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Clinical trials of drug and behaviour therapies: methodological issues

Shimazu *et al*¹ designed a randomised controlled trial highlighting the efficacy of family psychoeducation compared with treatment as usual in the maintenance treatment of major depression. By definition, the index trial was a pragmatic trial. The authors did not use behavioural ‘placebo’ control groups, although in such a trial they are not necessarily needed. However, this study has faced bias with regard to recruitment and selection procedures, such as the exclusion of previous non-responders. Sample homogeneity is one of the ways to enhance the power of the study. The authors excluded patients who received electroconvulsive therapy, which improved the homogeneity. The bipolarity status, number of previous episodes, duration of untreated psychosis (DUP) and associated specifier (e.g. melancholic, atypical and psychotic features) might have been taken as inclusion criteria to improve it further. Alternatively, as clinical relevance is the primary consideration in pragmatic trials, differences in treatment structure (e.g. number of antidepressants, doses and length of treatment/follow-up sessions) may be ignored if they reflect clinical practice.

Participants might have a preference for only antidepressant or combined therapy, and this preference might undermine adherence (which is not addressed in this study), influence drop-out rate, and even affect treatment response.² This could be avoided with a two-level randomisation design: first, randomised to two different treatment protocols; and second, randomised to receive preferred treatment. The participants’ expectation, which might be a confounding factor, was not a concern in this trial.

The frequently raised question ‘Does combining family psychoeducation therapy with antidepressant treatment enhance the maintenance of treatment effects following drug withdrawal?’ can only be addressed following drug withdrawal.³

Alliance effects could have been minimised if the drug and family psychoeducation were each administered by professionals who did not have primary allegiance to the type of therapy they were administering and expertise in its administration. This issue is not addressed clearly by Shimazu *et al*.¹

In this pragmatic trial, the goal was to duplicate clinical practice, including practitioners’ clinical judgements in tailoring treatments to patients. However, therapy protocols need to be clearly specified (especially whether receiving antidepressant or antipsychotic drugs) and fidelity to treatment protocols maintained if a clearly defined therapy is to be evaluated and the therapy is to be duplicated by others. Information obtained from this drug-behaviour therapy trial might be maximised if measures of the putative therapeutic mechanisms of behavioural treatment (e.g. self-efficacy, symptoms-related coping) were obtained.

Adherence data can provide useful information about treatment acceptability in pragmatic trials. Adherence appears to be more easily assessed with drug therapy. Measures of adherence with behaviour therapy are often limited to self-report, although completion of in-therapy tasks and/or homework assignments and tape recorders capable of monitoring the use of relaxation tapes have been used as ‘objective’ measures of adherence.⁴ Had

the authors taken some of these measures, the confounding due to adherence would have been reduced.

The authors could have entered some additional factors into the Cox proportional hazards analysis, such as adherence, DUP, type of antidepressant, predominant side-effect and psychotic status of current episode, which may have made the analysis better powered.

The methodological issues we discuss here are not considered immutable, but are expected to evolve as investigators creatively tackle design issues when conducting drug-behaviour trials.

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- 4 Epstein LH. The direct effects of compliance of health outcomes. *Health Psychol* 1984; **3**: 385–93.

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Authors’ reply: Biswas *et al* are correct that our study was a pragmatic trial, but beyond that there seem to be many misunderstandings and we are happy to respond to the points they raise.

First, we did not compare family psychoeducation with treatment as usual (TAU). The comparison was between psychoeducation plus TAU *v.* TAU alone. We asked the pragmatic question whether adding psychoeducation to TAU alone was any better than TAU and were able to answer it positively. The strengths and weaknesses of this type of comparison are fully discussed in our paper.

Second, we did not exclude previous non-responders. We did focus on responders to pharmacotherapy in the index episode because this was a trial of maintenance treatment, and it is very hard for us to logically imagine such a trial without focusing on responders. In addition, it appears meaningless to us that Biswas *et al* would like to assess bipolarity in a trial of major depression.

Third, Biswas *et al* seem to insinuate that we ignored ‘differences in treatment structure (e.g. number of antidepressants, doses and length of treatment/follow-up sessions)’. Our Table 1 shows that they were comparable between the two arms, where the doctors in charge of TAU were kept unaware whether their patients had their family participating in family psychoeducation or not. We strictly abided by the principle of *ceteris paribus*.

Fourth, we agree that adherence and allegiance are important but often ignored aspects in clinical trials. Adherence to the family psychoeducation by the family members was maximised because there was no missed session. Adherence to TAU by the patients may have been optimal or suboptimal but this is not a valid concern in our context because we minimised performance bias (i.e. differential TAU intensity between the two arms) by masking the doctors. Adherence to family psychoeducation by staff was ensured through videotaping and supervision. All these are explained in the paper. On the other hand, we admit we failed