n \sum_{j=1}^n \alpha_i \overline{\alpha_j} (z_i, z_j) \right|$$

$$\leq \sum_{i=1}^n \sum_{j=1}^n |\alpha_i| |\overline{\alpha_j}| |(z_i, z_j)|$$

$$= \sum_{i=1}^n |\alpha_i|^2 \|z_i\|^2 + \sum_{1 \leq i \neq j \leq n} |\alpha_i| |\alpha_j| |(z_i, z_j)|.$$

Using Hölder’s inequality, we may write that

$$(2.3) \quad \sum_{i=1}^n |\alpha_i|^2 \|z_i\|^2 \leq \begin{cases} \max_{1 \leq i \leq n} |\alpha_i|^2 \sum_{i=1}^n \|z_i\|^2; \\ \left( \sum_{i=1}^n |\alpha_i|^{2\alpha} \right)^{1/\alpha} \left( \sum_{i=1}^n \|z_i\|^{2\beta} \right)^{1/\beta}, \quad \text{where } \alpha > 1, \frac{1}{\alpha} + \frac{1}{\beta} = 1; \\ \sum_{i=1}^n |\alpha_i|^2 \max_{1 \leq i \leq n} \|z_i\|^2. \end{cases}$$

By Hölder’s inequality for double sums we also have

$$(2.4) \quad \sum_{1 \leq i \neq j \leq n} |\alpha_i| |\alpha_j| |(z_i, z_j)| \leq \begin{cases} \max_{1 \leq i \neq j \leq n} |\alpha_i \alpha_j| \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|; \\ \left( \sum_{1 \leq i \neq j \leq n} |\alpha_i|^\gamma |\alpha_j|^\gamma \right)^{1/\gamma} \left( \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^\delta \right)^{1/\delta}, \\ \quad \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ \sum_{1 \leq i \neq j \leq n} |\alpha_i| |\alpha_j| \max_{1 \leq i \neq j \leq n} |(z_i, z_j)|, \end{cases}$$

$$= \begin{cases} \max_{1 \leq i \neq j \leq n} \{|\alpha_i \alpha_j|\} \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|; \\ \left[ \left( \sum_{i=1}^n |\alpha_i|^\gamma \right)^2 - \left( \sum_{i=1}^n |\alpha_i|^{2\gamma} \right) \right]^{1/\gamma} \left( \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^\delta \right)^{1/\delta}, \\ \quad \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ \left[ \left( \sum_{i=1}^n |\alpha_i| \right)^2 - \sum_{i=1}^n |\alpha_i|^2 \right] \max_{1 \leq i \neq j \leq n} |(z_i, z_j)|. \end{cases}$$

Utilising (2.3) and (2.4) in (2.2), we may deduce the desired result (2.1). □

REMARK 1. Inequality (2.1) contains in fact 9 different inequalities which may be obtained combining the first 3 ones with the last 3 ones.

A particular case that may be related to the Boas–Bellman result is embodied in the following inequality.

**COROLLARY 1.** *With the assumptions in Lemma 1, we have*

$$\begin{aligned}
 (2.5) \quad & \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \\
 & \leq \sum_{i=1}^n |\alpha_i|^2 \left\{ \max_{1 \leq i \leq n} \|z_i\|^2 + \frac{[(\sum_{i=1}^n |\alpha_i|^2)^2 - \sum_{i=1}^n |\alpha_i|^4]^{1/2}}{\sum_{i=1}^n |\alpha_i|^2} \left( \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^2 \right)^{1/2} \right\} \\
 & \leq \sum_{i=1}^n |\alpha_i|^2 \left\{ \max_{1 \leq i \leq n} \|z_i\|^2 + \left( \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^2 \right)^{1/2} \right\}.
 \end{aligned}$$

The first inequality follows by taking the third branch in the first curly bracket with the second branch in the second curly bracket for  $\gamma = \delta = 2$ .

The second inequality in (2.5) follows by the fact that

$$\left[ \left( \sum_{i=1}^n |\alpha_i|^2 \right)^2 - \sum_{i=1}^n |\alpha_i|^4 \right]^{1/2} \leq \sum_{i=1}^n |\alpha_i|^2.$$

Applying the following Cauchy-Bunyakovsky-Schwarz type inequality

$$(2.6) \quad \left( \sum_{i=1}^n a_i \right)^2 \leq n \sum_{i=1}^n a_i^2, \quad a_i \in \mathbb{R}_+, \quad 1 \leq i \leq n,$$

we may write that

$$(2.7) \quad \left( \sum_{i=1}^n |\alpha_i|^\gamma \right)^2 - \sum_{i=1}^n |\alpha_i|^{2\gamma} \leq (n-1) \sum_{i=1}^n |\alpha_i|^{2\gamma} \quad (n \geq 1)$$

and

$$(2.8) \quad \left( \sum_{i=1}^n |\alpha_i| \right)^2 - \sum_{i=1}^n |\alpha_i|^2 \leq (n-1) \sum_{i=1}^n |\alpha_i|^2 \quad (n \geq 1).$$

Also, it is obvious that:

$$(2.9) \quad \max_{1 \leq i \neq j \leq n} \{ |\alpha_i \alpha_j| \} \leq \max_{1 \leq i \leq n} |\alpha_i|^2.$$

Consequently, we may state the following coarser upper bounds for  $\left\| \sum_{i=1}^n \alpha_i z_i \right\|^2$  that may be useful in applications.

**COROLLARY 2.** *With the assumptions in Lemma 1, we have the inequalities:*

$$(2.10) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \begin{cases} \max_{1 \leq i \leq n} |\alpha_i|^2 \sum_{i=1}^n \|z_i\|^2; \\ \left( \sum_{i=1}^n |\alpha_i|^{2\alpha} \right)^{1/\alpha} \left( \sum_{i=1}^n \|z_i\|^{2\beta} \right)^{1/\beta}, & \text{where } \alpha > 1, \frac{1}{\alpha} + \frac{1}{\beta} = 1; \\ \sum_{i=1}^n |\alpha_i|^2 \max_{1 \leq i \leq n} \|z_i\|^2, \end{cases}$$

$$+ \begin{cases} \max_{1 \leq i \leq n} |\alpha_i|^2 \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|; \\ (n-1)^{1/\gamma} \left( \sum_{i=1}^n |\alpha_i|^{2\gamma} \right)^{1/\gamma} \left( \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^\delta \right)^{1/\delta}, & \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ (n-1) \sum_{i=1}^n |\alpha_i|^2 \max_{1 \leq i \neq j \leq n} |(z_i, z_j)|. \end{cases}$$

The proof is obvious by Lemma 1 in applying the inequalities (2.7)–(2.9).

**REMARK 2.** The following inequalities which are incorporated in (2.10) are of special interest:

$$(2.11) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \max_{1 \leq i \leq n} |\alpha_i|^2 \left[ \sum_{i=1}^n \|z_i\|^2 + \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)| \right];$$

$$(2.12) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \left( \sum_{i=1}^n |\alpha_i|^{2p} \right)^{1/p} \left[ \left( \sum_{i=1}^n \|z_i\|^{2q} \right)^{1/q} + (n-1)^{1/p} \left( \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^q \right)^{1/q} \right],$$

where  $p > 1, 1/p + 1/q = 1$ ; and

$$(2.13) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \sum_{i=1}^n |\alpha_i|^2 \left[ \max_{1 \leq i \leq n} \|z_i\|^2 + (n-1) \max_{1 \leq i \neq j \leq n} |(z_i, z_j)| \right].$$

### 3. SOME MITRINOVIĆ-PEČARIĆ-FINK TYPE INEQUALITIES

We are now able to point out the following result which complements the inequality (1.3) due to Mitrinović, Pečarić and Fink [6, p. 392].

**THEOREM 3.** *Let  $x, y_1, \dots, y_n$  be vectors of an inner product space  $(H; (\cdot, \cdot))$  and*

$c_1, \dots, c_n \in \mathbb{K}$  ( $\mathbb{K} = \mathbb{C}, \mathbb{R}$ ). Then one has the inequalities:

$$\begin{aligned}
 (3.1) \quad & \left| \sum_{i=1}^n c_i(x, y_i) \right|^2 \\
 & \leq \|x\|^2 \times \begin{cases} \max_{1 \leq i \leq n} |c_i|^2 \sum_{i=1}^n \|y_i\|^2; \\ \left( \sum_{i=1}^n |c_i|^{2\alpha} \right)^{1/\alpha} \left( \sum_{i=1}^n \|y_i\|^{2\beta} \right)^{1/\beta}, \quad \text{where } \alpha > 1, \frac{1}{\alpha} + \frac{1}{\beta} = 1; \\ \sum_{i=1}^n |c_i|^2 \max_{1 \leq i \leq n} \|y_i\|^2, \end{cases} \\
 & + \|x\|^2 \times \begin{cases} \max_{1 \leq i \neq j \leq n} \{ |c_i c_j| \} \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|; \\ \left[ \left( \sum_{i=1}^n |c_i|^\gamma \right)^2 - \left( \sum_{i=1}^n |c_i|^{2\gamma} \right) \right]^{1/\gamma} \left( \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^\delta \right)^{1/\delta}, \\ \quad \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ \left[ \left( \sum_{i=1}^n |c_i| \right)^2 - \sum_{i=1}^n |c_i|^2 \right] \max_{1 \leq i \neq j \leq n} |(y_i, y_j)|. \end{cases}
 \end{aligned}$$

PROOF: We note that

$$\sum_{i=1}^n c_i(x, y_i) = \left( x, \sum_{i=1}^n \bar{c}_i y_i \right).$$

Using Schwarz's inequality in inner product spaces, we have:

$$\left| \sum_{i=1}^n c_i(x, y_i) \right|^2 \leq \|x\|^2 \left\| \sum_{i=1}^n \bar{c}_i y_i \right\|^2.$$

Now using Lemma 1 with  $\alpha_i = \bar{c}_i$ ,  $z_i = y_i$  ( $i = 1, \dots, n$ ), we deduce the desired inequality (3.2). □

The following particular inequalities that may be obtained by the Corollaries 1 and 2 and Remark 2 hold.

**COROLLARY 3.** *With the assumptions in Theorem 3, one has the inequalities:*

$$(3.2) \left| \sum_{i=1}^n c_i(x, y_i) \right|^2 \leq \times \begin{cases} \|x\|^2 \sum_{i=1}^n |c_i|^2 \left\{ \max_{1 \leq i \leq n} \|y_i\|^2 + \left( \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^2 \right)^{1/2} \right\}; \\ \|x\|^2 \max_{1 \leq i \leq n} |c_i|^2 \left\{ \sum_{i=1}^n \|y_i\|^2 + \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\} \\ \|x\|^2 \left( \sum_{i=1}^n |c_i|^{2p} \right)^{1/p} \left\{ \left( \sum_{i=1}^n \|y_i\|^{2q} \right)^{1/q} + (n-1)^{1/p} \left( \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^q \right)^{1/q} \right\}, \\ \text{where } p > 1, \frac{1}{p} + \frac{1}{q} = 1; \\ \|x\|^2 \sum_{i=1}^n |c_i|^2 \left\{ \max_{1 \leq i \leq n} \|y_i\|^2 + (n-1) \max_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\}. \end{cases}$$

**REMARK 3.** Note that the first inequality in (3.2) is the result obtained by Mitrinović–Pečarić–Fink in [6]. The other 3 provide similar bounds in terms of the  $p$ -norms of the vector  $(|c_1|^2, \dots, |c_n|^2)$ .

#### 4. SOME BOAS–BELLMAN TYPE INEQUALITIES

If one chooses  $c_i = \overline{(x, y_i)}$  ( $i = 1, \dots, n$ ) in (3.2), then it is possible to obtain 9 different inequalities between the Fourier coefficients  $(x, y_i)$  and the norms and inner products of the vectors  $y_i$  ( $i = 1, \dots, n$ ). We restrict ourselves only to those inequalities that may be obtained from (3.2).

As Mitrinović, Pečarić and Fink noted in [6, p. 392], the first inequality in (3.2) for the above selection of  $c_i$  will produce the Boas–Bellman inequality (1.2).

From the second inequality in (3.2) for  $c_i = \overline{(x, y_i)}$  we get

$$\left( \sum_{i=1}^n |(x, y_i)|^2 \right)^2 \leq \|x\|^2 \max_{1 \leq i \leq n} |(x, y_i)|^2 \left\{ \sum_{i=1}^n \|y_i\|^2 + \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\}.$$

Taking the square root in this inequality we obtain:

$$(4.1) \quad \sum_{i=1}^n |(x, y_i)|^2 \leq \|x\| \max_{1 \leq i \leq n} |(x, y_i)| \left\{ \sum_{i=1}^n \|y_i\|^2 + \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\}^{1/2},$$

for any  $x, y_1, \dots, y_n$  vectors in the inner product space  $(H; (\cdot, \cdot))$ .

If we assume that  $(e_i)_{1 \leq i \leq n}$  is an orthonormal family in  $H$ , then by (4.1) we have

$$(4.2) \quad \sum_{i=1}^n |(x, e_i)|^2 \leq \sqrt{n} \|x\| \max_{1 \leq i \leq n} |(x, e_i)|, \quad x \in H.$$

From the third inequality in (3.2) for  $c_i = \overline{(x, y_i)}$  we deduce

$$\left(\sum_{i=1}^n |(x, y_i)|^2\right)^2 \leq \|x\|^2 \left(\sum_{i=1}^n |(x, y_i)|^{2p}\right)^{1/p} \times \left\{ \left(\sum_{i=1}^n \|y_i\|^{2q}\right)^{1/q} + (n-1)^{1/p} \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^q\right)^{1/q} \right\},$$

for  $p > 1, 1/p + 1/q = 1$ .

Taking the square root in this inequality we get

$$(4.3) \quad \sum_{i=1}^n |(x, y_i)|^2 \leq \|x\| \left(\sum_{i=1}^n |(x, y_i)|^{2p}\right)^{1/2p} \times \left\{ \left(\sum_{i=1}^n \|y_i\|^{2q}\right)^{1/q} + (n-1)^{1/p} \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^q\right)^{1/q} \right\}^{1/2},$$

for any  $x, y_1, \dots, y_n \in H, p > 1, 1/p + 1/q = 1$ .

The above inequality (4.3) becomes, for an orthonormal family  $(e_i)_{1 \leq i \leq n}$ ,

$$(4.4) \quad \sum_{i=1}^n |(x, e_i)|^2 \leq n^{1/q} \|x\| \left(\sum_{i=1}^n |(x, e_i)|^{2p}\right)^{1/2p}, \quad x \in H.$$

Finally, the choice  $c_i = \overline{(x, y_i)}$  ( $i = 1, \dots, n$ ) will produce in the last inequality in (3.2)

$$\left(\sum_{i=1}^n |(x, y_i)|^2\right)^2 \leq \|x\|^2 \sum_{i=1}^n |(x, y_i)|^2 \left\{ \max_{1 \leq i \leq n} \|y_i\|^2 + (n-1) \max_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\}$$

giving the following Boas–Bellman type inequality

$$(4.5) \quad \sum_{i=1}^n |(x, y_i)|^2 \leq \|x\|^2 \left\{ \max_{1 \leq i \leq n} \|y_i\|^2 + (n-1) \max_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\},$$

for any  $x, y_1, \dots, y_n \in H$ .

It is obvious that (4.5) will give for orthonormal families the well known Bessel inequality.

**REMARK 4.** In order to compare the Boas–Bellman result with our result (4.5), it is enough to compare the quantities

$$A := \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^2\right)^{1/2}$$

and

$$B := (n-1) \max_{1 \leq i \neq j \leq n} |(y_i, y_j)|.$$



Consider the inner product space  $H = \mathbb{R}$  with  $(x, y) = xy$ , and choose  $n = 3$ ,  $y_1 = a > 0$ ,  $y_2 = b > 0$ ,  $y_3 = c > 0$ . Then

$$A = \sqrt{2}(a^2b^2 + b^2c^2 + c^2a^2)^{1/2}, \quad B = 2 \max(ab, ac, bc).$$

Denote  $ab = p$ ,  $bc = q$ ,  $ca = r$ . Then

$$A = \sqrt{2}(p^2 + q^2 + r^2)^{1/2}, \quad B = 2 \max(p, q, r).$$

Firstly, if we assume that  $p = q = r$ , then  $A = \sqrt{6}p$ ,  $B = 2p$  which shows that  $A > B$ .

Now choose  $r = 1$  and  $p, q = 1/2$ . Then  $A = \sqrt{3}$  and  $B = 2$  showing that  $B > A$ .

Consequently, in general, the Boas-Bellman inequality and our inequality (4.5) cannot be compared.

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