

EMBEDDING GROUPS OF CLASS TWO AND PRIME EXPONENT IN CAPABLE AND NONCAPABLE GROUPS

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Abstract

We show that if G is any p -group of class at most two and exponent p , then there exist groups G_1 and G_2 of class two and exponent p that contain G , neither of which can be expressed as a central product, and with G_1 capable and G_2 not capable. We provide upper bounds for $\text{rank}(G_i^{\text{ab}})$ in terms of $\text{rank}(G^{\text{ab}})$ in each case.

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1. Introduction

A group is called *capable* if it is a central factor group. Capability plays an important role in Hall's scheme of classifying p -groups up to isoclinism [5], and has interesting connections to other branches of group theory. The finitely generated capable Abelian groups were classified by Baer [1]. It is not too difficult to determine whether a given finitely generated group of class two is capable or not by finding its *epicentre* $Z^*(G)$, the smallest subgroup of G such that $G/Z^*(G)$ is capable. Computing the epicentre in this setting reduces to relatively straightforward computations with finitely generated Abelian groups; see for example [3, Theorems 4 and 7]. However, currently available techniques seem insufficient to give a classification of capable finitely generated groups of class two along the lines of Baer's result for the Abelian case.

A full classification for the p -groups of class two and prime exponent seems a modest and possibly attainable goal; some purely numerical necessary conditions [6, Theorem 1] and sufficient ones [8, Theorem 5.26] are known, and a number of results allow us to reduce the problem to a restricted subclass. If we let G be a p -group of class at most two and odd prime exponent, it is not hard to show (for example, using [2, Proposition 6.2]) that if G is a nontrivial direct product, then G is capable if and only if each direct factor is either capable or nontrivial cyclic. We can write G as $G = K \times C_p^n$ where K is a group that satisfies $Z(K) = K'$ and C_p is cyclic of order p ,

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and so G is capable if and only if K is nontrivial capable, or K is trivial and $n > 1$. If G can be decomposed as a nontrivial central product, $G = CD$ with $[D, C] = \{e\}$ and $\{e\} \neq [C, C] \cap [D, D]$ (where e is the identity of the group), then G is not capable [6, Proposition 1]. Ellis proved that if $\{x_1, \dots, x_n\}$ is a transversal for $G/Z(G)$, and the nontrivial commutators $[x_j, x_i]$, $1 \leq i < j \leq n$ form a basis for $[G, G]$, then G is capable [3, Proposition 9]. We are then reduced to considering groups in a restricted class; we give it a name for future reference.

DEFINITION 1.1. We will denote by \mathcal{R}_p the class of all p -groups G of odd prime exponent p that cannot be decomposed into a nontrivial central product, with $Z(G) = [G, G]$, and such that if $\{x_1, \dots, x_n\}$ is a transversal for $G/Z(G)$, then there is a nontrivial relation among the nontrivial commutators of the form $[x_j, x_i]$, $1 \leq i < j \leq n$.

Unfortunately, the situation appears to be far from straightforward once we reach this point. In particular, as the two main results in this paper show, to determine whether such a G is capable we need a ‘holistic’ examination of G : there are no forbidden-subgroup criteria for the capability or noncapability of G . Explicitly, we show that if G is any group of class at most two and odd prime exponent p , then G is contained in groups G_1 and G_2 , both in \mathcal{R}_p , and with G_1 capable and G_2 not capable.

In Section 2 we prove some properties of the 2-nilpotent product with amalgamation, which is our main construction tool. Section 3 contains our main results.

2. The 2-nilpotent product with amalgamation

Groups will be written multiplicatively, and we will use e to denote the identity element of G ; C_p denotes the cyclic group of order p .

DEFINITION 2.1. Let A and B be nilpotent groups of class at most two. The 2-nilpotent product of A and B is defined to be the group $F/[[F, F], F]$, where $F = A * B$ is the free product of A and B . We denote the 2-nilpotent product of A and B by $A \amalg^{\mathfrak{N}_2} B$.

The 2-nilpotent product was introduced by Golovin [4], with a more general definition that applies to any two groups A and B . If A and B are nilpotent of class at most two, then their 2-nilpotent product is their coproduct (in the sense of category theory) within the variety of all groups of class at most two, hence our choice of notation. The elements of $A \amalg^{\mathfrak{N}_2} B$ can be written uniquely as $\alpha\beta\gamma$, with $\alpha \in A$, $\beta \in B$, and $\gamma \in [B, A]$; multiplication in $A \amalg^{\mathfrak{N}_2} B$ is then given by

$$(\alpha_1\beta_1\gamma_1)(\alpha_2\beta_2\gamma_2) = (\alpha_1\alpha_2)(\beta_1\beta_2)(\gamma_1\gamma_2[\beta_1, \alpha_2]).$$

A theorem of MacHenry [7] shows that $[B, A] \cong B^{\text{ab}} \otimes A^{\text{ab}}$ via $[b, a] \mapsto \bar{b} \otimes \bar{a}$ (where \bar{x} denotes the image of x under the canonical maps $A \rightarrow A^{\text{ab}}$ and $B \rightarrow B^{\text{ab}}$, and $A^{\text{ab}} \otimes B^{\text{ab}}$ is the usual tensor product of Abelian groups). Note that $A \amalg^{\mathfrak{N}_2} B$

contains isomorphic copies of A and B and is generated by these copies, so if A and B are both of odd exponent n , then so is $A \amalg^{\mathfrak{N}_2} B$.

DEFINITION 2.2. Let A and B be nilpotent groups of class at most two, let $H \leq [A, A]$, $K \leq [B, B]$, and let $\varphi: H \rightarrow K$ be an isomorphism. The *amalgamated coproduct of A and B along φ* is defined to be the group

$$A \amalg_{\varphi}^{\mathfrak{N}_2} B = \frac{A \amalg^{\mathfrak{N}_2} B}{\{h\varphi(h)^{-1} \mid h \in H\}}.$$

It is again easy to verify that $A \amalg_{\varphi}^{\mathfrak{N}_2} B$ contains isomorphic copies of A and B whose intersection is exactly the image of H identified with the image of K as indicated by φ .

Recall that a group G is said to be a *central product* of subgroups C and D if and only if $G = CD$ and $[C, D] = \{e\}$. The direct product is thus a special case of the central product. If in addition we have that $C \cap D = Z(G)$ then we say that G is the *full central product* of C and D . The central product is said to be trivial if $C \subseteq D$ or $D \subseteq C$. We prove that if A and B are both nontrivial, then $A \amalg_{\varphi}^{\mathfrak{N}_2} B$ cannot be decomposed as a nontrivial central product.

THEOREM 2.3. Let A and B be nontrivial groups of class at most two and odd prime exponent p , let $H \leq [A, A]$, $K \leq [B, B]$, and let $\varphi: H \rightarrow K$ be an isomorphism. If $G = A \amalg_{\varphi}^{\mathfrak{N}_2} B$, then $Z(G) = [G, G]$ and G cannot be expressed as a nontrivial central product.

PROOF. Identify A and B with their images in G . Let $\{a_1, \dots, a_m\}$ be a transversal for $A/[A, A]$, and $\{b_1, \dots, b_n\}$ a transversal for $B/[B, B]$. Since A is of exponent p , every element of A can be written uniquely in the form $a_1^{r_1} \cdots a_m^{r_m} a'$ with $0 \leq r_i < p$ and $a' \in [A, A]$, and similarly for every element of B . From the construction of the amalgamated coproduct it follows that $\{a_1, \dots, a_m, b_1, \dots, b_n\}$ is a transversal for $G/[G, G]$, and that $[G, G] \cong [A, A][B, B] \times [B, A]$. In particular, the commutators $[b_j, a_i]$, $1 \leq i \leq m$, $1 \leq j \leq n$ form a linearly independent subset of $[G, G]$ (viewing the latter as an \mathbb{F}_p -vector space).

Let $g \in G$; then we may write $g = a_1^{r_1} \cdots a_m^{r_m} b_1^{s_1} \cdots b_n^{s_n} g'$, with $0 \leq r_i, s_j < p$ and $g' \in [G, G]$. We first assert that $g \in Z(G)$ if and only if $r_i = s_j = 0$ for all i and j . Indeed,

$$[g, a_i] = \prod_{j=1}^m [a_j, a_i]^{r_j} \cdot \prod_{j=1}^n [b_j, a_i]^{s_j}.$$

For this to be trivial, we must have $s_j = 0$ for each j . Symmetrically, computing $[g, b_j]$ we obtain that $r_i = 0$ for each i . Thus, $Z(G) = [G, G]$, as claimed.

Now suppose that G is decomposed as a central product, $G = CD$. We can express $a_1 = c_1 d_1$ for some $c_1 \in C$, $d_1 \in D$. Let

$$\begin{aligned} c_1 &= a_1^{r_1} \cdots a_m^{r_m} b_1^{s_1} \cdots b_m^{s_m} c', \\ d_1 &= a_1^{\rho_1} \cdots a_m^{\rho_m} b_1^{\sigma_1} \cdots b_m^{\sigma_m} d', \end{aligned}$$

with $c', d' \in [G, G]$; since $c_1 d_1 = a_1$, we have $r_1 + \rho_1 \equiv 1 \pmod{p}$, and $r_i + \rho_i \equiv s_j + \sigma_j \equiv 0 \pmod{p}$ for $2 \leq i \leq m, 1 \leq j \leq n$. Since $[d_1, c_1] = e$, and

$$[d_1, c_1] = a'' b'' \prod_{i=1}^m \prod_{j=1}^n [b_j, a_i]^{\sigma_j r_i - s_j \rho_i}, \quad a'' \in [A, A], \quad d'' \in [B, B],$$

we have $0 \equiv \sigma_j r_1 - s_j \rho_1 \equiv \sigma_j r_1 + \sigma_j(1 - r_1) \equiv \sigma_j \equiv -s_j \pmod{p}$. That is, $c_1, d_1 \in A[G, G]$. Since $a_1 \notin Z(G)$, either $c_1 \notin Z(G)$ or $d_1 \notin Z(G)$. If both hold, then we have $C \subseteq C_G(d_1) \subseteq A[G, G]$ and $D \subseteq C_G(c_1) \subseteq A[G, G]$, so we conclude that $G = CD \subseteq A[G, G]$, which is impossible. Thus, exactly one of c_1 and d_1 is noncentral. Without loss of generality say $c_1 \notin Z(G)$ and $d_1 \in Z(G)$, so $a_1 = c_1 d_1 \in CZ(G)$.

Since none of b_1, \dots, b_n commute with a_1 , and $a_1 \in CZ(G)$, we must have $b_1, \dots, b_n \in CZ(G)$ as well; that is, $B \subseteq C[G, G]$. And since $b_1 \in C[G, G]$ and none of a_1, \dots, a_m commute with b_1 , we must also have $a_1, \dots, a_m \in C[G, G]$. Thus, $G = C[G, G]$, and so $[G, G] = [C, C]$ and $D \subseteq C$. Hence the central product decomposition $G = CD$ is trivial, as claimed. \square

We finish this section by describing the epicentre of an amalgamated coproduct.

THEOREM 2.4. Let A and B be nontrivial groups of class at most two and odd prime exponent p , let $H \leq [A, A], K \leq [B, B]$, and let $\varphi: H \rightarrow K$ be an isomorphism. If $G = A \amalg_{\varphi}^{\text{op}} B$, then $Z^*(G) = \{h \in H \mid h \in Z^*(A) \text{ and } \varphi(h) \in Z^*(B)\}$; that is, if we identify A and B with their images in G , then $Z^*(G) = Z^*(A) \cap Z^*(B)$.

PROOF. Let a_1, \dots, a_m be a transversal for $A/[A, A]$, and b_1, \dots, b_n a transversal for $B/[B, B]$. Following Ellis, for $x, y, z \in \{a_i, b_j \mid 1 \leq i \leq m, 1 \leq j \leq n\}$ let

$$J(x, y, z) = [x, y] \otimes \bar{z} + [y, z] \otimes \bar{x} + [z, x] \otimes \bar{y},$$

and let S be the subgroup of $[G, G] \otimes G^{\text{ab}}$ generated by all such $J(x, y, z)$. By [3, Theorem 7], an element $g \in Z(G) = [G, G]$ lies in $Z^*(G)$ if and only if $g \otimes w \in S$ for all $w \in \{a_i, b_j\}$. Since $[G, G] \cong [A, A][B, B] \times (B^{\text{ab}} \otimes A^{\text{ab}})$, $G^{\text{ab}} \cong A^{\text{ab}} \times B^{\text{ab}}$, and all factors are elementary Abelian p -groups, we have that $[G, G] \otimes G^{\text{ab}}$ is isomorphic to

$$(C \otimes (A^{\text{ab}} \times B^{\text{ab}})) \oplus \left(\bigoplus_{i,k=1}^m \bigoplus_{j=1}^n \langle [b_j, a_i] \otimes \bar{a}_k \rangle \right) \oplus \left(\bigoplus_{j,k=1}^n \bigoplus_{i=1}^m \langle [b_j, a_i] \otimes \bar{b}_k \rangle \right),$$

where C is the central product of $[A, A]$ and $[B, B]$ obtained by identifying H with K along φ . An easy computation shows that none of the elements $J(x, y, z)$ has a nontrivial $[b_j, a_i] \otimes \bar{b}_j$ component. Thus, if $g \in [G, G]$ has a nontrivial $[B, A]$ component, say $[b_j, a_i]$, then it follows that $g \otimes \bar{b}_j$ does not lie in S , so g is not in $Z^*(G)$. Thus, $Z^*(G) \subseteq [A, A][B, B]$.

Consider the elements $J(x, y, z)$ in which at least one of $x, y,$ or z is equal to b_1 . Unless the other two are in B , the generators include nontrivial $[B, A] \otimes (B^{\text{ab}} \times A^{\text{ab}})$ components that do not occur in any other generator, and occur in pairs. It is straightforward then that if $g \in [G, G]$ lies in $Z^*(G)$, since $g \otimes \overline{b_1}$ must lie in S we have that g can be expressed in terms of commutators of b_1, \dots, b_m ; that is, $g \in [B, B]$. By a symmetric argument considering a_1 instead, we obtain that if $g \in Z^*(G)$ then $g \in [A, A]$. Thus, $Z^*(G)$ is contained in $[A, A] \cap [B, B]$; recall that this intersection is equal to the identified subgroups $H = K$.

If $g \in H$ lies in $Z^*(G)$, then $g \otimes \overline{a_i} \in S$ for each i , and this readily yields that for all $a \in A, g \otimes \overline{a}$ lies in the subgroup of $[A, A] \otimes A^{\text{ab}}$ generated by all $J(a_i, a_j, a_k)$; thus $g \in Z^*(A)$; symmetrically, since $g \otimes \overline{b_j} \in S$ we obtain that g (considered now as an element of K) lies in $Z^*(B)$, so $Z^*(G) \subseteq Z^*(A) \cap Z^*(B)$. Conversely, if $h \in H \cap Z^*(A)$ is such that $\varphi(h) \in Z^*(B)$, then $h \otimes \overline{a_i} \in \langle J(a_r, a_s, a_t) \rangle$ for all i and $\varphi(h) \otimes \overline{b_j} \in \langle J(b_r, b_s, b_t) \rangle$ for all j , hence $h = \varphi(h) \in Z^*(G)$, giving the desired equality. \square

3. Main results

We now give the promised results.

THEOREM 3.1. Let G be any nontrivial group of class at most two and odd prime exponent p . Then there exists a capable group $G_1 \in \mathcal{R}_p$ that contains G . If G is nonabelian and capable, then we may choose G_1 so that $\text{rank}(G_1^{\text{ab}}) \leq \text{rank}(G^{\text{ab}}) + 2$. Otherwise, we may choose G_1 such that $\text{rank}(G_1^{\text{ab}}) \leq \text{rank}(G^{\text{ab}}) + 3$.

PROOF. We construct G_1 in two steps. If G is nonabelian and capable, set $G_0 = G$; otherwise, let $G_0 = G \amalg^{\mathfrak{N}_2} C_p$. To obtain G_1 , let $H = C_p \amalg^{\mathfrak{N}_2} C_p$, and let φ be an isomorphism between $[H, H]$ and a nontrivial cyclic subgroup of $[G_0, G_0]$. Finally, let $G_1 = G_0 \amalg_{\varphi}^{\mathfrak{N}_2} H$. Since G_0 is capable, G_1 is capable; by Theorem 2.3 G_1 is not a nontrivial central product. The identification of the generator of $[H, H]$ with a nontrivial element of $[G_0, G_0]$ guarantees the existence of a nontrivial relation among nontrivial commutators of any transversal, hence $G_1 \in \mathcal{R}_p$, as desired. The rank inequality is immediate. \square

THEOREM 3.2. Let G be any nontrivial group of class at most two and exponent p . Then there exists a noncapable group $G_2 \in \mathcal{R}_p$ that contains G . If G is nonabelian, then we may choose G_2 such that $\text{rank}(G_2^{\text{ab}}) \leq \text{rank}(G^{\text{ab}}) + 6$. If G is Abelian, then we may choose G_2 with $\text{rank}(G_2^{\text{ab}}) \leq \text{rank}(G^{\text{ab}}) + 7$.

PROOF. If G is nonabelian, let $H_1 = C_p \amalg^{\mathfrak{N}_2} C_p$, let $g \in [G, G]$ be nontrivial, and let H be the central product of G and H_1 identifying g with a generator of $[H_1, H_1]$. Since this is a nontrivial central product with $[G, G] \cap [H_1, H_1] \neq \{e\}$, it is not capable and $g \in Z^*(H)$ by [6, Proposition 1]. Now let $G_2 = H \amalg_{\varphi}^{\mathfrak{N}_2} E$, where E is an extraspecial group of order p^5 and exponent p , and φ identifies g with a generator

of $[E, E]$ (which is in $Z^*(E)$); since this is an amalgamated coproduct that identifies elements of the epicentres, Theorems 2.3 and 2.4 yield that G_2 is not capable and lies in \mathcal{R}_p (the theorem of Ellis mentioned in the introduction guarantees the existence of a nontrivial relation among nontrivial commutators of any transversal).

If G is Abelian, then let H be the central product of $G \amalg^{\mathfrak{N}_2} C_p$ with $C_p \amalg^{\mathfrak{N}_2} C_p$ identifying a generator of $C_p \amalg^{\mathfrak{N}_2} C_p$ with a nontrivial commutator in $G \amalg^{\mathfrak{N}_2} C_p$; this is a noncapable group. We now let $G_2 = H \amalg_{\varphi}^{\mathfrak{N}_2} E$ where E is again the extraspecial group of order p^5 and exponent p , and φ identifies elements of the epicentres. Again, G_2 is not capable and lies in \mathcal{R}_p . The rank inequalities are immediate. \square

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