Singer conjecture for varieties with semismall Albanese map and residually finite fundamental group

Luca F. Di Cerbo

Mathematics Department, University of Florida, Gainesville, FL, USA (ldicerbo@ufl.edu)

Luigi Lombardi

Dipartimento di Matematica, Università degli Studi di Milano Statale, via Cesare Saldini 50, Milan 20133, Italy (luigi.lombardi@unimi.it)

(Received 13 December 2023; accepted 25 March 2024)

We prove the Singer conjecture for varieties with semismall Albanese map and residually finite fundamental group.

Keywords: Albanese map; L^2 -Betti numbers; Singer conjecture; normalized Betti numbers; fundamental group

2020 Mathematics Subject Classification: 14F45; 14F06; 32L20; 57M07

1. Introduction and main results

An important problem in modern geometry and topology is a conjecture of Singer concerning the L^2 -Betti numbers of an aspherical closed manifold.

CONJECTURE 1.1 Singer Conjecture. If X is a closed aspherical manifold of real dimension 2n, then the L^2 -Betti numbers are:

$$b_k^{(2)}(X;\widetilde{X}) = \begin{cases} (-1)^n \chi_{\text{top}}(X) & \text{if} \quad k=n\\ 0 & \text{if} \quad k \neq n \end{cases}$$

where $\pi \colon \widetilde{X} \to X$ is the topological universal cover of X.

This conjecture was inspired by Atiyah's work [3] on the L^2 -index theorem for coverings. Moreover its resolution in the affirmative would settle an old problem of Hopf regarding the sign of the Euler characteristic of aspherical manifolds.

CONJECTURE 1.2 Hopf Conjecture. If X is a closed aspherical manifold of real dimension 2n, then:

$$(-1)^n \chi_{\mathrm{top}}(X) \ge 0.$$

© The Author(s), 2024. Published by Cambridge University Press on behalf of The Royal Society of Edinburgh

L. F. Di Cerbo and L. Lombardi

Conjectures 1.1 and 1.2 played an important role in the development of modern differential geometry, geometric topology, and algebraic geometric. Indeed, they figure prominently in Yau's influential list of problems in geometry, see [16, § VII, problem 10] and [16, § IX, problem 39] for a variation of conjecture 1.1 in terms of normalized Betti numbers of Galois covers. We refer to Lück's book [13] for a comprehensive introduction to this circle of ideas, for the definition of L^2 -Betti numbers, and for a detailed historical account on the Singer conjecture (*cf.* [13, § 11]).

Despite being many decades old, these problems continue to be at the centre of a substantial amount of research activity. Many researchers are currently addressing these conjectures (see for instance [1, 2, 6, 7, 14, 15]) using diverse techniques coming from algebraic geometry, geometric analysis, and geometric topology. For classical papers on this problem, the interested reader may refer to [8-12], just to name a few.

In this paper, we contribute to the study of conjecture 1.1 within the realm of smooth projective varieties. More precisely, we prove Singer conjecture for smooth projective irregular varieties with semismall Albanese map and residually finite fundamental group.

THEOREM 1.3. Let X be a smooth projective variety of complex dimension n and let \tilde{X} be the topological universal cover. If the Albanese map of X is semismall and $\pi_1(X)$ is residually finite, then the L²-Betti numbers are:

$$b_k^{(2)}(X;\tilde{X}) = \begin{cases} (-1)^n \chi_{\text{top}}(X) & \text{if} \quad k = n \\ 0 & \text{if} \quad k \neq n. \end{cases}$$

We refer to $\S 2$ for the details of the proof of theorem 1.3. Interestingly, our result also covers many instances of projective varieties that are not necessarily aspherical, so in many ways, we extend the scope of the original statement of Singer conjecture. Moreover, varieties with semismall Albanese map need not be Kähler hyperbolic in the sense of Gromov [10]. In this regard, our result complements and extends Gromov's vanishing theorem and its subsequent extension by Jost-Zuo [11]. Note that Gromov and Jost-Zuo's theorems still represent the state of the art of conjecture 1.1 for complex manifolds. While our result does not recover their statements completely, it covers many other cases that are currently out of reach for the analytical techniques of Gromov and Jost-Zuo. Moreover, in a sense, it is not so unreasonable to ask whether most of aspherical irregular projective varieties of maximal Albanese dimension have semismall Albanese map. Indeed, it is tantalizing to wonder when for an aspherical irregular projective variety Xthere exists a variety Y with semismall Albanese map and with the same universal cover of X. Alternatively, one could ask when a finite unramified cover Y of Xhas a semismall Albanese map. Notice that, because of lemma 2.1, the property of having a semismall Albanese map is preserved under finite unramified covers. Clearly, these are far reaching questions of topological flavour. We hope to discuss and place such questions in a more general framework elsewhere.

THEOREM 1.4. Let X be a smooth projective variety of complex dimension n and let \hat{X} be the algebraic universal cover. If the Albanese map of X is semismall, then the L^2 -Betti numbers are:

$$b_k^{(2)}(X; \hat{X}) = \begin{cases} (-1)^n \chi_{\text{top}}(X) & \text{if } k = n \\ 0 & \text{if } k \neq n. \end{cases}$$

The proof of theorem 1.4 is outlined in § 2, and it is very similar to the proof of theorem 1.3.

We conclude the paper with some applications of our theorems to the L^2 cohomology of the topological (algebraic) universal covers of varieties with semismall Albanese maps. We refer to § 3 for the precise statements and the details of the proofs.

2. Proofs of the main results

Given a manifold X whose fundamental group $\Gamma \stackrel{\text{def}}{=} \pi_1(X)$ is residually finite, we consider a sequence of nested, normal, finite index subgroups $\{\Gamma_i\}_{i=1}^{\infty}$ of Γ such that $\cap_{i=1}^{\infty} \Gamma_i$ is the identity element. Such sequence is usually called a *cofinal filtration* of Γ . Define $\pi_i \colon X_i \to X$ as the finite regular cover of X associated to Γ_i . The main result of [12] implies that

$$\lim_{i \to \infty} \frac{b_k(X_i)}{\deg \pi_i} = b_k^{(2)}(X; \widetilde{X}), \tag{2.1}$$

where $b_k(X_i)$ denotes the k-th Betti number of X_i , and $b_k^{(2)}(X; \tilde{X})$ is the L^2 -Betti number of X computed with respect to the universal cover \tilde{X} . Notice that this result implies that the limit in (2.1) always exists and it is *independent* of the cofinal filtration. We refer to the ratio $b_k(X_i)/\deg \pi_i$ as the *normalized* k-Betti number of the cover $\pi_i \colon X_i \to X$. Thus, outside the middle dimension, the Singer conjecture is equivalent to the sub-degree growth of Betti numbers along a tower of covers associated to a cofinal filtration.

We now turn to details and present our main results. Let X be an irregular smooth projective complex variety of dimension n, and let $a_X \colon X \to \operatorname{Alb}(X)$ be its Albanese map. The Albanese torus $\operatorname{Alb}(X)$ is an abelian variety of dimension $g = h^{1,0}(X)$. Recall that a projective variety is called irregular if g > 0, that is, if and only if the first Betti number of X is non-zero. Define the varieties $\operatorname{Alb}(X)^{\ell} =$ $\{y \in \operatorname{Alb}(X) \mid \dim a_X^{-1}(y) = \ell\}$ together with the *defect of semismallness* of the Albanese map

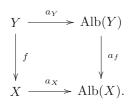
$$\delta(a_X) = \max_{\{\ell \ge 0 \mid \operatorname{Alb}(X)^\ell \neq \emptyset\}} \{2\ell + \dim \operatorname{Alb}(X)^\ell - \dim X\}.$$

Then $\delta(a_X) \ge 0$ and if $\delta(a_X) = 0$ we say that a_X is *semismall*. If a_X is semismall, then it is generically finite onto its image, but the converse does not hold in general.

For instance, the Albanese map of the blow-up of an abelian variety along a smooth subvariety of codimension $c \ge 2$ is semismall if and only if c = 2.

LEMMA 2.1. Let X be a smooth projective variety and let $f: Y \to X$ be a finite unramified cover. Then the inequality $\delta(a_X) \ge \delta(a_Y)$ holds. In particular, if a_X is semismall, then also a_Y is semismall.

Proof. Let $a_f: \operatorname{Alb}(X) \to \operatorname{Alb}(Y)$ be the induced morphism induced by the universal property of the Albanese variety so that the following diagram commutes



We notice that a_f is surjective since f is so. Let $p \in a_X(X)$ and $q \in a_f^{-1}(p)$. There is an inequality

$$\dim a_X^{-1}(p) = \dim(a_X \circ f)^{-1}(p) = \dim(a_f \circ a_Y)^{-1}(p) \ge \dim a_Y^{-1}(q)$$

showing that the fibre dimension of the Albanese map does not increase in finite covers. $\hfill \Box$

REMARK 2.2. The converse of lemma 2.1 does not hold in general. For instance one can consider a bielliptic surface and the covering abelian surface associated to the canonical divisor. For more details see [4, chapter VI]. In particular bielliptic surfaces are aspherical surfaces that admit a finite unramified cover with semismall Albanese map.

We can now prove our main theorem.

Proof of theorem 1.3. Fix an integer $k \neq n$ and let us consider a cofinal tower $\tau_{\ell} \colon X_{\ell} \to X$ of $\pi_1(X)$:

$$X \leftarrow X_1 \leftarrow X_2 \leftarrow \dots \leftarrow X_\ell \leftarrow \dots$$

By lemma 2.1 the Albanese maps of the varieties X_{ℓ} are semismall. We will construct a new cofinal tower of $\pi_1(X)$ with a control on the b_k by means of covers induced by multiplication maps on the Albanese varieties as in [6, Corollary 1.2].

Let $\psi_1: Y_1 \to X_1$ be the unramified cover constructed as the pullback of a multiplication map $\mu_d: \operatorname{Alb}(X_1) \to \operatorname{Alb}(X_1)$ $(d \gg 1)$ such that

$$\frac{b_k(Y_1)}{\deg\psi_1} \leqslant 1$$

(cf. [6, Corollary 1.2]). Denote by $\varphi_1 = (\tau_1 \circ \psi_1) \colon Y_1 \to X$ the natural composition map. We easily check that

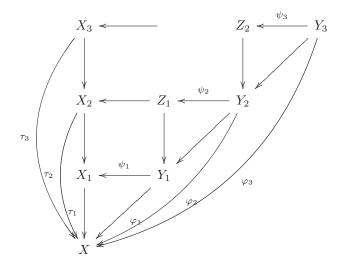
$$\frac{b_k(Y_1)}{\deg \varphi_1} \leqslant \frac{b_k(Y_1)}{\deg \psi_1} \leqslant 1.$$

Now let Z_1 be the pullback of the cover $X_2 \to X_1$ along the map ψ_1 . We can repeat the previous procedure in order to construct an unramified cover $\psi_2 \colon Y_2 \to Z_1$ such that

$$\frac{b_k(Y_2)}{\deg\psi_2} \leqslant \frac{1}{2}.$$

By setting Z_2 for the pullback of the cover $X_3 \to X_2$ along the composition $Y_2 \to Z_1 \to X_2$, we can reiterate the process and construct the following commutative diagram

÷



such that

$$\frac{b_k(Y_\ell)}{\deg\psi_\ell} \leqslant \frac{1}{\ell}$$

for all $\ell \ge 1$. Denote now by $\varphi_{\ell} \colon Y_{\ell} \to X$ the natural composition map defined as in the previous commutative diagram. Since the covers $\{\tau_{\ell}\}_{\ell=1}^{\infty}$ form a cofinal filtration of $\pi_1(X)$, also the sequence $\{\varphi_{\ell}\}_{\ell=1}^{\infty}$ forms a cofinal filtration of $\pi_1(X)$. Moreover for any $\ell \ge 1$ we obtain

$$\frac{b_k(Y_\ell)}{\deg \varphi_\ell} \leqslant \frac{b_k(Y_\ell)}{\deg \psi_\ell} \leqslant \frac{1}{\ell}$$

The conclusion follows by applying Lück's approximation theorem [12] along the covers φ_{ℓ} .

The proof of theorem 1.4 is completely analogous. Indeed, by definition of \hat{X} one can construct a zig-zag sequence as in the proof of theorem 1.3 converging to \hat{X} . For more details about the algebraic universal cover and how to generate sequences of covers that converge to it, we refer to [5, Theorem 2.7].

÷

3. Application: existence of L^2 -integrable harmonic forms

In this section, we collect a few applications of our results to the L^2 -cohomology of the (algebraic) universal cover of a smooth projective variety such that $a_X \colon X \to$ Alb(X) is semismall. Let $\pi \colon \widetilde{X} \to X$ be the topological universal cover, and let $\hat{\pi} \colon \widehat{X} \to X$ be the algebraic universal cover. We start by defining L^2 -cohomology. Given any Riemannian metric on X, consider its pull-back to \widetilde{X} . By using the pulled back metric, define the Hilbert space of smooth L^2 -integrable harmonic k-forms

$$\mathcal{H}_{(2)}^{k}(\widetilde{X}) = \left\{ \omega \in \Omega^{k}(\widetilde{X}) \mid \Delta_{d} \, \omega = 0, \, \int_{\widetilde{X}} \omega \wedge *\omega < \infty \right\}$$

where * is the Hodge-star operator and $\Delta_d = dd^* + d^*d$ is the Hodge-Laplacian operator. These spaces do not depend on the given metric considered on X (cf. [3]) and compute the L^2 -cohomology of \widetilde{X} .

THEOREM 3.1. Let X be a smooth projective variety of complex dimension n such that the Albanese map $a_X : X \to Alb(X)$ is semismall and $\pi_1(X)$ is residually finite. If $\chi_{top}(X) \neq 0$, then there exists a nontrivial harmonic L^2 -integrable n-form on the topological universal cover \tilde{X} .

Proof. The proof is a combination of theorem 1.3, Lück approximation theorem, and [11, pp. 6-7].

If $\pi_1(X)$ is not residually finite, by theorem 1.4 we have an analogous result for the L^2 -cohomology of the algebraic universal cover \hat{X} .

THEOREM 3.2. Let X be a smooth projective variety of complex dimension n such that the Albanese map $a_X \colon X \to Alb(X)$ is semismall. If $\chi_{top}(X) \neq 0$, then there exists a nontrivial harmonic L^2 -integrable n-form on the algebraic universal cover \hat{X} .

Acknowledgements

We are grateful to the referee for the comments and for improving the exposition of the paper. The first named author thanks Roberto Svaldi for valuable feedback and comments. He also thanks the Mathematics Department of the University of Milan for the invitation to present this research, for support, and for the nice working environment during his visit in the Spring of 2023. The second named author thanks Alice Garbagnati for answering to all his questions, and the Mathematics Department of the University of Florida for the optimal working environment provided during his visit in the Spring of 2023.

L.F.D.C. supported in part by NSF grant DMS-2104662. L.L. partially supported by GNSAGA-INDAM, PRIN 2020: 'Curves, Ricci flat varieties and their interactions,' and PRIN 2022: 'Synplectic varieties: their interplay with Fano manifolds and derived categories.'

References

1 M. Abert, N. Bergeron, I. Biringer and T. Gelander. Convergence of normalized Betti numbers in nonpositive curvature. *Duke Math. J.* **172** (2023), 633–700.

- 2 G. Avramidi, B. Okun and K. Schreve. Mod p and torsion homology growth in nonpositive curvature. *Invent. Math.* 226 (2021), 711–723.
- 3 M. F. Atiyah. Elliptic operators, discrete groups and von Neumann algebras. In Colloque 'Analyse et Topologie' en l'Honneur de Henri Cartan (Orsay, 1974). Astérisque, vol. 32–33, pp. 43–72 (Paris: Soc. Math. France, 1976).
- 4 A. Beauville. *Complex Algebraic Surfaces*, 2nd edn. London Mathematical Society Student Texts, vol. 34 (Cambridge: Cambridge University Press, 1996).
- 5 G. Di Cerbo and L. F. Di Cerbo. On Seshadri constants of varieties with large fundamental group. Ann. Sc. Norm. Super. Pisa Cl. Sci. (5) **19** (2019), 335–344.
- 6 L. F. Di Cerbo and L. Lombardi. L²-Betti numbers and convergence of normalized Hodge numbers via the weak generic Nakano vanishing theorem. Ann. Inst. Fourier (2023), 27. (Online first).
- 7 L. F. Di Cerbo and M. Stern. Price inequalities and Betti number growth on manifolds without conjugate points. *Commun. Anal. Geom.* **30** (2022), 297–334.
- 8 J. Dodziuk. L² harmonic forms on rotationally symmetric Riemannian manifolds. Proc. Am. Math. Soc. 77 (1979), 395–400.
- 9 H. Donnelly and F. Xavier. On the differential form spectrum of negatively curved Riemannian manifolds. Am. J. Math. **106** (1984), 169–185.
- 10 M. Gromov. K\u00e4hler hyperbolicity and L2-Hodge theory. J. Differ. Geom. 33 (1991), 263-292.
- 11 J. Jost and K. Zuo. Vanishing theorems for L²-cohomology on infinite coverings of compact Kähler manifolds and applications in algebraic geometry. *Commun. Anal. Geom.* 8 (2000), 1–30.
- 12 W. Lück. Approximating L^2 -invariants by their finite-dimensional analogues. Geom. Funct. Anal. 4 (1994), 455–481.
- 13 W. Lück. L²-Invariants: Theory and Applications to Geometry and K-Theory. Ergebnisse der Mathematik und ihrer Grenzgebiete. 3. Folge. A Series of Modern Surveys in Mathematics, vol. 44 (Berlin: Springer-Verlag, 2002).
- 14 Y. Liu, L. Maxim and B. Wang. Aspherical manifolds, Mellin transformation and a question of Bobadilla-Kollár. J. Reine Angew. Math. 781 (2021), 1–18.
- 15 Y. Liu, L. Maxim and B. Wang. Topology of subvarieties of complex semi-abelian varieties. Int. Math. Res. Not. 14 (2021), 11169–11208.
- 16 R. Schoen and S.-T. Yau. Lectures on differential geometry. In Conference Proceedings and Lecture Notes in Geometry and Topology, vol. I (Cambridge, MA: International Press, 1994).