

letters were mailed to 140 patients recommending HIV, hepatitis B, and hepatitis C testing. After receiving the notification letter, patient D contacted the clinic. He was hospitalized for hepatitis C in a different county during August 2022. Patient D received a procedure on the same day, in July, as the other 3 patients and immediately after patient B. To encourage testing and to ensure receipt of exposure notification letters, we called all 140 patients; 100 (71%) were successfully contacted and 76 (54%) reported they had scheduled or completed recommended postexposure testing. Recommendations to the clinic included updated infection control practices, proper use of syringes and needles, keeping multidose vials in a dedicated clean medication preparation area (away from immediate patient treatment areas), staff training, and an outbreak notification sign for the clinic to post.⁸ We continued cross referencing the exposure patient list with the California Department of Public Health and LACDPH HCV registries. No additional patients with a positive HCV RNA test result were reported.

Although we were unable to identify a specific source of HCV transmission, evidence supports the possibility that a multidose medication vial was contaminated by reuse of a needle or syringe. Improper handling of multidose vials has been linked to multiple bloodborne pathogen outbreaks^{2,3} and are the basis of CDC recommendations for safe injection practices when using multidose vials.⁹ Single-use vials, drawing medication outside the patient's room, and random audits of infection control practices by infection prevention staff or departments of public health could prevent future outbreaks.⁹ Our investigation highlights an ongoing need to assure that providers consistently apply policies and procedures to prevent healthcare-associated transmission of bloodborne pathogens when using multidose vials.

Acknowledgments.



Financial support. This research was supported by the Epidemiology and Laboratory Capacity for Prevention and Control of Emerging Infectious Diseases (grant no. CK19-1904).

Competing interests. All authors report no conflicts of interest relevant to this article.

References

1. Viral hepatitis surveillance—United States, 2019. Centers for Disease Control and Prevention website. <https://www.cdc.gov/hepatitis/statistics/2019surveillance/index.htm>. Published 2020. Accessed January 5, 2024.
2. Branch-Elliman W, Weiss D, Balter S, Bornschelegel K, Phillips M. Hepatitis C transmission due to contamination of multidose medication vials: summary of an outbreak and a call to action. *Am J Infect Control* 2013;41:92–94.
3. Muleta D, Kainer MA, Moore-Moravian L, *et al*. Notes from the field: hepatitis C outbreak in a dialysis clinic—Tennessee, 2014. *Morbidity and Mortality Weekly Report* 2015;64:1386–1387.
4. Hepatitis C questions and answers for health professionals. Hepatitis C information. Viral hepatitis. Centers for Disease Control and Prevention website. <https://www.cdc.gov/hepatitis/hcv/hcvfaq.htm#ref02>. Accessed July 28, 2023.
5. Lewis KC, Barker LK, Jiles R, Gupta N. Estimated prevalence and awareness of hepatitis C virus infection among US adults: National Health and Nutrition Examination Survey, January 2017–March 2020. *Clin Infect Dis* 2023;77:1413–1415.
6. Seo S, Silverberg MJ, Hurley LB, *et al*. Prevalence of spontaneous clearance of hepatitis C virus infection doubled from 1998 to 2017. *Clin Gastroenterol Hepatol* 2020;18:511–513.
7. Hepatitis C, acute 2020 case definition. Surveillance case definitions. National Notifiable Diseases Surveillance System (NNDSS). Centers for Disease Control and Prevention website. <https://ndc.services.cdc.gov/case-definitions/hepatitis-c-acute-2020/>. Accessed July 28, 2023.
8. Notification and communication. In: *The Council for Outbreak Response: Healthcare-Associated Infections and Antimicrobial-Resistant Pathogens*. CORHA; 2022. P. 3–11.
9. Questions about multidose vials. FAQs regarding safe practice for medical injections -background. information for providers. Injection safety. Centers for Disease Control and Prevention website. https://www.cdc.gov/injectionsafety/providers/provider_faqs_multivials.html. Accessed July 28, 2023.

Cracking the code(s): Optimization of encounter-level diagnosis coding to inform outpatient antimicrobial stewardship data modeling

Ryan W. Stevens PharmD¹ , James Manz MD², Margo Mathre³, Natalie Bell BS⁴, Abinash Virk MD⁵, Paschalis Vergidis MD⁵ and Kelsey Jensen PharmD⁶ 

¹Department of Pharmacy, Mayo Clinic, Rochester, Minnesota, ²Division of Spine and Neurosurgery, Mayo Clinic Health System, Eau Claire, Wisconsin, ³Center for Digital Health, Data and Analytics, Healthcare Terminology, Mayo Clinic, Phoenix, Arizona, ⁴Center for Digital Health, Data and Analytics, Healthcare Terminology, Mayo Clinic, Rochester, Minnesota, ⁵Division of Public Health, Infectious Diseases, and Occupational Medicine, Mayo Clinic, Rochester, Minnesota and ⁶Department of Pharmacy, Mayo Clinic Health System, Austin, Minnesota

In 2016, the Centers for Disease Control and Prevention (CDC) released Core Elements of outpatient antimicrobial stewardship

program (ASP) which include leadership commitment, action for policy and practice, data tracking and reporting, and education.¹ Compared to the inpatient setting, outpatient ASP involves a significantly higher number of encounters, dramatically shorter encounter durations, and little direct control over dispensing.² Thus, accurate, specific, and actionable prescribing data are foundational to outpatient ASP activity because they inform provider

Corresponding author: Ryan W. Stevens; Email: stevens.ryan@mayo.edu

Cite this article: Stevens RW, *et al*. (2024). Cracking the code(s): Optimization of encounter-level diagnosis coding to inform outpatient antimicrobial stewardship data modeling. *Infection Control & Hospital Epidemiology*, 45: 550–552, <https://doi.org/10.1017/ice.2023.296>



Table 1. Encounter volumes (%) by study period

Diagnosis Group	Total Encounters (N = 29,558), No. (%)	Preimplementation Encounters (n = 14,858), No. (%)	Postimplementation Encounters (n = 14,700), No. (%)	P Value
Cystitis	14,904 (50.4)	4,555 (30.7)	10,349 (70.4)	<.001
Pyelonephritis	1,298 (4.4)	594 (4)	704 (4.8)	<.001
Catheter-associated	111 (0.4)	11 (0.07)	100 (0.7)	<.001
Asymptomatic bacteriuria	114 (0.4)	38 (0.3)	76 (0.5)	<.001
UTI, site not specified	13,131 (44.4)	9,660 (65)	3,471 (23.6)	<.001

Note. UTI, urinary tract infection.

education, development of clinical decision support (CDS) tools, and comparison reporting.

The ability to effectively assess prescribing trends in ambulatory encounters often hinges on the association of antimicrobial prescriptions with encounter-level diagnosis codes. Inaccuracies in diagnosis code selection can hinder or mislead programmatic assessment of antimicrobial prescribing trends, therefore inextricably linking the practices of diagnostic coding and ASP. Following identification of UTI as an outpatient ASP syndrome target, our health-system identified that most UTI encounters were being coded with the single *International Classification of Disease Tenth Edition* (ICD-10) code N39.0 (ie, urinary tract infect, site not specified). This code lacks sufficient syndrome-level specificity to facilitate assessments of prescribing appropriateness. Herein, we describe the outcomes of a CDS tool (ie, diagnosis calculator) developed to facilitate appropriate and specific diagnosis code selection during UTI encounters.

Methods

The ICD-10 codes related to UTI were stratified into 5 diagnostic groups: asymptomatic bacteriuria, cystitis, pyelonephritis, catheter-associated infections, and UTI not otherwise specified (NOS) within the data modeling platform (Slicer-Dicer, Epic, Verona, WI) (Supplementary Table 1 online). Another group was created for antimicrobial agents commonly utilized for UTI (Supplementary Table 2 online). A UTI diagnosis calculator (Supplementary Fig. 1 online) was constructed and implemented in the electronic health record (EHR, Epic). This calculator requires the user to select patient characteristics (eg, pregnancy and catheter status) and infection-related features (eg, lower- vs upper-tract disease, presence of hematuria, acute vs chronic vs recurrent), thereby facilitating selection of the most appropriate and the specific ICD-10 code. The calculator was implemented across the entire Mayo Clinic Enterprise on January 1, 2022, with stepwise introduction onto all applicable diagnosis code preference lists by March 2023. Education was provided to end users in the form of enterprise-wide newsletter communications, EHR super-user training, and primary-care departmental presentations. Additionally, changes to EHR diagnosis records were implemented that sent users directly to the calculator when “UTI, NOS” selection was attempted as a visit diagnosis.

This before-and-after quasi-experimental study included a preimplementation period from July 1, 2021, through December 31, 2021 (6 months) and a postimplementation period from March

1, 2023, through August 31, 2023 (6 months). Enterprise-wide encounters for patients aged ≥ 18 years were included if (1) an ICD-10 code from any of the UTI diagnosis groups was utilized, (2) an antibiotic from the antimicrobial group was prescribed during the encounter, and (3) the patient was seen by primary care, urgent care, emergency department, or obstetrics/gynecology. The outcome of interest was the percentage of total encounters coded into each UTI diagnosis group. We used the χ^2 test to assess differences in encounter volumes by diagnosis.

Results

Encounter-level diagnosis coding was evaluated across a total of 29,558 encounters during the 2 study periods, with 14,858 encounters in the preimplementation period and 14,700 encounters in the postimplementation period. A statistically significant reduction in the use of ICD-10 code N39.0 occurred following implementation of the calculator (65% vs 23.6%; $P < .001$). This change was accompanied by increases in the percentage of encounters comprised of primary ICD-10 codes from other, more syndrome-specific, UTI diagnostic groups (Table 1). The largest increase in code utilization occurred in the cystitis group, in which this group accounted for 30.7% of all encounters in the preimplementation period compared to 70.4% in the postimplementation period ($P < .001$).

Discussion

Outpatient ASP metrics are often “encounter based” (eg, encounter-level prescribing rates), and encounter-level diagnosis codes are commonly leveraged to associate antimicrobial prescribing with specific infectious syndromes.^{3,4} A tiered diagnostic approach has commonly been applied wherein encounter ICD-10 codes are stratified into syndromes for which antibiotics are always, sometimes, or never appropriate (eg, tier I, II, and III, respectively).^{5,6} This approach has allowed institutions to stratify encounters by syndrome(s) within data models; however, reliance on diagnoses coding also introduces inaccuracies when code selection is incorrect or lacks specificity.

Accurate diagnosis code selection has important implications for ASP data modeling in UTIs. Apart from asymptomatic bacteriuria (ASB), other UTIs (ie, complicated cystitis, uncomplicated cystitis, catheter associated cystitis, and pyelonephritis) would all be categorized as tier I in the aforementioned structure. However, optimal drug selection, dosing, and durations of therapy vary widely across diagnoses.⁷ Therefore, if diagnosis codes are

used to describe trends and/or identify opportunities in prescribing optimization, then coding specificity is paramount to data modeling and subsequent intervention development. Others attempting to steward antimicrobials in ambulatory UTI encounters have also attempted improvements in diagnostic specificity through CDS tools and found improvements in coding specificity.⁸

We evaluated outpatient UTI-related ICD-10 code utilization before and after implementation of a diagnosis CDS (ie, diagnosis calculator). Diagnostic calculator implementation resulted in significant improvement in coding specificity. Our study was limited by the exclusion of some infrequently utilized antimicrobials from the antimicrobial group and by lack of chart review in each individual case to confirm appropriate code selection from the calculator. Nevertheless, these findings add to the existing body of evidence suggesting that CDS as an effective means for improving diagnostic specificity that can facilitate ASP efforts toward accurate data modeling and prescribing assessments.

Supplementary material. To view supplementary material for this article, please visit <https://doi.org/10.1017/ice.2023.296>

Acknowledgments. The authors thank Sue Christensen and Evan Draper for the contribution to this work through the design and implementation of Tableau and Slicer-Dicer data models facilitating the monitoring of code utilization. We also thank the Mayo Clinic Outpatient Antimicrobial Stewardship Team for their significant contributions to the local implementation and education of the CDS tool.

Financial support. No financial support was provided relevant to this article.

Competing interests. All authors report no conflicts of interest relevant to this article.

References

1. Sanchez GV, Fleming-Dutra KE, Roberts RM, Hicks LA. Core elements of outpatient antibiotic stewardship. *MMWR Recomm Rep* 2016;65(6):1–12.
2. Jensen KL, Rivera CG, Draper EW, *et al*. From concept to reality: building an ambulatory antimicrobial stewardship program. *J Am Coll Clin Pharm* 2021;4:1583–1593.
3. Cubillos AL, Patch ME, Chandler EL, *et al*. Antimicrobial stewardship intervention bundle decreases outpatient fluoroquinolone prescribing for urinary tract infections. *Infect Control Hosp Epidemiol* 2023;44:488–490.
4. Stenehjem E, Wallin A, Fleming-Dutra KE, *et al*. Antibiotic prescribing variability in a large urgent care network: a new target for outpatient stewardship. *Clin Inf Dis* 2020;70:1781–1787.
5. King LM, Tsay SV, Hicks LA, Bizune D, Hersh AL, Fleming-Dutra K. Changes in outpatient antibiotic prescribing for acute respiratory illnesses, 2011 to 2018. *Antimicrobial Steward Healthc Epidemiol* 2021;1:e66.
6. Fleming-Dutra KE, Hersh AL, Shapiro DJ, *et al*. Prevalence of inappropriate antibiotic prescriptions among US ambulatory care visits, 2010–2011. *JAMA* 2016;315:1864–1873.
7. Goebel MC, Trautner BW, Grigoryan L. The five Ds of outpatient antibiotic stewardship for urinary tract infections. *Clin Microbiol Rev* 2021;34:e0000320.
8. Eudaley ST, Mihm AE, Higdon R, Jeter J, Chamberlin SM. Development and implementation of a clinical decision support tool for treatment of uncomplicated urinary tract infections in a family medicine resident clinic. *J Am Pharm Assoc* 2019;59:579–585.