

## ON IDEALLY FINITE LIE ALGEBRAS WHICH ARE LOWER SEMI-MODULAR

by DAVID TOWERS

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The purpose of this paper is twofold: first to correct the statement of Theorem 1 in [4], and secondly to consider related problems in the class of ideally finite Lie algebras.

Throughout,  $L$  will denote a Lie algebra over a field  $K$ ,  $F(L)$  will be its Frattini subalgebra and  $\phi(L)$  its Frattini ideal. We will denote by  $\mathfrak{X}$  the class of Lie algebras all of whose maximal subalgebras have codimension 1 in  $L$ . The Lie algebra with basis  $\{u_{-1}, u_0, u_1\}$  and multiplication  $u_{-1}u_0 = u_{-1}$ ,  $u_{-1}u_1 = u_0$ ,  $u_0u_1 = u_1$  will be labelled  $L_1(0)$ .

Theorem 1 of [4] claimed that a necessary and sufficient condition for  $L$  to belong to  $\mathfrak{X}$  is that  $L/\phi(L) = S \oplus R$ , where  $S$  is a simple ideal isomorphic to  $L_1(0)$ , or is  $\{0\}$ , and  $R$  is a supersoluble ideal of  $L/\phi(L)$  (possibly  $\{0\}$ ). The necessity is correct, but not the sufficiency. The only problem is that  $L_1(0)$  may not belong to  $\mathfrak{X}$ ; when it does is described in the following lemma.

**Lemma 0.**  $L_1(0) \in \mathfrak{X}$  if and only if  $K$  has characteristic two, or  $\sqrt{K} = \{\sqrt{k} : k \in K\} \subseteq K$ .

**Proof.** If  $K$  has characteristic two, then  $F(L_1(0))$  is spanned by  $u_0$  and so all maximal subalgebras of  $L_1(0)$  are two dimensional.

Let  $S(\lambda, \mu, \nu)$  be the 1-dimensional subalgebra of  $L_1(0)$  spanned by  $\lambda u_{-1} + \mu u_0 + \nu u_1$  ( $\lambda, \mu, \nu \in K$ ). Then any 1-dimensional subalgebra of  $L_1(0)$  is of the form  $S(\lambda, \mu, 0)$  or  $S(\lambda, \mu, 1)$ . If  $\sqrt{K} \subseteq K$  then  $S(\lambda, \mu, 0)$  is contained in the subalgebra spanned by  $u_0$  and  $u_{-1}$ , and  $S(\lambda, \mu, 1)$  is contained in that spanned by  $\lambda u_{-1} + \mu u_0 + u_1$  and  $\alpha u_1 - u_{-1}$  where  $\alpha^2 \lambda^2 + 2\alpha(\lambda - \mu^2) + 1 = 0$ .

If  $\sqrt{K} \not\subseteq K$ , let  $\alpha \in \sqrt{K}$ ,  $\alpha \notin K$ . Then, when the characteristic of  $K$  is different from two, the subalgebra of  $L_1(0)$  spanned by  $(\alpha^2/2)u_1 - u_{-1}$  is maximal.

Using the above lemma and the fact that  $L$  is supersoluble whenever  $L/\phi(L)$  is supersoluble ([1], Theorem 6), we can correct Theorem 1 of [4] as follows.

**Theorem 1.** Let  $L$  be a finite-dimensional Lie algebra.

- (i) If  $\sqrt{K} \not\subseteq K$  and  $K$  has characteristic different from 2, then  $L \in \mathfrak{X}$  if and only if  $L$  is supersoluble.
- (ii) If  $\sqrt{K} \subseteq K$  or  $K$  has characteristic two, then  $L \in \mathfrak{X}$  if and only if  $L/\phi(L) = S \oplus R$  where  $S$  is a simple ideal of  $L/\phi(L)$  isomorphic to  $L_1(0)$ , or is  $\{0\}$ , and  $R$  is a supersoluble ideal of  $L/\phi(L)$  (possibly  $\{0\}$ ).

The Lie algebra  $L$  is *lower semi-modular* if, whenever  $U, V$  are distinct subalgebras of  $L$  both of which are maximal in the subalgebra  $W$  of  $L$ , then  $U \cap V$  is maximal in both  $U$  and  $V$ . Recall that  $L$  is *ideally finite* if every element of  $L$  lies in a finite-dimensional ideal of  $L$ . The reader is referred to [3] for any results on ideally finite Lie algebras which are used. Also following Stewart in [3] we call  $L$  *hypercyclic* if it has an ascending series of ideals  $(L_\alpha)_{\alpha \leq \sigma}$  such that  $\dim L_{\alpha+1}/L_\alpha = 1$  for all  $\alpha < \sigma$ .

Our main result is the following.

**Theorem 2.** *Let  $L$  be an ideally finite Lie algebra. Then the following are equivalent.*

- (i)  $L$  is locally supersoluble.
- (ii)  $L \in \mathfrak{X}$  and is locally soluble.
- (iii)  $L$  is hypercyclic.
- (iv)  $L$  is locally soluble and lower semi-modular.

**Proof.** (i) $\Rightarrow$ (ii): Suppose that  $L$  is supersoluble; then  $L$  is clearly locally soluble. Let  $M$  be a maximal subalgebra of  $L$  and pick  $x \notin M$ . Then there is an ideal  $I$  of  $L$  with  $x \in I$  and  $\dim I < \infty$ . Now  $L = M + I$ , so  $M$  has finite codimension in  $L$ . Put  $C = C_L(I) = \{x \in L : xI = 0\}$ , so that  $\dim L/C < \infty$ . If  $C \subseteq M$ , then  $M/C$  is a maximal subalgebra of  $L/C$ , which is supersoluble, and so  $M$  has codimension 1 in  $L$ . So suppose that  $C \not\subseteq M$ , and hence that  $L = C + M$ . But  $C \cap M$  is an ideal of  $L$ , and so  $L/C = (C + M)/C \cong M/C \cap M$ . It follows that  $C \cap M$  has finite codimension in  $M$  and hence in  $L$ . Thus  $M/C \cap M$  is a maximal subalgebra of  $L/C \cap M$ , which is soluble, and again  $M$  has codimension 1 in  $L$ .

(ii) $\Rightarrow$ (iii): Suppose that  $L \in \mathfrak{X}$  and is locally soluble. We need only prove that the minimal ideals of  $L$  are 1-dimensional. Clearly we may assume that the centre of  $L$  is trivial, and hence that  $L$  is residually finite. Let  $A$  be a minimal ideal of  $L$ . Then  $A$  is finite dimensional and so, by Lemma 3.4 of [3], there is an ideal  $K$  of  $L$  with  $\dim L/K < \infty$  and  $K \cap A = \{0\}$ . Now all maximal subalgebras of  $L/K$  have codimension 1 in  $L/K$ , and  $L/K$  is soluble. Hence  $L/K$  is supersoluble. But  $A \cong (A + K)/K$ , which is a minimal ideal of  $L/K$ , and so is 1-dimensional.

(iii) $\Rightarrow$ (i): Let  $L$  be hypercyclic and let  $U$  be a finitely generated subalgebra of  $L$ . Then  $U \subseteq I$  where  $I$  is a finite-dimensional ideal of  $L$ . Clearly  $I$ , and hence  $A$ , is supersoluble.

(ii) $\Rightarrow$ (iv): Let  $L \in \mathfrak{X}$  be locally soluble, and let  $U, V$  be distinct subalgebras of  $L$ , both of which are maximal in the subalgebra  $W$  of  $L$ . Since (ii) is equivalent to (i), and hypothesis (i) is subalgebra closed, we may assume that  $W = L$ . Then  $U, V$  have codimension 1 in  $L$ , so  $L = U + V$ . Clearly,  $U \cap V$  has codimension 1 in both  $U$  and  $V$ , and so  $L$  is lower semi-modular.

(iv) $\Rightarrow$ (i). Let  $L$  be locally soluble and lower semi-modular, and let  $U$  be a finitely generated subalgebra of  $L$ . Then  $U$  is a finite-dimensional soluble Lie algebra which is lower semi-modular. It follows from Lemma 5 of [2] that  $U$  is supersoluble.

We can deduce from the above result the following analogue of Theorem 6 of [1].

**Corollary 3.** *Let  $L$  be an ideally finite Lie algebra, let  $A$  be an ideal of  $L$  with  $A \subseteq \phi(L)$  (the Frattini ideal of  $L$ ), and suppose that  $L/A$  is hypercyclic. Then  $L$  is hypercyclic.*

**Proof.** We have that  $L/A$  is locally soluble, and  $A$  is locally nilpotent (see [3]), so  $L$

is locally soluble. Furthermore, all maximal subalgebras of  $L$  contain  $A$ , and so have codimension 1 in  $L$ , by Theorem 2. It follows from Theorem 2 again that  $L$  is hypercyclic.

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DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF LANCASTER  
LANCASTER  
LA1 4YL