

## STUDIES OF THE LOSS OF VIABILITY OF BACTERIAL AEROSOLS\*

### III. FACTORS AFFECTING DEATH RATES OF CERTAIN NON-PATHOGENS

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(With 6 Figures in the Text)

#### INTRODUCTION

In a recent paper, Ferry, Brown & Damon (1958) reported measurements of the death-rates of several bacterial species stored as aerosols.

The organisms studied, *Micrococcus candidus*, *Escherichia coli*, *Mycobacterium phlei*, *Corynebacterium xerose* and *Serratia marcescens*, themselves non-pathogenic, were selected because they resembled certain pathogens either in structural or cultural respects. It was found that death rates could be compared in terms of constants  $k_1$ ,  $k_2$  and  $b$ , representing, respectively, rate constants for rapid initial and slow secondary decay processes and the fraction surviving rapid initial decay. By  $k'_1$  we denote percentage decay per second; similarly  $k'_2$  gives percentage decay per minute. Although the constants are functions of such factors as pH of the bacterial suspension from which aerosols were generated, relative humidity (subsequently indicated as R.H.), and temperature of the ambient air, they can also, under comparable conditions, be roughly correlated with structural or cultural characteristics of the organism studied.

Subsequently, we attempted to scrutinize more carefully some of the factors affecting death-rates. The relevant experiments are reported in this paper and include studies of: (a) aerosols stored in nitrogen instead of air, (b) the effect of temperature on the death rate of *M. candidus* stored in nitrogen, and (c) aerosols generated from organisms subjected to different procedures. The first two approaches were the more fruitful.

The methods and apparatus were those recently described except as noted in relation to certain experiments (Ferry *et al.* 1958). Other useful methods have been described by Kethley, Cown & Fincher (1957).

#### DECAY OF BACTERIAL AEROSOLS STORED IN NITROGEN AND AT DIFFERENT TEMPERATURES

Although we had long suspected (Ferry & Maple, 1954) that rapid loss of viability in bacterial aerosols was associated with drying, and that the much slower secondary

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process might be associated with oxidative processes in the bacterial cell, the experiments reported here represent our first direct experimental approach to this question. In these experiments we have studied slow decay of viability of aerosols containing either *M. candidus*, *S. marcescens*, or *Esch. coli* in an atmosphere in which nitrogen replaced air. Studies of *Esch. coli* aerosols were most extensive, and include not only dynamic studies but the results of experiments in which pre-purified nitrogen ( $N_2$ ) containing < 0.002% oxygen ( $O_2$ ) was used instead of commercial  $N_2$  with an  $O_2$  content of about 0.1%. *M. candidus* aerosols were also studied at 15°, 25°, and 35° C. in  $N_2$ . In a single experiment at 25° C.,  $O_2$  was substituted for air.

The results obtained with each of these organisms are separately given and discussed.

#### *Micrococcus candidus*

##### *Introduction*

Aerosols containing this organism were the first to be studied. Although chosen originally because it was small and could be obtained in nearly monodisperse suspension, it is more significant that a relatively large fraction of these organisms survive the initial rapid decay process, even over a rather wide range of R.H. This phenomenon, presumably related to the physical and chemical structure of the organism, facilitated comparisons of data obtained by means of the static storage system even before we became aware of the need for control of R.H. of mixing air and before a single stage dilution system was employed. It has permitted us to draw conclusions concerning the effect of oxygen and temperature upon the rate of secondary decay.

A single experiment in which humidified  $O_2$  was substituted for air as the ambient medium was not repeated because an explosion occurred in the oil-containing vacuum pump.

##### *Results and discussion*

Fig. 1 illustrates data obtained from fourteen experiments with aerosols generated from bacterial suspensions in phosphate buffer. Data obtained under comparable conditions are here plotted directly without correction. This method of plotting was statistically analysed for us by Prof. Hugo Muench.\* His first analysis, to assay the validity of this treatment, showed that in each set of runs under comparable conditions neither the values of the estimated survival at the start of slow decay,  $\log b$ , nor the slopes of the lines differed significantly among themselves. It therefore seemed legitimate to combine each group.

He subsequently fitted trends to the series of observations in  $N_2$  at 15°, 25° C., and in air and  $O_2$  at 25° C. Results at 35° C., which because of condensation of water in the system seemed less reliable, were not included in this analysis. His values for  $\log b$  and the slopes,  $S$ , ( $k_2$  as used by us) and their standard deviations are given in Table I, together with values for the derived constants  $b$  and  $k'_2$ ; the

\* Hugo Muench, Professor of Biostatistics, Harvard School of Public Health. (Personal communication.)

latter is  $S \times 230.3$ . For comparison we have also included values for  $b$  and  $k'_2$  derived from earlier experiments in air (Ferry & Maple, 1954).

Prof. Muench concludes that for the results statistically considered by him there was no significant difference between the values for log  $b$ , but that the slope,  $S$ ,

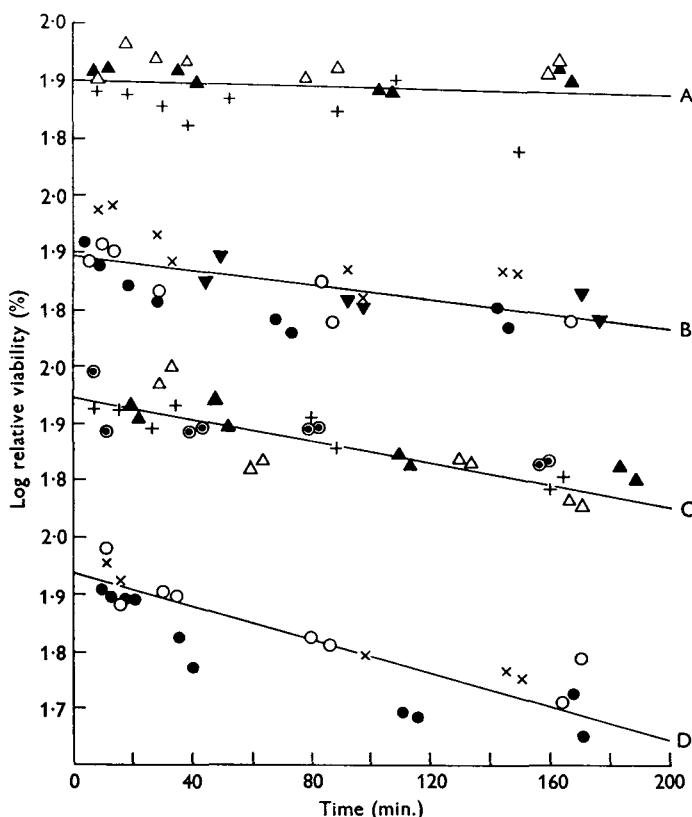


Fig. 1. Slow decay of *M. candidus* aerosols in air, oxygen and nitrogen.

| Symbol                              | Date        | R.H. % | pH | Symbol                                       | Date                        | pH  | R.H. % |
|-------------------------------------|-------------|--------|----|--|-----------------------------|-----|--------|
| (A) Nitrogen $t=15^\circ\text{C}$ . |             |        |    | (C) Nitrogen $t=35^\circ\text{C}$ .          |                             |     |        |
| ▲                                   | 11. iv. 52  | 6.9    | 48 | +  | 13. v. 52                   | 6.9 | 48     |
| +                                   | 16. iv. 52  | 6.9    | 48 | ⊙  | 15. v. 52                   | 7.0 | 49     |
| △                                   | 22. iv. 52  | 6.8    | 49 | ▲  | 19. v. 52                   | 7.2 | 50*    |
|                                     |             |        |    | △  | 20. v. 52                   | 6.8 | 47     |
| (B) Nitrogen $t=25^\circ\text{C}$ . |             |        |    | (D) oxygen and air at $t=25^\circ\text{C}$ . |                             |     |        |
| ▼                                   | 25. iii. 52 | 6.8    | 46 | ×  | 24. iii. 52                 | 6.8 | 52     |
| ×                                   | 9. iv. 52   | 6.9    | 50 | ○  | 31. iii. 52                 | 6.6 | 50     |
| ●                                   | 9. v. 52    | 7.3    | 46 | ●  | 22. v. 52 (O <sub>2</sub> ) | 7.1 | 45*    |
| ○                                   | 12. v. 52   | 7.3    | 49 |  |                             |     |        |

\* Estimated from humidial reading.

Aerosols generated from bacterial suspensions in phosphate buffer. Ionic strength about 0.002.

Table 1. *Loss of viability of M. candidus aerosols in air and nitrogen*

| Conditions             | pH $6.9 \pm 0.4$<br>Log $b$                   | R.H. about 50 %<br>Slope $S$ | $b$ , fraction<br>surviving<br>rapid<br>decay | $k'_2$ %<br>viability<br>loss per<br>min. |
|------------------------|---|------------------------------|---|---|
| Air 25° C.*            | $1.936 \pm 0.0586$                            | $-0.001444 \pm 0.000218$     | 0.86  | 0.33                                      |
|                        | Older measurements (1954) at about 55 % R.H.† |                              |   | 0.24                                      |
| N <sub>2</sub> 15° C.* | $1.899 \pm 0.0446$                            | $-0.000175 \pm 0.000162$     | 0.79  | 0.04                                      |
| 25° C.*                | $1.893 \pm 0.0520$                            | $-0.000680 \pm 0.000164$     | 0.78  | 0.16                                      |
| 35° C.                 | 1.945   | —                            | 0.88  | 0.23                                      |

\* Analysed statistically by Professor Muench.

† The lower value is, presumably, in part due to higher R.H. (Ferry & Maple, 1954).

values had the following 'significance  $P$  levels'. ( $P$ , as is customary, denotes the probability that the observation was due to chance):

Air 25° C. against N<sub>2</sub> 15° C. 0.000003

Air 25° C. against N<sub>2</sub> 25° C. 0.005

N<sub>2</sub> 15° C. against N<sub>2</sub> 25° C. 0.03.

'It therefore seems reasonable to conclude that different conditions have produced real changes in the survival rates.'

Although log  $b$  does not vary significantly between single runs its standard error is not reduced by grouping, thus 'indicating some heterogeneity'.

The results obtained in the single experiment with humidified O<sub>2</sub> as the ambient medium appear to conform to the pattern for air storage.

The derived constant  $k'_2$  certainly increases with rise in temperature. A temperature rise from 15° to 35° C. produces a nearly sixfold increase in  $k'_2$ . Although the change in  $k'_2$  accompanying a change from 15° to 25° C. appears greater than that resulting from the change from 25° to 35° C., this may be due to experimental error in determining the constants.

We can therefore conclude that slow decay: (1) is decreased in the absence of O<sub>2</sub> by about 30 %; (2) in N<sub>2</sub> increases with rise in temperature, and may well be about doubled by an increase of 10° C., as might be expected on chemical grounds.

### *Escherichia coli*

#### *Introduction*

The experimental results with *M. candidus* aerosols stored in N<sub>2</sub> instead of air have shown that although the rate of secondary decay was decreased in the former medium  $b$  was not changed. These observations suggested that the rate of primary decay was not affected and that studies with other organisms were desirable. Since we had extensive data concerning *Esch. coli*, and since the different magnitudes of  $k'_1$  and  $k'_2$  should permit ready comparison of values in the two media, the following experiments were made with *Esch. coli* aerosols generated from bacterial suspensions in phosphate buffer.

As with *M. candidus*, *Esch. coli* aerosols were stored in humidified N<sub>2</sub> containing less than 0.1 % O<sub>2</sub>. Since it seemed possible that even this small concentration of

O<sub>2</sub> might accelerate loss of viability, we made a few runs in which prepurified humidified N<sub>2</sub> served as the ambient medium. Although no adequate gas analyses were made, observations with a Pauling oxygen meter gave negative readings with the latter medium as contrasted with just detectable positive readings with commercial N<sub>2</sub>. With the precautions taken to wash out the storage system, it seemed probable that O<sub>2</sub> concentration in the medium approximated that in the cylinder; it seemed certain that O<sub>2</sub> concentration was lower than that in commercial N<sub>2</sub>.

Results

The results of static and dynamic storage studies are graphically shown in Figs. 2 and 3 respectively. In the former, the experimental points obtained at 67 ± 1% R.H. are referred to a mean value of log *b*, indicated by the intercept with the axis; the slope of the line × 230 yields a value of *k*'<sub>2</sub>.

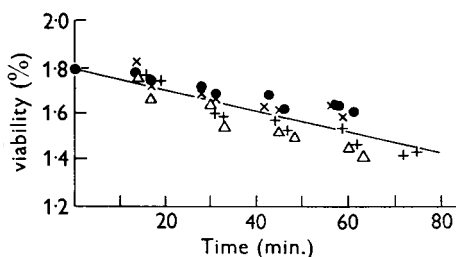


Fig. 2

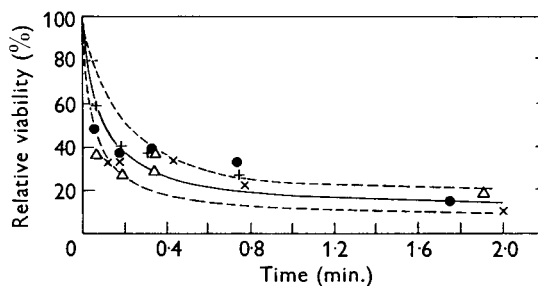


Fig. 3

Fig. 2. Slow loss of viability of *Esch. coli*, strain 75 aerosol stored in nitrogen at 25° C.

| Symbol | Date        | pH  | R.H. % aerosol | Mixing air | Log <i>b</i> | Correction |
|--------|-------------|-----|----------------|------------|--------------|------------|
| ●      | 8. xii. 53  | 7.1 | 68             | 59         | 1.72         | +0.07      |
| ×      | 11. xii. 53 | 7.2 | 66             | 52         | 1.68         | +0.11      |
| +      | 14. xii. 53 | 7.1 | 66             | 53         | 1.92         | -0.13      |
| △      | 18. xii. 53 | 6.8 | 68             | 49         | 1.83         | -0.04      |
|        |             |     |                | Mean       | 1.79         | ±0.11      |

Aerosols generated from bacterial suspensions in phosphate buffer. Ionic strength about 0.002.

Fig. 3. Rapid loss of viability of *Esch. coli*, strain 75 aerosol in nitrogen at 25° C.

| Symbol | Date       | pH  | R.H. % aerosol | Mixing air |
|--------|------------|-----|----------------|------------|
| +      | 1. ix. 53  | 7.1 | 57             | 63         |
| ×      | 12. iv. 54 | 7.2 | 53*            | —          |
| △      | 14. iv. 54 | 7.2 | 53*            | —          |
| ●      | 16. iv. 54 | 7.2 | 51*†           | —          |

\* Single stage dilution system.

† Prepurified N<sub>2</sub> used.

Solid curve drawn for air storage R.H. 50-60% *b* = 0.10 *k*'<sub>1</sub> = 20.

Upper dotted curve for air storage *b* = 0.10 *k*'<sub>1</sub> = 10.

Lower dotted curve for air storage *b* = 0.10 *k*'<sub>1</sub> = 40.

Experimental points obtained during storage in N<sub>2</sub>.

Aerosols generated from bacterial suspensions in phosphate buffer, ionic strength about 0.002.

In the latter, experimental values at about 54% R.H. have been plotted directly. In Table 2 appear values of the constants  $b$ ,  $k'_1$ , and  $k'_2$  derived from the results obtained at all humidities studied; for ready comparison, we include the constants obtained after storage in air under comparable conditions. The constant  $k_1 \times 100 = k'_1$ ; it is, of course, an approximation (see Ferry *et al.* 1958, pp. 148–149).

Table 2. *Rapid and slow loss of viability of Esch. coli, strain 75 aerosol in air and N<sub>2</sub>; t = 25° C., pH 6.8–7.3 aerosols generated from suspensions in phosphate buffer*

| R.H. % ...                             | a*         |             |            | b*                 |       |       |
|--|------------|-------------|------------|--------------------|-------|-------|
|  | Slow decay |             |            | Rapid decay        |       |       |
|  | 54–61      | 66–68       | 72–76      | 26–44              | 51–57 | 56–65 |
| Nitrogen                               |            |             |            |                    |       |       |
| no. of experiments                     | 2†         | 4           | 4          | 4                  | 4     | 4     |
| Log $b$ (mean)                         | 1.63       | 1.79 ± 0.11 | 1.98 ± 0.3 | —                  | —     | —     |
| $b$                                    | 0.42       | 0.61        | 0.95       | 0.03               | 0.1   | 0.2   |
| $k'_2$ %/min. (mean)                   | 1.06       | 1.06        | 1.2‡       | $k'_1$ %/sec. 35.0 | 20    | 10    |
| Air§                                   |            |             |            | R.H. 33–43         |       |       |
| $b$ (fraction surviving first process) | 0.1        | 0.42        | 0.44       | $b$ 0.05           | 0.1   | 0.2   |
| $k'_2$ %/min.                          | 2.1        | 1.8         | 2.3        | $k'_1$ %/sec. 25.0 | 20    | 10.0  |

\* R.H. of mixing air controlled.

† Prepurified N<sub>2</sub>.

‡ Including aberrant run  $k'_2 = 2.1$ .

§ Taken from Table 2, Ferry *et al.* (1958), p. 137.

### Discussion

Despite a single aberrant run at about 73% R.H., the results strongly suggest that: (1) slow decay is retarded 40–50% in nitrogen; (2) decay rates in commercial and prepurified N<sub>2</sub> do not differ measurably; and (3) primary decay is not changed by the substitution of nitrogen for air as the ambient medium.

Static studies suggest that the fraction of all organisms surviving initial decay,  $b$ , is greater in N<sub>2</sub> than in air. This inference, however, is not supported by dynamic studies. It is, moreover, difficult simultaneously to assume that  $b$  is O<sub>2</sub> dependent and  $k'_1$ , O<sub>2</sub> independent, since these two constants seem to be inter-related. Although scrutiny of individual experiments suggests that  $b$  is a characteristic of different preparations, the phenomenon deserves further study.

### *Serratia marcescens*

Aerosols generated either from bacterial suspensions in water, gel, or phosphate buffer were stored in humidified N<sub>2</sub>. The latter suspending medium was at about pH 7.1, the others at about pH 6.3. The earlier experiments, using essentially unbuffered suspensions, were made before we had learned to control R.H. of mixing gas, and all were completed before single stage dilution was adopted. The data refer to the results of static storage in humidified nitrogen.

Results

Data from a series of experiments at about 49% R.H., pH 7.1, mixing gas about 60% R.H. appear in Fig. 4, in which log R.V. % is ordinate, time in minutes, abscissa. For more ready comparison, we have adjusted all points to a mean value of the intercept,  $b$  (see Ferry *et al.* 1958, p. 135). The slope of the line  $k_2 \times 230 = k'_2$ , percentage loss of viability per minute.

These results, as well as those obtained under other conditions, are summarized in Table 3. For comparison we give values of the constants  $k'_2$  and  $b$  when air at comparable humidities was the ambient medium.

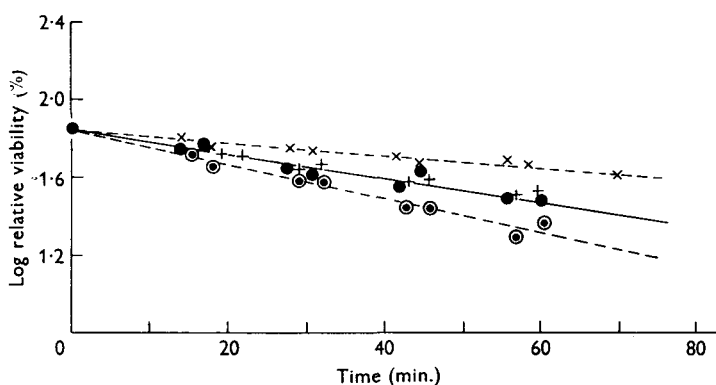


Fig. 4. Slow loss of viability of *S. marcescens* aerosol in nitrogen at 25° C.

| Symbol                                      | Date       | R.H. %<br>aerosol | Mixing<br>air | pH<br>suspension | Log $b$ | Correction |
|---|------------|-------------------|---------------|------------------|---------|------------|
| Mixing air controlled, R.H. aerosol 46–51 % |            |                   |               |                  |         |            |
| ●   | 23. xi. 53 | 46                | 56            | 7.1              | 1.82    | + 0.01     |
| ×   | 28. ix. 53 | 49                | 65            | 7.1              | 2.00    | - 0.17     |
| +   | 30. ix. 53 | 51                | 62            | 7.2              | 1.77    | + 0.06     |
| ⊙   | 20. xi. 53 | 48                | 56            | 7.2              | 1.74    | + 0.09     |
|   |            |                   |               | Mean             | 1.83    | + 0.16     |

Aerosols generated from bacterial suspensions in dilute phosphate buffer.

Discussion

Since the experiments summarized in Table 3a were completed before we had learned to control experimental conditions more carefully, it is not surprising to find that the results scatter, especially when R.H. of mixing gas was uncontrolled. Despite this, and the fact that aerosols stored either in N<sub>2</sub> or air were never subjected to exactly the same conditions, the data, especially those summarized in Table 3b, strongly suggest that secondary decay is retarded in N<sub>2</sub>, and are consistent with the hypothesis that oxidative processes have a considerable share in this phenomenon. Values for  $b$  appear higher for aerosols stored in N<sub>2</sub>.

The comparison of results at about 85% R.H., but with humidity of mixing gas uncontrolled, can, we believe, be discounted, since R.H. of air taken directly from a general supply line, or from an independent compressor, was always low in mid-

Table 3. *A comparison of the secondary decay rates of S. marcescens aerosols stored in air or nitrogen*

|  | <i>a</i> *   |           |            |           | <i>b</i> †                                       |            |
|--|--|-----------|------------|-----------|--|------------|
|  | R.H. of mixing gas uncontrolled<br>pH 6.2 ± 0.3<br>In nitrogen |           |            |           | R.H. of mixing<br>gas controlled<br>pH 7.1 ± 0.2 |            |
| R.H. aerosol   | 30   | 40-45     | 56-65      | 80-85     | 46-51  | 63         |
| R.H. mixing gas  | ?  | ?         | ?          | ?         | 56-65  | 67         |
| No. of experiments                                       | 2  | 4         | 6          | 4         | 4  | 1          |
| <i>b</i> <sub>1</sub> , fraction surviving primary decay |  |           |            |           |  |            |
| Range  | —  | 0.30-0.56 | 0.15-0.42  | 0.05-0.14 | 0.55-1.00  | —          |
| Mean   | 0.24   | 0.41      | 0.29       | 0.10      | 0.68   | 1.0        |
| <i>k</i> ' <sub>2</sub> %/min.                           |  |           |            |           |  |            |
| Range  | —  | 5.2-12.5  | 2.5-(17.0) | —         | 0.69-1.97  | —          |
| Mean   | 1.3  | 8.9       | 9.2        | 13.4      | 1.48   | 1.6        |
|  |  | In air‡   |            |           |  |            |
| R.H. % aerosol   | 32   | 45        | 51-61      | 80-86     | 47   | 54-62      |
| Mixing air   | —  | —         | —          | —         | 47   | Controlled |
| <i>b</i> (Mean)  | 0.17   | 0.13      | 0.12       | 0.2       | 0.18   | 0.52       |
| <i>k</i> ' <sub>2</sub> (mean)                           | 9.7  | 13.4      | 14.5       | 11.1      | 2.3  | 3.0        |

\* Aerosols generated from bacterial suspensions in distilled water or gel buffer pH 6.2 ± 0.3.

† Bacterial suspensions in phosphate buffer used; pH 7.1 ± 0.2.

‡ Taken from Table 5*a*, Ferry *et al.* (1958), p. 146.

winter, when this series was completed. The latter source regularly supplied air at about 12-15% R.H. When N<sub>2</sub> was used, gas emerging from a cylinder was always very dry. We have, moreover, already suggested that the rate of secondary decay may be conditioned by even a very short exposure to atmospheric R.H. differing from that finally attained (Ferry *et al.* 1958, p. 145). Although this may account for the rapid decay observed at the higher R.H., it is also possible that the organisms were not completely dry but were surrounded by a concentrated salt solution (see Ferry & Maple, 1954) which hastened decay.

#### STUDIES OF AEROSOLS CONTAINING TREATED MICRO-ORGANISMS

That the viability of bacterial aerosols decreases in two stages, the first relatively rapid, the second slow, seems clear. Our recent studies (Ferry *et al.* 1958), moreover, suggest that quantitative differences in the constants, *k*'<sub>1</sub> and *k*'<sub>2</sub>, which characterize these processes, may be related to differences in chemical and physical structure. Evidence presented in an earlier part of this paper, moreover, suggests that the two processes differ not only in rate, but in character; the secondary process is retarded when O<sub>2</sub> is absent from the ambient medium, but not the first.

The experiments reported in this section would, we hoped, result in accelerating the primary process with *Myco. phlei*, and in retarding this process with *Esch. coli*, thereby directly correlating change in structure with rate of viability loss.



Archer & La Mer (1955) observed that long-chain fatty acids spread on water surfaces greatly reduced the rate of evaporation. C. N. Davies, in conversation with us, had also reported that water droplets evaporated much more slowly when they contained long-chain (16–20 or more C atoms) primary alcohols. It therefore seemed likely that  $k'_1$  would be reduced if it was indeed dependent on evaporative rate, provided organisms could be coated successfully with one of these latter substances.

#### A. *Escherichia coli*

##### Methods

(A) *Esch. coli* were either grown, resuspended, or both grown and resuspended in media saturated with C<sub>16</sub> or C<sub>18</sub> alcohols. Aerosols were generated in the usual way. It was hoped that alcohol dissolved in the medium or incorporated into the bacterial cell might change the properties of the surface surrounding the organisms as the droplets containing cells evaporated.

(B) To a concentrated *Esch. coli* suspension were added different proportions of either (a) petroleum ether, or (b) petroleum ether containing about 5 × the weight of alcohol needed completely to cover the bacterial surface. This was readily calculable from: (1) well-established molecular dimensions, (2) approximate bacterial dimensions, and (3) bacterial concentrations.

A similar procedure was used in attempting to cover the organisms with material recovered from petroleum ether extraction of *Myc. phlei*.

Subsequently small bubbles of sterile filtered air emerging from a fine capillary at the rate of 0.6 l. per min. served to stir and cool the suspension, as well as to evaporate the ether. When evaporation of ether was complete in about 3 min., the residual aqueous suspension in phosphate buffer was used to generate aerosols. Longer periods of aeration reduced the viability of the suspension even in the absence of petroleum ether, but more rapidly in its presence.

##### Results and discussion

*Method A.* Although the results, summarized in Table 4, do not show that  $k'_1$  was decreased because of treatment, it is possible that the increase in  $b$  is significant. Estimates of the volume of liquid in a droplet enclosing an organism, solubility of the higher polyatomic alcohols, perhaps 5 mg./l., and molecular weight and cross-sections of the latter taken as  $20.6 \times 10^{-16}$  sq.cm. served in deriving an estimate of the area covered by a monomolecular layer. It turned out to approximate  $1 \times 10^{-8}$  sq.cm. Since we estimated the surface of *Esch. coli* taken as a cylinder with hemispherical ends to be about  $5 \times 10^{-8}$  sq.cm., not over 20% could have been covered by alcohol. The negative results should be expected.

*Method B.* The method seemed promising, since L. C. Sack, working in our laboratory in 1956, had shown that *Esch. coli* in suspensions treated with polyatomic alcohol extract were no longer monodisperse and appeared under a phase contrast microscope to be more highly refractive, especially at the cell boundary; viability of the suspensions was not reduced beyond the experimental error. Suspensions treated with petroleum ether alone showed no morphological change.

These observations suggested that the solute, concentrated at phase boundaries, was deposited on the bacterial surface as the solvent evaporated.

Table 4. *Rapid loss of viability of Esch. coli, strain 75 aerosol generated from treated suspensions pH 6.9,  $t = 25^{\circ}$  C. in phosphate buffer*

| Method of treatment | A*<br>Medium saturated with polyatomic alcohol | B<br>Petroleum ether           |            |  |
|---------------------|--|--------------------------------|------------|--|
|                     |  | Containing $C_{18}$ alcohol 63 | Control 62 | Containing <i>Myco. phlei</i> extract 74.5 |
| R.H.                | 56-62  |                                |            | Control 73                                 |
| $b$                 | 0.30-0.63                                      | 0.29                           |            | 0.49                                       |
| $k_1$               | 12-26  | 67                             |            | 51   |
| Untreated controls† |  |                                |            |  |
| R.H.                | 50-60  | 60-66                          |            | 70-74                                      |
| $b$                 | 0.10   | 0.22-0.55                      |            | 0.20                                       |
| $k_1$               | 20   | 10-15                          |            | 10   |

\* Six experiments.

† From Ferry *et al.* 1958, Table 2.

Nevertheless, the results, illustrated in Fig. 5, show no differences between behaviour of aerosols generated from buffered suspensions treated with petroleum ether alone, or treated with petroleum ether containing polyatomic alcohol. Similar results were obtained when a petroleum ether solution, containing what

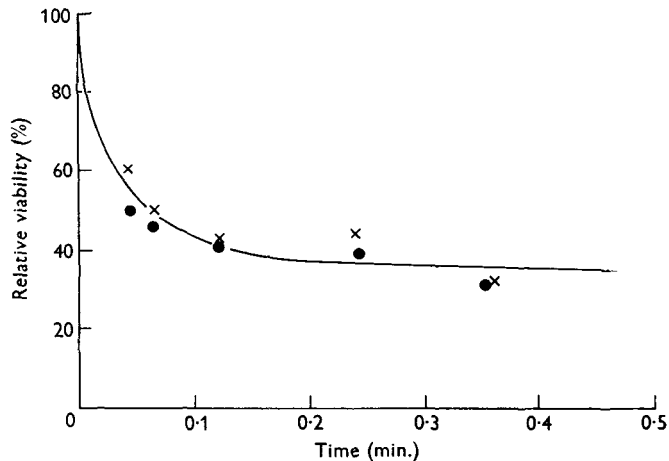


Fig. 5. Rapid loss of viability of aerosols containing treated *Esch. coli* at  $25^{\circ}$  C., R.H. 62%.

| Symbol | Date       | Treatment                          |
|--------|------------|------------------------------------|
| x      | 6. iii. 56 | Petroleum ether alone              |
| •      | 7. iii. 56 | Petroleum ether + $C_{18}$ alcohol |

$$k_1' = 67 \quad b = 0.29$$

Aerosols generated from bacterial suspensions in phosphate buffer.

was believed to be an excess of *Myc. phlei* waxy material, was substituted for C<sub>18</sub> solution.

The latter measurements, made at about 73 % R.H., show considerable scatter, but a curve could be roughly interpolated to describe the data. In Table 4 the results are summarized and the derived constants compared with those for aerosols containing untreated organisms.

Quite contrary to anticipation, the rate of primary decay appears to have been accelerated by treatment. This phenomenon can perhaps be associated with our observation that the total particulate count of suspensions appears to decrease more rapidly during bubbling when petroleum ether is being evaporated than when it is absent. This suggests that increased cell fragility following treatment may result in a more rapid primary death rate. We cannot, moreover, be sure that coating, even if it occurred, was complete. In any case, increased cell fragility could well be a more important factor. And although these findings give only indirect evidence, they suggest that further investigation may be profitable.

#### B. *Mycobacterium phlei*

This organism, normally resistant to drying and to storage in air or otherwise, is known to contain a considerable proportion of lipid material, some of it readily extractable with petroleum ether (Bloch, 1950; Asselineau, 1951). Bloch (1950) reported, moreover, that mycobacteria remain viable after extraction. This we confirmed before undertaking experiments with aerosols.

Since it seemed possible that extraction of organisms with petroleum ether might be reflected in changes  $k_1$ ,  $k_2$ , or both, these experiments were undertaken.

#### Methods

100 ml. aliquots of a culture in a modified Dubos medium were centrifuged. The sediment was extracted by stirring with petroleum ether in the cold. Centrifugation in refrigerated cups followed. After three similar cycles the sediment was resuspended in phosphate buffer for further treatment or atomization.

Since it was inconvenient and appeared unnecessary for our purposes, losses, inevitable at each step, were not determined.

Although ultrasonic irradiation alone did not appear to increase  $k_2$ , it seemed desirable that the effect of extraction be assayed in controlled experiments. Accordingly, we studied *Myc. phlei* aerosols generated from suspensions treated by: (1) sonic irradiation only; (2) sonic irradiation followed by petroleum ether extraction; (3) petroleum ether extraction followed by sonic irradiation.

Sonic irradiation for one minute was performed with a Raytheon Model Db 101 magneto-strictive sonic transducer operating at 10 kcyc./sec. at 25° C.

#### Discussion and results

Experimental results are plotted as usual in Fig. 6. The results of different runs made under the same conditions are in satisfactory accord. The lines drawn to represent averages were therefore rather easily placed; apparent differences in

their slopes suggest real differences in  $k_2$ . The derived constants  $b$ , denoting the fraction surviving primary decay, and  $k_2'$  death-rate expressed as percentage per minute, appear in Table 5. Although the results with petroleum ether followed by

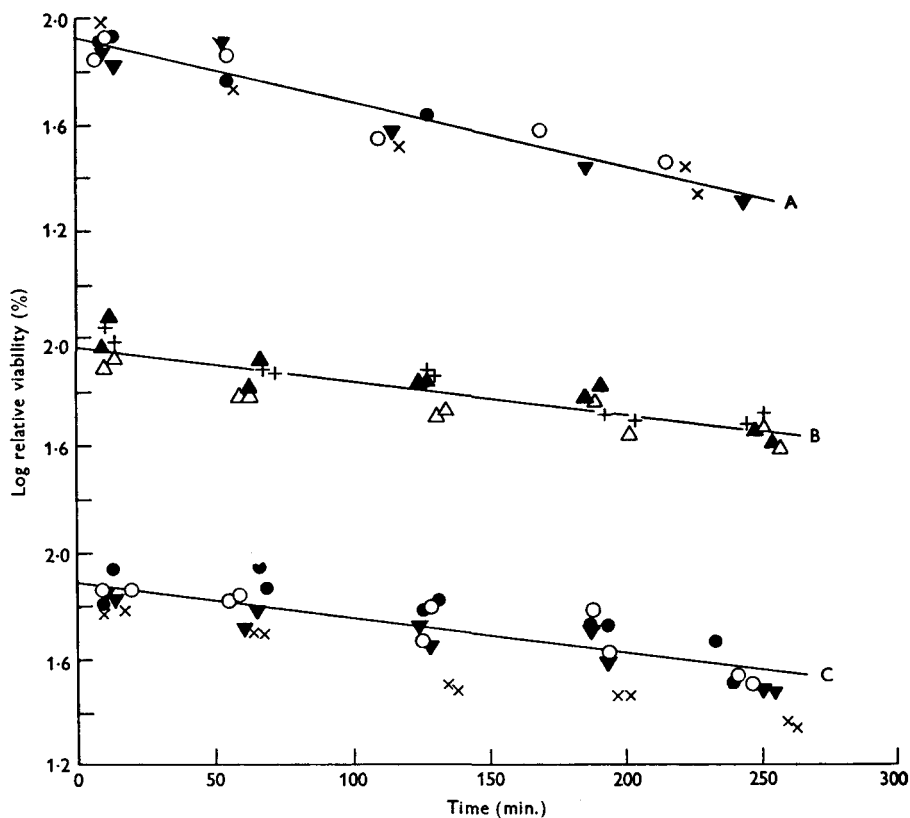


Fig. 6. Slow loss of viability of *Myco. phlei* aerosols generated from treated bacterial suspension;  $t=25^{\circ}\text{C.}$ , R.H. 28–30%.

| Symbol   | Date        | R.H. % | pH  | MNP* | Age suspension (days) |
|--|-------------|--------|-----|------|-----------------------|
| Upper diagram A. Extraction followed by sonic irradiation  |             |        |     |      |                       |
| ×  | 7. ii. 56   | 30     | 6.9 | —    | 1                     |
| ●  | 10. ii. 56  | 30.5   | 6.8 | 1.1  | 4                     |
| ▼  | 14. ii. 56  | 29.5   | 6.8 | 1.05 | 8                     |
| ○  | 17. ii. 56  | 28     | 6.9 | 1.1  | 11                    |
| Middle diagram B. Sonic irradiation followed by extraction |             |        |     |      |                       |
| △  | 13. iii. 56 | 30     | 6.8 | 1.1  | 15                    |
| ▲  | 14. iii. 56 | 30     | 6.8 | 1.2  | 16                    |
| +  | 23. iii. 56 | 30     | 6.8 | 1.1  | 23                    |
| Lower diagram C. Controls sonic irradiation only           |             |        |     |      |                       |
| ×  | 2. iii. 56  | 30     | 6.9 | 1.1  | 4                     |
| ○  | 9. iii. 56  | 30     | 6.8 | 1.1  | 11                    |
| ●  | 16. iii. 56 | 29     | 6.7 | 1.1  | 18                    |
| ▼  | 22. iii. 56 | 28     | 6.8 | 1.2  | 2                     |

\* MNP = mean number of organisms per particle.

sonic irradiation were obtained with aerosols generated from a culture different from that used in other experiments, we have consistently found that slopes of lines describing loss of viability do not vary significantly with the culture used.

Table 5. *Secondary loss of viability of Myco. phlei aerosols generated from treated suspensions at 25° C. R.H. 30 %*

| Treatment                            | Sonic irradiation | Sonic irradiation followed by extraction | Extraction followed by sonic irradiation |
|--------------------------------------|-------------------|--|--|
| $b$ , fraction surviving rapid decay | 0.8               | 0.9                                      | 0.8                                      |
| $k'_2$ % per min.                    | 0.3               | 0.3                                      | 0.6                                      |

#### GENERAL DISCUSSION

The results reported further support the validity of a quantitative experimental approach in which the concentration of viable organisms is always compared with total particulate concentration in an aerosol. In fact, our experience with some aerosols stored at R.H. 15 % in which 90 % of the particles originally present had disappeared within an hour, together with rapid changes in particle count resulting from pressure changes in the dynamic system, suggest that this comparison is essential if viability of particles is to be accurately determined. The present experimental limitation of concentration to a maximum of 2500 particles per l. can presumably be overcome by dilution of the aerosol for purposes of particle counts.

More restrictive, however, is the limitation of study to essentially naked organisms. Removal of this limitation will depend upon a method of atomization in which droplet size approaches bacterial dimensions, and droplets contain one and only one organism. Until both limitations are resolved, it is nevertheless possible to make effective comparisons of the resistance of different bacterial species to drying. Kethley *et al.* (1957) produced aerosols, containing about 1 organism per particle from different suspending media.

Within these limitations it is, however, possible quantitatively to compare decay rates under a variety of environmental conditions and experimentally to attain good reproducibility of results under fixed conditions. This is well illustrated by the results obtained with such different organisms as *Myco. phlei*, *M. candidus* and *Esch. coli*.

The studies reported here surely support the hypothesis that decay can be related to two different processes. That exposure to oxygen containing atmospheres accelerates the slower secondary process appears clear. Although these studies are not sufficiently detailed to analyse secondary decay in terms of partial pressure of oxygen in the ambient atmosphere, direct correlation would scarcely be expected, since the bacterial cell presumably contains oxidizing systems which are independent of molecular O<sub>2</sub>. It seems reasonable to attribute residual secondary decay to them.

Primary rapid decay rates appear, certainly as an approximation, to be independent of oxygen. Although their velocity is low compared with that of the evaporation of water from droplets, this may well be related to characteristics of the cell boundary which delay evaporation from the cell body.

Although dynamic studies suggest that  $b$ , the fraction surviving primary decay, and  $k'_1$  the rate of primary loss of viability, appear to be unaffected by the absence of oxygen, the apparent increase in  $b$  observed especially in static studies is difficult to reconcile with this observation. The experiments are, however, limited, and it is possible that  $b$  derived from static studies is, as we have already suggested, related to characteristic properties of individual bacterial suspensions used for aerosol generation.\*

The experiments with aerosols generated from extracted or treated organisms did not turn out as we had hoped. They do, however, support the hypothesis that the rate of viability loss is related to chemical and physical structure.

With *Myc. phlei*, the changes produced by petroleum ether extraction do not appear sufficient markedly to change resistance to atomization and drying. Weakening physical structure by this procedure appears to make the cells less resistant to physical trauma, revealed by more rapid secondary decay. Failure to induce measurable primary decay may tentatively be related to incomplete extraction from surface structure.

In the case of *Esch. coli* aerosols, the effects of petroleum ether appear to outweigh the possible effect of surface coatings.

#### SUMMARY

1. Additional quantitative studies of the survival of micro-organisms in aerosols are reported.

2. Substitution of nitrogen for oxygen in the ambient medium decreases  $k'_2$ , the rate of secondary loss of viability of *M. candidus*, *Esch. coli* and *S. marcescens*, by 30–50%.

3. The rate of primary decay ( $k'_1$ ) of *Esch. coli* does not appear to be affected by a similar change of atmosphere.

4. The rate of secondary decay,  $k'_2$ , of *M. candidus* appears to increase two- to three-fold for 10° C. increments in temperature.

5. Treatment of suspensions of *Esch. coli* with petroleum ether alone or containing lipid material increases  $k'_1$  in aerosols generated from such suspensions.

6. Treatment of *Myc. phlei* with petroleum ether appears to lower resistance to subsequent sonic irradiation.

These observations are consistent with the hypothesis that differences in the physical and chemical structure of micro-organisms are reflected in constants  $k'_1$ ,  $k'_2$  and  $b$ .

\*  $k_1$  is, of course, an empirical approximation. Dynamic and static studies, previously reported, some of which were made during the course of single experiments, suggest that the approximation is useful despite the theoretical over simplification employed;  $b$ , derived from the simplified equation for rapid decay is also an approximation. The value derived from static studies is more accurate (see Ferry *et al.* 1958, pp. 148–149).

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