

## DISCONTINUITY CONDITIONS ON TRANSFORMATION GROUPS

BY  
D. V. THOMPSON

1. Throughout this paper,  $(X, T, \pi)$  is a topological transformation group [1],  $L = \{x \in X : xt = x \text{ for some } t \in T - \{e\}\}$  and  $0 = X - \bar{L}$  is nonempty; standard topological concepts are used as defined in [2].

The problem to be considered here has been studied in [3] and [6]. In [3],  $X$  is assumed to be a compact metric space, and each  $t \in T$  satisfies a convergence condition on certain subsets of  $X$ . Under these conditions, Kaul proved that if  $T$  is equicontinuous on  $0$ , then the group properties of discontinuity, proper discontinuity, and Sperner's condition (see Definition 1) are equivalent.

This paper obtains Kaul's result, while admitting weaker conditions on  $X$ , and a condition on  $T$  which is a generalization of equicontinuity (see Definition 2).

2. DEFINITION 1. (1)  $T$  is *discontinuous* if, for any  $x \in 0$ , all the accumulation points of  $xT = \{xt : t \in T\}$  lie in  $\bar{L}$ .

(2)  $T$  is *properly discontinuous* if, for any  $x \in 0$ , there is an open set  $U$  in  $0$  containing  $x$  such that  $U(T - \{e\}) \cap U = \emptyset$ .

(3)  $T$  satisfies *Sperner's condition* if, for any compact subset  $C$  of  $0$ ,

$$\{t \in T : Ct \cap C \neq \emptyset\}$$

is finite.

DEFINITION 2. (1)  $T$  is *regular at  $x$*  if, for any  $S \subset T$ , and any open set  $V$  containing  $\overline{xS}$ , there is an open set  $U$  containing  $x$  such that  $US \subset V$ .

(2) If  $Y \subset X$ , then  $T$  is *regular on  $Y$*  if  $T$  is regular at  $y$  for each  $y \in Y$ .

REMARK. Kaul has proved in [5] that if  $X$  is a metric space, then given any  $x \in X$  such that  $\overline{xT}$  is compact,  $T$  is equicontinuous at  $x$  if and only if  $T$  is regular at  $x$ .

From the lemma to follow, we easily obtain the desired

THEOREM. *If  $X$  is a locally compact  $T_2$  space, and if  $T$  is regular on  $0$ , then the conditions of discontinuity, proper discontinuity, and Sperner's condition are equivalent.*

---

Received by the editors November 13, 1970 and, in revised form, March 22, 1971.

REMARK. Note that if  $X$  is a compact metric space, then for any  $x \in X$ ,  $\overline{xT}$  is compact; thus by the preceding remark, if  $T$  is regular on  $0$ , then  $T$  is equicontinuous on  $0$ . As  $X$  is clearly locally compact and  $T_2$ , the result quoted in 1 is a corollary of the theorem to be proved.

### 3. We prove the required

LEMMA. (1) *If  $0$  is  $T_1$  and  $T$  is properly discontinuous then  $T$  is discontinuous.*

(2) *If  $X$  is regular and if  $T$  is discontinuous and regular on  $0$ , then  $T$  is properly discontinuous.*

(3) *If  $0$  is  $T_1$  and locally compact, and if  $T$  satisfies Sperner's condition, then  $T$  is discontinuous.*

(4) *If  $X$  is  $T_2$  and  $0$  is locally compact, and if  $T$  is discontinuous and regular on  $0$ , then  $T$  satisfies Sperner's condition.*

**Proof.** (1) If  $x \in 0$ , then for any  $y \in 0$ , by proper discontinuity, there is an open set  $U$  in  $0$  containing  $y$  such that  $U(T - \{e\}) \cap U = \emptyset$ ; if there is an  $s \in T$  such that  $xs \in U$ , then  $xs(T - \{e\}) \cap U = \emptyset$ , which implies that  $xT \cap U = \{xs\}$ . Because  $0$  is  $T_1$ , then,  $y$  is not an accumulation point of  $xT$ .

We have shown that if  $x \in 0$ , then for any  $y \in 0$ ,  $y$  is not an accumulation point of  $xT$ ; therefore, for any  $x \in 0$ , the accumulation points of  $xT$  lie in  $L$ , and  $T$  is discontinuous.

(2) If  $T$  fails to be properly discontinuous at  $x \in 0$ , then given any open set  $U_x$  in  $0$  containing  $x$ , there is an  $x_\alpha \in U_x$  and a  $t_\alpha \in T - \{e\}$  with  $x_\alpha t_\alpha \in U_x$ .

If  $x$  is not an accumulation point of  $xT$ , then there is an open set  $V$  in  $0$  containing  $x$  such that  $\overline{(V - \{x\})} \cap xT = \emptyset$ ; setting  $F = T - \{e\}$ ,  $xF \subset X - V$ , which is closed in  $X$ , so  $\overline{xF} \subset X - V$ . Because  $X$  is regular, there are disjoint open sets  $V_1$  and  $V_2$  containing  $x$  and  $X - V$ , respectively. Now  $T$  is regular at  $x$ , and  $V_2$  is an open set containing  $\overline{xF}$ , so there is an open set  $W$  containing  $x$  and lying ( $w$  log) in  $V_1 \cap 0$  such that  $WF \subset V_2$ . Because  $W = U_\beta$  for some index  $\beta$ , we have  $x_\beta t_\beta \in U_\beta$ ; however,  $t_\beta \in F$  and  $x_\beta \in W$ , so  $WF \subset V_2$  implies that  $x_\beta t_\beta \in V_2$ . As  $W \cap V_2 = \emptyset$ , we have a contradiction; then  $x$  is an accumulation point of  $xT$  lying in  $0$ .

We have shown that if  $T$  fails to be properly discontinuous at  $x \in 0$ , then  $T$  fails to be discontinuous at  $x$ ; this completes the proof.

(3) If  $x \in 0$ , then for any  $y \in 0$ , by local compactness, there is an open set  $U$  containing  $y$  such that  $\overline{U} \cap 0$  is compact; the fact that  $T$  satisfies Sperner's condition then implies that  $xT \cap \overline{U} \cap 0$  is a finite set. But since  $0$  is  $T_1$ , this implies that  $y$  is not an accumulation point of  $x$ .

Therefore, as in (1),  $T$  is discontinuous.

(4) If Sperner's condition fails, then there is a compact set  $C$  in  $0$  such that  $F = \{t \in T : Ct \cap C \neq \emptyset\}$  is infinite. For any  $t_\alpha \in F$ , there is an  $x_\alpha \in C$  such that  $x_\alpha t_\alpha \in C$ ; let  $C_0 = \{x_\alpha : t_\alpha \in F\}$  and let  $C_1 = \{x_\alpha t_\alpha : t_\alpha \in F\}$ .

If both  $C_0$  and  $C_1$  are finite, then there is an  $x_\alpha \in C_0$  and  $t_{\alpha_1}, t_{\alpha_2} \in F$  such that  $x_\alpha t_{\alpha_1} = x_\alpha t_{\alpha_2}$ ; this implies that  $x_\alpha (t_{\alpha_1} t_{\alpha_2}^{-1}) = x_\alpha$ , that is,  $x_\alpha \in L$ , a contradiction. Therefore, at least one of  $C_0, C_1$  is infinite.

We can assume (wlog) that  $C_0$  is infinite; because  $C_0 \subset C$ ,  $C_0$  admits an accumulation point  $x \in C$ .

Let  $F_0 = \{t_\alpha \in F : x t_\alpha \in C\}$ . If  $F_0$  is infinite then  $x F_0$  finite implies, as before, that  $x \in L$ , a contradiction. However, if  $x F_0$  is infinite, then  $x F_0 \subset C$  implies that  $x F_0$  admits an accumulation point in  $C \subset 0$ ; but then  $x T$  admits an accumulation point in  $0$ , and  $T$  fails to be discontinuous at  $x$ , again a contradiction.

If  $F_0$  is finite, let  $F_1 = F - F_0$ ; note that because  $0$  is  $T_1$ ,  $\{x_\alpha : t_\alpha \in F_1\}$  admits  $x$  as an accumulation point.

Because  $C$  is compact and  $0$  is locally compact,  $C$  admits open sets  $U$  containing  $C$  such that  $\bar{U} \cap 0$  is compact.

Assume that, for any such  $U$ ,  $F_1(U) = \{t_\alpha \in F_1 : x t_\alpha \in \bar{U}\}$  is finite; let  $F_2 = F_1 - F_1(U)$ , and note that because  $0$  is  $T_1$ ,  $\{x_\alpha : t_\alpha \in F_2\}$  admits  $x$  as an accumulation point. Now  $x F_2 \subset X - U$ , which is closed in  $X$ , so  $\overline{x F_2} \subset X - U$ ; further, since  $X$  is  $T_2$ ,  $X - C$  is an open set containing  $X - U$ , (and therefore  $\overline{x F_2}$ ). As  $T$  is regular at  $x$ , there is an open set  $V$  containing  $x$  and lying (w log) in  $U$  such that  $V F_2 \subset X - C$ . But  $V$ , being an open set containing  $x$ , contains some  $x_\alpha$  with  $t_\alpha \in F_2$ . We have just shown that  $x_\alpha t_\alpha \in X - C$ ; by the original definition  $x_\alpha t_\alpha \in C$ , a contradiction.

Therefore, there is an open set  $U$  containing  $C$  such that  $\bar{U} \cap 0$  is compact and  $F_1(U)$  is infinite. As before, this implies that either  $x \in L$  or  $T$  fails to be discontinuous at  $x$ , both of which are contradictions.

Therefore, under the given conditions, if Sperner's condition fails, we are led to a contradiction. The proof is complete.

REMARK. The following result, connecting proper discontinuity and Sperner's condition, may be shown in a similar manner:

(5) If  $0$  is  $T_2$  and locally compact, and if  $T$  satisfies Sperner's condition, then  $T$  is properly discontinuous.

REFERENCES

1. W. H. Gottschalk and G. A. Hedlund, *Topological Dynamics*, Colloq. Publ., Amer. Math. Soc., 1955.
2. J. L. Kelley, *General topology*, Van Nostrand, Princeton, N.J., 1955.
3. S. K. Kaul, *On a transformation group*, Canad. J. Math. **21** (1969), 935-941.
4. —, *Compact subsets in function spaces*, Canad. Math. Bull. **12** (1969), 461-466.
5. —, *On the irregular sets of a transformation group*, (to appear).
6. S. Kinoshita, *Notes on discontinuous transformation groups*, (unpublished).

UNIVERSITY OF SASKATCHEWAN,  
REGINA, SASKATCHEWAN