

BIJECTIVE PROOFS OF SOME n -COLOR PARTITION IDENTITIES

BY
A. K. AGARWAL

ABSTRACT. Using a technique of Agarwal and Andrews (1987), bijective proofs of some n -color partition identities discovered recently by the author, are given.

1. Introduction, Definitions and the Main Result.

Recently in [3] the following q -identities of Rogers [5]

$$(1.1) \quad \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q; q)_{2n}} = \prod_{n=0}^{\infty} \frac{1}{(1 - q^{2n+1})(1 - q^{20n+4})(1 - q^{20n+16})}$$

$$(1.2) \quad \sum_{n=0}^{\infty} \frac{q^{n(n+1)}}{(q; q)_{2n+1}} = \prod_{\substack{n=1 \\ n \not\equiv \pm 3, \pm 4, \pm 7, 10 \pmod{20}}}^{\infty} (1 - q^n)^{-1}$$

$$(1.3) \quad \sum_{n=0}^{\infty} \frac{q^{n(n+1)}}{(q; q)_{2n}} = \prod_{\substack{n=1 \\ n \not\equiv \pm 1, \pm 8, \pm 9, 10 \pmod{20}}}^{\infty} (1 - q^n)^{-1}$$

$$(1.4) \quad \sum_{n=0}^{\infty} \frac{q^{n(n+2)}}{(q; q)_{2n+1}} = \prod_{n=0}^{\infty} \frac{1}{(1 - q^{2n+1})(1 - q^{20n+8})(1 - q^{20n+12})},$$

where $(a; q)_n$ is a rising q -factorial which in general is defined by

$$(a; q)_n = \sum_{i=0}^{\infty} \frac{(1 - aq^i)}{(1 - aq^{n+i})},$$

if n is a positive integer, then obviously

$$(a; q)_n = (1 - a)(1 - aq) \dots (1 - aq^{n-1}),$$

Received by the editors November 2, 1987.
1985 Mathematics Subject Classification: 05A17, 11P80.
© Canadian Mathematical Society 1987.

and

$$(a; q)_{\infty} = (1 - a)(1 - aq)(1 - aq^2) \dots,$$

were interpreted combinatorially as follows:

THEOREM 1.1. *Let $A_1(\nu)$ denote the number of partitions of ν such that the anti-hook differences on diagonal 0 are 0 or 1. Let $B_1(\nu)$ denote the number of partitions of ν in which each part is either odd or congruent to $\pm 4 \pmod{20}$. Then $A_1(\nu) = B_1(\nu)$ for all ν .*

THEOREM 1.2. *Let $A_2(\nu)$ denote the number of partitions of ν such that the anti-hook differences on diagonal -1 are 0 or 1. Let $B_2(\nu)$ denote the number of partitions of ν into parts $\not\equiv \pm 3, \pm 4, \pm 7, 10 \pmod{20}$. Then $A_2(\nu) = B_2(\nu)$ for all ν .*

THEOREM 1.3. *Let $A_3(\nu)$ denote the number of partitions of ν such that the anti-hook differences on diagonal -1 are 1 or 2. Let $B_3(\nu)$ denote the number of partitions of ν into parts $\not\equiv \pm 1, \pm 8, \pm 9, 10 \pmod{20}$. Then $A_3(\nu) = B_3(\nu)$ for all ν .*

THEOREM 1.4. *Let $A_4(\nu)$ denote the number of partitions of ν such that the anti-hook differences on diagonal -2 are 1 or 2. Let $B_4(\nu)$ denote the number of partitions of ν in which each part is either odd or congruent to $\pm 8 \pmod{20}$. Then $A_4(\nu) = B_4(\nu)$ for all ν .*

NOTE. Theorems 1.3 and 1.4 were incorrectly stated in [3].

Later in [2] following the method of [1], n -color partition theoretic interpretations for the same q -identities (1.1)–(1.4) were given in the following form:

THEOREM 1.5. *Let $C_1(\nu)$ denote the number of partitions of ν with “ n copies of n ” such that*

(1.5.a) *even parts appear with even subscripts and odd with odd, and*

(1.5.b) *each pair of parts has nonnegative weighted difference.*

Then $C_1(\nu) = B_1(\nu)$ for all ν .

THEOREM 1.6. *Let $C_2(\nu)$ denote the number of partitions of ν with “ $n + 1$ copies of n ” such that*

(1.6.a) *even parts appear with odd subscripts and odd with even,*

(1.6.b) *each pair of parts has nonnegative weighted difference,*

(1.6.c) *for some i , i_{i+1} is a part, and*

(1.6.d) *the parts are nonnegative.*

Then $C_2(\nu) = B_2(\nu)$ for all ν .

THEOREM 1.7. *Let $C_3(\nu)$ denote the number of partitions of ν with “ n copies of n ” such that*

(1.7.a) *even parts appear with even subscripts and odd with odd subscripts greater than 1, and*

(1.7.b) *the weighted difference of each pair of parts m_i, m_j is either nonnegative or -2 .*

Then $C_3(\nu) = B_3(\nu)$ for all ν .

THEOREM 1.8. Let $C_4(\nu)$ denote the number of partitions of ν with “ $n + 2$ copies of n ” such that

- (1.8.a) even parts appear with even subscripts and odd with odd,
- (1.8.b) each pair of parts has a nonnegative weighted difference,
- (1.8.c) for some i , i_{i+2} is a part,

and

- (1.8.d) the parts are nonnegative.

Then $C_4(\nu) = B_4(\nu)$ for all ν .

Using a technique of [4] we give here a bijective proof of the following:

THEOREM 1.9. For $1 \leq \kappa \leq 4$,

$$A_\kappa(\nu) = C_\kappa(\nu).$$

Before we give the proof of this theorem we recall the definitions of anti-hook differences from [3] and those of partitions with “ $n + l$ copies of n ” and the weighted difference from [4].

DEFINITION 1. Let Π be a partition whose Ferrers graph is embedded in the fourth quadrant. Each node (i, j) of the fourth quadrant which is not in the Ferrers graph of Π is said to possess an anti-hook difference $\rho_i - \kappa_j$ relative to Π , where ρ_i is the number of nodes on the i -th row of the fourth quadrant to the left of node (i, j) that are not in the Ferrers graph of Π and κ_j is the number of nodes in the j -th column of the fourth quadrant that lie above node (i, j) and are not in the Ferrers graph of Π .

DEFINITION 2. A partition with “ $n + l$ copies of n ” $l \geq 0$ is a partition in which a part of size n , $n \geq 0$, can come in $n + l$ different colors denoted by subscripts: n_1, n_2, \dots, n_{n+l} . Thus for example, the partitions of 2 with “ $n + 1$ copies of n ” are:

$$\begin{aligned} &2_1, 2_1 + 0_1, 1_1 + 1_1, 1_1 + 1_1 + 0_1 \\ &2_2, 2_2 + 0_1, 1_2 + 1_1, 1_2 + 1_1 + 0_1 \\ &2_3, 2_3 + 0_1, 1_2 + 1_2, 1_2 + 1_2 + 0_1. \end{aligned}$$

Note that zeros are permitted if and only if l is greater than or equal to 1.

DEFINITION 3. The weighted difference of two parts m_i and n_j , $m \geq n$ is defined by $m - n - i - j$ and is denoted by $((m_i - n_j))$.

2. PROOF OF THE THEOREM 1.9.

Each of these four cases is proved in a similar way. We provide the details for $\kappa = 1$ and sketch the main steps to treat the remainder.

Let Π be a partition enumerated by $A_1(\nu)$. Let

$$\begin{pmatrix} a_1 & a_2 & \dots & a_r \\ b_1 & b_2 & \dots & b_r \end{pmatrix},$$

where $a_1 > a_2 > \dots > a_r \geq 0$, $b_1 > b_2 > \dots > b_r \geq 0$, and $a_1 + a_2 + \dots + a_r + b_1 + b_2 + \dots + b_r = \nu$, be the corresponding Frobenius' notation. Then the anti-hook difference conditions of Theorem 1.1 are equivalent to

$$(2.1.a) \quad a_i \geq b_i, \text{ and}$$

$$(2.1b) \quad b_i \geq a_{i+1} + 1.$$

We now establish a 1-1 correspondence between the ordinary partitions enumerated by $A_1(\nu)$ and the partitions with n copies of n enumerated by $C_1(\nu)$. We do this by mapping each column $\begin{smallmatrix} a \\ b \end{smallmatrix}$ of the Frobenius Symbol to a single part m_i of a partition with n copies of n . The mapping ϕ is

$$(2.2) \quad \phi : \begin{pmatrix} a \\ b \end{pmatrix} \rightarrow \begin{cases} (a + b + 1)_{b-a} & \text{if } a < b \\ (a + b + 1)_{a-b+1} & \text{if } a \geq b, \end{cases}$$

the inverse mapping ϕ^{-1} is then given by

$$(2.3) \quad \phi^{-1} : m_1 \rightarrow \begin{cases} \begin{pmatrix} (m-i-1)/2 \\ (m+i-1)/2 \end{pmatrix} & \text{if } m \not\equiv i \pmod{2} \\ \begin{pmatrix} (m+i-2)/2 \\ (m-i)/2 \end{pmatrix} & \text{if } m \equiv i \pmod{2}. \end{cases}$$

Now for any two adjacent columns $\begin{smallmatrix} a & c \\ b & d \end{smallmatrix}$ in the Frobenius symbol with $\phi\left(\begin{smallmatrix} a \\ b \end{smallmatrix}\right) = m_i$ and $\phi\left(\begin{smallmatrix} c \\ d \end{smallmatrix}\right) = n_j$ (defined by (2.2)) we have

$$(2.4) \quad ((m_i - n_j)) = \begin{cases} 2b - 2c - 2 & \text{if } a \geq b, c \geq d \\ 2a - 2c - 1 & \text{if } a < b, c \geq d \\ 2b - 2d - 1 & \text{if } a \geq b, c < d \\ 2a - 2d & \text{if } a < b, c > d \end{cases}$$

Clearly (2.1.a) and (2.2) imply (1.5.a) and then (2.1.b) and only the first line of (2.4) will imply (1.5.b).

To see the reverse implication we note that by (1.5.a) $m = i, n \equiv j \pmod{2}$ and so under ϕ^{-1}

$$(2.5) \quad a - c = \frac{1}{2}((m_i - n_j)) + i$$

$$(2.6) \quad b - d = \frac{1}{2}((m_i - n_j)) + j$$

$$(2.7) \quad a - b = i - 1$$

$$(2.8) \quad b - c - 1 = \frac{1}{2}((m_i - n_j))$$

Now (2.5) and (2.6) by (1.5.b) guarantee that $a_i > a_{i+1}$ and $b_i > b_{i+1}$. (2.7) implies (2.1.a) and (2.8) by (1.5.b) implies (2.1.b). This completes the proof of $A_1(\nu) = C_1(\nu)$.

For $\kappa = 2$, the anti-hook difference conditions are equivalent to

$$(2.9) \quad b_{i+1} + 2 \leq a_i \leq b_i + 1.$$

The map ϕ is

$$(2.10) \quad \phi \begin{pmatrix} a \\ b \end{pmatrix} \rightarrow \begin{cases} (a+b+1)_{b-a+2} & \text{if } a \leq b+1 \\ (a+b+1)_{a-b-1} & \text{if } a > b+1. \end{cases}$$

and ϕ^{-1} is given by

$$(2.11) \quad \phi^{-1} : m_i \rightarrow \begin{cases} \binom{(m+i)/2}{(m-i-2)/2} & \text{if } m \not\equiv i+1 \pmod{2} \\ \binom{(m-i+1)/2}{(m+i-3)/2} & m \equiv i+1 \pmod{2} \end{cases}$$

For $\kappa = 3$, the anti-hook difference conditions are equivalent to

$$(2.12) \quad a_{i+1} \leq b_i < a_i.$$

The map ϕ is

$$(2.13) \quad \phi \begin{pmatrix} a \\ b \end{pmatrix} \rightarrow \begin{cases} (a+b+1)_{b-a} & \text{if } a < b \\ (a+b+1)_{a-b+1} & \text{if } a > b \end{cases}$$

and the inverse map ϕ^{-1} is given by

$$(2.14) \quad \phi^{-1} : m_i \rightarrow \begin{cases} \binom{(m-i-2)/2}{(m+i-1)/2} & \text{if } m \not\equiv i \pmod{2} \\ \binom{(m+i-2)/2}{(m-i)/2} & \text{if } m \equiv i \pmod{2}, i \neq 1 \end{cases}$$

Lastly, in the case $\kappa = 4$, we see that the anti-hook difference conditions are equivalent to

$$(2.15) \quad b_{i+1} + 3 \leq a_i \leq b_i + 2, a_i \neq 1.$$

The map ϕ is

$$(2.16) \quad \phi \begin{pmatrix} a \\ b \end{pmatrix} \rightarrow \begin{cases} (a+b+1)_{b-a+3} & \text{if } a \leq b+2, a \neq 1 \\ (a+b+1)_{a-b-2} & \text{if } a > b+2 \end{cases}$$

and ϕ^{-1} is given by

$$(2.17) \quad \phi^{-1} : m_i \rightarrow \begin{cases} \binom{(m+i+1)/2}{(m-i-3)/2} & \text{if } m \not\equiv i+2 \pmod{2} \\ \binom{(m-i+2)/2}{(m+i-4)/2} & \text{if } m \equiv i+2 \pmod{2}, m \neq i. \end{cases}$$

In Theorems 1.6 and 1.8 the reason why i_{i+l} ($l = 1$ in Th. 1.6 and $l = 2$ in Th. 1.8) is required as a part and that the parts are nonnegative can be given in a similar way as given in [4, p. 46].

To illustrate the bijections we have constructed we give an example for $\kappa = 1$, $\nu = 7$ shown in the following table:

| Partitions enumerated by $A_1(7)$ | Frobenius Symbol for partitions enumerated by $A_1(7)$ | Image under ϕ i.e., partitions enumerated by $C_1(7)$ |
|-----------------------------------|--|--|
| 7 | $\begin{pmatrix} 6 \\ 0 \end{pmatrix}$ | 7_7 |
| 6 + 1 | $\begin{pmatrix} 5 \\ 1 \end{pmatrix}$ | 7_5 |
| 5 + 1 + 1 | $\begin{pmatrix} 4 \\ 2 \end{pmatrix}$ | 7_3 |
| 4 + 1 + 1 + 1 | $\begin{pmatrix} 3 \\ 3 \end{pmatrix}$ | 7_1 |
| 4 + 2 + 1 | $\begin{pmatrix} 3 & 0 \\ 2 & 0 \end{pmatrix}$ | $6_2 + 1_1$ |
| 3 + 3 + 1 | $\begin{pmatrix} 2 & 1 \\ 2 & 0 \end{pmatrix}$ | $5_1 + 2_2$ |
| 5 + 2 | $\begin{pmatrix} 4 & 0 \\ 1 & 0 \end{pmatrix}$ | $6_4 + 1_1$ |

REFERENCES

1. A. K. Agarwal, *Partitions with "N copies of N"*, Lecture Notes in Mathematics, 1234, Proceedings of the Colloque De Combinatoire Enumerative, University of Quebec at Montreal, (1985), Springer-Verlag, 1-4.
2. ——— *Rogers-Ramanujan identities for n-color partitions*, J. Number Theory, **28** (3), (1988), pp. 299-305.
3. A. K. Agarwal and G. E. Andrews, *Hook-differences and lattice paths*, J. Statist. Plann. Inference, **14** (1986), 5-14.
4. ——— *Rogers-Ramanujan identities for partitions with "N copies of N"*, J. Combin. Theory Ser A, Vol. 45, No. 1, (1987), 40-49.
5. L. J. Rogers, *Second memoir on the expansion of certain infinite products*, Proc. London Math. Soc. **25** (1884), 318-343.

Department of Mathematics
The Pennsylvania State University
(Mont Alto Campus)
Mont Alto, PA 17237, U.S.A.