

A Type of Alternant

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1. Introduction.

We define

$$\alpha_j^{(k)} = (\alpha_j + \beta_1)(\alpha_j + \beta_2) \dots (\alpha_j + \beta_k),$$

where $\alpha_p \neq \alpha_q$ when $p \neq q$. If $N = \sum \lambda_i$, then the partition $(\lambda_1, \lambda_2, \dots, \lambda_n)$ of N with $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ is denoted by (λ) and we set

$$l_j = \lambda_j + n - j.$$

All partitions will be in descending order and the usual notation for repeated parts will be used.

The determinant with $f(s, t)$ in row s and column t will be denoted by $|f(s, t)|$. The use of s and t implies that the determinant is of order n . For other orders σ and τ will be used.

We consider the function

$$\{\alpha; (\lambda); \beta\}$$

defined by $|\alpha_s^{(l_s)}| = \{\alpha; (\lambda); \beta\} |\alpha_s^{n-t}|$.

If every $\beta_i = 0$, then we have the S -function $\{\alpha; (\lambda)\}$ defined by

$$|\alpha_s^{l_t}| = \{\alpha; (\lambda)\} |\alpha_s^{n-t}|$$

[1, chap. VI].

When $0 < v \leq u$, we define $b(u, v)$ to be the v -th elementary symmetric function of $\beta_1, \beta_2, \dots, \beta_u$. We set $b(0, 0) = 1 = b(u, 0)$ and $b(u, v) = 0$ if $v < 0$ or $u < v$. We take $H(u, v)$ as the v -th complete homogeneous symmetric function of $\beta_1, \beta_2, \dots, \beta_u$ when $0 < v$, and $H(0, 0) = H(u, 0) = 1$, $H(u, v) = 0$ if $v < 0$.

In this note we prove the following theorems:

THEOREM 1. *If $b\{(l), (r)\} = |b(l_s, l_s - l_t + r_t)|$, then*

$$\{\alpha; (\lambda); \beta\} = \sum \{\alpha; (\lambda_1 - r_1, \lambda_2 - r_2, \dots, \lambda_n - r_n)\} b\{(l), (r)\},$$

where the summation is taken over all non-negative r_i such that

$$\lambda_1 - r_1 \geq \lambda_2 - r_2 \geq \dots \geq \lambda_n - r_n \geq 0.$$

THEOREM 2. *If $\lambda_1 \leq n$, (μ) and $(\mu-r')$ are partitions conjugate to (λ) and $(\lambda-r)$ respectively and $m_s = \mu_s + n - s$, then*

$$b\{(l), (r)\} = |H(2n - m_s, m_s - m_t + r'_t)| = H\{(m), (r')\}, \text{ say.}$$

THEOREM 3. *The function $b\{(l), (r)\}$ may be expanded as a polynomial in $\beta_1, \beta_2, \dots, \beta_{l_1}$ with positive integral coefficients.*

Since Theorem 2 is solely concerned with the β_i , we can choose $n \geq \lambda_1$ by adding a sufficient number of zero parts to (λ) .

Hirsch [2] considered the case of $(\lambda) = (1^{n-k}, 0^k)$ and his result may be put in the form¹

$$\{\alpha; (1^{n-k}, 0^k); \beta\} = \sum_{r=0}^{n-k} \{\alpha; (1^{n-k-r})\} H(k+1, r). \tag{1}$$

We may obtain the dual result

$$\{\alpha; (n-k, 0^{n-1}); \beta\} = \sum_{r=0}^{n-k} \{\alpha; (n-k-r)\} b(2n-k-1, r) \tag{2}$$

by subtracting appropriate multiples of the columns of

$$|\alpha_s^{(t)}|, \quad (l_1 = 2n - k - 1, l_2 = n - 2, l_3 = n - 3, \dots, l_n = 0),$$

from the preceding columns.

Using Theorem 1, we find that in the expansion of (1) we have a term with

$$\lambda_1 = \lambda_2 = \dots = \lambda_{n-k} = 1, \quad \lambda_{n-k+1} = \lambda_{n-k+2} = \dots = \lambda_n = 0,$$

$$r_1 = r_2 = \dots = r_t = 0, \quad r_{t+1} = r_{t+2} = \dots = r_{n-k} = 1, \quad r_{n-k+1} = \dots = r_n = 0;$$

so that

$$(\lambda) = (1^{n-k}), \quad (\lambda-r) = (1^t)$$

and in Theorem 2

$$(\mu) = (n-k), \quad (\mu-r') = (t).$$

Thus the coefficient of $\{\alpha; (1^t)\}$ is

$$b\{(l), (r)\} = |H(2n - m_s, m_s - m_t + r'_t)|$$

where

$$m_1 = 2n - k - 1, \quad m_2 = n - 2, \quad m_3 = n - 3, \quad \dots, \quad m_n = 0,$$

$$r'_1 = n - k - t, \quad r'_2 = r'_3 = \dots = r'_n = 0.$$

The first column of $|H(2n - m_s, m_s - m_t + r'_t)|$ now has

$$H(k+1, n-k-t)$$

in the first row and zero below, since $m_s - m_1 + r'_1 < 0$ for $s > 1$. The other columns will have unity on the principal diagonal position and zero below. Hence we have (1).

¹ We omit zero parts when there is no danger of ambiguity.

In (2), we find that we have a term with

$$\lambda_1 = n - k, \quad r_1 = r, \quad \lambda_j = r_j = 0 \quad (j > 2),$$

and the coefficient of $\{\alpha; (1^{n-k-r})\}$ is $b(2n - k - 1, r)$ from Theorem 1.

As a further example we consider

$$\begin{vmatrix} \alpha_1^{(4)} & \alpha_1^{(2)} & 1 \\ \alpha_2^{(4)} & \alpha_2^{(2)} & 1 \\ \alpha_3^{(4)} & \alpha_3^{(2)} & 1 \end{vmatrix} = \begin{vmatrix} \alpha_1^2 & \alpha_1 & 1 \\ \alpha_2^2 & \alpha_2 & 1 \\ \alpha_3^2 & \alpha_3 & 1 \end{vmatrix} \{\alpha; (2, 1, 0); \beta\}.$$

We denote $b(u, v)$ by u, v , $\{\alpha; \lambda\}$ by $\{\lambda\}$, $-t$ by \bar{t} , and find that

$$\begin{aligned} \{\alpha; (2, 1, 0); \beta\} &= \{2, 1\} \begin{vmatrix} 4, 0 & 4, 2 & 4, 4 \\ 2, \bar{2} & 2, 0 & 2, 2 \\ 0, \bar{4} & 0, \bar{2} & 0, 0 \end{vmatrix} + \{2\} \begin{vmatrix} 4, 0 & 4, 3 & 4, 4 \\ 2, \bar{2} & 2, 1 & 2, 2 \\ 0, \bar{4} & 0, \bar{1} & 0, 0 \end{vmatrix} \\ &+ \{1^2\} \begin{vmatrix} 4, 1 & 4, 2 & 4, 4 \\ 2, \bar{1} & 2, 0 & 2, 2 \\ 0, \bar{3} & 0, \bar{2} & 0, 0 \end{vmatrix} + \{1\} \begin{vmatrix} 4, 1 & 4, 3 & 4, 4 \\ 2, \bar{1} & 2, 1 & 2, 2 \\ 0, \bar{3} & 0, \bar{1} & 0, 0 \end{vmatrix} + \begin{vmatrix} 4, 2 & 4, 3 & 4, 4 \\ 2, 0 & 2, 1 & 2, 2 \\ 0, \bar{2} & 0, \bar{1} & 0, 0 \end{vmatrix} \\ &= \{2, 1\} + \{2\} b(2, 1) + \{1^2\} b(4, 1) + \{1\} b(4, 1) b(2, 1) + \begin{vmatrix} b(4, 2) & b(4, 3) \\ b(2, 0) & b(2, 1) \end{vmatrix}. \end{aligned}$$

As an example of Theorem 3, we consider

$$\begin{vmatrix} 4, 2 & 4, 3 & 4, 4 \\ 2, 0 & 2, 1 & 2, 2 \\ 1, \bar{1} & 1, 0 & 1, 1 \end{vmatrix} = b\{(4, 2, 1); (2, 1, 1)\}.$$

This is the term independent of the α_i in the expansion of $\{\alpha; (2, 1^2); \beta\}$ and it does not factorise into determinants of the same type but lower order. The term independent of the α_i in the expansion of $\{\alpha; (1^3); \beta\}$ also has this property and it is $h(1, 3)$. These two terms are the first of order 3 which have the property.

Now

$$\begin{aligned} &b\{(4, 2, 1), (2, 1, 1)\} \\ &= \begin{vmatrix} \beta_1 \beta_2 + (\beta_1 + \beta_2)(\beta_3 + \beta_4) + \beta_3 \beta_4 & \beta_1 \beta_2 (\beta_3 + \beta_4) + (\beta_1 + \beta_2) \beta_3 \beta_4 & \beta_1 \beta_2 \beta_3 \beta_4 \\ 1 & \beta_1 + \beta_2 & \beta_1 \beta_2 \\ 0 & 1 & \beta_1 \end{vmatrix} \\ &= (\beta_3 + \beta_4) \cdot \begin{vmatrix} \beta_1 + \beta_2 & \beta_1 \beta_2 & 0 \\ 1 & \beta_1 + \beta_2 & \beta_1 \beta_2 \\ 0 & 1 & \beta_1 \end{vmatrix} + \begin{vmatrix} \beta_1 \beta_2 & 0 & 0 \\ 1 & \beta_1 + \beta_2 & \beta_1 \beta_2 \\ 0 & 1 & \beta_1 \end{vmatrix} \\ &= (\beta_3 + \beta_4) \beta_1^3 + \beta_1^3 \beta_2. \end{aligned}$$

Since this note was first submitted, Foulkes [8] has given a different method of obtaining (1), (2) and Theorem 1.

2. Expansion of $\{\alpha; (\lambda); \beta\}$.

We have, when $k \leq l_1$,

$$\alpha_s^{(k)} = \sum_{i=k-l_1}^k b(k, i) \alpha_s^{k-i},$$

so that $|\alpha_s^{(k)}|$ is the determinant of the product of the matrices

$$A = [\alpha_s^{l_t - \tau}] \quad \text{and} \quad B = [b(l_t, l_t - l_1 + \sigma)],$$

where $\sigma, \tau = 0, 1, 2, \dots, l_1; s, t = 1, 2, \dots, n$.

It is well-known [cf. 3, 86] that the determinant $|AB|$ is the sum of the $\binom{l_1+1}{n}$ products of pairs of corresponding n -th order determinants which can be formed from A and B' , the transpose of B , each determinant occurring once only.

The determinant in B' corresponding to $|\alpha_s^{l_t - \tau}|$ is

$$|b(l_s, l_s - l_t + r_t)|. \tag{3}$$

We may select, and account for all n -th order determinants from A , by demanding that

$$l_1 - r_1 > l_2 - r_2 > \dots > l_n - r_n, \quad \text{i.e.} \quad \lambda_1 - r_1 \geq \lambda_2 - r_2 \geq \dots \geq \lambda_n - r_n.$$

Moreover, $r_i \leq \lambda_i$ since $l_i - r_i \geq n - i$, the least possible exponent for column i . Hence the coefficient of $\{\alpha; (\lambda_1 - r_1, \lambda_2 - r_2, \dots, \lambda_n - r_n)\}$ in the expansion of $\{\alpha; (\lambda); \beta\}$ is

$$|b(l_s, l_s - l_t + r_t)|.$$

We have $l_h \leq l_g$ and $l_g - l_j + r_j \leq r_g$ for $j \leq g \leq h$. Hence, if $r_g < 0$, then $b(l_h, l_h - l_j + r_j) = 0$. In this case (3) vanishes, having zero elements in the first g terms of the last $n - g + 1$ rows. This completes the proof of Theorem 1.

If $r_{g-1} < l_{g-1} - l_g$, i.e. $r_{g-1} \leq \lambda_{g-1} - \lambda_g$, we can show similarly that (3) factorises into two lower order determinants of the same kind as (3). We note that the graph [1, 67] of the partition $(\lambda_1 - r_1, \lambda_2 - r_2, \dots, \lambda_n - r_n)$ must be regular for a non-zero term. However, if we construct this graph by removing the last r_i nodes from row i of the graph of (λ) for $i = 1, 2, \dots, n$ in succession, and if we have a regular graph at any, except the last, stage, then (3) will factorise.

3. *Duality.*

LEMMA 1. The p -th order matrices $H_1 = [H(p-\tau+1, \tau-\sigma)]$ and $B_1 = [(-1)^{r-\sigma} b(p-\sigma, \tau-\sigma)]$ are reciprocal and hence adjoint.

Proof. If $H = [H(p, \tau-\sigma)]$, $B = [(-1)^{r-\sigma} b(p, \tau-\sigma)]$, then $HB = I$, the unit matrix [cf. 3, 115]. We set Q_{ur} as the p -th order square matrix with 1 on the principal diagonal, $-\beta_u$ in row $r-1$ of column r , and zero elsewhere,

$$Q_u = Q_{u,p} Q_{u,p-1} \dots Q_{u,p-u+2} \quad \text{and} \quad Q = Q_p Q_{p-1} \dots Q_2.$$

$$\begin{aligned} \text{Now} \quad & b(i+1, j+1) = b(i, j+1) + \beta_{i+1} b(i, j), \\ & H(i+1, j+1) = H(i, j+1) + \beta_{i+1} H(i+1, j). \end{aligned}$$

Then since $H(r, 0) = b(r, 0) = 1 = b(0, 0)$, we have $HQ = H_1$ and $Q^{-1}B = B_1$. Hence $H_1 B_1 = I$, and since the determinant of H_1 is 1, then H_1 and B_1 are adjoint.

LEMMA 2. If $(\lambda_1, \lambda_2, \dots, \lambda_n)$ and $(\mu_1, \mu_2, \dots, \mu_n)$ are conjugate partitions, then $n+s-\lambda_s$ and $n+1+\mu_s-s$ ($s = 1, 2, \dots, n$), form a permutation of $1, 2, \dots, 2n$.

This is merely a re-statement of Aitken's rule [5], that

$$(\lambda_n, \lambda_{n-1}+1, \dots, \lambda_1+n-1) \quad \text{and} \quad (\mu_n, \mu_{n-1}+1, \dots, \mu_1+n-1)$$

form bicomplementary sets in relation to the set $0, 1, 2, \dots, 2n-1$.

Proof of Theorem 2. This is based on a similar proof in [5]. If $r_1+r_2+\dots+r_n = r$, then $|(-1)^{l_s-l_t+r_t} b(l_s, l_s-l_t+r_t)|$ is the minor of B_1 (for $p = 2n$) formed by rows $n+s-\lambda_s$ and columns $n+t-(\lambda_t-r_t)$, which by Jacobi's theorem and Lemma 2, is equal to $(-1)^r$ times the minor formed from the transpose of H_1 by rows $n+1+\mu_s-s$ and columns $n+1+\mu_t-r'_t-t$. Hence we have

$$\begin{aligned} |b(l_s, l_s-l_t+r_t)| &= (-1)^r |(-1)^{l_s-l_t+r_t} b(l_s, l_s-l_t+r_t)| \\ &= |H\{2n+1-(n+1+\mu_s-s), n+1+\mu_s-s-(n+1+\mu_t-r'_t-t)\}| \\ &= |H(2n-m_s, m_s-m_t+r'_t)|. \end{aligned}$$

This completes the proof of Theorem 2.

We note that from Lemma 1 we may deduce modified Wronski recurrence formulae; for $1 \leq r \leq p-1$, we have

$$\begin{aligned} H(p, 0) b(p-1, r) - H(p-1, 1) b(p-2, r-1) & \\ + H(p-2, 2) b(p-3, r-2) - + \dots &= 0, \\ b(p-1, 0) H(p-r, r) - b(p-1, 1) H(p-r, r-1) & \\ + b(p-1, 2) H(p-r, r-2) - + \dots &= 0. \end{aligned}$$

If we replace $b(u, r)$ by $H(2n-u, r)$ in $b\{(l), (r)\}$, we obtain

$$|H(2n-l_s, l_s-l_t+r_t)|$$

which is equal to $|b(m_s, m_s-m_t+r'_t)|$,

thus illustrating the duality.

As in Section 2, we find that $H\{(m), (r')\}$ will factorise if

$$r'_{\sigma-1} < m_{\sigma-1} - m_{\sigma}, \text{ i.e. } r'_{\sigma-1} \leq \mu_{\sigma-1} - \mu_{\sigma}.$$

Hence if we remove r'_i nodes from column i of the graph of (λ) in succession, and if, at any stage except the last, we have a regular graph, then $H\{(m), (r')\}$ will factorise into two determinants of the same type but of lower order.

4. Proof of Theorem 3.

We assume that

$$r_{\sigma-1} \geq l_{\sigma-1} - l_{\sigma} \quad (g = 2, 3, \dots, n),$$

so that $b\{(l), (r)\}$ does not factorise.

When $0 < q \leq l_{u-1} - l_u$, we define

$$(l_{u-1}, l_u \check{Q} q)$$

to be the q -th elementary symmetric function of

$$\beta_{l_{u+1}}, \beta_{l_{u+2}}, \dots, \beta_{l_{u-1}}.$$

We set $(l_{u-1}, l_u \check{Q} 0) = 1$ and $(l_{u-1}, l_u \check{Q} r) = 0$ if $r < 0$ or $r > l_{u-1} - l_u$.

We denote the n -th order determinant

$$\begin{vmatrix} b(l_u, l_u-l_t+r_t+v_{s'}) \\ \dots\dots\dots \\ b(l_{s''}, l_{s''}-l_t+r_t) \end{vmatrix} \quad (s' = 1, 2, \dots, u-1; s'' = u, u+1, \dots, n),$$

by $[v_1, v_2, \dots, v_{u-1}]$.

Now

$$b(l_u, l_u-l_t+r_t) = \sum_{p=0}^{l_u-l_{u+1}} (l_u, l_{u+1} \check{Q} l_u-l_{u+1}-p) b(l_{u+1}, l_{u+1}-l_t+r_t+p). \quad (4)$$

Hence $b\{(l), (r)\} = \sum_{p_{11}=1}^{l_1-l_2} (l_1, l_2 \check{Q} l_1-l_2-p_{11}) [p_{11}]$,

the term for $p_{11} = 0$ vanishing.

Similarly,

$$\begin{aligned} [p_{11}] &= \sum_{p_{12}=0}^{l_2-l_3} \sum_{p_{22}=1}^{l_2-l_3} (l_2, l_3 \check{Q} l_2-l_3-p_{12})(l_2, l_3 \check{Q} l_2-l_3-p_{22}) [p_{11}+p_{12}, p_{22}] \\ &= \Sigma \begin{vmatrix} (l_2, l_3 \check{Q} l_2-l_3-p_{12}) & (l_2, l_3 \check{Q} l_2-l_3-(p_{22}-p_{11})) \\ (l_2, l_3 \check{Q} l_2-l_3-p_{11}-p_{12}) & (l_2, l_3 \check{Q} l_2-l_3-p_{22}) \end{vmatrix} [p_{11}+p_{12}, p_{22}], \end{aligned} \quad (5)$$

where the summation is taken over

$$0 \leq p_{12} \leq l_2 - l_3, \quad 1 \leq p_{22} \leq l_2 - l_3,$$

subject to

$$p_{11} + p_{12} > p_{22} > 0.$$

We set

$$P_{tu} = p_u + p_{t,t+1} + \dots + p_{tu} \text{ when } t \leq u, \quad P_{tu} = 0 \text{ when } t > u,$$

and use Σ_u to denote summation over

$$0 \leq p_{ju} \leq l_u - l_{u+1}, \quad \text{i.e. } P_{j,u-1} \leq P_{ju} \leq l_u - l_{u+1} + P_{j,u-1},$$

subject to

$$P_{1u} > P_{2u} > \dots > P_{uu} > 0.$$

Then (5) may be written

$$[P_{11}] = \Sigma_2 | (l_2, l_3 \text{ } \checkmark \text{ } l_2 - l_3 + P_{\sigma 1} - P_{\tau 2}) | [P_{12}, P_{22}] \quad (\sigma, \tau = 1, 2).$$

Now $P_{1,u-1} > P_{s,u-1}$ for $s > 1$, and hence from (4)

$$b(l_u, l_u - l_t + r_t + P_{s,u-1})$$

$$= \sum_{w=0}^{l_u - l_{u+1} + P_{1,u-1}} (l_u, l_{u+1} \text{ } \checkmark \text{ } l_u - l_{u+1} + P_{s,u-1} - w) b(l_{u+1}, l_{u+1} - l_t + r_t + w).$$

Then by a proof similar to that of Theorem 1 we obtain

$$[P_{1,u-1}, P_{2,u-1}, \dots, P_{u-1,u-1}]$$

$$= \Sigma_u | (l_u, l_{u+1} \text{ } \checkmark \text{ } l_u - l_{u+1} + P_{\sigma,u-1} - P_{\tau,u}) | [P_{1u}, P_{2u}, \dots, P_{uu}] \quad (\sigma, \tau = 1, 2, \dots, u). \quad (6)$$

We find that if

$$P_{1u} > P_{2u} > \dots > P_{uu} \geq 0,$$

then the coefficient of

$$[P_{1u}, P_{2u}, \dots, P_{uu}] \quad (7)$$

in the expansion of (6) is

$$| (l_u, l_{u+1} \text{ } \checkmark \text{ } l_u - l_{u+1} + P_{\sigma,u-1} - P_{\tau,u}) | \quad (\sigma, \tau = 1, 2, \dots, u). \quad (8)$$

Then in (8),

$$P_{j,u-1} + l_u - l_{u+1} \geq P_{ju};$$

otherwise the first j elements of the last $n - j + 1$ rows of (8) will be zero, and the determinant vanishes. Also

$$P_{ju} \geq P_{j,u-1};$$

otherwise the last $n - j + 1$ elements of the first j rows will be zero and the determinant vanishes. If $P_{uu} = 0$, then rows u and $u + 1$ of (7) are equal. Hence the expansion of (6) follows.

We set $\xi_\sigma = l_u - l_{u+1} + P_{\sigma, u-1} + n + \sigma,$

$\eta_\tau = P_{\tau, u} + n + \tau;$

then $\xi_\sigma \geq \xi_{\sigma+1}$ and $\eta_\tau \geq \eta_{\tau+1}.$

Hence $|(l_u, l_{u+1}) \tilde{\chi}(l_u - l_{u+1} + P_{\sigma, u-1} - P_{\tau, u})|$
is the S -function [1, 110]

$$\{\tilde{\xi}/\tilde{\eta}\} \tag{9}$$

of $\beta_{l_{u+1}+1}, \dots, \beta_{l_u-1}, \beta_{l_u},$ (10)

where the tilde denotes conjugate partition.

Now $\{\tilde{\xi}/\tilde{\eta}\} = \sum g_{\zeta\eta\xi} \{\tilde{\zeta}\},$

where the $g_{\zeta\eta\xi}$ are non-negative integers determined by

$$\{\zeta\}\{\eta\} = \sum g_{\zeta\eta\xi} \{\xi\}$$

[cf. 1, 110, 91-96]. Since $\{\tilde{\zeta}\}$ itself may be expanded as a polynomial in (10) with positive integral coefficients¹, all the terms in the expansion of (6) as a polynomial in (10) have positive integral coefficients. Theorem 3 follows on repeated application of this argument.

We note that we may write in symbolic form :

$$|b(l_s, l_s - l_t + r_t)| \\ = \prod_{u=1}^{n-1} \{ \sum_u |(l_u, l_{u+1}) \tilde{\chi}(l_u - l_{u+1} + P_{\sigma, u-1} - P_{\tau, u})| |b(l_n, l_n - l_t + r_t + P_{s, n-1})| \}.$$

¹ This is well known [cf. 7]. Aitken [6] gives a direct proof that (9) may be expanded with positive integral coefficients.

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