

# A PROPERTY OF PLANE SETS OF CONSTANT WIDTH

Z. A. Melzak

(received January 16, 1963)

1. It is well known that sets of constant width share several properties with spheres. In this note we consider a simple property of the circle and we show that it is shared by every plane set of constant width. As an application we derive a stronger form of the following theorem of D. Gale, [1]: every plane set of diameter 1 is a union of three sets of diameters not exceeding  $\sqrt{3}/2$ , and this constant is best possible. We shall make free use of the more elementary properties of convex sets and of sets of constant width; for these properties and for the terminology see the standard reference [2], or [3].

2. The class of all plane closed convex sets of constant width will be denoted by  $\mathcal{K}$ . Greek letters will denote scalars and small Latin letters  $o, u, v, \dots$  will denote points in the plane. If  $K$  is a set then  $\mathcal{B}(K)$  and  $\mathcal{D}(K)$  are its boundary and its diameter, respectively. The closed circular disk of radius  $\rho$  about the centre  $u$  will be denoted by  $D_\rho(u)$  and its boundary by  $C_\rho(u)$ . If  $x$  and  $y$  are two points then  $xy$  is the straight segment from  $x$  to  $y$  and  $|xy|$  is its length. Let  $C$  be a closed convex curve, and let  $x$  and  $y$  be two points on  $C$  dividing  $C$  into two arcs of unequal length; then  $C(\overline{xy})$  will denote the shorter arc.

3. Let  $D = D_{1/2}(o)$ , let  $x, y, z \in \mathcal{B}(D)$ , and suppose that  $|xy| = |xz| = \alpha$ . Let  $C = C_\rho(x)$ ; then clearly  $C(\overline{yz}) \subset D$ . We show that this property is shared by every set  $K \in \mathcal{K}$ .

**THEOREM 1.** Let  $K \in \mathcal{K}$ ,  $B = \mathcal{B}(K)$ , and  $x, y, z, \in B$ . Suppose that  $|xy| = |xz| = \alpha$  and let  $C = C_\alpha(x)$ ; then  $C(\overline{y, z}) \subset K$ .

Without loss of generality we may assume that  $\alpha < 1$ , as otherwise  $C(\overline{y, z}) \subset B$ . Let  $u$  be the point antipodal to  $x$ ; if there are several such points let  $u$  be any one of them. We show first that  $u \in B_1$ , where  $B_1$  is that one of the two sub-arcs of  $B$  with the end-points  $y$  and  $z$  which does not contain  $x$ . Suppose that  $u \notin B_1$  and that the points  $x, y, z, u$  are in cyclic order on  $B$ . Since  $xu$  is a diameter of  $K$ , it follows that the angle at  $x$  subtended by  $ux$  and  $yx$  is less than  $\pi/2$ . Let  $C_1 = C_1(w)$  be the circle containing  $x$  in its interior and passing through  $y$  and  $u$ ; since  $K \in \mathcal{K}$  it is known that  $E = C_1(\overline{y, u}) \subset K$ . We observe that as the point  $t$  traverses  $E$  from  $y$  to  $u$  the length  $|tx|$  increases steadily from  $\alpha$  to 1. Hence  $|xz| > \alpha$  which is a contradiction.

We have now  $u \in B_1$ . Let  $E_1$  and  $E_2$  be the arcs defined in the same way as  $E$ , with the end-points  $y$  and  $u$  and  $u$  and  $z$ , respectively. Then  $E_1 \subset K$  and  $E_2 \subset K$ , so that  $K$  contains the closed convex set  $U$  bounded by  $E_1, E_2, xy$  and  $xz$ . It is now a simple matter to verify that  $C(\overline{y, z}) \subset U$ . Hence  $C(\overline{y, z}) \subset K$ .

4. Let  $V$  be a subset of the Euclidean space  $E^n$  and let  $\mathcal{L}(V) = 1$ . Define

$$G_n(V) = \inf \left\{ \alpha: V = \bigcup_{j=1}^{n+1} V_j, \mathcal{L}(V_j) \leq \alpha, j = 1, \dots, n+1 \right\}.$$

It has been conjectured by K. Borsuk [4] that  $G_n(V) < 1$  for every  $V$ . Since every set  $V, \mathcal{L}(V) = 1$ , is a subset of a set of constant width 1, it suffices to consider the latter sets only. Borsuk's conjecture has been proved so far only for  $n = 2$  and  $n = 3$ , [1], [5]. For  $n = 2$  Gale [1] has proved a stronger theorem which may be stated as follows: let  $K \in \mathcal{K}$ , then

$$G_2(K) \leq \sqrt{3}/2; \text{ since } D_{1/2}(o) \text{ cannot be represented as a}$$

union of three sets of diameters less than  $\sqrt{3}/2$ , the constant is best possible.

Let  $K \in \mathcal{K}$ . By a simple continuity argument it is easy to show that there exist equilateral triangles with all vertices on  $\mathcal{B}(K)$ . Let  $X(K)$  denote the side-length of the largest one of all such triangles. Then

**THEOREM 2.** Let  $K \in \mathcal{K}$ , then  $G_2(K) \leq \min\{X(K), \sqrt{3} - X(K)\}$ .

5. Gale [1] and Gruenbaum [5] use in their proofs of Borsuk's conjecture for  $n = 2$  and  $n = 3$  the method of universal sets. A set  $U$  is called universal in  $E^n$  if every set in  $E^n$  of constant width 1 is a subset of  $U$ . In [1]  $n = 2$  and  $U$  is an equilateral triangle of side-length  $\sqrt{3}$ ; in [5]  $n = 3$  and  $U$  is a regular octahedron in which the distance between every pair of opposite walls is 1. In proving Theorem 2 we shall also use the method of universal sets, but instead of considering a single such set for the whole of  $\mathcal{K}$  we shall introduce a one-parameter family of such sets. More precisely, every plane set of constant width one will be a subset of at least one set of the family.

Let  $K \in \mathcal{K}$ , let  $X(K) = a$  and let  $x_1, x_2, x_3$  be the vertices of the equilateral triangle  $T(a)$  of side-length  $a$ , inscribed into  $K$ . The set

$$C(a) = \bigcap_{j=1}^3 D_1(x_j)$$

will be called a caltrop. It follows from the standard properties of sets of constant width that the class of all caltrops  $C(a)$ ,  $0 < a \leq 1$ , is universal for the class  $\mathcal{K}$  in the previously described sense.  $\mathcal{B}(C(a))$  consists of three circular arcs; let their mid-points be  $w_1, w_2, w_3$ . Let  $o$  be the centre of the triangle  $T(a)$ ; the segments  $ow_1, ow_2, ow_3$  divide the caltrop into three congruent sets  $Q_1, Q_2, Q_3$ . By an elementary calculation

$$\mathcal{L}(\mathcal{Q}_1) = \mathcal{L}(\mathcal{Q}_2) = \mathcal{L}(\mathcal{Q}_3) = |w_1 w_2| = \sqrt{3} - a.$$

Since  $K = \bigcup_{j=1}^3 (K \cap \mathcal{Q}_j)$ , we have

LEMMA 1. Let  $K \in \mathcal{K}$ , then  $G_2(K) \leq \sqrt{3} - X(K)$ .

We next prove

LEMMA 2. If  $K \in \mathcal{K}$  and  $T(b) \subset K$  with at least one vertex of  $T(b)$  in the interior of  $K$ , then  $X(K) > b$ .

This is proved by a simple continuity argument. We first move  $T(b)$  so that, remaining in  $K$ , it has two vertices, say  $x_1$  and  $x_2$ , on  $\mathcal{B}(K)$ . Then  $x_2$  is moved in  $\mathcal{B}(K)$  away from  $x_1$ , while  $x_1$  itself is fixed; eventually the third vertex  $x_3$  will cross  $\mathcal{B}(K)$ .

LEMMA 3. Let  $K \in \mathcal{K}$  and  $X(K) = a$ , let  $T(a)$  be an equilateral triangle of side-length  $a$  inscribed into  $K$ , and let  $o$  be its centre. Then  $\max_{x \in \mathcal{B}(K)} |ox| < a$ .

For the radius  $r(K)$  of the inscribed circle  $C$  of  $K$  we have the estimates

$$(1) \quad 1 - 3^{-1/2} \leq r(K) \leq 1/2.$$

Let the vertices of  $T(a)$  be  $x_1, x_2, x_3$ , and let  $y_i = C \cap ox_i$ ,  $i = 1, 2, 3$ . Then

$$|ox_1| \geq \max_{i=1,2,3} |oy_i| \geq r(K),$$

and since  $a = \sqrt{3} |ox_i|$ , we get from (1)

$$(2) \quad a \geq \sqrt{3} - 1.$$

Let  $C_1 = C_1(w)$  pass through  $x_2$  and  $x_3$ , and let  $x_1$  be

inside  $C_1$ . Put  $E = C_1(\widehat{x_2, x_3})$ , so that  $E \subset K$ . A simple calculation yields

$$\min_{v \in E} |ov| = 1 - [(4 - a^2)^{1/2} - 3^{-1/2} a]/2.$$

Hence

$$(3) \quad \min_{x \in \mathcal{B}(K)} |ox| \geq 1 - [(4 - a^2)^{1/2} - 3^{-1/2} a]/2 = f(a)$$

say. Since  $1 - f(a)$  is monotone decreasing, it follows from (2) and (3) that

$$\min_{x \in \mathcal{B}(K)} |ox| \geq f(\sqrt{3} - 1)$$

and so

$$\max_{x \in \mathcal{B}(K)} |ox| \leq 1 - f(\sqrt{3} - 1) < \sqrt{3} - 1.$$

This together with (2) proves the lemma.

Let  $B = \mathcal{B}(K)$ ,  $X(K) = a$ , and let  $x_1, x_2, x_3$  be, as before, the vertices of the triangle  $T(a)$  inscribed into  $K$ .

LEMMA 4.  $\quad \text{Max}_{x, y \in B(\widehat{x_1, x_2})} |xy| = a.$

Let this maximum occur for  $x = u$  and  $y = v$ . Suppose first that  $u \neq x_1$  and  $v \neq x_2$ . Then through  $u$  and  $v$  there pass two parallel supporting lines to  $B(\widehat{x_1, x_2})$ , orthogonal to  $uv$ , and containing the arc  $B(\widehat{x_1, x_2})$  between them. Since neither  $x_1$  nor  $x_2$  lie on these supporting lines, it is clear that a suitable translation will carry the triangle  $T(a)$  into the interior of  $K$ . By Lemma 2 this contradicts the maximality of  $T(a)$ .

Suppose next that  $u = x_1$  but  $v \neq x_2$ . Let  $C = C_\alpha(x_1)$ ; then by Theorem 1  $C(\widehat{x_2, x_3}) \subset K$ . Since, by the hypothesis,  $|x_1 v| > a$ , it follows that by rotating  $T(a)$  about  $x_1$  until

$x_2$  lies on  $x_1v$  we get an equilateral triangle of side-length  $a$ , with a vertex inside  $K$  and the other two vertices in  $K$ . This again contradicts the maximality assumption  $X(K) = a$ .

Hence  $u = x_1$  and  $v = x_2$  and the lemma follows.

LEMMA 5.  $G_2(K) \leq X(K)$ .

Let  $X(K) = a$ , let  $o$  be the centre of  $T(a)$  and  $x_1, x_2, x_3$  its vertices. The segments  $ox_1, ox_2, ox_3$  divide  $K$  into three closed convex sets  $R_1, R_2, R_3$ , with  $R_i$  being disjoint from  $x_i$ . Let also  $B = \mathcal{B}(K)$  and  $B_1 = B(\widehat{x_2, x_3})$ ,  $B_2 = B(\widehat{x_1, x_3})$ ,  $B_3 = B(\widehat{x_1, x_2})$ . Then  $\mathcal{D}(R_1) = \max \left\{ \max_{x \in B_1} |ox|, \max_{x, y \in B_1} |xy| \right\}$ .

Therefore by Lemmas 3 and 4 we have

$$\mathcal{D}(R_1) = \mathcal{D}(R_2) = \mathcal{D}(R_3) = a$$

and the lemma is proved.

Theorem 2 is now an immediate consequence of Lemmas 1 and 5.

6. The author acknowledges gratefully the help of the Canadian Mathematical Congress in the form of a fellowship at the 1961 Summer Research Institute.

#### REFERENCES

1. D. Gale, Proc. A. M. S., 4(1953), 222-225.
2. T. Bonnesen and W. Fenchel, Theorie der konvexen Koerper, Leipzig, (1934).
3. H. Eggleston, Convexity, No.47, Cambridge Tracts, (1958).

4. K. Borsuk, *Fund. Math.*, 20(1933), 177-190.
5. B. Gruenbaum, *Proc. Cambr. Phil. Soc.*, 53(1957), 776-778.

McGill University