Human health evidence in the global treaty to end plastics pollution: A survey of policy perspectives

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ABSTRACT

Background: Science shows mounting global health risks associated with plastics life cycle
 pollution. Leveraging evidence and streamlining research to inform policy is critical to safeguarding
 people and planet.

27 **Methods:** We conducted an electronic survey questionnaire, between 16th April-16th August 2024,

amongst United Nations government delegates developing the Global Plastics Treaty. We explored

29 (1) perceptions and prioritisation of human health evidence, (2) preferred plastics pollution mitigation

30 strategies, (3) priorities for health research. Responses were collected in Qualtrics and analysed using

31 summary statistics, the Fisher's Exact Test, and thematically mapped to the Policy Cycle Framework.

32 **Results:** We received 27 survey responses, balanced by gender and career-stage, including 23

33 countries and all World Bank country income classifications and regions, but greater representation

34 from high-income and European countries. Human health was the highest-ranking concern related to

35 plastics risks (Sum of rank scores (SRS)=54). Most delegates expressed strong conviction in evidence

36 of risks associated with plastics chemicals, polymers, products, microplastics and broader life cycle

emissions. Reducing plastics production (SRS=53) and eliminating chemicals, polymers and products

of concern (SRS=53) were prioritised, even amongst those affiliated with waste management
 departments or less convinced of health risks. We found least regard for recycling as a strategy to

40 protect health (SRS=4-5) and eliminating open burning was the most prioritised downstream measure

40 protect health (SRS=4-5) and eminiating open burning was the most produced downstream measure 41 (SRS=15). Generating quantitative, causal data on risks across plastics life cycles, identifying

42 emerging health hazards, defining criteria, safe lists and substitutes for chemicals, polymers and

43 products were government delegate priorities for research, alongside tools to track policy impacts on

health and greater bilateral communication between scientists and delegations.

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45 **Interpretation:** Health risks of all forms of plastics pollution were a concern for most delegates

- responding to our survey. We identified key priorities for policy-driven research to strengthen the
- science-policy interface and support evidence-based plastics policy that protects human health.

KEY WORDS: Plastic pollution, Human health, Global Plastics Treaty, Life cycle, Science-policy
 interface

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- 52 53

54 IMPACT STATEMENT:55

56 Plastics pollution generated, emitted and released across the entire life cycle of plastics, including 57 chemicals present in plastics and nano and micro-sized plastic particles, is posing human health risks 58 to populations worldwide. The Global Plastics Treaty to end plastics pollution remains under 59 negotiation by more than 175 countries in 2025 and has the potential to shape safer and more 60 sustainable global systems that protect people and planet. Whilst previous surveys have sought to understand public perceptions of plastics pollution and necessary global responses, very little 61 62 documented research has explored the views of United Nations (UN) government delegates 63 negotiating the Global Plastics Treaty. These delegates can play a crucial role in connecting science 64 and policy, fostering cooperation between governments, and advancing evidence-based policy. We 65 conducted a survey amongst UN government delegates to identify their most pressing needs for 66 scientific evidence on health to inform their work. We received responses from 27 UN government 67 delegates with diverse geographic representation (23 countries in six World Bank regions) revealing 68 key priorities for scientific research amongst this group. These priorities included (1) generating 69 quantitative, causal data on health risks across the plastics life cycle; (2) horizon scanning for 70 emerging health hazards; (3) establishing criteria, safe lists and identifying substitutes across plastics 71 chemicals, polymers and products; (4) providing tools to track policy impacts on health; and (5) 72 increasing bilateral communication with policymakers. Our study suggests many government 73 delegates are motivated to engage with scientists to advance their understanding and find safer 74 solutions. We urge independent scientists to respond actively to this opportunity by developing 75 interdisciplinary research agendas driven by these policy priorities, by advancing innovative data 76 systems and analyses that can inform policy within critical decision-making windows, and through 77 engaging with UN government delegations to strengthen the science-policy interface to end global 78 plastic pollution.

79 **1. INTRODUCTION**

80 Ending plastics pollution is an urgent planetary health imperative, integral to protecting global human

health and the wellbeing of future generations (UNGA, 2021). Existing policy, regulation, and
industry initiatives are limited (Lau *et al.*, 2020), and will be entirely insufficient if plastics production

and waste triples, as envisaged by 2060 (OECD, 2022). Leveraging evidence of the human health

84 implications of plastics, ensuring it is available, accessible and appropriate for policy uptake, could

85 drive more ambitious policy that safeguards people and planet.

86

87 Scientific evidence reveals mounting global health risks associated with plastics pollution and its life

cycle emissions (Landrigan *et al.*, 2023). More than 16,000 chemicals have been identified in plastics,

over 4,200 are hazardous because of their persistence, bioaccumulation, mobility, and/or toxicity
 (Wagner *et al.*, 2024). These include endocrine disruptors, carcinogens and mutagens (Wagner *et al.*,

2024) associated with reproductive and developmental disorders, obesity, cancers and other chronic

diseases (Landrigan *et al.*, 2023; Symeonides *et al.*, 2024). Microplastics are pervasive in all

93 ecosystems, in many food sources and food systems (SAPEA, 2019), and have been found in various

human tissues with early evidence of cell damage (Winiarska et al., 2024), changes to the microbiome

95 (Fournier *et al.*, 2023), inflammatory and immune responses (Landrigan *et al.*, 2023). Greenhouse

- 96 gases and air pollutants emitted from plastics industries contribute to climate change and respiratory
- 97 diseases (Deeney et al., 2023; Landrigan et al., 2023). Emissions begin with oil and gas extraction,
- 98 continue throughout polymer and product production processes, and along the entire plastics life
- 99 cycle, including from recycling, all forms of waste (mis)management, and the removal of legacy

100 plastics (Seewoo *et al.*, 2024). Plastics accumulation in the environment may exacerbate the risks of

flooding (Tearfund, 2023) and infectious disease transmission (Maquart *et al.*, 2022; Ormsby *et al.*, 2024) and can page rights to food or fits and convits (TAO, 2021). All second converts the second converts of the second conv

2024), and can pose risks to food safety and security (FAO, 2021). All people are affected by plastics
 pollution; but socio-demographic, geographic and even physiological disparities, including being

within critical stages of childhood development, result in a disproportionate global burden of disease,

poor health and wellbeing (Karasik *et al.*, 2023; Landrigan *et al.*, 2023; UNGA, 2021).

106

107 Despite growing evidence of plastics' health risks, environmental concerns appear to have been the 108 primary driver of policy initiatives to date (Global Plastics Policy Centre, 2022; Mederake and 109 Knoblauch, 2019; Nielsen et al., 2023). Reviews of plastics policies and legislation, including more 110 than 100 national plans, product bans and taxes, producer responsibility schemes, and recycling regulations identified only the Zimbabwean ban on polystyrene packaging (2012) (Global Plastics 111 Policy Centre, 2022), Palau's Plastic Bag Use Reduction Act (2017) and the Solomon Islands 112 National Implementation Plan for the Stockholm Convention on Persistent Organic Pollutants (2018) 113 114 (Farrelly et al., 2020) as explicitly motivated by public health. Environmental concerns were raised 115 twice as often as health concerns in the European Union (EU) parliamentary debate for the adoption 116 of the EU Plastics Strategy and the Single-Use Plastics Directive (Mederake and Knoblauch, 2019). 117 Document analysis revealed these EU policies, and four others including plastic waste amendments to 118 the Basel Convention, were largely informed by scientific evidence (often including evidence published during the year preceding the initiative), but primarily drew on marine litter monitoring 119 data, ecological risk assessment and environmental life cycle assessment (Nielsen et al., 2023).

120 121

122 Since these policies were implemented, much has evolved in science, society and global governance 123 that places greater emphasis on the health implications of plastics. An explosion of research, and the 124 convergence of previously disparate health disciplines, is providing new clarity and syntheses of 125 plastics' manifold health risks (Landrigan et al., 2023). Growing use of One Health (FAO, 2022) and 126 Planetary Health (UNEP, 2024a) approaches explicitly recognises the interdependencies between the 127 environment and human health. Public concern is increasing pressure on policy; a 2024 survey of 128 19,000+ people in 19 countries found that between 77%-85% were concerned about the impacts of 129 plastics on their own health, that of their children and loved ones (Greenpeace, 2024). In global

130 governance spheres, plastics' adverse health effects have been recognised as a human rights issue by

the United Nations (UN) Special Rapporteur on toxics and human rights (UNGA, 2021). In 2022, the

- 132 UN adopted the resolution on the human right to a clean, healthy and sustainable environment
- 133 (UNGA Human Rights Council, 2022), complementing the human right to health (UNGA, 1948).
- 134 These evolutions may pave the way for health evidence as a more powerful catalyst for change and a
- 135 core consideration in the next generation of plastics policy.
- 136

In March 2022, the UN Environment Assembly (UNEA-5.2) adopted an historic resolution to develop 137 138 an international, legally binding instrument to end plastics pollution (UNEP, 2022a). The Intergovernmental Negotiating Committee (INC), comprising representatives from 175 national 139 governments, was tasked with developing the framework (UNEP, 2022a). Human health has become 140 141 a central theme in the ongoing negotiations (Deeney et al., 2022; TESS, 2024), but in order to 142 streamline evidence for policy uptake, a clearer vision of how health evidence is being perceived and 143 used by governments, and their priorities for research to inform policy is needed. Whilst the official 144 standpoint of governments in the INC is relatively well-documented through submissions to the INC 145 web-platforms and observer analysis of live negotiations (IISD, 2024), these statements do not 146 necessarily reveal government views and valuation of health evidence. Engaging with government 147 delegates at the individual as well as the organisational level could provide greater insight into 148 priorities for science. These individuals are at the forefront of developing the treaty and they can play 149 a crucial role in connecting science and policy, fostering cooperation between national governments, 150 and advancing evidence-based action within their own governments. As yet, there is no official 151 science-policy interface for the treaty (Syberg et al., 2024), though many stakeholder groups attend 152 the INC as observers and engage with policy informally, including scientists, civil society groups and 153 industry representatives. Scientists must find ways to focus their efforts on maximising government 154 delegates understanding of available evidence, identifying and correcting mis- and disinformation, 155 responding to government imperatives, utilising the most effective mechanisms for evidence uptake, 156 and documenting approaches where possible (Syberg et al., 2024).

157

To contribute to strengthening the science-policy interface on plastics and to guide effective research agendas for informing policy, our study aimed to (1) understand perceptions and prioritisation of

160 plastics' human health risks amongst government delegates negotiating the Global Plastics Treaty, (2)

161 examine how their views and valuation of health evidence may influence their preferred strategies to

reduce plastics pollution and, (3) identify policy-driven priorities for scientific research and

- 163 communication on human health throughout plastics policy cycles.
- 164

165 **2. METHODS**

166 We conducted an electronic survey questionnaire amongst government delegates of the INC tasked

with developing the Global Plastics Treaty. Ethical approval for this study was obtained on 11th April
 2024 from the Observational Research Ethics Committee of the London School of Hygiene &
 Tropical Medicine (LSHTM Ethics Ref: 29939).

170

171 The questionnaire was developed and piloted by the Study Management Team at LSHTM. Ten

172 questions were designed to assess different aspects of delegate perspectives on health evidence

173 (Supplementary Material). We drew on existing surveys of citizen perspectives of plastics pollution

(Barbir *et al.*, 2021; Davison *et al.*, 2021; Greenpeace, 2024) and the Policy Cycle Framework,

adapted in a report of recommendations for a science-policy interface on plastics (GRID-Arendal,

2023b). Respondents were asked to rank items (1-3 or 1-5) according to priority concerns about
 plastics, preferred information sources and forms of evidence communication, the policy strategies

- 178 they perceived as most promising for protecting human health and their recommendations for research
- agendas. Using Likert scales, respondents indicated their level of concern, conviction and satisfaction

regarding available evidence and estimates of specific health risks across the plastics life cycle

181 (Supplementary Material). Delegates provided further recommendations via free text. We collected

- 182 information on government delegates' gender, country affiliation, their employment position and the
- 183 thematic focus area of their government ministry, department, or agency (e.g. "Environment",

184 "Human Health", "Waste Management"), for which multiple options could be selected and including185 "Other" with free text to provide details.

186

187 The questionnaire was hosted as an interactive webform in Qualtrics. Questions were available in

188 English only, but responses were invited in any preferred language. We envisaged the questionnaire 189 should take no longer than 15 minutes; the median response time was 12 minutes.

190

191 **2.1. Participant recruitment**

192 The UNEP directory of National Focal Points defined the primary target population of our study

193 (UNEP, 2024b). This public repository includes names, employment, and contact details of the

194 government delegates designated as the lead "National Focal Point" for each of the INC government 195 delegations negotiating the treaty (UNEP, 2024b).

196

197 Recruitment was conducted between 16th April – 12th August 2024. We contacted all 255 National

198 Focal Points via email and invited government delegates during science-policy interactions at the

199 INC-4. Four invitations were emailed to all National Focal Points, one additional French translated

200 email was sent to all National Focal Points of francophone countries, and personalised emails to

201 government delegates where appropriate. All received the Survey Recruitment Email with a link to the

202 online questionnaire, where the Survey Respondent Information and Consent was detailed and

203 obtained (Supplementary Material). The questionnaire was available for government delegates to

- 204 respond to between 16^{th} April 16^{th} August 2024.
- 205

206 **2.2. Data protection and confidentiality**

207 Respondent confidentiality was protected in accordance with the Data Protection Act. Access to the

208 questionnaire was via anonymous weblink, which prevented multiple submissions but did not record

209 IP addresses, locations or contact information. All identifying data were anonymised, including

assigning country affiliations to the respective World Bank Country Income Classification and region, and coding specific employment positions according to early-, mid- or senior-level policy or

and coding specific employment positions according to early-, mid- or senior-level policy

- diplomatic career stages for the purpose of analysis and reporting.
- 213

214 2.3. Statistics and Data Analysis

215 Data were analysed using summary statistics and simple frequency distributions for Likert scales.

216 Ranked responses were assigned weighted numeric values (i.e. 1st choice=3, 2nd choice=2, 3rd

217 choice=1) to calculate the sum of weighted rank scores for each rank position (pRS) and overall for

each response category (SRS). The Fisher's Exact Test (FET) was used to assess associations between

respondents' affiliated country income classification, region, gender and career-stage (subsequently

220 referred to collectively as 'respondent characteristics' unless individually specified), particular

thematic focus areas of their ministry, department or agency and between categories of responses. We

222 conducted thematic analysis of free text responses, translating those provided in languages other than 223 English with review by multiple study authors, to identify common themes in government delegate

225 English with review by multiple study authors, to identify common themes in government delegate 224 priorities for research, using the Policy Cycle Framework (GRID-Arendal, 2023b) to synthesise

- recommendations. Data presented is available in the **Supplementary Material**.
- 226

227 **3. RESULTS**

228 **3.1 Respondent characteristics**

229 We contacted all 255 National Focal Points and additional government delegates corresponding to a

total 153 governments and four multi-state groups. We received 44 survey initiations, 27 delegates

231 (affiliated with 23 different countries) submitted responses to most questions. The response rate

equates to 10% of National Focal Points, though other government delegates may have been included,

- and 15% of countries contacted. None withdrew consent during the study.
- 234

235 All World Bank regions and country income classifications were represented to some extent.

236 Affiliations with countries in Europe and Central Asia were most frequent (n=10 respondents from ten

237 different countries), followed by Sub-Saharan Africa (n=6 respondents from five countries) and Latin

America and the Caribbean (n=5 respondents from four countries), with just six respondents

associated with four countries across East Asia and Pacific, South Asia, and the Middle East and

240 North Africa. Across all regions, low-income countries were underrepresented (n=2 respondents from

two countries) (Figure 1).

242

243 244 [Insert Figure 1]

Respondent gender was balanced (Figure 1). Employment information provided suggested
respondents held early-career policy roles (n=5), mid-level (n=8) and senior diplomatic and policy
roles (n=5). Others provided educational status, particular appointments (non-specific to career stage)
and senior diplomatic (n=0). Must indicate the theorem are softheir ministry department or

or no information (n=9). Most indicated the thematic focus area of their ministry, department or agency related to the environment (n=16), or waste management and pollution control (n=12).

250 Climate change was a common theme across organisations with more than one thematic focus (n=8),

and others included sustainable development (n=5), marine and ocean (n=4), energy and natural

resources (n=4), international affairs (n=4), technology and innovation (n=1), agriculture and food

253 (n=1) and water and sanitation (n=1). Only three indicated a human health focus of their role or 254 organisation.

255

3.2 Perceptions and prioritisation of the human health implications of plastics

Human health was the leading concern related to risks associated with plastics systems, products, polymers, and chemicals, based on the sum of weighted rank scores of respondents' top three concerns (SRS=54) (Figure 2). This was followed by ecosystems and biodiversity (SRS=42) then climate change and air pollution (SRS=34). Five respondents were primarily concerned for food systems and safety (pRS=15), just one ranked human rights as their foremost concern (pRS=3), and economic and employment risks were among the top three for four delegates (SRS=5).

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271

Unsurprisingly, respondents with an organisational focus on health ranked human health as their primary concern. For others prioritising health, there was no discernible pattern by country income classification (FET: p=1), region (FET: p=0.57), gender (FET: p=0.85) or career-stage (FET: p=0.67). Only two delegates did not rank human health within their top three concerns, focusing instead on (1) ecosystems and biodiversity, (2) climate change and air pollution, and (3) economy and employment, and (1) human rights, (2) climate change and air pollution, and (3) food security and food safety.

[Insert Figure 2]

272 273 Despite differing priorities, on average, respondents expressed strong concern for plastics' health risks 274 when prompted in the questionnaire (Figure 3A). Most were 'very concerned' about the risks of 275 products and polymers (n=18), chemicals (n=19) and emissions associated with plastics life cycles (n=19). One respondent was 'neither concerned nor unconcerned' about products and polymers, but 276 277 was 'very concerned' about life cycle emissions. Conversely, another was 'neither concerned nor 278 unconcerned' about life cycle emissions but was 'somewhat concerned' about products, polymers and 279 chemicals. None expressed lack of concern in any category. We found no evidence of an association 280 between being 'very concerned' about all items and any respondent characteristics including country 281 income classification, region, gender and career-stage (FET: p=0.65-1.00).

282

Similarly, all delegates reported strong conviction in available evidence of at least some of the
 specific health risks associated with plastics (Figure 3B). In particular, 88% were 'very convinced'
 that macroplastics pollution poses risks for food security and biodiversity, and 81% of respondents
 were 'very convinced' that plastics pollute across their life cycle. There was strong conviction (96%)

287 in microplastics identification in human tissues and associated health risks and no respondent

288 expressed doubt in the presence of chemicals of concern in plastics. We found greater variation and

lower overall confidence in statements on the health risks of recycling and reuse. One delegate was
 'somewhat unconvinced' of plastics production worker health risks and the energy intensiveness and
 toxic emissions of chemical recycling.

- 292
- 293 294

[Insert Figure 3]

295 Human health effects expressed as the number of lives lost was perceived as the most impactful 296 evidence framing overall (SRS=60), followed by morbidity and mortality, which refers more broadly 297 to the years of healthy life lost in a population as a result of premature death and living with disease or 298 disability (SRS=49), with economic terms scoring lowest as the sum of weighted rank scores 299 (SRS=47) (Figure 4A). However, seven respondents (26%) ranked morbidity and mortality as most 300 impactful, and another seven (26%) ranked economic terms first, indicating some difference of 301 opinion. Whilst those with a preference for the economic framing were all from high or upper-middle 302 income countries, we found no statistical association with country income classification (FET: 303 p=0.69), or other respondent characteristics (FET: p=0.30-0.88). Scientific journal publications 304 (SRS=47), discussions with scientists (SRS=45), and policy briefs (SRS=36) were reported to have 305 been the most useful sources of information overall (Figure 4B). Industry reports (SRS=7) and social

306 media (SRS=5) scored lowest and were the first choice for none.

- 307 [Insert Figure 4] 308 309 3.3 Preferred strategies to reduce plastics pollution and protect human health 310 Overall, plastics production reduction (SRS=53) and elimination of chemicals, polymers and products 311 of concern (SRS=53) were perceived as the most promising strategies for protecting human health in 312 the context of reducing plastics pollution (Figure 5). Even amongst 11 respondents from ministries, 313 departments or agencies with a focus on waste management and pollution control, seven (64%) 314 selected production reduction as their first order priority, and all but one included it in their top three. 315 Material substitutes (e.g. glass, metal and paper) were ranked amongst the top three strategies by half 316 of respondents (SRS=23). Bio-based alternatives (SRS=12) scored lower overall than material substitutes, but six respondents ranked this strategy amongst their top three choices, and two saw this 317 318 as the most promising approach, which did not appear to be associated with respondent characteristics (FET: p=0.25-0.41) or their priority concerns. Although delegates expressed lower overall confidence 319 320 in the evidence for the health risks of mechanical and chemical recycling, neither did they prioritise 321 these strategies highly for protecting human health (RS=5 and RS=4 respectively). 322 323 We found no evidence of an association between participant characteristics and the prioritisation of
- 324 upstream measures, including (1) production reduction, (2) elimination of chemicals, polymers and 325 products of concern, and (3) polymer and chemical simplification (FET: p=0.23-1.00). Upstream 326 measures were prioritised even by participants who were 'neither concerned nor unconcerned' about 327 products, polymers, or life cycle emissions, and 'somewhat unconvinced' about risks to production 328 workers and from chemical recycling, and amongst the top three strategies for the respondent who 329 was 'not at all convinced' by risks of reusing and recycling plastics. For those whose primary concern 330 was human health, 78% prioritised upstream measures, but a third saw eliminating open burning as 331 equally, or in one case, more promising (though production reduction still ranked second). Prioritising 332 elimination of open burning within the top three strategies did not appear to be associated with 333 country income classification (FET: p=0.46) or region (FET: p=0.38).
- 334 335

336

[Insert Figure 5]

337 3.4 Policy priorities for scientific research and evidence communication on the human health 338 risks of plastics

Most respondents agreed that there was sufficient evidence of plastics' health *risks* to inform policy decisions (89%) though four disagreed. In relation to plastics' *benefits*, there was greater divergence

341 in opinions. A third of respondents did not agree that this evidence was sufficient to inform policy 342 decisions, four of which expressed strong disagreement. We found no evidence of an association 343 between perceptions of evidence of risks or benefits and respondent characteristics (FET: p=0.35-344 1.00) or their preferred sources of information (FET: p=0.19-0.20). 345 To help guide research agendas, delegates were asked to rank categories based on the Policy Cycle 346 347 Framework according to where they felt evidence was most needed to inform policy: (1) filling 348 existing data gaps, (2) horizon scanning, (3) policy formulation, (4) policy implementation, and (5) monitoring and evaluation (GRID-Arendal, 2023b). Half of respondents provided further qualitative 349 350 recommendations for health scientists (n=14). We analysed qualitative responses thematically, 351 mapping them to the same Policy Cycle Framework categories. 352 353 Overall, research aligning with early stages of the Policy Cycle Framework was prioritised by 354 respondents, as assessed by the sum of weighted rank scores. This included (1) filling existing data 355 gaps (SRS=102) and (2) horizon scanning for evidence of emerging health risks (SRS=85). 356 Respondents raised the importance of generating quantitative evidence of health impacts, including *cause-and-effect* relationships, and greater consideration of people who are most vulnerable and 357 358 disadvantaged. Evaluating health risks of all forms of plastics pollution and throughout plastics life 359 cycles was suggested, including providing a greater understanding of the health risks of plastics 360 recycling and reuse, and developing tools to capture these risks in life cycle assessment (LCA). 361 362 "Human health scientists should, in my opinion, focus on [...] the effects of all kinds of plastics 363 pollution." Respondent, Subsaharan Africa region, male. 364 365 "Detailed research and scientific evidence-based proof of health risk throughout the life cycle of plastic needs to be done." Respondent, South Asia region, male. 366 367 368 "It is imperative to develop instruments that can inform LCA analysis on all risks connected to plastic production, use, reuse and recycling" Respondent, Europe and Central Asia region, prefer not to say. 369 370 371 Evidence to inform the third Policy Cycle Framework category of policy formulation, which we 372 suggested could include scientific criteria for health hazards, pollution control measures and policy trade-off analyses, also scored highly overall (SRS=88). Respondents recommended developing 373 criteria for polymers and chemicals, and three requested more information on available plastics and 374 375 chemical substitutes. Two delegates suggested "positive lists" for chemicals and polymers would be 376 particularly important. 377 378 "Scientists should provide classification criteria for primary plastic polymers and chemicals for the 379 INC to inform the adoption of provisions that will facilitate the elimination of plastics pollution" 380 Respondent, Subsaharan Africa region, male. 381 382 "...information related to the substitution of plastic or chemical products in essential plastics, such as 383 those in the health sector." Respondent, Latin America and the Caribbean region, female. 384 385 "Chemicals of concern discussion is filled with uncertain information from different sources, so 386 comprehensive study (positive list creation, for example - which chemical is safe to use?) is 387 appreciated." Respondent, East Asia and Pacific region, female. 388 389 "Positive list of safe polymers and additives would be most helpful" Respondent, Europe and Central 390 Asia region, male. 391 392 Delegates highlighted the need for more information on specific strategies for reducing pollution that 393 could be adopted in national and international regulation, and recommended producing estimates of 394 the cost of inaction - "linking that cost to (the absence of) specific measures" (Respondent, Europe 395 and Central Asia region, female). We received calls for stronger and more balanced inclusion of

396 health in the Global Plastics Treaty text and building on synergies with climate and tobacco control

397 policies. The final stages of the Policy Cycle Framework - (4) implementation and (5) monitoring and

398 evaluation - were lower order priorities overall (SRS=28 and SRS=17 respectively), though one 399 respondent recommended developing tools that could be easily applied to track policy impacts on

399 respondent recommended developing tools that could be easily applied to track policy impacts on 400 human health.

401

402 Other recommendations reflected aspects of a broader supportive policy environment, including the 403 need for capacity building, in particular relating to technology transfer, and increasing policy 404 engagement by health scientists. One suggested that policy makers are not sufficiently aware of 405 plastics health hazards and recommended using "as vivid examples as possible, [...] numbers are very powerful - both, related to diseases and to costs" (Respondent, Europe and Central Asia, female). 406 407 Two respondents mentioned engaging bilaterally and regionally with delegations, one specifically raised the importance of multilingual scientific communication of health risks (Respondent, Europe 408 409 and Central Asia, female).

410

411 **4. DISCUSSION**

412 We explored government delegate perspectives and priorities for evidence of plastics' human health implications in the context of the development of the Global Plastics Treaty. Our survey respondents 413 414 included a balance of genders and career-stages, though certain regions and lower-income countries 415 were underrepresented. Human health was the highest-ranking concern related to the risks of plastics, 416 over environmental and economic issues. All delegates were concerned about the health risks of plastics chemicals, most were convinced by health risks associated with microplastics and those 417 418 resulting from plastics life cycle contributions to climate change, air pollution and chemical toxicity. 419 Reducing plastics production and eliminating chemicals, polymers and products of concern were 420 highly prioritised strategies to protect human health, even amongst delegates affiliated with waste 421 management and pollution control ministries, agencies or departments, and those less convinced or 422 concerned by evidence for plastics' health risks. We found more diverse perceptions of the health 423 risks of plastics recycling and reuse, and lowest regard for recycling as a strategy to protect human 424 health. More delegates ranked material substitutes within their top three strategies than plastics 425 alternatives (i.e. bio-based plastics). Eliminating open burning was the most prioritised downstream measure, particularly amongst those concerned primarily by human health, though increasing existing 426 427 waste management capacity, reducing waste trade, and pollution remediation also featured. Whilst delegates largely found evidence of health risks sufficient to inform policy decisions, many identified 428 429 filling existing evidence gaps and horizon scanning for emerging health hazards as research priorities. 430

Government delegates expressed views broadly aligned with scientific consensus on plastics' human
health implications and mirroring high levels of risk awareness reported amongst members of the
public in Europe and Australia (n=15,179) (Davison *et al.*, 2021). In the case of plastics chemicals,

434 strong and growing evidence reveals links to reproductive and developmental disorders,

435 neurotoxicological effects, obesity, cancers and other chronic diseases, even at low levels (Lambré et

436 al., 2023; Landrigan et al., 2023; Maffini et al., 2021). Several recent scientific publications (Geueke

437 *et al.*, 2024; Symeonides *et al.*, 2024; Trasande *et al.*, 2024; Wagner *et al.*, 2024) have provided

policy-relevant, robust data on the *quantities* of chemicals of concern in plastics or *quantitative*

associations with particular disease outcomes, using simple and definitive messaging and conveying
 complexity, all of which are considered important for influencing policy (Oliver and Cairney, 2019).

- 441 This is particularly pertinent given delegates reported preference for scientific publications as a source
- 442 of information in our survey. Mainstream media is potentially more influential amongst the public

443 (Barbir *et al.*, 2021) and can be an important proponent of raising awareness. For the nascent field of

- research on human health implications of microplastics, which is receiving significant media
- 445 attention, caution is needed to communicate that the biological effects are not yet fully understood
- 446 (Thompson *et al.*, 2024). Building relationships between scientists and journalists can ensure accurate 447 and timely science reporting to amplify public knowledge and motivation for change.
- 448

449 In addition to direct health concerns, statements reflecting plastics' contribution to the triple planetary

450 crisis (i.e. pollution, climate change and biodiversity) received strong agreement in our survey. This 451 could be connected to repeat messaging from reputable sources including scientific publications

452 (Carney Almroth *et al.*, 2022; Persson *et al.*, 2022), NGO reports (GRID-Arendal, 2023a), policy

453 briefs (Scientists' Coalition for an Effective Plastics Treaty, 2024a) and UNEP communications

454 (UNEP, 2022b, 2023), which have emphasised plastics' planetary health impacts, potentially

455 leveraging different facets of delegate concerns (Oliver and Cairney, 2019). These concerns could also

- be related to delegates' existing expertise (Oliver *et al.*, 2014; Oliver and Cairney, 2019), given their
- 457 affiliations with organisations focusing predominantly on the environment and climate change. We
- found diverse preferences for evidence communication in our sample and one delegate suggested that it "depend[s] on who you are talking to". Evidence uptake will likely be accelerated if scientists can

460 generate, situate and translate evidence for different concerns, addressing the existing knowledge of

- 461 policymakers (Oliver and Cairney, 2019).
- 462

463 Support for reducing plastics production and eliminating chemicals, polymers and products of concern 464 may be partly due to a highly motivated, self-selected sample of delegates in our survey, but this also 465 reflects broader support for upstream measures to address plastics pollution, expressed firmly by 466 scientists (*Scientists' Declaration*, 2024) and by many governments (Centre for Science and 467 Environment, 2024). The support from delegates with a focus on waste management and pollution 468 control, and those expressing lower levels of concern or conviction in plastics' health risks may 469 indicate motivations other than human health for reducing plastics production, possibly including

470 reducing burdens on waste management processes and other environmental, social or economic

- 471 impacts of plastics pollution.
- 472

473 What may remain less clear to delegates, is how to ensure the *responses* to plastics pollution, such as 474 reducing or replacing plastics, protect and promote health. Both in our survey and through the INC 475 intersessional technical working groups, delegates have requested scientific criteria and 'positive lists' 476 for plastics chemicals, polymers and/or products, and more information on safe substitutes (TESS, 477 2024). In an analysis of international regulation of other chemical pollutants, the availability of viable 478 alternatives was found to determine support for strict regulation, more so than evidence of harms to 479 the environment or humans (Aanesen et al., 2024). It is important however, that strategies higher in 480 the waste hierarchy (including redesign, reduction and reuse), aligning with the prevention principle (UNGA, 2021), take precedence over the search for safer and more sustainable alternatives, though 481 482 the latter is a critical area of active research. The Essential-Use Concept can guide the systematic 483 phase-out of hazardous and unsustainable plastics chemicals, polymers and products by prioritising the removal of unnecessary applications whilst ensuring any essential functions for health, safety and 484 485 society are maintained through safer, more sustainable alternatives. Or, where no alternative is 486 available or feasible, with careful regulation, time-bound exemptions accompanied by risk 487 minimisation, planning and resourcing for their timely phase-out (Scientists' Coalition for an 488 Effective Plastics Treaty, 2024b).

489

490 Science-policy collaborations will be essential to exploring and selecting appropriate, evidence-based 491 policy responses (Oliver and Cairney, 2019). Recycling, reuse, material substitutes and alternatives 492 (i.e. bio-based plastics) require particular focus. These categories of approaches include a range of 493 complex materials, technologies and systems that require specialist knowledge and comprehensive 494 evaluation to mitigate burden-shifting. Delegates may be exposed to mixed messaging on these topics, 495 creating confusion or uncertainty, particularly in the context of rapid technological innovation and 496 emerging scientific evidence, and due to deliberate industry misinformation campaigns, for example 497 around the benefits of plastics recycling (UNGA Human Rights Council, 2021). At the INC-4, fossil 498 fuel and chemical industry representatives outnumbered registrations from 87 of the smallest 499 government delegations combined (CIEL, 2024). Ensuring access to independent science, free of 500 conflict-of-interest, is critical for policy decisions that are based on robust evidence and the 501 Precautionary Principle where evidence is emerging to protect human health (UNGA Human Rights 502 Council, 2021). This is supported by a growing number of statements from governments at the INC

science-policy interface, with strict mechanisms for declaring and managing any conflicts-of-interest,
 that can support the implementation, monitoring and evaluation of the Global Plastics Treaty (Syberg
 et al., 2024).

507

508 **4.1 Strengths and Limitations**

509 Our questionnaire was informed by existing surveys and used the theoretical framing of the Policy

510 Cycle Framework to structure the questions and analyse responses. We designed the survey as a form

511 of evidence dissemination and collaborative research agenda setting (Oliver and Boaz, 2019). Whilst 512 we cannot formally assess impact, survey recruitment facilitated informal science-policy engagement

512 we cannot formally assess impact, survey recruitment facilitated informal scie 513 and stimulated further information requests from government delegates.

514

Our findings may not be representative of government delegate perspectives because of the relatively 515 516 small number of respondents that likely reflects individuals particularly motivated by health concerns and science-policy exchange. We had limited statistical power to detect trends by categories of 517 518 respondent characteristics, which in themselves generalise the complexity of influences on individual 519 perceptions and values. The underrepresentation of low-income countries, and certain geographic 520 regions, is an important limitation. Our findings could have differed substantially if we had received 521 more responses from government delegates affiliated with countries for which open burning is a 522 particular issue for example, major importers of plastic, or countries where plastics pollution has more immediate and/or acute impacts on food security, typically associated with lower-income economies 523 524 (Knoblauch et al., 2018). Similarly, analysis by World Bank country income classifications and 525 regions obscures highly heterogeneous and unique national challenges. We did not receive sufficient 526 responses to create more disaggregated classifications, for example for Small Island Developing 527 States, whose experiences are poorly reflected by World Bank country classifications, and require particular focus and consideration. Overcoming barriers to participation, including delegate time, 528 529 funding, other resource constraints and linguistic barriers, within all forms of science-policy 530 engagement is critical to understanding diverse challenges and perspectives to guide effective research and policy (Oliver et al., 2014).

531 532

533 Our results may be influenced by social desirability bias and the unobscured focus of the survey on

534 human health, made clear in the research objectives. We did not randomise response options, 535 potentially biassing responses towards those appearing first. The survey was available in English only, 536 which may have limited participation and broadening multilingual engagement emerged as a delegate 537 priority within our survey. Concern and prioritisation of human health in itself should not be interpreted as a proxy or determinant of policy decisions. Government delegates are subject to broad 538 539 geo-political decision-making hierarchies, in which scientific evidence is amongst a range of complex 540 and dynamic influences on decisions, not least the economy and the brevity of most political cycles 541 (Oliver et al., 2014). The Policy Cycle Framework is useful for framing evidence required at different 542 stages within policy cycles, but a simplified depiction of a much more complex, non-sequential 543 process (Oliver and Cairney, 2019). Despite these limitations, our results show that many delegates 544 are willing to engage with health science outside of their existing pressures and obligations and to be

- active partners in developing research agendas for advancing understanding and preventing human
- 546 health harms from plastics (Oliver and Boaz, 2019).
- 547

548 4.2 Conclusion

549 Our study revealed high levels of concern and conviction in scientific evidence of the health risks

associated with all forms of plastics pollution and emissions amongst most government delegates

responding to our survey. Science appeared to play an important role as the preferred source of

552 information that informs knowledge amongst these government delegates, which may in turn

553 contribute to their support for upstream measures to reduce plastics pollution and protect human

- health. Government delegates indicated several priorities to streamline research agendas to better
- 555 inform policy and to encourage collaboration at the science-policy interface. These priorities included
- 556 (1) generating quantitative, causal data on health impacts across the plastics life cycle; (2) horizon

scanning for emerging health risks; (3) establishing criteria, safe lists and identifying substitutes 557 across plastics chemicals, polymers and products; (4) providing tools to track policy impacts on 558 559 health; and (5) greater bilateral and multi-lingual engagement and communication with policymakers. Increasingly, scientists are required to be agile knowledge generators, communicators and translators 560 561 within the multi-stakeholder, interdisciplinary, dynamic and often polemic nexus of plastics and health. Establishing a formal science-policy interface under the new plastics treaty, that addresses 562 563 barriers to participation and mitigates conflict-of-interest, would provide an important bidirectional, transparent, communication platform that streamlines evidence-based policy formulation, 564 565 implementation and monitoring and evaluation, guiding both research and policy that ultimately 566 protects and promotes global human health.

567 568

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572

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589 **Data Availability statement:** The authors confirm that the data supporting the findings of this study 590 are available within the article and its supplementary materials. Personal and identifying data has not 591 been made available for reasons of confidentiality and in accordance with the ethical approval of this 592 study.

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- 799 800

801 Figure captions:

802

Figure 1. Respondent Characteristics. Government delegate survey respondents characterised by
 (A) World Bank country income classification and (B) World Bank country region classification of

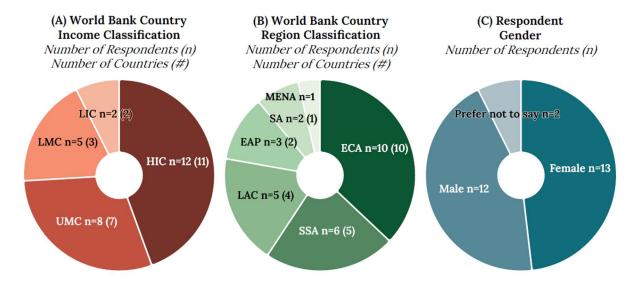
respondents' country affiliations, and (C) gender as reported by respondents. Abbreviations: High-

806 income countries (HIC), Upper-middle-income countries (UMC), Lower-middle-income countries

807 (LMC), Low-income countries (LIC), Middle East and North Africa (MENA), South Asia (SA), East

Asia and Pacific (EAP), Europe and Central Asia (ECA), Latin America & the Caribbean (LAC),

809 South Asia (SA), Sub-Saharan Africa (SSA).



- 812 Figure 2. Primary areas of concern in terms of the risks associated with plastics systems,
- 813 products, polymers, and associated chemicals. Respondents were asked to rank their top three areas
- 814 of concern from the list of provided categories indicated in the bar chart including an option for
- 815 'other' with free text (Total respondents=26, n=4 respondents selected more than three areas of
- 816 concern, no respondent selected 'other'). Ranked responses were assigned weighted numeric values
 817 (1st choice=3, 2nd choice=2, 3rd choice=1) to calculate the sum of weighted rank scores for each rank
- 817 (1st choice=5, 2nd choice=1) to calculate the sum of weighted tank scores for each tank 818 position (values within bars) and overall for each response category (SRS). The SRS represents the
- total score for each area of concern based on respondents' 1st, 2nd and 3rd choices (indicated to the
- right of each bar). For example, human health was selected as 3rd choice by 3 participants (multiplied
- by 1 = 3), 2nd choice by 12 respondents (multiplied by 2 = 24) and 1st choice by 9 respondents
- 822 (multiplied by 3 = 27), generating an overall SRS of 54.

What are your current primary areas of concern in terms of risks associated with plastic systems, products, polymers, and associated chemicals?



825 Figure 3. Levels of concern and conviction in evidence for the human health risks associated

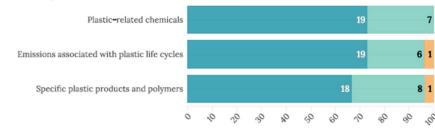
with plastics. (A) Reported levels of concern about the human health risks of specific plastics
 products and polymers, plastics-related chemicals, and emissions associated with plastics life cycles

indicated by selection of one option from a five-point Likert scale: 'Very concerned', 'Somewhat

concerned', 'Neither concerned nor unconcerned', 'Somewhat unconcerned', 'Not at all concerned'

- 830 (Total respondents=26-27 for different items). (**B**) Reported levels of conviction in the evidence for
- 831 each sub-item listed in the bar chart, as indicated by selecting one option from a five-point Likert
- 832 scale: 'Very convinced', 'Somewhat convinced', 'Neither convinced nor unconvinced', 'Somewhat
- unconvinced', 'Not at all convinced' (Total respondents=24-26 for different items). Number of
- 834 participants selecting each option are indicated within bars and scaled to represent 100% of 835 respondents for each question sub-item.

(A) How concerned are you about the human health risks of...



Very Concerned Somewhat Concerned Neither Concerned Nor Unconcerned

(B) How convinced are you by the evidence for these human health risks?

(-,		
Macroplastic pollution is associated with damages to biodiversity and reduced food security.	21	3
Microplastics have been identified in human blood, breast milk, lung, placenta, and arterial tissues. The latter has been associated with increased risk of heart attack, stroke, and death.	20 3	1
Plastic pollutes at each stage of its life cycle, from extraction to disposal, contributing to human health risks associated with climate change, air pollution and toxic chemical emissions.	21 4	1
Over 4200 chemicals of concern (based on persistence, bioaccumulation, mobility, and toxicity) are present in widely used plastics.	19	6
Human food sources are contaminated with chemicals and microplastics from production environments, processing equipment, domestic environments and food packaging.	18 5	1
More than 90% of plastic production is fossil-based. Coal miners, oil and gas field workers suffer increased mortality from injury, cardiopulmonary diseases and lung cancer.	17 6	1
Climate change impacts associated with plastics are mainly driven by virgin plastic production processes.	17 8	1
The informal waste sector contributes 60% of global plastic recycling, with up to 20 million people worldwide working in unsafe, unhygienic conditions on the frontline of plastic waste.	16 7	2
Open burning of waste accounts for a third of fine particulate matter pollution in the air and is a major contributor to premature deaths from respiratory disease.	15 7	3
Millions of people are at risk of plastic aggravated flooding worldwide, increasing the risk of Cholera and gastroinstestinal infections.	13 7	4
Chemical recycling is energy-intensive, generates higher greenhouse gas emissions than mechanical recycling, and releases hazardous chemicals.	13 9 2	1
People residing near plastic production sites experience increased risks of premature birth, low birth weight, childhood leukemia, and cardiopulmonary diseases.	12 10	3
Reusing and recycling plastics can lead to unintended health risks, because hazardous chemicals can be released during reuse and accumulate during recycling.	10 12 3	1
c	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	100
Very Convinced Som	ewhat Convinced Neither Convinced Nor Unconvinc	ed

Very Convinced
 Somewhat Convinced
 Neither Convinced Nor Unconvinced
 Somewhat or Very Unconvinced

837 Figure 4. Perceptions of evidence communication terminologies and reported usefulness of different sources of evidence for informing government delegates' understanding of the effects 838 of plastics on human health. (A) Types of quantitative evidence communication ranked according to 839 840 how impactful government delegates perceived these terms to be. Respondents were asked to rank the three types of evidence communication provided from 1st choice = most impactful to 3rd choice = 841 842 least impactful (Total respondents = 26). Notes: The number of lives lost is the simple count of lives 843 lost in a population, whereas morbidity and mortality refer more broadly to the years of healthy life 844 lost in a population as a result of premature death and living with disease or disability. (B) Sources of 845 information ranked according to reported usefulness for informing current understanding amongst 846 government delegates. Respondents were asked to rank their top three sources of information 847 according to which have been most useful in informing their understanding (Total respondents=27, 848 n=7 respondents ranked more than three categories, and one provided only their first choice). Ranked 849 responses were assigned weighted numeric values (1st choice=3, 2nd choice=2, 3rd choice=1) to 850 calculate the sum of weighted rank scores for each rank position (values within bars) and overall for 851 each response category (SRS). The SRS represents the total score for each option based on 852 respondents' 1st, 2nd and 3rd choices (indicated to the right of each bar). For example, 'number of 853 lives lost' was selected as 3rd choice by n=4 participants (multiplied by 1 = 4), 2nd choice by n=10respondents (multiplied by 2 =, 20) and 1st choice by n=12 respondents (multiplied by 3 = 36), 854

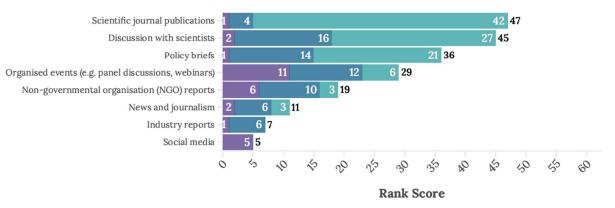
855 generating an overall SRS of 60.



(A) What type of quantitative evidence communication do you find more impactful?



(B) Which sources of information have been most useful for your understanding of the effects of plastic on human health?



858 Figure 5. Strategies perceived as the most promising for reducing plastics pollution and

859 protecting human health. Respondents were asked to rank the top three strategies, out of the list 860 provided, which in their opinion would be most promising for protecting human health: 1st choice =

861 most promising, 2nd choice = second most promising, 3rd choice = third most promising (Total

respondents = 26, n=6 respondents ranked more than three strategies). Ranked responses were

assigned weighted numeric values (1st choice=3, 2nd choice=2, 3rd choice=1) to calculate the sum of

- 864 weighted rank scores for each rank position (values within bars) and overall for each response
- category (SRS). The SRS represents the total score for each strategy based on respondents' 1st, 2nd
- and 3rd choices (indicated to the right of each bar). For example, 'Production reduction' was selected
- as 3rd choice by n=3 participants (multiplied by 1 = 3), 2nd choice by n=7 respondents (multiplied by 2 = 14) and 1st choice by n=12 respondents (multiplied by 3 = 36), generating an overall SRS of 53.

In your opinion, which strategies seem the most promising for protecting human health in the context of reducing plastic pollution?

