

## CAUCHY–MIRIMANOFF AND RELATED POLYNOMIALS

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### Abstract

In 1903 Mirimanoff conjectured that Cauchy–Mirimanoff polynomials  $E_n$  are irreducible over  $\mathbb{Q}$  for odd prime  $n$ . Polynomials  $R_n, S_n, T_n$  are introduced, closely related to  $E_n$ . It is proved that  $R_m, S_m, T_m$  are irreducible over  $\mathbb{Q}$  for odd  $m \geq 3$ , and  $E_n, R_n, S_n$  are irreducible over  $\mathbb{Q}$ , for  $n = 2^q m$ ,  $q = 1, 2, 3, 4, 5$ , and  $m \geq 1$  odd.

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### 1. Introduction

The study of Cauchy–Mirimanoff polynomials  $E_n$  was initiated by Cauchy and Liouville [2] in 1839 in the context of Fermat’s last theorem. In 1903 Mirimanoff [6] conjectured that  $E_p$  is irreducible over  $\mathbb{Q}$  for any odd prime  $p$ . Little progress was made on this subject for more than 90 years, until Helou [3] investigated the Galois group of  $E_n$ . Helou showed that for odd  $n \geq 9$ , the roots of  $E_n$  occur in sets of six (corresponding to the six automorphisms of  $E_n$ ), and all of the roots of any factor polynomial also occur in sets of six. In the same paper Helou gave a proof, credited to M. Filaseta, based on the Newton polygon of  $E_n$ , that  $E_{2p}$  is irreducible over  $\mathbb{Q}$  for any odd prime  $p$ . In 1997 Beukers [1] proved that the  $E_p$  are relatively prime to each other. In 2007, Tzermias [10] proved a necessary condition for the irreducibility of polynomials over  $\mathbb{Q}$  (an extension of Pólya and Szegő’s irreducibility theorem) and used this to prove that  $E_p$  is irreducible over  $\mathbb{Q}$  for any prime  $p < 1000$ . Tzermias stated that ‘It is not unlikely that  $E_n$  is irreducible for all integers  $n$ ’. In 2010 Irick [4] gave a proof of the irreducibility of  $E_{2p}$  (different from Filaseta’s), proved that  $E_{3p}$  is irreducible, and investigated the irreducibility of  $E_{3p^i}$ . Other authors have demonstrated properties

of the Cauchy–Mirimanoff polynomials, including Klösgen [5] and Terjanian [9]. A bibliography of Ribenboim [7, pp. 231–234] lists several more.

In this paper polynomials  $R_n, S_n, T_n \in \mathbb{Z}[x]$ , close relatives of  $E_n$ , are introduced. These polynomials have even degree, and all of their coefficients are positive. It is shown here that all of their roots are simple and lie in the open strip  $-1 < \operatorname{Re}(z) < 0$ , that none of the roots are real, and that the polynomials and all of their factors in  $\mathbb{Z}[x]$  are Hurwitz stable. It is proved that  $R_m, S_m, T_m$  are irreducible over  $\mathbb{Q}$  for odd  $m \geq 3$ , and  $E_n, R_n, S_n$  are irreducible over  $\mathbb{Q}$ , for  $n = 2^q m$ , where  $q = 1, 2, 3, 4, 5$  and  $m \geq 1$  is odd. It is conjectured that  $E_n, R_n, S_n$ , and  $T_n$  are irreducible over  $\mathbb{Q}$  for  $n \geq 2$ .

### 2. The polynomials $E_n, R_n, S_n$ and $T_n$

We define  $E_n, R_n, S_n, T_n \in \mathbb{Z}[x]$  as follows.

- (1) For  $n \geq 2$ ,  $(x + 1)^n - x^n - 1 = x(x + 1)^a(x^2 + x + 1)^b E_n$ , where  $a = b = 0$  if  $n$  is even; while if  $n$  is odd,  $a = 1$  and  $b = 0, 1, 2$  according as  $n \equiv 3, -1, 1 \pmod 6$  (Helou [3]).
- (2) For  $n \geq 1$ ,  $(x + 1)^n + x^n - 1 = x(x + 1)^a R_n$ , where  $a = 0$  if  $n$  is odd, and  $a = 1$  if  $n$  is even.
- (3) For  $n \geq 1$ ,  $(x + 1)^n - x^n + 1 = (x + 1)^a S_n$ , where  $a = 0$  if  $n$  is odd and  $a = 1$  if  $n$  is even.
- (4) For  $n \geq 1$ ,  $(x + 1)^n + x^n + 1 = (x + 1)^a(x^2 + x + 1)^b T_n$ , where  $a = 1$  and  $b = 0$  if  $n$  is odd; while if  $n$  is even,  $a = 0$  and  $b = 0, 1, 2$  according as  $n \equiv 0, 2, -2 \pmod 6$ .

The coefficients of  $E_n, R_n, S_n$  and  $T_n$  can be obtained by using the binomial expansion in their definitions. The following explicit formulae will be used in this paper.

- (1) If  $n \geq 2$  is even, then  $R_n = \sum_{i=0}^{n-2} \alpha_i x^i$ , where

$$\alpha_i = (-1)^i \sum_{j=0}^i (-1)^j \binom{n}{j+1} \quad \text{for } i = 0, \dots, n - 2. \tag{1}$$

- (2) If  $n \geq 1$  is odd, then  $R_n = \sum_{i=0}^{n-2} \alpha_i x^i + 2x^{n-1}$ , where

$$\alpha_i = \binom{n}{i+1} \quad \text{for } i = 0, \dots, n - 2. \tag{2}$$

- (3) If  $n \geq 2$  is even, then  $E_n = \sum_{i=0}^{n-2} \alpha_i x^i$ , where

$$\alpha_i = \binom{n}{i+1} \quad \text{for } i = 0, \dots, n - 2.$$

To show the relationships between the polynomials  $E_n, R_n, S_n,$  and  $T_n,$  it is convenient to define the following matrices  $A_i \in \text{GL}_2(\mathbb{Z})$ :

$$\begin{aligned} A_1 &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, & A_2 &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, & A_3 &= \begin{pmatrix} -1 & -1 \\ 0 & 1 \end{pmatrix}, \\ A_4 &= \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}, & A_5 &= \begin{pmatrix} -1 & 0 \\ 1 & 1 \end{pmatrix}, & A_6 &= \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}. \end{aligned} \tag{3}$$

For any  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{Z}),$  define the action of  $A$  on  $\mathbb{Z}[x]$  by mapping  $f \in \mathbb{Z}[x]$  to  $f^A(x) = (cx + d)^{d_n} f((ax + b)/(cx + d)),$  where  $d_n = \deg f.$  Then from the definition of  $T_n$  for even  $n \geq 2,$

$$T_n = T_n^{A_2} = T_n^{A_3} = T_n^{A_4} = T_n^{A_5} = T_n^{A_6},$$

and exactly the same formulae hold for  $E_n$  for odd  $n \geq 3.$  For odd  $n \geq 1,$

$$T_n = T_n^{A_2}.$$

For even  $n \geq 2, E_n, R_n, S_n$  are related by

$$R_n = R_n^{A_3}, \tag{4}$$

$$R_n = E_n^{A_6}, \tag{5}$$

$$R_n = S_n^{A_2}, \tag{6}$$

$$S_n = S_n^{A_5},$$

$$S_n = E_n^{A_3}. \tag{7}$$

For odd  $n \geq 1, R_n, S_n$  and  $T_n$  are related by

$$R_n = R_n^{A_5}, \tag{8}$$

$$R_n = T_n^{A_3}, \tag{9}$$

$$S_n = S_n^{A_3},$$

$$S_n = R_n^{A_2}. \tag{10}$$

**LEMMA 1.**  $E_n, R_n, S_n$  and  $T_n$  have no real roots.

**PROOF.** From their definitions the polynomials  $E_n, R_n, S_n$  and  $T_n$  can only have roots for  $n \geq 3.$  Begin with  $R_n.$  First we show that 0 and  $-1$  are not roots of  $R_n.$  From the definition of  $R_n$  and the binomial expansion,

$$(x + 1)^a R_n(x) = \sum_{i=0}^{n-2} \binom{n}{i+1} x^i + 2x^{n-1},$$

so that  $R_n(0) = n \geq 3,$  that is,  $x = 0$  is not a root of  $R_n$  for any  $n.$  By (4), for even  $n, R_n(-1) = R_n(0) \neq 0.$  For odd  $n, xR_n(x) = (x + 1)^n + x^n - 1$  and consequently  $R_n(-1) = 2,$  so  $-1$  is not a root of  $R_n$  for odd  $n$  either.

Now suppose that  $x \in \mathbb{R} \setminus \{0, -1\}$  is a root of  $R_n$ . From the definition of  $R_n$ ,  $x$  satisfies  $(x + 1)^n + x^n = 1$ , so that  $x > 0$  is clearly impossible. If  $-1 < x < 0$  then for  $n \geq 2$ ,  $1 = |(x + 1)^n + x^n| \leq |x + 1|^n + |x|^n < |x + 1| + |x| = 1$ , a contradiction. For  $x < -1$  replace  $x$  by  $-y - 1$  with  $y > 0$  so that  $(y + 1)^n + y^n = \pm 1$ , depending on the parity of  $n$ . Both cases give a contradiction. Therefore,  $R_n$  has no real roots.

By (10) and (6),  $S_n$  has no real roots because  $R_n$  has none. Similarly, by (9),  $T_n$  has no real roots for odd  $n$ , and by (5),  $E_n$  has no real roots for even  $n$ . A proof that  $E_n$  has no real roots for  $n = 6k \pm 1$  is given by Ribenboim [7, pp. 223–225]. For odd  $n$  it remains to prove there are no real roots for  $n = 6k + 3$ . In this case the symmetries  $E_n(x) = x^{dn} E_n(1/x)$  and  $E_n(x) = E_n(-x - 1)$  apply, and so Ribenboim’s proof also applies to  $n = 6k + 3$ . Finally, consider the roots of  $T_n$  for  $n$  even. But there can be no real root in this case as for all  $x \in \mathbb{R}$ ,  $(x + 1)^n + x^n + 1 > 1$ . □

**LEMMA 2.** *All of the roots of  $E_n, R_n, S_n$  and  $T_n$  lie in the open strip  $-1 < \operatorname{Re}(z) < 0$ .*

**PROOF.** The polynomials  $E_n, R_n, S_n$  and  $T_n$  are constant for  $n \leq 2$ , so assume that  $n \geq 3$ . Let  $P_n(e, f; x) = (x + 1)^n + ex^n + f \in \mathbb{Z}[x]$ , where  $e, f \in \{1, -1\}$ . For appropriate choices of  $e, f$ , each of the polynomials  $E_n, R_n, S_n$  and  $T_n$  is a factor of  $P_n$  over  $\mathbb{Z}$ . Note that if  $z$  is a root of  $P_n$ , then  $|(z + 1)^n + ez^n| = 1$ . Let  $z = a + ib \neq 0, -1$ , with  $a, b \in \mathbb{R}$ , be a root of  $P_n$ , so that  $|(a + 1 + ib)^n + e(a + ib)^n| = 1$ . By the (inverse) triangle inequality, if  $z_1, z_2$  are any two complex numbers, then  $|z_1 + z_2| \geq ||z_1| - |z_2||$ . In this inequality select  $z_1 = (a + 1 + ib)^n$  and  $z_2 = e(a + ib)^n$ , so that  $1 \geq |((a + 1)^2 + b^2)^{n/2} - (a^2 + b^2)^{n/2}|$ .

But if  $a \geq 0$  (note that  $b \neq 0$  if  $a = 0$  because it is assumed that  $z \neq 0$ ), then  $|((a + 1)^2 + b^2)^{n/2} - (a^2 + b^2)^{n/2}| = ((a + 1)^2 + b^2)^{n/2} - (a^2 + b^2)^{n/2} > 1$ , for  $n \geq 3$ . This contradicts the earlier inequality, so it follows that  $a < 0$  if  $n \geq 3$ .

Now note that  $P_n(e, f; -x - 1) = (-x)^n + e(-x - 1)^n + f = (-1)^n e P_n(e, ef(-1)^n; x)$ . Therefore, the previous argument demonstrating the impossibility of  $a \geq 0$  also works for  $a \leq -1$ , and it follows that  $-1 < a < 0$ . That is, if  $n \geq 3$ , all of the roots of  $P_n$  must lie in the open strip  $-1 < \operatorname{Re}(z) < 0$ , with the possible exception of  $z = 0, z = -1$ .

Even if  $z = 0, z = -1$  are roots of  $P_n$ , they cannot be roots of  $E_n, R_n, S_n$  or  $T_n$  because these polynomials have no real roots (Lemma 1). Then from their definitions  $E_n, R_n, S_n$  and  $T_n$  share the same roots as  $P_n$  (for appropriate values of  $e$  and  $f$ ) with the only exceptions being  $z = 0, z = -1$ , and possibly the roots of  $z^2 + z + 1$ , but the latter lie in  $-1 < \operatorname{Re}(z) < 0$  anyway. □

**DEFINITION 3.** A Hurwitz (or Hurwitz stable) polynomial  $H \in \mathbb{R}[x]$  is defined by the property that all of its roots have negative real part.

Note that a Hurwitz polynomial is sometimes defined to have all of its coefficients the same sign, but Lemma 4 below shows that this is a consequence of the property given in the above definition. Also, for a polynomial to have all of its coefficients the same sign is not sufficient for the Hurwitz property to apply, for example  $H(x) = x^3 + x^2 + 4x + 30$  has roots  $1 \pm 3i$ .

**LEMMA 4.** *All of the coefficients of any Hurwitz polynomial  $H$ , have the same sign. Every factor of  $H$  over  $\mathbb{Z}$  is Hurwitz.*

**PROOF.** By definition  $H \in \mathbb{R}[x]$  and all of its roots have negative real part. Let  $H$  have leading coefficient  $a_n (\neq 0) \in \mathbb{R}$ , so it is enough to consider the monic polynomial  $P = H/a_n$ . Now  $P$  can be factored into linear factors  $x + r$  corresponding to the real roots of  $P$ , and quadratic factors  $(x + c)(x + \bar{c}) = x^2 + ax + b$  corresponding to the complex roots. The real numbers  $r, a, b$  are positive because every root has negative real part. Therefore all of the coefficients of  $P$  are positive, and so all of the coefficients of  $H$  have the same sign. Also, all of the roots of any factor  $g \in \mathbb{Z}[x]$  of  $H$  must also belong to  $H$ , so by definition  $g$  is also Hurwitz.  $\square$

**COROLLARY 5.**  *$E_n, R_n, S_n$  and  $T_n$  are Hurwitz polynomials. All of their coefficients are positive, and each of their factors in  $\mathbb{Z}[x]$  are Hurwitz polynomials of even degree. All of the coefficients of each of these polynomials have the same sign.*

**PROOF.** From their definitions  $E_n, R_n, S_n$  and  $T_n$  have positive leading coefficients, and by Lemma 2 all of their roots have negative real part. Then, by definition, these polynomials are Hurwitz. It follows from Lemma 4 that all of their factors in  $\mathbb{Z}[x]$  are Hurwitz, and all of the coefficients of any such factor have the same sign. From Lemma 1 all of the roots of  $E_n, R_n, S_n$  and  $T_n$  occur in conjugate pairs, and since any pair belongs to the same polynomial, each factor of  $E_n, R_n, S_n$  and  $T_n$  over  $\mathbb{Z}$  must have even degree.  $\square$

**LEMMA 6.** *All of the roots of  $E_n, R_n, S_n$  and  $T_n$  are simple.*

**PROOF.** From their definitions each of the polynomials  $E_n, R_n, S_n$  and  $T_n$  is a factor (over  $\mathbb{Z}$ ) of  $P_n(e, f; x) = (x + 1)^n + ex^n + f$ , for appropriate values of  $e, f \in \{1, -1\}$ . Assume that  $P_n(e, f; x)$  has a complex root  $z$ , with non-zero imaginary part (none of the roots of  $E_n, R_n, S_n$  and  $T_n$  are real by Lemma 1) and of multiplicity at least 2. Then  $P_n(e, f; z) = 0$  and  $P'_n(e, f; z) = 0$ , where a prime denotes the derivative. Then  $(z + 1)^n + ez^n + f = 0$  and  $(z + 1)^{n-1} + ez^{n-1} = 0$ . Multiplying the second of these equations by  $z + 1$  and subtracting the result from the first equation gives  $z^{n-1} = f/e = \pm 1$ . Substituting this into the second equation gives  $(z + 1)^{n-1} = -f = \pm 1$ . Therefore  $z$  and  $z + 1$  are complex  $(n - 1)$ th roots of  $\pm 1$ , and  $|z| = |z + 1| = |1 + 1/z| = 1$ . These last conditions require that  $z = -(1 \pm \sqrt{-3})/2$ , so that  $z^3 = 1$  and  $(z + 1)^3 = -1$ . Then  $z^{n-1} = f/e$  implies that  $n = 3j + 1$  for some integer  $j$  with  $e = f$ , and  $(z + 1)^{n-1} = -f$  implies that  $f = (-1)^{j+1}$ . The requirement that  $e = f$  immediately implies that  $R_n$  and  $S_n$  (for which  $e \neq f$ ) must have only simple roots. In the case of  $E_n$ ,  $e = f = -1$  so that  $f = (-1)^{j+1}$  implies that  $j$  must be even, that is,  $n = 6k + 1$  for integer  $k$ . It is proved by Ribenboim [7, pp. 220–221] that  $g = x^2 + x + 1$  does not divide  $E_n$  for any  $n = 6k \pm 1$  and therefore  $z = -(1 \pm \sqrt{-3})/2$ , which are the roots of  $g$ , cannot be roots of  $E_n$ . Therefore  $E_n$  has only simple roots. The final case to consider is  $e = f = 1$ , which corresponds to  $T_n$ . In this case  $j$  is odd so that  $n = 6k - 2$  for integer  $k$ . By the definition of  $T_n$  for  $n = 6k - 2$ ,  $P_n(1, 1; x) = g(x)^2 T_n(x)$ . If  $z = -(1 \pm \sqrt{-3})/2$

are roots of  $T_n$ , then  $g$  must be a factor of  $T_n$  and so  $z$  must have multiplicity at least 3 in  $P_n(1, 1; x)$ . Then  $P_n''(1, 1; z) = 0$ , which gives  $(z + 1)^{n-2} + z^{n-2} = 0$ . Multiplying by  $z + 1$  gives  $(z + 1)^{n-1} + z^{n-1} + z^{n-2} = 0$ , and also  $(z + 1)^{n-1} + z^{n-1} = 0$  from  $P_n'(1, 1; z) = 0$ . Subtraction gives  $z^{n-2} = 0$ , which is impossible. Therefore  $T_n$  has only simple roots.  $\square$

Before investigating the irreducibility of  $E_n, R_n, S_n$  and  $T_n$  in the next section, some simple polynomial properties need to be established. In the following  $\text{cont}(f)$ , the content of  $f \in \mathbb{Z}[x]$ , is the gcd of all of the coefficients of  $f$ .

**LEMMA 7.** *If  $A \in \text{GL}_2(\mathbb{Z})$  and  $f \in \mathbb{Z}[x]$ , then  $\text{cont}(f) = \text{cont}(f^A)$ , and  $f$  is irreducible over  $\mathbb{Z}$  if and only if  $f^A$  is irreducible over  $\mathbb{Z}$ .*

**LEMMA 8.** *Let  $f \in \mathbb{Z}[x]$ , with  $\lambda = \text{cont}(f)$ , be such that  $f^A = \pm f$ , where  $A \in \text{GL}_2(\mathbb{Z})$ . Assume that  $f$  is not proportional to a pure power of an irreducible polynomial in  $\mathbb{Z}[x]$ . Then either:*

- (1) *there exist distinct primitive polynomials  $g_1, g_2 \in \mathbb{Z}[x]$ , with degree at least 1, such that  $f = \pm \lambda g_1 g_2$ , with  $g_1^A = \pm g_1$  and  $g_2^A = \pm g_2$ ; or*
- (2) *there exist an integer  $k \geq 2$  and distinct primitive polynomials  $g_i \in \mathbb{Z}[x]$  for  $i = 1, \dots, k$ , all with the same degree (at least 1), such that  $f = \pm \lambda g_1 \cdots g_k$ , with  $g_i^A = g_{i+1}$  for  $i = 1, \dots, k - 1$  and  $g_k^A = \pm g_1$ . Also, every  $g_i$  is the same pure power of an irreducible polynomial in  $\mathbb{Z}[x]$ .*

**PROOF.** Write  $f$  as a product of distinct primitive polynomials  $f = \lambda F_1 \cdots F_N$  where  $F_i = f_i^{m_i}$  and each  $f_i \in \mathbb{Z}[x]$  is distinct, primitive and irreducible over  $\mathbb{Z}$  (with degree at least 1). Then  $F_i^A$  is a distinct primitive factor of  $f^A$ , and hence of  $f$ . So the action of  $A$  permutes the factors  $F_i$  of  $f$  (with a possible sign change). Since  $\det A = \pm 1$  the action  $A$  has an inverse, so it generates the action of a cyclic group on  $\mathbb{Z}[x]$ . The  $F_i$  form orbits under this action. Let  $G_i \in \mathbb{Z}[x]$  be the product of such elements (including  $F_i$ ) in one such orbit, that is,  $G_i = F_i \cdot F_i^A \cdot F_i^{A^2} \cdots F_i^{A^{k_i-1}}$ , where  $k_i \geq 1$  is the length of the orbit. Then  $G_i$  is a pure power of a primitive polynomial with the property that  $G_i^A = \pm G_i$ , and each  $G_i$  is distinct. It follows that if  $f$  has at least two orbits (so  $\deg f \geq 2$ ) then there exist distinct primitive polynomials  $g_1, g_2 \in \mathbb{Z}[x]$  of degree at least 1 ( $g_1, g_2$  are products, not necessarily unique, of the polynomials  $G_i$ ) such that  $f = \pm \lambda g_1 g_2$ , where  $g_1^A = \pm g_1$  and  $g_2^A = \pm g_2$ .

If  $f$  has only one orbit (so  $f$  is proportional to a pure power of a product of distinct, primitive and irreducible polynomials all with the same degree), then  $f = \pm \lambda F \cdot F^A \cdot F^{A^2} \cdots F^{A^{k-1}}$ , where  $F$  is a pure power of a primitive irreducible polynomial in  $\mathbb{Z}[x]$  (of degree at least 1), and  $k \geq 1$  is the length of the orbit. The special case of a single orbit of length  $k = 1$  is excluded by an assumption of the lemma, because it corresponds to  $f$  being proportional to a pure power of an irreducible polynomial. For a single orbit of length  $k \geq 2$ , set  $g_i = F^{A^{i-1}}$  for  $i = 1, \dots, k$ . Then each distinct  $g_i$  is a pure power of a primitive irreducible polynomial with the property that  $g_i^A = g_{i+1}$  for  $i = 1, \dots, k - 1$  and  $g_k^A = \pm g_1$ .  $\square$

**COROLLARY 9.** *If  $A^2 = I_2$  and  $A \neq \pm I_2$ , then take  $k = 2$  and  $g_2^A = g_1$  in Lemma 8.*

**PROOF.** Assuming that Lemma 8 applies to  $f$ , and that  $f$  has only one orbit with respect to  $A$ , then its length is  $k \geq 2$ . In the proof of the lemma  $g_i = F^{A^{i-1}}$  for  $i = 1, \dots, k$ . If  $A^2 = I_2$  then  $g_2^A = F^{A^2} = F = g_1$ , and since  $A \neq \pm I_2$  the length of the orbit can be taken to be  $k = 2$ . □

Note that the  $A_i$  defined by Equations (3) satisfy  $A_2^2 = A_3^2 = A_5^2 = I_2$  so that the Corollary can be applied to these cases.

### 3. Irreducibility of $E_n, R_n, S_n, T_n$

In this section it is proved that  $R_m, S_m, T_m$  are irreducible over  $\mathbb{Q}$  for odd  $m \geq 3$  (Theorem 10), and  $E_n, R_n, S_n$  are irreducible over  $\mathbb{Q}$ , for  $n = 2^q m$ ,  $q = 1, 2, 3, 4, 5$ , and  $m \geq 1$  odd (Theorem 15). It is conjectured that  $E_n, R_n, S_n, T_n$  are irreducible over  $\mathbb{Q}$  for all values of  $n \geq 2$ .

As mentioned in the introduction, Filaseta proved that for any odd prime  $p$ ,  $E_{2p}$  is irreducible over  $\mathbb{Q}$  (this appears to be the first proof that  $E_n$  is irreducible over  $\mathbb{Q}$  for an infinite number of values of  $n$ ). For even  $n$ , according to (5) and (7) respectively,  $R_n = E_n^{A_6}$  and  $S_n = E_n^{A_3}$ . It follows from Lemma 7 that  $R_{2p}$  and  $S_{2p}$  are irreducible over  $\mathbb{Q}$ . Also, by (2), if  $p$  is any odd prime,

$$R_p(x) = \sum_{i=0}^{p-2} \binom{p}{i+1} x^i + 2x^{p-1}.$$

Then  $R_p$  is irreducible over  $\mathbb{Q}$  by the Eisenstein irreducibility criterion (Stewart and Tall [8, p. 19]). For odd  $n$ , according to (10) and (9) respectively,  $S_n = R_n^{A_2}$  and  $R_n = T_n^{A_3}$ , and it follows from Lemma 7 that  $S_p$  and  $T_p$  are irreducible over  $\mathbb{Q}$ . Theorems 10 and 15 extend all of these results.

**THEOREM 10.**  *$R_n, S_n$  and  $T_n$  are irreducible over  $\mathbb{Q}$  for odd  $n \geq 3$ .*

**PROOF.** Suppose that  $n$  is odd, so that  $R_n$  is primitive (leading coefficient 2, odd constant coefficient), and  $R_n(x) = (x + 1)^{n-1} R_n(-x/(x + 1))$  by (8). Also, by Lemma 6,  $R_n$  has only simple roots so it cannot be proportional to a power (at least 2) of an irreducible polynomial in  $\mathbb{Z}[x]$ . Assume now that  $R_n$  is reducible over  $\mathbb{Q}$  (and therefore over  $\mathbb{Z}$  by the Gauss polynomial lemma). Corollary 9 can be applied to  $R_n$  with  $A = A_5$  (note that the content  $\lambda = 1$  because  $R_n$  is primitive). From Lemma 8 there exist primitive relatively prime polynomials  $g_1, g_2 \in \mathbb{Z}[x]$ , of degree  $r \geq 1$  and  $s \geq 1$  respectively, such that  $R_n = g_1 g_2$ , with either:

- (1)  $g_1(x) = (x + 1)^r g_1(-x/(x + 1))$  and  $g_2(x) = (x + 1)^s g_2(-x/(x + 1))$ ; or
- (2)  $g_1(x) = (x + 1)^s g_2(-x/(x + 1))$  and  $g_2(x) = (x + 1)^r g_1(-x/(x + 1))$ .

Note that the signs have been dropped by applying Corollary 5, and  $r, s$  are even (so  $r, s \geq 2$ ) with  $r = s$  in case (2).

Set  $g_1(x) = a_r x^r + \dots + a_0$  and  $g_2(x) = b_s x^s + \dots + b_0$ , where all  $a_i, b_j \in \mathbb{Z}^+$  by Corollary 5. The leading coefficient of  $R_n$  is 2 so that  $a_r b_s = 2$ . In case (1),  $g_1(1) = 2^r g_1(-1/2) = a_r(-1)^r + 2a_{r-1}(-1)^{r-1} + \dots + 2^r a_0$  and  $g_2(1) = 2^s g_2(-1/2) = b_s(-1)^s + 2b_{s-1}(-1)^{s-1} + \dots + 2^s b_0$ . In case (2),  $g_1(1) = 2^s g_2(-1/2) = b_s(-1)^s + 2b_{s-1}(-1)^{s-1} + \dots + 2^s b_0$  and  $g_2(1) = 2^r g_1(-1/2) = a_r(-1)^r + 2a_{r-1}(-1)^{r-1} + \dots + 2^r a_0$  (with  $r = s$ ).

Since one of  $a_r, b_s$  must be 1, and the other 2, in both cases one of  $g_1(1), g_2(1)$  must be odd and the other even. But from the definition of  $R_n, R_n(1) = 2^n = g_1(1)g_2(1)$ , so that one of  $g_1(1), g_2(1)$  must be 1. Since  $g_1, g_2$  both have degree at least 2, and all of their coefficients are positive, it follows that  $g_1(1) > 1$  and  $g_2(1) > 1$ , a contradiction. Therefore, for odd  $n, R_n$  is irreducible over  $\mathbb{Q}$ . According to (10) and (9) respectively,  $S_n = R_n^{A_2}$  and  $R_n = T_n^{A_3}$ , and it follows from Lemma 7 that  $S_n$  and  $T_n$  are irreducible over  $\mathbb{Q}$ . □

**LEMMA 11.** *Let  $p$  be any prime, let  $J, K \in \mathbb{Z}^+$  be such that  $K \leq p - 1$ , and assume that  $J$  is not divisible by  $p$ . Let  $r \geq s \geq 0$  be any integers such that  $Kp^r \geq Jp^s$ . If  $v_p(x)$  is the  $p$ -adic valuation of  $x$ , then*

$$v_p \left( \left( \frac{Kp^r}{Jp^s} \right) \right) = r - s.$$

**PROOF.** For any prime  $p$  and positive integers  $n, m$ , a theorem of Kummer (Ribenoim [7, pp. 75–77]) can be put into the form  $v_p \left( \binom{n}{m} \right) = N$ , for  $n \geq m$ , where  $N$  is the number of integers  $j \geq 0$  for which  $\{m/p^j\} > \{n/p^j\}$ , where  $\{x\}$  denotes the fractional part of a real number  $x$ . Setting  $n = Kp^r$  and  $m = Jp^s$ , then  $N$  is the number of integers  $j \geq 0$  for which  $\{Jp^{s-j}\} > \{Kp^{r-j}\}$ . For  $j = 0, \dots, s$  the inequality is not satisfied as both sides are zero. Since, by assumption,  $p$  does not divide  $J, K$ , the inequality is satisfied for  $j = s + 1, \dots, r$  because then  $\{Jp^{s-j}\} > 0$  while  $\{Kp^{r-j}\} = 0$ . For  $j > r, \{Kp^{r-j}\} \geq \{Jp^{s-j}\}$  as  $0 < Kp^{r-j} < 1$  (because it is assumed that  $K \leq p - 1$ ) so that  $\{Kp^{r-j}\} = Kp^{r-j}$ , and  $Kp^r \geq Jp^s$  by assumption. Therefore  $N = r - s$ . □

**LEMMA 12.** *Let  $n = 2^q m$  with  $q \geq 1 \in \mathbb{Z}$  and odd  $m \geq 1$ , and let  $i \in \mathbb{Z}$  be such that  $0 \leq i \leq 2^q - 2$ . Then*

$$v_2 \left( \binom{n}{i+1} \right) = q - t, \tag{11}$$

where  $i = 2^t N - 1, t \geq 0$  and  $N \geq 1$  is odd. Consequently,

$$v_2 \left( \binom{n}{i+1} \right) \begin{cases} = q - t + 1 & \text{if } i = 2^{t-1} - 1, \\ > q - t + 1 & \text{if } 2^{t-1} - 1 < i \leq 2^t - 2. \end{cases} \tag{12}$$

**PROOF.** First note that for any integers  $a, b$  with  $a, b \neq 0$ ,

$$v_2(a \pm b) \begin{cases} = \min(v_2(a), v_2(b)) & \text{if } v_2(a) \neq v_2(b) \\ \geq v_2(a) + 1 & \text{if } v_2(a) = v_2(b). \end{cases} \tag{13}$$



Also,  $v_2(a/b) = v_2(a) - v_2(b)$  and  $v_2(ab) = v_2(a) + v_2(b)$ . Suppose that  $0 \leq i \leq 2^q - 2$ , and let  $k \in \mathbb{Z}$  such that  $0 < k \leq i$ . Then  $v_2(k) < q = v_2(n)$  so that  $v_2((n - k)/k) = v_2(n - k) - v_2(k) = 0$  by (13). Taking the 2-adic valuation of

$$\binom{n}{i+1} = (n/(i+1)) \prod_{k=1}^i ((n-k)/k)$$

gives

$$v_2\left(\binom{n}{i+1}\right) = v_2(n/(i+1)) + \sum_{k=1}^i v_2((n-k)/k) = q - v_2(i+1).$$

There exist unique  $t, N \in \mathbb{Z}$  such that  $i = 2^t N - 1$  with  $N \geq 1$  odd and  $t \geq 0$ , and since  $i \leq 2^q - 2$  it follows that  $t \leq q - \log_2(N) \leq q$ . Then  $v_2(i+1) = t$  and (11) is proved. In particular, replacing  $t$  by  $t - 1$ , and putting  $N = 1$  so that  $i = 2^{t-1} - 1$ , then  $v_2(\binom{n}{i+1}) = q - t + 1$  by (11); this is the first case of (12). If  $i = 2^{t_0} N - 1$  for some odd  $N \geq 1$  and integer  $t_0 \geq 0$  such that  $2^{t-1} - 1 < i \leq 2^t - 2$ , then  $2^{t_0} \leq (2^t - 1)/N < 2^t$ , so  $t_0 < t$ . But if  $t_0 = t - 1$  then  $2^{t-1} < 2^{t-1} N \leq 2^t - 1$ , so  $N \geq 2$  by the left inequality. But then the right-hand inequality  $2^{t-1} N \leq 2^t - 1$  is impossible. Therefore  $t_0 < t - 1$  and  $v_2(\binom{n}{i+1}) = q - t_0 > q - t + 1$ , the second case of (12).  $\square$

**LEMMA 13.** *Let  $n = 2^q m$  with  $q \geq 1$  and  $m \geq 1$  odd. Write  $R_n(x) = \sum_{i=0}^{n-2} \alpha_i x^i$  with  $\alpha_i$  given by Equation (1). Then*

$$v_2(\alpha_i) = q - t + 1 \quad \text{for } 2^{t-1} - 1 \leq i \leq 2^t - 2, t = 1, \dots, q, \tag{14}$$

and consequently  $v_2(\alpha_i) \leq v_2(\alpha_{i-1})$  for  $i = 1, \dots, 2^q - 2$ . In particular, if  $n = 2^q$  then the 2-adic valuations of all of the  $\alpha_i$  are obtained from (14).

**PROOF.** From equation (1),

$$\alpha_i = \binom{n}{i+1} - \alpha_{i-1}, \quad i = 1, \dots, n - 2. \tag{15}$$

Proceed by induction on  $t$ . Clearly (14) is true for  $t = 1$  as  $\alpha_0 = 2^q m$ . Assume that (14) is true for some  $q > t \geq 1$ , so in particular  $v_2(\alpha_{i_0}) = q - t + 1$  for  $i_0 = 2^t - 2$ . Let  $i_1 = i_0 + 1 = 2^t - 1$ . Then replacing  $t$  by  $t + 1$  in (12),  $v_2(\binom{n}{i_1+1}) = q - (t + 1) + 1 = q - t$ . From (15),  $\alpha_{i_1} = \binom{n}{i_1+1} - \alpha_{i_0}$ , and taking the 2-adic valuation using (13),

$$v_2(\alpha_{i_1}) = \min\left(v_2\left(\binom{n}{i_1+1}\right), v_2(\alpha_{i_0})\right) = \min(q - t, q - t + 1) = q - t.$$

So (14) is confirmed for  $t + 1$  and  $i = i_1$ , the lowest value of  $i$  in its range. For the rest of the values of  $i$ , that is, for  $i_j = i_0 + j$  and  $2 \leq j \leq 2^t$ , so that  $2^t - 1 < i_j \leq 2^{t+1} - 2$ , Equation (12) gives that  $v_2(\binom{n}{i_j+1}) > q - t$ . For  $j = 2$  from (15),

$$v_2(\alpha_{i_2}) = \min\left(v_2\left(\binom{n}{i_2+1}\right), v_2(\alpha_{i_1})\right) = \min\left(v_2\left(\binom{n}{i_2+1}\right), q - t\right) = q - t.$$

This may be continued for the rest of the values of  $j$ , so (14) is true for  $t + 1$ .  $\square$

**LEMMA 14.** For  $n$  even,  $\text{cont}(E_n) = \text{cont}(R_n) = 2^h$ , where  $h = 1$  if  $n$  is a pure power of 2, and  $h = 0$  otherwise.

**PROOF.** According to (5), for  $n$  even,  $R_n = E_n^{A_6}$ . Then, from Lemma 7, the content of  $R_n$  is the same as that of  $E_n$ . From the definition of  $R_n$  it suffices to compute the content of  $F_n = (x + 1)^n + x^n - 1$ . Since the coefficient of  $x^n$  in  $F_n$  is 2, the content must be either 1 or 2. Let  $n = 2^q m$  for  $q \geq 1$  and  $m \geq 1$  odd. Since  $(x + 1)^{2^q} \equiv x^{2^q} + 1 \pmod 2$ , it follows that  $F_n \equiv (x^{2^q} + 1)^m + x^{2^q m} - 1 \pmod 2$ . When  $m > 1$ , the coefficient of  $x^{2^q} \pmod 2$  is  $m$ , which is odd, and therefore  $\text{cont}(R_n) = 1$ . When  $m = 1$  ( $n = 2^q$ ),  $F_n \equiv 0 \pmod 2$ , so  $\text{cont}(R_n) = 2$ . □

**THEOREM 15.**  $R_n, S_n$  and  $E_n$  are irreducible over  $\mathbb{Q}$  for  $n = 2^q m \geq 4$ , where  $q = 1, 2, 3, 4, 5$ , and  $m \geq 1$  is odd.

**PROOF.** Direct computation shows that  $R_4, R_8, R_{16}$  and  $R_{32}$  are irreducible over  $\mathbb{Q}$ . Therefore, setting  $n = 2^q m \geq 4$ , where  $q = 1, 2, 3, 4, 5$ , it may be assumed that  $n$  is not a pure power of 2, that is,  $m \geq 3$ .

For  $n$  even, recall that  $R_n = \sum_{i=0}^{n-2} \alpha_i x^i$ , where  $\alpha_i$  is given by (1). Note that  $R_n(0) = \alpha_0 = n$ , and from the definition of  $R_n, R_n(1) = 2^{n-1}$ . According to (4),  $R_n = R_n^{A_3}$  so that  $R_n(x) = R_n(-x - 1)$  and therefore  $R_n(-2) = 2^{n-1}$ .

Assume that  $R_n$  is reducible over  $\mathbb{Q}$ . Applying Corollary 9 to  $f = R_n$  with  $A = A_3$ , there exist primitive relatively prime polynomials  $g_1, g_2 \in \mathbb{Z}[x]$ , of degree  $r \geq 1$  and  $s \geq 1$  respectively, such that  $R_n = \lambda g_1 g_2$ , where  $\lambda \in \mathbb{Z}$  is the content of  $R_n$ , with either (1)  $g_1(x) = g_1(-x - 1)$  and  $g_2(x) = g_2(-x - 1)$ , or (2)  $g_1(x) = g_2(-x - 1)$  and  $g_2(x) = g_1(-x - 1)$  (with  $r = s$  in case (2)). The signs have been dropped by applying Corollary 5, and since  $r$  and  $s$  are even, we have  $r, s \geq 2$ . From Lemma 14, since  $n$  is assumed not to be a pure power of 2, set  $h = 0$  and  $\lambda = 1$ .

Put

$$g_1(x) = \sum_{i=0}^r a_i x^i \quad \text{and} \quad g_2(x) = \sum_{i=0}^s b_i x^i,$$

where  $a_i, b_j \in \mathbb{Z}^+$ . Identifying coefficients in  $R_n = g_1 g_2$  gives  $\alpha_i = \sum_{j+k=i} a_j b_k$ . Since  $\text{deg } R_n = n - 2 = r + s \geq 4$ , we have  $n \geq 6$ . But in case (2),  $r + s = 2r = n - 2 = 2(2^{q-1}m - 1)$  so that  $r = 2^{q-1}m - 1$ , which is odd for  $q > 1$ . From this contradiction case (2) is impossible for  $q > 1$ .

Since  $a_0 b_0 = \alpha_0 = 2^q m$  with  $q \geq 1$ , then at least one of  $a_0, b_0$  must be even. In fact both  $a_0 = g_1(0)$  and  $b_0 = g_2(0)$  are even, as follows. Suppose that one of  $a_0, b_0$  is odd, and the other even. Then one of  $g_1(-2)$  or  $g_2(-2)$  is odd. In case (1)  $g_1(1) = g_1(-2)$  and  $g_2(1) = g_2(-2)$ , while in case (2)  $g_1(1) = g_2(-2)$  and  $g_2(1) = g_1(-2)$ . Then in both cases one of  $g_1(1), g_2(1)$  must be odd, and  $R_n(1) = g_1(1)g_2(1) = 2^{n-1}$  so that the odd one of  $g_1(1), g_2(1)$  must be equal to 1. But since all of the coefficients of  $g_1, g_2$  are positive, and since their degrees are  $r \geq 2$  and  $s \geq 2$ , it follows that  $g_1(1) > 1$  and  $g_2(1) > 1$ . From this contradiction it follows that both  $a_0$  and  $b_0$  are even. Let  $A_i = v_2(a_i)$  and  $B_i = v_2(b_i)$  for  $i = 0, 1, 2, \dots$ , so that  $a_i = 2^{A_i} M_i$  and  $b_i = 2^{B_i} N_i$  where  $M_i, N_i \geq 1$  are odd. Then, in particular,  $A_0 \geq 1, B_0 \geq 1, A_0 + B_0 = q$  and  $M_0 N_0 = m$ .

Since  $q = A_0 + B_0 \geq 2$ , the assumption that  $R_n$  is reducible over  $\mathbb{Z}$  must be false for  $n = 2m$  ( $q = 1$ ). According to (5) and (6),  $R_n = E_n^{A_0}$  and  $R_n = S_n^{A_2}$ , so it follows from Lemma 7 that  $E_{2m}$  and  $S_{2m}$  are irreducible over  $\mathbb{Q}$ .

Since  $a_0, b_0$  are even,  $g_1(-2)$  and  $g_2(-2)$  are both even, and since  $g_1(1)g_2(1) = 2^{n-1} = g_1(-2)g_2(-2)$ , put  $g_1(-2) = 2^{t_1}$  and  $g_2(-2) = 2^{t_2}$ , for integers  $t_1, t_2$  where  $t_1 \geq 1, t_2 \geq 1$  and  $t_1 + t_2 = n - 1 \geq 5$ . Then,

$$g_1(-2) = 2^{A_0}M_0 - 2a_1 + 4a_2 - \dots + (-2)^r a_r = 2^{t_1}, \tag{16}$$

$$g_2(-2) = 2^{B_0}N_0 - 2b_1 + 4b_2 - \dots + (-2)^s b_s = 2^{t_2}, \tag{17}$$

and

$$g_1(-2) = g_1(1) = 2^{A_0}M_0 + a_1 + a_2 + \dots + a_r = 2^{t_1}, \tag{18}$$

$$g_2(-2) = g_2(1) = 2^{B_0}N_0 + b_1 + b_2 + \dots + b_s = 2^{t_2}. \tag{19}$$

Since all of the  $a_i, b_j$  are at least 1, it follows from (18) and (19) that  $2^{t_1} \geq 2^{A_0}M_0 + r$  and  $2^{t_2} \geq 2^{B_0}N_0 + s$ , where  $r \geq 2$  and  $s \geq 2$ . Therefore  $t_1 \geq A_0 + 1$  and  $t_2 \geq B_0 + 1$ . Note from (14) that

$$v_2(\alpha_0) = q, \quad v_2(\alpha_1) = v_2(\alpha_2) = q - 1, \quad v_2(\alpha_3) = v_2(\alpha_4) = q - 2. \tag{20}$$

Assume that  $q = 2$  ( $n = 4m$ ). Since  $A_0 + B_0 = q = 2$ , we have  $A_0 = B_0 = 1$  so  $t_1 \geq 2$  and  $t_2 \geq 2$ . Since  $4|2^{t_1}$  and  $4|2^{t_2}$ , it follows from (16) and (17) respectively that  $M_0 - a_1$  and  $N_0 - b_1$  are even, so  $a_1$  and  $b_1$  are odd. Now  $\alpha_1 = a_0b_1 + a_1b_0 = 2(M_0b_1 + N_0a_1)$ , and since  $M_0, N_0, a_1, b_1$  are odd,  $v_2(\alpha_1) \geq 2$ . But from (20),  $v_2(\alpha_1) = 1$ . From this contradiction,  $R_{4m}$  is irreducible over  $\mathbb{Q}$ . Again applying Lemma 7 with (5) and (6), it follows that  $E_{4m}$  and  $S_{4m}$  are irreducible over  $\mathbb{Q}$ .

Assume that  $q = 3$  ( $n = 8m$ ). Since  $A_0 + B_0 = q = 3$ , either  $A_0 = 1, B_0 = 2$ , or  $A_0 = 2, B_0 = 1$ . Without loss of generality, assume that  $A_0 = 1$  and  $B_0 = 2$  so  $t_1 \geq 2$  and  $t_2 \geq 3$ . Then  $a_1$  is odd and  $b_1$  even by (16) and (17), respectively. Put  $b_1 = 2b_{11}$ . Now

$$\alpha_1 = a_0b_1 + a_1b_0 = 4(M_0b_{11} + N_0a_1),$$

and, since  $v_2(\alpha_1) = 2, v_2(M_0b_{11} + N_0a_1) = 0$ . Therefore  $v_2(b_{11}) \geq 1$ , that is,  $b_{11}$  is even, so  $v_2(b_1) \geq 2$ . Since  $8|2^{t_2}$  it follows from (17) that  $N_0 - b_{11} + b_2$  must be even, so  $b_2$  is odd. Since  $v_2(a_0b_2) = 1, v_2(a_1b_1) \geq 2$  and  $v_2(a_2b_0) \geq 2$ , applying (13) gives

$$v_2(\alpha_2) = v_2(a_0b_2 + a_1b_1 + a_2b_0) = 1.$$

But from (20),  $v_2(\alpha_2) = 2$ . From this contradiction  $R_{8m}$  is irreducible over  $\mathbb{Q}$ . As previously, applying Lemma 7 with (5) and (6),  $E_{8m}$  and  $S_{8m}$  are also irreducible over  $\mathbb{Q}$ .

For the sake of brevity, the detailed proofs of the cases  $q = 4$  and  $q = 5$  are omitted. They are proved in the same way as the previous cases, by comparing  $v_2(\alpha_i) = v_2(\sum_{j+k=i} a_j b_k)$  with the valuation given from (20). Only the possibilities  $A_0 + B_0 = q$

need to be considered. For  $q = 4$  this means  $A_0 = 1$ ,  $B_0 = 3$  and  $A_0 = 2$ ,  $B_0 = 2$ . The values of  $\alpha_i$  for  $i = 0, \dots, 3$  are needed to prove  $q = 4$  impossible. For  $q = 5$  the values of  $v_2(\alpha_i)$  for  $i = 0, \dots, 4$  are required. It seems likely, but not certain, that the method could be applied successfully to  $q > 5$  with the length of proofs growing approximately quadratically with  $q$ , as  $v_2(\alpha_i)$  is required for  $i = 0, \dots, q - 1$ , and for each  $i$  the number of pairs of values for  $A_0 \geq 1$ ,  $B_0 \geq 1$  to be considered is  $\lfloor q/2 \rfloor$ .  $\square$

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