

## AN EXTENDED INHOMOGENEOUS MINIMUM

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### Abstract

A new arithmetic invariant  $E(f)$  is defined for integral binary quadratic forms  $f$ . It has the property that, denoting by  $f_m$  the norm-form of a quadratic number field  $Q(\sqrt{m})$ ,  $E(f_m) < 1$  if and only if  $Q(\sqrt{m})$  has class number one.

### 1

The inhomogeneous minimum of a form has proved to be an important concept in the study of algebraic number fields with a Euclidean algorithm. I present here a generalization of this concept, for integral binary quadratic forms, which bears a similar relation to the question of unique factorization in quadratic fields.

Let  $f(x, y) = ax^2 + bxy + cy^2$  be a binary quadratic form with real coefficients and discriminant  $D = b^2 - 4ac$ . The inhomogeneous minimum  $M(f)$  of  $f$  may be defined thus: for real  $x_0, y_0$ , and writing  $\mathbf{x}_0 = (x_0, y_0)$ , set

$$(1.1) \quad M(f; \mathbf{x}_0) = \inf_{(x, y) \in \Gamma} |f(x + x_0, y + y_0)|,$$

where  $\Gamma$  denotes the integral lattice in the plane; then

$$(1.2) \quad M(f) = \sup_{\mathbf{x}_0} M(f; \mathbf{x}_0).$$

Suppose from now on that  $f$  is a primitive integral form, and let  $\mathcal{S} = \mathcal{S}(f)$  be the set of linear transformations of the plane with matrix of the form

$$(1.3) \quad T = \begin{pmatrix} t & -cu \\ au & t + bu \end{pmatrix} \quad t, u \text{ integral}.$$

It is easily seen that, under composition of transformations,  $\mathcal{S}$  is a semigroup with identity  $I$ . (This follows most easily from the fact that an integral  $T$  belongs to  $\mathcal{S}$  if and only if  $T$  transforms  $f$  into  $(\det T)f$ .)

For  $x_0 \notin \Gamma$ , define

$$(1.4) \quad E(f; x_0) = \inf_{\substack{T \in \mathcal{S} \\ Tx_0 \notin \Gamma}} M(f; Tx_0)$$

and

$$(1.5) \quad E(f) = \sup_{x_0 \notin \Gamma} E(f; x_0).$$

We call  $E(f)$  the ‘extended inhomogeneous minimum’ of  $f$ . Trivially, since  $I \in \mathcal{S}$ ,

$$(1.6) \quad E(f) \leq M(f)$$

for all  $f$ . Note also that since the transformations  $T$  of  $\mathcal{S}$  are integral,

$$E(f; x_1) = E(f; x_0) \quad \text{if} \quad x_1 \equiv x_0 \pmod{\Gamma}.$$

We show first that, like  $M(f)$ ,  $E(f)$  is an arithmetical invariant.

LEMMA 1.1. *If  $g$  is equivalent to  $f$  (under integral unimodular transformation) then  $E(g) = E(f)$ .*

PROOF. Suppose that  $g(x) = f(Ux)$  where  $U$  is integral unimodular, and so  $U\Gamma = \Gamma$ . Then, for all  $x_0$ , it is easily seen that

$$M(f; x_0) = M(g; U^{-1}x_0).$$

Hence

$$M(f; Tx_0) = M(g; U^{-1}TU(U^{-1}x_0))$$

The required result will follow at once when we show that

$$\mathcal{S}(g) = U^{-1}\mathcal{S}(f)U;$$

and for this it suffices, by symmetry, to show that

$$(1.7) \quad U^{-1}\mathcal{S}(f)U \subseteq \mathcal{S}(g).$$

A straightforward calculation shows that, with

$$f(x, y) = ax^2 + bxy + cy^2, \quad g(x, y) = a'x^2 + b'xy + c'y^2,$$

if  $\det U = 1$  and  $T \in \mathcal{S}(f)$  is given by (1.3),

$$U^{-1}TU = \left( \begin{array}{cc} t + \frac{1}{2}(b - b')u & -c'u \\ a'u & t + \frac{1}{2}(b + b')u \end{array} \right) \in \mathcal{S}(g).$$

A similar calculation shows that  $U^{-1}TU \in \mathcal{S}(g)$  also if  $\det U = -1$ , so (1.7) is proved.

To establish the connection with unique factorization in quadratic fields, let  $F = Q(\sqrt{m})$  where  $m$  is square-free and not 0 or 1. Set

$$f_m(x, y) = \begin{cases} x^2 - my^2 & \text{if } m \equiv 2 \text{ or } 3 \pmod{4} \\ x^2 + xy + \frac{1}{4}(1 - m)y^2 & \text{if } m \equiv 1 \pmod{4}. \end{cases}$$

**THEOREM 1.**  $Q(\sqrt{m})$  has class number 1 if and only if  $E(f_m) < 1$ .

We first need

**LEMMA 1.2.** If  $\mathbf{x}_0$  is not a rational point, then  $E(f; \mathbf{x}_0) = 0$ .

**PROOF.** Given any  $\varepsilon, 0 < \varepsilon < 1$ , we may, by Minkowski's theorem on linear forms, choose integers  $x, y, t, u$  not all zero so that

$$|x + tx_0 - cuy_0| < \varepsilon$$

and

$$|y + aux_0 + (t + bu)y_0| < \varepsilon.$$

Since  $\varepsilon < 1, t, u \neq 0, 0$ ; hence if  $T$  is defined by (1.3),  $T \in \mathcal{S}(f)$  and  $T \neq 0$  and so, since  $\mathbf{x}_0$  is not rational,  $T\mathbf{x}_0 \notin \Gamma$ . Hence

$$E(f; \mathbf{x}_0) \leq |f(\mathbf{x} + T\mathbf{x}_0)| < \varepsilon^2(|a| + |b| + |c|).$$

Since  $\varepsilon$  is arbitrary, we have  $E(f; \mathbf{x}_0) = 0$ .

**PROOF OF THEOREM 1.** The Dedekind–Hasse criterion states (see for example Pollard 1950):

if  $F$  is an algebraic number field and  $J$  its ring of integers, then  $F$  has class number 1 if and only if, given any non-zero elements  $\alpha, \beta$  of  $J$  with  $\beta \nmid \alpha$ ,  $\exists \gamma, \delta \in J$  satisfying

$$0 < |N(\alpha\gamma + \beta\delta)| < |N\beta|$$

(where  $N$  is the norm in  $F/Q$ ). Setting  $\rho = \alpha/\beta$ , so that  $\rho \notin J$ , we can write this condition as: given any  $\rho \in F - J, \exists \gamma, \delta \in J$  satisfying

$$0 < |N(\gamma\rho + \delta)| < 1.$$

Trivially, this inequality cannot be satisfied for any  $\delta$  if  $\gamma\rho \in J$ ; while if  $\gamma\rho \notin J, N(\gamma\rho + \delta) \neq 0$  for all  $\delta \in J$ . Thus we can finally write the condition as: given any  $\rho \in F - J, \exists \gamma, \delta \in J$  with  $\gamma\rho \notin J$  satisfying

$$(1.8) \quad |N(\gamma\rho + \delta)| < 1.$$

Let now  $F$  be a quadratic field, so that  $F = Q(\sqrt{m})$  where  $m \neq 0$  or 1 and  $m$  is square-free. A basis of  $J/Z$  is  $\{1, \omega\}$ , where

$$\omega = \begin{cases} \sqrt{m} & \text{if } m \equiv 2 \text{ or } 3 \pmod{4} \\ \frac{1}{2}(1 + \sqrt{m}) & \text{if } m \equiv 1 \pmod{4}; \end{cases}$$

and then, for rational  $x, y$ ,

$$N(x + \omega y) = f_m(x, y).$$

Writing  $\rho = x_0 + \omega y_0$  ( $x_0, y_0 \in Q$ ),  $\gamma = t + \omega u$  ( $t, u \in Z$ ),  $\delta = x + \omega y$  ( $x, y \in Z$ ), we can translate the Dedekind–Hasse criterion into:  $Q(\sqrt{m})$  has class number 1 if and only if, given any rational  $\mathbf{x}_0 = (x_0, y_0) \notin \Gamma$ , there exists a

$$(1.9) \quad T = \begin{pmatrix} t & mu \\ u & t \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} t & \frac{1}{4}(-1+m)u \\ u & t+u \end{pmatrix} \quad \text{respectively} \quad (t, u \in Z)$$

with  $T\mathbf{x}_0 \notin \Gamma$  and an  $\mathbf{x} = (x, y) \in \Gamma$  satisfying

$$(1.10) \quad |f_m(T\mathbf{x}_0 + \mathbf{x})| < 1.$$

Since clearly  $T$  has the shape (1.9) if and only if  $T \in \mathcal{S}(f_m)$ , we see that (1.10) holds precisely when

$$E(f_m; \mathbf{x}_0) < 1.$$

For irrational  $\mathbf{x}_0$ , Lemma 1.2 shows that this inequality is always satisfied; so Theorem 1 follows immediately.

## 2

Although it is trivially true that  $E(f) \leq M(f)$  for all  $f$ , it appears that  $E$  does not satisfy any stronger general inequality than  $M$ . More precisely, we have

**THEOREM 2.1.** *If  $f$  is a primitive integral indefinite quadratic form of discriminant  $D > 0$ , then*

$$(2.1) \quad E(f) < \frac{1}{4}\sqrt{D};$$

*and the constant  $\frac{1}{4}$  is best possible.*

**PROOF.** (i) A well-known result of Minkowski states that, for indefinite  $f$ ,

$$M(f) \leq \frac{1}{4}\sqrt{D},$$

where equality holds only for forms equivalent to a multiple of

$$f_0(x, y) = xy.$$

Now  $\mathcal{S}(f_0)$  contains the transformations  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ , from which it is easy to see that  $E(f_0) = 0$ . Hence (2.1) now follows from the fact that  $E(f) \leq M(f)$ .

(ii) Consider, for positive integral  $k$ , the form

$$\varphi_k(x, y) = x^2 + 2kxy - y^2$$

with discriminant  $D = 4(k^2 + 1)$ . Davenport (1946) showed that

$$M(\varphi_k) = M(\varphi_k; (\frac{1}{2}, \frac{1}{2})) = \frac{1}{2}k.$$

If now  $T \in \mathcal{S}(\varphi_k)$ ,

$$T = \begin{pmatrix} t & u \\ u & t + 2ku \end{pmatrix}, \quad t, u \in Z$$

and so

$$T(\frac{1}{2}, \frac{1}{2}) = (\frac{1}{2}(t + u), \frac{1}{2}(t + u) + ku) \equiv (\frac{1}{2}(t + u), \frac{1}{2}(t + u)) \pmod{\Gamma}.$$

Hence if  $T(\frac{1}{2}, \frac{1}{2}) \notin \Gamma$ , necessarily  $T(\frac{1}{2}, \frac{1}{2}) \equiv (\frac{1}{2}, \frac{1}{2}) \pmod{\Gamma}$ . It follows that

$$E(\varphi_k; (\frac{1}{2}, \frac{1}{2})) = M(\varphi_k; (\frac{1}{2}, \frac{1}{2})) = \frac{1}{2}k.$$

The result of the theorem now follows on noting that  $\frac{1}{2}k/\sqrt{D} \rightarrow \frac{1}{4}$  as  $k \rightarrow \infty$ .

For a simple result in the opposite direction, define

$$\mu(f) = \inf_{\substack{x \in \Gamma \\ x \neq 0}} |f(x, y)|$$

(the homogeneous minimum of  $f$ ).

**THEOREM 2.2.** *If  $f(x, y)$  does not represent zero (for integral  $x, y \neq 0, 0$ ), then*

$$E(f) \geq \frac{1}{4} \mu(f) \geq \frac{1}{4}.$$

**PROOF.** An element  $T$  of  $\mathcal{S}(f)$  maps each of the points  $(\frac{1}{2}, 0), (0, \frac{1}{2}), (\frac{1}{2}, \frac{1}{2})$  either into a point of  $\Gamma$  or into a point of this set modulo  $\Gamma$ . It follows that

$$\begin{aligned} E(f) &\geq \min \{ M(f; (\frac{1}{2}, 0)), M(f; (0, \frac{1}{2})), M(f; (\frac{1}{2}, \frac{1}{2})) \} \\ &\geq \frac{1}{4} \mu(f). \end{aligned}$$

It is known that there exists a constant  $\kappa > 0$  such that, if  $f$  is indefinite and does not represent zero, then  $M(f) > \kappa\sqrt{D}$ . It is an open question whether a similar result holds for  $E(f)$ ; if it does, it could immediately be deduced from Theorem 1.1 that there exist only finitely many real quadratic fields with class number one (contrary to a well-known conjecture of Gauss!).

### 3. The evaluation of $E(f_m)$

We indicate here a procedure for calculating  $E(f_m)$  for given  $m$ , and in particular for determining whether or not  $E(f_m) < 1$ . The methods apply with

obvious modifications to any integral form  $f$ , and indicate the necessarily close relation between the value of  $E(f)$  and the number of classes of forms of given discriminant  $D = D(f)$ . It is convenient here to restrict attention to forms which do not represent zero.

By Lemma 1.2, it suffices in evaluating  $E(f)$  to consider  $E(f; \mathbf{x}_0)$  for rational  $\mathbf{x}_0 \notin \Gamma$ , say  $\mathbf{x}_0 = \left(\frac{r}{q}, \frac{s}{q}\right)$  where  $\gcd(r, s, q) = 1$  and  $q \geq 2$ . Since all integral multiples of the identity belong to  $\mathcal{S}(f)$  for all  $f$ , it follows easily that

$$E\left(f; \left(\frac{r}{q}, \frac{s}{q}\right)\right) \leq E\left(f; \left(\frac{r}{q'}, \frac{s}{q'}\right)\right) \quad \text{if } q|q'.$$

We may therefore restrict our attention to prime  $q$ , and define for such  $q$

$$(3.1) \quad E_q(f) = \max_{\substack{\mathbf{x}_0 \notin \Gamma \\ q\mathbf{x}_0 \in \Gamma}} E(f; \mathbf{x}_0),$$

whence

$$(3.2) \quad E(f) = \max_{q \text{ prime}} E_q(f).$$

LEMMA 3.1. *Suppose that  $f$  does not properly represent zero modulo  $q$ . Then*

$$(3.3) \quad E_q(f) \leq \frac{1}{4} \mu(f).$$

PROOF. By applying a suitable equivalence transformation, we may assume that

$$f(x, y) = ax^2 + bxy + cy^2 \quad \text{with } \mu(f) = |a|.$$

Let  $\mathbf{x}_0 = \left(\frac{r}{q}, \frac{s}{q}\right)$ ,  $\mathbf{x}_0 \notin \Gamma$ . Choose

$$T = \begin{pmatrix} ar + bs & cs \\ -as & ar \end{pmatrix} \in \mathcal{S}(f).$$

Then

$$T\mathbf{x}_0 = \left(\frac{1}{q}f(r, s), 0\right)$$

where, by hypothesis,  $f(r, s) \not\equiv 0 \pmod{q}$ , so that  $T\mathbf{x}_0 \notin \Gamma$ . Choosing an integer  $x$  with  $|x + (1/q)f(r, s)| \leq \frac{1}{2}$ , we obtain

$$E(f; \mathbf{x}_0) \leq M(f; T\mathbf{x}_0) \leq \left| f\left(x + \frac{1}{q}f(r, s), 0\right) \right| \leq \frac{1}{4}|a| = \frac{1}{4}\mu(f).$$

Since this result holds for all  $\mathbf{x}_0$  with  $q\mathbf{x}_0 \in \Gamma$ , (3.3) follows.

We now look particularly at the forms  $f_m$ .

LEMMA 3.2. *If  $q$  is prime and  $\frac{1}{4} < \lambda \leq 1$ , then  $E_q(f_m) < \lambda$  if*

(i)  $m < 0$ ,  $m \equiv 2$  or  $3 \pmod{4}$  and  $q^2 > \frac{4|m|}{3\lambda^2}$ ;

or (ii)  $m < 0$ ,  $m \equiv 1 \pmod{4}$  and  $q^2 > \frac{|m|}{3\lambda^2}$ ;

or (iii)  $m > 0$ ,  $m \equiv 2$  or  $3 \pmod{4}$  and  $q^2 > \frac{|m|}{2\lambda^2}$ ;

or (iv)  $m > 5$ ,  $m \equiv 1 \pmod{4}$  and  $q^2 > \frac{|m|}{8\lambda^2}$ .

PROOF. Since  $\mu(f_m) = 1$ , Lemma 3.1 shows that it suffices to consider only primes  $q$  for which  $f_m$  properly represents zero modulo  $q$ .

Let  $\mathbf{x}_0 = \left(\frac{r}{q}, \frac{s}{q}\right)$ ,  $\mathbf{x}_0 \notin \Gamma$ . If  $f(r, s) \not\equiv 0 \pmod{q}$ , the argument of Lemma 3.1 shows that  $E_q(f_m; \mathbf{x}_0) \leq \frac{1}{4} < \lambda$ . We may therefore suppose that

$$f_m(r, s) \equiv 0 \pmod{q};$$

and since  $r, s \not\equiv 0 \pmod{q}$ , we see that  $s \not\equiv 0 \pmod{q}$ . Hence there exists an integral  $z$  with  $r \equiv sz \pmod{q}$  and so

$$(3.4) \quad \mathbf{x}_0 \equiv \left(\frac{sz}{q}, \frac{s}{q}\right) \pmod{q}, \quad s \not\equiv 0 \pmod{q}$$

where

$$(3.5) \quad f_m(z, 1) \equiv 0 \pmod{q}.$$

It is easily verified that the set of points (3.4) is permuted by the transformations of  $\mathcal{S}(f_m)$ ; and that, although  $z$  is not uniquely defined by (3.5), two different  $z$  yield the same value of  $M(f_m; \mathbf{x}_0)$  for the point (3.4). It thus follows that, if  $E_q(f_m) > \frac{1}{4}$ , then

$$(3.6) \quad E_q(f_m) = \min_{s \not\equiv 0 \pmod{q}} M\left(f_m; \left(\frac{sz}{q}, \frac{s}{q}\right)\right)$$

$z$  is any integer satisfying (3.5).

Now

$$\begin{aligned} f_m\left(x' + \frac{sz}{q}, y' + \frac{s}{q}\right) &= \frac{1}{q^2} f_m(qx' + sz, qy' + s) \\ &= \frac{1}{q^2} f_m(qx + zy, y), \end{aligned}$$

where

$$x = x' - zy', \quad y = qy' + s$$

so that  $(x', y') \in \Gamma$  iff  $(x, y) \in \Gamma$  and  $y \equiv s \pmod{q}$ . Now

$$(3.7) \quad \frac{1}{q}f_m(qx + zy, y) = \begin{cases} qx^2 + 2zxy + \frac{1}{q}(z^2 - m)y^2 & m \not\equiv 1 \pmod{4} \\ qx^2 + (2z + 1)xy + \frac{1}{q}\left(z^2 + z - \frac{m-1}{4}\right)y^2 & m \equiv 1 \pmod{4} \end{cases}$$

$$= f_m^{(q)}(x, y),$$

say, where  $f_m^{(q)}$  is an integral quadratic form of discriminant  $D = 4m$  or  $m$ . It now follows from (3.6) that, if  $E_q(f_m) > \frac{1}{4}$ ,

$$(3.8) \quad E_q(f_m) = \frac{1}{q} \min_{\substack{(x, y) \in \Gamma \\ y \not\equiv 0 \pmod{q}}} |f_m^{(q)}(x, y)|.$$

By classical results on the homogeneous minima of quadratic forms, there exist  $(x, y) \in \Gamma$ ,  $(x, y) \neq (0, 0)$  satisfying

$$(3.9) \quad |f_m^{(q)}(x, y)| \leq \sqrt{\frac{|D|}{3}} \quad \text{if } m < 0$$

and

$$(3.10) \quad |f_m^{(q)}(x, y)| \leq \sqrt{\frac{D}{8}} \quad \text{if } m > 0 \text{ and } m \neq 5.$$

Hence, firstly, if  $m < 0$  and  $\frac{1}{3}|D| < \lambda^2 q^2$ , we have  $(x, y) \in \Gamma - \{0\}$  satisfying

$$|f_m^{(q)}(x, y)| < \lambda q;$$

since  $f_m^{(q)}(x, y) \equiv 0 \pmod{q}$  if  $y \equiv 0 \pmod{q}$ , and since  $f_m^{(q)}$  is not a zero form, it follows from (3.8) that

$$E_q(f_m) < \lambda.$$

The results (i) and (ii) of the Lemma follow with  $|D| = 4|m|$  and  $|m|$  respectively.

A similar analysis yields the results (iii) and (iv) in the case  $m > 0$  ( $m \neq 5$ ).

When  $m < 0$ , it is possible to obtain somewhat stronger results by using the properties of reduced quadratic forms. Suppose that, in (3.5), we choose  $z$  to satisfy



$$(3.11) \quad \begin{cases} -\frac{1}{2}q < z \leq \frac{1}{2}q & \text{when } m \equiv 2 \text{ or } 3 \pmod{4} \\ z = 0 \text{ if } q = 2 & \text{and } m \equiv 1 \pmod{4} \\ -\frac{1}{2}(q+1) < z \leq \frac{1}{2}(q-1) & \text{when } m \equiv 1 \pmod{4} \text{ and } q \text{ is odd.} \end{cases}$$

Then  $f_m^{(q)}$  is reduced in the sense of Gauss if also

$$(3.12) \quad f_m^{(q)}(0, 1) = \frac{1}{q} f_m(z, 1) \geq q;$$

and it then follows that, since  $f_m^{(q)}(0, 1)$  is the least value assumed by  $f_m^{(q)}(x, y)$  with  $y \neq 0$ ,

$$E_q(f_m) = \frac{1}{q} f_m^{(q)}(0, 1) = \frac{1}{q^2} f_m(z, 1);$$

If however (3.12) does not hold, we have in any case

$$E_q(f_m) \leq \frac{1}{q} f_m^{(q)}(0, 1) = \frac{1}{q^2} f_m(z, 1).$$

Summarizing, we have:

LEMMA 3.3. *If  $m < 0$ ,  $q$  is prime and  $z$  satisfies (3.5) and (3.11), then*

- (i)  $E_q(f_m) = \frac{1}{q^2} f_m(z, 1)$  if  $f_m(z, 1) \geq q^2$ ;
- (ii)  $E_q(f_m) \leq \frac{1}{q^2} f_m(z, 1)$  if  $f_m(z, 1) < q^2$ .

We conclude with some examples of the evaluation of  $E(f_m)$ .

(1)  $E(f_{-35}) = 1$ . We have

$$f_{-35}(x, y) = x^2 + xy + 9y^2.$$

Since the congruence  $f_{-35}(z, 1) \equiv 0 \pmod{2}$  is insoluble,  $E_2(f_{-35}) = \frac{1}{4}$ . Next

$$f_{-35}^{(3)}(x, y) = 3x^2 + xy + 3y^2,$$

so, by Lemma 3.3 (this form being reduced),  $E_3(f_{-35}) = 1$ . Finally, by Lemma 3.2 (ii),  $E_q(f_{-35}) < 1$  if  $q^2 > 35/3$  and so if  $q \geq 5$ . Hence

$$E(f_{-35}) = E_3(f_{-35}) = 1$$

(2)  $E(f_{38}) = \frac{1}{2}$ . First

$$f_{38}^{(2)}(x, y) = 2x^2 - 19y^2 \quad \text{and} \quad f_{38}^{(2)}(3, 1) = -1,$$

so that

$$E_2(f_{38}) = \frac{1}{2}.$$

Next, the congruence

$$f_{38}(z, 1) = z^2 - 38 \equiv 0 \pmod{q}$$

is insoluble for  $q = 3, 5$  and  $7$ , whence

$$E_q(f_{38}) \leq \frac{1}{4} \quad \text{for } q = 3, 5 \text{ and } 7.$$

Finally, by Lemma 3.2 (iii),

$$E_q(f_{38}) < \frac{1}{2} \quad \text{if } q^2 > 76$$

and so for all prime  $q > 7$ . Hence

$$E(f_{38}) = E_2(f_{38}) = \frac{1}{2}.$$

(3)  $E(f_{42}) = \frac{3}{2}$ . First,  $f_{42}^{(2)}(x, y) = 2x^2 - 21y^2$ ; congruences mod 8 give

$$f_{42}^{(2)}(x, y) \equiv \pm 3 \pmod{8}$$

for odd  $y$ ; also  $f_{42}^{(2)}(3, 1) = -3$ . Hence

$$E_2(f_{42}) = \frac{3}{2}.$$

Next

$$f_{42}^{(3)}(x, y) = 3x^2 - 14y^2 \quad \text{and} \quad f_{42}^{(3)}(2, 1) = -2,$$

so that

$$E_3(f_{42}) \leq \frac{2}{3}.$$

Finally,  $E_q(f_{42}) < 1$  if  $q^2 > 21$ , by Lemma 3.2 (iii), and so for all primes  $q > 3$ . Hence

$$E(f_{42}) = E_2(f_{42}) = \frac{3}{2}.$$

(4)  $E(f_{97}) = \frac{1}{2}$ . Here

$$f_{97}(x, y) = x^2 + xy - 24y^2.$$

Hence

$$f_{97}^{(2)}(x, y) = 2x^2 + xy - 12y^2 \quad \text{and} \quad f_{97}^{(2)}(19, -7) = 1,$$

and so

$$E_2(f_{97}) = \frac{1}{2}.$$

Next

$$f_{97}^{(3)}(x, y) = 3x^2 + xy - 8y^2 \quad \text{and} \quad f_{97}^{(3)}(3, 2) = 1,$$

and so

$$E_3(f_{97}) = \frac{1}{3}.$$

The congruence

$$f_{97}(z, 1) \equiv 0 \pmod{5}$$

is insoluble, whence  $E_5(f_{97}) \leq \frac{1}{4}$ . Finally, by Lemma 3.2 (iv),  $E_q(f_{97}) < \frac{1}{2}$  if  $q^2 > \frac{97}{2}$  and so for all prime  $q \geq 7$ . Hence

$$E(f_{97}) = E_2(f_{97}) = \frac{1}{2}.$$

This example is of interest, since  $Q(\sqrt{97})$ , while simple, is not Euclidean.

#### References

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