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# **Models and integral differentials of hyperelliptic curves**

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### **Abstract**

Let  $C: y^2 = f(x)$  be a hyperelliptic curve of genus  $g \ge 1$ , defined over a complete discretely valued field *K*, with ring of integers  $O_K$ . Under certain conditions on *C*, mild when residue characteristic is not 2, we explicitly construct the minimal regular model with normal crossings  $C/O_K$  of *C*. In the same setting we determine a basis of integral differentials of *C*, that is an  $O_k$ -basis for the global sections of the relative dualising sheaf  $\omega_{C/O_k}$ .

## **1. Introduction**

The purpose of this paper is to construct regular models of hyperelliptic curves and to describe a basis of integral differentials attached to them. Moreover, we want these constructions explicit and easy to compute.

## *1.1. Overview*

To describe the arithmetic of curves over global fields, for example in the study of the Birch & Swinnerton-Dyer conjecture, it is essential to understand regular models and integral differentials over all primes, including those with very bad reduction. Constructing regular models of curves over discrete valuation rings is not an easy problem, even in the hyperelliptic curve case. In fact, there is no practical algorithm able to determine a model, unless the genus of the curve is 1 or we have some tameness or nondegeneracy hypothesis.

One possible approach to tackle this problem is giving a full classification of possible regular models in a fixed genus, as done by the Kodaira–Néron [\[7,](#page-55-0) [19\]](#page-56-0) and Namikawa–Ueno [\[10,](#page-56-1) [18\]](#page-56-2) classifications for curves of genera 1 and 2, respectively. However, this strategy seems impractical in general, since the number of models grows fast with the genus. Recently, new approaches based on clusters [\[14\]](#page-56-3), Newton polytopes [\[1\]](#page-55-1), and MacLane valuations [\[21\]](#page-56-4), have been developed (see Section [1.5](#page-5-0) for more detail).

On one side, clusters define nice and clear invariants from which one can extract information on the local arithmetic of hyperelliptic curves. Such invariants turn out to be particularly useful from a Galois theoretical point of view. However, for describing regular models, restrictions on the reduction type of the curve and on the residue characteristic of its base field [\[5,](#page-55-2) [14\]](#page-56-3) need to be imposed. On the other side, Newton polytopes and MacLane valuations have a potential to solve the problem in general, but the respective constructions are more algorithmic and so do not give the result in closed form. Furthermore, they often depend on the chosen equation rather than on the curve itself.

In this paper, we present a new approach that preserves both positive aspects from the above and provides a link between the two sides. We describe a model from simple invariants defined from what we call*rational cluster picture* (Definition [1.10\)](#page-4-0). This object modifies the theory in [\[14\]](#page-56-3) and appears to be more suitable for our purpose (see Section [1.3\)](#page-3-0). In fact, the rational cluster picture also carries intrinsic

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connections with the other presented approaches, as it is closely related to Newton polygons and to degree 1 MacLane valuations (see  $\lceil 3 \rceil$ ). When these valuations are enough to describe a regular model we say that the curve has an *almost rational cluster picture* (Definition [1.1;](#page-1-0) see also Corollary [3.29,](#page-18-0) Proposition [3.31\)](#page-19-0). It turns out that the approach even works in residue characteristic 2, under an extra assumption that the cur[v](#page-1-1)e is *y*-*regular* (Definition [1.4\)](#page-2-0). Our main result is:

*Let K be a complete*<sup>[1](#page-1-1)</sup> *discretely valued field with* char(*K*)  $\neq$  2, *and let*  $K^{nr}$  *be its maximal unramified extension. Let*  $C/K$  *be a hyperelliptic curve, having an almost rational cluster picture over*  $K^m$ . If the *residue characteristic of K is* 2, *assume that*  $C_{K^m}$  is *y*-*regular. Then via the rational cluster picture we determine:*

- <span id="page-1-2"></span>(i) the minimal regular model with normal crossings  $C^{\min}$ ,
- <span id="page-1-3"></span>(ii) *a basis of integral differentials of C*.

This result applies to a wide class of curves, covering wild cases and base fields with even residue characteristic. For example, if  $g = 2$ , then 107 out of 120 Namikawa-Ueno types [\[18\]](#page-56-2) arise from hyperelliptic curves satisfying the conditions of our theorem. In addition, the author believes it has a potential to solve the problem in general. Heuristically speaking, the rational clusters invariants are expected to extend to general MacLane valuations. This approach could eventually lead to a full characterisation of minimal models with normal crossings of hyperelliptic curves (over any discretely valued field).

# *1.2. Main results*

We will now present (a simplified version of) the main results of this paper. We will then illustrate them with an explicit example in Section [1.4.](#page-4-1)

Let *K* be a complete discretely valued field of residue characteristic *p*, with normalised discrete valuation *v* and ring of integers  $O_K$ . We require char(*K*) to be not 2, but we allow  $p = 2$  and  $p = 0$ . In this subsection we will assume for simplicity that  $K = K^{nr}$ . Extend the valuation  $\nu$  to an algebraic closure  $\bar{K}$  of *K*. Let  $C/K$  be a hyperelliptic curve, that is a geometrically connected smooth projective curve, double cover of  $\mathbb{P}_{K}^{1}$ . Let *g* be the genus of *C*. Assume  $g \geq 1$ . Fix a Weierstrass equation

<span id="page-1-0"></span>
$$
C: y^2 = f(x).
$$

Let  $\Re$  be the set of roots of f in  $\overline{K}$ . Thus

$$
f(x) = c_f \prod_{r \in \mathfrak{R}} (x - r).
$$

For any  $r, r' \in \mathfrak{R}$ , with  $r \neq r'$ , denote by  $\mathcal{D}_{r,r'}$  the smallest *v*-adic disc containing *r* and *r'*.

**Definition 1.1** (Definition [3.26\)](#page-18-1)*. We say that C has an almost rational cluster picture if for any roots*  $r, r' \in \Re$  *with*  $r \neq r'$ *, either* 

- (a)  $\mathcal{D}_{rr'} \cap K \neq \emptyset$ , or
- (b)  $p > 0$  and  $|\mathcal{D}_{r,r'} \cap \mathfrak{R}| \le |v(r-w)|_p$  for some  $w \in K$ ,

*where* |·|*<sup>p</sup> denotes the canonical p-adic absolute value on* Q*.*

**Definition 1.2.** *A rational cluster is a non-empty subset*  $\mathfrak{s} \subset \mathfrak{R}$  *of the form*  $\mathcal{D} \cap \mathfrak{R}$ *, where*  $\mathcal{D}$  *is a v*-adic  $disc\ \mathcal{D} = \{x \in K \mid v(x - w) \ge \rho\}$  for some  $w \in K$  and  $\rho \in \mathbb{Q}$ . We denote by  $\Sigma_K$  the set of rational clusters.

In the following definition we introduce most of the notation and quantities, associated with rational clusters, needed in order to state our main theorems.

<span id="page-1-1"></span><sup>&</sup>lt;sup>1</sup>The assumption on the completeness of *K* is not restrictive since regular models do not change under completion of the base field.

**Definition 1.3.** *For any*  $s \in \Sigma_K$  *we say:* 

s proper,	s  > 1
	$\mathfrak{s}'$ is a child of $\mathfrak{s}, \quad \mathfrak{s}' \in \Sigma_K$ and $\mathfrak{s}' \subsetneq \mathfrak{s}$ is a maximal subcluster
5 minimal,	s has no proper children
s übereven,	$\mathfrak{s} = \bigcup_{\mathfrak{s}' \text{ child of } \mathfrak{s}} \mathfrak{s}'$ and $ \mathfrak{s}' $ even for all children $\mathfrak{s}'$ of $\mathfrak{s}$

*Moreover, we write*  $s' < s$ *, or*  $s = P(s')$ *, for a child*  $s' \in \Sigma_K$  *of*  $s$ *, and*  $r \wedge s$  *for the smallest rational cluster containing the root*  $r \in \mathcal{R}$  *and*  $\mathfrak{s}$ *.* 

*Let*  $\Sigma_K$  *be the set of proper rational clusters. For any*  $\mathfrak{s} \in \Sigma_K$ *, define its radius* 

$$
\rho_{\mathfrak{s}} = \max_{w \in K} \min_{r \in \mathfrak{s}} v(r - w)
$$

*and the following quantities:*



<span id="page-2-0"></span>**Definition 1.4** (Definition [4.10\)](#page-24-0)*. We say that the hyperelliptic curve C is y-regular if either*  $p \neq 2$  *or*  $D_s = 1$  *for any*  $s \in \Sigma_K$ *.* 

**Definition 1.5.** *Let*  $s \in \Sigma_K$  *and let*  $c \in \{0, \ldots, b_s - 1\}$  *such that*  $c \rho_s - \frac{1}{b_s} \in \mathbb{Z}$ *. Define* 

 $\tilde{\mathfrak{s}} = \{ \mathfrak{s}' \in \Sigma_K \cup \{ \varnothing \} \mid \mathfrak{s}' < \mathfrak{s} \text{ and } \frac{|\mathfrak{s}'|}{b_{\mathfrak{s}}} - c\epsilon_{\mathfrak{s}} \notin 2\mathbb{Z} \},\$ 

*where*  $\varnothing < \mathfrak{s}$  *if*  $\mathfrak{s}$  *is minimal and*  $p_{\mathfrak{s}}^0 = 2$ *.* 

*The genus g*( $\mathfrak{s}$ ) *of a rational cluster*  $\mathfrak{s} \in \Sigma_K$  *is defined as follows:* 

- *If*  $D_s = 1$ *, then*  $g(s) = 0$ *.*
- *If*  $D_s = 2$ , then  $2g(s) + 1$  or  $2g(s) + 2$  equals  $\frac{|s| \sum_{s' < s} |s'|}{l}$  $\frac{\sum_{\mathfrak{s}' < \mathfrak{s}} |\mathfrak{s}'|}{b_{\mathfrak{s}}} + |\tilde{\mathfrak{s}}|.$

**Notation 1.6.** *Let*  $\alpha \in \mathbb{Z}_+$ *,*  $a, b \in \mathbb{Q}$ *, with*  $a > b$ *, and fix*  $\frac{n_i}{d_i} \in \mathbb{Q}$  *so that* 

$$
\alpha a = \frac{n_0}{d_0} > \frac{n_1}{d_1} > \ldots > \frac{n_r}{d_r} > \frac{n_{r+1}}{d_{r+1}} = \alpha b, \quad \text{with} \quad \begin{vmatrix} n_i & n_{i+1} \\ d_i & d_{i+1} \end{vmatrix} = 1,
$$

*and r minimal. We write* P<sup>1</sup> (α, *a*, *b*) *for a chain of* P<sup>1</sup> *s (Notation [4.16\)](#page-25-0) of length r and multiplicities*  $\alpha d_i, \ldots, \alpha d_r$ . Denote by  $\mathbb{P}^1(\alpha, a)$  the chain  $\mathbb{P}^1(\alpha, a, \lfloor \alpha a - 1 \rfloor / \alpha)$ .

The following theorem describes the special fibre of a regular model of *C* with strict normal crossings[.2](#page-2-1) It follows from a more general result constructing a proper flat model of *C* unconditionally

<span id="page-2-1"></span><sup>2</sup> In this paper a 'normal crossings' divisor is not a 'strict normal crossings' divisor in general (see e.g. [\[9,](#page-55-4) Remark 9.1.7]).

(Theorem [4.18\)](#page-26-0). For the special fibre  $C_s^{\text{min}}$  of the minimal regular model with normal crossings, the reader can refer to Theorem [4.23,](#page-27-0) where we also describe a defining equation for all components of  $\mathcal{C}_{s}^{\min}$  and discuss the Galois action (for general *K*). Finally, note that all these models are constructed in Section [5](#page-29-0) by giving an explicit open affine cover (see Sections [5.1](#page-30-0)[–5.3\)](#page-34-0).

**Theorem 1.7** (Regular SNC model)*. Suppose C is y-regular and has almost rational cluster picture. Then we can explicitly construct a regular model with strict normal crossings*  $C/O_K$  *of C (Sections* [5.1](#page-30-0)[–5.3](#page-34-0)*). Its special fibre Cs*/*k is given as follows.*

- <span id="page-3-1"></span>(1) *Every*  $\mathfrak{s} \in \Sigma_k$  *gives a* 1*-dimensional closed subscheme*  $\Gamma_{\mathfrak{s}}$  *of multiplicity*  $m_{\mathfrak{s}}$ *. If*  $\mathfrak{s}$  *is übereven* and  $\epsilon_s$  is even, then  $\Gamma_s$  is the disjoint union of  $\Gamma_s^- \simeq \mathbb{P}^1$  and  $\Gamma_s^+ \simeq \mathbb{P}^1$ , otherwise  $\Gamma_s$  is a smooth *geometrically integral curve of genus*  $g(\mathfrak{s})$  *(write*  $\Gamma_{\mathfrak{s}}^{-} = \Gamma_{\mathfrak{s}}^{+} = \Gamma_{\mathfrak{s}}$  *in this case).*
- (2) *Every*  $\mathfrak{s} \in \Sigma_K$  *with*  $D_{\mathfrak{s}} = 1$  *gives* ( $|\mathfrak{s}| \sum_{\mathfrak{s}' \in \Sigma_K, \mathfrak{s}' < \mathfrak{s}} |\mathfrak{s}'| + p_{\mathfrak{s}}^0 2} / b_{\mathfrak{s}}$  *open-ended*  $\mathbb{P}^1$ *s of multiplicity*  $b_s$  *from*  $\Gamma_s$ *.*



(3) *Finally, for any*  $\mathfrak{s} \in \Sigma_K$  *draw the following chains of*  $\mathbb{P}^1$ *s*:

**Definition 1.8.** *For any*  $s \in \Sigma_k$ , an element  $w_s \in K$  is called rational centre of  $s$  if  $\min_{r \in s} v(r - w_s) = \rho_s$ .

If  $s' < s$  and  $w_{s'}$  is a rational centre of  $s'$ , then  $w_{s'}$  is also a rational centre of s. For any minimal rational cluster  $\mathfrak{s}'$  fix a rational centre  $w_{\mathfrak{s}'}$ . For any  $\mathfrak{s} \in \Sigma_K$  fix  $w_{\mathfrak{s}} = w_{\mathfrak{s}'}$  for some minimal rational cluster  $\mathfrak{s}'\subseteq \mathfrak{s}.$ 

The following result gives a basis of integral differentials when  $K = K^{nr}$ . In Theorem [6.4](#page-54-0) we extend it to the case  $K \neq K^{nr}$ .

**Theorem 1.9** (Theorem [6.3\)](#page-51-0). Suppose C is y-regular and has almost rational cluster picture. For  $i =$ 0, ... , *g* − 1*, inductively*

<span id="page-3-2"></span>(i) define 
$$
e_i := \max_{t \in \Sigma_K} \left\{ \frac{\epsilon_t}{2} - \rho_t - \sum_{j=0}^{i-1} \rho_{s_j \wedge t} \right\};
$$
  
\n(ii) let  $\Sigma_i = \left\{ t \in \Sigma_K \mid e_i = \frac{\epsilon_t}{2} - \rho_t - \sum_{j=0}^{i-1} \rho_{s_j \wedge t} \right\};$ 

<span id="page-3-3"></span>(iii) *choose a maximal element*  $s_i$  *of*  $\Sigma_i$  *freely.* 

*Then a basis of integral differentials is given by*

$$
\mu_i = \pi^{\lfloor e_i \rfloor} \prod_{j=0}^{i-1} (x - w_{s_j}) \frac{dx}{2y}, \qquad i = 0, \ldots, g-1.
$$

<span id="page-3-0"></span>Note that given  $e_i$  as in the previous theorem, the sum  $\sum_{i=0}^{g-1} [e_i]$  is the quantity, often denoted by  $v(\omega^{\circ}/\omega)$ , appearing in the period in the Birch and Swinnerton-Dyer conjecture (for more details see [\[4\]](#page-55-5), [\[25,](#page-56-5) §1.3]).

## *1.3. Rational cluster picture*

In this subsection we define the rational cluster picture and compare it with the *classical* cluster picture defined in [\[14\]](#page-56-3). We will show, via a simple example, in which sense the new object we introduce appears to be more suitable for the study of regular models.

**Definition 1.10** (Definition [3.9\)](#page-12-0)*. Let K and C as before. The rational cluster picture of C is the collection of its rational clusters*  $\Sigma_K$  *together with their radii.* 

**Example 1.11.** Let p be any prime number and set  $K = \mathbb{Q}_p^{nr}$ . Let  $E_p/\mathbb{Q}_p^{nr}$  given by  $y^2 = x^3 - p$ . Then  $E_p$ *is an elliptic curve with Kodaira-Néron reduction type II. Therefore, the minimal regular model (with normal crossings) of Ep does not depend on p. This is in accordance with the fact that the rational cluster picture of*  $E_p$  *is the same for all p. Indeed, the set of roots of the polynomial*  $x^3 - p$  *is*  $\Re =$  $\{\sqrt[3]{p}, \zeta_3\sqrt[3]{p}, \zeta_3^2\sqrt[3]{p}\}$ , where  $\zeta_3$  is a primitive 3rd of unity. Hence the rational cluster picture of  $E_p$  is

<span id="page-4-0"></span>

*where we denoted with bullet points the roots in* R*, with a surrounding oval the only rational cluster* R*, and with the subscript the radius*  $\rho_{\Re}$  *of*  $\Re$ *.* 

*A different behaviour is observed when we consider the cluster picture [\[14,](#page-56-3) Definition 1.26] of Ep, collection of its clusters together with their depths. The cluster picture of*  $E_p$  *is* 



*where the subscripts represent the depth of the cluster* R*. It does depend on p and differs from the rational cluster picture when p* = 3*. Thus, although the cluster picture is particularly useful for Galois theoretical problems, the rational cluster picture appears to be a more suitable object for the study of regular models of the curve.*

*Finally, note that*  $E_p$  *has an almost rational cluster picture. For any two distinct roots*  $r, r' \in \mathcal{R}$ *, the smallest v*-adic disc  $D_{r,r'}$  containing them also contains the whole  $\mathfrak{R}$ . The element  $0 \in \mathbb{Q}_p^m$  belongs to *D*<sub>*r*,*r'*</sub> when  $p \neq 3$ , while  $|D_{r,r'} \cap \Re| = 3 = |v(r)|_p$ , if  $p = 3$ .

<span id="page-4-1"></span>The advantages of the rational cluster picture discussed in this subsection can also be observed in the following example where we study a more complex family of hyperelliptic curves having almost rational cluster picture.

## *1.4. Example*

In this subsection we are going to present an example of a family of hyperelliptic curves  $C_p$  satisfying the hypothesis of Theorems [1.7](#page-3-1) and [1.9.](#page-3-2) Via those results we will then describe the special fibre of the minimal regular model and a basis of integral differentials of  $C_p$ . All the computations involved are explained in detail in Examples [3.32,](#page-19-1) [4.25](#page-27-1) and [6.5.](#page-55-6)

For any prime number p, let  $a \in \mathbb{Z}_p$ ,  $b \in \mathbb{Z}_p^\times$  such that the polynomial  $x^2 + ax + b$  is not a square modulo p. Let  $C_p/\mathbb{Q}_p$  be the hyperelliptic curve of genus 4 given by  $y^2 = f(x)$ , where  $f(x) = (x^6 + ap^4x^3 +$  $bp^8$ )( $(x - p)^3 - p^{11}$ ). The curve  $C_p/\mathbb{Q}_p^{nr}$  has an almost rational cluster picture and is *y*-regular when  $p = 2$ . Its rational cluster picture is



where  $\rho_{t_3} = \frac{4}{3}$ ,  $\rho_{t_4} = \frac{11}{3}$ , and  $\rho_{\Re} = 1$ . From Theorem [1.7](#page-3-1) we can construct a regular model with strict normal crossings of  $C_p$  with special fibre



over  $\mathbb{F}_p$ . Computing the self-intersection of each irreducible component we easily see that this model coincides with the minimal regular model *C*min. Theorem [4.23](#page-27-0) also describes the action of the Galois group Gal( $\overline{\mathbb{F}}_p/\mathbb{F}_p$ ) on the special fibre  $\mathcal{C}_s^{\min}$  of  $\mathcal{C}^{\min}$ . If the roots of  $x^2 + ax + b \mod p$  are in  $\mathbb{F}_p$  then the absolute Galois group acts trivially on each component, otherwise it swaps the 2 irreducible components of multiplicity 3 intersecting  $\Gamma_{\text{t}_3}$ .

From Theorem [1.9](#page-3-2) it follows that, for any p, a basis of integral differentials of  $C_p/\mathbb{Q}_p^m$  is given by

$$
\mu_0 = p^4 \cdot \frac{dx}{2y}, \quad \mu_1 = p^3(x - p) \cdot \frac{dx}{2y}, \quad \mu_2 = p(x - p)x \cdot \frac{dx}{2y}, \quad \mu_3 = (x - p)x^2 \cdot \frac{dx}{2y}.
$$

In fact, this is also a basis of integral differentials of  $C_p/\mathbb{Q}_p$  since they are all defined over  $\mathbb{Q}_p$  (see Proposition [B.2\)](#page-57-0).

Below we will present related works of other authors concerning regular models and integral differ-entials of hyperelliptic curves. Note that the example presented here is not covered by [\[14\]](#page-56-3) and [\[1\]](#page-55-1) since the curve  $C_p$  is not semistable and not  $\Delta_v$ -regular. In fact, if  $p = 3$  the curve  $C_p$  does not even have tamely potential semistable reduction. The results in [\[5\]](#page-55-2) assume  $p > 2$  and  $C_p$  with tamely potential semistable reduction, hence they cannot be used when  $p = 2, 3$ . Finally, there is no classification for genus 4 curves.

## <span id="page-5-0"></span>*1.5. Related works of other authors*

Let *K* be a discretely valued field with residue field *k* of characteristic *p* and let  $C/K$  be a hyperelliptic curve of genus *g*.

In genus 1, when *k* is perfect, thanks to Tate's algorithm, one can describe the minimal regular model and the space of integral differentials of an elliptic curve *C* (see e.g., [\[24,](#page-56-6) IV.8.2], [\[9,](#page-55-4) Theorem 9.4.35]).

If  $K = \mathbb{C}(t)$  and *C* has genus 2, then Namikawa and Ueno [\[18\]](#page-56-2) and Liu [\[12\]](#page-56-7) give a full classification of the possible configurations of the special fibre of the minimal regular model of *C*.

If  $p \neq 2$ , then Liu and Lorenzini show in [\[13\]](#page-56-8) that regular models of *C* can be seen as double cover of well-chosen regular models of  $\mathbb{P}^1_K$ . Since the latter can be found by using the MacLane valuations [\[15\]](#page-56-9) approach in [\[21\]](#page-56-4), this argument gives a way to describe any regular model of a hyperelliptic curve. At the moment there is no known closed form description of a regular model based on this approach and it has not been generalised to the  $p = 2$  case.

If  $p > 2$ , *k* finite, and *C* is semistable, then in [\[14\]](#page-56-3) the authors explicitly construct a minimal regular model in terms of the cluster picture of *C*. Under the same assumptions, Kunzweiler [\[8\]](#page-55-7) gives a basis of integral differentials rephrasing [\[6,](#page-55-8) Proposition 5.5] in terms of the cluster invariants introduced in [\[14\]](#page-56-3). These results can be recovered from Theorem [4.23](#page-27-0) (see Corollary [4.27\)](#page-29-1) and Theorem [6.3.](#page-51-0)

If  $p > 2$  and *C* is semistable over some tamely ramified extension  $L/K$ , then Faraggi and Nowell [\[5\]](#page-55-2) find the special fibre of the minimal regular model of *C* with strict normal crossings taking the quotient of the stable model of *CL* and resolving the (tame) singularities. However, since they do not describe the charts of the model, their result does not immediately yield all arithmetic invariants, such as a basis of integral differentials.

The last work we want to recall represents an important ingredient of the strategy we will use in this paper (described more precisely in the next subsection). T. Dokchitser in [\[1\]](#page-55-1) shows that the toric resolution of C gives a regular model in case of  $\Delta_{v}$ -regularity [\[1,](#page-55-1) Definition 3.9]. This result, used also in [\[5\]](#page-55-2), holds for general curves and in any residue characteristic. In his paper, Dokchitser also describes a basis of integral differentials since his model is given as open cover of affine schemes. In Corollary [3.25](#page-17-0) and Theorem [6.1,](#page-49-0) we will rephrase his results for hyperelliptic curves by using rational cluster picture invariants from Section [3.](#page-11-0)

# *1.6. Strategy and outline of the paper*

In [\[1\]](#page-55-1), Dokchitser not only describes a regular model of *C* in case of  $\Delta_{\nu}$ -regularity, but also constructs a proper flat model  $C_A$  without any assumptions on *C*. Assume *C* is *y*-regular and has an almost rational cluster picture over  $K^{nr}$  with rational centres  $w_1, \ldots, w_m \in K^{nr}$ . Our approach to construct the minimal regular model with normal crossings of *C* is composed by the following steps:

- Consider the *x*-translated hyperelliptic curves  $C^{w_h}/K^{w}:y^2 = f(x + w_h)$ , for  $h = 1, \ldots, m$ . For each *h*, [\[1,](#page-55-1) Theorem 3.14] constructs a proper flat model  $C_{\Delta}^{w_h}$ , possibly singular.
- We glue regular open subschemes of these models along common opens, and show that the result is a proper flat regular model  $\mathcal C$  of  $C_{K^{nr}}$  with strict normal crossings.
- We give a complete description of what components of the special fibre of *<sup>C</sup>* have to be blown down to obtain the minimal model with normal crossings  $C^{\min}$  of  $C_{K^{nr}}$ .
- Finally, we describe the action of the absolute Galois group  $G_k$  of  $k$  on the special fibre of  $C^{min}$ .

We will explicitly describe both the models  $C_{\Delta}^{w_h}$  and *C*. This allows us to study the global sections of its relative dualising sheaf  $\omega_{C/O_{\kappa}}(\mathcal{C})$ .

In Section [2,](#page-7-0) we present some results on Newton polygons used in the following sections. In Section [3,](#page-11-0) we recall the basic objects and notation of  $[14]$  and define the rational cluster picture. Moreover, we relate it with the notions given in Section [2.](#page-7-0) This comparison allows us to rephrase the objects in [\[1\]](#page-55-1) in terms of rational clusters invariants in Section [4.](#page-21-0) In the same section we also state the theorems which describe the special fibres of a proper flat model (Theorem [4.18\)](#page-26-0) and of the minimal regular model with normal crossings (Theorem [4.23\)](#page-27-0) of *C*. The construction of these models, from which the two theorems above follow, is presented in Section [5.](#page-29-0) Finally, in Section [6,](#page-49-1) Theorems [6.3](#page-51-0) and [6.4](#page-54-0) describe a basis of integral differentials of *C*, in terms of rational clusters invariants defined in Section [3.](#page-11-0)

# **1.7. Notation**







For a separable polynomial  $f \in k[x]$  or a hyperelliptic curve  $C/K: y^2 = f(x)$  as above, the following is the main notation for clusters.



In Section [5](#page-29-0) we explicitly construct proper flat models of hyperelliptic curves and study the conditions for having (minimal) regular models with normal crossings. Here you can find the most used objects and notation.

<span id="page-7-0"></span>



# **2. Newton polygon**

Let *K* be a complete field with a normalised valuation *v*, ring of integers  $O_K$ , uniformiser  $\pi$ , and residue field *k* of characteristic p. We fix  $\bar{K}$ , an algebraic closure of K, of residue field  $\bar{k}$ , and we denote by  $K^s$ the separable closure of *K* in  $\bar{K}$ . Denote by  $K^{nr}$  the maximal unramified extension of *K* in  $K^s$ , by  $O_{K^{nr}}$ its ring of integers, and by  $k^s$  its residue field. Note that  $k^s$  is the separable closure of  $k$  in  $k$ . Extend the valuation *v* to  $\overline{K}$ . Finally, write  $G_K$ ,  $G_k$  for the Galois groups Gal( $K^s/K$ ), Gal( $k^s/k$ ), respectively.

**Notation 2.1.** *Let*  $O_{\bar{K}} = \{a \in \bar{K} \mid v(a) \ge 0\}$ . Throughout this paper, given an element  $a \in O_{\bar{K}}$ , we will *write a* mod  $\pi$  *for the reduction of a in*  $\bar{k}$ *. Similarly, given a polynomial*  $h \in O_{\bar{k}}[x_1, \ldots, x_n]$ *, namely*  $h = \sum a_{i_1,\dots,i_n} \cdot x_1^{i_1} \cdots x_n^{i_n}$ , we will write h mod  $\pi$  for the polynomial  $\sum (a_{i_1,\dots,i_n} \mod \pi) \cdot x_1^{i_1} \cdots x_n^{i_n} \in$  $\bar{k}[x_1, \ldots, x_n]$ .

Let  $f \in K[x]$  be a non-zero polynomial of degree *d*, say

<span id="page-8-0"></span>
$$
f(x) = \sum_{i=0}^{d} a_i x^i.
$$

The *Newton polygon* of *f* , denoted NP(*f*), is

 $NP(f) =$  lower convex hull  $\{(i, v(a_i)) | i = 0, ..., d, a_i \neq 0\} \subset \mathbb{R}^2$ .

We recall the following well-known result (see e.g., [\[17,](#page-56-10) II.6.3,6.4]).

**Theorem 2.2.** Let  $i_0 < \ldots < i_s = d$  be the set of indices in  $\{0, \ldots, d\}$  such that the points  $(i_0, v(a_{i_0}))$ , ...,  $(i_s, v(a_{i_s}))$  are the vertices of NP(f). For any  $j = 1, \ldots, s$ , denote by  $\rho_j$  the slope of the *edge of* NP(*f*) *which links the points* (*ij*−1, *v*(*aij*<sup>−</sup><sup>1</sup> )) *and* (*ij*, *v*(*aij* ))*. Then f has a unique factorisation over K as a product*

$$
f = a_d \cdot g_0 \cdot g_1 \cdots g_s,
$$

*where*  $g_0 = x^{i_0}$  *and, for all*  $j = 1, ..., s$ *,* 

- *the polynomials*  $g_i \in K[x]$  *are monic of degree*  $d_i = i_i i_{i-1}$ *,*
- *all the roots of*  $g_i$  *have valuation*  $-\rho_i$  *in*  $\bar{K}$ *.*

*In particular,*  $NP(g_i)$  *is a segment of slope*  $\rho_i$ *.* 

<span id="page-9-2"></span>**Corollary 2.3.** *With the notation of Theorem* [2.2](#page-8-0)*, the polynomial f has exactly*  $d_i$  *roots of valuation* − $\rho_i$ *for all*  $j = 1, \ldots, s$ *.* 

**Corollary 2.4.** *If*  $f = \sum a_i x^i$  *is irreducible of degree d and*  $a_0 \neq 0$ *, then* NP(*f*) *is a segment linking the points*  $(0, v(a_0))$  *and*  $(d, v(a_d))$ *.* 

<span id="page-9-0"></span>**Definition 2.5** (Restriction and reduction). Let  $f = \sum_{i=0}^{d} a_i x^i \in K[x]$  *and consider an edge L of its Newton* polygon NP(f). Let  $(i_1, v(a_{i_1})), (i_2, v(a_{i_2})), i_1 < i_2$  be the two endpoints of L. Denote by  $\rho$  the slope of L *and by n the denominator of* ρ*. Define the restriction of f to L as*

$$
f|_{L} := \sum_{i=0}^{(i_2 - i_1)/n} a_{ni + i_1} x^i \in K[x].
$$

*Moreover, we define the reduction of f with respect to L to be the polynomial*

$$
\overline{f|_L} := \pi^{-c} f|_L(\pi^{-n\rho} x) \bmod \pi \in k[x],
$$

 $where \nc = v(a_{i_1}) = v(a_{i_2}) + (i_1 - i_2)\rho.$ 

**Remark 2.6.** *These definitions coincide with the ones given in [*[1](#page-55-1)*, Definitions 3.4, 3.5] when the number of variables is* 1 *(for suitable choices of basis of the lattices used in the definitions).*

Until the end of the section let  $f \in K[x]$ , consider a factorisation  $f = a_d \cdot g_0 \cdot g_1 \cdots g_s$  as in Theorem [2.2.](#page-8-0) Denote by  $L_i$  the edge of slope  $\rho_i$  of NP(*f*), for any  $j = 1 \ldots s$ .

**Remark 2.7.** By the lower convexity of NP(f), for all  $j = 1, ..., s$ , note that  $\overline{f|_{L_i}} = \overline{c_j} \cdot \overline{g_j|_{N}(g_j)}$  for some  $\bar{c}_i \in k^\times$ *. In particular they define the same k*-scheme in  $\mathbb{G}_{m,k}$ *. More precisely, for any*  $j = 1, \ldots, s$ *, let* 

<span id="page-9-1"></span>
$$
u_j = a_d \cdot \prod_{i=j+1}^s g_i(0).
$$

*Then*  $\bar{c}_i = u_i / \pi^{v(u_i)} \mod \pi$ .

**Definition 2.8.** *We say that f is* NP*-regular if the k-scheme*

$$
X_{L_j} : \{ \overline{f|_{L_j}} = 0 \} \subset \mathbb{G}_{m,k}
$$

*is smooth for all*  $j = 1, \ldots, s$ *.* 

<span id="page-9-3"></span>**Lemma 2.9.** *The polynomial*  $f = a_d \cdot g_0 \cdot g_1 \cdot \cdot \cdot g_s$  *is* NP*-regular if and only if*  $g_i$  *<i>is* NP*-regular for every*  $j = 1, \ldots, s$ .

*Proof.* The Lemma follows from Remark [2.7.](#page-9-1)

We conclude this section with two examples.

**Example 2.10.** *Let*  $f = x^{11} + 9x^7 - 3x^6 + 9x^5 + 81x - 27 \in \mathbb{Q}, [x]$ *. Then the Newton polygon of f is* 



*Corollary* [2.3](#page-9-2) *implies that*  $f$  *has* 6 *roots of valuation*  $\frac{1}{3}$  *and* 5 *roots of valuation*  $\frac{1}{5}$ *. Furthermore, the two polynomials*  $g_1$  *and*  $g_2$  *in the factorisation*  $f = g_1 \cdot g_2$  *of Theorem* [2.2](#page-8-0) *turn out to be* 

$$
g_1 = x^6 + 9
$$
,  $g_2 = x^5 + 9x - 3$ .

*Finally,*

$$
f|_{L_1} = -3x^2 - 27 = -3 \cdot g_1|_{\text{NP}(g_1)}, \qquad f|_{L_2} = x - 3 = g_2|_{\text{NP}(g_2)};
$$

*and*

$$
\overline{f|_{L_1}} = -x^2 - 1 = -(x^2 + 1) = -\overline{g_1|_{\text{NP}(g_1)}}, \qquad \overline{f|_{L_2}} = x - 1 = \overline{g_2|_{\text{NP}(g_2)}} \qquad \text{in } \mathbb{F}_3[x].
$$

*Thus f is* NP*-regular.*

**Example 2.11.** We now show an example of a polynomial that is not NP-regular. Let  $f = x^9 + 12x^6 +$  $36x^3 + 81 \in \mathbb{Q}_3[x]$ . Then the Newton polygon of f is



*Corollary* [2.3](#page-9-2) *implies that*  $f$  *has* 3 *roots of valuation*  $\frac{2}{3}$  *and* 6 *roots of valuation*  $\frac{1}{3}$ *. Furthermore, the two polynomials*  $g_1$  *and*  $g_2$  *in the factorisation*  $f = g_1 \cdot g_2$  *of Theorem* [2.2](#page-8-0) *are* 

$$
g_1 = x^3 + 9
$$
,  $g_2 = x^6 + 3x^3 + 9$ .

*Finally,*

$$
f|_{L_1} = 36x + 81 \qquad f|_{L_2} = x^2 + 12x + 36,
$$

$$
g_1|_{\text{NP}(g_1)} = x + 9
$$
,  $g_2|_{\text{NP}(g_2)} = x^2 + 3x + 9$ ;

*and*

$$
\overline{f|_{L_1}} = x + 1 = \overline{g_1|_{\text{NP}(g_1)}}, \qquad \overline{f|_{L_2}} = (x + 2)^2 = \overline{g_2|_{\text{NP}(g_2)}} \qquad \text{in } \mathbb{F}_3[x].
$$

<span id="page-11-0"></span>*Then f is not* NP-regular. In fact, in accordance with Lemma [2.9](#page-9-3),  $g_2$  *is not* NP-regular.

#### **3. Rational clusters**

In this subsection we introduce simple combinatorial objects, that we call *rational clusters*, attached to a separable polynomial  $f \in K[x]$ . Via this new terminology, we will give a characterisation for the NP-regularity, from which the definition of *almost rational cluster picture*, key condition for the next sections, will follow. In fact, rational clusters are the main objects we will use for the construction of models and the description of integral differentials of hyperelliptic curves in Sections [5](#page-29-0) and [6.](#page-49-1)

From now on, let  $f \in K[x]$  be a separable polynomial and denote by  $\Re$  the set of its roots in  $K^s$  and by  $c_f$  its leading coefficient. Then

$$
f(x) = c_f \prod_{r \in \mathfrak{R}} (x - r).
$$

<span id="page-11-2"></span>**Definition 3.1** ([\[14,](#page-56-3) Definition 1.1]). A cluster (for f) is a non-empty subset  $s \subseteq \mathfrak{R}$  of the form  $D \cap \mathfrak{R}$ , where D is a v-adic disc  $D = \{x \in \overline{K} \mid v(x - z) \ge d\}$  for some  $z \in \overline{K}$  and  $d \in \mathbb{Q}$ . If  $|s| > 1$  we say that  $s$  is *proper and define its depth*  $d_s$  *to be* 

$$
d_{\mathfrak{s}} = \min_{r,r' \in \mathfrak{s}} v(r - r').
$$

*Note that every proper cluster is cut out by a disc of the form*

$$
\mathcal{D} = \{x \in \bar{K} \mid v(x - r) \ge d_{\mathfrak{s}}\}
$$

*for any*  $r \in$  5.

<span id="page-11-1"></span>**Definition 3.2** ([\[14,](#page-56-3) Definition 1.26])*. The cluster picture of f is the collection of its clusters, together with their depths.*

*We denote by*  $\Sigma_f$  *the set of all clusters of f and by*  $\hat{\Sigma}_f$  *the subset of*  $\Sigma_f$  *of proper clusters.* 

<span id="page-11-3"></span>**Definition 3.3** ([\[14,](#page-56-3) Definition 1.3])*. If*  $s \subseteq s$  *is maximal subcluster, then we say that*  $s'$  *is a child of*  $s$ *and*  $\mathfrak s$  *is the parent of*  $\mathfrak s'$ *, and we write*  $\mathfrak s' < \mathfrak s$ *. For any*  $\mathfrak s'$ *,*  $\mathfrak s \in \Sigma_f$ *, we write*  $\mathfrak s' \leq \mathfrak s$  *if either*  $\mathfrak s' < \mathfrak s$  *or*  $\mathfrak s' = \mathfrak s$ *. Since every cluster*  $s \neq \Re$  *has one and only one parent we write*  $P(s)$  *to refer to the unique parent of*  $s$ *.* 

We say that a proper cluster  $\frak s$  *is minimal if it does not have any proper child.* 

*For two clusters (or roots)*  $\mathfrak{s}_1, \mathfrak{s}_2,$  we write  $\mathfrak{s}_1 \wedge \mathfrak{s}_2$  *for the smallest cluster that contains them.* 

<span id="page-11-4"></span>**Definition 3.4** ([\[14,](#page-56-3) Definition 1.4]). A cluster  $\mathfrak s$  is odd/even if its size is odd/even. If  $|\mathfrak s| = 2$ , then we *say* s *is a twin. A cluster* s *is übereven if it has only even children.*

**Definition 3.5** ([\[14,](#page-56-3) Definition 1.9])*. A centre*  $z<sub>s</sub>$  *of a proper cluster*  $\bf{s}$  *is any element*  $z<sub>s</sub> \in K<sup>s</sup>$  *such that* s = *D* ∩ R*, where*

$$
\mathcal{D} = \{x \in \bar{K} \mid v(x - z_{\mathfrak{s}}) \ge d_{\mathfrak{s}}\}.
$$

*Equivalently,*  $v(r - z_s) \geq d_s$  *for all*  $r \in \mathfrak{s}$ *. The centre of a non-proper cluster*  $\mathfrak{s} = \{r\}$  *is r.* 

**Definition 3.6** ([\[14,](#page-56-3) Definition 1.6])*. For a proper cluster* s *set*

$$
\nu_{\mathfrak{s}} := \nu(c_f) + \sum_{r \in \mathfrak{R}} d_{r \wedge \mathfrak{s}}.
$$

**Definition 3.7.** *We say that*  $\Sigma_f$  *is nested if one of the following equivalent conditions is satisfied:* 

- (i) *there exists*  $z \in K^s$  *such that z is a centre for all proper clusters*  $s \in \Sigma_f$ ;
- (ii) *there is only one minimal cluster in*  $\Sigma_f$ ;
- (iii) *every non-minimal proper cluster has exactly one proper child.*

<span id="page-12-1"></span>**Definition 3.8.** *A rational centre of a cluster*  $\mathfrak{s}$  *is any element*  $w_{\mathfrak{s}} \in K$  *such that* 

$$
\min_{r \in \mathfrak{s}} v(r - w_{\mathfrak{s}}) = \max_{w \in K} \min_{r \in \mathfrak{s}} v(r - w).
$$

*If*  $s = \{r\}$ *, with*  $r \in K$ *, then*  $w_s = r$ *.* 

*If w*<sup>s</sup> *is a rational centre of a proper cluster* s*, we define the radius of* s *to be*

$$
\rho_{\mathfrak{s}} = \min_{r \in \mathfrak{s}} v(r - w_{\mathfrak{s}}).
$$

<span id="page-12-0"></span>**Definition 3.9.** A rational cluster is a cluster cut out by a *v*-adic disc of the form  $D = \{x \in \overline{K} \mid v(x - w) \geq 0\}$ *d*} *with w* ∈ *K and d* ∈  $\mathbb{Q}$ *.* 

*The rational cluster picture is the collection of all rational clusters of f together with their radii. We denote by*  $\Sigma_f^{\text{rat}} \subseteq \Sigma_f$  the set of rational clusters and by  $\hat{\Sigma}_f^{\text{rat}}$  the subset of  $\Sigma_f^{\text{rat}}$  of proper rational *clusters.*

**Lemma 3.10.** *Let*  $\mathfrak{s}$  *be a proper cluster. Then*  $d_{\mathfrak{s}} \geq \rho_{\mathfrak{s}}$ *.* 

*Proof.* First we want to show that

$$
\min_{r,r'\in\mathfrak{s}} v(r-r') = \max_{z\in K^s} \min_{r\in\mathfrak{s}} v(r-z).
$$

Clearly  $\min_{r,r' \in \mathfrak{s}} v(r - r') \leq \max_{z \in K^s} \min_{r \in \mathfrak{s}} v(r - z)$ . Let  $z_{\mathfrak{s}} \in K^s$  such that

$$
\max_{z \in K^s} \min_{r \in \mathfrak{s}} v(r - z) = \min_{r \in \mathfrak{s}} v(r - z_{\mathfrak{s}}).
$$

Then, for any  $r, r' \in \mathfrak{s}$ , one has

$$
v(r-r') \geq \min\{v(r-z_{\mathfrak{s}}), v(r'-z_{\mathfrak{s}})\} \geq \min_{r \in \mathfrak{s}} v(r-z_{\mathfrak{s}}),
$$

and so

$$
\min_{r,r'\in\mathfrak{s}} v(r-r') \geq \max_{z\in K^s} \min_{r\in\mathfrak{s}} v(r-z),
$$

as required. From

$$
d_{\mathfrak{s}} = \min_{r,r' \in \mathfrak{s}} v(r-r') = \max_{z \in K^s} \min_{r \in \mathfrak{s}} v(r-z) \ge \max_{w \in K} \min_{r \in \mathfrak{s}} v(r-w) = \rho_{\mathfrak{s}},
$$

the Lemma follows.

Thanks to the previous lemma, the next definition gives, for any cluster s, the smallest rational cluster containing it.

**Definition 3.11.** *Given a proper cluster*  $s \in \Sigma_f$ *, we define the rationalisation*  $s^{rat}$  *of*  $s$  *to be the smallest rational cluster containing* s*. By definition*

<span id="page-12-2"></span>
$$
\mathfrak{s}^{\text{rat}} = \mathfrak{R} \cap \{x \in \bar{K} \mid v(x - w_{\mathfrak{s}}) \ge \rho_{\mathfrak{s}}\},
$$

*where*  $w_s$  *is a rational centre of*  $\mathfrak s$  *and*  $\rho_s$  *is its radius.* 

The next Lemma will be used in Section [5](#page-29-0) to prove the minimality of the regular model with normal crossings we construct.

**Lemma 3.12.** Let  $s \in \Sigma_f^{rat}$  be a proper cluster with rational centre  $w_s$ . Let  $s' \in \Sigma_c^{rat}$  be the child of  $s$  with *rational centre*  $w_s$  (let  $s' = \varnothing$  *if it does not exist). Then* ( $|s| - |s'|$ ) $\rho_s \in \mathbb{Z}$ .

*Proof.* As  $\mathfrak{s} \in \Sigma_f^{\text{rat}}$ , one has  $\mathfrak{s} = \mathfrak{s}^{\text{rat}}$ . Let  $b_{\mathfrak{s}}$  be the denominator of  $\rho_{\mathfrak{s}}$ . Then  $b_{\mathfrak{s}}$  divides the degree of the minimal polynomial of *r*, for any  $r \in \mathfrak{s}$  satisfying  $v(w_{\mathfrak{s}} - r) = \rho_{\mathfrak{s}}$ . Then  $(|\mathfrak{s}| - |\mathfrak{s}'|)\rho_{\mathfrak{s}} \in \mathbb{Z}$ , where

<span id="page-13-1"></span>
$$
\mathfrak{s}' = \mathfrak{R} \cap \{x \in \overline{K} \mid v(x - w_{\mathfrak{s}}) > \rho_{\mathfrak{s}}\},\
$$

as required.

By definition, a rational cluster is  $G_K$ -invariant. Apart from that necessary condition, it is not easy to see whether a proper cluster s is also a rational cluster in general. The following remark gives a sufficient condition and shows we have a simple characterisation when  $K(s)/K$  is tamely ramified.

**Remark 3.13.** *If a proper cluster*  $s \in \Sigma_f$  *satisfies*  $d_s = \rho_s$ , *then a rational centre*  $w_s \in K$  *of its is also a centre. Hence* s *is a rational cluster and, in particular, is GK-invariant. On the other hand, if a proper cluster*  $s \in \Sigma_f$  *is*  $G_K$ *-invariant and*  $K(s)/K$  *is tamely ramified, then* s *has a centre*  $z_s \in K$  *by [\[14,](#page-56-3) Lemma B.1]. Thus*  $\rho_{\mathfrak{s}} = d_{\mathfrak{s}}$  *and*  $\mathfrak{s} \in \Sigma_f^{\text{rat}}$ .

<span id="page-13-0"></span>**Lemma 3.14.** *Let*  $\mathfrak s$  *be a proper cluster with rational centre*  $w_{\mathfrak s}$  *and let*  $\mathfrak t \in \Sigma_f$  *satisfying*  $\mathfrak t \supseteq \mathfrak s$ *. Then*  $w_{\mathfrak s}$ *is a rational centre of t and*  $\rho_t \leq \rho_s$ *. Furthermore, if s is a rational cluster and*  $t \supsetneq$  *s, then*  $\rho_t < \rho_s$ *.* 

*Proof.* It suffices to prove the Lemma for  $t = P(s)$ . Hence we first want to show that  $\min_{r \in P(s)} v(r - r)$  $w_s$ ) =  $\rho_{P(s)}$  and  $\rho_{P(s)} \leq \rho_s$ . Note that

$$
\min_{r \in P(\mathfrak{s})} v(r - w_{\mathfrak{s}}) \leq \max_{w \in K} \min_{r \in P(\mathfrak{s})} v(r - w) = \rho_{P(\mathfrak{s})}.
$$

Moreover,

$$
\rho_{P(\mathfrak{s})} = \max_{w \in K} \min_{r \in P(\mathfrak{s})} v(r - w) \le \max_{w \in K} \min_{r \in \mathfrak{s}} v(r - w) = \rho_{\mathfrak{s}}.
$$

If  $r \in \mathfrak{s}$  then  $v(w_{\mathfrak{s}} - r) \ge \rho_{\mathfrak{s}}$ , by definition of  $\rho_{\mathfrak{s}}$ . On the other hand, if  $r \in P(\mathfrak{s}) \setminus \mathfrak{s}$  then fixing  $r' \in \mathfrak{s}$  we have

$$
v(r - w_s) = v(r - r' + r' - w_s) \ge \min\{v(r - r'), v(r' - w_s)\} \ge \min\{d_{P(s)}, \rho_s\} \ge \rho_{P(s)},
$$

by the previous lemma. Thus  $\min_{r \in P(s)} v(r - w_s) = \rho_{P(s)}$ , as required.

Now suppose  $\mathfrak{s} \in \Sigma_f^{\text{rat}}$  with  $\mathfrak{t} \supsetneq \mathfrak{s}$ . From Definition [3.8,](#page-12-1) it follows that

$$
\{x \in \overline{K} \mid v(x - w_s) \ge \rho_s\} \cap \mathfrak{R} = \mathfrak{s} \subsetneq \mathfrak{t} \subseteq \{x \in \overline{K} \mid v(x - w_s) \ge \rho_t\} \cap \mathfrak{R},
$$

as  $w_s$  is a rational centre of t. Thus  $\rho_t < \rho_s$ .

 $Definition 3.15.$  *We say that a proper rational cluster*  $\mathfrak{s} \in \Sigma_f^{\text{rat}}$  *is (rationally) minimal if it does not have any proper rational child.*

From Lemma [3.14](#page-13-0) it follows that if  $W \subseteq K$  such that every minimal rational cluster has a rational centre in *W*, then all clusters have a rational centre in *W*. This fact will be key for the construction of the model in Section [5.](#page-29-0) Another important result is Lemma [3.18,](#page-14-1) that describes the depth and the radius of  $\mathfrak{s} \wedge \mathfrak{s}'$  for two rational clusters  $\mathfrak{s}, \mathfrak{s}'.$  To prove it, we need the following two lemmas.

**Lemma 3.16.** *Every cluster*  $\mathfrak{s}$  *with*  $\rho_{\mathfrak{s}} < d_{\mathfrak{s}}$  *has no rational subcluster*  $\mathfrak{s}' \subsetneq \mathfrak{s}$ *.* 

*Proof.* Suppose by contradiction there exists  $\mathfrak{s}' \in \Sigma_c^{\text{rat}}$ ,  $\mathfrak{s}' \subsetneq \mathfrak{s}$ , and fix a rational centre  $w_{\mathfrak{s}'}$  of  $\mathfrak{s}'$ . Then  $w_{s'}$  is a rational centre of  $\epsilon$  by the previous lemma. If  $|\epsilon'| = 1$ , then  $w_{s'}$  is also a centre of  $\epsilon$  and this

<span id="page-13-2"></span> $\Box$ 

contradicts  $\rho_s < d_s$ ; so, assume  $s'$  proper. Let  $r' \in s'$  such that  $v(r' - w_{s'}) = \rho_{s'}$  and  $r \in s$  such that  $v(r - w_{s'}) = \rho_{s'}$  $w_{s'}$ ) =  $\rho_s$ . But then  $d_s \le v(r - w_{s'} + w_{s'} - r') = \rho_s$  again by Lemma [3.14.](#page-13-0)  $\Box$ 

In particular, the Lemma above shows that if  $\mathfrak{s} \in \Sigma_f$  and  $\mathfrak{s}' \in \Sigma_f^{\text{rat}}$  is a maximal rational subcluster of  $\mathfrak{s}$ , with  $\mathfrak{s}' \subseteq \mathfrak{s}$ , then  $\mathfrak{s}'$  is a child of  $\mathfrak{s}$ . Moreover, the parent of a rational cluster is rational.

**Lemma 3.17.** Let  $\mathfrak{s}, \mathfrak{s}' \in \Sigma_f^{\text{rat}}$  such that  $\mathfrak{s}' \nsubseteq \mathfrak{s}$ . If  $w_{\mathfrak{s}}$  is a rational centre of  $\mathfrak{s}$  then

<span id="page-14-2"></span>
$$
\min_{r \in \mathfrak{s}'} v(r - w_{\mathfrak{s}}) = \rho_{\mathfrak{s} \wedge \mathfrak{s}'}.
$$

*Proof.* By Lemma [3.14](#page-13-0) we have

$$
\min_{r \in \mathfrak{s} \wedge \mathfrak{s}'} v(r - w_{\mathfrak{s}}) = \rho_{\mathfrak{s} \wedge \mathfrak{s}'}.
$$

Therefore  $\min_{r \in \mathcal{S}} v(w_s - r) > \rho_{\mathcal{S} \wedge \mathcal{S}}$ . Suppose by contradiction that

$$
\min_{r\in\mathfrak{s}'} v(r-w_{\mathfrak{s}})=:\rho>\rho_{\mathfrak{s}\wedge\mathfrak{s}'}.
$$

It follows from Lemma [3.14](#page-13-0) that

$$
\min_{r \in \mathfrak{s}} v(r - w_{\mathfrak{s}}) = \rho_{\mathfrak{s}} > \rho_{\mathfrak{s} \wedge \mathfrak{s}'}
$$

as  $\mathfrak{s}' \nsubseteq \mathfrak{s}$ . But then there exists  $\tilde{r} \in (\mathfrak{s} \wedge \mathfrak{s}') \setminus (\mathfrak{s} \cup \mathfrak{s}')$  such that  $v(\tilde{r} - w_{\mathfrak{s}}) = \rho_{\mathfrak{s} \wedge \mathfrak{s}'}$ . Consider the rational cluster

$$
\mathfrak{t} := \mathfrak{R} \cap \left\{ x \in \bar{K} \mid v(x - w_{\mathfrak{s}}) \ge \min\{\rho, \rho_{\mathfrak{s}}\} \right\} \in \Sigma_f^{\text{rat}}.
$$

 $\Box$ 

<span id="page-14-1"></span>Then  $\mathfrak{s}, \mathfrak{s}' \subseteq \mathfrak{t}$ , but since  $\tilde{r} \notin \mathfrak{t}$  we have  $\mathfrak{s} \wedge \mathfrak{s}' \nsubseteq \mathfrak{t}$  that contradicts the minimality of  $\mathfrak{s} \wedge \mathfrak{s}'$ .

**Lemma 3.18.** Let  $t \in \Sigma_f$  with at least two children in  $\Sigma_f^{\text{rat}}$ . Then  $d_t = \rho_t \in \mathbb{Z}$  and  $t \in \Sigma_f^{\text{rat}}$ . More precisely,  $if \, \mathfrak{s}, \mathfrak{s}' \in \Sigma_f^{\text{rat}} \text{ such that } \mathfrak{s} \subsetneq \mathfrak{s} \wedge \mathfrak{s}' \supsetneq \mathfrak{s}', \text{ then}$ 

$$
\rho_{\mathfrak{s}\wedge\mathfrak{s}'}=v(w_{\mathfrak{s}}-w_{\mathfrak{s}'})=d_{\mathfrak{s}\wedge\mathfrak{s}'},
$$

*where*  $w_s$  *and*  $w_{s'}$  *are rational centres of s and s'**respectively.* 

*Proof.* If  $d_t = \rho_t$ , then  $t \in \sum_f^{\text{rat}}$  by Remark [3.13.](#page-13-1) Hence it suffices to prove the second statement as *v*( $w_s - w_{s'}$ ) ∈  $\mathbb{Z}$ . For our assumptions  $s' \nsubseteq s$ . Then by Lemma [3.17](#page-14-2) there exists  $r \in s'$  so that  $v(r - w_s) =$  $\rho_{\mathfrak{s}\wedge\mathfrak{s}'}$ . Thus,

$$
v(w_{s} - w_{s'}) = \min\{v(w_{s} - r), v(r - w_{s'})\} = \rho_{s \wedge s'}.
$$

<span id="page-14-0"></span>as  $v(r - w_{s'}) \ge \rho_{s'} > \rho_{s \wedge s'}$  by Lemma [3.14.](#page-13-0) Finally,  $d_{s \wedge s'} = \rho_{s \wedge s'}$  follows from Lemma [3.16.](#page-13-2)  $\Box$ 

**Definition 3.19.** *For a proper cluster* s *set*

$$
\epsilon_{\mathfrak{s}} := v(c_f) + \sum_{r \in \mathfrak{R}} \rho_{r \wedge \mathfrak{s}}.
$$

<span id="page-14-3"></span>**Example 3.20.** *Let*  $f = x^{11} - 3x^6 + 9x^5 - 27 \in \mathbb{Q}_3[x]$ *. The set of roots of f is* 

$$
\mathfrak{R} = \{ \sqrt[3]{3}, \zeta_3 \sqrt[3]{3}, \zeta_3^2 \sqrt[3]{3}, -\sqrt[3]{3}, -\zeta_3 \sqrt[3]{3}, -\zeta_3^2 \sqrt[3]{3}, \sqrt[5]{3}, \zeta_5 \sqrt[5]{3}, \zeta_5^2 \sqrt[5]{3}, \zeta_5^3 \sqrt[5]{3}, \zeta_5^4 \sqrt[5]{3} \},
$$

*where*  $\zeta_q$  *is a primitive qth root of unity for*  $q = 3, 5$ *. Then the proper clusters of f are* 

$$
\mathfrak{s}_1 = \{\sqrt[3]{3}, \zeta_3 \sqrt[3]{3}, \zeta_3^2 \sqrt[3]{3}\}, \quad \mathfrak{s}_2 = \{-\sqrt[3]{3}, -\zeta_3 \sqrt[3]{3}, -\zeta_3^2 \sqrt[3]{3}\}, \quad \mathfrak{s}_3 = \mathfrak{s}_1 \cup \mathfrak{s}_2, \quad \mathfrak{R}
$$

with  $d_{s_1} = d_{s_2} = \frac{5}{6}$ ,  $d_{s_3} = \frac{1}{3}$  and  $d_{\Re} = \frac{1}{5}$ . The graphic representation of the cluster picture of f is then



*where the subscripts of clusters (represented as circles) are their depths.*

*Furthermore, note that* 0 *is a rational centre for all proper clusters and we have*  $\rho_{s_1} = \rho_{s_2} = \rho_{s_3} = \frac{1}{3}$ and  $\rho_{\Re} = \frac{1}{5}$ .

*Finally, for every cluster*  $\mathfrak s$  *we can also compute*  $v_{\mathfrak s}$  *and*  $\epsilon_{\mathfrak s}$ *, that are* 

$$
\nu_{\mathfrak{s}_1}=\nu_{\mathfrak{s}_2}=\frac{9}{2},\quad \nu_{\mathfrak{s}_3}=\epsilon_{\mathfrak{s}_1}=\epsilon_{\mathfrak{s}_2}=\epsilon_{\mathfrak{s}_3}=3,\quad \nu_{\mathfrak{R}}=\epsilon_{\mathfrak{R}}=\frac{11}{5}.
$$

**Example 3.21.** *Let*  $f = x^9 + 12x^6 + 36x^3 + 81 \in \mathbb{Q}_3[x]$  *and fix an isomorphism*  $\overline{\mathbb{Q}}_3 \simeq \mathbb{C}$ *. Then the set of roots of f is*

$$
\mathfrak{R} = \{ \sqrt[3]{3^2}, \zeta_3 \sqrt[3]{3^2}, \zeta_3^2 \sqrt[3]{3^2}, \zeta_9 \sqrt[3]{3}, \zeta_9^2 \sqrt[3]{3}, \zeta_9^4 \sqrt[3]{3}, \zeta_9^5 \sqrt[3]{3}, \zeta_9^7 \sqrt[3]{3}, \zeta_9^8 \sqrt[3]{3} \},
$$

*where*  $\zeta_q = e^{2\pi i/q}$  *is a primitive qth root of unity for*  $q = 3, 9$ *. Then the proper clusters of f are* 

$$
\mathfrak{s}_1 = \{ \sqrt[3]{3^2}, \zeta_3 \sqrt[3]{3^2}, \zeta_3^2 \sqrt[3]{3^2} \}, \quad \mathfrak{s}_2 = \{ \zeta_9 \sqrt[3]{3}, \zeta_9^4 \sqrt[3]{3}, \zeta_9^7 \sqrt[3]{3} \},
$$

$$
\mathfrak{s}_3 = \{ \zeta_9^2 \sqrt[3]{3}, \zeta_9^5 \sqrt[3]{3}, \zeta_9^8 \sqrt[3]{3} \}, \quad \mathfrak{s}_4 = \mathfrak{s}_2 \cup \mathfrak{s}_3, \quad \mathfrak{R}
$$

*with*  $d_{s_1} = \frac{7}{6}$ ,  $d_{s_2} = d_{s_3} = \frac{5}{6}$ ,  $d_{s_4} = \frac{1}{2}$ , and  $d_{\Re} = \frac{1}{3}$ . The cluster picture of *f* is then

$$
\left(\begin{array}{c}\n\begin{pmatrix}\n\mathbf{0} & \mathbf{0} & \mathbf{0} \\
\mathbf{0} & \mathbf{0} & \mathbf{0}\n\end{pmatrix} \\
\mathbf{0} & \mathbf{0}\n\end{array}\right)_{\vec{0}}\n\mathbf{0} \\
\mathbf{0} & \mathbf{0}\n\end{array}
$$

*It is easy to see that* 0 *is a rational centre for all proper clusters and that*  $\rho_{s_1} = \frac{2}{3}$ ,  $\rho_{s_2} = \rho_{s_3} = \rho_{s_4}$  $\rho_{\Re} = \frac{1}{3}$ *. Finally,* 

$$
\nu_{\mathfrak{s}_1} = \frac{11}{2}, \quad \nu_{\mathfrak{s}_2} = \nu_{\mathfrak{s}_3} = 5, \quad \nu_{\mathfrak{s}_4} = 4, \quad \nu_{\mathfrak{R}} = 3; \quad \epsilon_{\mathfrak{s}_1} = 4, \quad \epsilon_{\mathfrak{s}_2} = \epsilon_{\mathfrak{s}_3} = \epsilon_{\mathfrak{s}_4} = \epsilon_{\mathfrak{R}} = 3.
$$

The goal of this section is to describe the NP-regularity of  $f \in K[x]$  (and its translations) in terms of conditions on its cluster picture.

**Notation 3.22.** *If*  $p > 0$ *, we denote* by  $|\cdot|_p$  *the standard*  $p$ *-adic absolute value attached to*  $\mathbb{Q}$ *, that is*  $|a|_p = p^{-v_p(a)}$  *for all*  $a \in \mathbb{Q}$ *. If*  $p = 0$ *, then we write* |⋅|*p for the function on*  $\mathbb{Q}$  *identically equal to* 1*, that is*  $|a|_p = 1$  *for all*  $a \in \mathbb{Q}$ .

<span id="page-15-1"></span>**Lemma 3.23.** *Suppose that*  $x \nmid f$  *and that*  $NP(f)$  *is a segment L of slope*  $-\rho$ *. Let n be the denominator of*  $\rho$ . Then  $f$  *is* NP-regular if and only if all proper clusters  $\mathfrak{s} \in \Sigma_f$  with  $|\mathfrak{s}| > |\rho|_p$  satisfy  $d_{\mathfrak{s}} = \rho$ . *More precisely:*

- <span id="page-15-0"></span>(i) If  $s \in \Sigma_f$  with  $|s| > |\rho|_p$  *but*  $d_s > \rho$ , then  $\overline{f|_L}$  has a non-zero multiple root  $\overline{u} = \frac{r^n}{\pi^n}$  mod  $\pi$ , for *some (any)*  $r \in$  5.
- (ii) *The multiplicity of a root*  $\bar{u} \in \bar{k}^{\times}$  *of*  $\overline{f|_L}$  *equals*  $|s^0|/n$ *, where*

$$
\mathfrak{s}^0 = \left\{ r \in \mathfrak{R} \mid \bar{u} = \frac{r^n}{\pi^{n\rho}} \mod \pi \right\}.
$$

(iii) *All multiple roots of*  $\overline{f|_L}$  *come from clusters*  $\overline{s}$  *as described in [\(i\)](#page-15-0).* 

*Proof.* Let *q* be the highest power of *p* dividing *n* (set  $q = 1$  if  $p = 0$ ). Let  $m = n/q$  so that  $p \nmid m$ . Let  $\mathfrak{R} = \{r_i \mid i = 1, \ldots, D\}$  be the (multi-)set of roots of f, where  $D := \deg f$ . Fix some choice of  $\sqrt[n]{\pi}$ and define  $\bar{u}_i \in \bar{k}^\times$  as  $\bar{u}_i = r_i/\pi^\rho \mod \pi$ , for all  $i = 1, \ldots, D$ . Firstly, note that there exists a proper cluster  $\mathfrak{s}$  with  $|\mathfrak{s}| > |\rho|_p$  and  $d_{\mathfrak{s}} > \rho$  if and only if there exists a subset  $I \subseteq \{1, \ldots, D\}$  of size  $|I| > q$ such that  $\bar{u}_{i_1} = \bar{u}_{i_2}$  for all  $i_1, i_2 \in I$ . Indeed, given  $\bar{s}$ , then  $I = \{i \in \{1, \dots, D\} \mid r_i \in \bar{s}\}$ , while given *I*, then  $s = {r_i | \bar{u}_i = \bar{u}_{i_0}}$ , for any  $i_0 \in I$ . Secondly, recall that *f* is not NP-regular if and only if  $f|_L$  has a multiple root in  $k^{\times}$ . Therefore we will prove that  $f|_L$  has a non-zero multiple root if and only if there exists a subset  $I \subseteq \{1, \ldots, D\}$  with size  $|I| > q$  and such that  $\bar{u}_{i_1} = \bar{u}_{i_2}$  for all  $i_1, i_2 \in I$ .

Note that for the lower convexity of  $NP(f) = L$ , we have

$$
\overline{f|_L}(x^n) = \pi^{-(v(c_f)+D\rho)} f(\pi^{\rho} x) \mod \pi.
$$

Hence  $\{\bar{u}_i \mid i = 1, \ldots, D\}$  is the multiset of roots of  $f|_L(x^n)$ . Then there exists an *n*-to-1 map

$$
\bar{\phi}:\{\bar{u}_i\} \longrightarrow {\bar{w}_j}, \bar{u}_i \mapsto \bar{u}_i^m.,
$$

where  $\{\bar{w}_i \mid j = 1, \ldots, D/n\}$  is the multiset of roots of  $\bar{f}|_L$ . Note that  $\bar{w}_i \neq 0$  for all  $j = 1, \ldots, D/n$ , so all roots of  $f|_L$  are non-zero.

Now, suppose that *f* is not NP-regular. We want to show that there exists a subset  $I \subset \{1, \ldots, D\}$  with  $|I| > q$  such that  $\bar{u}_{i_1} = \bar{u}_{i_2}$  for all  $i_1, i_2 \in I$ . Since *f* is not NP-regular, its reduction  $f|_L$  has a (non-zero) multiple root. Then there exist  $j_1, j_2 \in \{1, \ldots, D/n\}$  so that  $\bar{w}_{j_1} = \bar{w}_{j_2} =: \bar{w}$ . Hence, by the definition of  $\bar{\phi}$ , for some (any)  $\bar{u} \in \bar{\phi}^{-1}(\bar{w})$ , there are at least  $2q \bar{u}_i$ 's with  $\bar{u}_i = \bar{u}$ . Let *I* denote the set of their indices. Then  $|I| \ge 2q > q$  and  $\bar{u}_{i_1} = \bar{u}_{i_2}$  for all  $i_1, i_2 \in I$ , as required.

On the other hand, suppose that there exists a subset  $I \subset \{1, \ldots, D\}$  with  $|I| > q$  and such that  $\bar{u}_{i_1} = \bar{u}_{i_2}$ for all  $i_1, i_2 \in I$ . We want to show that  $f|_L$  has a multiple root, that is there exist two indices  $j_1, j_2 \in I$ .  $\{1, \ldots, D/n\}$  such that  $\bar{w}_{j_1} = \bar{w}_{j_2}$ . Suppose not and let  $j \in \{1, \ldots, D/n\}$  such that  $\bar{w}_j = \bar{u}_i^m = \bar{\phi}(\bar{u}_i)$  for some (all)  $i \in I$ . Then the polynomial  $x^n - \bar{w}_j = (x^m - \bar{w}_j)^q \in \bar{k}[x]$ , factor of  $\bar{f}|_L(x^n)$ , should have a root of order  $|I| > q$ . This would imply  $x^m - \bar{w}_i$  is inseparable, a contradiction as  $p \nmid m$ .

<span id="page-16-0"></span>The parts [\(i\)](#page-16-0), [\(ii\)](#page-16-1) and [\(iii\)](#page-16-2) of the Lemma follow from above:

- (i) Given a proper cluster  $s \in \Sigma_f$  with  $|s| > |\rho|_p$  and  $d_s > \rho$ , we showed that  $\overline{f|_L}$  has a non-zero multiple root  $\bar{w}_j = \bar{u}_i^n = r_i^n / \pi^{n\rho} \mod \pi$ , where  $r_i$  is any root in s.
- <span id="page-16-1"></span>(ii) By the definition of  $\bar{\phi}$ , given  $\bar{w} \in \bar{k}$ , the number of  $\bar{w}_j$ 's such that  $\bar{w}_j = \bar{w}$  equals  $|s^0|/n$ , where  $\mathfrak{s}^0 = \{r_i \mid \bar{u}_i^n = \bar{w}\}.$
- <span id="page-16-2"></span>(iii) Given a (non-zero) multiple root  $\bar{w}$  of  $\bar{f}|_L$  we showed that there exists  $I \subseteq \{1, \ldots, D\}$ , with  $|I| > q$  and  $\bar{u}_{i_1} = \bar{u}_{i_2}$  for any  $i_1, i_2 \in I$ , such that  $\bar{u}_i^n = \bar{w}$  for all  $i \in I$ . The set  $\mathfrak{s} = \{r_i \mid \bar{u}_i = \bar{w}_i\}$  $\bar{u}_{i_0}$ , for any  $i_0 \in I$ } is a proper cluster as in (i).  $\Box$

<span id="page-16-4"></span>**Theorem 3.24.** Let  $w \in K$  and  $f_w(x) = f(x + w)$ . For all clusters  $s \in \Sigma_f$  define  $\lambda_s = \min_{r \in s} v(r - w)$ , *and let b be the denominator of*  $\lambda_s$ *. Then*  $f_w$  *is* NP*-regular if and only if all proper clusters*  $s \in \Sigma_f$  *with*  $|\mathfrak{s}| > |\lambda_{\mathfrak{s}}|_p$  *have*  $d_{\mathfrak{s}} = \lambda_{\mathfrak{s}}$ .

<span id="page-16-3"></span>*More precisely:*

- (i) Let  $\mathfrak{s} \in \Sigma_f$  with  $|\mathfrak{s}| > |\lambda_{\mathfrak{s}}|_p$  but  $d_{\mathfrak{s}} > \lambda_{\mathfrak{s}}$ , and let  $r \in \mathfrak{s}$  with  $v(r-w) = \lambda_{\mathfrak{s}}$ . Then  $\overline{f_w|_L}$  has a non-zero *multiple root*  $\bar{u} = \frac{(r-w)^b}{\pi^{b\lambda s}}$  mod  $\pi$ , where *L* is the edge of NP(*f<sub>w</sub>*) of slope  $-\lambda_s$ .
- (ii) *Let L be an edge of* NP(*fw*) *of slope* −λ*. Let l be the denominator of* λ*. The multiplicity of a root*  $\bar{u} \in \bar{k}^{\times}$  of  $\overline{f_{w}|_{L}}$  *equals*  $|\mathfrak{s}^0|/l$ *, where*

$$
\mathfrak{s}^0 = \left\{ r \in \mathfrak{R} \mid v(r - w) = \lambda \quad \text{and} \quad \bar{u} = \frac{(r - w)^l}{\pi^{l\lambda}} \mod \pi \right\}.
$$

(iii) *For every edge L of*  $NP(f_w)$ *, the multiple roots of*  $\overline{f_w|_L}$  *come from proper clusters*  $\overline{s}$  *for*  $f$  *as described in [\(i\)](#page-16-3).*

*Proof.* Let  $\mathfrak{R}_w$  be the set of roots of  $f_w$ . Note that we have a natural bijection  $\mathfrak{R} \to \mathfrak{R}_w$ ,  $r \mapsto r - w$ , which induces a bijective function  $\psi: \Sigma_f \to \Sigma_{f_w}$ , sending

$$
\mathfrak{s} = \mathfrak{R} \cap \{x \in \overline{K} \mid v(x - z) > d\} \quad \mapsto \quad \psi(\mathfrak{s}) = \mathfrak{R}_{w} \cap \{x \in \overline{K} \mid v(x + w - z) > d\}.
$$

In particular, if  $s \in \Sigma_f$ ,  $|s| = |\psi(s)|$ ,  $d_s = d_{\psi(s)}$  and

$$
\lambda_{\mathfrak{s}} = \min_{r \in \mathfrak{s}} v(r - w) = \min_{r \in \psi(\mathfrak{s})} v(r).
$$

Hence it suffices to show the theorem for  $w = 0$ .

Assume  $w = 0$ . Let  $f = c_f \cdot g_0 \cdot g_1 \dots g_t$  be a factorisation of Theorem [2.2.](#page-8-0) Note that if  $t = 0$ , then either  $f \in K$  or  $f \in Kx$ . In both cases, f is clearly NP-regular and has no proper clusters. Then assume *t* > 0 and let − $\rho_i$  be the slope of NP( $g_i$ ) for any *i* = 1, ..., *t*. Denote by R the set of roots of *f* and by  $\mathfrak{R}_i$  the set of roots of  $g_i$  for  $i = 0, \ldots, t$ . Note that the  $\mathfrak{R}_i$ 's are pairwise disjoint. From Remark [2.7,](#page-9-1) for every edge *L* of NP(*f*) there exists *i* such that  $f|_L = \overline{c}_i \cdot g_i|_{N(P(x))}$  for some  $\overline{c}_i \in k^\times$ . Hence, by Lemma [2.9](#page-9-3) and Lemma [3.23,](#page-15-1) we need to prove that there exists a proper cluster  $\mathfrak{s} \in \Sigma_f$  such that  $|\mathfrak{s}| > |\lambda_{\mathfrak{s}}|_p$  and  $d_{\mathfrak{s}} > \lambda_{\mathfrak{s}}$ if and only if for some  $i = 1, \ldots, t$  there exists a proper cluster  $\mathfrak{s}_i \in \Sigma_{g_i}$  such that  $|\mathfrak{s}_i| > |\lambda_{\mathfrak{s}_i}|_p = |\rho_i|_p$  and  $d_{\mathfrak{s}_i} > \lambda_{\mathfrak{s}_i} = \rho_i$ . We will show that one can choose  $\mathfrak{s} = \mathfrak{s}_i$ .

First, note that if s is a proper cluster, then  $s \nsubseteq \mathfrak{R}_0$ , as  $|\mathfrak{R}_0| \leq 1$ . Furthermore, if  $s \in \Sigma_f$  contains roots of different valuations, that is  $\mathfrak{s} \nsubseteq \mathfrak{R}_i$  for all *i*, then

$$
d_{\mathfrak{s}} = \min_{r,r' \in \mathfrak{s}} v(r-r') = \min_{r \in \mathfrak{s}} v(r) = \lambda_{\mathfrak{s}} = \min \{ \rho_i \mid \mathfrak{R}_i \cap \mathfrak{s} \neq \varnothing \}.
$$

Now suppose there exists a proper cluster  $\mathfrak{s} \in \Sigma_f$  such that  $|\mathfrak{s}| > |\lambda_{\mathfrak{s}}|_p$  and  $d_{\mathfrak{s}} > \lambda_{\mathfrak{s}}$ . For the observation above, the inequality  $d_s > \lambda_s$  implies that  $s \subseteq \mathfrak{R}_i$  for some  $i = 1, \ldots, t$ . Let  $\mathcal D$  be the *v*-adic disc such that  $\mathfrak{s} = \mathcal{D} \cap \mathfrak{R}$ . Since  $\mathfrak{s} \subseteq \mathfrak{R}_i$ , one has  $\mathfrak{s} = \mathcal{D} \cap \mathfrak{R}_i$  which means that  $\mathfrak{s} \in \Sigma_{g_i}$ , as required.

Finally suppose that for some  $i = 1, \ldots, s$ , there exists a proper cluster  $s_i \in \Sigma_{s_i}$  such that  $|s_i| > |\rho_i|_p$ and  $d_{\mathfrak{s}_i} > \rho_i$ . Let  $r_i \in \mathfrak{s}_i$ . Then

$$
\mathfrak{s}_i = \{ x \in \overline{K} \mid v(x - r_i) \ge d_{\mathfrak{s}_i} \} \cap \mathfrak{R}_i.
$$

Consider the cluster  $\mathfrak{s} := \{x \in \overline{K} \mid v(x - r_i) \geq d_{\mathfrak{s}_i}\} \cap \mathfrak{R}$  of *f*. Clearly  $\mathfrak{s}_i \subseteq \mathfrak{s}$ . Therefore

$$
\lambda_{s_i} = \min_{r \in s_i} v(r) \geq \min_{r \in s} v(r) = \lambda_s,
$$

which implies

$$
d_{\mathfrak{s}} = d_{\mathfrak{s}_i} > \rho_i = \lambda_{\mathfrak{s}_i} \geq \lambda_{\mathfrak{s}},
$$

where  $d_s = d_{s_i}$  by construction. Again, from the observation above, the inequality  $d_s > \lambda_s$  implies that  $\mathfrak s$ is contained in  $\mathfrak{R}_i$  for some *j*. As  $\mathfrak{s} \cap \mathfrak{R}_i \supseteq \mathfrak{s}_i \cap \mathfrak{R}_i = \mathfrak{s}_i$ , we must have  $\mathfrak{s} \subseteq \mathfrak{R}_i$ . Thus  $\mathfrak{s} = \mathfrak{s}_i$ , that concludes the proof.  $\Box$ 

<span id="page-17-0"></span>**Corollary 3.25.** Let  $f \in K[x]$  be a separable polynomial. Let  $w \in K$  and  $f_w(x) = f(x + w)$ . Then  $f_w$  is NP*regular if and only if all proper clusters*  $s \in \Sigma_f$  *have rational centre w* and those with  $|s| > |\rho_s|_p$  satisfy  $d_{\mathfrak{s}} = \rho_{\mathfrak{s}}$ .

*Proof.* If  $f_w$  is NP-regular, then, from the previous theorem, all proper clusters  $\mathfrak{s} \in \Sigma_f$  with  $|\mathfrak{s}| > |\lambda_{\mathfrak{s}}|_p$ have  $d_s = \lambda_s$ , where  $\lambda_s = \min_{r \in \mathfrak{s}} v(r - w)$ . First let  $\mathfrak{s} \in \Sigma_f$  proper and assume  $|\mathfrak{s}| > |\lambda_s|_p$ . Then

$$
d_{\mathfrak{s}} = \lambda_{\mathfrak{s}} = \min_{r \in \mathfrak{s}} v(r - w) \le \max_{z \in K} \min_{r \in \mathfrak{s}} v(r - z) = \rho_{\mathfrak{s}} \le d_{\mathfrak{s}},
$$

so  $d_s = \lambda_s = \rho_s$ , and *w* is a rational centre of s. Now assume  $|s| \leq |\lambda_s|_p$ . In particular,  $p > 0$  and  $\lambda_s \notin \mathbb{Z}$ , and so

$$
\min_{r \in \mathfrak{s}} v(r - w) = \lambda_{\mathfrak{s}} \neq v(w - w_{\mathfrak{s}}),
$$

where  $w_s$  is a rational centre of  $\epsilon$ . Let  $r \in \epsilon$  such that  $v(r - w) = \lambda_s$ . Then

$$
\rho_{\mathfrak{s}} \le v(r - w + w - w_{\mathfrak{s}}) = \min\{\lambda_{\mathfrak{s}}, v(w - w_{\mathfrak{s}})\} \le \lambda_{\mathfrak{s}}.
$$

Clearly

<span id="page-18-2"></span>
$$
\rho_{\mathfrak{s}} = \max_{z \in K} \min_{r \in \mathfrak{s}} \nu(r - z) \ge \min_{r \in \mathfrak{s}} \nu(r - w) = \lambda_{\mathfrak{s}},
$$

that implies  $\rho_s = \lambda_s = \min_{r \in \mathfrak{s}} v(r - w)$ . Hence *w* is a rational centre of  $\mathfrak{s}$ .

On the other hand, suppose that all proper clusters  $s \in \Sigma_f$  have rational centre  $w \in K$  and those with  $|\mathfrak{s}| > |\rho_{\mathfrak{s}}|_p$  satisfy  $d_{\mathfrak{s}} = \rho_{\mathfrak{s}}$ . Then  $\rho_{\mathfrak{s}} = \min_{r \in \mathfrak{s}} v(r - w)$  for any  $\mathfrak{s} \in \Sigma_f$ . Thus  $f_w$  is NP-regular again by Theorem [3.24.](#page-16-4) П

The next definition, which is the main (and only, if  $p \neq 2$ ) condition for our explicit construction of the minimal regular model of a hyperelliptic curve given by  $y^2 = f(x)$ , follows from the statement of Corollary [3.25.](#page-17-0)

<span id="page-18-1"></span>**Definition 3.26.** *We say that f has an almost rational cluster picture if all proper clusters*  $s \in \Sigma_f$  *with*  $|\mathfrak{s}| > |\rho_{\mathfrak{s}}|_p$  *have*  $d_{\mathfrak{s}} = \rho_{\mathfrak{s}}$ *.* 

Corollary [3.25](#page-17-0) shows that *f* has a translation which is NP-regular if and only if *f* has an almost rational cluster picture and there exists  $w \in K$  that is a rational centre of all clusters.

In the following we give different characterisations of the previous definition.

**Corollary 3.27.** *Suppose that*  $K(\mathfrak{R})/K$  *is a tamely ramified extension. Then* f *has an almost rational cluster picture if and only if every proper cluster*  $\mathfrak{s} \in \Sigma_f$  *is*  $G_K$ -*invariant.* 

*Proof.* Since  $K(\mathfrak{R})/K$  is tamely ramified, every cluster  $\mathfrak{s} \in \Sigma_f$  has  $|\rho_{\mathfrak{s}}|_p \leq 1$ . Therefore, the Corollary follows from Remark [3.13.](#page-13-1)  $\Box$ 

**Corollary 3.28.** *Suppose that*  $K(\mathfrak{R})/K$  *is a tamely ramified extension. Then*  $f_w$  *is* NP-regular for some *w* ∈ *K if and only if*  $\Sigma$ <sub>*f</sub> is nested.*</sub>

*Proof.* First note that every cluster  $s \in \Sigma_f$  has  $|\rho_s|_p \leq 1$ , as  $K(\mathfrak{R})/K$  is tamely ramified. Therefore, from Corollary [3.25,](#page-17-0) we need to prove that  $\Sigma_f$  is nested if and only if all clusters  $\mathfrak{s} \in \Sigma_f$  have  $d_{\mathfrak{s}} = \rho_{\mathfrak{s}}$ and rational centre *w*, for some  $w \in K$ . But this follows from Remark [3.13.](#page-13-1)  $\Box$ 

<span id="page-18-0"></span>**Corollary 3.29.** *The polynomial*  $f$  *has an almost rational cluster picture if and only if for every*  $r \in$  $\Re\setminus K$ , there exists  $w\in K$  so that  $r_w^b:=\frac{(r-w)^b}{\pi^{b\cdot v(r-w)}}\mod \pi$  is a simple root of  $f_w|_L$ , where b is the denominator  $of v(r - w)$ ,  $f_w(x) = f(x + w)$  and *L* is the edge of NP( $f_w$ ) of slope  $-v(r - w)$ .

*Proof.* Fix  $\tilde{r} \in \mathfrak{R} \setminus K$  and let  $\mathfrak{s}$  be the smallest proper cluster containing  $\tilde{r}$ . Let  $w_{\mathfrak{s}}$  be a rational centre of  $\mathfrak s$ . Note that  $v(\tilde{r} - w_{\tilde{s}}) = \rho_{\tilde{s}} = \min_{r \in \tilde{s}} v(r - w_{\tilde{s}})$ , for the choice of  $\mathfrak s$ , as  $\tilde{r} \notin K$ . Moreover, for any proper cluster t containing  $\tilde{r}$ , we have  $s \subseteq t$ . In particular,  $w_s$  is a rational centre of all such clusters. Let *L* be the edge of  $NP(f_{w_s})$  of slope  $-\rho_s$ . Theorem [3.24](#page-16-4) shows that  $\tilde{r}_{w_s}^{b_s}$  is a multiple root of  $f_{w_s}|_L$  if and only if there exists  $t \in \Sigma_f$  such that  $\tilde{r} \in \mathfrak{t}$ ,  $|t| > |\rho_t|_p$  and  $d_t > \rho_t$ . Therefore, if f has an almost rational cluster picture, then  $\tilde{r}^{b_s}_{w_s}$  is a simple root.

Suppose there exists  $t \in \Sigma_f$  such that  $|t| > |\rho_t|_p$  and  $d_t > \rho_t$ . Then  $t \cap K = \emptyset$ . By Theorem [3.24,](#page-16-4) it remains to show that for any  $w \in K$ , we have  $|t| > |\lambda_t|_p$  and  $d_t > \lambda_t$ , where  $\lambda_t = \min_{r \in t} v(r - w)$ . First note  $d_t > \rho_t \geq \lambda_t$ . Moreover, in the proof of Corollary [3.25,](#page-17-0) we saw that if  $|t| \leq |\lambda_t|_p$  then  $\rho_t = \lambda_t$  and so  $|t| \leq |\rho_t|_p$ ; but  $|t| > |\rho_t|_p$ , thus  $|t| > |\lambda_t|_p$ .  $\Box$  <span id="page-19-2"></span>**Lemma 3.30.** *Suppose f has an almost rational cluster picture. Let*  $s \in \Sigma_f$  *proper. If*  $d_s > \rho_s$ , *then*  $p > 0$ *and*  $|s|$  *is a p-power. In particular, if*  $w_s$  *is a rational centre of*  $s$ *, for any*  $r \in s$ *, the elements*  $r - w_s$  *are all the roots of a monic polynomial with coefficients in*  $K^s$ *, and constant term c such that*  $|v(c)|_p \geq 1$ *.* 

*Proof.* Let  $s \in \Sigma_f$  proper, with  $d_s > \rho_s$ . Since f has an almost rational cluster picture, we must have  $|\mathfrak{s}| < |\rho_{\mathfrak{s}}|$ , Since  $\mathfrak{s}$  is proper,  $p > 0$ . Let  $b_{\mathfrak{s}}$  be the denominator of  $\rho_{\mathfrak{s}}$ . Then  $v_p(b_{\mathfrak{s}}) > 1$ . Fix a rational centre  $w_s$  of s and a root  $r \in \mathfrak{s}$  such that  $v(r - w_s) = \rho_s$ . Consider  $s' = \{x \in \mathfrak{R} \mid v(x - r) > \rho_s\}$ . Then  $s \subseteq s' \leq s^{\text{rat}}$ and  $|f'|\leq |\rho_s|_p$  (as  $d_{s'} > \rho_s = \rho_{s'}$ ). Let *L* be the Galois closure of  $K(r)$ . Let *H* be the wild inertia subgroup of Gal( $L/K$ ) and  $L^H$  the corresponding fixed field. Let  $\sigma_1, \ldots, \sigma_n \in H$  such that  $\sigma_1(r - w_s), \ldots, \sigma_n(r - w_s)$ *w*<sub>s</sub>) are the roots of the minimal polynomial of  $r - w_s$  over  $L^H$ . Hence  $\sigma_i(r) \in \mathfrak{R}$  and  $\sigma_i(r) \neq \sigma_i(r)$  for any  $i, j = 1, \ldots, n, i \neq j$ . From

$$
\prod_{i=1}^n \sigma_i(r - w_s) \in L^H \quad \text{and} \quad \nu \bigg( \prod_{i=1}^n \sigma_i(r - w_s) \bigg) = n \cdot \rho_s,
$$

it follows that  $|\rho_{s}|_{p}$  | *n*, and so  $|\rho_{s}|_{p} \le n$ , since  $L^{H}/K$  is tamely ramified. By definition of *H* (see for example  $[17,$  Definition 9.31) we have

$$
\nu\big(\tfrac{\sigma_i(r-w_s)}{r-w_s}-1\big)>0,\quad \text{ and so }\quad \nu\big(\sigma_i(r)-r\big)=\nu\big(\sigma_i(r-w_s)-(r-w_s)\big)>\rho_s
$$

for any  $i = 1, \ldots, n$ . Therefore  $\sigma_i(r) \in \mathfrak{s}'$  for all *i* and so  $n \leq |\mathfrak{s}'|$ . Thus  $n = |\mathfrak{s}'| = |\rho_{\mathfrak{s}}|_p$  and  $\mathfrak{s} \subseteq \mathfrak{s}' = {\sigma_i(r) | \sigma_i(r) | \sigma_i(r)}$  $i = 1, \ldots, n$ . Finally, as  $s'$  contains only conjugates of  $r \in s$ , the cluster  $s'$  is union of orbits of  $s$ . In particular,  $|s| |s'| = |\rho_s|_p$ , and so  $|s|$  is a *p*-power. The rest of the Lemma follows.  $\Box$ 

<span id="page-19-0"></span>**Proposition 3.31.** *The polynomial f has an almost rational cluster picture if and only if for every proper cluster*  $\mathfrak{s} \in \Sigma_f$  *one of the following is satisfied:* 

- (a) *the smallest disc containing* s *also contains a rational point;*
- (b) *p* > 0 *and after a translation by an element of K, the elements in* s *are all the roots of a monic polynomial with coefficients in*  $K^s$  *of p-power degree and constant term c such that*  $|v(c)|_p \geq 1$ *.*

*Proof.* First of all, note that point (a) is equivalent to requiring  $d_s = \rho_s$ . Therefore, by Lemma [3.30](#page-19-2) it only remains to show that if  $\mathfrak{s} \in \Sigma_f$  with  $d_{\mathfrak{s}} > \rho_{\mathfrak{s}}$  and (b) is satisfied, then  $|\mathfrak{s}| \leq |\rho_{\mathfrak{s}}|_p$ . Let  $F \in K^s[x]$  be the polynomial in (b) and let  $w \in K$  such that  $r - w$ , for  $r \in \mathfrak{s}$ , are all the roots of *F*. We have  $\rho_{\mathfrak{s}} \ge \min_{r \in \mathfrak{s}} v(r - v)$ *w*). Fix  $r \in \mathfrak{s}$  such that  $\rho_{\mathfrak{s}} \ge v(r - w) =: \rho$ . Since  $d_{\mathfrak{s}} > \rho_{\mathfrak{s}} \ge v(r - w)$ , we have  $v(r' - w) = v(r - w) = \rho$ for any  $r' \in \mathfrak{s}$ . Then

$$
|\mathfrak{s}| = \deg F = |1/\deg F|_p \le |v(c)/\deg F|_p = |\rho|_p.
$$

We will prove that  $\rho = \rho_s$ , so that  $|s| \leq |\rho|_p = |\rho_s|_p$ , as required. We already know that  $\rho_s \geq \rho$ . Suppose by contradiction that  $\rho_s > \rho$ . Let  $w_s$  be a rational centre of s and let  $r_s \in \mathfrak{s}$  such that  $v(r_s - w_s) = \rho_s$ . Hence

$$
v(w - w_{s}) = v(w - r_{s} + r_{s} - w_{s}) = \min\{\rho, \rho_{s}\} = \rho.
$$

But then  $\rho \in \mathbb{Z}$ , which contradicts  $|s| < |\rho|_p$ .

<span id="page-19-1"></span>**Example 3.32.** Let p be a prime number and let  $a \in \mathbb{Z}_p$ ,  $b \in \mathbb{Z}_p^\times$  such that the polynomial  $x^2 + ax + b$  is *not a square modulo p. Let f* ∈  $\mathbb{Q}_p[x]$  *given by f*(*x*) = ( $x^6 + ap^4x^3 + bp^8$ )(( $x - p$ )<sup>3</sup> −  $p^{11}$ )*. For any prime p the rational cluster picture of f is*



*where*  $\rho_{t_3} = \frac{4}{3}$ ,  $\rho_{t_4} = \frac{11}{3}$ , and  $\rho_{\Re} = 1$ .

*If*  $p \neq 3$ , then the proper clusters of  $\Sigma_f$  coincide with the rational clusters above and  $d_s = \rho_s$  for any  $s = t_3, t_4, \mathfrak{R}$ . In particular, f has an almost rational cluster picture when  $p \neq 3$ . *Suppose*  $p = 3$ *. Then the cluster picture of f is* 



*where*  $d_{t_1} = d_{t_2} = \frac{11}{6}$ ,  $d_{t_3} = \rho_{t_1} = \rho_{t_2} = \frac{4}{3}$ ,  $d_{t_4} = \frac{25}{6}$  and  $d_{\Re} = 1$ . Thus f has an almost rational cluster *picture for all p.*

We conclude this section by showing that the *cluster picture centred at*  $w \in K$  completely determines the Newton polygon of the translation of *f* by *w*.

**Definition 3.33.** *Let*  $z \in \overline{K}$ . A cluster centred at *z* is a cluster cut out by a *v*-adic disc of the form  $\mathcal{D} = \{x \in \overline{K} \mid v(x - z) \ge d\}$  *for some*  $d \in \mathbb{Q}$ *.* 

<span id="page-20-0"></span>**Definition 3.34.** Let  $z \in \overline{K}$ . Define  $\Sigma_f^z$  to be the set of all clusters centred at *z*. Write  $\Sigma_f^z$  for the set  $\sum_{f}^{z} \setminus \{\{z\}\}\$ . Note that  $\sum_{f}^{z}$  is nested, that is every cluster  $\mathfrak{s} \in \sum_{f}^{z}$  has at most one child in  $\sum_{f}^{z}$ .

<span id="page-20-2"></span>**Definition 3.35.** *Let*  $z \in \overline{K}$ *, and let*  $s \in \Sigma_f \setminus \{\{z\}\}\$ *. The radius of*  $s$  *with respect to the centre*  $z$  *is* 

<span id="page-20-1"></span>
$$
\rho_{\mathfrak{s}}^z = \min_{r \in \mathfrak{s}} v(r - z).
$$

*The cluster picture centred at*  $z$  *of*  $f$  *is the collection of all clusters in*  $\Sigma_f^z$  *together with their radii with respect to z. Finally set*

<span id="page-20-3"></span>
$$
\epsilon_{\mathfrak{s}}^z := v(c_f) + \sum_{r \in \mathfrak{R}} \rho_{r \wedge \mathfrak{s}}^z.
$$

**Remark 3.36.** *From the definitions above, if*  $\mathfrak{s}$  *is a cluster centred at*  $z \in K^s$ *, then*  $\mathfrak{s} = \mathfrak{R} \cap \{x \in \overline{K}\}\$ *v*(*x* − *z*) ≥ ρ*<sup>z</sup>* <sup>s</sup>}*. But this does not mean z is a centre for* s*, that is false in general. For example,* R *is clearly a cluster centred at any*  $z \in K^s$ , but there are elements of  $K^s$  which are not centres of  $\Re$ , for *example any*  $z \in K^s$  *with valuation*  $v(z) < \min_{r \in \mathcal{R}} v(r)$ *.* 

**Remark 3.37.** Let  $s \in \Sigma_f$  be a proper cluster with centre *z* and rational centre *w*. Then  $s \in \Sigma_f^z$ ,  $d_s = \rho_s^z$ ,  $\nu_{\mathfrak{s}} = \epsilon_{\mathfrak{s}}^{\mathfrak{s}}, \ \rho_{\mathfrak{s}} = \rho_{\mathfrak{s}}^{\mathfrak{w}}, \ and \ \epsilon_{\mathfrak{s}} = \epsilon_{\mathfrak{s}}^{\mathfrak{w}}. \ Furthermore, \ \mathfrak{s} \in \Sigma_{f}^{\mathrm{rat}} \ if \ and \ only \ if \ \mathfrak{s} \in \Sigma_{f}^{\mathfrak{w}}.$ 

The following result gives a complete description of the Newton polygon of the translation of *f* by  $w \in K$ , knowing the cluster picture centred at *w* of *f*.

**Lemma 3.38.** Let  $w \in K$  *and let*  $f_w(x) = f(x + w)$ *. Then there is a* 1*-to-1 correspondence between the clusters in*  $\Sigma_f^w$  *and the edges of* NP(*f<sub>w</sub>*)*. More explicitly, let*  $\mathfrak{s}_1 \subset \cdots \subset \mathfrak{s}_n = \mathfrak{R}$  *be the clusters in*  $\Sigma_f^w$  *and let*  $\mathfrak{s}_0 = \{w\}$  *if*  $\{w\} \in \Sigma_f^w$  *or*  $\mathfrak{s}_0 = \varnothing$  *otherwise. Then*  $NP(f_w)$  *has vertices*  $Q_i$ *,*  $i = 0, \ldots, n$ *, where* 

- $Q_n = (|\Re|, \epsilon_{\Re}^w |\Re|\rho_{\Re}^w) = (\text{deg} f, v(c_f)),$
- $Q_i = (|\mathfrak{s}_i|, \epsilon_{\mathfrak{s}_i}^{\mathfrak{w}} |\mathfrak{s}_i| \rho_{\mathfrak{s}_i}^{\mathfrak{w}}) = (|\mathfrak{s}_i|, \epsilon_{\mathfrak{s}_{i+1}}^{\mathfrak{w}} |\mathfrak{s}_i| \rho_{\mathfrak{s}_{i+1}}^{\mathfrak{w}})$ , for  $i = 1, \ldots, n-1$ , •  $Q_0 = (|\mathfrak{s}_0|, \epsilon_{\mathfrak{s}_1}^w - |\mathfrak{s}_0| \rho_{\mathfrak{s}_1}^w).$

*and edges*  $L_i$ ,  $i = 1, \ldots, n$ , *of slope*  $-\rho_{s_i}^w$  *linking*  $Q_{i-1}$  *and*  $Q_i$ *. Furthermore, for any*  $i = 1, \ldots, n$  *we have* 

$$
\overline{f_{w}|_{L_i}}(x^{b_i}) = \frac{u}{\pi^{v(u)}} \prod_{r \in s_i \setminus s_{i-1}} (x + \frac{w-r}{\pi^{\rho_i}}) \mod \pi, \qquad u = c_f \prod_{r \in \mathfrak{R} \setminus s} (w-r),
$$

*where*  $\rho_i = \rho_{\mathfrak{s}_i}^w$ , and  $b_i$  *is the denominator of*  $\rho_i$ *.* 

*Proof.* Without loss of generality we can assume  $w = 0$  so that  $f_w = f$ . First note that the coordinates of  $Q_n$  are trivial. Now consider a factorisation  $f = c_f \cdot g_0 \cdot g_1 \cdots g_s$  of Theorem [2.2.](#page-8-0) Recall the polynomials  $g_j$  are monic and  $g_0 | x$ . Let  $\Re_j$  be the set of roots of  $g_j$ . It follows from the definition of cluster centred at 0 that

$$
n = s
$$
, and  $\mathfrak{s}_i = \bigcup_{j=0}^i \mathfrak{R}_j$  for all  $i = 0, ..., n$ .

Therefore  $\mathfrak{s}_0 = \mathfrak{R}_0$  and  $\mathfrak{R}_i = \mathfrak{s}_i \setminus \mathfrak{s}_{i-1}$  for any  $i = 1, \ldots, n$ .

Let  $i = 1, \ldots, n - 1$ . Then the *x*-coordinate of  $Q_i$  follows as

$$
|\mathfrak{s}_i| = \sum_{j=0}^i |\mathfrak{R}_j| = \sum_{j=0}^i \deg g_j = \deg \prod_{j=0}^i g_j.
$$

The *y*-coordinate of  $Q_i$  equals the sum of  $v(c_f)$  and the valuation of the constant term of  $\prod_{j=i+1}^n g_j$ , so

$$
Q_i = \left(|\mathfrak{s}_i|, v(c_f) + \sum_{j=i+1}^n |\mathfrak{R}_j| v(r_j)\right),
$$

where  $r_j$  is any root in  $\mathfrak{R}_j$ . But since  $\mathfrak{s}_i = \bigcup_{j=0}^i \mathfrak{R}_j$ , we have  $v(r_j) = \rho_{\mathfrak{s}_j}^0$ . Therefore

$$
v(c_f) + \sum_{j=i+1}^{n} |\Re_j| v(r_j) = v(c_f) + \sum_{j=i+1}^{n} (|\mathfrak{s}_j| - |\mathfrak{s}_{j-1}|) \rho_{\mathfrak{s}_j}^0 = \epsilon_{\mathfrak{s}_i}^0 - |\mathfrak{s}_i| \rho_{\mathfrak{s}_i}^0.
$$

Moreover,

$$
\boldsymbol{\epsilon}_{\mathfrak{s}_i}^0 - |\mathfrak{s}_i| \rho_{\mathfrak{s}_i}^0 = \boldsymbol{\epsilon}_{\mathfrak{s}_{i+1}}^0 - |\mathfrak{s}_i| \rho_{\mathfrak{s}_{i+1}}^0
$$

from the easy computation  $\epsilon_{s_i}^0 - \epsilon_{s_{i+1}}^0 = |\mathfrak{s}_i| \left( \rho_{s_i}^0 - \rho_{s_{i+1}}^0 \right)$ . Finally the *x*-coordinate of  $Q_0$  is trivial, while its *y*-coordinate equals

$$
v(c_f) + \sum_{j=1}^n |\Re_j| v(r_j) = v(c_f) + \sum_{j=1}^n (|\mathfrak{s}_j| - |\mathfrak{s}_{j-1}|) \rho_{\mathfrak{s}_j}^0 = \epsilon_{\mathfrak{s}_1}^0 - |\mathfrak{s}_0| \rho_{\mathfrak{s}_1}^0,
$$

that concludes the first part of the proof as  $|\mathfrak{s}_0| = |\mathfrak{R}_0| = \deg g_0$ .

The computation of  $f|_{L_i}$  follows from Remark [2.7.](#page-9-1) Indeed, let  $i = 1, \ldots, n$ , and define  $\bar{c}_i = u/\pi^{v(u)}$ mod π, where  $u = c_f \prod_{j=i+1}^n g_j(0)$ . Then  $\overline{f|_{L_i}}(x^{b_i}) = \overline{c_i} \cdot \overline{g_i|_{\mathbb{N}P(g_i)}}(x^{b_i})$ , where  $b_i$  is the denominator of  $ρ^0_{s_i}$ . But

$$
\overline{g_i|_{\text{NP}(g_i)}}(x^{b_i}) = g_i\big(\pi^{\rho_{\mathfrak{s}_i}^0}x\big)/\pi^{\rho_{\mathfrak{s}_i}^0 \text{deg } g_i} \mod \pi.
$$

Thus the Lemma follows as  $\mathfrak{R}_i = \mathfrak{s}_i \setminus \mathfrak{s}_{i-1}$ .

**Notation 3.39.** Let  $s \in \sum_{f}^{w}$ . Following the notation of Lemma [3.38](#page-20-3), let  $i \in \{1, \ldots, n\}$  be such that  $s = s_i$ . *We will write*  $L_{\rm s}^w$  *for the edge*  $L_i$ *.* 

#### <span id="page-21-0"></span>**4. Description of a regular model**

From now on, assume char( $K \neq 2$  and let  $C/K$  be a hyperelliptic curve, that is a geometrically connected, smooth, projective curve, equipped with a separable morphism  $C \to \mathbb{P}^1_K$  of degree 2. Let  $y^2 = f(x)$ be a Weierstrass equation of *C*. Suppose  $\deg f > 1$ . Let *g* be the genus of *C*. Accordingly with [\[14\]](#page-56-3) we define the *cluster picture* of *C* as the cluster picture of *f* . Analogously, all definitions and notations attached to *f* given in Section [3](#page-11-0) (e.g.  $\Sigma_f$ ,  $\Sigma_f^{\text{rat}}$ ,  $\Sigma_f^z$ ) are given for *C* in the same way (e.g.  $\Sigma_c$ ,  $\Sigma_c^{\text{rat}}$ ,  $\Sigma_c^z$ ). In particular, we will say that *C* has an almost rational cluster picture if *f* does (Definition [3.26\)](#page-18-1).

For the following sections we will use the main definitions, notations and results of  $[1, §3]$  $[1, §3]$ . In particular, we recall (without stating) the definitions of Newton polytopes  $\Delta$  and  $\Delta$ <sub>v</sub> attached to a polynomial

 $g \in K[x, y]$ , *v*-vertices/edges/faces of  $\Delta$ , the denominator  $\delta_{\lambda}$  of a *v*-face/edge  $\lambda$ , the slopes  $s_1^{\lambda}, s_2^{\lambda}$  of a *v*-edge λ.

<span id="page-22-0"></span>**Notation 4.1.** We denote by  $\Delta_v^w$  and  $\Delta^w$  respectively the polytopes  $\Delta_v$  and  $\Delta$  attached to the polynomial  $g_w(x, y) = y^2 - f(x + w)$ . The piecewise affine function  $v : \Delta^w \to \mathbb{R}$  determining the bijection  $\Delta^w \to \Delta^w_v$ ,  $P \mapsto (P, v(P))$ *, will be denoted by v (with a little abuse of notation). For a <i>v*-face *F* of  $\Delta^w$ *, denote by*  $v_F: \Delta^w \to \mathbb{R}$  the linear function equal to *v* on *F*. Since the projection  $\Delta_v^w \to \Delta^w$  is a bijection, given a *vertex/edge/face*  $\lambda$  *of*  $\Delta_v^w$  *we will denote by the same symbol*  $\lambda$  *the corresponding v-vertex/edge/face of <sup>w</sup>. Since they are mainly used for indexing, this will not cause confusion.*

<span id="page-22-1"></span>**Notation 4.2.** *Given a v-edge*  $\lambda$  *of*  $\Delta^w$ *, we will denote by*  $r_{\lambda}$  *the smallest non-negative integer such that we can fix*  $\frac{n_i}{d_i} \in \mathbb{Q}$ , *for*  $i = 0, \ldots, r_{\lambda} + 1$  *so that* 

<span id="page-22-2"></span>
$$
s_1^{\lambda} = \frac{n_0}{d_0} > \frac{n_1}{d_1} > \ldots > \frac{n_{r_{\lambda}}}{d_{r_{\lambda}}} > \frac{n_{r_{\lambda}+1}}{d_{r_{\lambda}+1}} = s_2^{\lambda}, \text{ with } \left| \frac{n n_{i+1}}{d d_{i+1}} \right| = 1.
$$

Thanks to Lemma [3.38](#page-20-3) we can explicitly relate the Newton polytope  $\Delta_v^w$  of  $g_w(x, y)$  and the cluster picture centred at *w* of *C*.

**Lemma 4.3.** *Let*  $w \in K$ *. Then there is a* 1*-to-1 correspondence between the clusters in*  $\Sigma_c^w$  *and the faces of the Newton polytope*  $\Delta_v^w$ *. More explicitly, let*  $\mathfrak{s}_1 \subset \cdots \subset \mathfrak{s}_n = \mathfrak{R}$  *be the clusters in*  $\Sigma_c^w$  *and let*  $\mathfrak{s}_0 = \{w\}$ *if*  $\{w\} \in \Sigma_c^w$  *or*  $\mathfrak{s}_0 = \varnothing$  *otherwise. Then*  $\Delta_v^w$  *has vertices T*,  $Q_i$ ,  $i = 0, \ldots, n$ , where

•  $T = (0, 2, 0)$ ,

•

•

•

•

- $Q_n = (|\Re|, 0, v(c_f)),$
- $Q_i = (|\mathfrak{s}_i|, 0, \epsilon_{\mathfrak{s}_{i+1}}^w |\mathfrak{s}_i| \rho_{\mathfrak{s}_{i+1}}^w)$  *for*  $i = 0, \ldots, n-1$ ,

and edges  $L_i$  ( $i = 1, ..., n$ ), linking  $Q_{i-1}$  and  $Q_i$ , and  $V_i$  ( $j = 0, ..., n$ ), linking  $Q_i$  and T. Furthermore, *(possible choices for) the slopes of the v-edges of*  $\Delta^w$  *are:* 

$$
s_1^{V_n}=\delta_{V_n}\tfrac{-\nu(c_f)+(|\mathfrak{R}|-2g)\rho_{\mathfrak{R}}^w}{2}\quad\text{and}\quad s_2^{V_n}=\lfloor s_1^{V_n}-1\rfloor;
$$

$$
s_1^{V_i} = \delta_{V_i} \left( -\frac{\epsilon_{s_i}^w}{2} + \left( \left\lfloor \frac{\vert s_i \vert}{2} \right\rfloor + 1 \right) \rho_{s_i}^w \right), \qquad \text{for all } i = 1, \ldots, n-1; \\ s_2^{V_i} = \delta_{V_i} \left( -\frac{\epsilon_{s_{i+1}}^w}{2} + \left( \left\lfloor \frac{\vert s_i \vert}{2} \right\rfloor + 1 \right) \rho_{s_{i+1}}^w \right)
$$

$$
s_1^{V_0} = \delta_{V_0} \left( \frac{\epsilon_{s_1}^w}{2} - \rho_{s_1}^w \right) \quad \text{and} \quad s_2^{V_0} = \lfloor s_1^{V_0} - 1 \rfloor;
$$

$$
s_1^{L_i} = \delta_{L_i} \left( -\frac{\epsilon_{s_i}^w}{2} + \left( \left\lfloor \frac{|s_i|}{2} \right\rfloor + 1 \right) \rho_{s_i}^w \right) \quad \text{and} \quad s_2^{L_i} = \lfloor s_1^{L_i} - 1 \rfloor,
$$

*for all*  $i = 1, \ldots, n$ *. In particular, as*  $\delta_{L_i}$  *is the denominator of*  $\rho_{\mathfrak{s}_i}^w$ ,

$$
r_{L_i} = \begin{cases} 1 & \text{if } \delta_{L_i} \epsilon_{s_i}^w \text{ is odd,} \\ 0 & \text{if } \delta_{L_i} \epsilon_{s_i}^w \text{ is even.} \end{cases}
$$

*Finally, for suitable choices of basis of the lattices in [*[1](#page-55-1)*, 3.4, 3.5], we have*

 $\overline{g_w|_{L_i}}(x^{b_i}) = -\frac{u}{\pi^{v(u)}} \prod_{r \in s_i \setminus s_{i-1}} (x + \frac{w-r}{\pi^{p_i}}) \mod \pi, \qquad u = c_f \prod_{r \in \Re \setminus s_i} (w-r),$ 

*for any*  $i = 1, \ldots, n$ *, where*  $\rho_i = \rho_{s_i}^w$ *, and*  $b_i$  *is the denominator of*  $\rho_i$ *;* 

$$
\overline{g_{w}|_{V_j}}(y) = y^{\vert \tilde{V}_j(\mathbb{Z})_{\mathbb{Z}}\vert-1} - \frac{u}{\pi^{v(u)}} \mod \pi, \qquad u = c_f \prod_{r \in \mathfrak{R} \setminus \mathfrak{s}_j} (w-r),
$$

*for any*  $j = 0, \ldots, n$ *, where*  $|\bar{V}_i(\mathbb{Z})_{\mathbb{Z}}|$  *is the number of integer points P on the v*-edge *V<sub>i</sub> with*  $v(P) \in \mathbb{Z}$ *, endpoints included.*

*Proof.* The structure of  $\Delta_v^w$  follows from Lemma [3.38.](#page-20-3) For the computation of the slopes, we only need to individuate, for all the *v*-edges, the two points  $P_0$  and  $P_1$  of [\[1,](#page-55-1) Definition 3.12]. It is easy to see that the followings are admissible choices.

- For *V<sub>i</sub>* and *L<sub>i</sub>* (*i* = 1, ..., *n*), choose  $P_0 = (|\mathfrak{s}_i|, 0)$  and  $P_1 = (\lfloor \frac{|\mathfrak{s}_i| 1}{2} \rfloor, 1)$ .
- For  $V_0$ , choose  $P_0 = (0, 2)$  and  $P_1 = (1, 1);$

The second part of the Lemma then follows from the first one. The computations of the reductions also follows from Lemma [3.38](#page-20-3) by choosing the lattices  $Q_{i-1} + (b_i, 0)\mathbb{Z}$  for  $g_w|_{L_i}$  and  $Q_i + (-|s_i|/a, 2/a)\mathbb{Z}$  for  $g_w|_{V_j}$ , where  $a = |\bar{V}_j(\mathbb{Z})_{\mathbb{Z}}| - 1$ .  $\Box$ 

<span id="page-23-0"></span>**Notation 4.4.** Let C be as above and let  $w \in K$ . For every cluster  $\mathfrak{s} \in \Sigma_c^w$  denote by  $F_s^w$  the *v*-face of the *Newton polytope*  $\Delta^w$  *of*  $g_w(x, y) = y^2 - f(x + w)$  *that corresponds to* 5.

*Following the notation of Lemma* [4.3](#page-22-2), let  $i \in \{1, \ldots, n\}$  *be such that*  $\mathfrak{s} = \mathfrak{s}_i$ *. We will write*  $L^w_{\mathfrak{s}}$ *,*  $V^w_{\mathfrak{s}}$ *,*  $V^w_{\mathfrak{g}}$ *,*  $V^w_{\mathfrak{g}}$ *,*  $V^w_{\mathfrak{g}}$ *,*  $V^w_{\mathfrak{g}}$ *,*  $V^w_{\mathfrak{g}}$ *for the v-edges*  $L_i$ ,  $V_i$ ,  $V_0$ , *respectively.* 

**Example 4.5.** Let C be the hyperelliptic curve over  $\mathbb{Q}_3$  given by the equation  $y^2 = f(x)$  where  $f(x) = f(x)$  $x^{11} - 3x^6 + 9x^5 - 27$  *is the polynomial of Example* [3.20](#page-14-3)*.* 

*Its cluster picture centred at* 0 *is*



where the subscripts represent the radii with respect to 0. As we can see,  $\Sigma_c^0$  consists of two clusters:  $\mathfrak{s}_1$ *of size* 6, radius  $\frac{1}{3}$  and  $\epsilon_{s_1}^0 = 3$ , and  $\epsilon_2 = \Re$  *of size* 11, radius  $\frac{1}{5}$  and  $\epsilon_{s_2}^0 = \frac{11}{5}$ . Therefore the picture of  $\Delta^0$ *broken into v-faces will be*



*where*  $T = (0, 2)$ *,*  $Q_0 = (0, 0)$ *,*  $Q_1 = (6, 0)$ *, and*  $Q_2 = (11, 0)$ *. Denoting the values of v on vertices, the picture becomes*



<span id="page-23-1"></span>To state the theorems which describe the special fibre of the proper flat model *C* of *C* we will construct in Section [5,](#page-29-0) we need some definitions.

 $Definition 4.6.$  *Let*  $F/K$  *be an unramified extension and let*  $\Sigma_F = \Sigma_{C_F}^{\rm rat}$  *(i.e. set of clusters cut out by discs with centre in F). For any proper*  $s \in \Sigma_F$  *let*  $G_s = \text{Stab}_{G_K}(s)$  *and*  $K_s = (K^s)^{G_s}$ *. We define the following quantities:*

$\mathfrak{s} \in \Sigma_F$ , proper		
radius	$\rho_{\rm s}$	$\max_{w \in F} \min_{r \in \mathfrak{s}} v(r - w)$
	$b_{\rm s}$	denominator of $\rho_{\rm s}$
	$\epsilon$	$v(c_f) + \sum_{r \in \mathfrak{B}} \rho_{r \wedge \mathfrak{s}}$
	$D_{\varepsilon}$	1 if $b_{s} \epsilon_{s}$ odd, 2 if $b_{s} \epsilon_{s}$ even
multiplicity	$m_{\alpha}$	$(3-D_{s})b_{s}$
parity	$p_{\rm s}$	$1$ if $ s $ is odd, $2$ if $ s $ is even
slope	$S_{\sigma}$	$\frac{1}{2}( \mathfrak{s} \rho_{\mathfrak{s}}+p_{\mathfrak{s}}\rho_{\mathfrak{s}}-\epsilon_{\mathfrak{s}})$
	$\gamma_{\mathfrak{s}}$	2 if <b>s</b> is even and $\epsilon_{\rm s}$ - $ \mathfrak{s}  \rho_{\rm s}$ is odd, 1 otherwise
	$p_{\mathfrak{s}}^0$	1 if s is minimal and $\mathfrak{s} \cap K_{\mathfrak{s}} \neq \emptyset$ , 2 otherwise
	$s^0_s$	$-\epsilon_{\rm g}/2 + \rho_{\rm g}$
	$\gamma_{\mathfrak{s}}^0$	2 if $p^0_{\epsilon} = 2$ and $\epsilon_{\epsilon}$ is odd, 1 otherwise

<span id="page-24-1"></span>**Lemma 4.7.** *Keep the notation of the previous definition and let*  $s \in \Sigma_K$ *. Then*  $s \in \Sigma_F$  *but the quantities in Definition* [4.6](#page-23-1) *do not depend on F.*

*Proof.* A cluster  $\mathfrak{s} \in \Sigma_F$  belongs to  $\Sigma_K$  if and only if  $\sigma(\mathfrak{s}) = \mathfrak{s}$  for any  $\sigma \in G_K$ . Then the result follows from Lemma [A.1.](#page-56-11)  $\Box$ 

**Remark 4.8.** *Lemma [4.3](#page-22-2) shed some light on the quantities we defined in Definition [4.6.](#page-23-1) Let*  $s \in \Sigma_r$ . *Fix* a rational centre  $w_s \in F$  of  $\mathfrak s$  such that  $w_s \in K_s$  if  $p_s^0 = 1$ . Denoting  $V = V_s^{w_s}$ ,  $L = L_s^{w_s}$ , and  $V_0 = V_0^{w_s}$ , we *have:*

- $b_s = \delta_L$  *and*  $r_L = 2 D_s$ .
- $\gamma_s = \delta_V$ ,  $p_s / \gamma_s = \bar{V}(\mathbb{Z})_{\mathbb{Z}} 1$  *and*  $s_1^V = \gamma_s s_s$ . If V *is internal, that is*  $s \neq \Re$ , *then*  $s_2^V = \gamma_s (s_s \Im \mathbb{Z})$  $p_{\mathfrak{s}} \frac{\rho_{\mathfrak{s}} - \rho_{P(\mathfrak{s})}}{2}$ ).
- If  $\bar{s}$  is minimal and so  $V_0$  is an edge of  $F_s^{\nu_s}$ , then  $\gamma_s^0 = \delta_{V_0}, p_s^0/\gamma_s^0 = \bar{V}_0(\mathbb{Z})_{\mathbb{Z}} 1$  and  $s_1^{V_0} = -\gamma_s^0 s_s^0$ .

<span id="page-24-2"></span>**Lemma 4.9.** Let  $s \in \Sigma_c^{\text{rat}}$  with rational centre  $w \in K$ . Then  $D_s = 1$  if and only if  $v_{F_s^w}((a, 1)) \notin \mathbb{Z}$ , for every  $a \in \mathbb{Z}$ .

*Proof.* If  $D_s = 1$  then  $r_{L_s^w} = 1$  by Lemma [4.3,](#page-22-2) and so  $v_{F_s^w}((a, 1)) \notin \mathbb{Z}$ , for every  $a \in \mathbb{Z}$ . Now let  $c, d \in \mathbb{Z}$ such that  $\rho_s \cdot c + d = 1/b_s$ . If  $D_s = 2$ , then  $b_s \epsilon_s \in 2\mathbb{Z}$ , so

$$
\nu_{F_5^w}(cb_s\epsilon_s/2, 1) = \frac{\nu_{F_5^w}((cb_s\epsilon_s, 0))}{2} = \frac{\epsilon_s - (cb_s\epsilon_s)\rho_s}{2} = \frac{db_s\epsilon_s}{2} \in \mathbb{Z},
$$

 $\Box$ 

<span id="page-24-0"></span>as required.

**Definition 4.10.** We say that C is y-regular if  $p \nmid D_s$  for every proper  $s \in \Sigma_c^{\text{rat}}$ , that is if either  $p \neq 2$  or  $D_{\mathfrak{s}} = 1$  *for any proper*  $\mathfrak{s} \in \Sigma_C^{\text{rat}}$ *.* 

**Remark 4.11.** Let  $F/K$  be an unramified extension. Then from Lemma [4.7](#page-24-1), if  $C_F$  is y-regular then C is *y-regular.*

<span id="page-24-3"></span>**Lemma 4.12.** *The hyperelliptic curve C* is  $\Delta_{v}$ -regular if and only if *C* is y-regular and f is NP-regular.

*Proof.* Let  $g(x, y) = y^2 - f(x)$ . If *C* is y-regular and *f* is NP-regular, then *C* is  $\Delta_y$ -regular by Lemma [4.3](#page-22-2) and Lemma [4.9.](#page-24-2)

Conversely, if *C* is  $\Delta_{v}$ -regular, then *f* is NP-regular, and all clusters have rational centre 0 by Corollary [3.25.](#page-17-0) It remains to show that if  $p = 2$  then  $D_s = 1$  for every proper  $\mathfrak{s} \in \Sigma_c^{\text{rat}}$ . Suppose there exists  $\mathfrak{s} \in \sum_{C}^{\text{rat}}$  such that  $D_{\mathfrak{s}} = 2$ . Consider the variety  $\bar{X}_{F_{\mathfrak{s}}^0}$  ([\[1,](#page-55-1) Definition 3.7]). By Lemma [4.9,](#page-24-2) the smoothness of  $\bar{X}_{F^0_{\mathfrak{s}}}$  implies there exists  $\mathfrak{s}' \in \Sigma_{C}^{\text{rat}}$ , such that  $|\mathfrak{s}| - |\mathfrak{s}'| = 1$ . Hence  $\rho_{\mathfrak{s}} \in \mathbb{Z}$  from Lemma [3.12.](#page-12-2) Therefore  $\bar{F}_{s}^{0}(\mathbb{Z}) = \bar{F}_{s}^{0}(\mathbb{Z})_{\mathbb{Z}}$ , by Lemma [4.9.](#page-24-2) But this gives a contradiction as it forces either  $\frac{\partial}{\partial |v_{s}|}$  or  $\frac{\partial}{\partial |v_{s}|}$  to be a square.

<span id="page-25-2"></span>**Definition 4.13.** *Let*  $s \in \Sigma_F$  *be a proper cluster and let*  $c \in \{0, \ldots, b_s - 1\}$  *such that*  $c \rho_s - \frac{1}{b_s} \in \mathbb{Z}$ *. Define*

$$
\tilde{\mathfrak{s}} = \{ \mathfrak{s}' \in \Sigma_F \cup \{ \varnothing \} \mid \mathfrak{s}' < \mathfrak{s} \text{ and } \frac{|\mathfrak{s}'|}{b_{\mathfrak{s}}} - c\epsilon_{\mathfrak{s}} \notin 2\mathbb{Z} \},
$$

*where*  $\varnothing < \mathfrak{s}$  *if*  $\mathfrak{s}$  *is minimal and*  $p_{\mathfrak{s}}^0 = 2$ *.* 

*The genus*  $g(\mathfrak{s})$  *of a rational cluster*  $\mathfrak{s} \in \Sigma_F$  *is defined as follows:* 

- *If*  $D_5 = 1$ *, then*  $g(5) = 0$ *.*
- *If*  $D_s = 2$ *, then*  $2g(s) + 1$  *or*  $2g(s) + 2$  *equals*

$$
\frac{|\mathfrak{s}|-\sum_{\mathfrak{s}'\in \Sigma_{F},\mathfrak{s}'<\mathfrak{s}}|\mathfrak{s}'|}{b_{\mathfrak{s}}}+|\tilde{\mathfrak{s}}|.
$$

<span id="page-25-1"></span>**Definition 4.14.** Let  $\Sigma_c^{\min}$  be the set of rationally minimal clusters of C and let  $\Sigma\subseteq \Sigma_c^{\min}$  non-empty. For  $\epsilon$  *each cluster*  $\mathfrak{s} \in \Sigma$ , fix a rational centre  $w_{\mathfrak{s}}$ ; if possible, choose  $w_{\mathfrak{s}} \in \mathfrak{s}$ . Let W be the set of these rational *centres and define*  $\Sigma^W = \bigcup_{w \in W} \Sigma^w_C$ *. For any proper cluster*  $\mathfrak{s} \in \Sigma^W$  *fix a rational centre*  $w_\mathfrak{s} \in W$ *. Denote*  $r_{\mathfrak{s}} = \frac{w_{\mathfrak{s}} - r}{\pi^{\rho_{\mathfrak{s}}}}$  for  $r \in \mathfrak{R}$ . Define reductions  $\overline{f_{\mathfrak{s}}^W}(x) \in k[x]$ ,  $\overline{g_{\mathfrak{s}}} \in k[y]$ , and for  $\mathfrak{s} \in \Sigma$  also  $\overline{g_{\mathfrak{s}}^0} \in k[y]$  by

$$
\overline{f_s^W}(x^{b_s}) = \frac{u}{\pi^{v(u)}} \prod_{r \in s \setminus \bigcup_{s' < s} s'} (x + r_s) \mod \pi, \qquad u = c_f \prod_{r \in \mathfrak{R} \setminus s} r_s,
$$
\n
$$
\overline{g_s}(y) = y^{p_s / y_s} - \frac{u}{\pi^{v(u)}} \mod \pi, \qquad u = c_f \prod_{r \in \mathfrak{R} \setminus s} r_s,
$$
\n
$$
\overline{g_s^0}(y) = y^{p_s / y_s^0} - \frac{u}{\pi^{v(u)}} \mod \pi, \qquad u = c_f \prod_{r \in \mathfrak{R} \setminus \{w_s\}} r_s.
$$

*where the union runs through all*  $\mathfrak{s}' \in \Sigma^W$ ,  $\mathfrak{s}' < \mathfrak{s}$ *. Finally define the k-schemes* 

- $(1)$   $X_{\mathfrak{s}}^{W}$  :  $\{\overline{f_{\mathfrak{s}}^{W}} = 0\} \subset \mathbb{G}_{m,k}$ ;  $(2)$   $X_{\mathfrak{s}}: {\overline{g_{\mathfrak{s}}}} = 0$   $\subset \mathbb{G}_{m,k}$ *;*
- (3)  $X_s^0: \{g_s^0 = 0\} \subset \mathbb{G}_{m,k}$  *if*  $s \in \Sigma$ .

**Notation 4.15.** *Given a scheme*  $\mathcal{X}/O_K$  *we will denote by*  $\mathcal{X}_n$  *its generic fibre*  $\mathcal{X} \times_{Spec O_K}$  Spec *K, and by*  $\mathcal{X}_s$  *its special fibre*  $\mathcal{X} \times_{\text{Spec } O_K} \text{Spec } k$ *.* 

<span id="page-25-0"></span>**Notation 4.16.** *If*  $C = C_1 \cup \cdots \cup C_r$  *is a chain of*  $\mathbb{P}_k^1$ *s of length r and multiplicities*  $m_i \in \mathbb{Z}$  (*meeting transversely), then*  $\infty \in C_i$  *is identified with*  $0 \in C_{i+1}$ *, and*  $0, \infty \in C$  *are respectively*  $0 \in C_1$  *and*  $\infty \in C_r$ *. Finally, if*  $r = 0$ *, then*  $C = \text{Spec } k$  *and*  $0 = \infty$ *.* 

<span id="page-25-3"></span>**Notation 4.17.** *Let*  $\alpha \in \mathbb{Z}_+$ *,*  $a, b \in \mathbb{Q}$ *, with*  $a > b$ *, and fix*  $\frac{n_i}{d_i} \in \mathbb{Q}$  *so that* 

$$
\alpha a = \frac{n_0}{d_0} > \frac{n_1}{d_1} > \ldots > \frac{n_r}{d_r} > \frac{n_{r+1}}{d_{r+1}} = \alpha b, \quad \text{with} \quad \begin{vmatrix} n_1 n_{i+1} \\ d_n n_{i+1} \end{vmatrix} = 1,
$$

and *r minimal.* We write  $\mathbb{P}^1(\alpha, a, b)$  for a chain of  $\mathbb{P}^1_k$ s of length *r* and *multiplicities*  $\alpha d_1, \ldots, \alpha d_r$ . *Furthermore, we denote by*  $\mathbb{P}^1(\alpha, a)$  *the chain*  $\mathbb{P}^1(\alpha, a, \lfloor \alpha a - 1 \rfloor / \alpha)$ *.* 

<span id="page-26-0"></span>Theorem [4.18](#page-26-0) and Theorem [4.23](#page-27-0) will follow from Section [5.](#page-29-0)

**Theorem 4.18.** Let  $C/K$  be a hyperelliptic curve given by a Weierstrass equation  $y^2 = f(x)$ *. Suppose*  $\deg f > 1$  and let  $\Sigma$ , W and  $\Sigma^W$  as in Definition [4.14](#page-25-1). Then there exists a proper flat model  $C/O_K$  (con*structed in Section* [5](#page-29-0)*) of C such that its special fibre Cs*/*k consists of* 1*-dimensional schemes given below in* [\(1\)](#page-26-3), [\(2\)](#page-26-4), [\(3\)](#page-26-5), [\(4\)](#page-26-6), [\(5\)](#page-26-7)*, glued along* 0*-dimensional transversal intersections:*

- <span id="page-26-3"></span>(1) *Every proper cluster*  $\mathfrak{s} \in \Sigma^W$  *gives a* 1*-dimensional closed subscheme*  $\Gamma_{\mathfrak{s}}$  *of multiplicity*  $m_{\mathfrak{s}}$ *.*  $\Gamma_{\mathfrak{s}}$ is not integral if and only if  $D_s = 2$ ,  $\tilde{s} \cap (\Sigma^W \cup \{\varnothing\}) = \varnothing$  and  $\overline{f^W_s}$  is a square. When this happens, *if*  $p = 2$  *then*  $\Gamma_s$  *is not reduced and*  $(\Gamma_s)_{\text{red}}$  *is irreducible of multiplicity* 2 *in*  $\Gamma_s$ , *if*  $p \neq 2$  *then*  $\Gamma_s$ *is reducible, namely*  $\Gamma_{\mathfrak{s}} = \Gamma_{\mathfrak{s}}^+ \cup \Gamma_{\mathfrak{s}}^-$ *, with*  $\Gamma_{\mathfrak{s}}^{\pm} = \mathbb{P}_{k}^1$ *.*
- <span id="page-26-4"></span>(2) *Every proper cluster*  $\mathfrak{s} \in \Sigma^W$  *with*  $D_{\mathfrak{s}} = 1$  *gives the closed subscheme*  $X^W_{\mathfrak{s}} \times \mathbb{P}^1_k$ *, of multiplicity*  $b_{\mathfrak{s}}$ *, where*  $X_{\mathfrak{s}}^W \times \{0\} \subset \Gamma_{\mathfrak{s}}$ *.*
- <span id="page-26-5"></span>(3) *Every proper cluster*  $\mathfrak{s} \in \Sigma^W$  *such that*  $\mathfrak{s} \neq \mathfrak{R}$ *, gives the closed subscheme*  $X_{\mathfrak{s}} \times \mathbb{P}^1(\gamma_{\mathfrak{s}}, s_{\mathfrak{s}}, s_{\mathfrak{s}}$  $p_{\mathfrak{s}} \cdot \frac{\rho_{\mathfrak{s}} - \rho_{P(\mathfrak{s})}}{2}$  *where*  $X_{\mathfrak{s}} \times \{0\} \subset \Gamma_{\mathfrak{s}}$  *and*  $X_{\mathfrak{s}} \times \{\infty\} \subset \Gamma_{P(\mathfrak{s})}$ *.*
- <span id="page-26-6"></span>(4) *Every cluster*  $s \in \Sigma$  *gives the closed subscheme*  $X_s^0 \times \mathbb{P}^1(\gamma_s^0, -s_s^0)$  *where*  $X_s^0 \times \{0\} \subset \Gamma_s$  *(the chains are open-ended).*
- <span id="page-26-7"></span>(5) *Finally, the cluster*  $\Re$  *gives the closed subscheme*  $X_{\Re} \times \mathbb{P}^1(\gamma_{\Re}, s_{\Re})$  *where*  $X_{\Re} \times \{0\} \subset \Gamma_{\Re}$  *(the chains are open-ended).*

*If*  $\Gamma_s$  *is reducible, the two points in*  $X_s \times \{0\}$  *(and*  $X_s^0 \times \{0\}$  *if*  $\mathfrak{s} \in \Sigma$ ) belong to different irreducible com*ponents of*  $\Gamma_s$ *. Similarly, if*  $s \neq \Re$  *and*  $\Gamma_{P(s)}$  *is reducible, the two points of*  $X_s \times \{\infty\}$  *belong to different irreducible components of*  $\Gamma_{P(\mathfrak{s})}$ *.* 

*Furthermore, if C has an almost rational cluster picture and is y-regular, then, by choosing*  $\Sigma = \Sigma^{\min}_C$ *, the model C is regular with strict normal crossings. In that case, if*  $\mathfrak{s}$  *is übereven and*  $\epsilon_{\mathfrak{s}}$  *is even, then*  $\Gamma_{\mathfrak{s}} \simeq X_{\mathfrak{s}} \times \mathbb{P}_{\mathfrak{k}}^1$ , otherwise  $\Gamma_{\mathfrak{s}}$  is irreducible of genus g( $\mathfrak{s}$ ).

**Remark 4.19.** *Consider the proper flat model*  $C/O_K$  *of Theorem [4.18](#page-26-0). Via the canonical immersion*  $C_s \hookrightarrow C$ , the singular points of C are images of

- *singular points of the subscheme given in* [\(1\)](#page-26-3) *when*  $D_s = 2$  *and either*  $p = 2$ *, or*  $s = t^{rat}$  *for some*  $\mathfrak{t} \in \Sigma_C$  with  $|\mathfrak{t}| > |\rho_{\mathfrak{t}}|_p$  and  $d_{\mathfrak{t}} > \rho_{\mathfrak{t}}$ , or  $\mathfrak{s} = \mathfrak{s}_1 \wedge \mathfrak{s}_2$  for some  $\mathfrak{s}_1 \in \Sigma$  and  $\mathfrak{s}_2 \in \Sigma_C^{\min} \setminus \Sigma$ ;
- *non-reduced points of the subscheme given in* [\(2\)](#page-26-4) when  $D_s = 1$  *and either*  $s = t^{rat}$  *for some*  $\mathfrak{t} \in \Sigma_C$  with  $|\mathfrak{t}| > |\rho_{\mathfrak{t}}|_p$  and  $d_{\mathfrak{t}} > \rho_{\mathfrak{t}}$ , or  $\mathfrak{s} = \mathfrak{s}_1 \wedge \mathfrak{s}_2$  for some  $\mathfrak{s}_1 \in \Sigma$  and  $\mathfrak{s}_2 \in \Sigma_C^{\min} \setminus \Sigma$ ;
- *non-reduced points of subschemes given in* [\(3\)](#page-26-5), [\(4\)](#page-26-6), [\(5\)](#page-26-7) *(that may exist only if*  $p = 2$ *).*

*Note that C is not necessarily normal, hence it may have infinitely many singular points.*

<span id="page-26-1"></span>**Definition 4.20.** *Let*  $s \in \Sigma_{K^{nr}}$ *. We say that* 

- s *is removable if either*  $|s| = 1$ *, or* s *has a child*  $s' \in \Sigma_{K^{nr}}$  *of size*  $2g + 1$  ( $s = \Re$  *in this case).*
- <span id="page-26-10"></span><span id="page-26-9"></span>• s *is contractible if*
	- (1)  $|\mathfrak{s}| = 2$  *and*  $\rho_{\mathfrak{s}} \notin \mathbb{Z}$ ,  $\epsilon_{\mathfrak{s}}$  *odd*,  $\rho_{P(\mathfrak{s})} \leq \rho_{\mathfrak{s}} \frac{1}{2}$ ; *or*
	- (2)  $s = \Re$  *of size*  $2g + 2$ *, with a child*  $s' \in \Sigma_{K^{nr}}$  *of size* 2*g, and*  $\rho_s \notin \mathbb{Z}$ *, v*(*c<sub>f</sub>*) *odd,*  $\rho_{s'} \geq \rho_s + \frac{1}{2}$ *; or*
	- (3)  $s = \Re$  *of size*  $2g + 2$ *, union of its* 2 *odd proper children*  $s_1, s_2 \in \Sigma_{K^{nr}}$ *, with*  $v(c_f)$  *odd,*  $\rho_{s_i} \geq$  $\rho_s + 1$  *for*  $i = 1, 2$ .

<span id="page-26-11"></span><span id="page-26-8"></span>**Notation 4.21.** *Write*  $\Sigma^{nr} \subseteq \Sigma_{K^{nr}}$  *for the subset of non-removable clusters.* 

<span id="page-26-2"></span>**Definition 4.22.** *Choose rational centres*  $w_s \in K^{nr}$  *for every*  $s \in \Sigma^{nr}$ *, in such a way that*  $w_s \in s$  *when*  $p_s^0 = 1$ , and  $\sigma(w_s) = w_{\sigma(s)}$  for all  $\sigma \in \text{Gal}(K^{nr}/K)$ . Denote  $r_s = \frac{w_s - r}{\pi \rho_s}$  for  $r \in \mathfrak{R}$  and define  $\overline{g_s}$ ,  $\overline{g_s^0} \in k^s[y]$ 

*as in Definition* [4.14](#page-25-1), and  $\overline{f_s}(x)$  ∈  $k$ <sup>s</sup>[*x*]*, by* 

$$
x^{2-p_{\mathfrak{s}}^0} \overline{f_{\mathfrak{s}}}(x^{b_{\mathfrak{s}}}) = \frac{u}{\pi^{\nu(u)}} \prod_{r \in \mathfrak{s} \setminus \bigcup_{\mathfrak{s}' < \mathfrak{s}} \mathfrak{s}'} (x + r_{\mathfrak{s}}) \mod \pi, \quad u = c_f \prod_{r \in \mathfrak{R} \setminus \mathfrak{s}} r_{\mathfrak{s}},
$$

*where the union runs through all*  $s' \in \Sigma^m$ ,  $s' < s$ . Let  $G_s = \text{Stab}_{G_K}(s)$ ,  $K_s = (K^s)^{G_s}$ , and let  $k_s$  be the *residue field of*  $K_s$ *. Then*  $\overline{f_s} \in k_s[x]$ ,  $\overline{g_s} \in k_s[y]$ *, and for*  $s$  *minimal*  $g_s^0 \in k_s[y]$ *.* 

*Let*  $\mathfrak{s}_0 \in \Sigma^m$  *be minimal and contained in*  $\mathfrak{s}$ *. Denote*  $\mathfrak{s} = \tilde{\mathfrak{s}} \setminus \{ \{ r \} < \mathfrak{s} \mid r \neq w_{\mathfrak{s}_0} \}$ *. Note that*  $\mathfrak{s}$  *does not depend on the choice of*  $\mathfrak{s}_0$ *. Define*  $\tilde{f}_s \in k_s[x]$  *by* 

<span id="page-27-0"></span>
$$
\widetilde{f}_{\mathfrak{s}}(x) = \prod_{\mathfrak{s}' \in \mathfrak{s}} \left( x - \overline{u_{\mathfrak{s}',\mathfrak{s}}} \right) \cdot \overline{f_{\mathfrak{s}}}(x),
$$

*where*  $\overline{u_{s',s}} = \frac{w_{s'} - w_s}{\pi^{\rho_s}}$  mod  $\pi$  *if*  $s' \neq \emptyset$  *and*  $\overline{u_{s',s}} = 0$  *otherwise.* 

In the next theorem we describe the special fibre of the minimal regular model of *C* with normal crossings. We use Definitions/Notations [3.1,](#page-11-2) [3.3,](#page-11-3) [3.4,](#page-11-4) [3.2,](#page-11-1) [3.8,](#page-12-1) [3.9,](#page-12-0) [3.26,](#page-18-1) [4.6,](#page-23-1) [4.10,](#page-24-0) [4.13,](#page-25-2) [4.17,](#page-25-3) [4.20,](#page-26-1) [4.21,](#page-26-8) [4.22](#page-26-2) in the statement. Note that a full description of the model is developed in Section [5.](#page-29-0)

**Theorem 4.23** (Minimal regular NC model). Let  $C/K$  :  $y^2 = f(x)$  be a hyperelliptic curve of genus  $\geq 1$ . *Suppose CKnr has an almost rational cluster picture and is y-regular. Then the minimal regular model* with normal crossings  $\mathcal{C}^{\min}/O_{K^{nr}}$  of  $C$  has special fibre  $\mathcal{C}^{\min}_s/k^s$  described as follows:

- <span id="page-27-5"></span>(1) *Every*  $\mathfrak{s} \in \Sigma^{nr}$  *gives a* 1*-dimensional subscheme*  $\Gamma_{\mathfrak{s}}$  *of multiplicity*  $m_{\mathfrak{s}}$ *. If*  $\mathfrak{s}$  *is übereven and*  $\epsilon_{\mathfrak{s}}$ *is even, then*  $\Gamma_s$  *is the disjoint union of*  $\Gamma_s^{r_{s,-}} \simeq \mathbb{P}^1$  *and*  $\Gamma_s^{r_{s,+}} \simeq \mathbb{P}^1$ *, otherwise*  $\Gamma_s$  *is irreducible of genus g*( $\mathfrak{s}$ ) (write  $\Gamma_s^{r_{\mathfrak{s},-}} = \Gamma_s^{r_{\mathfrak{s},+}} = \Gamma_s$  *in this case). The indices*  $r_{\mathfrak{s},-}$  *and*  $r_{\mathfrak{s},+}$  *are the roots of*  $\overline{g_s}$ *(where*  $r_{\mathfrak{s},-} = r_{\mathfrak{s},+}$  *if* deg  $\overline{g_{\mathfrak{s}}} = 1$ *).*
- <span id="page-27-6"></span>(2) *Every*  $\mathfrak{s} \in \Sigma^{nr}$  *with*  $D_{\mathfrak{s}} = 1$  gives open-ended  $\mathbb{P}^1$ s of multiplicity  $b_{\mathfrak{s}}$  from  $\Gamma_{\mathfrak{s}}$  indexed by roots of *f*s*.*
- <span id="page-27-2"></span>(3) *Every non-maximal element*  $\mathfrak{s} \in \Sigma^m$  gives chains  $\mathbb{P}^1(\gamma_{\mathfrak{s}}, s_{\mathfrak{s}}, s_{\mathfrak{s}} - p_{\mathfrak{s}} \cdot \frac{\rho_{\mathfrak{s}} - \rho_{\rho(\mathfrak{s})}}{2})$  *from*  $\Gamma_{\mathfrak{s}}$  to  $\Gamma_{\rho(\mathfrak{s})}$ *indexed by roots of*  $\overline{g_s}$ *.*
- <span id="page-27-7"></span>(4) *Every minimal element*  $\mathfrak{s} \in \Sigma^{nr}$  gives open-ended chains  $\mathbb{P}^1(\gamma^0_\mathfrak{s}, -s^0_\mathfrak{s})$  *from*  $\Gamma_\mathfrak{s}$  *indexed by roots*  $of g_s^0$ .
- <span id="page-27-3"></span>(5) *The maximal element*  $\mathfrak{s} \in \Sigma^{nr}$  *gives open-ended chains*  $\mathbb{P}^1(\gamma_{\mathfrak{s}}, s_{\mathfrak{s}})$  *from*  $\Gamma_{\mathfrak{s}}$  *indexed by roots of*  $\overline{g_s}$ .
- (6) *Finally, blow down all*  $\Gamma_s$  *where*  $\mathfrak s$  *is a contractible cluster.*

<span id="page-27-4"></span>*In* [\(3\)](#page-27-2) and [\(5\)](#page-27-3), a chain indexed by *r* goes from  $\Gamma_s^r$ . *In* (3) the chain indexed by  $r_{s,-}$  goes to  $\Gamma_{P(s)}^{r_{P(s)-}}$  and the *chain indexed by*  $r_{s,+}$  *goes to*  $\Gamma_{P(s)}^{rp_{(s,+)}}$ .

*Before blowing down in* [\(6\)](#page-27-4)*, the components given in* [\(1\)](#page-27-5)–[\(5\)](#page-27-3) *describe the special fibre of a regular model of*  $C_{K^{nr}}$  *with strict normal crossings.* 

*The Galois group*  $G_k$  *acts naturally, that is for every*  $\sigma \in G_k$ ,  $\sigma(\Gamma_s) = \Gamma_{\sigma(s)}^{\sigma(r)}$  *and similarly, on the chains.*

If  $\Gamma_s$  is irreducible, then its function field is isomorphic to  $k^s(x)[y]$  with the relation  $y^{D_s} = \tilde{f}_s(x)$ .

**Remark 4.24.** *Note that if*  $\Gamma_s$  *or*  $\Gamma_{P(s)}$  *is reducible then*  $p_s/\gamma_s = 2$ *.* 

<span id="page-27-1"></span>**Example 4.25.** Let p be a prime number and let  $a \in \mathbb{Z}_p$ ,  $b \in \mathbb{Z}_p^\times$  such that the polynomial  $x^2 + ax + b$ *is not a square modulo p. Let C be the hyperelliptic curve over* Q*<sup>p</sup> of genus* 4 *given by the equation*  $y^2 = f(x)$ , where  $f(x) = (x^6 + ap^4x^3 + bp^8)((x - p)^3 - p^{11})$ . In Example [3.32,](#page-19-1) we described the rational *cluster picture of C and proved that C has an almost rational cluster picture. Recall that*  $\Sigma_c^{\text{rat}}$  *consists of* 3 *clusters*  $t_3$ ,  $t_4$ ,  $\Re$  *of size* 6, 3, 9 *respectively such that*  $t_3 < \Re$  *and*  $t_4 < \Re$ . In particular, note that  $\Sigma_{\mathbb{Q}_p^m} = \Sigma_c^{\text{rat}}$ , and no cluster of  $\Sigma_{\mathbb{Q}_p^m}$  is removable, so  $\Sigma^m = \Sigma_c^{\text{rat}}$ . The minimal elements of  $\Sigma^m$  are then  $\mathfrak{t}_3$  $and$   $t_4$ .

*We want to describe the special fibre of the minimal regular model with normal crossings C*min *of C. Compute the quantities in Definitions* [4.6](#page-23-1) *and* [4.13](#page-25-2), *and the polynomials*  $f_s$ ,  $\overline{g_s}$ ,  $g_s^0$  *of Definition* [4.22](#page-26-2), *for any cluster in*  $\Sigma^m$ *:* 

						$\underbrace{\rho_{\mathfrak{s}} \quad b_{\mathfrak{s}} \quad \epsilon_{\mathfrak{s}} \quad D_{\mathfrak{s}} \quad m_{\mathfrak{s}} \quad p_{\mathfrak{s}} \quad s_{\mathfrak{s}} \qquad \gamma_{\mathfrak{s}} \quad p_{\mathfrak{s}}^0 \qquad s_{\mathfrak{s}}^0 \qquad \gamma_{\mathfrak{s}}^0 \qquad g(\mathfrak{s}) \quad \overline{f_{\mathfrak{s}}}(x) \qquad \overline{g_{\mathfrak{s}}}(y) \quad \overline{g_{\mathfrak{s}}^0}(y)}$	
						$t_3 \frac{4}{3}$ 3 11 1 6 2 $-\frac{1}{6}$ 2 2 $-\frac{25}{6}$ 2 0 $x^2 + ax + \overline{b}$ $y+1$ $y-1$	
						$t_4$ $\frac{11}{3}$ 3 17 1 6 1 $-\frac{7}{6}$ 1 2 $-\frac{29}{6}$ 2 0 $x-1$ $y-1$ $y+1$	
						$\Re$ 1 1 9 1 2 1 $\frac{1}{2}$ 1 2 0 1 $y-1$	

*where*  $\bar{a}$ ,  $\bar{b}$  *are the reductions of*  $a$ ,  $b$  *modulo*  $p$ . Then  $C$  *is also*  $y$ *-regular for any*  $p$ *. Following the steps of Theorem* [4.23](#page-27-0) *the special fibre of*  $C^{min}$  *over*  $\overline{\mathbb{F}}_p$  *can be described as follows:* 

- (1) *The clusters*  $t_3, t_4, \Re$  *give* 3 *irreducible components*  $\Gamma_{t_1}, \Gamma_{t_4}, \Gamma_{t_5}$  *of genus* 0 *of multiplicities* 6, 6, 2 *respectively;*
- (2) The cluster  $t_3$  gives 2 *open-ended*  $\mathbb{P}^1$ s *of multiplicity* 3 *from*  $\Gamma_{t_3}$ *, while*  $t_4$  *gives* 1 *open-ended*  $\mathbb{P}^1$ *of multiplicity* 3 *from*  $\Gamma_{t_4}$ *.*
- (3) *From*  $\gamma_{t_3} s_{t_3} = -\frac{1}{3} > -\frac{1}{2} > -1 = \gamma_{t_3} (s_{t_3} p_{t_3} \cdot \frac{\rho_{t_3} \rho_{\Re}}{2})$ , the cluster  $t_3$  gives  $1 \mathbb{P}^1$  of multiplicity  $4 from \Gamma_{t_3}$  to  $\Gamma_{\mathfrak{R}}$ *. From*

$$
\gamma_{t_4}s_{t_4}=-\frac{7}{6}>-\frac{6}{5}>-\frac{5}{4}>-\frac{4}{3}>-\frac{3}{2}>-2>-\frac{5}{2}=\gamma_{t_3}(s_{t_4}-p_{t_4}\cdot\frac{\rho_{t_4}-\rho_{\mathfrak{R}}}{2})
$$

*the cluster*  $t_4$  *gives* 1 *chain of*  $\mathbb{P}^1$ *s of multiplicities* 5, 4, 3, 2, 1 *from*  $\Gamma_{t_4}$  *to*  $\Gamma_{\mathfrak{R}}$ *.* 

- (4)  $From -\gamma_{t_3}^0 s_{t_3}^0 = \frac{25}{3} > 8 > 7$  the cluster  $t_3$  gives 1 open-ended  $\mathbb{P}^1$  of multiplicity 2 from  $\Gamma_{t_3}$ . From  $-\gamma_{t_4}^0 s_{t_4}^0 = \frac{29}{3} > \frac{19}{2} > 9 > 8$ , the cluster  $t_4$  gives 1 *open-ended chain of*  $\mathbb{P}^1$ *s of multiplicities* 4, 2 *from*  $\Gamma_{\text{t}}$ .
- (5) *From*  $\gamma_{\Re} s_{\Re} = \frac{1}{2} > 0 > -1$ , the cluster  $\Re$  gives 1 *open-ended*  $\mathbb{P}^1$  *of multiplicity* 1 *from*  $\Gamma_{\Re}$ .
- (6) *There is no contractible cluster, so the components we considered in the steps above describe the special fibre of*  $C^{\min}$  *over*  $\overline{\mathbb{F}}_p$ *:*



*Finally, from the Galois action on the roots of the polynomials*  $\overline{f_s}$ ,  $\overline{g_s}$ ,  $\overline{g_s}$ , *for*  $s \in \Sigma^{nr}$ *, we get that*  $G_k$  *acts trivially if*  $x^2 + \bar{a}x + \bar{b}$  *is reducible in*  $\mathbb{F}_p$ *, while it swaps the two components of multiplicity* 3 *intersecting*  $\Gamma_{t_3}$  *(coming from (2)) otherwise.* 

As application of Theorem [4.23](#page-27-0) we suppose *k* is finite of characteristic  $p > 2$  and *C* is semistable of genus  $g \ge 2$ . In this setting [\[14,](#page-56-3) Theorem 8.5] describes the minimal regular model of *C* in terms of its cluster picture  $\Sigma_c$ . We compare that result with the one obtained from Theorem [4.23](#page-27-0) (Corollary [4.27\)](#page-29-1).

<span id="page-28-0"></span>First note that  $C_{K^{nr}}$  is *y*-regular as  $p \neq 2$ . From [\[14,](#page-56-3) Definition 1.7], if *C* is semistable then

- (1) the extension  $K(\mathfrak{R})/K$  has ramification degree at most 2;
- <span id="page-28-1"></span>(2) every proper cluster is  $Gal(K<sup>s</sup>/K<sup>nr</sup>)$ -invariant;
- (3) every principal cluster has  $d_s \in \mathbb{Z}$  and  $v_s \in 2\mathbb{Z}$ .

<span id="page-28-3"></span>It follows from Corollary  $3.27$  that  $C_{K^{nr}}$  has an almost rational cluster picture.

<span id="page-28-2"></span>In fact, [\(1\)](#page-28-0) and [\(2\)](#page-28-1) imply  $\rho_s = d_s$  and  $\epsilon_s = v_s$  for any proper cluster  $\epsilon$  (Remark [3.13\)](#page-13-1). In particular,  $\Sigma_{C_{K^{nr}}}^{\text{rat}} = \Sigma_C$ . We will then say that  $\mathfrak{s} \in \Sigma_C$  is non-removable if  $\mathfrak{s}$  is proper and non-removable as rational cluster in  $\Sigma_{K^{nr}}$ .

**Lemma 4.26.** *Suppose k finite and*  $p > 2$ *. Assume C is semistable and let*  $\mathfrak{s} \in \Sigma_c$  *be a non-removable cluster. Then*  $d_s \in \frac{1}{2}\mathbb{Z}$  and  $v_s \in \mathbb{Z}$ . Moreover,  $s$  is contractible if and only if  $d_s \notin \mathbb{Z}$  or  $v_s \notin 2\mathbb{Z}$ .

*Proof.* Let  $\mathfrak{s} \in \Sigma_c$  be a non-removable cluster. Since  $K(\mathfrak{R})/K$  has ramification degree at most 2, then  $d_{\mathfrak{s}} \in \frac{1}{2}\mathbb{Z}.$ 

By Theorem [4.23](#page-27-0) the multiplicity of the 1-dimensional scheme  $\Gamma_{\rm s}$  is  $m_{\rm s}$ . Furthermore,  $\Gamma_{\rm s}$  is an irreducible component of the special fibre of the minimal regular model of  $C$  if and only if  $\epsilon$  is not contractible. Therefore if  $\mathfrak{s}$  is not contractible, then  $m_s = 1$ , that is  $D_s = 2$  and  $b_s = 1$ . It follows that  $v_s \in 2\mathbb{Z}$ and  $d_s \in \mathbb{Z}$ . Suppose  $\mathfrak s$  contractible. Then either  $d_s \notin \mathbb{Z}$  (and  $v_s \in \mathbb{Z}$ ) or  $\mathfrak s = \mathfrak R$  of size  $2g + 2$ , with 2 odd rational children and  $v(c_f)$  odd. We want to show that in the latter case,  $v_s$  is odd. By Lemma [3.18,](#page-14-1)  $d_{\Re} \in \mathbb{Z}$ . Then  $v_{\Re} = v(c_f) + (2g + 2)d_{\Re}$  is odd.  $\Box$ 

Let  $s \in \Sigma_c$  be a non-removable cluster. By Lemma [4.26,](#page-28-2) if s is not contractible, then  $2g(s) + 1$  or  $2g(s) + 2$  equals the number of odd children of s. In fact, this also holds when s is contractible since in that case  $g(s) = 0$  and s has at most 2 odd children.

**Corollary 4.27** (Minimal regular model (semistable reduction))*. Suppose that k is finite and p* > 2*. Let C*/*K be a semistable hyperelliptic curve of genus*  $g \geq 2$ *. The minimal regular model*  $C^{\min}/O_{K^{nr}}$  *of C has special fibre C*min *<sup>s</sup>* /*k*<sup>s</sup> *described as follows:*

- <span id="page-29-1"></span>(1) *Every non-removable cluster*  $\mathfrak{s} \in \Sigma_c$  *gives a* 1*-dimensional subscheme*  $\Gamma_{\mathfrak{s}}$ *. If*  $\mathfrak{s}$  *is übereven, then*  $\Gamma_s$  *is the disjoint union of*  $\Gamma_s^{r_{s,-}} \simeq \mathbb{P}^1$  *and*  $\Gamma_s^{r_{s,+}} \simeq \mathbb{P}^1$ *, otherwise*  $\Gamma_s$  *is irreducible of genus*  $g(s)$  $(write \Gamma_s^{r_{s,-}} = \Gamma_s^{r_{s,+}} = \Gamma_s$  *in this case). The indices*  $r_{s,-}$  *and*  $r_{s,+}$  *are the roots of*  $\overline{g_s}$ *.*
- (2) *Every odd proper cluster*  $\mathfrak{s} \in \Sigma_c$ *, with size*  $|\mathfrak{s}| \leq 2g$ *, gives a chain of*  $\mathbb{P}^1$ *s of length*  $\left\lfloor \frac{d_{\mathfrak{s}}-d_{P(\mathfrak{s})}-1}{2} \right\rfloor$ *from*  $\Gamma_{\rm s}$  *to*  $\Gamma_{\rm p(s)}$  *indexed by the root of*  $\overline{g_{\rm s}}$ *.*
- (3) *Every even proper cluster*  $s \in \Sigma_c$ , with size  $|s| \leq 2g$ , gives a chain of  $\mathbb{P}^1$ s of length  $\left\lfloor d_s - d_{P(s)} - \frac{1}{2} \right\rfloor$  *from*  $\Gamma_s^{r_{s,-}}$  *to*  $\Gamma_{P(s)}^{r_{P(s,-}}$  *indexed by*  $r_{s,-}$  *and a chain of*  $\mathbb{P}^1 s$  *of same length from*  $\int_{\mathfrak{s}}^{r_{\mathfrak{s},+}}$  *to*  $\int_{P(\mathfrak{s})}^{r_{P(\mathfrak{s}),+}}$  *indexed by*  $r_{\mathfrak{s},+}$ *.*
- (4) *Finally, blow down all*  $\Gamma_s$  *where*  $\mathfrak s$  *is a contractible cluster.*

*All components have multiplicity* 1*, and the absolute Galois group Gk acts naturally, as in Theorem* [4.23](#page-27-0)*.*

*Proof.* Let  $s \in \Sigma_c$  be a non-removable cluster. From Lemma [4.26,](#page-28-2) if s is not contractible, then  $D_s = 2$ ,  $\gamma_{s} s_{s} \in \mathbb{Z}$  and  $\gamma_{s}^{0} s_{s}^{0} \in \mathbb{Z}$ . Suppose  $\mathfrak{s}$  contractible. If  $|\mathfrak{s}| = 2$  with  $d_{s} \notin \mathbb{Z}$  (case [\(1\)](#page-26-9) of Definition [4.20\)](#page-26-1), then  $\gamma_s^0 s_s^0 \in \mathbb{Z}$  and  $\gamma_s = 1$ ,  $s_s \in \frac{1}{2}\mathbb{Z} \setminus \mathbb{Z}$  and so  $s_s - d_s + d_{P(s)} \in \mathbb{Z}$ , as  $P(s)$  can not be contractible. If  $s = \mathfrak{R}$ (cases [\(2\)](#page-27-6), [\(3\)](#page-26-11) of Definition [4.20\)](#page-26-1), then  $v(c_f)$  is odd, and so  $\gamma_s = 2$  and  $\gamma_s s_s \in \mathbb{Z}$ . Therefore (2), [\(4\)](#page-27-7) and [\(5\)](#page-27-3) of Theorem [4.23](#page-27-0) do not give any components.

Finally, as  $\gamma_s = 1$  and  $p_s \frac{d_s - d_{P(s)}}{2} \in \frac{1}{2}\mathbb{Z}$  for any proper s with size  $|s| \leq 2g$  (i.e. non-maximal), the length of  $\mathbb{P}^1(\gamma_{\mathfrak{s}}, s_{\mathfrak{s}}, s_{\mathfrak{s}} - p_{\mathfrak{s}} \cdot \frac{d_{\mathfrak{s}} - d_{P(\mathfrak{s})}}{2})$  is

$$
\left\lfloor \gamma_{s} S_{s} - \gamma_{s} \left( S_{s} - p_{s} \cdot \frac{d_{s} - d_{P(s)}}{2} \right) - \frac{1}{2} \right\rfloor = \left\lfloor p_{s} \cdot \frac{d_{s} - d_{P(s)}}{2} - \frac{1}{2} \right\rfloor.
$$

<span id="page-29-0"></span>The Corollary then follows from Theorem [4.23.](#page-27-0)

## **5. Construction of the model**

We are going to construct a proper flat model  $C/O_K$  of *C* by glueing models defined in [\[1,](#page-55-1) §4]. For this reason we will assume the reader has familiarity with the definitions and the results presented in that paper. Let us start this section by describing the strategy we will follow.

Let  $\Sigma^{\min}_C$  be the set of rationally minimal clusters of *C* and let  $\Sigma\subseteq\Sigma^{\min}_C$  non-empty. For any cluster  $\mathfrak s\in\mathbb C$  $\Sigma$  fix a rational centre  $w_s$  in such a way that  $\hat{\Sigma}_C^{w_s}$  consists of the proper clusters in  $\Sigma_C^{w_s}$ . This requirement can be satisfied by choosing  $w_s \in \mathfrak{s}$  whenever possible.<sup>3</sup> Let *W* be the set of all such rational centres and define  $\Sigma^W := \bigcup_{w \in W} \Sigma^w_C$ . For every proper cluster  $\mathfrak{t} \in \Sigma^W$  fix a rational centre  $w_{\mathfrak{t}} \in W$  (Lemma [3.14\)](#page-13-0). For every  $w \in W$ , consider the curve  $C^w$ :  $y^2 = f(x + w)$ , isomorphic to *C*, and construct the (proper flat) model  $C^w_\Delta/O_K$  by [\[1,](#page-55-1) §4, Theorem 3.14]. We will define an open subscheme  $\mathcal{C}^w_\Delta$  of  $\mathcal{C}^w_\Delta$  and we will show that glueing the schemes  $\mathring{\mathcal{C}}_{\Delta}^w$ , to varying of  $w \in W$ , along common opens, gives a proper flat model  $\mathcal{C}/O_K$ of *C*. Furthermore, if  $\Sigma = \Sigma_c^{\min}$ , and *C* is *y*-regular and has an almost rational cluster picture, then  $\mathcal{C}_{\Delta}^w$  is an open regular subscheme of  $C^w_\Delta$  and therefore *C* is also regular.

# <span id="page-30-0"></span>*5.1. Charts*

Let  $\Sigma = \{\mathfrak{s}_1 \ldots, \mathfrak{s}_m\} \subseteq \Sigma_c^{\text{min}}$  be a non-empty set of rationally minimal clusters and let  $W = \{w_1, \ldots, w_m\}$ be a set of corresponding rational centres, such that  $\mathring{\Sigma}_C^{w_h}$  consists of the proper clusters of  $\Sigma_C^{w_h}$ , for any  $h = 1, \ldots, m$ . Define  $\Sigma^W := \bigcup_{h=1}^m \Sigma_C^{w_h}$ . For any  $h, l = 1, \ldots, m, h \neq l$ , define  $w_{hl} := w_h - w_l$ , and write  $w_{hl} = u_{hl} \pi^{\rho_{hl}}$ , where  $u_{hl} \in O_K^{\times}$  and  $\rho_{hl} \in \mathbb{Z}$ . Note that  $\rho_{hl} = \rho_{s_h \wedge s_l} = \rho_{lh}$ , by Lemma [3.18.](#page-14-1) Set  $u_{hh} := 0$ . Finally, for any  $h, l = 1, \ldots, m$ , denote by  $\overline{u_{hl}} \in k$  the reduction of  $u_{hl}$  modulo  $\pi$ .

**Definition 5.1.** *Let*  $h = 1, \ldots, m$  *and let*  $t \in \sum_{C}^{w_h}$  *be a proper cluster. Recall the matrices and cones defined in [\[1,](#page-55-1) §4]. We say that a matrix M is associated to* t *if either*

- (i)  $M = M_{L,i}$ *, with*  $L = L_1^{w_h}$  *and*  $i = 0, \ldots, r_L$  *or*
- (ii)  $M = M_{V,j}$ *, with*  $V = V_t^{w_h}$  *and*  $i = 0, \ldots, r_V$  *or*
- (iii)  $M = M_{V_0,j}$ *, with*  $V_0 = V_0^{w_h}$  *and*  $j = 0, \ldots, r_{V_0}$ *, if*  $t = s_h$ *.*

*For a matrix M associated to t we denote by*  $\delta_M$  *and*  $\sigma_M$  *respectively* 

- (i) the denominator  $\delta_{L_1^{w_h}}$  and the cone  $\sigma_{L_1^{w_h},i,i+1}$  if  $M = M_{L_1^{w_h},i}$
- (ii) the denominator  $\delta_{V_t^{w_h}}$  and the cone  $\sigma_{V_t^{w_h},j+1}$  if  $M = M_{V_t^{w_h},j}$ ,
- (iii) *the denominator*  $\delta_{V_0^{w_h}}$  *and the cone*  $\sigma_{V_0^{w_h},j+1}$  *if*  $M = M_{V_0^{w_h},j}$ .

*Finally, define*  $X_M = \text{Spec } O_K[\sigma_M^{\vee} \cap \mathbb{Z}^3]$  *and write* 

<span id="page-30-2"></span><span id="page-30-1"></span>
$$
X_{\Delta}^h=\bigcup X_M,
$$

*for the toric scheme defined in [[1](#page-55-1), §4.2] from the Newton polytope*  $\Delta_v^{w_h}$  associated to the curve  $C^{w_h}$ . *Therefore, by Lemma* [4.3](#page-22-2), the union runs through every proper cluster  $t \in \sum_{C}^{w_h}$  and all matrices M *associated to* t*.*

The following Lemma describes all possible matrices associated to t.

**Lemma 5.2.** Let  $\mathfrak{t} \in \Sigma_c^{w_h}$  be a proper cluster. Consider the *v*-face  $F_{\mathfrak{t}}^{w_h}$ . Let  $P_0, P_1 \in \mathbb{Z}^2$  and  $n_i, d_i, k_i \in \mathbb{Z}$ *be as in [*[1](#page-55-1)*, §4] and define*

$$
\delta := \delta_M, \quad \gamma_i := \frac{n_0 d_i - n_i d_0}{\delta d_0} \quad \text{ and } \quad T_i := \begin{pmatrix} \frac{1}{\delta} & -k_i & k_{i+1} \\ 0 & \delta & 0 \\ 0 & 0 & \delta \end{pmatrix},
$$

*for each matrix M associated to* t*.*

<span id="page-30-3"></span><sup>&</sup>lt;sup>3</sup>This is the assumption used in Theorem [4.18.](#page-26-0)

• Let *c* be the unique element of  $\{0, \ldots, b_t - 1\}$  such that  $\frac{1}{b_t} - \rho_t \cdot c = d \in \mathbb{Z}$ . For  $L = L_t^{w_h}$  and *for all*  $i = 0, \ldots, r_L$ *, choose*  $k_i = cn_i + d\delta d_i(\lfloor t/2 \rfloor + 1)$ ; then  $M_{L,i}$  and  $M_{L,i}^{-1}$  are respectively

$$
\begin{pmatrix}\n\delta & -c\delta d_i \left( \frac{\epsilon_1}{2} + \gamma_i \right) & c\delta d_{i+1} \left( \frac{\epsilon_1}{2} + \gamma_{i+1} \right) \\
0 & d_i & -d_{i+1} \\
-\delta \rho_t & -d\delta d_i \left( \frac{\epsilon_1}{2} + \gamma_i \right) & d\delta d_{i+1} \left( \frac{\epsilon_1}{2} + \gamma_{i+1} \right)\n\end{pmatrix}, \n\qquad\nT_i \cdot \begin{pmatrix}\n1 & \left\lfloor \frac{|t|}{2} \right\rfloor + 1 & 0 \\
d_{i+1} \rho_t & \frac{d_{i+1}\epsilon_t}{2} + \gamma_{i+1} & d_{i+1} \\
d_i \rho_t & \frac{d_i \epsilon_t}{2} + \gamma_i & d_i\n\end{pmatrix},
$$

 $where P_0 = (\vert \mathbf{t} \vert, 0), P_1 = (\vert \mathbf{t} \vert - 1/2], 1)$  *and*  $\delta = \delta_L = b_t$ .

• If t is odd, then for  $V = V_t^{w_h}$  and for all  $j = 0, \ldots, r_V$ , the matrices  $M_{V,j}$  and  $M_{V,j}^{-1}$  are respectively

$$
\begin{pmatrix} -|{\mathfrak t}| & -\frac{|{\mathfrak t}|+1}{2}d_j & \frac{|{\mathfrak t}|+1}{2}d_{j+1} \\ 2 & d_j & -d_{j+1} \\ -\epsilon_{\mathfrak t}+|{\mathfrak t}| \rho_{\mathfrak t} & n_j & -n_{j+1} \end{pmatrix}, \qquad T_j \cdot \begin{pmatrix} 1 & \frac{|{\mathfrak t}|+1}{2} & 0 \\ d_{j+1}\rho_{\mathfrak t}-2\cdot \gamma_{j+1} & \frac{d_{j+1}\epsilon_{\mathfrak t}}{2}-|{\mathfrak t}| \cdot \gamma_{j+1} & d_{j+1} \\ d_j \rho_{\mathfrak t}-2\cdot \gamma_j & \frac{d_{j}\epsilon_{\mathfrak t}}{2}-|{\mathfrak t}| \cdot \gamma_j & d_j \end{pmatrix},
$$

*where*  $P_0 = (\vert \mathbf{t} \vert, 0)$ ,  $P_1 = (\vert \mathbf{t} \vert - 1/2 \vert, 1)$ ,  $\delta = \delta_V = 1$  and  $k_j = k_{j+1} = 0$ .

• If t is even, then for  $V = V_t^{w_h}$  and for all  $j = 0, \ldots, r_v$ , the matrices  $M_{V,j}$  and  $M_{V,j}^{-1}$  are *respectively*

$$
\begin{pmatrix}\n-\delta \frac{|\mathfrak{t}|}{2} & -(\frac{|\mathfrak{t}|}{2}+1) d_j - k_j \frac{|\mathfrak{t}|}{2} & (\frac{|\mathfrak{t}|}{2}+1) d_{j+1} + k_{j+1} \frac{|\mathfrak{t}|}{2} \\
\delta & d_j + k_j & -d_{j+1} - k_{j+1} \\
-\delta \frac{\epsilon \mathfrak{t} - |\mathfrak{t}|\rho \mathfrak{t}}{2} & \frac{n_j}{\delta} - k_j \frac{\epsilon \mathfrak{t} - |\mathfrak{t}|\rho \mathfrak{t}}{2} & -\frac{n_{j+1}}{\delta} + k_{j+1} \frac{\epsilon \mathfrak{t} - |\mathfrak{t}|\rho \mathfrak{t}}{2}\n\end{pmatrix},
$$
\n
$$
T_j \cdot \begin{pmatrix}\n1 & \frac{|\mathfrak{t}|}{2} + 1 & 0 \\
d_{j+1} \rho \mathfrak{t} - \gamma_{j+1} & \frac{d_{j+1} \epsilon \mathfrak{t}}{2} - \frac{|\mathfrak{t}|}{2} \gamma_{j+1} & d_{j+1} \\
d_j \rho \mathfrak{t} - \gamma_j & \frac{d_j \epsilon \mathfrak{t}}{2} - \frac{|\mathfrak{t}|}{2} \gamma_j & d_j\n\end{pmatrix},
$$

 $where P_0 = (\vert \mathbf{t} \vert, 0), P_1 = (\vert \mathbf{t} \vert - 1/2], 1)$  *and*  $\delta = \delta_V$ *.* 

• If  $f(w_h) = 0$ , then for  $V_0 = V_0^{w_h}$  and for all  $j = 0, \ldots, r_{V_0}$ , the matrices  $M_{V_0,j}$  and  $M_{V_0,j}^{-1}$  are *respectively*

$$
\begin{pmatrix} 1 & d_j & -d_{j+1} \\ -2 & -d_j & d_{j+1} \\ \epsilon_{\mathfrak{s}_h} - \rho_{\mathfrak{s}_h} & n_j & -n_{j+1} \end{pmatrix}, \qquad T_j \cdot \begin{pmatrix} -1 & -1 & 0 \\ d_{j+1} \rho_{\mathfrak{s}_h} + 2 \cdot \gamma_{j+1} & \frac{d_{j+1} \epsilon_{\mathfrak{s}_h}}{2} + \gamma_{j+1} & d_{j+1} \\ d_j \rho_{\mathfrak{s}_h} + 2 \cdot \gamma_j & \frac{d_j \epsilon_{\mathfrak{s}_h}}{2} + \gamma_j & d_j \end{pmatrix},
$$

*where*  $P_0 = (0, 2)$ *,*  $P_1 = (1, 1)$ *,*  $\delta = \delta_{V_0} = 1$  *and*  $k_j = k_{j+1} = 0$ *.* 

• If  $f(w_h) \neq 0$ , then for  $V_0 = V_0^{w_h}$  and for all  $j = 0, \ldots, r_{V_0}$ , the matrices  $M_{V_0,j}$  and  $M_{V_0,j}^{-1}$  are *respectively*

$$
\begin{pmatrix} 0 & d_j & -d_{j+1} \\ -\delta & -d_j - k_j & d_{j+1} + k_{j+1} \\ \delta \frac{\epsilon_{s_h}}{2} & \frac{n_j}{\delta} + k_j \frac{\epsilon_{s_h}}{2} & -\frac{n_{j+1}}{\delta} - k_{j+1} \frac{\epsilon_{s_h}}{2} \end{pmatrix}, \qquad T_j \cdot \begin{pmatrix} -1 & -1 & 0 \\ d_{j+1} \rho_{s_h} + \gamma_{j+1} & \frac{d_{j+1} \epsilon_{s_h}}{2} & d_{j+1} \\ d_j \rho_{s_h} + \gamma_j & \frac{d_j \epsilon_{s_h}}{2} & d_j \end{pmatrix},
$$
  
\n $P_0 = (0, 2), P_1 = (1, 1)$  and  $\delta = \delta_{V_0}$ .

*Proof.* We follow the notation of [\[1,](#page-55-1) §4]. Choose  $P_0, P_1 \in \mathbb{Z}^2$  as in the proof of Lemma [4.3.](#page-22-2) First consider the edge  $L_t^{w_h}$  of  $F_t^{w_h}$ . From Lemma [4.3](#page-22-2) we have

$$
v = (1, 0, -\rho_t)
$$
 and  $(w_x, w_y) = (-\lfloor |t|/2 \rfloor - 1, 1)$ .

Then  $M_{L_t^{w_h},i}$  and  $M_{L_t^{w_h},i}^{-1}$  follow from [\[1,](#page-55-1) §4.3] as  $k_i \equiv n_i(\delta \rho_t)^{-1} \mod \delta$  and

$$
\frac{n_0}{\delta d_0} = \frac{1}{\delta} s_1^{v_1^{w_h}} = v_{F_1^{w_h}}(P_1) - v_{F_1^{w_h}}(P_0) = -\frac{\epsilon_t}{2} + (\lfloor |t|/2 \rfloor + 1) \rho_t
$$

 $where$ 

Now assume t even and consider the edge  $V_t^{w_h}$  of  $F_t^{w_h}$ . Since t is even,

$$
V_t^{w_h}(\mathbb{Z}) = \left\{ (|t|, 0), \left( \frac{|t|}{2}, 1 \right), (0, 2) \right\}, \quad \nu = \left( -\frac{|t|}{2}, 1, -\frac{\epsilon_t}{2} + \frac{|t|}{2} \rho_t \right)
$$

and  $(w_x, w_y) = \left(-\frac{|t|}{2} - 1, 1\right)$  as above. Then  $M_{V_t^{w_h}, j}$  and  $M_{V_t^{w_h}, j}^{-1}$  follow again from [\[1,](#page-55-1) (4.3)] as

$$
\frac{n_0}{\delta d_0} = \frac{1}{\delta} s_1^{v_1^{w_h}} = v_{F_t^{w_h}}(P_1) - v_{F_t^{w_h}}(P_0) = -\frac{\epsilon_t}{2} + \left(\frac{|t|}{2} + 1\right)\rho_t.
$$

Similar arguments and computations yield the remaining matrices.

**Remark 5.3.** *From the Lemma above one can explicitly construct the charts of the model*  $C_{\Delta}^{w_h}$ . *The description of its special fibre*  $C^{\text{w}_h}_{\Delta,s}$  *which follows from [[1](#page-55-1), Theorem 3.14], matches the one given in Theorem* [4.18](#page-26-0) *in the case*  $W = \{w_h\}$ .

## <span id="page-32-0"></span>*5.2. Open subschemes*

Let  $h = 1, \ldots, m$  and let  $\mathfrak{t} \in \Sigma_c^{w_h}$  be a proper cluster. Let *M* be a matrix associated to t. Write

$$
M = \begin{pmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ m_{31} & m_{32} & m_{33} \end{pmatrix}
$$
 and 
$$
M^{-1} = \begin{pmatrix} \tilde{m}_{11} & \tilde{m}_{12} & \tilde{m}_{13} \\ \tilde{m}_{21} & \tilde{m}_{22} & \tilde{m}_{23} \\ \tilde{m}_{31} & \tilde{m}_{32} & \tilde{m}_{33} \end{pmatrix}
$$

Recall that  $X_M$  = Spec *R*, where

$$
R=\frac{O_K[X^{\pm 1},Y,Z]}{(\pi-X^{\tilde{m}_{13}}Y^{\tilde{m}_{23}}Z^{\tilde{m}_{33}})}\hookrightarrow \frac{O_K[X^{\pm 1},Y^{\pm 1},Z^{\pm 1}]}{(\pi-X^{\tilde{m}_{13}}Y^{\tilde{m}_{23}}Z^{\tilde{m}_{33}})}\stackrel{M}{\simeq}K[x^{\pm 1},y^{\pm 1}],
$$

via the change of variable

$$
\begin{pmatrix} X \\ Y \\ Z \end{pmatrix} = \begin{pmatrix} x^{m_{11}} y^{m_{21}} \pi^{m_{31}} \\ x^{m_{12}} y^{m_{22}} \pi^{m_{32}} \\ x^{m_{13}} y^{m_{23}} \pi^{m_{33}} \end{pmatrix} = \begin{pmatrix} x \\ y \\ z \end{pmatrix} \bullet M, \quad \begin{pmatrix} x \\ y \\ \pi \end{pmatrix} = \begin{pmatrix} X^{\tilde{m}_{11}} Y^{\tilde{m}_{21}} Z^{\tilde{m}_{31}} \\ X^{\tilde{m}_{12}} Y^{\tilde{m}_{22}} Z^{\tilde{m}_{32}} \\ X^{\tilde{m}_{13}} Y^{\tilde{m}_{23}} Z^{\tilde{m}_{33}} \end{pmatrix} = \begin{pmatrix} X \\ Y \\ Z \end{pmatrix} \bullet M^{-1}.
$$

Let  $l \neq h$ . Set

$$
T_{M}^{hl}(X, Y, Z) := \begin{cases} 1 + u_{hl} X^{\rho_{hl}\tilde{m}_{13} - \tilde{m}_{11}} Y^{\rho_{hl}\tilde{m}_{23} - \tilde{m}_{21}} Z^{\rho_{hl}\tilde{m}_{33} - \tilde{m}_{31}} & \text{if } \mathfrak{t} \supseteq \mathfrak{s}_h \wedge \mathfrak{s}_l, \\ u_{hl}^{-1} X^{\tilde{m}_{11} - \rho_{hl}\tilde{m}_{13}} Y^{\tilde{m}_{21} - \rho_{hl}\tilde{m}_{23}} Z^{\tilde{m}_{31} - \rho_{hl}\tilde{m}_{33}} + 1 & \text{if } \mathfrak{t} \not\supseteq \mathfrak{s}_h \wedge \mathfrak{s}_l, \end{cases}
$$

element of *R*[*Y*<sup>−</sup><sup>1</sup> , *Z*<sup>−</sup><sup>1</sup> ]. Note that

$$
\text{if } \mathfrak{t} \supseteq \mathfrak{s}_h \wedge \mathfrak{s}_l \quad \text{then } \quad T_M^{hl}(X, Y, Z) \xmapsto \frac{x + w_{hl}}{x},
$$
\n
$$
\text{if } \mathfrak{t} \not\supseteq \mathfrak{s}_h \wedge \mathfrak{s}_l \quad \text{then } \quad T_M^{hl}(X, Y, Z) \xmapsto \frac{x + w_{hl}}{w_{hl}}.
$$

The following two lemmas prove that  $T_M^{hl}(X, Y, Z) \in R$ . Therefore, up to units,  $T_M^{hl}(X, Y, Z)$  can be seen as the polynomial in  $O_K[X^{\pm 1}, Y, Z]$  satisfying

<span id="page-32-1"></span>
$$
x-w_{hl} \stackrel{M}{=} X^{n_X} Y^{n_Y} Z^{n_Z} T_M^{hl}(X, Y, Z),
$$

with  $n_X, n_Y, n_Z \in \mathbb{Z}$ , such that  $\text{ord}_Y(T_M^{hl}) = \text{ord}_Z(T_M^{hl}) = 0$ .

**Lemma 5.4.** Let  $h, l = 1, ..., m$ , with  $h \neq l$ , let  $t \in \sum_{c}^{w_h}$  be such that  $t \supseteq s_h \wedge s_l$  and let M be a matrix *associated to* t*. Then*

$$
\rho_{hi}\tilde{m}_{23}-\tilde{m}_{21}\geq\rho_{t}\tilde{m}_{23}-\tilde{m}_{21}\geq 0 \text{ and } \rho_{hi}\tilde{m}_{33}-\tilde{m}_{31}\geq\rho_{t}\tilde{m}_{33}-\tilde{m}_{31}\geq 0.
$$

*Furthermore if*  $M = M_{L_t^{w_h},i}$  *then* 

- $\rho_{hl} \tilde{m}_{23} \tilde{m}_{21} = 0$  *if and only if*  $i = r_{L_1^{w_h}}$  *or*  $t = s_h \wedge s_h$
- $\rho_{hl}\tilde{m}_{33} \tilde{m}_{31} = 0$  *if and only if*  $\mathfrak{t} = \mathfrak{s}_h \wedge \mathfrak{s}_l$ ;

 $if M = M_{V_t^{w_h}}$ <sub>*i*</sub> *then* 

- $\rho_{bl} \tilde{m}_{23} \tilde{m}_{21} > 0$ ,
- $\rho_{hl} \tilde{m}_{33} \tilde{m}_{31} = 0$  *if and only if*  $t = s_h \wedge s_l$  *and*  $i = 0$ *.*

*Proof.* This result follows from Lemma [5.2,](#page-30-2) which gives a complete description of *M* and *M*<sup>−</sup><sup>1</sup> . We show it when t is even and  $M = M_{V_k^w}$ , and leave the other cases for the reader. First of all recall that  $\rho_{hl} = \rho_{s_h \wedge s_l}$  by Lemma [3.18.](#page-14-1) Then

$$
\rho_{hl} \tilde{m}_{23} - \tilde{m}_{21} = \delta \left( d_{j+1} \left( \rho_{hl} - \rho_t \right) + \gamma_{j+1} \right) > \delta d_{j+1} \left( \rho_{\mathfrak{s}_h \wedge \mathfrak{s}_l} - \rho_t \right) \geq 0,
$$

where  $\gamma_j = \frac{n_0 d_j - n_j d_0}{\delta d_0}$  and  $\delta = \delta_M$ . Similarly,

$$
\rho_{hl}\tilde{m}_{33}-\tilde{m}_{31}=\delta\left(d_j\left(\rho_{hl}-\rho_t\right)+\gamma_j\right)\geq \delta d_j\left(\rho_{\mathfrak{s}_h\wedge\mathfrak{s}_l}-\rho_t\right)\geq 0.
$$

<span id="page-33-0"></span>In particular  $\rho_h \tilde{m}_{33} - \tilde{m}_{31} = 0$  if and only if  $t = \tilde{\sigma}_h \wedge \tilde{\sigma}_l$  and  $j = 0$ .

**Lemma 5.5.** Let  $t \in \sum_{C}^{w_h}$  be a proper cluster such that  $t \not\supseteq s_h \wedge s_l$ , and let M be a matrix associated to t*. Then*

$$
\tilde{m}_{21} - \rho_{hl} \tilde{m}_{23} \ge 0
$$
 and  $\tilde{m}_{31} - \rho_{hl} \tilde{m}_{33} > 0$ .

*Furthermore,*  $\tilde{m}_{21} - \rho_{hl} \tilde{m}_{23} = 0$  *if and only if* 

•  $M = M_{L_t^{w_h},i}$  and  $i = r_{L_t^{w_h},i}$  or •  $t < s_h \wedge s_l$ ,  $M = M_{V_k^{w_h},j}$ , and  $j = r_{V_k^{w_h}}$ .

*Proof.* This result follows again from Lemma [5.2.](#page-30-2) As in the previous lemma, we show it when t is even and  $M = M_{V_t^{w_h}}$ , and leave the other cases for the reader.

Let  $r = r_{V_1^{w_h}}$ . Note that  $t \neq \Re$ . Set  $\delta = \delta_M$  and  $\gamma_j = \frac{n_0 d_j - n_j d_0}{\delta d_0}$ . Then

$$
\tilde{m}_{31}-\rho_{hi}\tilde{m}_{33}=\delta\left(d_j\left(\rho_t-\rho_{hl}\right)-\gamma_j\right)>\delta d_j\left(\rho_{P(t)}-\rho_{s_h\wedge s_l}\right)\geq 0.
$$

since  $d_i > 0$  and  $\gamma_i/d_i < \gamma_{r+1}/d_{r+1} = \rho_t - \rho_{P(t)}$ . Similarly,

$$
\tilde{m}_{21} - \rho_{hl}\tilde{m}_{23} = \delta \left(d_{j+1} \left(\rho_t - \rho_{hl}\right) - \gamma_{j+1}\right) \geq \delta d_{j+1} \left(\rho_{P(t)} - \rho_{s_h \wedge s_l}\right) \geq 0,
$$

In particular  $\tilde{m}_{21} - \rho_{hl}\tilde{m}_{23} = 0$  if and only if  $t < \mathfrak{s}_h \wedge \mathfrak{s}_l$  and  $j = r$ .

Let

$$
T_M^h(X, Y, Z) := \prod_{l \neq h} T_M^{hl}(X, Y, Z),
$$

and define

$$
V_M^h := \operatorname{Spec} R[T_M^h(X, Y, Z)^{-1}] \subset X_M, \quad \text{and} \quad X_\Delta^h := \bigcup_{\mathfrak{t}, M} V_M^h \subseteq X_\Delta^h,
$$

where t runs through all proper clusters in  $\Sigma_c^{w_h}$  and *M* runs through all matrices associated to t. We can then define the subscheme

$$
\mathring{\mathcal{C}}_{\Delta}^{w_h} := \mathcal{C}_{\Delta}^{w_h} \cap X_{\Delta}^h \subset X_{\Delta}^h,
$$

where  $\mathcal{C}_{\Delta}^{w_h}/O_K$  is the model of the hyperelliptic curve  $C^{w_h}: y^2 = f(x + w_h)$  described in [\[1,](#page-55-1) Theorem 3.14] (see [\[1,](#page-55-1) §4] for the construction). Explicitly, let  $g_h(x, y) := y^2 - f(x + w_h)$  and define  $\mathcal{F}_M^h \in O_K[X^{\pm 1}, Y, Z]$ 

 $\Box$ 

such that  $\text{ord}_Y(\mathcal{F}_M^h) = \text{ord}_Z(\mathcal{F}_M^h) = 0$ , with all non-zero coefficients in  $O_K^{\times}$ , satisfying

$$
y^2 - f(x + w_h) \stackrel{M}{=} Y^{n_{Y,h}} Z^{n_{Z,h}} \mathcal{F}_M^h(X, Y, Z),
$$

for unique  $n_{Y,h}, n_{Z,h} \in \mathbb{Z}$ . Consider the subscheme

$$
U_M^h:=\text{ Spec } \frac{R\left[T_M^h(X,Y,Z)^{-1}\right]}{\left(\mathcal{F}_M^h(X,Y,Z)\right)}\subset V_M^h.
$$

Then

<span id="page-34-2"></span>
$$
\mathring{\mathcal{C}}_\Delta^{w_h} = \bigcup_{\mathfrak{t},M} U_M^h \subset X_\Delta^h,
$$

<span id="page-34-0"></span>where  $t$  runs through all proper clusters in  $\Sigma_c^{w_h}$  and  $M$  runs through all matrices associated to  $t$ , as before.

#### *5.3. Glueing*

Let  $h, l = 1, \ldots, m$ , with  $h \neq l$ . Consider the isomorphism

$$
\phi: K\left[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq l} (x + w_{lo})^{-1}\right] \stackrel{\simeq}{\longrightarrow} K\left[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq h} (x + w_{ho})^{-1}\right]
$$
(1)

sending  $x \mapsto x + w_h$ ,  $y \mapsto y$ . If  $t \supseteq s_h \wedge s_l$  and *M* is a matrix associated to t, then  $\phi$  gives a map

$$
R[Y^{-1}, Z^{-1}, T_M^l(X, Y, Z)^{-1}] \xrightarrow{M^{-1} \circ \phi \circ M} R[Y^{-1}, Z^{-1}, T_M^h(X, Y, Z)^{-1}],
$$

which sends

$$
F(X, Y, Z) \mapsto F(X \cdot T_M^{hl}(X, Y, Z)^{m_{11}}, Y \cdot T_M^{hl}(X, Y, Z)^{m_{12}}, Z \cdot T_M^{hl}(X, Y, Z)^{m_{13}}).
$$

Hence it induces the isomorphisms

<span id="page-34-1"></span>
$$
R[T^l_M(X, Y, Z)^{-1}] \stackrel{\simeq}{\longrightarrow} R[T^h_M(X, Y, Z)^{-1}], \qquad V^h_M \stackrel{\simeq}{\longrightarrow} V^l_M.
$$
 (2)

Via these maps we see that  $g_h(x, y) = Y^{n_{Y,h}}Z^{n_{Z,h}}\mathcal{F}_M^h(X, Y, Z)$  also equals

$$
Y^{n_{Y,l}}\cdot Z^{n_{Z,l}}\cdot (T_M^{hl})^{n_{Y,l}m_{12}+n_{Z,l}m_{13}}\mathcal{F}_M^l\left(X\cdot (T_M^{hl})^{m_{11}},Y\cdot (T_M^{hl})^{m_{12}},Z\cdot (T_M^{hl})^{m_{13}}\right),
$$

where  $T_M^{hl} = T_M^{hl}(X, Y, Z)$ . Since neither Y nor Z divide  $T_M^{hl}(X, Y, Z)$ , we have  $n_{Y,h} = n_{Y,l}$ ,  $n_{Z,h} = n_{Z,l}$  and

$$
\mathcal{F}_M^h(X, Y, Z) = (T_M^{h1})^{n_{Y, l} m_{12} + n_{Z, l} m_{13}} \mathcal{F}_M^l(X (T_M^{h1})^{m_{11}}, Y (T_M^{h1})^{m_{12}}, Z (T_M^{h1})^{m_{13}}).
$$

Hence [\(2\)](#page-34-1) induces the isomorphisms

<span id="page-34-3"></span>
$$
\frac{R\left[T_M^l(X,Y,Z)^{-1}\right]}{\left(\mathcal{F}_M^l(X,Y,Z)\right)} \xrightarrow{\simeq} \frac{R\left[T_M^h(X,Y,Z)^{-1}\right]}{\left(\mathcal{F}_M^h(X,Y,Z)\right)}, \qquad U_M^h \xrightarrow{\simeq} U_M^l.
$$
\n(3)

Define the subschemes

$$
V^{hl} := \bigcup_{\mathfrak{t}_l, M_l} V_{M_l}^h \subseteq X_\Delta^h, \qquad U^{hl} := V^{hl} \cap C_\Delta^{w_h} \subseteq \mathring{\mathcal{C}}_\Delta^{w_h},
$$

where  $t_i$  runs through all proper clusters in  $\Sigma_c^{w_h} \cap \Sigma_c^{w_l}$  (i.e.  $t_i \in \Sigma^W$ ,  $\mathfrak{s}_h \wedge \mathfrak{s}_l \subseteq \mathfrak{t}_l$ ) and  $M_l$  runs through all matrices associated to  $t_l$ . From  $(1)$ ,  $(2)$  and  $(3)$  we have isomorphisms of schemes

<span id="page-34-4"></span>
$$
V^{hl} \stackrel{\simeq}{\longrightarrow} V^{lh}, \qquad U^{hl} \stackrel{\simeq}{\longrightarrow} U^{lh}.\tag{4}
$$

Now,  $U^{hl} \subset V^{hl}$  are open subschemes respectively of  $\mathcal{C}_{\Delta}^{w_h} \subset X_{\Delta}^h$  for any  $l \neq h$ . Glueing the schemes  $\mathcal{C}_{\Delta}^{w_h} \subset$ *X*<sup>*h*</sup></sup>, to varying of *h* = 1, ..., *m*, respectively along the opens *U*<sup>*hl*</sup> ⊂ *V*<sup>*hl*</sup> via [\(4\)](#page-34-4) gives the schemes  $C \subset \mathcal{X}$ . We will show that  $C/O_K$  is a proper flat<sup>4</sup> model of *C*.

<span id="page-34-5"></span><sup>4</sup> Note that the flatness of *C* is trivial since it is a local property.

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 $\Box$ 

## *5.4. Generic fibre*

We start studying the generic fibre  $C_\eta$  of  $C$ . Since it is the glueing of all  $\mathcal{C}^{w_h}_{\Delta,\eta}$  through the glueing maps

$$
U_\eta^{hl}\longrightarrow U_\eta^{lh}
$$

induced by [\(4\)](#page-34-4), we start focusing on  $\mathcal{C}^{w_h}_{\Delta,\eta}$  for  $h=1,\ldots,m$ . In particular, as  $\mathcal{C}^{w_h}_{\Delta}$  is an open subscheme of  $\mathcal{C}_{\Delta}^{w_h}$ , we study  $\mathcal{C}_{\Delta,\eta}^{w_h} \setminus \mathcal{C}_{\Delta,\eta}^{w_h} = C^{w_h} \setminus \mathcal{C}_{\Delta,\eta}^{w_h}$ .

**Lemma 5.6.** *For any*  $h = 1, ..., m$ ,

<span id="page-35-0"></span>
$$
C^{w_h} \setminus \mathring{\mathcal{C}}_{\Delta,\eta}^{w_h} = \text{Spec } \frac{K[x,y]}{(g_h(x,y), \prod_{o \neq h} (x + w_{ho}))}.
$$

*Proof.* For every choice of a proper cluster  $t \in \sum_{C}^{w_h}$ , and *M* associated to t, let

$$
P_M:=\left(\mathcal{C}^{w_h}_{\Delta,\eta}\smallsetminus \mathcal{\mathring{C}}^{w_h}_{\Delta,\eta}\right)\cap X_M=\text{Spec}\; \frac{R\otimes_{\scriptscriptstyle O_K} K}{\left(\mathcal{F}^h_M(X,Y,Z),T^h_M(X,Y,Z)\right)}.
$$

To study  $P_M$  we are going to use Lemma [5.2](#page-30-2) and the definition of  $T_M^h(X, Y, Z)$ .

Suppose first  $\mathfrak{t} \neq \mathfrak{R}$  and  $M = M_{V_{\mathfrak{t}}^{w_h} j}$ . Then  $\tilde{m}_{23}, \tilde{m}_{33} > 0$ , so

$$
P_M = \text{Spec } \frac{R[Y^{-1}, Z^{-1}]}{(\mathcal{F}_M^h(X, Y, Z), T_M^h(X, Y, Z))} \stackrel{M}{\simeq} \text{Spec } \frac{K[x^{\pm 1}, y^{\pm 1}]}{(g_h(x, y), \prod_o (x + w_{ho}))},
$$
(5)

where the product runs over all  $o \neq h$ . Now let  $t = \Re$  and  $M = M_{V_t^{w_h}}$ , If  $j \neq r_{V_{\Re\chi}^{w_h}}$ , then  $P_M$  is as in the previous case (since  $\tilde{m}_{23}$ ,  $\tilde{m}_{33} > 0$ ). If  $j = r_{V_{93}^{w_h}}$ , then  $\tilde{m}_{33} > 0$ ,  $\tilde{m}_{23} = 0$ , but  $\rho_{hl} \tilde{m}_{23} - \tilde{m}_{21} > 0$  by Lemma [5.4.](#page-32-1) So from the definition of  $T_M^{\text{hl}}(X, Y, Z)$  we have once more the equality [\(5\)](#page-35-0). Similarly, if  $t = s_h$  and  $M =$  $M_{V_0^{w_h}}$ , then  $\tilde{m}_{33} > 0$ , and  $\tilde{m}_{21} - \rho_h \tilde{m}_{23} > 0$  by Lemma [5.5.](#page-33-0) Hence we have [\(5\)](#page-35-0) again.

It remains to study  $P_M$  when  $M = M_{L_t^{w_h},i}$ . If  $i \neq r_{L_t^{w_h}}$ , then  $\tilde{m}_{23}, \tilde{m}_{33} > 0$  and so  $P_M$  is as in [\(5\)](#page-35-0). Let  $i = r_{L_1^{w_h}}$ . Then  $\tilde{m}_{33} > 0$  but both  $\tilde{m}_{23}$  and  $\rho_h/\tilde{m}_{23} - \tilde{m}_{21}$  equal 0. Hence  $\tilde{m}_{23} = \tilde{m}_{21} = 0$ , which also implies  $m_{21} = m_{23} = 0$ . Therefore *M* defines an isomorphism  $R[Z^{-1}] \simeq K[x^{\pm 1}, y]$ , which induces

$$
P_M = \operatorname{Spec} \frac{R[Z^{-1}]}{(\mathcal{F}_M^h(X, Y, Z), T_M^h(X, Y, Z))} \stackrel{M}{\sim} \operatorname{Spec} \frac{K[x^{\pm 1}, y]}{(g_h(x, y), \prod_{\sigma \neq h} (x + w_{ho}))}.
$$

This concludes the proof.

Regarding  $C_{\Delta}^{w_h}$  as a model of *C* via the natural isomorphism  $C \rightarrow C^{w_h}$ , we get

$$
C \setminus \hat{\mathcal{C}}_{\Delta,\eta}^{w_h} = \text{Spec } \frac{K[x,y]}{\left(y^2 - f(x), \prod_{\sigma \neq h} (x - w_o)\right)}.
$$

<span id="page-35-3"></span>Thus the generic fibre of  $\mathcal C$  is isomorphic to  $C$ .

## *5.5. Special fibre*

We now study the structure of the special fibre  $C_s$  of  $C$ . As for the generic fibre, we consider

$$
\mathcal{C}^{w_h}_{\Delta,s}\smallsetminus \mathring{\mathcal{C}}^{w_h}_{\Delta,s},
$$

for any  $h = 1, \ldots, m$ . For every choice of a proper cluster  $\mathfrak{t} \in \sum_{C}^{w_h}$ , and *M* associated to  $\mathfrak{t}$ , let

$$
S_M:=\left(\mathcal{C}^{w_h}_{\Delta,s}\smallsetminus \mathcal{\mathring{C}}^{{w_h}}_{\Delta,s}\right)\cap X_M=\text{Spec }\frac{O_K[X^{\pm 1},Y,Z]}{\left(\mathcal{F}_M^h(X,Y,Z),T_M^h(X,Y,Z),Y^{\bar{m}_{23}}Z^{\bar{m}_{33}},\pi\right)}.
$$

<span id="page-35-2"></span><span id="page-35-1"></span>**Lemma 5.7.** Let  $M = M_{L,i}$  for  $L = L_t^{w_h}$ . Let  $l \neq h$ . If  $t = s_l \wedge s_h$ , then  $T_M^{hl}(X, Y, Z) = X^{-1}(X + u_{hl})$ , *otherwise*

- (i)  $T_M^{hl}(X, Y, 0) = 1$  *for*  $i = 0, \ldots, r_L$ ;
- <span id="page-36-0"></span>(ii)  $T_M^{hl}(X, 0, Z) = 1$  *for*  $i = 0, \ldots, r_L - 1$ .

*Proof.* Fix  $l \neq h$ . If  $t \not\supseteq s_l \wedge s_h$ , then by Lemma [5.5,](#page-33-0) we have  $\tilde{m}_{21} - \rho_{hl} \tilde{m}_{23} \geq 0$  and  $\tilde{m}_{31} - \rho_{hl} \tilde{m}_{33} > 0$ . Moreover, if  $\tilde{m}_{21} - \rho_{hl} \tilde{m}_{23} = 0$ , then  $i = r_l$ . Therefore the equalities in [\(i\)](#page-35-1) and [\(ii\)](#page-36-0) follow directly from the definition of  $T_M^{hl}$ .

On the other hand, if  $t \supsetneq s_l \wedge s_h$ , then by Lemma [5.4,](#page-32-1) we have  $\rho_{hl} \tilde{m}_{23} - \tilde{m}_{21} \geq 0$  and  $\rho_{hl} \tilde{m}_{33} - \tilde{m}_{31} > 0$ . Moreover, if  $\rho_{hi} \tilde{m}_{23} - \tilde{m}_{21} = 0$ , then  $i = r_L$ . Therefore we have [\(i\)](#page-35-1) and [\(ii\)](#page-36-0) again.

Finally, assume  $t = s_l \wedge s_h$ . Since  $\rho_t = \rho_{hl} \in \mathbb{Z}$ , then  $\rho_{hl} \tilde{m}_{13} - \tilde{m}_{11} = -1$ . Hence

$$
T_M^{hl}(X, Y, Z) = 1 + u_{hl} X^{-1} = X^{-1} (X + u_{hl}),
$$

<span id="page-36-2"></span>by Lemma [5.4.](#page-32-1)

**Lemma 5.8.** *Suppose*  $M = M_{L_t^{w_h},i}$ *. Then* 

$$
S_M = \text{Spec } \frac{O_K[X^{\pm 1}, Y, Z]}{(\mathcal{F}_M^h(X, Y, Z), \prod_i (X + u_{hl}), Y^{\tilde{m}_{23}} Z^{\tilde{m}_{33}}, \pi)} \subset C_{\Delta}^{w_h},
$$

*where the product runs over all*  $l \neq h$  *such that*  $t = s_l \wedge s_h$ *.* 

*Proof.* Lemma [5.2](#page-30-2) shows that  $\tilde{m}_{33}$  is always different from 0, while  $\tilde{m}_{23} = 0$  if and only if  $i = r_{L_1^{w_h}}$ . Thus the result follows from Lemma [5.7.](#page-35-2)

<span id="page-36-3"></span>**Lemma 5.9.** Let  $f_h(x) = f(x + w_h)$  and  $l \neq h$ . Let  $L_{hl} = L_{s_h \wedge s_l}^{w_h}$  and let  $t_l \in \sum_{c}^{w_l}$ ,  $t_l < s_h \wedge s_l$ . Then  $\overline{u_{lh}}$  is a *multiple root of*  $\overline{f_h|_{L_h}}$  *of order*  $|t_l|$ *.* 

 $Conversely, if \sum_{i=1}^{n} = \{s_1, \ldots, s_m\} = \sum_{i=1}^{m} C$  *has an almost rational cluster picture and*  $\bar{\alpha} \in \bar{k}$  *is a multiple root of*  $\overline{f_h|_L}$  *for some edge*  $L$  *of*  $NP(f_h)$ *, then*  $\overline{\alpha} = \overline{u_h}$  *and*  $L = L_{s_h \wedge s_l}^{w_h}$  *for some*  $l \neq h$ *.* 

*Proof.* For any proper cluster  $\mathfrak{s} \in \Sigma_f$ , let  $\lambda_{\mathfrak{s}} = \min_{r \in \mathfrak{s}} v(r - w_h)$ . Let  $\mathfrak{s} \in \Sigma_c^{w_l}$ , with  $\mathfrak{s}_l \subseteq \mathfrak{s} \subsetneq \mathfrak{s}_h \wedge \mathfrak{s}_l$ . Then *w<sub>h</sub>* is not rational centre of  $\mathfrak{s}$ , and for every root  $r \in \mathfrak{s}$ , one has

$$
v(r - w_h) = v(r - w_l + w_l - w_h) = \min\{v(r - w_l), \rho_{hl}\} = \rho_{hl},
$$

as  $v(r - w_l) \ge \rho_s > \rho_{hl}$ . Therefore  $\lambda_s = \rho_{hl} \in \mathbb{Z}$ . In particular,  $|\lambda_s|_p \le 1$ . Furthermore,

$$
d_s \ge \rho_s > \lambda_s = \rho_{hl}
$$
 and  $\frac{r - w_h}{\pi^{\rho_{hl}}} \equiv \frac{w_{lh}}{\pi^{\rho_{hl}}}$  mod  $\pi$ ,

and so Theorem [3.24](#page-16-4)[\(i\)](#page-1-2) implies that  $\overline{u_{lh}} = \frac{w_{lh}}{\pi^{\rho_{hl}}}$  mod  $\pi$  is a multiple root of  $\overline{f_h|_{L_{hl}}}$ , where  $L_{hl} = L_{s_h \wedge s_l}^{w_h}$ .

Let  $t_i \in \sum_{C}^{w_l}$ ,  $t_l < s_h \wedge s_l$ . Since  $s_l \subseteq t_l < s_h \wedge s_l$  we have

$$
\mathfrak{t}_{l} = \left\{ r \in \mathfrak{R} \mid \overline{u_{lh}} = \frac{r - w_h}{\pi^{\rho_{hl}}} \mod \pi \right\},\
$$

as  $v(r - w_l) > \rho_{hl}$  if and only if  $\overline{u_{lh}} = \frac{r - w_h}{\pi^{\rho_{hl}}}$  mod  $\pi$ . Thus the multiplicity of  $\overline{u_{lh}}$  is  $|t_l|$  by Theorem [3.24\(](#page-16-4)[ii\)](#page-1-3).

Now let  $\bar{\alpha}$  be a multiple root of  $f_h|_L$  for some edge *L* of NP( $f_h$ ) and let  $\bar{s} \in \Sigma_f$  associated to  $\bar{\alpha}$  by Theorem [3.24](#page-16-4)[\(iii\)](#page-3-3). We want to prove that if *C* has an almost rational cluster picture and  $\Sigma = \Sigma_c^{\min}$ , then there exists  $l \neq h$  so that  $\bar{\alpha} = \overline{u_h}$ . Note first  $w_h$  is not a rational centre of s. Indeed, if  $w_h$  is a rational centre of s, then

<span id="page-36-1"></span>
$$
|\mathfrak{s}| > |\lambda_{\mathfrak{s}}|_p = |\rho_{\mathfrak{s}}|_p, \qquad d_{\mathfrak{s}} > \lambda_{\mathfrak{s}} = \rho_{\mathfrak{s}},
$$

which contradicts the fact that *C* has an almost rational cluster picture. As  $\{\mathfrak{s}_1, \ldots, \mathfrak{s}_m\} = \Sigma_c^{\min}$ , we must have that  $w_l$  is a rational centre of  $\mathfrak{s}$ , for some  $l \neq h$ . Then  $\mathfrak{s}_l \subseteq \mathfrak{s} \subseteq \mathfrak{s}_h \land \mathfrak{s}_l$ . Since  $\bar{\alpha} = \frac{r - w_h}{\pi^{\lambda} \bar{\alpha}} \mod \pi$  for any *r* ∈ *s*, from above we have  $\bar{\alpha} = \overline{u_{lh}}$ . Finally, *L* is the edge of NP(*f<sub>h</sub>*) of slope  $-\lambda_s = -\rho_{hl}$ . Thus  $L = L_{s_h \wedge s_l}^{w_h}$ .

It remains to compute  $S_M$  when  $M = M_{V,j}$ , where  $V = V_t^{w_h}$  or  $V = V_0^{w_h}$ .



**Lemma 5.10.** *Let*  $M = M_{V,j}$  *for*  $V = V_t^{w_h}$ *, or*  $V = V_0^{w_h}$  *if*  $t = \mathfrak{s}_h$ *. For any*  $l \neq h$  *we have* 

- (i)  $T_M^{hl}(X, Y, 0) = 1$  *except when*  $t = s_l \wedge s_h$  *and*  $j = 0$ *;*
- (ii)  $T_M^{hl}(X, 0, Z) = 1$  *except when*  $t < s_l \wedge s_h$  *and*  $j = r_V$ *.*

*Proof.* The Lemma immediately follows from Lemmas [5.4](#page-32-1) and [5.5.](#page-33-0)

**Lemma 5.11.** *Let*  $M = M_{V,j}$  *with*  $V = V_t^{w_h}$ , *or*  $V = V_0^{w_h}$  *if*  $t = s_h$ *. Then*  $S_M = \emptyset$ *.* 

*Proof.* For any  $l \neq h$ , we want to prove that

<span id="page-37-1"></span>
$$
S_M^{hl} := \{T_M^{hl}(X, Y, Z) = Y^{\tilde{m}_{23}} Z^{\tilde{m}_{33}} = 0\} = \varnothing.
$$
\n(6)

Lemma [5.2](#page-30-2) shows that  $\tilde{m}_{33}$  is always different from 0 and that  $\tilde{m}_{23} = 0$  if and only if  $j = r_V$ , and  $V =$  $V_{\mathfrak{R}}^{w_h}$  or  $V = V_0^{w_h}$ . Assume that if  $t = \mathfrak{s}_l \wedge \mathfrak{s}_h$  then  $j \neq 0$  and that if  $t < \mathfrak{s}_l \wedge \mathfrak{s}_h$  then  $j \neq r_V$ . Lemma [5.10](#page-36-1) implies  $(6)$ .

If  $t = \mathfrak{s}_l \wedge \mathfrak{s}_h$  and  $j = 0$ , then  $\rho_{hl} \tilde{m}_{33} - \tilde{m}_{31} = 0$  but  $\rho_{hl} \tilde{m}_{23} - \tilde{m}_{21} > 0$ . So

$$
S_M^{hl} = \{ T_M^{hl}(X, Y, Z) = Z^{\tilde{m}_{33}} = 0 \} \subset \text{Spec } R[Y^{-1}].
$$

Similarly, if  $t < s_l \wedge s_h$  and  $j = r_V$ , then  $\tilde{m}_{21} - \rho_{hl} \tilde{m}_{23} = 0$ , however  $\tilde{m}_{31} - \rho_{hl} \tilde{m}_{33} > 0$ . Then

$$
S_M^{hl} = \{T_M^{hl}(X, Y, Z) = Y^{\tilde{m}_{23}} = 0\} \subset \text{Spec } R[Z^{-1}].
$$

In both cases,  $S_M^{hl} \subseteq X_F$  as sets, where  $F = F_{s_l \wedge s_h}^{w_h}$  ([\[1,](#page-55-1) Definition 3.7]). Let  $L = L_{s_l \wedge s_h}^{w_h}$ , and let  $f_h(x) =$ *f*( $x + w_h$ ) and  $g_h(x, y) = y^2 - f_h(x)$ . By Lemmas [5.8](#page-36-2) and [5.9,](#page-36-3) one has

$$
S_M^{hl} \subseteq X_F \cap S_{M_{L,0}} = \varnothing,
$$

<span id="page-37-0"></span>as  $\mathcal{F}_{M_{L,0}}^h(X, Y, 0)$  mod  $\pi$  equals  $Y^b - X^a \overline{f_h|_L}(X)$ , for some  $a \in \mathbb{Z}$ ,  $b = 1, 2$  (see Lemma [5.17](#page-39-1) for more details, whose proof is independent of this result). Thus if  $V = V_t^{w_h}$  and  $M = M_{V,j}$ , then  $S_M = \emptyset$ .  $\Box$ 

## *5.6. Components*

Now that we have compared the special fibre of *C* with those of the models  $\mathcal{C}_{\Delta}^{w_h}$ , let us describe closed subschemes that form it. We will first study closed subschemes forming  $\mathcal{C}^{w_h}_{\Delta,s}$  and then how they glue in  $C_s$ .

Let  $f_h(x) = f(x + w_h)$  and  $g_h(x, y) = y^2 - f_h(x)$ . According to [\[1,](#page-55-1) Theorem 3.14] the special fibre of  $C_{\Delta}^{w_h}$ is formed by:

- Chains of  $\mathbb{P}_k^1$ s coming from *v*-edges of  $\Delta^{w_h}$ .
- <span id="page-37-2"></span>• 1-dimensional subschemes coming from *v*-faces of  $\Delta^{w_h}$ .

More precisely, each *v*-edge *E* gives a scheme  $X_E \times \mathbb{P}_E$ , where  $\mathbb{P}_E$  is a chain of  $\mathbb{P}_k^1$ s and  $X_E \subset \mathbb{G}_{m,k}$  is given by  $\overline{g_h|_E} = 0$ . The multiplicities and the length of  $\mathbb{P}_E$  can be completely described by the slopes of *E*. On the other hand, each *v*-face *F* gives a proper scheme  $\bar{X}_F$  containing an open subscheme  $X_F \subseteq \mathbb{G}_{m,k}^2$  given by  $\overline{g_h|_F} = 0$ . How the previous schemes intersect to form  $C^{\psi_h}_{\Delta,s}$  is described by [\[1,](#page-55-1) Theorem 3.14]. The reader is pointed to [\[1\]](#page-55-1) for more details.

**Definition 5.12.** Let  $t \in \Sigma^W$  be a proper cluster. For any rational centre *w* of  $t$ , let  $r_{t,w} = \frac{w-r}{\pi^{\rho_t}}$ ,  $u_{\mathfrak{t},w} = c_f \prod_{r \in \mathfrak{R} \backslash \mathfrak{t}} r_{\mathfrak{t},w}$  and  $u_{\mathfrak{s}_h,w_h}^0 = c_f \prod_{r \in \mathfrak{R} \backslash \{w_h\}} r_{\mathfrak{s}_h,w_h}$ . Define  $f_{\mathfrak{t},w}^W, \overline{g_{\mathfrak{t},w}} \in k[X]$ , and  $\overline{g_{\mathfrak{s}_h,w_h}^0} \in k[X]$  for any  $h =$ 1, ... , *m, as follows:*

(i) Let  $u = u_{t,w}$ *. Define*  $f_{t,w}^W$  by

$$
\overline{f_{\mathfrak{t},w}^W}(X^{b_{\mathfrak{t}}}) = \frac{u}{\pi^{\nu(u)}} \prod_{r \in \mathfrak{t} \setminus \bigcup_{\mathfrak{s} < \mathfrak{t}} \mathfrak{s}} (X + r_{\mathfrak{t},w}) \mod \pi,
$$

*where the union runs through all children*  $\mathfrak{s}$  *of*  $\mathfrak{t}$  *in*  $\Sigma^W$ *. If*  $\Sigma = \Sigma^{\min}_C$  *denote*  $f_{\mathfrak{t},w}^W$  *b*y  $\overline{f_{\mathfrak{t},w}}$ *.* 

- (ii) *Let*  $u = u_{t,w}$ *. Define*  $\overline{g_{t,w}}(X) := X^{p_t/\gamma_t} \frac{u}{\pi^{v(u)}} \mod \pi$ *.*
- (iii) *Let*  $u = u_{s_h, w_h}^0$ . *Define*  $\overline{g_{s_h, w_h}^0}(X) := X^{p_{s_h}^0 / y_{s_h}^0} \frac{u}{\pi^{y(u)}} \mod \pi$ .

Note that the polynomials defined in Definition [5.12](#page-37-2) agree with the ones in Definition [4.14](#page-25-1) when  $w = w_t$ .

<span id="page-38-1"></span>**Lemma 5.13.** Let  $s, t \in \Sigma_c^{\text{rat}}$ , with  $s \subsetneq t$ . Let  $w'$ , w be rational centres of  $s$  and  $t$  respectively, and define  $\overline{u_{w/w}} = \frac{w' - w}{\pi^{\rho} t}$  mod  $\pi$ . Then  $\overline{u_{w/w}^{\prime}}$  does not depend on the choice of a rational centre *w'* of s.

*Proof.* Suppose that  $w_1, w_2$  are two rational centres of  $\epsilon$ . Then  $v(w_1 - w_2) \ge \rho_{\epsilon} > \rho_t$ , and so the Lemma follows.  $\Box$ 

<span id="page-38-2"></span>**Remark 5.14.** *Let*  $t \in \sum_{c}^{w_h}$ . *Let*  $l = 1, ..., m$ ,  $l \neq h$ . *Then*  $t = s_h \wedge s_l$  *if and only if it has a child*  $s \in$  $\Sigma_c^{w_l} \setminus \Sigma_c^{w_h}$ . In particular, if this happens, Lemma [5.13](#page-38-1) shows that  $\overline{u_{lh}} = \frac{w - w_h}{\pi^{\rho_t}}$  mod  $\pi$  for any rational *centre w of* s*.*

<span id="page-38-0"></span>**Definition 5.15.** Let  $\mathfrak{t} \in \Sigma_c^{w_h}$  be a proper cluster. Define  $\hat{\mathfrak{t}}^w := \{\mathfrak{s} \in \Sigma^W \cup \{\varnothing\} \mid \mathfrak{s} < \mathfrak{t}\}$ , where  $\varnothing < \mathfrak{t}$  only *if* t has no child in  $\Sigma^W$ . If  $\emptyset$  < t then we will say that  $w_h$  is the rational centre of  $\emptyset$ .

 $Define\ \mathbb{G}_{\mathfrak{t},w_h}:=\mathbb{G}_{m,k}\setminus\bigcup_{l}\{\overline{u_{lh}}\}$ , where the union runs through all  $l\neq h$  such that  $\mathfrak{s}_l\wedge\mathfrak{s}_h=\mathfrak{t}$ . Note that *Remark* [5.14](#page-38-2) *shows that*  $\mathbb{G}_{\mathfrak{t},w_h} = \mathbb{A}_k^1 \setminus \bigcup_{\mathfrak{s} \in \mathfrak{t}^W} \{ \overline{u_{w_\mathfrak{s}w_h}} \}$ , where  $\overline{u_{w_\mathfrak{s}w_h}} = \frac{w_\mathfrak{s} - w_h}{\pi^{\rho_{\mathfrak{t}}}} \mod \pi$ , and  $w_\mathfrak{s}$  *is any rational centre of* s*.*

Let  $t \in \sum_{C}^{w_h}$  be a proper cluster. Let  $V = V_t^{w_h}$  and  $M = M_{V,j}$ . In Section [5.5](#page-35-3) we showed the special fibre of  $U_M^h$  equals  $X_M \cap C_{\Delta,s}^{w_h}$ . Therefore the components of  $\mathcal{C}_{\Delta,s}^{w_h}$  coming from *V* are the same of those of  $\mathcal{C}_{\Delta,s}^{w_h}$ given by the same *v*-edge. Therefore *V* gives a closed subscheme  $X_V \times \mathbb{P}_V$  of  $\mathcal{C}^{w_h}_{\Delta,s}$ , where  $\mathbb{P}_V$  is a chain of  $\mathbb{P}_k^1$ s and  $X_V$ : { $\overline{g_h|_V} = 0$ } over  $\mathbb{G}_{m,k}$ . Lemma [4.3](#page-22-2) implies that  $\overline{g_h|_V} = \overline{g_{t,w_h}}$ .

Let  $V_0 = V_0^{w_h}$  and  $M = M_{V_0j}$ . Similarly to above,  $X_M \cap \mathcal{C}_{\Delta,s}^{w_h} = X_M \cap \mathcal{C}_{\Delta,s}^{w_h}$  and so  $V_0$  gives rise to a closed subscheme  $X_{V_0} \times \mathbb{P}_{V_0}$  of  $\mathcal{C}^{w_h}_{\Delta,s}$ , where  $\mathbb{P}_{V_0}$  is a chain of  $\mathbb{P}^1_k$ s and  $X_{V_0} : \{ \overline{g_h|_{V_0}} = 0 \}$  over  $\mathbb{G}_{m,k}$ . Note that  $\overline{g_h|_{V_0}} =$  $g^0_{\mathfrak{s}_h, w_h}.$ 

Let  $t \in \sum_{c}^{w_h}$  be a proper cluster. Let  $L = L_t^{w_h}$  and  $M = M_{L,i}$ . By Lemma [5.8,](#page-36-2) the *v*-edge *L* gives a subscheme  $X_L^W \times \mathbb{P}_L$  of  $\mathcal{C}_{\Delta,s}^{w_h}$ , where  $\mathbb{P}_L$  is a chain of  $\mathbb{P}_k^1$ s of length  $r_L$  and  $X_L^W : \{ \overline{g_h|_L} = 0 \}$  in  $\mathbb{G}_{\mathfrak{t},w_h}$ . Note that  $r_L = 0$  or 1 by Lemma [4.3](#page-22-2) and  $r_L = 1$  if and only if  $D_t = 1$ . Let  $t_h \in \sum_{c}^{w_h}$  be the unique child of t with rational centre  $w_h$  or set  $t_h = \emptyset$  if t has no such child. We will show that

<span id="page-38-3"></span>
$$
\overline{g_h|_L}(X) = - \prod_{\mathfrak{s} \in \mathfrak{t}^W, \, \mathfrak{s} \neq t_h} (X + \overline{u_{w_{\mathfrak{s}}w_h}})^{|\mathfrak{s}|} \cdot \overline{f_{\mathfrak{t},w_h}^W}(X). \tag{7}
$$

where  $\overline{u_{w_s w_h}} = \frac{w_s - w_h}{\pi^{\rho_t}} \mod \pi$ , and  $w_s$  is any rational centre of s.

Suppose  $t \neq \hat{s}_h \wedge \hat{s}_l$  for any  $l \neq h$ . Equivalently, all children of t in  $\Sigma^W$  (at most one) belong to  $\Sigma_c^{w_h}$ . Then Lemma [4.3](#page-22-2) shows that  $\overline{g_h|_L} = -f_{t,w_h}^W$ . Suppose now that  $t = s_h \wedge s_l$  for some  $l \neq h$ . In this case  $b_t = 1$ . We have

$$
\frac{\overline{g_h|_L}(X)}{\prod_{s\in \hat{\mathfrak{t}}^W,\mathfrak{s}\neq \mathfrak{t}_h} (X+\overline{u_{w_{s}w_h}})^{|\mathfrak{s}|}}=\left(\frac{-\frac{u}{\pi^{v(u)}}\prod_{r\in \mathfrak{t}\setminus \mathfrak{t}_h} (X+r_{\mathfrak{t},w_h})}{\prod_{s\in \hat{\mathfrak{t}}^W,\mathfrak{s}\neq \mathfrak{t}_h} \prod_{r\in \mathfrak{s}} (X+r_{\mathfrak{t},w_h})}\mod \pi\right)=-\overline{f_{\mathfrak{t},w_h}^W}(X),
$$

where  $r_{t,w_h}$  and  $u = u_{t,w_h}$  are as in Definition [5.12.](#page-37-2) Indeed,  $\overline{u_{w_s w_h}} = r_{t,w_h}$  mod  $\pi$  for every  $r \in \mathfrak{s}$  as  $v(w_s$  $r \ge \rho_{\rm s} > \rho_{\rm t}$ , and since  $b_{\rm t} = 1$ , Lemma [4.3](#page-22-2) implies that

$$
\overline{g_h|_L}(x) = -\frac{u}{\pi^{v(u)}} \prod_{r \in \mathfrak{t} \setminus \mathfrak{t}_h} (x + r_{\mathfrak{t},w_h}) \mod \pi.
$$

In particular, Remark 5.13 and Lemma [5.9](#page-36-3) shows that  $(X + \overline{u_{hi}}) \nmid f_{t,w_h}^W(X)$ , for any  $l \neq h$  such that  $\mathfrak{s}_l \wedge$  $\mathfrak{s}_h = \mathfrak{t}$ . Moreover,  $X \nmid f_{\mathfrak{t},w_h}^W(X)$  by definition. Therefore the scheme  $X_L^W$  is equal to the closed subscheme  $X_{\mathfrak{t},w_h}^W \subset \mathbb{A}_k^1$  given by  $f_{\mathfrak{t},w_h}^W = 0$ .

Let  $\mathfrak{t} \in \Sigma^W$  be a proper cluster. For any  $h = 1, \ldots, m$  such that  $\mathfrak{s}_h \subseteq \mathfrak{t}$ , let  $\bar{X}_{F_{\mathfrak{t}}^{w_h}}$  be the 1-dimensional closed subscheme of  $C_{\Delta,s}^{w_h}$  given by  $F_{\mathfrak{t}}^{w_h}$ . Define

<span id="page-39-2"></span>
$$
\mathring{X}_{F_{\mathfrak{t}}^{w_h}}:=\bar{X}_{F_{\mathfrak{t}}^{w_h}}\cap \mathring{\mathcal{C}}_{\Delta}^{w_h}.
$$

Denote by  $\Gamma_t$  the 1-dimensional closed subscheme of  $C_s$ , result of the glueing of the subschemes  $\hat{X}_{F_t^{w_t}}$ of  $\mathcal{C}^{w_h}_{\Delta,s}$  to varying of *h* such that  $\mathfrak{t} \in \Sigma_c^{w_h}$ .

**Lemma 5.16.** *Let*  $t \in \sum_{c}^{w_h} be a proper cluster.$  *The multiplicity of*  $\Gamma_t$  *in*  $C_s$  *is*  $m_t$ *.* 

*Proof.* Let  $L = L_t^{w_h}$ ,  $M = M_{L,0}$ , and let  $F = F_t^{w_h}$ . The multiplicity of  $\bar{X}_{F_t^{w_h}}$ , and so of  $X_{F_t^{w_h}}$  and  $\Gamma_t$ , is δ<sub>F</sub>. Hence we only need to show that  $m_t = \delta_F$ . Let  $d_0 \in \mathbb{Z}$  as in Lemma [5.2.](#page-30-2) Then  $\delta_F = \delta_L d_0$ . The result follows as  $\delta_L = b_t$  and  $d_0$ , denominator of  $s_1^L$ , equals  $3 - D_t$  by Lemma [4.3.](#page-22-2)  $\Box$ 

<span id="page-39-1"></span>**Lemma 5.17.** Let  $L = L_1^{w_h}$ ,  $F = F_1^{w_h}$  and  $M = M_{L,0}$ . Let  $c \in \{0, ..., b_t - 1\}$  such that  $1/b_t - \rho_t \cdot c \in \mathbb{Z}$ . *Then*  $\mathcal{F}_{M}^{h}(X, Y, 0)$  mod  $\pi$  *equals the polynomial* 

$$
\overline{g_h|_F}(X,Y)=Y^{D_{\mathfrak{t}}}-\prod_{\mathfrak{s}\in \mathfrak{t}^W}(X-\overline{u_{w_{\mathfrak{s}}w_h}})^{\frac{|\mathfrak{s}|}{b_{\mathfrak{t}}}-c\epsilon_{\mathfrak{t}}}\overline{f_{\mathfrak{t},w_h}^W}(X),
$$

*where*  $\overline{u_{w_s w_h}} = \frac{w_s - w_h}{\pi \rho_t}$  mod  $\pi$ , and  $w_s$  *is any rational centre of* s.

*In particular,*  $\Gamma_t^h \subset \mathbb{G}_{\text{t},w_h} \times \mathbb{A}_k^1$  given by  $\overline{g|_F} = 0$  is the open subscheme  $U_M^h \cap \{Z = 0\}$  of  $\mathring{X}_F$ , and the *points in*  $S_M$  *belong to all irreducible components of*  $\bar{X}_F$ *.* 

*Proof.* From [\[1,](#page-55-1) §3.5] and the equation of  $C^{w_h}$ , the polynomial  $\mathcal{F}_M^h(X, Y, 0)$  reduces modulo  $\pi$  to  $X^{a_1}Y^b + X^{a_2} \overline{g_h|_L}(X)$ , for some  $b = 1, 2$  and  $a \in \mathbb{Z}$ . Lemma [4.9](#page-24-2) shows that  $b = D_t$ . By Lemma [4.3,](#page-22-2)  $a_1 =$  $2\tilde{m}_{12}$ ,  $a_2 = |t_h|\tilde{m}_{11} + (\epsilon_t - |t_h|\rho_t)\tilde{m}_{13}$ , where  $t_h \in \Sigma_c^{w_h} \cup \{\emptyset\}$ ,  $t_h < t$ . Then  $a_1 = 0$  and  $a_2 = \frac{|t_h|}{b_t} - c\epsilon_t$  by Lemma [5.2.](#page-30-2)

If t has one or no child, or  $D_t = 1$ , then  $\overline{g_h|_L} = -f_{t,w_h}^W$  by [\(7\)](#page-38-3). On the other hand, if  $D_t = 2$  and t has two or more children in  $\Sigma_c^{\text{rat}}$ , then  $b_t = 1$ , and so  $c = 0$ . Therefore the equality [\(7\)](#page-38-3) concludes the proof of the first part of the statement also in this case. Finally, the last part of the Lemma follows from Lemma [5.8.](#page-36-2)  $\Box$ 

Let *c* as in the previous Lemma and define  $\tilde{\mathbf{t}}^W := \{ \mathfrak{s} \in \hat{\mathbf{t}}^W \mid \frac{|\mathfrak{s}|}{b_{\mathfrak{t}}} - c\epsilon_{\mathfrak{t}} \notin 2\mathbb{Z} \}.$ 

**Proposition 5.18.** Let  $L = L_t^{w_h}$  and  $M = M_{L,0}$ . The dense open subscheme  $\Gamma_t \cap U_M^h$  of  $\Gamma_t$  is isomorphic *to the closed subscheme of*  $\mathbb{G}_{\mathfrak{t},w_h} \times \mathbb{A}_k^1$  given by

<span id="page-39-0"></span>
$$
Y^{D_{\mathfrak{t}}} = \prod_{\mathfrak{s} \in \mathfrak{t}^{W}} (X - \overline{u_{w_{\mathfrak{s}w_{h}}}}) \cdot \overline{f_{\mathfrak{t},w_{h}}^{W}}(X),
$$

*where*  $\overline{u_{w_s w_h}} = \frac{w_s - w_h}{\pi \rho_t}$  mod  $\pi$ , and  $w_s$  *is any rational centre of* s.

*Proof.* The proposition follows from Lemma [5.17](#page-39-1) and the definition of  $\mathbb{G}_{\text{true}}$ .

We conclude this subsection describing how the glueing morphism  $(4)$  restricts to the special fibre. Suppose  $t \supseteq s_i \wedge s_h$  for  $l \neq h$  and let *M* be a matrix associated to t. Consider the glueing map  $U_M^h \to U_M^l$ explicitly defined in Section [5.3.](#page-34-0)

Suppose first  $M = M_{V,j}$  with  $V = V_t^{w_l}$ . By Lemma [5.10](#page-36-1) the glueing morphism restricts to the identity on  $X_V \times \mathbb{P}_V$ .

Suppose  $M = M_{L,i}$  with  $L = L_t^{w_l}$ . Note that  $\tilde{m}_{12} = 0$  from Lemma [5.2.](#page-30-2) Recall the open subscheme  $\Gamma_t^h$ of  $X_{F_t^{w_h}}$  defined in Lemma [5.17.](#page-39-1) Then, Lemma [5.7](#page-35-2) implies that the glueing map restricts to an isomorphism  $\Gamma_t^h \mapsto \Gamma_t^l$  induced by the ring homomorphism sending  $X \mapsto X + \overline{u_{w_h w_l}}$ , where  $\overline{u_{w_h w_l}} = \frac{w_h - w_l}{\pi^{\rho_t}}$ mod π. Similarly, it restricts to an isomorphism  $X_{L_t^{w_h}}^W \times \mathbb{P}_{L_t^{w_l}} \to X_{L_t^{w_l}}^W \times \mathbb{P}_{L_t^{w_l}}$ , where  $\mathbb{P}_{L_t^{w_h}} \to \mathbb{P}_{L_t^{w_l}}$  is the identity and  $X^W_{L^W_k} \to X^W_{L^W_k}$  is induced by the ring homomorphism sending  $X \mapsto X + \overline{u_{w_h w_l}}$ .

## *5.7. Regularity*

Let  $w_h \in W$ . We want to show that if  $\Sigma = \Sigma_c^{\min}$ , and *C* has an almost rational cluster picture and is *y*-regular, then  $\mathcal{C}_{\Delta}^{w_h}$  is a regular scheme.

**Lemma 5.19.** *Consider the model*  $C_{\Delta}^{w_h}/O_K$  *and let*  $f_h(x) = f(x + w_h)$ *. Suppose*  $\Sigma = {\{\mathfrak{s}_1, \ldots, \mathfrak{s}_m\}} = \Sigma_{\mathbb{C}}^{\min}$ *and <sup>C</sup> has an almost rational cluster picture and is <sup>y</sup>-regular. If <sup>P</sup> is a singular point of <sup>C</sup>wh then*

<span id="page-40-1"></span>
$$
P \in \operatorname{Spec} \frac{O_K[X^{\pm 1}, Y, Z]}{(\mathcal{F}_M^h(X, Y, Z), X + u_{hl}, Y^{\tilde{m}_{23}} Z^{\tilde{m}_{33}}, \pi)} \subset C_{\Delta}^{w_h} \cap X_M,
$$

*for some*  $l \neq h$ *, where*  $M = M_{L_{s_h \wedge s_l}^{w_h}}$ *, for*  $i = 0, \ldots, r_{L_{s_h \wedge s_l}^{w_h}}$ .

*Proof.* Denote by  $m_\alpha(X) \in O_K[X]$  a lift of the minimal polynomial in  $k[X]$  of  $\bar{\alpha} \in \bar{k}$ . By Lemma [5.9,](#page-36-3) we only need to show that if  $P \in C^{\mathcal{W}_h}_{\Delta}$  is a singular point then

<span id="page-40-0"></span>
$$
P \in \text{Spec } \frac{O_K[X^{\pm 1}, Y, Z]}{(\mathcal{F}_{M_{L,i}}^h(X, Y, Z), m_\alpha(X), Y^{\tilde{m}_{23}} Z^{\tilde{m}_{33}}, \pi)},
$$
\n(8)

for some *v*-edge  $L = L_1^{w_h}$  of  $\Delta^{w_h}$ , and some multiple root  $\bar{\alpha}$  of  $f_h|_L$ . We study the polynomial  $\mathcal{F}_M^h$  to varying of the matrix *M*, using [\[1,](#page-55-1) §4.5]. Let  $g_h(x, y) = y^2 - f_h(x)$ . Let  $L = L_t^{w_h}$  and  $M = M_{L,i}$ . Note that  $g_h|_L = -f_h|_L$ . We have  $\mathcal{F}_M^h(X, 0, Z) = g_h|_L(X)$  for any *i*. On the other hand,  $\mathcal{F}_M^h(X, Y, 0) = g_h|_L(X)$  if  $i > 0$ and  $\mathcal{F}_M^h(X, Y, 0) = \overline{g_h|_F}(X, Y)$  if  $i = 0$ . From the description given in Lemma [5.17,](#page-39-1) we conclude that for these matrices *M* the points in [\(8\)](#page-40-0) are the only possibly singular points of  $C_{\Delta}^{w_h} \cap X_M$ . In particular, this proves that for any *v*-face *F* of  $\Delta^{w_h}$ , the points in  $X_F$  are non-singular in  $\underline{C}_{\Delta}^{w_h}$ .

Let  $V = V_t^{w_h}$  or  $V = V_0^{w_h}$  and  $M = M_{V,j}$ . Since *C* is *y*-regular,  $p \nmid \deg(\overline{g_h|_V})$  by Lemma [4.9.](#page-24-2) By [\[1,](#page-55-1) §4.5] and the fact that the points in  $X_F$  are non-singular for all *v*-faces *F*, we conclude that  $C_{\Delta}^{w_h}$  has no singular point on  $X_M$  for these matrices  $M$ , as required.

**Proposition 5.20.** *Suppose*  $\Sigma = \Sigma_c^{\min}$ , and *C* has an almost rational cluster picture and is y-regular, *then C is a regular scheme.*

*Proof.* Lemmas [5.19](#page-40-1) and [5.8](#page-36-2) show that  $\hat{C}_{\Delta}^{w_h}$  is regular for every *h*. Thus their glueing  $C$  is regular as well.

#### *5.8. Separatedness*

It remains to prove that *C* is a proper scheme. We first show it is separated. Clearly it suffices to prove that  $\mathcal{X}/O_K$  is separated. Since the schemes  $X_\Delta^h$  are separated, then the open subschemes  $\hat{X}_\Delta^h$  are separated as well by [\[9,](#page-55-4) Proposition 3.3.9]. Consider the open cover  $\{V^h_M\}_{h,M}$  of X. Let  $h, l = 1, \ldots, m$  and let  $M_h$  and

*M<sub>l</sub>* be matrices associated to proper clusters  $t_h \in \sum_C^{w_h}$  and  $t_l \in \sum_C^{w_l}$  respectively. By [\[9,](#page-55-4) Proposition 3.3.6] we want to show

- (i)  $V_{M_h}^h \cap V_{M_l}^l$  is affine,
- (ii) The canonical homomorphism

$$
O_{\mathcal{X}}(V_{M_h}^h) \otimes_{\mathbb{Z}} O_{\mathcal{X}}(V_{M_l}^l) \longrightarrow O_{\mathcal{X}}(V_{M_h}^h \cap V_{M_l}^l)
$$

is surjective.

The definition of the glueing map [\(4\)](#page-34-4) implies (i). If  $h = l$ , or  $s_l \subseteq t_h$ , or  $s_h \subseteq t_l$ , then (ii) follows from the separatedness of  $\mathring{X}_{\Delta}^h$  and  $\mathring{X}_{\Delta}^l$ . So assume  $l \neq h$ , and  $\mathfrak{t}_h$ ,  $\mathfrak{t}_l \subsetneq \mathfrak{s}_h \wedge \mathfrak{s}_l$ . Consider the Moebius transformation

$$
\psi_l
$$
:  $x \mapsto \frac{x}{xw_{hl}^{-1} + 1}$ ,  $y \mapsto \frac{y}{(xw_{hl}^{-1} + 1)^{g+1}}$ .

It sends the curve *Cwl* to the isomorphic hyperelliptic curve

$$
C_l^h: y^2 = (xw_{hl}^{-1} + 1)^{2g+2} f (x(xw_{hl}^{-1} + 1)^{-1} + w_l).
$$

As

$$
f_l^h(x) := (x w_{hl}^{-1} + 1)^{2g+2} f (x (x w_{hl}^{-1} + 1)^{-1} + w_l)
$$
  
=  $c_f w_{hl}^{|\Re|} (x w_{hl}^{-1} + 1)^{2g+2 - |\Re|} \prod_{r \in \Re \setminus \{w_h\}} \frac{r - w_h}{w_{lh}} \left( x w_{hl}^{-1} + \frac{r - w_l}{r - w_h} \right),$ 

every cluster  $\mathfrak{s} \in \Sigma_C^{w_l}$  such that  $\mathfrak{s} \subsetneq \mathfrak{s}_h \wedge \mathfrak{s}_l$ , corresponds to a unique cluster  $\mathfrak{s}^h \in \Sigma_{C_l^h}^0$  of same size, same radius and rational centre 0. Moreover,

$$
\epsilon_{s^h} = v(c_{f_l^h}) + \sum_{r' \in s^h} \rho_{s^h} + \sum_{r' \notin s^h} v(r') = \epsilon_s.
$$

Call  $t_i^h$  the cluster in  $\Sigma_{C_i^h}^0$  corresponding to  $t_i$ . Let  $\Delta^{lh}$  and  $\Delta_v^{lh}$  be the Newton polytopes attached to  $y^2 - f_l^h(x)$  and let  $X_{\Delta}^{lh}$  be the associated toric scheme (defined in [\[1,](#page-55-1) §4.2]). Since  $t_l \subsetneq s_h \wedge s_l$ , the *v*faces  $F_{t_l}$  of  $\Delta^{w_l}$  and  $F_{t_l^h}$  of  $\Delta^{lh}$  are identical by Lemma [4.3.](#page-22-2) Furthermore, note that if  $t_l < \mathfrak{s}_h \wedge \mathfrak{s}_l$ , then  $\rho_{P(\mathfrak{t}_i^h)} \le \rho_{hl} = \rho_{P(\mathfrak{t}_i)}$  and so  $s_2^{V^0} \le s_2^V$ , where  $V^0 = V^0_{\mathfrak{t}_i^h}$  and  $V = V^{w_l}_{\mathfrak{t}_i}$ . Therefore the matrix  $M := M_l$  is also associated to  $t_l^h$ .

For every  $o = 1, \ldots, m$ , with  $o \neq l$ , define

$$
w_{hlo} = \begin{cases} \frac{w_{hl}w_{lo}}{w_{ho}} & \text{if } o \neq h, \\ w_{hl} & \text{if } o = h, \end{cases}
$$

and write  $w_{hlo} = u_{hlo} \pi^{\rho_{hlo}}$ , where  $u_{hlo} \in O_K^{\times}$  and  $\rho_{hlo} \in \mathbb{Z}$ , i.e.

$$
u_{hlo} = \begin{cases} \frac{u_{hl}u_{lo}}{u_{ho}} & \text{if } o \neq h, \\ u_{hl} & \text{if } o = h, \end{cases} \quad \text{and} \quad \rho_{hlo} = \begin{cases} \rho_{hl} + \rho_{lo} - \rho_{ho} & \text{if } o \neq h, \\ \rho_{hl} & \text{if } o = h. \end{cases}
$$

Define

$$
\tilde{T}_{M}^{hlo}(X,Y,Z) := \begin{cases} 1 + u_{hlo} X^{\rho_{hlo} \tilde{m}_{13} - \tilde{m}_{11}} Y^{\rho_{hlo} \tilde{m}_{23} - \tilde{m}_{21}} Z^{\rho_{hlo} \tilde{m}_{33} - \tilde{m}_{31}} & \text{if } t_l \geq s_o, \\ u_{hlo}^{-1} X^{\tilde{m}_{11} - \rho_{hlo} \tilde{m}_{13}} Y^{\tilde{m}_{21} - \rho_{hlo} \tilde{m}_{23}} Z^{\tilde{m}_{31} - \rho_{hlo} \tilde{m}_{33}} + 1 & \text{if } t_l \geq s_o. \end{cases}
$$

We want to show  $\tilde{T}_{M}^{hlo}(X, Y, Z) \in R$ . If  $o = h$  then

$$
\widetilde{T}^{hlo}_M(X, Y, Z) = T^{hl}_M(X, Y, Z) \in R.
$$

So assume  $o \neq h$ . If  $\mathfrak{s}_o \subseteq \mathfrak{t}_l$ , then it follows from Lemma [5.4](#page-32-1) as  $\mathfrak{s}_l \wedge \mathfrak{s}_o \subseteq \mathfrak{s}_l \wedge \mathfrak{s}_h$  and so  $\rho_{hlo} = \rho_{lo}$ . On the other hand, if  $s_o \nsubseteq t_l$ , then it follows from Lemma [5.5](#page-33-0) as  $\tilde{m}_{23}$ ,  $\tilde{m}_{33} > 0$  and  $\rho_{hlo} \le \max\{\rho_{hl}, \rho_{lo}\}$ . Let

$$
\widetilde{T}^{hl}_{M}(X, Y, Z) := \prod_{o \neq l} \widetilde{T}^{hlo}_{M}(X, Y, Z).
$$

The Moebius transformation

$$
K[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq l} (x + w_{lo})^{-1}] \xrightarrow{\psi_l} K[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq l} (x + w_{hlo})^{-1}]
$$

considered above induces an isomorphism

$$
R[T^l_M(X, Y, Z)^{-1}] \xrightarrow{M^{-1} \circ \psi_l \circ M} R[\tilde{T}^{hl}_M(X, Y, Z)^{-1}],
$$

sending

$$
X \mapsto X \cdot T_M^{hl}(X, Y, Z)^{-m_{11}-(g+1)m_{21}},
$$
  
\n
$$
Y \mapsto Y \cdot T_M^{hl}(X, Y, Z)^{-m_{12}-(g+1)m_{22}},
$$
  
\n
$$
Z \mapsto Z \cdot T_M^{hl}(X, Y, Z)^{-m_{13}-(g+1)m_{23}}.
$$

Then

$$
\tilde{V}_M^{lh} := \text{Spec } R[\tilde{T}_M^{hl}(X, Y, Z)^{-1}]
$$

is an open subscheme of  $X_{\Delta}^{th}$ , isomorphic to  $V_M^l$ . We can clearly carry out similar constructions for  $t_h$ , *Mh*.

By comparing the Newton polytopes  $\Delta_v^h$  and  $\Delta_v^h$ , we see that the Moebius transformation  $x \mapsto$ *w*<sub>*hl</sub>*/( $w_{lh}^{-1}x$ ), *y*  $\mapsto$  *y*/( $w_{lh}^{-1}x$ )<sup>*g*+1</sup> gives an isomorphism</sub>

$$
\psi: K[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq l} (x + w_{hlo})^{-1}] \longrightarrow K[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq h} (x + w_{lho})^{-1}]
$$

which induces a birational map  $X_{\Delta}^{hl}$  -- $\rightarrow X_{\Delta}^{lh}$ , defined on the open set  $\tilde{V}_{M_h}^{hl}$  of  $X_{\Delta}^{hl}$ . In particular, there exists an open set  $\tilde{V}_{M_h}^{lh}$  of  $X_{\Delta}^{lh}$ , isomorphic to  $V_{M_h}^h$  via the map induced by  $\psi_h^{-1} \circ \psi$ .

Recall the definition of  $\phi$  in [\(1\)](#page-34-2), which induces the glueing map between  $V_{M_l}^l$  and  $V_{M_h}^h$ . Since the following diagram

$$
K[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq l} (x + w_{lo})^{-1}] \xrightarrow{\phi} K[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq h} (x + w_{ho})^{-1}]
$$
  

$$
\downarrow^{\psi_l} \qquad \qquad \downarrow^{\psi_h}
$$
  

$$
K[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq l} (x + w_{hlo})^{-1}] \xrightarrow{\psi} K[x^{\pm 1}, y^{\pm 1}, \prod_{o \neq h} (x + w_{lho})^{-1}]
$$

is commutative, then the surjectivity of

$$
O_{\mathcal{X}}(V_{M_h}^h) \otimes_{\mathbb{Z}} O_{\mathcal{X}}(V_{M_l}^l) \longrightarrow O_{\mathcal{X}}(V_{M_h}^h \cap V_{M_l}^l)
$$

follows from the separatedness of  $X_{\Delta}^{lh}$ .

#### *5.9. Properness*

By  $[2, IV.15.7.10]$  $[2, IV.15.7.10]$ , it remains to show that  $C_s$  is proper. From  $[9,$  Exercise 3.3.11], we only need to prove that the 1-dimensional subscheme  $\Gamma_t$  is proper for every  $t = s_h \wedge s_l$ . Indeed every other component is entirely contained in a model  $\mathcal{C}_{\Delta}^{w_h}$ , which is proper (see Section [5.5\)](#page-35-3). Let  $\mathfrak{t} = \mathfrak{s}_h \wedge \mathfrak{s}_l$  for some  $h, l =$ 1, ..., *m*, with  $h \neq l$ . For any  $o = 1, \ldots, m$  such that  $\mathfrak{s}_o \subset \mathfrak{t}$ , let  $\mathfrak{t}_o$  be the unique child of  $\mathfrak{t}$  with  $\mathfrak{s}_o \subseteq \mathfrak{t}_o < \mathfrak{t}$ . Then  $\Gamma_t$  is equal to the glueing of the schemes

Spec 
$$
\frac{R[T_M^o(X, Y, Z)^{-1}]}{(\mathcal{F}_M^o(X, Y, Z), Z, \pi)}, \quad M = M_{L_t^{w_o},0}, M_{V_t^{w_o},0},
$$

and

Spec 
$$
\frac{R[T_M^o(X, Y, Z)^{-1}]}{(\mathcal{F}_M^o(X, Y, Z), Y, \pi)}, \quad M = M_{V_{t_o}^{w_o}, r_{V_{t_o}^{w_o}}},
$$

for all *o* such that  $\mathfrak{s}_o \subset \mathfrak{t}$ , through the isomorphism [\(4\)](#page-34-4) and the glueing maps in the definition of  $\mathcal{C}_{\Delta}^{w_o}$ . In particular, for any *o* as above there exists a natural birational map  $s_o : \Gamma_t \dashrightarrow \bar{X}_{F_t^{w_o}}$  which is defined as the identity morphism on the dense open  $X_{F_{\mathfrak{t}}^{w_o}} = \Gamma_{\mathfrak{t}} \cap \mathring{\mathcal{C}}_{\Delta}^{w_o}$ .

Let *D*/*k* be a normal curve, let  $P \in D$  and let  $D \setminus \{P\} \stackrel{g}{\longrightarrow} \Gamma_t$  be a non-constant morphism of curves. We want to show that *g* extends to *D*. For every *o* as above,  $\bar{X}_{F_{\tau}^{\text{w}_o}}$  is proper, so the birational map

$$
g_o := s_o \circ g : D \setminus \{P\} \dashrightarrow \bar{X}_{F_t^{w_o}}
$$

extends to a morphism  $\bar{g}_o : D \longrightarrow \bar{X}_{F_t^{w_o}}$ . If

$$
P_o := \bar{g}_o(P) \in \left(\bar{X}_{F_t^{w_o}} \cap \mathring{\mathcal{C}}_{\Delta}^{w_o}\right) = s_o\left(\Gamma_t \cap \mathring{\mathcal{C}}_{\Delta}^{w_o}\right)
$$

for some *o* such that  $s_o \subset t$  (we will later show this is always the case), then there exists an open neighbourhood U of  $P_o$  such that  $U \subseteq \left(\bar{X}_{F_t^{w_o}} \cap \mathcal{C}_{\Delta}^{w_o}\right)$  and so  $s_o|_{s_o^{-1}(U)}^U$  is an isomorphism. Since  $P \in \bar{g}_o^{-1}(U)$ , the map

<span id="page-43-0"></span>
$$
\bar{g}_o^{-1}(U) \xrightarrow{\bar{g}_o|_{\bar{g}_o^{-1}(U)}^U} U \xrightarrow{\left( g_o|_{g_o^{-1}(U)}^U \right)^{-1}} s_o^{-1}(U) \hookrightarrow \Gamma_t,
$$

induces an extension  $D \longrightarrow \Gamma_t$  of *g*.

Suppose that  $P_o \notin \bar{X}_{F_v^{w_o}} \cap \hat{C}_{\Delta}^{w_o}$  for any *o* such that  $\mathfrak{s}_o \subset \mathfrak{t}$ . From Section [5.5](#page-35-3) we have

$$
P_o \in S_M = \text{Spec } \frac{R}{(\mathcal{F}_M^o(X, Y, Z), \prod_l (X + u_{ol}), Z, \pi)},
$$
\n<sup>(9)</sup>

where  $M = M_{L_1^{w_0},0}$ , and the product runs over all  $l \neq o$  such that  $t = s_o \wedge s_l$ . In particular  $P_o$  is a point of each irreducible component of  $\bar{X}_{F_v^{w_0}}$  by Lemma [5.17.](#page-39-1) Let  $h \neq o$  such that  $X + u_{oh}$  vanishes at  $P_o$ . Let  $\xi$ be the generic point of *D* and let  $\xi_o = g_o(\xi)$ ,  $\xi_h = g_h(\xi)$  be generic points of  $\bar{X}_{F_i^{w_o}}$  and  $\bar{X}_{F_i^{w_h}}$  respectively. Then the birational maps  $s_o$  and  $s_h$  give



where we denote by  $\phi_{g_0}$  and  $\phi_{g_h}$  the homomorphisms between function fields induced by  $g_o$  and  $g_h$ . The vertical isomorphism is induced by the map

$$
\frac{R[T_M^o(X, Y, Z)^{-1}]}{(\mathcal{F}_M^o(X, Y, Z), Z)} \longrightarrow \frac{R[T_M^h(X, Y, Z)^{-1}]}{(\mathcal{F}_M^h(X, Y, Z), Z)}
$$

which sends (see Section [5.3](#page-34-0) and Lemma [5.7\)](#page-35-2)

$$
X + u_{oh} \mapsto X \cdot T_M^{ho}(X, Y, Z)^{m_{11}} + u_{oh} = X \left( 1 + u_{ho} X^{-1} \right) + u_{oh} = X.
$$

But the rational function  $X + u_{oh}$  vanishes at  $P_o$ , while *X* does not vanish at  $P_h$  by [\(9\)](#page-43-0). This gives a contradiction, as  $\bar{g}_o(P) = P_o$  and  $\bar{g}_h(P) = P_h$ .

#### *5.10. Genus*

Suppose  $\Sigma = \{\mathfrak{s}_1, \ldots, \mathfrak{s}_m\} = \Sigma_C^{\min}$ , and *C* has an almost rational cluster picture and is *y*-regular. In the previous subsections we proved that  $C/O_K$  is a proper regular model of *C*. Let  $\mathfrak{t} \in \Sigma_C^{w_h}$  be a proper cluster.

**Proposition 5.21.** *Let*  $t \in \sum_{C}^{w_h}$ . *Then*  $\Gamma_t$  *is isomorphic to the smooth projective* 1-dimensional scheme *given by*

<span id="page-44-0"></span>
$$
Y^{D_{\mathfrak{t}}} = \prod_{\mathfrak{s} \in \mathfrak{t}^{W}} (X - \overline{u_{w_{\mathfrak{s}}w_{h}}}) \overline{f_{\mathfrak{t},w_{h}}}(X)
$$

*where*  $\overline{u_{w_s w_h}} = \frac{w_s - w_h}{\pi^{\rho_t}}$  mod  $\pi$ , and  $w_s$  *is any rational centre of*  $\mathfrak{s}$ *. In particular,*

- (1) *if*  $D_t = 1$ *, then*  $\Gamma_t \simeq \mathbb{P}_k^1$ *;*
- (2) if  $D_t = 2$  and  $t$  *is übereven, then*  $\Gamma_t$  *is the disjoint union of two*  $\mathbb{P}^1$ *s over some quadratic extension of k;*
- (3) *in all other cases,*  $\Gamma_t$  *is a hyperelliptic curve of genus g(t).*

*Proof.* The first part of the proposition follows from Proposition [5.18.](#page-39-0)

For the second part of the statement note that if  $D_t = 1$  then the result follows. Suppose  $D_t = 2$ . Then  $p \neq 2$  as *C* is *y*-regular. Note that since  $\Sigma = \Sigma_c^{\text{min}}$ , the proper clusters in  $\Sigma^W$  correspond to the proper clusters in  $\Sigma_c^{\text{rat}}$ . Recall the definition of  $\tilde{\mathfrak{t}}$  given in Definition [4.13.](#page-25-2) Let  $h(X) = \prod_{s \in \tilde{\mathfrak{t}}^W} (X - \overline{u_{w_s w_h}}) \overline{f_{\mathfrak{t},w_h}}(X)$ .

Suppose t is übereven. Then all its children are (proper) rational cluster by Lemma [3.30](#page-19-2) since they are even and  $p \neq 2$ . In particular  $b_t = 1$  by Lemma [3.18](#page-14-1) and so  $\epsilon_t \in 2\mathbb{Z}$  and  $\tilde{t} = \tilde{t}^w = \emptyset$  since it equals the set of odd rational children. Moreover,  $\mathfrak{t} = \bigcup_{\mathfrak{s} < \mathfrak{t}, \mathfrak{s} \text{ proper}} \mathfrak{s}$ , and so  $\overline{f_{\mathfrak{t},w_h}} \in k$ . Thus  $h(X) \in k$ .

Now suppose  $h(X) \in k$ . Then  $\tilde{\mathfrak{t}}^W = \varnothing$  and  $\mathfrak{t} = \bigcup_{\mathfrak{s} < \mathfrak{t}} \mathfrak{s}$ , where  $\mathfrak{s}$  runs through all children  $\mathfrak{s} \in \Sigma^W$  of  $\mathfrak{t}$ . The non-proper clusters in  $\Sigma^W$  are of the form  $\{w_l\}$  for some  $l = 1, \ldots, m$ . If  $\{w_l\} < t$ , then  $t = s_l$ , but in that case t would not equal the union of its children in  $\Sigma^W$ . Hence t has no non-proper children. It follows that  $\tilde{\mathfrak{t}} = \tilde{\mathfrak{t}}^w$  and t equals the union of its proper rational children. In particular, t has two or more children in  $\Sigma_c^{\text{rat}}$ , so  $b_t = 1$ , by Lemma [3.18.](#page-14-1) But then  $\tilde{t}$  is the set of odd children of t as  $\epsilon_t \in 2\mathbb{Z}$ , and so all rational children of t are even.

It only remains to prove that if  $h(x) \notin k$ , then the genus of  $\Gamma_i$  is  $g(t)$ . Since  $h(X)$  is a separable polynomial, we need to show that

$$
\deg h = \frac{|\mathfrak{t}| - \sum_{\mathfrak{s} \in \Sigma_C^{\mathrm{rat}}, \mathfrak{s} < \mathfrak{t}} |\mathfrak{s}|}{b_{\mathfrak{t}}} + |\tilde{\mathfrak{t}}|.
$$

It suffices to prove that if  $\mathfrak{s} \in \Sigma_c^{\text{rat}}$  is a non-proper rational child of t different from  $\{w_h\}$ , then  $b_t = 1$  and  $s \in \tilde{t}$ . Suppose  $s = \{r\}$  is such a rational cluster. Since  $r \in \tilde{t}$ , we have  $v(r - w_h) \ge \rho_t$ . Suppose  $v(r - w_h) > \rho_t$  $\rho_t$ . Then  $s \in \sum_{c}^{w_h}$ , as  $s < t$  and  $r \neq w_h$ . But this contradicts our choice of W. Then  $\rho_t = v(r - w_h) \in \mathbb{Z}$  and so  $b_t = 1$ . It follows that  $\tilde{t}$  is the set of odd children of t. Thus  $\tilde{s} \in \tilde{t}$ . П

#### *5.11. Minimal regular NC model*

Suppose the base extended curve  $C_{K^{nr}}$  is *y*-regular and has an almost rational cluster picture. Consider the model  $C/O_{K^{nr}}$  constructed before with  $\Sigma = \sum_{C_{K^{nr}}}^{\min}$ . We want to see what components of  $C_s$  should be blown down to obtain the minimal regular model with normal crossings. Recall [\[1,](#page-55-1) §5]. Let  $\Sigma_{K^{nr}} = \Sigma_{C_{K^{nr}}}^{\text{rat}}$ and fix a proper cluster  $\mathfrak{t} \in \sum_{C_{K^{nr}}}^{\mathfrak{w}_h}$ .

Suppose first  $t \neq s_h \wedge s_l$  for all  $l = 1, \ldots, m$  with  $l \neq h$ . Equivalently, t has at most one proper child in  $\Sigma_{K^{n}}$ . Then  $\Gamma_t \simeq \bar{X}_{F_t^{w_h}}$  and can be seen entirely in  $\mathcal{C}_{\Delta}^{w_h}$ . In particular, if  $\Gamma_t$  can be blown down then  $F_t^{w_h}$ is a removable or contractible *v*-face (see  $[1,$  Theorem 5.7]). By Lemma [4.3,](#page-22-2) we find

- $F_t^{w_h}$  is removable if and only if  $t = \Re$  with a child in  $\Sigma_{K^{n r}}$  of size  $2g + 1$ .
- $F_t^{w_h}$  is contractible if and only if either  $|t| = 2$  and  $\frac{\epsilon_t}{2} \rho_t \in \mathbb{Z}$  or t has a proper rational child  $\mathfrak{s} \in \Sigma_{K^{nr}}$ , of size 2g, and  $\frac{\epsilon_{\mathfrak{t}}}{2} - g\rho_{\mathfrak{t}} \in \mathbb{Z}$ .

Recall Definition [4.20.](#page-26-1) Note that  $F_t^{w_h}$  is removable if and only if t is removable. In this case,  $F_t^{w_h}$  can be ignored for the construction of  $C_{\Delta}^{w_h}$  (for any *h* since  $t = \Re$ ), and so t can be ignored for the construction of *C*.

Assume now  $F_t^{w_h}$  contractible. We want to understand when  $\Gamma_t$  can be blown down. First consider the case  $|t| = 2$  and  $\frac{\epsilon_t}{2} - \rho_t \in \mathbb{Z}$ . Then  $\Gamma_t$  intersects other components of  $C_s$  in 2 points (as  $V_t^{w_h}$  gives two chains of  $\mathbb{P}^1$ s and the *v*-edges  $V_0^{w_h}$  and  $L_t^{w_h}$  give no component in  $C_{\Delta,s}^{w_h}$ ). To have self-intersection −1,  $\Gamma_t$  has to have multiplicity > 1. It follows from Lemma [5.16](#page-39-2) that  $\rho_t \notin \mathbb{Z}$ , as  $\frac{\epsilon_t}{2} - \rho_t \in \mathbb{Z}$ . Moreover, by Lemma [3.12,](#page-12-2) one has  $\rho_t \in \frac{1}{2}\mathbb{Z}$ . Therefore  $\epsilon_t$  is odd and the multiplicity of  $\Gamma_t$  is 2. Let  $r := r_{V_t^{w_h}}$  and consider

$$
\gamma_{t} s_{t} = \frac{n_{0}}{d_{0}} > \frac{n_{1}}{d_{1}} > \ldots > \frac{n_{r}}{d_{r}} > \frac{n_{r+1}}{d_{r+1}} = \gamma_{t} \left( s_{t} - \rho_{t} + \rho_{P(t)} \right)
$$

given by  $V_t^{w_h}$ . If  $\Gamma_t$  can be blown down then  $d_1 = 1$ . Since  $\gamma_t s_t = -\frac{\epsilon_t}{2} + 2\rho_t$ , we have  $d_0 = 2$ . In particular  $d_1 = 1$  if and only if  $\rho_t - \rho_{P(t)} = \frac{n_0}{d_0} - \frac{n_{r+1}}{d_{r+1}} \ge \frac{1}{2}$  (see also [\[1,](#page-55-1) Remark 3.15]). Thus if  $|t| = 2$ , then  $\Gamma_t$  can be blown down if and only if  $\rho_t \notin \mathbb{Z}$ ,  $\epsilon_t$  odd,  $\rho_{P(t)} \leq \rho_t - \frac{1}{2}$ . Note that this is case [\(1\)](#page-28-0) of Definition [4.20.](#page-26-1)

Second consider the case  $|t| = 2g + 2$  with a proper rational child  $\mathfrak{s}$  of size 2*g* and  $\frac{\epsilon_t}{2} - g\rho_t \in \mathbb{Z}$ . The argument is very similar to the previous one. If  $\Gamma_t$  can be blown down then it must have multiplicity > 1 and this implies  $\rho_t \notin \mathbb{Z}$  again by Lemma [5.16.](#page-39-2) From Lemma [3.12](#page-12-2) it follows that  $(|t| - |s|)\rho_t \in \mathbb{Z}$ , so  $\rho_{t} \in \frac{1}{2}\mathbb{Z}$ . Then  $m_{t} = 2$  and

$$
\frac{v(c_f)}{2} = \frac{\epsilon_t}{2} - (g+1)\rho_t \in \frac{1}{2}\mathbb{Z}\setminus\mathbb{Z},
$$

so  $v(c_f)$  odd. Let  $r := r_{v_k^w}$  and consider

$$
\gamma_s s_s = \frac{n_0}{d_0} > \frac{n_1}{d_1} > \ldots > \frac{n_r}{d_r} > \frac{n_{r+1}}{d_{r+1}} = \gamma_s (s_s - \rho_s + \rho_t)
$$

given by  $V_s^{w_h}$ . If  $\Gamma_t$  can be blown down then  $d_r = 1$ . Recall that  $\epsilon_s - |\mathfrak{s}| \rho_s = \epsilon_t - |\mathfrak{s}| \rho_t$ . Then  $\gamma_s(s_s - \mathfrak{s})$  $\rho_s + \rho_t$ ) =  $-\frac{\epsilon_t}{2} + (g+1)\rho_t$ , so  $d_{r+1} = 2$ . In particular  $d_r = 1$  if and only if  $\rho_s - \rho_t = \frac{n_0}{d_0} - \frac{n_{r+1}}{d_{r+1}} \ge \frac{1}{2}$ . Thus if t has size  $2g + 2$  and has a unique proper rational child  $s \in \Sigma_{K^{nr}}$ , then  $\Gamma_t$  can be blown down if and only if  $|\mathfrak{s}| = 2g$ ,  $\rho_t \notin \mathbb{Z}$ ,  $v(c_f)$  odd,  $\rho_s \ge \rho_t + \frac{1}{2}$ . This is case [\(2\)](#page-28-1) of Definition [4.20.](#page-26-1)

Finally, if  $|t| = 2g + 1$ , t has a proper child  $\mathfrak{s} \in \Sigma_{K^{nr}}$  of size 2*g* and  $\frac{\epsilon_t}{2} - g\rho_t \in \mathbb{Z}$ , then  $\rho_t \in \mathbb{Z}$ , as  $(|t|-|s|)\rho_t \in \mathbb{Z}$ . It follows that  $\epsilon_t \in \mathbb{Z}$  and so  $m_t = 1$ . This implies the self-intersection of  $\Gamma_t$  is not  $-1$ , since it intersects the rest of  $C_t$  in at least two points as before. Hence in this case  $\Gamma_t$  can never be blown down.

Now assume there exists  $l \neq h$  such that  $t = s_h \wedge s_l$ . Then t is not minimal. Let  $t_h, t_l \in \Sigma_{K^{nr}}$  be such that  $s_h \subseteq t_h < t$  and  $s_l \subseteq t_l < t$ . Suppose  $\Gamma_t$  irreducible. If  $|t| \leq 2g$  (or, equivalently, t is not the largest non-removable cluster), then  $\Gamma_t$  intersects at least other 3 components of  $C_s$  (given by  $t_h$ ,  $t_l$ , and  $P(t)$ ). So it cannot be contracted to obtain a model with normal crossings. A similar argument holds if there exists *o* ≠ *l* such that  $\mathfrak{s}_o \wedge \mathfrak{s}_h = \mathfrak{t}$ : at least 3 components (given by  $\mathfrak{t}_h$ ,  $\mathfrak{t}_l$  and  $\mathfrak{t}_o$ ) intersect  $\Gamma_{\mathfrak{t}}$ , so blowing down  $\Gamma_{\mathfrak{t}}$ would make the model lose normal crossings. Assume then  $|t| > 2g$  and  $\mathfrak{s}_o \wedge \mathfrak{s}_h \neq t$  for all  $o \neq l$ . Then  $\Gamma_t$ intersects at least other 2 components of  $C_s$  given by  $V_{t_h}^{w_h}$  and  $V_{t_l}^{w_l}$ . Firstly, if  $\Gamma_t$  can be blown down, then  $m_t > 1$ . But  $\rho_t = \rho_{hl} \in \mathbb{Z}$ . Then  $m_t$  is at most 2. If  $m_t = 2$  then  $D_t = 1$ , that implies  $\epsilon_t$  odd and  $\Gamma_t \simeq \mathbb{P}^1$  by Proposition [5.21.](#page-44-0) It also follows  $s_t \in \frac{1}{2}\mathbb{Z} \setminus \mathbb{Z}$ . If t is odd then this implies that  $V_t^{w_h}$  gives a  $\mathbb{P}^1$  intersecting  $\Gamma_t$ . Since that would be a third component intersecting  $\Gamma_t$ , the cluster t has to be even. Hence  $t = \Re$  and  $|t| = 2g + 2$ . Then  $\epsilon_t$  is odd if and only if  $v(c_f)$  is odd, as  $\rho_t \in \mathbb{Z}$ . Now,  $L_t^{w_h}$  gives some  $\mathbb{P}^1$ s intersecting  $\bar{X}_{F_t^{w_h}} \subset C_{\Delta,s}^{w_h}$ . All these  $\mathbb{P}^1$ s are not in  $\mathcal{C}_{\Delta,s}^{w_h}$  (and so in  $\mathcal{C}_s$ ) if and only if  $\mathfrak{t}_h \cup \mathfrak{t}_l = \mathfrak{t}$ . In particular,  $\mathfrak{t}_h$  and  $\mathfrak{t}_l$ are either both even or both odd. If  $t_h$  is even, then  $\gamma_{t_h} = 2$ , and so the component given by  $V_{t_h}^{w_h}$  has

multiplicity at least 2. The self-intersection of  $\Gamma_t$  could not be  $-1$  in this case. Assume  $t_h$  is odd. Let  $r := r_{V_{t_h}^{w_h}}$  and consider

$$
\gamma_{t_h} s_{t_h} = \frac{n_0}{d_0} > \frac{n_1}{d_1} > \ldots > \frac{n_r}{d_r} > \frac{n_{r+1}}{d_{r+1}} = \gamma_{t_h} \left( s_{t_h} - \frac{\rho_{t_h} - \rho_t}{2} \right)
$$

given by  $V_{t_h}^{w_h}$ . We want  $d_r = 1$ . Since

$$
\gamma_{t_h}\left(s_{t_h}-\frac{\rho_{t_h}-\rho_{t}}{2}\right)=-\frac{\epsilon_t}{2}+\frac{|t_h|-1}{2}\rho_t\in\frac{1}{2}\mathbb{Z}\smallsetminus\mathbb{Z},
$$

we have  $d_{r+1} = 2$ . As before  $d_r = 1$  if and only if  $\frac{\rho_{t_h} - \rho_t}{2} = \frac{n_0}{d_0} - \frac{n_{r+1}}{d_{r+1}} \ge \frac{1}{2}$  and similarly for  $t_l$ . Thus if t has two or more rational children and  $\Gamma_{\rm t}$  is irreducible then it can be blown down if and only if  $v(c_f)$  is odd and  $t = \Re$  is union of its 2 odd rational children  $t_h$  and  $t_l$ , satisfying  $\rho_{t_h} \ge \rho_t + 1$ ,  $\rho_{t_l} \ge \rho_t + 1$ . This is case [\(3\)](#page-28-3) of Definition [4.20.](#page-26-1)

Suppose now  $\Gamma_t$  reducible. By Proposition [5.21](#page-44-0) the cluster t is übereven,  $\epsilon_t$  is even and  $\Gamma_t$  is the disjoint union of  $\Gamma_t^- \simeq \mathbb{P}^1$  and  $\Gamma_t^+ \simeq \mathbb{P}^1$ . As before, both  $\Gamma_t^-$  and  $\Gamma_t^+$  intersect at least other two components (given by the proper children of t). But then neither  $\Gamma_t^-$  nor  $\Gamma_t^+$  has self-intersection  $-1$ , as  $m_t = 1$ .

We have showed that, for a rational cluster  $t \in \Sigma_{K^m}$ , an irreducible component of  $\Gamma_t$  can be blown down if and only if t is contractible. Moreover, in this case,  $\Gamma_t$  is irreducible. It remains to show that after blowing down all components  $\Gamma_t$  where t is a contractible cluster, no other component can be blown down. First note that if t is a contractible cluster, then  $m_t = 2$  and  $\Gamma_t$  intersects one or two other components of multiplicity 1 at two points in total. If it intersects only one component, then after the blowing down, the latter will have a node and will not be isomorphic to  $\mathbb{P}^1.$  If  $\Gamma_t$  intersects two components and those intersect something else in  $C_s$ , then they will not have self-intersection  $-1$  also when  $\Gamma_t$  is blown down. Therefore suppose that one of those two does not intersect any other component of *Cs*. If we are in case [\(1\)](#page-28-0) or case [\(2\)](#page-28-1), it is easy to see that this never happens. Indeed, in those cases,  $\Gamma_t$  intersects nonopen-ended chains of  $\mathbb{P}^1$ s. Then without loss of generality assume to be in case [\(3\)](#page-28-3) and that  $\Gamma_{t_h}$  is the component that can be blown down once  $\Gamma_t$  has been contracted. This implies  $\mathfrak{s}_h = \mathfrak{t}_h$  and  $\rho_{\mathfrak{s}_h} = \rho_t + 1$ . Then  $b_{s_h} = 1$  and  $\epsilon_{s_h} = \epsilon_t + |\mathfrak{s}_h|$ . Since both  $\epsilon_t$  and  $\mathfrak{s}_h$  are odd, we have  $\epsilon_{s_h} \in 2\mathbb{Z}$ . So  $D_{s_h} = 2$  and  $\tilde{\mathfrak{s}}_h$  is the set of rational children of  $\mathfrak{s}_h$ . Hence  $g(\mathfrak{s}_h) = \lfloor \frac{|\mathfrak{s}_h| - 1}{2} \rfloor \ge 1$  since  $|\mathfrak{s}_h| \ge 3$ . But then  $\Gamma_{\mathfrak{s}_h}$  cannot be blown down.

#### *5.12. Galois action*

Consider the base extended hyperelliptic curve  $C_{K^{nr}}/K^{nr}$ . The rational clusters of  $C_{K^{nr}}$  and their corresponding rational centres are then over  $K^{nr}$ . Denote  $\Sigma_{K^{nr}} = \Sigma_{K^{nr}}^{rat}$ . For any proper cluster  $\mathfrak{s} \in \Sigma_{K^{nr}}$ , let  $G_s = \text{Stab}_{G_K}(\mathfrak{s}), K_s = (K^s)^{G_s}$  and  $k_s$  be the residue field of  $K_s$ . Let  $\Sigma_{C_{K^{n r}}}^{\text{min}} = {\mathfrak{s}}_1, \ldots, {\mathfrak{s}}_m$  be the set of rationally minimal clusters of  $C_{K^{nr}}$ . Fix a set  $W = \{w_1, \ldots, w_m\} \subset K^{nr}$  of corresponding rational cen-tres. By Lemma [A.1,](#page-56-11) we can assume this choice to be  $G_K$ -equivariant, that is for any  $\sigma \in G_K$ , one has  $\sigma(w_l) = w_h$  if and only if  $\sigma(\mathfrak{s}_l) = \mathfrak{s}_h$ . We can also require that  $w_h \in \mathfrak{s}_h$  if  $\mathfrak{s}_h \cap K_{\mathfrak{s}_h} \neq \emptyset$ . Similarly, for any proper cluster  $\mathfrak{t} \in \Sigma_{K^{nr}} \setminus \Sigma_{C_{K^{nr}}}^{\min}$ , fix a rational centre  $w_{\mathfrak{t}}$  in such a way that  $w_{\sigma(i)} = \sigma(w_{\mathfrak{t}})$  for any  $\sigma \in G_K$ . Set  $w_{\mathfrak{s}_o} := w_o$  for any  $o = 1, \ldots, m$ .

<span id="page-46-0"></span>**Lemma 5.22.** *With the choices above, for any*  $h = 1, \ldots, m$ , the set of proper clusters in  $\Sigma_{C_{K^{n r}}}^{w_h}$  coincides  $with \ \sum_{C_{K^{nr}}}^{\infty}$ .

*Proof.* Suppose by contradiction that there exists a non-proper cluster  $\{r\} = s \in \sum_{C_{K^{nr}}}^{\nu_h}$ , with  $r \neq \nu_h$ . Note that  $r \in s_h$  and so  $s < s_h$ . Recall that since s is a cluster centred at  $w_h$ , it is cut out by the disc  $\mathcal{D} = \{x \in \overline{K} \mid v(x - w_h) \ge \rho_s^{w_h}\}\$ , with  $\rho_s^{w_h} = v(r - w_h) > \rho_{s_h}$ . This implies that  $w_h \notin \mathfrak{R}$ , otherwise  $w_h \in \mathfrak{s}$  and  $|s| \ge 2$ . In particular,  $w_h \notin s_h$ . For our choice of  $w_h$ , it follows that  $s_h \cap K_{\alpha_h} = \emptyset$ . Therefore  $r \notin K_{\alpha_h}$ and so there exists  $\sigma \in G_{s_h}$  such that  $\sigma(r) \neq r$ . Since  $w_h \in K_{s_h}$  we have

$$
v(\sigma(r)-w_h)=v(\sigma(r-w_h))=v(r-w_h)=\rho_s^{w_h}.
$$

But then  $\sigma(r) \in \mathfrak{s}$ , and so  $|\mathfrak{s}| > 2$ , a contradiction.

Assume that  $C_{K^{nr}}$  is y-regular and has an almost rational cluster picture. By the previous lemma, from the set of rational centres *W* we can construct the proper regular model  $C/O_{K^{nr}}$  of  $C_{K^{nr}}$  as previously presented in this section. In this subsection we show how the Galois group Gal( $K^{nr}/K$ ) acts on the  $O_{K^{nr}}$ scheme *C*. Moreover, we describe the induced action of  $G_k$  on the special fibre  $C_s/k^s$ , and give defining equations for the principal components of  $C_s$  compatibly with the action.

For any  $l = 1, \ldots, m$ , recall the notation  $f_l(x) = f(x + w_l) \in K^{nr}[x]$  and  $C^{w_l}/K^{nr}: y^2 = f_l(x)$ . Fix  $\sigma \in G_K$ . Let  $l, h = 1, \ldots, m$  such that  $\sigma(\mathfrak{s}_l) = \mathfrak{s}_h$ . Then  $\sigma(f_l) = f_h$ . Now, let  $\mathfrak{t} \in \sum_{K \in \mathbb{N}^n}^{\mathbb{N}^n}$  be a proper cluster. Then  $\sigma(t) \in \sum_{C_{K^{nr}}}^{w_h}$  and  $\rho_t = \rho_{\sigma(t)}$ . It follows that most of the quantities attached to t, such as those of Definition [4.6,](#page-23-1) are the same for  $\sigma(t)$ , for example  $\epsilon_t = \epsilon_{\sigma(t)}$ . In particular, if *M* is a matrix associated to t then *M* is associated to  $\sigma(t)$  as well. So  $\sigma(\mathcal{F}_{M}^{l}) = \mathcal{F}_{M}^{h}$ . Finally, as  $\sigma(\prod_{o \neq l} (x + w_{lo})^{-1}) = \prod_{o \neq h} (x + w_{ho})^{-1}$  we also have  $\sigma(T_M^l) = T_M^h$ .

Hence the natural  $K^{nr}$ -isomorphism  $C^{w_h} \stackrel{\sigma}{\rightarrow} C^{w_l}$  induces  $O_{K^{nr}}$ -isomorphisms of schemes

$$
\mathcal{C}_{\Delta}^{w_h} \stackrel{\sigma}{\to} \mathcal{C}_{\Delta}^{w_l}, \qquad \mathring{\mathcal{C}}_{\Delta}^{w_h} \stackrel{\sigma}{\to} \mathring{\mathcal{C}}_{\Delta}^{w_l}, \qquad U_M^h \stackrel{\sigma}{\to} U_M^l. \tag{10}
$$

Via the glueing morphisms [\(4\)](#page-34-4), these maps describe the action of  $G_K$  on  $\mathcal{C}$ .

We want to study the action of  $G_k$  on the special fibre of C more in detail. Let  $\sigma \in \text{Gal}(K^{nr}/K)$ and let  $\bar{\sigma} \in G_k$  corresponding to  $\sigma$  via the canonical isomorphism Gal( $K^{nr}/K$ )  $\simeq G_k$ . Let *l*, *h* and t as above. In Section [5.6](#page-37-0) we described closed 1-dimensional subschemes composing  $\mathcal{C}^{w_l}_{\Delta,s}$  and the morphisms induced by the glueing maps. Recall the polynomials introduced in Definition [5.12.](#page-37-2) From [\(10\)](#page-47-0) we get

<span id="page-47-1"></span>
$$
\bar{\sigma}(\overline{g_{s_l,w_l}^0}) = \overline{g_{s_h,w_h}^0}, \quad \bar{\sigma}(\overline{g_{t,w_l}}) = \overline{g_{\sigma(t),w_h}}, \quad \bar{\sigma}(\overline{g_l|_{L_t^{w_l}}}) = \overline{g_h|_{L_{\sigma(t)}^{w_h}}}.
$$

From the equality [\(7\)](#page-38-3) we obtain  $\bar{\sigma}(f_{t,w_l}) = f_{\sigma(t),w_h}$ . Note that the previous relations can also be recovered directly from the definitions.

**Lemma 5.23.** Let  $w_t$  be the rational centre of  $t$  fixed above. Then

(i) 
$$
\overline{g_{t,w_t}}
$$
,  $\overline{f_{t,w_t}} \in k_t[X]$ ;  
\n(ii)  $\overline{g_{t,w_t}} = \overline{g_{t,w_t}} \text{ and } \overline{f_{t,w_t}}(X) = \overline{f_{t,w_t}}(X + \overline{u_{w_tw_t}}) \text{ where } \overline{u_{w_tw_t}} = \frac{w_t - w_t}{\pi^{\rho_t}} \mod \pi$ ;

*Proof.* For any rational centre *w* of t, let  $u_{t,w} = c_f \prod_{r \in \Re\setminus t} (w - r)$  as in Definition [5.12.](#page-37-2) Note that  $u_{t,w}/\pi^{\nu(u_{t,w})}$  is independent of *w* since

$$
v((w_t - r) - (w - r)) = v(w_t - w) \ge \rho_t > v(w_t - r)
$$

for any  $r \in \mathfrak{R} \setminus \mathfrak{t}$ . Then  $\overline{g_{\mathfrak{t},w_i}} = \overline{g_{\mathfrak{t},w_i}}$ . If  $\overline{\sigma} \in \text{Gal}(k^s/k_{\mathfrak{t}})$ , i.e.  $\sigma \in \text{Gal}(K^{nr}/K_{\mathfrak{t}})$ , then

$$
\bar{\sigma}(\overline{g_{\mathfrak{t},w_{\mathfrak{t}}}}) = \bar{\sigma}(\overline{g_{\mathfrak{t},w_{l}}}) = \overline{g_{\mathfrak{t},w_{h}}} = \overline{g_{\mathfrak{t},w_{\mathfrak{t}}}}.
$$

In particular  $\overline{g_{t,w_t}} \in k_t[X]$ .

Since  $u_{\text{tw}}/\pi^{\nu(u_{\text{tw}})}$  is independent of *w* we also have

$$
\overline{f_{\mathfrak{t},w_{\mathfrak{t}}}}(X^{b_{\mathfrak{t}}})=\overline{f_{\mathfrak{t},w_{l}}}(X+\overline{u_{w_{\mathfrak{t}}w_{l}}})^{b_{\mathfrak{t}}}).
$$

Suppose  $\rho_t \in \mathbb{Z}$ . Then  $b_t = 1$  and so the equality above implies  $\overline{f_{t,w_t}}(X) = \overline{f_{t,w_t}}(X + \overline{u_{w_tw_t}})$ . Suppose  $\rho \notin \mathbb{Z}$ . Then  $v(w - w_t) > \rho_t$  for any rational centre *w* of t as  $v(w - w_t) \in \mathbb{Z}$  and  $v(w - w_t) \ge \rho_t$ . Hence  $\overline{u_{w_t w_t}} = 0$ . Thus  $\overline{f_{t,w_t}}(X^{b_t}) = \overline{f_{t,w_t}}(X^{b_t})$ , which implies  $\overline{f_{t,w_t}}(X) = \overline{f_{t,w_t}}(X) = \overline{f_{t,w_t}}(X + \overline{u_{w_tw_t}})$ . If  $\overline{\sigma} \in \text{Gal}(k^s/k_t)$ , i.e.  $\sigma \in$ 

<span id="page-47-0"></span>
$$
\Box
$$

 $Gal(K^{nr}/K_t)$ , then

$$
\bar{\sigma}(\bar{f}_{\mathfrak{t},w_{\mathfrak{t}}})(X) = \bar{\sigma}(\bar{f}_{\mathfrak{t},w_{\mathfrak{l}}})(X + \bar{\sigma}(\overline{u_{w_{\mathfrak{t}}w_{\mathfrak{l}}}})) = \bar{f}_{\mathfrak{t},w_{\mathfrak{l}}}(X + \overline{u_{w_{\mathfrak{l}}w_{\mathfrak{l}}}}) = \bar{f}_{\mathfrak{t},w_{\mathfrak{l}}}(X),
$$

 $\Box$ 

and so  $\overline{f_{t,w_t}} \in k_t[X]$ .

**Remark 5.24.** Note that the polynomials  $f_{t,w_t}$ ,  $\overline{g_{t,w_t}}$  and  $g_{s_h,w_h}^0$  equal the polynomials  $f_t$ ,  $\overline{g_t}$  and  $g_{s_h}^0$  given *in Definition* [4.22](#page-26-2)*.*

Let  $V = V_t^{w_l}$  and consider the subscheme  $X_V \times \mathbb{P}_V$  of  $C_s$  given by *V*, where  $\mathbb{P}_V$  is a chain of  $\mathbb{P}^1$ s and  $X_V: \{g_{t,w_l} = 0\}$  over  $\mathbb{G}_{m,k^s}$ . If  $\mathfrak{s}_h \subset \mathfrak{t}$ , then the glueing map  $U_M^h \to U_M^l$  induces the identity  $\phi_V^{hl}: X_{V_t^w}$  $\Rightarrow$  $X_{V_t^{w_l}}$ . Define  $X_t \subseteq \mathbb{G}_{m,k^s}$  given by  $g_{t,w_t} = 0$ . By Lemma [5.23,](#page-47-1)  $\phi_v^o : X_t \stackrel{\simeq}{\to} X_{V_t^{w_o}}$ , for  $o = h, l$ , and this isomorphism is compatible with the Galois action and the glueing maps, that is  $\sigma \circ \phi_V^h = \phi_V^l \circ \sigma$  and  $\phi_V^{hl} \circ \phi_V^h = \phi_V^l$  as morphisms on  $X_t$ .

When  $V_0 = V_0^{w_l}$  we can consider the subscheme  $X_{V_0} \times \mathbb{P}_{V_0}$  given by  $V_0$ , where  $\mathbb{P}_{V_0}$  is a chain of  $\mathbb{P}^1$ s and  $X_{V_0}$ : { $\overline{g_{s_l,w_l}} = 0$ } over  $\mathbb{G}_{m,k}$ <sup>5</sup>. Since  $X_{V_0} \times \mathbb{P}_{V_0}$  is never glued to any other component there is no need to choose a different model for it.

Let  $L = L_t^{w_l}$  and consider the subscheme  $X_L^W \times \mathbb{P}_L$  given by *L*, where  $\mathbb{P}_L$  is a chain of  $\mathbb{P}^1$ s and  $X_L^W$ :  $\{f_{t,w_l} = 0\}$  over  $\mathbb{A}_{k^s}^1$ . If  $\mathfrak{s}_h \subset \mathfrak{t}$ , then the isomorphism  $\phi_L^{hl}$ :  $X_{L_k^w h}^W \cong X_{L_k^w l}^W$  given by the glueing map  $U_M^h \to U_M^l$  is induced by the ring isomorphism  $k^{s}[X] \to k^{s}[X]$ , sending  $X \mapsto X + \overline{u_{w_h w_l}}$ , where  $\overline{u_{w_h w_l}} = \frac{w_h - w_l}{\log x}$  mod  $\pi$ . Define  $X^W \subset \mathbb{A}^1$  given by  $\overline{f} = 0$ . By Lemma 5.23, the man  $X \mapsto X + \overline{u}$  $\frac{f_h - w_l}{\pi r^2}$  mod  $\pi$ . Define  $X_t^W \subseteq \mathbb{A}_{k^s}^1$  given by  $\overline{f_{t,w_t}} = 0$ . By Lemma [5.23,](#page-47-1) the map  $X \mapsto X + \overline{u_{w_t w_l}}$  induces an isomorphism  $\phi_L^o: X_\mathfrak{t}^w$  $\stackrel{\simeq}{\to} X^W_{L^{w_0}_t}$ , for  $o = h, l$ , compatible with the Galois action and the glueing morphisms, that is  $\sigma \circ \phi_L^h = \phi_L^l \circ \sigma$  and  $\phi_L^{hl} \circ \phi_L^h = \phi_L^l$  as morphisms on  $X_t^W$ .

Recall the definitions of  $\tilde{\mathfrak{t}}^w$  and  $\mathbb{G}_{\mathfrak{t},w_l} \subseteq \mathbb{A}^1_{k^s}$  given in Definition [5.15](#page-38-0) and the definition of t given in Definition [4.22.](#page-26-2) Note that by Lemma [5.22,](#page-46-0)

$$
\hat{\mathfrak{t}}^W = \{ \mathfrak{s} \in \Sigma_{K^{nr}} \cup \{ \varnothing \} \mid \mathfrak{s} < \mathfrak{t} \} \setminus \{ \{ r \} \in \Sigma_{K^{nr}} \mid r \notin W \}.
$$

Fix  $c = 0, \ldots, b_t - 1$  such that  $1/b_t - c\rho_t \in \mathbb{Z}$ . For any rational centre  $w \in K^{nr}$  of t define  $\hat{f}_{t,w} \in k^s[X, Y]$ by

<span id="page-48-0"></span>
$$
\hat{f}_{\mathfrak{t},w}(X) = \prod_{\mathfrak{s} \in \mathfrak{t}^W} (X - \overline{u_{w_{\mathfrak{s}W}}})^{\frac{|\mathfrak{s}|}{b_{\mathfrak{t}}} - c\epsilon_{\mathfrak{t}}}
$$

where  $\overline{u_{w_s w}} = \frac{w_s - w}{\pi^{\rho_t}}$  mod  $\pi$  ( $w_s = w_l$  if  $s = \emptyset$ ). Let  $L = L_t^{w_l}$ ,  $F = F_t^{w_l}$  and  $M = M_{L,0}$ . It follows from Lemma [5.17](#page-39-1) that the scheme  $\Gamma_t^{w_l} = X_F \cap U_M^l$  is given by  $Y^{D_t} = \hat{f}_{t,w_l}(X)$  as a subscheme of  $\mathbb{G}_{t,w_l} \times \mathbb{A}^1_{k^s}$ . We then obtain  $\bar{\sigma}(\hat{f}_{t,w_l}) = \hat{f}_{\sigma(t),w_h}$  from the action [\(10\)](#page-47-0) of  $\sigma$  on  $U_M^l$ .

**Lemma 5.25.** *With the notation above,*

(i)  $\hat{f}_{t,w_t} \in k_t[X]$ ; (ii)  $\hat{f}_{t,w_t}(X) = \hat{f}_{t,w_l}(X + \overline{u_{w_tw_l}})$  *where*  $\overline{u_{w_tw_l}} = \frac{w_t - w_l}{\pi^{\rho_t}} \mod \pi$ ;

*Proof.* If  $\mathfrak{s} \in \mathfrak{t}$ , then  $\sigma(\mathfrak{s}) \in (\sigma(\mathfrak{t}))$  and  $\bar{\sigma}(\overline{u_{w_{\mathfrak{s}w}}}) = \overline{u_{w_{\sigma(\mathfrak{s})}\sigma(w)}}$  for any rational centre *w* of  $\mathfrak{t}$ . Hence  $\hat{f}_{\mathfrak{t},w_{\mathfrak{t}}} \in$  $k_t[X]$  and  $\bar{\sigma}(\hat{f}_{t,w_l}) = \hat{f}_{\sigma(t),w_h}$  by Lemma [5.23\(](#page-47-1)i),(iii). Since  $\overline{u_{w_sw_t}} = \overline{u_{w_sw_l}} - \overline{u_{w_tw_l}}$ , Lemma 5.23(ii) implies  $\hat{f}_{t,w_t}(X) = \hat{f}_{t,w_l}(X + \overline{u_{w_tw_l}}).$  $\Box$ 

Define  $\Gamma_t^{w_t} \subset \mathbb{G}_{t,w_t} \times \mathbb{A}_{k}^1$  given by  $Y^{D_t} = \hat{f}_{t,w_t}$ . Suppose  $\mathfrak{s}_h \subset \mathfrak{t}$ , and let  $\phi_t^{hl} : \Gamma_t^{w_h} \simeq \Gamma_t^{w_l}$  be the isomorphism coming from the glueing map  $U_M^h \to U_M^l$  induced by the ring homomorphism  $X \mapsto X + \overline{u_{w_h w_l}}$ . By Lemma [5.25,](#page-48-0) the map  $X \mapsto X + \overline{u_{w_t w_l}}$  induces an isomorphism  $\phi_t^o: \Gamma_t^{w_t} \simeq \Gamma_t^{w_o}$ , for  $o = h, l$ , which is compatible with the Galois action and the glueing maps, that is  $\sigma \circ \phi_t^h = \phi_t^l \circ \sigma$  and  $\phi_t^{hl} \circ \phi_t^h = \phi_t^l$  as <span id="page-49-1"></span>morphisms on  $\Gamma_t^{w_t}$ . Therefore  $\Gamma_t$  is isomorphic to the smooth completion of  $\Gamma_t^{w_t}$ , and so it is given by  $Y^{D_t} = \tilde{f}_t(X)$ , where  $\tilde{f}_t(X) = \prod_{s \in t} (X - \overline{u_{w_s w_t}}) \overline{f_{t,w_t}}(X)$  is the polynomial given in Definition [4.22.](#page-26-2)

#### **6. Integral differentials**

Let *C* be a hyperelliptic curve of genus  $g \ge 1$  defined over *K* by a Weierstrass equation  $y^2 = f(x)$ . It is wellknown that the *K*-vector space of global sections of the sheaf of differentials of *C*, namely  $H^0(C, \Omega^1_{C/K}),$ is spanned by the basis

$$
\underline{\omega} = \left\{ \frac{dx}{2y}, x\frac{dx}{2y}, \dots, x^{g-1}\frac{dx}{2y} \right\}.
$$

Let *C* be a regular model of *C* over  $O_K$  and consider its canonical (or dualising) sheaf  $\omega_{C/O_K}$ . The free  $O_K$ -module of its global sections  $H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K})$  can be viewed as an  $O_K$ -lattice in  $H^0(\mathcal{C}, \Omega^1_{\mathcal{C}/K})$  (see [\[9,](#page-55-4) Corollary 9.2.25(a)]). The aim of this section is to present a basis of  $H^0(C, \omega_{C/OK})$  as an  $O_K$ -linear combination of the elements in  $\omega$  under the assumptions of Theorem [4.23.](#page-27-0) Note that by [\[9,](#page-55-4) Corollary 9.2.25(b)] the problem is independent of the choice of model  $C$  but it does depend on the choice of the equation  $y^2 = f(x)$  since the basis  $\omega$  does. Throughout this section let *C* and  $C/O_K$  be as above.

If *C* is  $\Delta_v$ -regular, [\[1,](#page-55-1) Theorem 8.12] gives an  $O_K$ -basis of  $H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K})$ , as required. We rephrase it in terms of rational cluster invariants, by using results of Section [3](#page-11-0) and Lemma [4.12.](#page-24-3)

**Theorem 6.1.** *Suppose C has an almost rational cluster picture and is y-regular, and all proper clusters*  $\mathfrak{s} \in \Sigma_c$  have same rational centre  $w \in K$ . Let  $\mathfrak{s}_1 \subset \cdots \subset \mathfrak{s}_n = \mathfrak{R}$  be the proper clusters in  $\Sigma_c^{rat}$ . For every *j* = 0, ... , *g* − 1*, define*

$$
i_j := \min\{i \in \{1, \ldots, n\} \mid 2(j+1) < |s_i|\}
$$

*and*

<span id="page-49-0"></span>
$$
e_j := \tfrac{1}{2} \epsilon_{\mathfrak{s}_{i_j}} - (j+1) \rho_{\mathfrak{s}_{i_j}}.
$$

*Then the differentials*

$$
\mu_j = \pi^{\lfloor e_j \rfloor}(x - w)^j \frac{dx}{2y}
$$
  $j = 0, \ldots, g - 1,$ 

*form an*  $O_K$ -basis of  $H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K})$ .

*Proof.* Let  $C^w$ : $y^2 = f(x + w)$  be the hyperelliptic curve isomorphic to *C* through the change of variable  $y \mapsto y$ ,  $x \mapsto x + w$ . By Corollary [3.25](#page-17-0) and Lemma [4.12,](#page-24-3) the curve  $C^w$  is  $\Delta_v$ -regular. Since  $\Sigma_C^{\text{rat}}$  consists of the proper clusters in  $\Sigma_c^{\nu}$ , Lemma [4.3](#page-22-2) and [\[1,](#page-55-1) Theorem 8.12] implies that

$$
\mu_j = \pi^{\lfloor e_j \rfloor} x^j \frac{dx}{2y} \qquad j = 0, \ldots, g-1,
$$

form an  $O_K$ -basis of  $H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K})$  as a lattice in  $H^0(\mathcal{C}^w, \Omega^1_{\mathcal{C}^w/K})$  (that is if  $\mathcal{C}$  is regarded as a model of  $\mathcal{C}^w$ ). Changing variables concludes the proof.

Suppose now *C* has an almost rational cluster picture and is *y*-regular. Let  $\Sigma_c^{\text{min}}$  be the set of rationally minimal clusters and let  $W = \{w_s \mid s \in \Sigma_C^{\text{min}}\}$  be a corresponding set of rational centres, such that all clusters in  $\Sigma_c^{w_s}$  are proper. For every proper cluster  $\mathfrak{t} \in \Sigma_c^{rat}$ , choose a minimal cluster  $\mathfrak{s} \subseteq \mathfrak{t}$  and set  $w_t := w_s$ . Consider the regular model  $C/O_K$  of *C* of Theorem [4.18,](#page-26-0) constructed in Section [5](#page-29-0) by glueing the open subschemes  $\mathcal{C}^w_\Delta$  of  $\mathcal{C}^w_\Delta$  for  $w \in W$ . We want to describe the canonical morphism  $C \to C$ . Write  $W = \{w_1, \ldots, w_m\}$  as in Section [5.](#page-29-0) For any  $h = 1, \ldots, m$ , let  $\mathfrak{t} \in \Sigma_C^{w_h}$  be a proper cluster and let *M* be a matrix associated to t. Let  $C^{w_h}: y^2 = f(x + w_h)$  and

$$
y^2 - f(x + w_h) \stackrel{M}{=} Y^{n_Y} Z^{n_Z} \mathcal{F}_M^h(X, Y, Z).
$$

Then, on the affine chart  $X_M$  the projection  $C \to C_{\Delta}^{w_h}$  is induced by

$$
\frac{R}{(\mathcal{F}_M^h(X, Y, Z))} \stackrel{M}{\to} \frac{K[(x')^{\pm 1}, (y')^{\pm 1}]}{((y')^2 - f(x' + w_h))} \stackrel{\simeq}{\to} \frac{K[x^{\pm 1}, y^{\pm 1}]}{(y^2 - f(x))},
$$

where  $(X, Y, Z) = (x', y', \pi) \cdot M$  and  $(x', y') = (x - w_h, y)$ . In particular it follows that  $(X, Y, Z) = (x - w_h, y)$  $w_h$ , *y*, *z*) • *M* and so

$$
\begin{pmatrix} x - w_h \\ y \\ \pi \end{pmatrix} = \begin{pmatrix} X^{\tilde{m}_{11}} Y^{\tilde{m}_{21}} Z^{\tilde{m}_{31}} \\ X^{\tilde{m}_{12}} Y^{\tilde{m}_{22}} Z^{\tilde{m}_{32}} \\ X^{\tilde{m}_{13}} Y^{\tilde{m}_{23}} Z^{\tilde{m}_{33}} \end{pmatrix} = \begin{pmatrix} X \\ Y \\ Z \end{pmatrix} \bullet M^{-1}.
$$

For a proper cluster  $\mathfrak{t} \in \Sigma_C^{\text{rat}}$  recall the definitions of  $\Gamma_{\mathfrak{t}}$  and  $m_{\mathfrak{t}}$ .

**Proposition 6.2.** *Let*  $\mathfrak{t} \in \Sigma_C^{\text{rat}}$  *be a proper cluster. Then*<sup>5</sup>

<span id="page-50-1"></span>
$$
\begin{aligned} \n\text{ord}_{\Gamma_{\mathfrak{t}}}(x - w_{\mathfrak{s}}) &= m_{\mathfrak{t}} \rho_{\mathfrak{t}},\\ \n\text{ord}_{\Gamma_{\mathfrak{t}}}\frac{dx}{2y} &= -m_{\mathfrak{t}}\left(\frac{1}{2}\epsilon_{\mathfrak{t}} - \rho_{\mathfrak{t}} - 1\right) - 1. \n\end{aligned}
$$

*for every proper cluster*  $\mathfrak{s} \in \Sigma_C^{\text{rat}}$ ,  $\mathfrak{s} \subseteq \mathfrak{t}$ .

*Proof.* Let  $g(x, y) = y^2 - f(x)$ . Let  $W = \{w_1, \ldots, w_m\}$  as above. Let  $h \in \{1, \ldots, m\}$  such that  $w_h = w_s$ . Let  $F = F_t^{w_h}$ ,  $V = V_t^{w_h}$ ,  $M = M_{V,0}$  and let X, Y, Z be the transformed variables  $(X, Y, Z) = (x - w_s, y, \pi)$ . *M*. Define  $\mathcal{H}(X, Y, Z) = \pi - X^{\tilde{m}_{13}} Y^{\tilde{m}_{23}} Z^{\tilde{m}_{33}}$ , and  $\mathcal{G}(X, Y, Z) = g((X, Y, Z) \bullet M^{-1})$ . We have

$$
\mathcal{F}_M^h(X, Y, Z) = Y^{-n_Y} Z^{-n_Z} \mathcal{G}(X, Y, Z),
$$

where  $n_Z = m_t \epsilon_t$ , since  $\text{ord}_Z(y^2) = m_t \epsilon_t$  for Lemma [5.2.](#page-30-2) Write  $\mathcal{F} = \mathcal{F}_M^h$ . Note that  $d(x - w_s) = dx$  and  $(g_{w_s})'_x(x - w_s) = g'_x(x)$ , where  $g_{w_s}(x, y) = g(x + w_s, y)$ . Then, by [\[1,](#page-55-1) 8.7],

$$
\begin{cases}\n(x - w_s)g'_x = m_{11}X\mathcal{G}'_x + m_{12}Y\mathcal{G}'_Y + m_{13}Z\mathcal{G}'_Z \\
yg'_y = m_{21}X\mathcal{G}'_x + m_{22}Y\mathcal{G}'_Y + m_{23}Z\mathcal{G}'_Z\n\end{cases}
$$

from which it follows that

$$
m_{11}yg'_y - m_{21}(x - w_s)g'_x = (m_{11}m_{22} - m_{21}m_{12})YG'_Y - (m_{21}m_{13} - m_{11}m_{23})ZG'_Z
$$
  
=  $\tilde{m}_{33}YG'_Y - \tilde{m}_{23}ZG'_Z$ .

We will show later that this quantity is non-zero. Moreover,

$$
\tilde{m}_{33}YG'_{Y} - \tilde{m}_{23}ZG'_{Z} = Y^{n_{Y}}Z^{n_{Z}}(\tilde{m}_{33}Y\mathcal{F}'_{Y} - \tilde{m}_{23}Z\mathcal{F}'_{Z} + (n_{Y} + n_{Z})\mathcal{F}).
$$

Recall that  $X = (x - w_s)^{m_{11}} y^{m_{21}} \pi^{m_{31}}$ . Then  $\frac{dx}{x} = m_{11} \frac{dx}{x - w_s} + m_{21} \frac{dy}{y}$ . Furthermore, as  $0 = dg = g'_x dx + g'_y dy$ in  $\Omega_{C/K}$ , we have

$$
\frac{dX}{X} = \left(\frac{m_{11}}{x - w_s} - \frac{m_{21}}{y} \frac{g'_x}{g'_y}\right) dx = \frac{dx}{(x - w_s)yg'_y} \left(m_{11}yg'_y - m_{21}(x - w_s)g'_x\right).
$$

Therefore

$$
\frac{dx}{2(x - w_s)y^2} = \frac{dX}{XY^{n_Y}Z^{n_Z} (\tilde{m}_{33}Y\mathcal{F}_Y' - \tilde{m}_{23}Z\mathcal{F}_Z' + (n_Y + n_Z)\mathcal{F})}.
$$
(11)

Let *S* = Spec  $O_K$ . Considering  $X^{-1}$  as an independent variable, the scheme

$$
U = \text{Spec } \frac{O_K[Y, Z, X^{-1}, X]}{(\mathcal{F}, \mathcal{H}, X \cdot X^{-1} - 1)}
$$

<span id="page-50-0"></span><sup>5</sup> If  $\Gamma_t$  is reducible, say  $\Gamma_t = \Gamma_t^- \cup \Gamma_t^+$ , with  $\text{ord}_{\Gamma_t}(\cdot)$  we mean  $\min\{\text{ord}_{\Gamma_t^-}(\cdot), \text{ord}_{\Gamma_t^+}(\cdot)\}$ 

defines a complete intersection in  $\mathbb{A}_{S}^4$ . Furthermore, *U* is an open subscheme of  $\mathcal{C}_{\Delta}^{w_h} \cap X_M$  that restricted to  $\mathbb{A}_S^4 \setminus \{T_M^h(X, Y, Z) = 0\}$  equals  $U_M^h$ . In particular, *U* is integral. From Section [5.5](#page-35-3) it follows that  $U_t =$  $U \cap \{Z = 0\}$  is a dense open subset of  $X_F$ . Recall that  $X_F$  is an open subscheme of  $\Gamma_t$ . Hence it suffices to prove the proposition for  $U_t$  instead of  $\Gamma_t$  ([\[9,](#page-55-4) Lemma 9.2.17(a)]). Since *X* and *Y* are units and *Z* vanishes to order 1 on  $U_t$ , Lemma 5.2 implies that

$$
\text{ord}_{U_t}(x - w_s) = \tilde{m}_{31} = m_t \rho_t, \quad \text{ord}_{U_t} y = \tilde{m}_{32} = m_t \frac{\epsilon_t}{2}, \quad \text{ord}_{U_t} \pi = \tilde{m}_{33} = m_t. \tag{12}
$$

Recall that *U* is integral and that  $U_n$  is isomorphic to an open subscheme of *C*. Then  $U_n$  is smooth. Hence, by [\[9,](#page-55-4) Corollary 6.4.14(b)], the sheaf  $\omega_{C/O_K}$  is generated on *U* by  $\mathcal{E}^{-1}dX$  where

<span id="page-51-1"></span>
$$
\mathcal{E} := \begin{vmatrix} \mathcal{F}'_Y & \mathcal{F}'_Z & \mathcal{F}'_{X^{-1}} \\ H'_Y & H'_Z & \mathcal{F}'_{X^{-1}} \\ 0 & 0 & X \end{vmatrix} = -\pi XY^{-1}Z^{-1} \left( \tilde{m}_{33} Y \mathcal{F}'_Y - \tilde{m}_{23} Z \mathcal{F}'_Z \right), \tag{13}
$$

if  $\mathcal E$  is non-zero. Suppose it is; we are going to prove it later. Thus, as  $\mathcal F = 0$  on *U*, we have

$$
\operatorname{ord}_{U_{\mathfrak{t}}}\frac{dx}{2(x - w_{\mathfrak{s}})y^2} = \operatorname{ord}_{U_{\mathfrak{t}}}\frac{dx}{xY^{n\gamma}Z^{n\gamma}(\tilde{m}_{33}Y\mathcal{F}'_Y - \tilde{m}_{23}Z\mathcal{F}'_Z)}} \qquad \qquad \text{from (11)}
$$

$$
= \operatorname{ord}_{U_{\mathfrak{t}}} \left( \pi Y^{-n_Y - 1} Z^{-n_Z - 1} \mathcal{E}^{-1} dX \right) \qquad \text{from (13)}
$$

$$
= m_{t} - n_{Z} - 1 = m_{t} (-\epsilon_{t} + 1) - 1
$$
 from (12).

Then it follows from [\(12\)](#page-51-1) that

$$
\mathrm{ord}_{U_{\mathfrak{t}}}\frac{dx}{2y}=m_{\mathfrak{t}}\left(\rho_{\mathfrak{t}}+\frac{1}{2}\epsilon_{\mathfrak{t}}\right)+\mathrm{ord}_{U_{\mathfrak{t}}}\frac{dx}{2(x-w_{\mathfrak{s}})y^{2}}=m_{\mathfrak{t}}\left(-\frac{1}{2}\epsilon_{\mathfrak{t}}+\rho_{\mathfrak{t}}+1\right)-1.
$$

It remains to show that  $\mathcal E$  does not equal 0 on  $U$ . Suppose it does. Then from the computations above, it follows that  $m_{11}yg'_y - m_{21}(x - w_s)g'_x = 0$  in  $K(C)$ . Since  $m_{21}$  equals either 1 or 2 by Lemma [5.2,](#page-30-2) it follows that there exists a non-zero  $c \in K$ , such that

<span id="page-51-0"></span>
$$
m_{11}yg'_y - m_{21}(x - w_s)g'_x + cg = 0
$$

 $(c \in K$  from degree analysis). Then  $cf(x) = m_{21}(x - w_s)f'(x)$ . Note that  $m_{21}$  is non-zero as char( $K \neq 2$ . But then a contradiction follows since *f* is a separable polynomial of degree  $\geq 3$ .  $\Box$ 

In the following two theorems we describe a basis of integral differentials of *C*. We use Definitions/Notations [3.1,](#page-11-2) [3.3,](#page-11-3) [3.2,](#page-11-1) [3.8,](#page-12-1) [3.9,](#page-12-0) [3.26,](#page-18-1) [4.6,](#page-23-1) [4.10](#page-24-0) in the statements.

**Theorem 6.3.** *Let*  $C/K$  *be a hyperelliptic curve of genus*  $g \ge 1$  *defined by the Weierstrass equation*  $y^2 = f(x)$  and let  $C/O_K$  be a regular model of C. Suppose C has an almost rational cluster picture and *is y*-regular. For  $i = 0, \ldots, g - 1$  *inductively* 

(i) define 
$$
e_i := \max_{t \in \Sigma_C^{\text{rat}}} \left\{ \frac{\epsilon_t}{2} - \rho_t - \sum_{j=0}^{i-1} \rho_{s_j \wedge t} \right\};
$$
  
\n(ii) choose a maximal element  $\mathfrak{s}_i$  of  $\left\{ t \in \Sigma_C^{\text{rat}} \mid e_i = \frac{\epsilon_t}{2} - \rho_t - \sum_{j=0}^{i-1} \rho_{s_j \wedge t} \right\}$  freely.

*Then the differentials*

$$
\mu_i = \pi^{\lfloor e_i \rfloor} \prod_{j=0}^{i-1} (x - w_{s_j}) \frac{dx}{2y}, \qquad i = 0, \ldots, g-1,
$$

*form an*  $O_K$ -basis of  $H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K})$ .

*Proof.* Since  $H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K})$  is independent of the choice of regular model, we consider  $\mathcal C$  to be the model described in Theorem [4.18](#page-26-0) and constructed in Section [5.](#page-29-0)

We first show that the differentials  $\mu_i$  are global sections of  $\omega_{C/OK}$ . It suffices to prove they are regular along all components  $\Gamma_t$ , where  $t \in \Sigma_c^{\text{rat}}$  proper. Indeed for the construction of *C* and the definition of the  $e_i$ 's, the differentials  $\mu_i$  are regular along all other components of  $C_s$  by Theorem [6.1.](#page-49-0) Fix  $i = 1, \ldots, g - 1$ and let *j* = 0, . . . , *i* − 1. Let  $\mathfrak{t} \in \Sigma_c^{\text{rat}}$  be a proper cluster. If  $\mathfrak{s}_j \subseteq \mathfrak{t}$  then

$$
\mathrm{ord}_{\Gamma_{\mathfrak{t}}}(x-w_{\mathfrak{s}_j})=m_{\mathfrak{t}}\rho_{\mathfrak{t}}=m_{\mathfrak{t}}\rho_{\mathfrak{s}_j\wedge\mathfrak{t}},
$$

by Proposition [6.2.](#page-50-1) If  $t \subset s_j$  then  $w_t$  is a rational centre of  $s_j$ . Hence

$$
v(w_t - w_{s_j}) \ge \min_{r \in t} \min \{v(r - w_t), v(r - w_{s_j})\} \ge \min \{\rho_t, \rho_{s_j}\} = \rho_{s_j} = \rho_{s_j \wedge t}.
$$

Therefore Proposition [6.2](#page-50-1) implies

$$
\begin{aligned} \operatorname{ord}_{\Gamma_{\mathfrak{t}}}(x - w_{\mathfrak{s}_j}) &\geq \min \{ \operatorname{ord}_{\Gamma_{\mathfrak{t}}}(x - w_{\mathfrak{t}}), \operatorname{ord}_{\Gamma_{\mathfrak{t}}}(w_{\mathfrak{t}} - w_{\mathfrak{s}_j}) \} \\ &\geq \min \{ m_{\mathfrak{t}} \rho_{\mathfrak{t}}, m_{\mathfrak{t}} \rho_{\mathfrak{s}_j \wedge \mathfrak{t}} \} = m_{\mathfrak{t}} \rho_{\mathfrak{s}_j \wedge \mathfrak{t}}. \end{aligned}
$$

If  $\mathfrak{s}_i \nsubseteq \mathfrak{t}$  and  $\mathfrak{t} \nsubseteq \mathfrak{s}_i$  then from Lemma [3.18](#page-14-1) it follows that

<span id="page-52-0"></span>
$$
\mathrm{ord}_{\Gamma_{\mathfrak{t}}}(x-w_{\mathfrak{s}_j})=\mathrm{min}\{m_{\mathfrak{t}}\rho_{\mathfrak{t}},m_{\mathfrak{t}}\rho_{\mathfrak{s}_j\wedge\mathfrak{t}}\}=m_{\mathfrak{t}}\rho_{\mathfrak{s}_j\wedge\mathfrak{t}}.
$$

as  $\rho_t > \rho_{s_i \wedge t}$ . Thus we have proved that

$$
\operatorname{ord}_{\Gamma_{\mathfrak{t}}}(x - w_{\mathfrak{s}_j}) \ge m_{\mathfrak{t}}\rho_{\mathfrak{s}_j \wedge \mathfrak{t}}, \qquad \text{where the equality holds if } \mathfrak{t} \not\subset \mathfrak{s}_j. \tag{14}
$$

Hence it follows from Proposition [6.2](#page-50-1) that

$$
\mathrm{ord}_{\Gamma_{\mathfrak{t}}}\mu_i \geq m_{\mathfrak{t}}\bigg(\lfloor e_i \rfloor + \sum_{j=0}^{i-1} \rho_{\mathfrak{s}_j \wedge \mathfrak{t}} - \frac{\epsilon_{\mathfrak{t}}}{2} + \rho_{\mathfrak{t}} + 1\bigg) - 1.
$$

But

$$
\lfloor e_i \rfloor \ge \left\lfloor \frac{\epsilon_t}{2} - \rho_t - \sum_{j=0}^{i-1} \rho_{\mathfrak{s}_j \wedge \mathfrak{t}} \right\rfloor > \frac{\epsilon_t}{2} - \rho_t - \sum_{j=0}^{i-1} \rho_{\mathfrak{s}_j \wedge \mathfrak{t}} - 1,
$$

then ord<sub> $\Gamma_t$ </sub>  $\mu_i$  > −1, that implies ord<sub> $\Gamma_t$ </sub>  $\mu_i$  ≥ 0, as required.

Now we need to show that the differentials  $\mu_i$  span  $H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K})$ , that is the lattice they span is saturated in the global sections of  $\omega_{C/O_K}$ . Suppose not. Then there exist  $I \subseteq \{0, \ldots, g-1\}$  and  $u_i \in O_K^{\times}$  for  $i \in I$  such that the differential  $\frac{1}{\pi} \sum_{i \in I} u_i \mu_i$  is regular along  $\Gamma_t$ , for every proper cluster  $t \in \Sigma_C^{\text{rat}}$ . First we want to show that for any  $i_1, i_2 = 0, \ldots, g-1$  with  $i_1 < i_2$ , one has  $\mathfrak{s}_{i_2} \not\subset \mathfrak{s}_{i_1}$ . Suppose by contradiction that  $\mathfrak{s}_{i_2} \subsetneq \mathfrak{s}_{i_1}$ . Then

$$
e_{i_2} \geq \frac{\epsilon_{s_{i_1}}}{2} - \rho_{s_{i_1}} - \sum_{j=0}^{i_2-1} \rho_{s_j \wedge s_{i_1}} = e_{i_1} - \rho_{s_{i_1}} - \sum_{j=i_1+1}^{i_2-1} \rho_{s_j \wedge s_{i_1}} \geq e_{i_1} - \rho_{s_{i_1}} - \sum_{j=i_1+1}^{i_2-1} \rho_{s_j \wedge s_{i_2}}
$$
  

$$
\geq \frac{\epsilon_{s_{i_2}}}{2} - \rho_{s_{i_2}} - \sum_{j=0}^{i_1-1} \rho_{s_j \wedge s_{i_2}} - \rho_{s_{i_1}} - \sum_{j=i_1+1}^{i_2-1} \rho_{s_j \wedge s_{i_2}} = \frac{\epsilon_{s_{i_2}}}{2} - \sum_{j=0}^{i_2} \rho_{s_j \wedge s_{i_2}} = e_{i_2}.
$$

Therefore

$$
\max_{\mathfrak{t}\in \Sigma_{\mathcal{C}}^{\mathrm{rat}}} \left\{ \frac{\epsilon_{\mathfrak{t}}}{2} - \rho_{\mathfrak{t}} - \sum_{j=0}^{i_2-1} \rho_{\mathfrak{s}_j\wedge \mathfrak{t}} \right\} = e_{i_2} = \frac{\epsilon_{\mathfrak{s}_{i_1}}}{2} - \rho_{\mathfrak{s}_{i_1}} - \sum_{j=0}^{i_2-1} \rho_{\mathfrak{s}_j\wedge \mathfrak{s}_{i_1}},
$$

and this means that  $\mathfrak{s}_{i_1}$  is a possible choice for the  $i_2$ th cluster  $\mathfrak{s}_{i_2}$ . But  $\mathfrak{s}_{i_2} \subsetneq \mathfrak{s}_{i_1}$ , so the  $i_2$ th cluster should have been  $s_{i_1}$ , a contradiction.

Let  $I_0 \subseteq I$  be the set of indices *i* such that  $\gamma_i := e_i - \lfloor e_i \rfloor$  is maximal. Let  $i_0 = \min I_0$  and let  $\Gamma_0 = \Gamma_{\mathfrak{s}_{i_0}}$ . Since  $\mathfrak{s}_{i_0} \not\subset \mathfrak{s}_i$ , for all  $j = 0, \ldots, i_0 - 1$ , from [\(14\)](#page-52-0) it follows that

$$
m := \text{ord}_{\Gamma_0} \frac{1}{\pi} \mu_{i_0} = -m_{\mathfrak{s}_{i_0}} \gamma_{i_0} + m_{\mathfrak{s}_{i_0}} \left( e_{i_0} - \frac{\epsilon_{\mathfrak{s}_{i_0}}}{2} + \rho_{\mathfrak{s}_{i_0}} + \sum_{j=0}^{i_0-1} \rho_{\mathfrak{s}_j \wedge \mathfrak{s}_{i_0}} \right) - 1
$$
  
=  $-m_{\mathfrak{s}_{i_0}} \gamma_{i_0} - 1 < 0.$ 

Furthermore,

$$
\begin{aligned}\n\text{ord}_{\Gamma_0} \frac{1}{\pi} \mu_i &\geq -m_{\mathfrak{s}_{i_0}} \gamma_i + m_{\mathfrak{s}_{i_0}} \left( e_i - \frac{\epsilon_{\mathfrak{s}_{i_0}}}{2} + \rho_{\mathfrak{s}_{i_0}} + \sum_{j=0}^{i-1} \rho_{\mathfrak{s}_j \wedge \mathfrak{s}_{i_0}} \right) - 1 \\
&\geq -m_{\mathfrak{s}_{i_0}} \gamma_i - 1 \geq -m_{\mathfrak{s}_{i_0}} \gamma_{i_0} - 1 = m,\n\end{aligned}
$$

for all  $i \in I$ . Let  $J := \{i \in I \mid \text{ord}_{\Gamma_0} \frac{1}{\pi} \mu_i = m\}$ . Then  $J \neq \emptyset$  since  $i_0 \in J$ . The order of the differential  $\frac{1}{\pi} \sum_{i \in I} \mu_i \mu_i$  along  $\Gamma$  must be  $\geq m \log I$  at  $i \in I$ . From the computations above  $i \in I$  i  $\frac{1}{\pi} \sum_{i \in J} u_i \mu_i$  along  $\Gamma_0$  must be > *m*. Let *i* ∈ *I*. From the computations above *i* ∈ *J* if and only if

- (i) ord $_{\Gamma_0}(x w_{\mathfrak{s}_j}) = m_{\mathfrak{s}_{i_0}} \rho_{\mathfrak{s}_{i_0} \wedge \mathfrak{s}_j}$  for all  $j = 0, \ldots, i 1$ . Equivalently, if  $\mathfrak{s}_j \supsetneq \mathfrak{s}_{i_0}$  for some  $j < i$ , then  $v(w_{\mathfrak{s}_{i_0}} - w_{\mathfrak{s}_j}) = \rho_{\mathfrak{s}_{i_0} \wedge \mathfrak{s}_j}.$
- (ii)  $e_i = \frac{\epsilon_{s_{i_0}}}{2} \rho_{s_{i_0}} \sum_{j=0}^{i-1} \rho_{s_j \wedge s_{i_0}}$ . In particular, if  $s_i \subseteq s_{i_0}$ , then  $s_i = s_{i_0}$ . (iii)  $\gamma_i = \gamma_{i_0}$ . Equivalently,  $i \in I_0$ .

Therefore  $J \subseteq I_0$ ,  $i_0 = \min J$  and

$$
\lfloor e_i \rfloor - \lfloor e_{i_0} \rfloor = e_i - \gamma_i - e_{i_0} + \gamma_{i_0} = e_i - e_{i_0} = - \sum_{j=i_0}^{i-1} \rho_{s_j \wedge s_{i_0}},
$$

for all  $i \in J$ . Hence

$$
\frac{1}{\pi} \sum_{i \in J} u_i \mu_i = \frac{1}{\pi} \mu_{i_0} \bigg( \sum_{i \in J} \frac{u_i}{\pi^{\sum_{j=1_0}^{i-1} \rho_{s_j \wedge s_{i_0}}}} \prod_{j=i_0}^{i-1} (x - w_{s_j}) \bigg),
$$

and since  $\operatorname{ord}_{\Gamma_0} \frac{1}{\pi} \mu_{i_0} = m < 0$  we must have

<span id="page-53-0"></span>
$$
\operatorname{ord}_{\Gamma_0}\bigg(\sum_{i\in J}\frac{u_i}{\pi^{\sum_{j=i_0}^{i-1}\rho_{s_j\wedge s_{i_0}}}}\prod_{j=i_0}^{i-1}(x-w_{s_j})\bigg)>0.\tag{15}
$$

For any  $j < i \in J$ , with  $i_0 \le j$  we have  $\mathfrak{s}_j \not\subset \mathfrak{s}_{i_0}$ . Therefore either  $\mathfrak{s}_j = \mathfrak{s}_{i_0}$  or  $\mathfrak{s}_j \wedge \mathfrak{s}_{i_0} \supsetneq \mathfrak{s}_{i_0}$ . In the latter case,

$$
\mathrm{ord}_{\Gamma_0} (x- w_{\mathfrak{s}_{i_0}}) = m_{\mathfrak{s}_{i_0}} \rho_{\mathfrak{s}_{i_0}} > m_{\mathfrak{s}_{i_0}} \rho_{\mathfrak{s}_j \wedge \mathfrak{s}_{i_0}} = \mathrm{ord}_{\Gamma_0} (x- w_{\mathfrak{s}_j}).
$$

It follows from [\(15\)](#page-53-0) that

$$
\mathrm{ord}_{\Gamma_0}\bigg(\sum_{i\in J}\nu_i\frac{(x-\mathcal{W}_{\mathfrak{s}_{i_0}})^{\beta_i}}{\pi^{\beta_i\rho_{\mathfrak{s}_{i_0}}}}\bigg)>0,
$$

where  $J_i = \{j \in I \mid i_0 \leq j < i \text{ and } \mathfrak{s}_j \neq \mathfrak{s}_{i_0}\}, v_i = u_i \prod_{j \in J_i}$ *w*s*<sup>i</sup>* <sup>0</sup> <sup>−</sup>*w*s*<sup>j</sup>*  $\frac{\sum_{j}^{W s_{i_0} - w_{i_j}}}{\sum_{j}^{W s_{j_0} \cdot s_{i_0}}} \in O_K^{\times}$ , and  $\beta_i = |\{i_0, \ldots, i-1\} \setminus J_i|$ .

To find a contradiction, we will use the explicit description of a dense open affine subset of  $\Gamma_0$ . Let  $W = \{w_1, \ldots, w_m\}$  be the set of rational centres of the rationally minimal clusters for *C* fixed at the beginning of the section. Let  $w_h \in W$  such that  $w_h = w_{s_{i_0}}$ , and let  $L = L_{s_{i_0}}^{w_h}$ ,  $M = M_{L,0}$ , and consider

$$
U_M^h \cap \{Z=0\} = \text{Spec } \frac{R[T_M^h(X, Y, Z)^{-1}]}{(\mathcal{F}_M^h(X, Y, Z), Z)} \subset \Gamma_t,
$$

*Uh*

dense open subscheme of  $\Gamma_t$ . From Lemma [5.2,](#page-30-2)

$$
\sum_{i\in J} v_i \frac{(x - w_h)^{\beta_i}}{\pi^{\beta_i \rho_{s_{i_0}}}} = \sum_{i\in J} v_i X^{\beta_i / b_{s_{i_0}}},
$$

which is a unit since the polynomial  $\mathcal{F}_{M}^{h}(X, Y, Z)$  in {*Z* = 0} is of the form  $Y^{2} - G(X)$  or  $Y - G(X)$  for some non-constant  $G(X) \in K[X]$  (for more details see Lemma [5.17\)](#page-39-1). This gives a contradiction and concludes the proof.  $\Box$ 

Assume now  $C_{K^{nr}}$  has an almost rational cluster picture and is *y*-regular as in Theorem [4.23.](#page-27-0) Since  $|\Sigma_c|$  is finite, there exists a finite unramified extension  $F/K$  such that  $C_F$  has an almost rational cluster picture and is *y*-regular. Denote by  $O_F$  the ring of integers of *F*. Let  $\Sigma_F = \Sigma_{C_F}^{\text{rat}}$ . Fix a rational centre *w*<sub>s</sub> ∈ *F* for every rationally minimal cluster  $s \in \Sigma_F$ . For all non-minimal clusters  $\mathfrak{t} \in \Sigma_F$  choose a rational centre  $w_t = w_s$  for some rationally minimal cluster  $s \subseteq t$ . In this setting the next theorem gives a basis of integral differentials of *C*.

**Theorem 6.4.** *Let*  $C/K$  *be a hyperelliptic curve of genus*  $g \geq 1$  *defined by the Weierstrass equation*  $y^2 = f(x)$  *and let*  $C/O_K$  *be a regular model of C. Suppose there exists a finite unramified extension*  $F/K$ *such that*  $C_F$  *has an almost rational cluster picture and is y-regular. For*  $i = 0, \ldots, g - 1$  *inductively* 

<span id="page-54-0"></span>(i) define 
$$
e_i := \max_{t \in \Sigma_F} \left\{ \frac{\epsilon_t}{2} - \rho_t - \sum_{j=0}^{i-1} \rho_{s_j \wedge t} \right\};
$$

(ii) *choose a maximal element*  $\mathfrak{s}_i$  *of*  $\left\{ \mathfrak{t} \in \Sigma_F \mid e_i = \frac{\epsilon_t}{2} - \rho_t - \sum_{j=0}^{i-1} \mathfrak{s}_j \right\}$  $\rho_{\mathfrak{s}_j \wedge \mathfrak{t}} \bigg\}$  *freely.* 

*Then the differentials*

$$
\mu_i = \pi^{\lfloor e_i \rfloor} \cdot \text{Tr}_{F/K} \bigg( \beta \prod_{j=0}^{i-1} (x - w_{s_j}) \bigg) \frac{dx}{2y}, \qquad i = 0, \ldots, g-1,
$$

*form an*  $O_K$ -basis of  $H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K})$ .

*Proof.* First note that without loss of generality we can suppose *F*/*K* Galois. Moreover, since *F*/*K* is unramified, Gal( $F/K$ )  $\simeq$  Gal( $f/k$ ), where f is the residue field of F, and so the existence of  $\beta$  is guaranteed by the surjectivity of  $Tr_{f/k}$ . Let *C* be the minimal regular model of *C* over  $O_K$ . By [\[9,](#page-55-4) Proposition 10.1.17], the base extended scheme  $C_{O_F}$  is the minimal regular model of  $C_F$  over  $O_F$ . Let  $\mu_0^F, \ldots, \mu_{g-1}^F$  be the basis of integral differentials of  $C_F$  given by Theorem [6.3.](#page-51-0)

Suppose  $\mu'_0, \ldots, \mu'_{g-1}$  is a basis of integral differentials of  $C_F$  that, for any  $\sigma \in \text{Gal}(F/K)$  and any *j* = 0, . . . , *g* − 1, satisfies

<span id="page-54-1"></span>
$$
\sigma(\mu_j') = \mu_j' + \sum_{0 \le i < j} \lambda_{ij} \mu_j',\tag{16}
$$

for some  $\lambda_{ij} \in O_F$  (depending on  $\sigma$ ). Note that  $\mu_0^F, \ldots, \mu_{g-1}^F$  is in fact such a basis. We want to prove that, for any  $j = 0, \ldots, g - 1$ , the differentials

<span id="page-54-2"></span>
$$
\mu'_{0}, \ldots, \mu'_{j-1}, \text{Tr}_{F/K}(\beta \mu'_{j}), \mu'_{j+1}, \ldots, \mu'_{g-1}
$$
\n(17)

still form a basis of  $H^0(\mathcal{C}_F, \omega_{\mathcal{C}_F/O_F})$  satisfying condition [\(16\)](#page-54-1). From equation (16) it follows that

$$
\mathrm{Tr}_{F/K}(\beta \mu'_j) = \sum_{\sigma \in \mathrm{Gal}(F/K)} \sigma(\beta) \sigma(\mu'_j) = \mathrm{Tr}_{F/K}(\beta) \mu'_j + \sum_{i < j} \lambda'_{ij} \mu'_i,
$$

for some  $\lambda'_{ij} \in O_F$ . Since  $\text{Tr}_{F/K}(\beta) \in O_K^{\times}$ , the differentials in [\(17\)](#page-54-2) form a basis of  $H^0(\mathcal{C}_F, \omega_{\mathcal{C}_F/O_F})$  satisfying condition  $(16)$ .

Since  $\mu_0^F, \ldots, \mu_{g-1}^F$  satisfies [\(16\)](#page-54-1), by induction it follows that

<span id="page-55-6"></span>
$$
\mathrm{Tr}_{F/K}(\beta\mu_0^F),\ldots,\mathrm{Tr}_{F/K}(\beta\mu_{g-1}^F)
$$

is a basis of  $H^0(\mathcal{C}_F, \omega_{\mathcal{C}_F/O_F})$ . Proposition [B.2](#page-57-0) concludes the proof.

We conclude this section with an application of Theorem [6.3.](#page-51-0)

**Example 6.5.** Let *p* be a prime number and let  $a \in \mathbb{Z}_p$ ,  $b \in \mathbb{Z}_p^\times$  such that the polynomial  $x^2 + ax + b$  is *not a square modulo p. Let C be the hyperelliptic curve over* Q*<sup>p</sup> of genus* 4 *described by the equation*  $y^2 = f(x)$ , where  $f(x) = (x^6 + ap^4x^3 + bp^8)((x - p)^3 - p^{11})$ . We have already shown in Examples [3.32](#page-19-1) and [4.25](#page-27-1) *that C satisfies the hypothesis of Theorem* [6.3](#page-51-0) *and has rational cluster picture*



*We choose rational centres for the minimal clusters*  $t_3$  *and*  $t_4$ *:*  $w_{t_3} = 0$  *and*  $w_{t_4} = p$ *. Since*  $\Re = t_3 \wedge$  $t_4$ , we can set either  $w_{\mathfrak{R}} = w_{t_3}$  or  $w_{\mathfrak{R}} = w_{t_4}$ . Let us fix  $w_{\mathfrak{R}} = w_{t_3} = 0$ . Then to choose  $\mathfrak{s}_0, \mathfrak{s}_1, \mathfrak{s}_2, \mathfrak{s}_3$  as in *Theorem* [6.3](#page-51-0) *we draw the following table:*



*The numbers in red indicate that*  $\mathfrak{s}_0 = \mathfrak{t}_4$ ,  $\mathfrak{s}_1 = \mathfrak{s}_2 = \mathfrak{t}_3$  *and*  $\mathfrak{s}_3 = \mathfrak{R}$ *. Thus the differentials* 

$$
\mu_0 = p^4 \cdot \frac{dx}{2y}, \quad \mu_1 = p^3 \cdot (x - p) \frac{dx}{2y}, \quad \mu_2 = p \cdot (x - p) x \frac{dx}{2y}, \quad \mu_3 = (x - p) x^2 \frac{dx}{2y}
$$

*form a*  $\mathbb{Z}_p$ *-basis of*  $H^0(\mathcal{C}, \omega_{\mathcal{C}/\mathbb{Z}_p})$ *, for any regular model*  $\mathcal{C}/\mathbb{Z}_p$  *of*  $C$ *.* 

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#### **Appendix A: Rational centres over tame extensions**

Let *C*/*K* be a hyperelliptic curve given by  $y^2 = f(x)$ .

**Lemma A.1.** *Let L*/*K be a field extension. Consider the base extended curve CL*/*L and its associated cluster picture*  $\Sigma_{C_L}$ . Let  $\mathfrak{s} \in \Sigma_{C_L}$  be a proper cluster  $G_{\mathfrak{s}} = \text{Stab}_{G_K}(\mathfrak{s})$ , and  $K_{\mathfrak{s}} = (K^s)^{G_{\mathfrak{s}}}$ . If  $L/L \cap K_{\mathfrak{s}}$  is *tamely ramified, then*  $\mathfrak s$  *has a rational centre*  $w_{\mathfrak s} \in L \cap K_{\mathfrak s}$ .

*Proof.* Let  $F_s = L \cap K_s$ . Let  $w_s \in L$  be a rational centre of  $\epsilon$  and let  $\rho_s = \max_{w \in L} \min_{r \in \epsilon} v(r - w)$  be its radius. Let  $\mathcal{D} = \{x \in K^s \mid v(x - w_s) \ge \rho_s\}$  and define  $G = \text{Stab}_{G_K}(\mathcal{D})$ . Since  $s \subseteq \mathcal{D}$  we have  $G_s \subseteq G$ . Furthermore,  $Gal(K^s/L) \subseteq G$ . Then  $Gal(K^s/F_s) \subseteq G$ . Since  $w_s \in \mathcal{D}$ , for  $\sigma \in Gal(K^s/F_s) \subseteq G$  we have  $\sigma(w_s) \in \mathcal{D}$ . In particular,  $v(r - \sigma(w_s)) \ge \rho_s$  for any  $r \in \mathfrak{s}$ . Define

<span id="page-56-11"></span>
$$
w = \frac{\text{Tr}_{L/F_{\mathfrak{s}}}(w_{\mathfrak{s}})}{[L:F_{\mathfrak{s}}]} \in F_{\mathfrak{s}}.
$$

If  $m = [F_s[w_s]:F_s]$ , then  $w = \sum_{j=1}^m \sigma_j(w_s)/m$ , where  $\sigma_1(w_s), \ldots, \sigma_m(w_s)$  are the roots of the minimal polynomial of  $w_s$  over  $F_s$  (with  $\sigma_j \in \text{Gal}(K^s/F_s)$ ). Since  $L/F_s$  is tamely ramified,  $p \nmid [L:F_s]$  and so  $p \nmid m$ . In particular,  $v(m) = 0$  and so for any  $r \in \mathfrak{s}$  we have

$$
v(r-w)=v\bigg(m\cdot r-\sum_{j=1}^m\sigma_j(w_s)\bigg)\geq \min_{j\in\{1,\ldots,m\}}v(r-\sigma_j(w_s))\geq \rho_s.
$$

Then  $w \in F_s$  is a rational centre of  $\mathfrak{s}$ .

#### **Appendix B: Dualising sheaf under base extensions**

Let  $F/K$  be a finite Galois extension and let  $O_F$  be the ring of integers of F.

**Lemma B.1.** *Let M be a flat*  $O_K$ *-module and*  $M_F := M \otimes_{O_K} O_F$ *. Then*  $M \simeq M_F^{\text{Gal}(F/K)} = \{m \in M_F \mid \sigma(m) = m \text{ for every } \sigma \in \text{Gal}(F/K)\}.$ 

*Proof.* As *M* is flat, the functor  $M \otimes_{O_K} -$  is (left) exact. From the isomorphism  $O_K \simeq O_F^{\text{Gal}(F/K)}$  it follows that

$$
M \otimes_{O_K} O_K \simeq M \otimes_{O_K} O_F^{\mathrm{Gal}(F/K)},
$$

<span id="page-57-0"></span>that is  $M \simeq M_F^{\text{Gal}(F/K)}$ , as required.

**Proposition B.2.** *Let C be a smooth projective curve of genus*  $g \ge 1$  *and let C be a regular model of C* over  $O_K$ . Denote by  $C_F$  and  $\mathcal{C}_{O_F}$  the base extended schemes. Then  $H^0(\mathcal{C}_F,\omega_{\mathcal{C}_F/O_F})\simeq H^0(\mathcal{C},\omega_{\mathcal{C}/O_K})\otimes_{O_K} O_F$ *and*

$$
H^0(\mathcal{C}, \omega_{\mathcal{C}/O_K}) \simeq H^0(\mathcal{C}_F, \omega_{\mathcal{C}_F/O_F})^{\mathrm{Gal}(F/K)}.
$$

*Proof.* The Lemma follows from the following results: [\[9,](#page-55-4) Proposition 10.1.17], [\[9,](#page-55-4) Theorem 6.4.9(b)], [\[9,](#page-55-4) Exercise 6.4.6], [\[9,](#page-55-4) Corollary 5.2.27] and the previous lemma.  $\Box$