

## COMPACT FACTORIZATION OF OPERATORS WITH $\lambda$ -COMPACT ADJOINTS

ANTARA BHAR and ANIL K. KARN

*School of Mathematical Sciences, National Institute of Science Education and Research,  
Bhimpur, Padanpur via Jatni, Khurda-752050, India  
e-mails: antara.music@gmail.com, anilkarn@niser.ac.in*

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**Abstract.** Let  $\lambda$  be a symmetric, normal sequence space equipped with a  $k$ -symmetric, monotone norm  $\|\cdot\|_\lambda$ . Also, assume that  $(\lambda, \|\cdot\|_\lambda)$  is AK-BK. Corresponding to this sequence space  $\lambda$ , we study compactness of the operator ideal  $K_\lambda$ . We proved compactness, completeness and injectivity of the dual operator ideal  $K_\lambda^d$ . We also investigate the factorization of these operators.

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**1. Introduction.** Compactness is a significant topological notion of Banach space theory. This notion, in general, has been used to bridge the gap while passing from finite dimensional spaces to infinite dimensional spaces. Grothendieck's [5] development of various norms on the tensor product of two Banach (locally convex) spaces is an important milestone in this direction. It may be noted that this process also developed certain classes of operators which are known as operator ideals. Some of these operator ideals are closely related to the operator ideal  $K$  of compact operators. Let  $N$  ( $= N_1$ ) denote the operator ideal of nuclear operators,  $I$  ( $= I_1$ ) denote the operator ideal of integral operators and  $\Pi$  ( $= \Pi_1$ ) denote the operator ideal of absolutely summing operators. (These ideals were introduced by A. Grothendieck.) Then,

$$N^{\max} = I, \quad K^{\max} = \Pi$$

$$I^{\text{inj}} = \Pi, \quad N^{\text{inj}} = K.$$

Pietsch [12] and others generalized the notions of nuclear to  $p$ -nuclear ( $N_p$ ), integral to  $p$ -integral ( $I_p$ ) and absolutely summing to  $p$ -absolutely summing ( $\Pi_p$ ) operators for  $1 < p < \infty$ . In particular, they established the following relations:

$$N_p^{\max} = I_p, \quad (N_p^d)^{\max} = I_p^d,$$

and

$$I_p^{\text{inj}} = \Pi_p, \quad (I_p^d)^{\text{sur}} = \Pi_p^d.$$

However, a suitable extension of compact operators to a  $p$ -case ( $1 \leq p < \infty$ ) remained missing till 2002. Motivated by Grothendieck's characterization of a relatively compact set as one sitting inside convex hull of a vector-valued null sequence, Sinha and Karn [16] in 2002 introduced the notion of  $p$ -compactness ( $1 \leq p < \infty$ ) in Banach spaces.

They defined a set  $K$  in a Banach space  $X$  as relatively  $p$ -compact if there exists a sequence  $\bar{x} = \{x_n\} \in l_p^s(X)$  such that  $K \subseteq \{\sum_{n \geq 1} \alpha_n x_n : \bar{\alpha} \in B_{l_q}, 1/p + 1/q = 1\}$ . An operator  $T \in \mathcal{L}(X, Y)$ ,  $X$  and  $Y$  are Banach spaces, is said to be relatively  $p$ -compact operator if  $T$  maps bounded sets of  $X$  to relatively  $p$ -compact sets in  $Y$ . They proved the factorization of adjoint of a relatively  $p$ -compact operator through a subspace of  $l_p$ . They defined a norm on the class of relatively  $p$ -compact operators using this factorization and proved that  $K_p$ , the class of relatively  $p$ -compact operators, is a Banach operator ideal when equipped with this norm. It is also proved that  $K_p^d = N_p^{inj}$  and  $(K_p^d)^{max} = \Pi_p$ , cf.[16,17]. See also [1,13] for a different approach to these equalities, which expresses  $K_p$  as a surjective hull of a certain well-known operator ideal.

Using the duality theory of sequence spaces, we introduced the notion of  $\lambda$ -compactness in [6], corresponding to an arbitrary Banach sequence space  $\lambda$ . In this paper, we prove that every  $\lambda$ -compact operator is compact for a symmetric, normal, AK-BK sequence space  $\lambda$ . For a pair of Banach spaces  $X$  and  $Y$ , the space  $K_\lambda(X, Y)$  is equipped with the quasi-norm  $\|\cdot\|_{k_\lambda}$  which is defined as  $\|T\|_{k_\lambda} = \inf \|\bar{y}\|_\lambda^s$ , where  $\bar{y} = \{y_n\} \in \lambda^s(Y)$  appears in the definition of  $\lambda$ -compact operators. In this paper, we prove that when  $\lambda$  is a symmetric, normal, AK-BK reflexive sequence space, then for  $T \in K_\lambda(X, Y)$ ,  $X$  and  $Y$  Banach spaces,  $T^*$  factors compactly through a subspace of  $\lambda$ . We define another quasi-norm on  $K_\lambda(X, Y)$  through this factorization and establish the equality of the two quasi-norms. We also show that  $K_\lambda^d(X, Y)$  is topologically isomorphic to  $N_\lambda^{inj}(X, Y)$  for a symmetric, normal, AK-BK sequence space  $\lambda$  which is equipped with  $k$ -symmetric, monotone norm  $\|\cdot\|_\lambda$ . In [6], we proved that  $K_\lambda$ , the collection of all  $\lambda$ -compact operators, form a quasi-normed operator ideal. In this paper, we prove completeness of  $K_\lambda^d$  under the operator ideal norm. This follows from the fact that  $K_\lambda^d = N_\lambda^{inj}$  as quasi-Banach operator ideals. This paper is in sequel of [6].

**2. Preliminaries.** For the rudimentary results and notions of sequence spaces, we essentially follow [6, 7]. Our references for operator ideals,  $\lambda$ -summing operators,  $\lambda$ -nuclear, quasi- $\lambda$ -nuclear,  $\lambda$ -compact operators are [6, 12, 14, 15].

Let  $\lambda$  be an arbitrary sequence space. Then,  $\lambda$  is called (i) **symmetric** if  $\bar{\alpha}_\sigma = \{\alpha_{\sigma(i)}\} \in \lambda$  whenever  $\bar{\alpha} = \{\alpha_i\} \in \lambda$  and  $\sigma \in \Pi$ , where  $\Pi$  is the collection of all permutations of the set of natural numbers  $\mathbb{N}$ , (ii) **normal or solid** if  $\bar{\beta} = \{\beta_i\} \in \lambda$  whenever  $|\beta_i| \leq |\alpha_i|, i \geq 1$  for some  $\bar{\alpha} = \{\alpha_i\} \in \lambda$  and (iii) **monotone** provided  $\lambda$  contains canonical preimages of all its stepspaces.

A sequence space  $\lambda$  is said to be **perfect** if  $\lambda = \lambda^{\times \times} = (\lambda^\times)^\times$ , where  $\lambda^\times$  is the Köthe-dual of  $\lambda$ .

A Banach sequence space  $(\lambda, \|\cdot\|_\lambda)$  is called a **BK-space** provided each of the projection maps  $P_i : \lambda \rightarrow \mathbb{K}, P_i(\bar{\alpha}) = \alpha_i$  is continuous, for  $i \geq 1$ , where  $\mathbb{K}$  is the field of scalars and  $\bar{\alpha} = \{\alpha_1, \alpha_2, \dots\}$ . A BK-space  $(\lambda, \|\cdot\|_\lambda)$  is called an **AK-space** if  $\bar{\alpha}^{(n)} \rightarrow \bar{\alpha}$ , for each  $\bar{\alpha} \in \lambda$ .

For a BK-space  $(\lambda, \|\cdot\|_\lambda)$ , the dual-norm on  $\lambda^\times$  is defined as follows:

$$\|\bar{\beta}\|_{\lambda^\times} = \sup \left\{ \sum_{i \geq 1} |\alpha_i| |\beta_i| : \bar{\alpha} \in \lambda, \|\bar{\alpha}\|_\lambda \leq 1 \right\}.$$

The space  $(\lambda^\times, \|\cdot\|_{\lambda^\times})$  becomes a BK-space provided  $0 < \sup_n \|e^n\|_\lambda < \infty$ . If  $(\lambda, \|\cdot\|_\lambda)$  is also an AK-space, then  $(\lambda^\times, \|\cdot\|_{\lambda^\times})$  is topologically isomorphic to its topological dual  $(\lambda^*, \|\cdot\|)$ , where  $\|f\| = \sup\{|f(\bar{\alpha})| : \bar{\alpha} \in \lambda, \|\bar{\alpha}\|_\lambda \leq 1\}$ .

The norm  $\|\cdot\|_\lambda$  is said to be (i) **k-symmetric** if  $\|\bar{\alpha}\|_\lambda = \|\bar{\alpha}_\sigma\|_\lambda$ , for all  $\sigma \in \Pi$  and (ii) **monotone** if  $\|\bar{\alpha}\|_\lambda \leq \|\bar{\beta}\|_\lambda$  for  $\bar{\alpha}, \bar{\beta}$  in  $\lambda$  with  $|\alpha_i| \leq |\beta_i|, \forall i \geq 1$ .

The space  $(\lambda, \|\cdot\|_\lambda)$  is said to have the **norm iteration property** if for each sequence  $\{\bar{\alpha}^n\}$  in  $\lambda, \bar{\alpha}_i = \{\alpha_i^1, \alpha_i^2, \alpha_i^3, \dots\} \in \lambda$  for each  $i \geq 1$  and  $\|\{\|\bar{\alpha}^n\|_\lambda\}_n\|_\lambda = \|\{\|\bar{\alpha}_i\|_\lambda\}_i\|_\lambda$ , cf.[14].

Corresponding to a sequence space  $\lambda$  and a Banach space  $X$  with its topological dual  $X^*$  equipped with the operator norm topology generated by  $\|\cdot\|$ , the vector-valued sequence spaces  $\lambda^s(X)$  and  $\lambda^w(X)$  are defined as

$$\lambda^s(X) = \{\bar{x} = \{x_n\} \subset X : \{\|x_n\|\} \in \lambda\}$$

and

$$\lambda^w(X) = \{\bar{x} = \{x_n\} \subset X : \{f(x_n)\} \in \lambda, \forall f \in X^*\}.$$

If  $\lambda$  is equipped with a monotone norm  $\|\cdot\|_\lambda$ , the space  $\lambda^s(X)$  becomes a normed linear space with respect to the norm defined as

$$\|\bar{x}\|_\lambda^s = \|\{x_n\}\|_\lambda^s = \|\{\|x_n\|\}\|_\lambda, \bar{x} = \{x_n\} \in \lambda^s(X).$$

However, for  $\bar{x} \in \lambda^w(X)$ , the norm on  $\lambda^w(X)$  is defined as

$$\|\bar{x}\|_\lambda^w = \|\{x_n\}\|_\lambda^w = \sup\{\|\{f(x_n)\}\|_\lambda, f \in X^*, \|f\| \leq 1\}.$$

The symbol  $\mathcal{L}(X, Y)$  is used for the set of bounded linear operators between any two Banach spaces  $X$  and  $Y$ ; whereas  $\mathcal{L}$  denotes the collection of all bounded operators between any pair of Banach spaces.

An operator  $T \in \mathcal{L}(X, Y)$  is said to be

- (i) **absolutely  $\lambda$ -summing** [15] if for each  $\bar{x} = \{x_i\} \in \lambda^w(X)$ , the sequence  $\{Tx_i\}$  is in  $\lambda^s(Y)$ ;
- (ii)  **$\lambda$ -nuclear** [14] if T has the representation

$$Tx = \sum_{n \geq 1} f_n(x)y_n,$$

where  $\{f_n\} \subseteq X^*$  with  $\{f_n\} \in \lambda^s(X^*), \bar{y} = \{y_n\} \in (\lambda^\times)^w(Y)$ ;

- (iii) **quasi- $\lambda$ -nuclear** [14] if there exists  $\{f_n\} \subseteq X^*$  such that  $\bar{f} = \{f_n\} \in \lambda^s(X^*)$  and  $\|Tx\| \leq \|\{f_n(x)\}\|_\lambda$ , for each  $x \in X$ ;
- (iv)  **$\lambda$ -compact** [6] if there exists  $\bar{y} = \{y_n\} \in \lambda^s(Y)$  such that  $T(B_X) \subseteq \lambda - co\{y_n\} = \{\sum_{n \geq 1} \alpha_n y_n : \bar{\alpha} \in B_{\lambda^\times}\}$ .

The symbols  $\Pi_\lambda(X, Y), N_\lambda(X, Y), QN_\lambda(X, Y)$  and  $K_\lambda(X, Y)$  denote respectively the collection of all  $\lambda$ -summing,  $\lambda$ -nuclear, quasi- $\lambda$ -nuclear and  $\lambda$ -compact operators from  $X$  to  $Y$ . Quasi-norms on  $\Pi_\lambda(X, Y), N_\lambda(X, Y), QN_\lambda(X, Y)$  and  $K_\lambda(X, Y)$  are defined as

- (i)  $\|T\|_{\Pi_\lambda} = \inf\{C > 0 : \|\{Tx_i\}\|_\lambda^s \leq C\|\{x_i\}\|_\lambda^w, \text{ for } \{x_i\} \in \lambda^w(X)\}, T \in \Pi_\lambda(X, Y)$ ;

- (ii)  $\|T\|_{N_\lambda} = \inf \|\{f_n\}\|_{\lambda^s}^s \|\{y_n\}\|_{\lambda^\times}^w$ , where  $\{f_n\} \in \lambda^s(X^*)$  and  $\{y_n\} \in (\lambda^\times)^w(Y)$  appears in the definition of  $N_\lambda(X, Y)$ ;
- (iii)  $\|T\|_{QN_\lambda} = \inf \|\{f_n\}\|_{\lambda^s}^s$ , where  $\{f_n\} \in \lambda^s(X^*)$  appears in the definition of  $QN_\lambda(X, Y)$ ;
- (iv)  $\|T\|_{k_\lambda} = \inf \|\{y_n\}\|_{\lambda^s}^s$ , where  $\{y_n\} \in \lambda^s(Y)$  appears in the definition of  $\lambda$ -compact operators.

It is known that  $(\Pi_\lambda, \|\cdot\|_{\Pi_\lambda}), (N_\lambda, \|\cdot\|_{N_\lambda}), (QN_\lambda, \|\cdot\|_{QN_\lambda})$  are quasi-Banach operator ideals and  $(K_\lambda, \|\cdot\|_{k_\lambda})$  is a quasi-normed operator ideal for suitable sequence spaces  $\lambda$  [6, 14, 15].

Let  $\mathcal{A}$  be an operator ideal. For Banach spaces  $X$  and  $Y$ , recall the following classes of operators:

- (i)  $\mathcal{A}^d(X, Y) = \{T \in \mathcal{L}(X, Y) : T^* \in \mathcal{A}(Y^*, X^*)\}$ ,
- (ii)  $\mathcal{A}^{\text{sur}}(X, Y) = \{T \in \mathcal{L}(X, Y) : TQ_X \in \mathcal{A}(l_1(B_X), Y)\}$ ; where the canonical operator  $Q_X : l_1(B_X) \rightarrow X$  is defined as  $Q_X(\{\xi_x\}_{x \in B_X}) = \sum_{x \in B_X} \xi_x x$ ;
- (iii)  $\mathcal{A}^{\text{inj}}(X, Y) = \{T \in \mathcal{L}(X, Y) : J_Y T \in \mathcal{A}(X, l_\infty(B_{Y^*}))\}$ , where the canonical operator  $J_Y : Y \rightarrow l_\infty(B_{Y^*})$  is defined as  $J_Y(y) = \{g(y)\}_{g \in B_{Y^*}}$ ;
- (iv)  $\mathcal{A}^{\text{min}}(X, Y) = \{T \in \mathcal{L}(X, Y) : T = RT_0S, \text{ for some } S \in \widetilde{\mathfrak{F}}(X, X_0), T_0 \in \mathcal{A}(X_0, Y_0), R \in \widetilde{\mathfrak{F}}(Y_0, Y)\}$ , where  $\widetilde{\mathfrak{F}}$  is the operator ideal of approximable operators;
- (v)  $\mathcal{A}^{\text{max}}(X, Y) = \{T \in \mathcal{L}(X, Y) : RTS \in \mathcal{A}(X_0, Y_0), \text{ for any } S \in \widetilde{\mathfrak{F}}(X_0, X), R \in \widetilde{\mathfrak{F}}(Y, Y_0)\}$ .

Then  $\mathcal{A}^d, \mathcal{A}^{\text{sur}}, \mathcal{A}^{\text{inj}}, \mathcal{A}^{\text{min}}$  and  $\mathcal{A}^{\text{max}}$  equipped with corresponding norms are quasi-Banach operator ideals if  $\mathcal{A}$  is so, cf.[12, Chapter 8].

An operator ideal  $\mathcal{A}$  is said to be **surjective** if  $\mathcal{A} = \mathcal{A}^{\text{sur}}$ , **injective** if  $\mathcal{A} = \mathcal{A}^{\text{inj}}$ , **maximal** if  $\mathcal{A} = \mathcal{A}^{\text{max}}$  and **minimal** if  $\mathcal{A} = \mathcal{A}^{\text{min}}$ .

An operator ideal  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  is said to have the  $l_\infty$ -extension property if for a Banach space  $X$ , a set  $\Gamma$  and an operator  $T \in \mathcal{A}(X, l_\infty(\Gamma))$ , there is an operator  $\tilde{T} \in \mathcal{A}(l_\infty(B_{X^*}), l_\infty(\Gamma))$  such that  $T = \tilde{T} \circ i_X$ , with  $\|\tilde{T}\|_{\mathcal{A}} = \|T\|_{\mathcal{A}}$ , where  $i_X : X \hookrightarrow l_\infty(B_{X^*})$  is the Alaoglu embedding.

Regarding the injective hull of composition of two quasi-normed operator ideals, Karn and Sinha [8] proved.

LEMMA 2.1. *Let  $\mathcal{A}_1$  and  $\mathcal{A}_2$  be two operator ideals. If  $\mathcal{A}_1$  has the  $l_\infty$ -extension property, or if  $\mathcal{A}_2$  is injective, then*

$$(\mathcal{A}_1 \circ \mathcal{A}_2)^{\text{inj}} = \mathcal{A}_1^{\text{inj}} \circ \mathcal{A}_2^{\text{inj}}.$$

**3.  $\lambda$ -compact operators.** Throughout this section, we consider that  $\lambda$  is a symmetric, normal sequence space equipped with a  $k$ -symmetric, monotone norm  $\|\cdot\|_\lambda$  such that  $(\lambda, \|\cdot\|_\lambda)$  is an AK-BK space. As the norm  $\|\cdot\|_\lambda$  is  $k$ -symmetric, we have  $\|e^n\|_\lambda = \|e_\sigma^n\|_\lambda, \forall \sigma \in \Pi$  and so  $0 < \sup_n \|e^n\|_\lambda < \infty$ .

Regarding  $\lambda$ -compact operators, we prove.

PROPOSITION 3.1. *Let  $(\lambda, \|\cdot\|_\lambda)$  be a symmetric, normal, AK-BK space with a  $k$ -symmetric, monotone norm. Then, every  $\lambda$ -compact operator is compact.*

*Proof.* Let  $T \in K_\lambda(X, Y)$ . We can find  $\bar{y} = \{y_n\} \in \lambda^s(Y)$  such that  $T(B_X) \subseteq \{\sum_{n \geq 1} \alpha_n y_n : \bar{\alpha} \in B_{\lambda^\times}\}$ .

As  $(\lambda, \|\cdot\|_\lambda)$  is  $c_0$ -invariant [19, Theorem 1], there exist  $\bar{z} = \{z_n\} \in c_0(Y)$  and  $\bar{\beta} = \{\beta_n\} \in \lambda$  such that  $y_n = \beta_n z_n$ . This would imply

$$T(B_X) \subseteq \left\{ \sum_{n \geq 1} \gamma_n (\|\bar{\beta}\|_\lambda z_n) : \bar{\gamma} \in B_{l_1} \right\} = \overline{c_0} \{ \|\beta\|_\lambda z_n \}.$$

Thus, by Grothendieck’s characterization of compactness, we have  $T \in K(X, Y)$ .  $\square$

Now, we prove that the quasi-norm  $\|\cdot\|_{k_\lambda}$  is in fact a factorization norm which follows from the following natural factorizations of  $\lambda$ -compact operators. Though the result is not needed in the sequel, it is important on its own.

LEMMA 3.2. *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1 and reflexive. Let  $T \in \mathcal{L}(X, Y)$ . Then, the following statements are equivalent:*

- (i) *T is  $\lambda$ -compact operator.*
- (ii) *There are  $\bar{y} = \{y_n\} \in \lambda^s(Y)$  and  $S_{\bar{y}} \in \mathcal{L}(R(\bar{y}), X^*)$  such that  $T^* = S_{\bar{y}} \circ E_{\bar{y}}^*$ .*
- (iii) *There are  $\bar{y} \in B_{l_\infty}(Y)$ ,  $\bar{\gamma} = \{\gamma_n\} \in \lambda$  and  $S_{\bar{y}} \in \mathcal{L}(R(\bar{y}), X^*)$  such that  $T^* = S_{\bar{y}} \circ M_{\bar{\gamma}}^{\bar{y}} \circ E_{\bar{y}}^*$ .*
- (iv) *There are  $\bar{y} \in B_{c_0^s}(Y)$ ,  $\bar{\beta} = \{\beta_n\} \in \lambda$  and  $S_{\bar{y}} \in \mathcal{L}(R(\bar{y}), X^*)$  such that  $T^* = S_{\bar{y}} \circ M_{\bar{\beta}}^{\bar{y}} \circ E_{\bar{y}}^*$ .*

Here,  $R(\bar{y}) = \{ \{f(y_n)\} : f \in Y^* \}$ ,  $E_{\bar{y}} : \lambda^\times \rightarrow Y$  given by  $E_{\bar{y}}(\bar{\alpha}) = \sum_{n \geq 1} \alpha_n y_n$ ,  $M_{\bar{\gamma}} : l_\infty \rightarrow \lambda$  defined as  $M_{\bar{\gamma}}(\bar{\alpha}) = \{\gamma_n \alpha_n\}$ , and  $M_{\bar{\beta}}^{\bar{y}} = M_{\bar{\gamma}}^{\bar{y}} / R(\bar{y})$ .

The proof is omitted since this is on the same line as given in [16, pp. 20–21].

PROPOSITION 3.3. *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Lemma 3.2 and  $T \in \mathcal{L}(X, Y)$ . Define  $k_\lambda(\cdot)$  on  $K_\lambda(X, Y)$  as*

$$k_\lambda(T) = \inf \{ \|S_{\bar{y}}\| \|\bar{y}\|_\lambda^s : T^* = S_{\bar{y}} \circ E_{\bar{y}}^* \text{ as in Lemma 3.2(ii)} \}.$$

Then, for  $T \in K_\lambda(X, Y)$ , we have

$$\|T\|_{k_\lambda} = k_\lambda(T).$$

*Proof.* Though the argument is same as given in [3, Proposition 3.15], we sketch a proof of a one-sided inequality for the sake of completeness. Let  $T \in K_\lambda(X, Y)$ . Given  $\varepsilon > 0$ , we can find  $\bar{y} = \{y_n\} \in \lambda^s(Y)$  such that  $T(B_X) \subseteq \{ \sum_{n \geq 1} \alpha_n y_n : \bar{\alpha} \in B_{\lambda^\times} \}$  and  $\|\bar{y}\|_\lambda^s < \|T\|_{k_\lambda} + \varepsilon$ . As  $(\lambda, \|\cdot\|_\lambda)$  is reflexive,  $\{ \sum_{n \geq 1} \alpha_n y_n : \bar{\alpha} \in B_{\lambda^\times} \}$  is norm-closed. Thus,  $\|T^*f\| \leq \| \{f(y_n)\} \|_\lambda$ . Hence,

$$\|S_{\bar{y}}\| = \sup_{\| \{f(y_n)\} \|_\lambda \leq 1} \|T^*f\| \leq 1.$$

So we have  $k_\lambda(T) \leq \|\bar{y}\|_\lambda^s < \|T\|_{k_\lambda} + \varepsilon$ .  $\square$

As a consequence of [18, page 13, Condition(S)] and the definition of  $\lambda$ -compact operators, we have the following proposition.

PROPOSITION 3.4. *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. Then,  $K_\lambda = K_\lambda^{\text{sur}}$  as quasi-normed operator ideals.*

Applying the above result, we prove.

PROPOSITION 3.5. *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. Then,  $K_\lambda^d$  is injective operator ideal and  $\|T\|_{K_\lambda^d} = \|T\|_{(K_\lambda^d)^{\text{inj}}}$ , for  $T \in K_\lambda^d(X, Y)$ .*

*Proof.* As  $K_\lambda$  is a surjective operator ideal,  $K_\lambda^d$  becomes an injective operator ideal, cf.[12, 4.7.18, Proposition 2], that is,  $K_\lambda^d(X, Y) = (K_\lambda^d)^{\text{inj}}(X, Y)$ .

Let  $T \in K_\lambda^d(X, Y)$ . Since  $K_\lambda^d(X, Y) \subseteq (K_\lambda^d)^{\text{inj}}(X, Y)$ , we have  $T \in (K_\lambda^d)^{\text{inj}}(X, Y)$ . Then,

$$\|T\|_{(K_\lambda^d)^{\text{inj}}} = \|T^*(J_Y)^*\|_{k_\lambda} \leq \|(J_Y)^*\| \|T^*\|_{k_\lambda} \leq \|T^*\|_{k_\lambda} = \|T\|_{K_\lambda^d}.$$

Now we want to show  $\|T\|_{K_\lambda^d} \leq \|T\|_{(K_\lambda^d)^{\text{inj}}}$ , for  $T \in K_\lambda^d(X, Y) = (K_\lambda^d)^{\text{inj}}(X, Y)$ . For  $\varepsilon > 0$ , we can find  $\bar{f} = \{f_n\} \in \lambda^s(X^*)$  such that

$$T^*(J_Y)^*(B_{(Y^{\text{inj}})^*}) \subseteq \{\sum_{n \geq 1} \alpha_n f_n : \bar{\alpha} \in B_{\lambda^\times}\} \text{ with } \|\bar{f}\|_\lambda^s < \|T^*(J_Y)^*\|_{k_\lambda} + \varepsilon.$$

As  $J_Y^* : (Y^{\text{inj}})^* \rightarrow Y^*$  is a metric surjection,  $J_Y^*$  transforms the open unit ball of  $(Y^{\text{inj}})^*$  onto the open unit ball of  $Y^*$ . So we have

$$T^*(B_{Y^*}) \subseteq \{\sum_{n \geq 1} \alpha_n f_n : \bar{\alpha} \in B_{\lambda^\times}\}.$$

This implies  $\|T^*\|_{k_\lambda} \leq \|\bar{f}\|_\lambda^s < \|T^*(J_Y)^*\|_{k_\lambda} + \varepsilon$ .

As  $\varepsilon > 0$  is arbitrary, letting  $\varepsilon \rightarrow 0$ , we have  $\|T\|_{K_\lambda^d} \leq \|T\|_{(K_\lambda^d)^{\text{inj}}}$ . This completes the proof. □

The main result of this section is the following theorem.

THEOREM 3.6. *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. Then,  $K_\lambda^d = N_\lambda^{\text{inj}}$  as quasi-Banach operator ideals.*

To prove Theorem 3.6, we need the following lemma, which is essentially [14, Theorem 7]. We provide a proof for the sake of completeness.

LEMMA 3.7. *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. Then,  $QN_\lambda = N_\lambda^{\text{inj}}$  as quasi-Banach operator ideals.*

*Proof.* It is well known that for any Banach space  $Z$ , the map  $J_Z : Z \rightarrow Z^{\text{inj}}$  is an isometry. Hence,  $QN_\lambda = QN_\lambda^{\text{inj}}$ . Also,  $Z^{\text{inj}}$  has  $l_\infty$ -extension property. Thus, as a consequence of [14, Theorem 9] and injectivity of the operator ideal  $QN_\lambda$ , we have  $QN_\lambda(X, Y) = N_\lambda^{\text{inj}}(X, Y)$  for any pair of Banach spaces  $X$  and  $Y$ .

For proving  $\|T\|_{QN_\lambda} = \|T\|_{N_\lambda^{\text{inj}}}$ ,  $T \in QN_\lambda(X, Y)$ , we consider  $S \in N_\lambda(X, Y)$ . For given  $\varepsilon > 0$ , there exists  $\{f_n\} \in \lambda^s(X^*)$  and  $\{y_n\} \in (\lambda^\times)^w(Y)$  such that

$$Sx = \sum_{n \geq 1} f_n(x)y_n, \text{ for } x \in X \text{ with } \|\{f_n\}\|_\lambda^s \|\{y_n\}\|_{\lambda^\times}^w < \|S\|_{N_\lambda} + \varepsilon.$$

For  $g \in B_{Y^*}$ , we have

$$\begin{aligned} |g(Sx)| &\leq \sum_{n \geq 1} |f_n(x)| |g(y_n)| \\ &= \|\{f_n(x)\}\|_\lambda \sum_{n \geq 1} \frac{|f_n(x)|}{\|\{f_n(x)\}\|_\lambda} |g(y_n)| \\ &\leq \|\{f_n(x)\}\|_\lambda \|\{y_n\}\|_{\lambda^\times}^w \\ &\leq \|\{f_n\}\|_\lambda^s \|\{y_n\}\|_{\lambda^\times}^w < \|S\|_{N_\lambda} + \varepsilon. \end{aligned}$$

This would imply

$$\|S\| = \sup_{g \in B_{Y^*}} |g(Sx)| \leq \|\{f_n(x)\}\|_\lambda \|\{y_n\}\|_{\lambda^\times}^w.$$

Thus,  $\|S\|_{QN_\lambda} \leq \|\{f_n\}\|_\lambda^s \|\{y_n\}\|_{\lambda^\times}^w < \|S\|_{N_\lambda} + \varepsilon$ . As  $\varepsilon > 0$  is arbitrary, letting  $\varepsilon \rightarrow 0$ , we have  $\|S\|_{QN_\lambda} \leq \|S\|_{N_\lambda}$ .

As  $N_\lambda(X, Y) \subseteq QN_\lambda(X, Y)$ , we have  $N_\lambda^{\text{inj}}(X, Y) \subseteq QN_\lambda^{\text{inj}}(X, Y) = QN_\lambda(X, Y)$  and so

$$\|T\|_{QN_\lambda^{\text{inj}}} = \|J_Y T\|_{QN_\lambda} \leq \|J_Y T\|_{N_\lambda} = \|T\|_{N_\lambda^{\text{inj}}}.$$

For the other inequality, that is,  $\|T\|_{N_\lambda^{\text{inj}}} \leq \|T\|_{QN_\lambda}$ , let  $T \in QN_\lambda(X, Y)$ . For given  $\varepsilon > 0$ , we can find  $\bar{f} = \{f_n\} \in \lambda^s(X^*)$  such that  $\|Tx\| \leq \|\{f_n(x)\}\|_\lambda$  with  $\|\{f_n\}\|_\lambda^s < \|T\|_{QN_\lambda} + \varepsilon$ , for every  $x \in X$ . We define a map  $T_0 : X \rightarrow \lambda$  as

$$T_0(x) = \{f_n(x)\} = \sum_{n \geq 1} f_n(x) e^n$$

for  $x \in X$ . As  $\{f_n\} \in \lambda^s(X^*)$  and  $\{e^n\} \in (\lambda^\times)^w(\lambda)$ ,  $T_0 \in N_\lambda(X, \lambda)$ . Also,  $\|Tx\| \leq \|T_0x\|$ . Thus,  $T \in N_\lambda^{\text{inj}}(X, Y)$  and  $\|T\|_{N_\lambda^{\text{inj}}} \leq \|T_0\|_{N_\lambda}$  by [12, Proposition 8.4.4]. As

$$\|T_0\|_{N_\lambda} \leq \|\{f_n\}\|_\lambda^s \|\{e^n\}\|_{\lambda^\times}^w \leq \|\{f_n\}\|_\lambda^s < \|T\|_{QN_\lambda} + \varepsilon,$$

we have  $\|T\|_{N_\lambda^{\text{inj}}} < \|T\|_{QN_\lambda} + \varepsilon$ . As  $\varepsilon > 0$  is arbitrary, letting  $\varepsilon \rightarrow 0$ , we have  $\|T\|_{N_\lambda^{\text{inj}}} \leq \|T\|_{QN_\lambda}$ . This completes the proof.  $\square$

*Proof of Theorem 3.6* Since  $N_\lambda(X, Y) \subseteq K_\lambda^d(X, Y)$ , cf.[6, Theorem 4.1], we have  $N_\lambda^{\text{inj}}(X, Y) \subseteq (K_\lambda^d)^{\text{inj}}(X, Y) = K_\lambda^d(X, Y)$ .

For showing  $K_\lambda^d(X, Y) \subseteq N_\lambda^{\text{inj}}(X, Y)$ , we consider  $T \in K_\lambda^d(X, Y)$ . Then, there exists  $\{f_n\} \in \lambda^s(X^*)$  such that  $T^*(B_{Y^*}) \subseteq \{\sum_{n \geq 1} \alpha_n f_n : \bar{\alpha} \in B_{\lambda^\times}\}$ .

If  $Tx = 0$  for  $x \in X$ , we have  $0 = \|Tx\| \leq \|\{f_n(x)\}\|_\lambda$ . If  $Tx \neq 0$ , we have  $0 \neq \|Tx\| = \sup_{g \in B_{Y^*}} |g(Tx)|$ . For  $g \in B_{Y^*}$ , we have

$$|g(Tx)| = |T^*g(x)| = |\sum_{n \geq 1} \alpha_n f_n(x)|, \text{ for some } \bar{\alpha} \in B_{\lambda^\times}.$$

This would imply

$$\begin{aligned}
 |g(Tx)| &= \left| \sum_{n \geq 1} \alpha_n f_n(x) \right| \\
 &\leq \| \{f_n(x)\} \|_{\lambda} \sum_{n \geq 1} |\alpha_n| \frac{|f_n(x)|}{\| \{f_n(x)\} \|_{\lambda}} \\
 &\leq \| \{f_n(x)\} \|_{\lambda} \| \bar{\alpha} \|_{\lambda^{\times}} \\
 &\leq \| \{f_n(x)\} \|_{\lambda}.
 \end{aligned}$$

Hence,  $\|Tx\| \leq \| \{f_n(x)\} \|_{\lambda}$ . So  $T \in \mathcal{QN}_{\lambda}(X, Y) = N_{\lambda}^{\text{inj}}(X, Y)$  and so  $K_{\lambda}^d(X, Y) \subseteq N_{\lambda}^{\text{inj}}(X, Y)$ .

For proving the equality of the norms  $\|\cdot\|_{K_{\lambda}^d}$  and  $\|\cdot\|_{N_{\lambda}^{\text{inj}}}$ , we consider  $T \in K_{\lambda}^d(X, Y)$ . For  $\varepsilon > 0$ , there exists  $\bar{f} = \{f_n\} \in \lambda^s(X^*)$  such that

$$T^*(B_{Y^*}) \subseteq \left\{ \sum_{n \geq 1} \alpha_n f_n : \bar{\alpha} \in B_{\lambda^{\times}} \right\} \text{ and } \| \bar{f} \|_{\lambda}^s < \| T^* \|_{K_{\lambda}} + \varepsilon.$$

For  $g \in B_{Y^*}$ , we have

$$|g(Tx)| \leq \sum_{n \geq 1} |\alpha_n| |f_n(x)|, \text{ for some } \bar{\alpha} \in B_{\lambda^{\times}}.$$

This implies

$$|g(Tx)| \leq \| \{f_n(x)\} \|_{\lambda}.$$

Thus,  $\|Tx\| \leq \| \{f_n(x)\} \|_{\lambda}$ , for every  $x \in X$ . Hence,  $\|T\|_{\mathcal{QN}_{\lambda}} = \|T\|_{N_{\lambda}^{\text{inj}}} \leq \| \bar{f} \|_{\lambda}^s < \| T^* \|_{K_{\lambda}} + \varepsilon$ . As  $\varepsilon > 0$  is arbitrary, letting  $\varepsilon \rightarrow 0$ , we have  $\|T\|_{N_{\lambda}^{\text{inj}}} \leq \| T^* \|_{K_{\lambda}} = \|T\|_{K_{\lambda}^d}$ .

For the other inequality, let  $T \in N_{\lambda}^{\text{inj}}(X, Y)$ . This would imply  $J_Y T \in N_{\lambda}(X, Y^{\text{inj}})$ . Thus,  $J_Y T \in K_{\lambda}^d(X, Y^{\text{inj}})$ . Now we want to prove  $\|J_Y T\|_{K_{\lambda}^d} \leq \|J_Y T\|_{N_{\lambda}}$ , that is,  $\|T\|_{(K_{\lambda}^d)^{\text{inj}}} \leq \|T\|_{N_{\lambda}^{\text{inj}}}$ . For proving this inequality, let  $S \in N_{\lambda}(X, Y)$ . Given  $\varepsilon > 0$ , there exists  $\{f_n\} \in \lambda^s(X^*)$  and  $\{y_n\} \in (\lambda^{\times})^w(Y)$  such that

$$Sx = \sum_{n \geq 1} f_n(x) y_n \text{ and } \| \{f_n\} \|_{\lambda}^s \| \{y_n\} \|_{\lambda^{\times}}^w < \|S\|_{N_{\lambda}} + \varepsilon.$$

For  $g \in B_{Y^*}$  and  $x \in X$ , we have

$$(S^*g)x = g(Sx) = \sum_{n \geq 1} f_n(x) g(y_n) = \left( \sum_{n \geq 1} g(y_n) f_n \right) x.$$

Since  $\left\{ \frac{g(y_n)}{\| \{y_n\} \|_{\lambda^{\times}}^w} \right\} \in B_{\lambda^{\times}}$ , we have  $S^*(B_{Y^*}) \subseteq \lambda - \text{co} \{ \| \{y_n\} \|_{\lambda^{\times}}^w f_n \}$ . So

$$\|S\|_{K_{\lambda}^d} = \|S^*\|_{K_{\lambda}} \leq \| \{f_n\} \|_{\lambda}^s \| \{y_n\} \|_{\lambda^{\times}}^w < \|S\|_{N_{\lambda}} + \varepsilon.$$

Thus,  $\|S\|_{K_{\lambda}^d} \leq \|S\|_{N_{\lambda}}$  as  $\varepsilon \rightarrow 0$ .

Hence, for  $T \in N_{\lambda}^{\text{inj}}(X, Y)$  we have  $T \in (K_{\lambda}^d)^{\text{inj}}(X, Y)$  and so

$$\|J_Y T\|_{K_{\lambda}^d} \leq \|J_Y T\|_{N_{\lambda}} = \|T\|_{N_{\lambda}^{\text{inj}}}.$$

Applying Proposition 3.5, we get  $\|T\|_{K_{\lambda}^d} = \|T\|_{N_{\lambda}^{\text{inj}}}$ .



Since  $(N_\lambda, \|\cdot\|_{N_\lambda})$  is a quasi-Banach operator ideal, its injective hull  $(K_\lambda^d, \|\cdot\|_{K_\lambda^d})$  would be a quasi-Banach operator ideal, cf.[12, Theorem 8.4.2].

This completes the proof. □

REMARK: As  $(K_\lambda^d, \|\cdot\|_{K_\lambda^d})$  is an injective quasi-Banach operator ideal, we see that  $(K_\lambda^{dd}, \|\cdot\|_{K_\lambda^{dd}})$  is a surjective quasi-Banach operator ideal. Recall that  $(K_\lambda, \|\cdot\|_\lambda)$  is a surjective quasi-normed operator ideal. Though, we have not be able to show its completeness, we believe it to be true. We may observe that  $K_\lambda \subseteq K_\lambda^{dd}$ , for  $(\lambda, \|\cdot\|_\lambda)$  as in Proposition 3.1. In fact,  $K_\lambda \subseteq QN_\lambda^d = K_\lambda^{dd}$ . We expect that  $K_\lambda = K_\lambda^{dd}$  as quasi-Banach operator ideals.

Regarding the factorization of  $\lambda$ -compact operators, we prove the following.

PROPOSITION 3.8. *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. Then,*

$$K \circ (K_\lambda^d)^{\max} \circ \overline{\mathfrak{F}} \subseteq K_\lambda^d \subseteq K \circ (K_\lambda^d)^{\max} \circ K.$$

For proving the proposition we need the following

LEMMA 3.9. *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. Then,  $N_\lambda$  is a minimal operator ideal.*

*Proof.* Let  $T \in N_\lambda(X, Y)$ . Then, there exists  $\{f_n\} \in \lambda^s(X^*)$  and  $\{y_n\} \in (\lambda^\times)^w(Y)$  such that  $Tx = \sum_{n \geq 1} f_n(x)y_n$ , for  $x \in X$ . As  $\lambda$  is  $c_0$ -invariant, we can find  $\{\alpha_n\} \in c_0$  and  $\{\beta_n\} \in \lambda$  such that  $\|f_n\| = \alpha_n\beta_n$ . We define  $\{\gamma_n\} \in c_0$ , where  $\gamma_n = (\alpha_n)^{1/2}$ . The operator  $T \in N_\lambda(X, Y)$  can be factorized as  $T = Q_1Q_2DP_2P_1$ , where  $P_1 \in \mathcal{L}(X, l_\infty)$ ,  $P_2 \in \mathcal{L}(l_\infty, l_\infty)$ ,  $D \in \mathcal{L}(l_\infty, \lambda)$ ,  $Q_2 \in \mathcal{L}(\lambda, \lambda)$ ,  $Q_1(\lambda, Y)$  are defined as  $P_1(x) = \{(f_n/\|f_n\|)x\}$ ,  $P_2(\{\eta_n\}) = \{\gamma_n\eta_n\}$ ,  $D(\{\xi_n\}) = \{\gamma_n^{-2}\|f_n\|\xi_n\}$ ,  $Q_2(\{\delta_n\}) = \{\gamma_n\delta_n\}$ ,  $Q_1(\{\mu_n\}) = \sum_{n \geq 1} \mu_n y_n$ , respectively. Since  $l_\infty$  and  $\lambda$  have approximation property, we know that the operators  $P_2P_1 \in \overline{\mathfrak{F}}(X, l_\infty)$  and  $Q_1Q_2 \in \overline{\mathfrak{F}}(\lambda, Y)$ . As the operator  $D \in (l_\infty, \lambda)$  is a diagonal operator, we have  $D \in N_\lambda(l_\infty, \lambda)$ . Therefore,  $T \in \overline{\mathfrak{F}} \circ N_\lambda \circ \overline{\mathfrak{F}}(X, Y) = N_\lambda^{\min}(X, Y)$ . Thus,  $N_\lambda(X, Y) = N_\lambda^{\min}(X, Y)$ . □

*Proof of Proposition 3.8.* As  $N_\lambda$  is a minimal operator ideal, applying [12, Proposition 8.7.15] we have

$$(N_\lambda^{\max})^{\min} = \overline{\mathfrak{F}} \circ N_\lambda^{\max} \circ \overline{\mathfrak{F}} = N_\lambda^{\min} = N_\lambda.$$

Since  $K$  is injective as well as enjoys  $l_\infty$ -extension property [9] (see also [20, Theorem 4.2]), using Lemma 2.1, we get

$$\begin{aligned} K_\lambda^d &= N_\lambda^{\text{inj}} \subseteq (\overline{\mathfrak{F}} \circ N_\lambda^{\max} \circ \overline{\mathfrak{F}})^{\text{inj}} \\ &\subseteq (K \circ N_\lambda^{\max} \circ K)^{\text{inj}} \\ &= K \circ (N_\lambda^{\max})^{\text{inj}} \circ K \\ &= K \circ (N_\lambda^{\text{inj}})^{\max} \circ K \\ &= K \circ (K_\lambda^d)^{\max} \circ K. \end{aligned}$$

For the reverse inclusion, we have

$$K_\lambda^d = N_\lambda^{\text{inj}} = (\overline{\mathfrak{F}} \circ N_\lambda^{\max} \circ \overline{\mathfrak{F}})^{\text{inj}} = K \circ (N_\lambda^{\max} \circ \overline{\mathfrak{F}})^{\text{inj}}.$$

Since  $(N_\lambda^{\max})^{\text{inj}} \circ \overline{\mathfrak{F}} \subseteq (N_\lambda^{\max} \circ \overline{\mathfrak{F}})^{\text{inj}}$ , it follows that

$$K \circ (K_\lambda^d)^{\max} \circ \overline{\mathfrak{F}} \subseteq K \circ (N_\lambda^{\max} \circ \overline{\mathfrak{F}})^{\text{inj}} = N_\lambda^{\text{inj}} = K_\lambda^d.$$

This completes the proof. □

As a consequence of this result, we prove the following factorization of  $K_\lambda^d$ .

**PROPOSITION 3.10.** *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. If  $\lambda$  is also perfect and the norm  $\|\cdot\|_\lambda$  of  $\lambda$  has norm iteration property, the following holds*

$$K_\lambda^d \subseteq \Pi_\lambda \circ K.$$

To prove it, we need the following two lemmas.

**LEMMA 3.11.** *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. Then,  $T \in \Pi_\lambda(X, Y)$  if and only if for any  $x_1, x_2, x_3, \dots, x_n \in X$  and  $g_1, g_2, g_3, \dots, g_n \in Y^*$  there exists a constant  $C > 0$  such that*

$$\begin{aligned} & \| \{g_1(Tx_1), g_2(Tx_2), \dots, g_n(Tx_n), 0, 0, \dots\} \|_\lambda \\ & \leq C \| \{x_1, x_2, \dots, x_n, 0, 0, \dots\} \|_\lambda^w \sup_{1 \leq i \leq n} \|g_i\|. \end{aligned}$$

*Proof.* Let  $T \in \mathcal{L}(X, Y)$  satisfy the given criterion and  $x_1, x_2, \dots, x_n \in X$ . WLOG we consider  $Tx_i \neq 0$  for  $i = 1, 2, \dots, n$ . By the Hahn-Banach theorem, for each  $1 \leq i \leq n$ , we can find  $g_i \in Y^*$  with  $\|g_i\| = 1$  such that  $\|Tx_i\| = g_i(Tx_i)$ . For  $i > n$ , we consider  $g_i = 0$ . Thus, by given hypothesis, we have

$$\begin{aligned} & \| \{ \|Tx_1\|, \|Tx_2\|, \dots, \|Tx_n\|, 0, 0, \dots \} \|_\lambda \\ & = \| \{g_1(Tx_1), g_2(Tx_2), \dots, g_n(Tx_n), 0, 0, \dots\} \|_\lambda \\ & \leq C \| \{x_1, x_2, \dots, x_n, 0, 0, \dots\} \|_\lambda^w \sup_{1 \leq i \leq n} \|g_i\| \\ & = C \| \{x_1, x_2, \dots, x_n, 0, 0, \dots\} \|_\lambda^w. \end{aligned}$$

This would imply  $T \in \Pi_\lambda(X, Y)$ .

For the converse, let  $T \in \Pi_\lambda(X, Y)$ ,  $x_1, x_2, \dots, x_n \in X$  and  $g_1, g_2, \dots, g_n \in Y^*$ . Then,  $(g_i/\|g_i\|)Tx_i \leq \|Tx_i\|$  for each  $i = 1, 2, \dots, n$ . Since  $\lambda$  is normal and  $\|\cdot\|_\lambda$  is monotone, we have

$$\begin{aligned} & \| \{g_1(Tx_1), g_2(Tx_2), \dots, g_n(Tx_n), 0, 0, \dots\} \|_\lambda \\ & \leq \| \{ \|g_1\| \|Tx_1\|, \|g_2\| \|Tx_2\|, \dots, \|g_n\| \|Tx_n\|, 0, 0, \dots \} \|_\lambda \\ & \leq \sup_{1 \leq i \leq n} \|g_i\| \| \{ \|Tx_1\|, \|Tx_2\|, \dots, \|Tx_n\|, 0, 0, \dots \} \|_\lambda. \end{aligned}$$

As  $T \in \Pi_\lambda(X, Y)$ , from the above inequality, we can prove that  $T$  satisfies the required criterion. □

**LEMMA 3.12.** *Let  $(\lambda, \|\cdot\|_\lambda)$  be as in Proposition 3.1. Then,  $\Pi_\lambda$  is a maximal operator ideal.*

*Proof.* Though the proof is same as [12, 17.1.3], we prove the maximality of  $\Pi_\lambda$  for the sake of completeness. From the definition of a maximal operator ideal, it is clear that

$\Pi_\lambda \subseteq \Pi_\lambda^{\max}$ . For the reverse inclusion, let  $T \in \Pi_\lambda^{\max}(X, Y)$ . Let  $x_1, x_2, \dots, x_n \in X$  and  $g_1, g_2, \dots, g_n \in Y^*$ . We define  $X_0 = \text{span}\{x_1, x_2, \dots, x_n\}$ . The operator  $J_{X_0}^X : X_0 \rightarrow X$  is defined as  $J_{X_0}^X(x) = x$  for  $x \in X_0$ . Defining the subset  $Y_0 = \bigcap_{i=1}^n \ker g_i$  of  $Y$ , we consider the quotient space  $Y/Y_0$ . We have  $\dim(Y/Y_0) < \infty$ . We consider the quotient operator  $Q_{Y_0}^Y : Y \rightarrow Y/Y_0$ , which is a metric surjection. Hence, for each  $i = 1, 2, \dots, n$ , we can find  $G_i \in (Y/Y_0)^*$  such that  $(Q_{Y_0}^Y)^*(G_i) = g_i$  and  $\|(Q_{Y_0}^Y)^*(G_i)\| = \|g_i\| = \|G_i\|$ , cf.[12, Proposition B.3.3, Proposition B.3.10.2]. Since  $T \in \Pi_\lambda^{\max}(X, Y)$ , applying [12, Theorem 8.7.5] and Lemma 3.11, we can find a constant  $C > 0$  such that

$$\begin{aligned} & \| \{g_1(Tx_1), g_2(Tx_2), \dots, g_n(Tx_n), 0, 0, \dots\} \|_\lambda \\ &= \| \{G_1(Q_{Y_0}^Y T J_{X_0}^X x_1), G_2(Q_{Y_0}^Y T J_{X_0}^X x_2), \dots, G_n(Q_{Y_0}^Y T J_{X_0}^X x_n), 0, 0, \dots\} \|_\lambda \\ &\leq C \| \{x_1, x_2, \dots, x_n, 0, 0, \dots\} \|_\lambda^w \sup_{1 \leq i \leq n} \|G_i\| \\ &= C \| \{x_1, x_2, \dots, x_n, 0, 0, \dots\} \|_\lambda^w \sup_{1 \leq i \leq n} \|g_i\|. \end{aligned}$$

This would imply  $T \in \Pi_\lambda(X, Y)$  by Lemma 3.11. So  $\Pi_\lambda^{\max}(X, Y) \subseteq \Pi_\lambda(X, Y)$ . This completes the result. □

*Proof of Proposition 3.10.* Since  $K_\lambda^d \subseteq \Pi_\lambda$  whenever  $\|\cdot\|_\lambda$  has norm-iteration property, cf.[6, Proposition 4.3] and  $\Pi_\lambda$  is a maximal operator ideal by Lemma 3.12, we have

$$\begin{aligned} K_\lambda^d &\subseteq K \circ (K_\lambda^d)^{\max} \circ K \\ &\subseteq (K_\lambda^d)^{\max} \circ K \\ &\subseteq (\Pi_\lambda)^{\max} \circ K \\ &= \Pi_\lambda \circ K. \end{aligned}$$

Hence, the proof. □

REMARK: At present, we do not know a sequence space other than  $l_p$ , for which  $\Pi_\lambda \circ K \subseteq K_\lambda^d$  holds.

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