

# THE CATEGORY OF GRAPHS WITH A GIVEN SUBGRAPH — WITH APPLICATIONS TO TOPOLOGY AND ALGEBRA

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**1. Introduction.** By a graph we mean a pair  $(X, R)$  where  $X$  is a non-void set and  $R \subset X \times X$ . A mapping  $f: X \rightarrow Y$  is called a compatible map (or morphism) from  $(X, R)$  into  $(Y, S)$  if  ${}^2f(R) \subset S$ , where  ${}^2f: X^2 \rightarrow Y^2$  is defined by  ${}^2f((x_1, x_2)) = (f(x_1), f(x_2))$ . The set of all compatible maps from  $(X, R)$  into itself forms a monoid (semigroup with a unit element) under composition, which is denoted by  $M(X, R)$ . A graph  $(X_1, R_1)$  is said to be a full subgraph of  $(X, R)$  if  $X_1 \subset X$  and  $R_1 = R \cap (X_1 \times X_1)$ . A graph  $(X, R)$  is said to be without loops if  $(x, x) \notin R$  for all  $x \in X$ . Our aim in this paper is to prove a generalization and to show applications of the following theorem.

**THEOREM 1.** *Let  $M$  be any monoid,  $(X_1, R_1)$  any graph without loops. Then there exists a graph  $(X, R)$  such that  $(X_1, R_1)$  is a full subgraph of  $(X, R)$  and  $M(X, R)$  is isomorphic to  $M$ .*

Using the methods introduced in (2), it is easy to show that this theorem implies the following result.

**THEOREM 2.** *For any pair of monoids  $M_1, M_2$  there exists a semigroup  $S_1$  with a subsemigroup  $S_2$  such that the monoid of all endomorphisms of  $S_i$  is isomorphic to  $M_i$  for  $i = 1, 2$ .*

Roughly speaking, the theorem states that in general there is no relationship between the monoid of endomorphisms of a semigroup and the monoids of endomorphisms of its subsemigroups. Using (4), one obtains a similar result for universal algebras. A similar result also holds for topological spaces. The following theorem is a generalization of a theorem of de Groot (1).

**THEOREM 3.** *For any pair of groups  $G_1, G_2$  there is a complete metric space  $T_1$  with a complete subspace  $T_2$  such that the group of all autohomeomorphisms of  $T_i$  is isomorphic to  $G_i$ ,  $i = 1, 2$ .*

In the proofs of the theorems we will use some graph-theoretic ideas and

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some methods and language of category theory. In the next two sections we will state the definitions, notation, and results which are used in the proofs.

**2. Graph-theoretic notions.** If  $(X, R)$  and  $(Y, S)$  are graphs, then  $M((X, R), (Y, S))$  denotes the set of all morphisms from  $(X, R)$  into  $(Y, S)$ . We shall use  $M(X, R)$  as an abbreviation for  $M((X, R), (X, R))$ . This agrees with  $M(X, R)$  as defined in the introduction.

$f \in M((X, R), (Y, S))$  is called an isomorphism if  $f: X \rightarrow Y$  is one-to-one and onto and  $f^{-1} \in ((Y, S), (X, R))$ .  $(X, R)$  and  $(Y, S)$  are called isomorphic if there exists an isomorphism between  $(X, R)$  and  $(Y, S)$ . If there is no danger of misunderstanding we shall not distinguish isomorphic graphs.

If  $(X, R)$  is a graph, we let  $l(X, R)$  be the graph  $(X, l(R))$ , where  $(x_1, x_2) \in l(R)$  if and only if  $(x_1, x_2) \in R$  or  $(x_2, x_1) \in R$ . Similarly,  $r(X, R)$  is the graph  $(X, r(R))$ , where  $(x_1, x_2) \in r(R)$  if and only if  $(x_1, x_2) \in R$  and  $(x_2, x_1) \in R$ .

A graph  $(X, R)$  is called undirected (symmetric), if  $R = l(R)$ . (We use here  $l$  and  $r$  to indicate the relationship with the left and right adjoint functor to the inclusion functor from the category of undirected graphs into the category of (directed) graphs.)

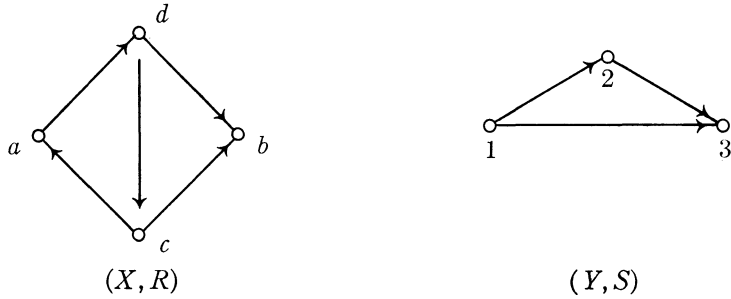
If  $(X, R)$  is a graph,  $x, x' \in X$ , then  $x$  and  $x'$  are said to be in the same component if either  $x = x'$  or there is a finite sequence  $x_1 = x, x_2, \dots, x_n = x'$  such that  $(x_i, x_{i+1}) \in l(R)$  for every  $i = 1, 2, \dots, n - 1$ . The relation "to be in the same component" is evidently an equivalence on  $X$ . The equivalence classes are called components.  $(X, R)$  is called connected, if  $X$  is a component. In the proofs we shall use the fact that if  $f \in M((X, R), (Y, S))$  then every component of  $(X, R)$  is mapped by  $f$  into a component of  $(Y, S)$ .  $(X, R)$  is said to have no isolated points, if it has no one-point components which are not loops.

A graph  $(X, R)$  is called rigid, if  $M(X, R) = 1_X$ , where  $1_X$  denotes the identity mapping on  $X$ . We shall make use of the following assertion, proof of which is found in (2): *If  $X$  is any set, then there exists a connected rigid graph  $(X, R)$ .*

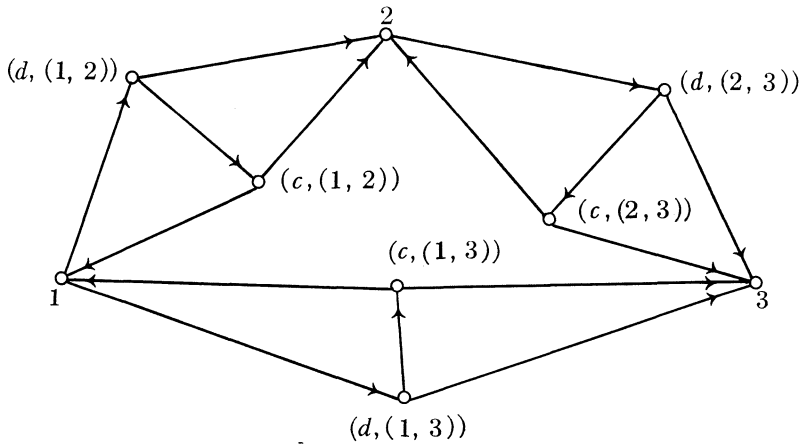
A concept of strongly rigid graphs introduced in (3) plays an important role in this paper. To define it we need some auxiliary notions.

Let  $(X, R)$  and  $(Y, S)$  be graphs,  $a, b \in X, (a, b) \notin l(R), a \neq b, (Y, S)$  being without loops or isolated points. We define a graph, denoted by  $(X, R, a, b) * (Y, S)$ , which has the following intuitive meaning: every edge  $s$  of  $(Y, S)$  (i.e.  $s \in S$ ) is replaced by a copy of  $(X, R)$  in such a way that  $a$  is the beginning and  $b$  the end point of  $s$ , alternatively for each  $(y_1, y_2) \in S$  we take a copy of  $(X, R)$ ; these copies are taken to be disjoint except for the points  $a, b$  which are chosen to be  $y_1$  and  $y_2$ , respectively, if the copy is associated with the pair  $(y_1, y_2)$ . To clarify the idea we shall show an easy example, where we draw an arrow from  $a$  to  $b$ , where  $(a, b) \in R$ . Let

$$\begin{aligned} (X, R) &= (\{a, b, c, d\}, \{(a, d), (d, b), (c, b), (c, a), (d, c)\}), \\ (Y, S) &= (\{1, 2, 3\}, \{(1, 2), (2, 3), (1, 3)\}). \end{aligned}$$



Then  $(X, R, a, b) * (Y, S)$  is pictorially the following graph:



To define  $(X, R, a, b) * (Y, S)$  formally, we introduce an auxiliary notation. Put  $T = X - \{a, b\}$  and  $\bar{Y} = Y \cup (S \times T)$ . If  $s = (y_1, y_2) \in S$ , define a mapping  $f_s: X \rightarrow Y$  by  $f_s(a) = y_1, f_s(b) = y_2, f_s(t) = (t, s)$  for  $t \in T$ .

Now, we are able to define  $(X, R, a, b) * (Y, S) = (\bar{Y}, \bar{S})$  by:

$$\bar{Y} = Y \cup (S \times T),$$

$(\bar{y}_1, \bar{y}_2) \in \bar{S}$  if and only if there exists  $(x_1, x_2) \in R$  and  $s = (y_1, y_2), s \in S$ , such that  $f_s(x_i) = \bar{y}_i, i = 1, 2$ .

Observe that  $s \neq 1, s, t \in S$ , implies  $\text{card}(f_s(X) \cap f_t(X)) \leq 2$ . Moreover, the only possible elements of the last intersection are  $f_s(a), f_s(b), f_t(a)$ , and  $f_t(b)$ . Since we assumed that  $(a, b) \notin R, (b, a) \notin R$ , we have

$${}^2f_s(R) \cap {}^2f_t(R) = \emptyset.$$

It follows that for every  $s \in S$ , the graph  $(f_s(X), \bar{S} \cap (f_s(X) \times f_s(X)))$  is isomorphic to  $(X, R)$  under the isomorphism  $f_s$  if  $f_s$  is considered to be a mapping from  $X$  into  $f_s(X)$ . We shall need the following easy lemma.

**LEMMA 1.** *If  $(X, R)$  is undirected, then  $(\bar{Y}, \bar{S})$  is undirected. If  $(X, R)$  and  $(Y, S)$  are connected, then  $(X, R, a, b) * (Y, S)$  is connected.*

A graph  $(X, R)$  is called strongly rigid with respect to  $a, b$  ( $a, b \in X$ ) if for every  $(Y, S)$  without loops or isolated points, any morphism

$$f: (X, R) \rightarrow (X, R, a, b) * (Y, S)$$

can be written in the form  $f = f_s$ , for some  $s \in S$ . Sometimes we say that  $(X, R, a, b)$  is strongly rigid.

If  $k$  is a cardinal number, let  $W_k = (Z_k, T_k)$  be the following graph:  $Z_k$  is the set of all ordinals less than  $k$  and  $(x, y) \in T_k$  if and only if  $x < y$  in the usual sense of well-ordering of ordinals. Let  $C_k$  be the graph  $l(W_k) = (Z_k, l(T_k))$ , called the complete  $k$ -point graph.

A graph  $G = (X, R)$  is said to be  $k$ -colourable if  $M(G, C_k) \neq \emptyset$ . Let  $c(G)$  be the least cardinal such that  $M(G, C_k) \neq \emptyset$ .  $c(G)$  is called the chromatic number of  $G$ . It is easy to see that these definitions coincide with those given in classical graph theory.

LEMMA 2.  $c(W_k) = k$ .

*Proof.* By definition,  $T_k \subset l(T_k)$ , and hence  ${}^1_{1_Z}(T_k) = T_k \subset l(T_k)$ , where  $1_Z$  denotes the identity transformation of  $Z_k$ . Hence,  $1_Z \in M(W_k, C_k)$  and  $c(W_k) \leq k$ .

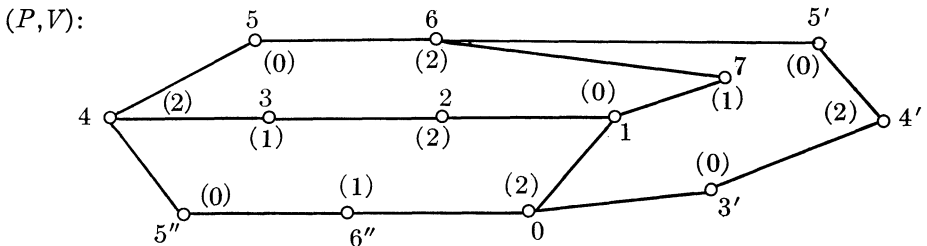
If  $j$  is a cardinal,  $j < k$ , then for every  $f: Z_k \rightarrow Z_j$  there are  $z_1, z_2 \in Z_k$  such that  $f(z_1) = f(z_2)$ ,  $z_1 < z_2$ . If  $f \in M(W_k, C_j)$ , then  $(f(z_1), f(z_2)) = (f(z_1), f(z_1)) \in l(T_j)$  since  $(z_1, z_2) \in T_k$ . We obtain a contradiction with the statement  $C_j$  has no loops.

LEMMA 3. Let  $(Y, S)$  be a graph without loops or isolated points,  $c((X, R)) = k$ ,  $a, b \in X$ ,  $a \neq b$ . If there is a  $g \in M((X, R), C_k)$  such that  $g(a) = g(b)$ , then  $c((X, R, a, b) * (Y, S)) = k$ .

*Proof.* It is easy to see that the following  $g^*$  is a morphism from  $(X, R, a, b) * (Y, S)$  into  $C_k$ :  $g^*(y) = g(a)$  for all  $y \in Y$ ,  $g^*((t, s)) = g(t)$  for all  $t \in T, s \in S$ .

Since  $g(a) = g(b)$ , we have  $(a, b) \notin R$  and  $(b, a) \notin R$ . Hence, by our remark concerning the definition  $(X, R, a, b) * (Y, S)$ , this graph contains an isomorphic copy of  $(X, R)$  as a full subgraph. Hence,  $c((X, R, a, b) * (Y, S)) \geq k$ , which completes the proof.

In (3) it was shown that the following undirected graph  $(P, V)$  is strongly rigid with respect to points  $5'$  and  $5''$ .



$$P = \{0, 1, 2, 3, 4, 5, 6, 7, 3', 4', 5', 5'', 6''\}, \quad V = l(R),$$

where

$$R = \{(0, 1), (1, 2), (2, 3), (3, 4), (4, 5), (5, 6), (6, 7), (7, 1), (0, 3'), (3', 4'), (4', 5'), (5', 6'), (4, 5''), (5'', 6''), (6'', 0)\}.$$

It is easy to see that the mapping  $g: P \rightarrow Z_3$ , defined by  $g(i) = 0$  for  $i = 1, 3', 5, 5', 5'', g(j) = 1$  for  $j = 3, 6'', 7$ , and  $g(k) = 2$  for  $k = 0, 2, 4, 4', 6$ , is a morphism from  $(P, V)$  into  $Z_3$  such that  $g(5') = g(5'')$ . (In the picture, the values of  $g$  are in the brackets.) Evidently,  $c((P, V)) = 3$ . Using Lemma 3 we obtain that if  $(Y, S)$  is any graph without loops or isolated points, then  $c((P, V, 5', 5'') * (Y, S)) = 3$ . Since  $(P, V)$  is undirected,  $(P, V, 5, 5') * (Y, S)$  is undirected by Lemma 1.

**3. Categorical notions.** A category  $\mathcal{A}$  is determined by a class of objects  $\mathcal{A}^0$  and class of morphisms  $\mathcal{A}^m$  subject to the following conditions.

With every pair of objects  $A_1, A_2 \in \mathcal{A}^0$  a set of morphisms  $M(A_1, A_2) \subset \mathcal{A}^m$  is associated ( $M(A_1, A_2)$  is usually described as the set of morphisms from  $A_1$  into  $A_2$ ); and with every  $f \in \mathcal{A}^m$  there exists a unique pair  $A_1, A_2$  such that  $f \in M(A_1, A_2)$ .

A composition of morphisms is defined so that:

- (1) if  $f \in M(A_1, A_2)$ ,  $g \in M(A_2, A_3)$ , then the composition of  $g$  and  $f$  denoted by  $gf$  is defined and  $gf \in M(A_1, A_3)$ ,
- (2) for all  $f, g, h \in \mathcal{A}^m$ , we have  $f(gh) = (fg)h$  whenever defined,
- (3) for every  $A \in \mathcal{A}^0$ , there is  $1_A \in M(A, A)$  such that  $f1_A = f$ ,  $1_{A'}g = g$  whenever the composition is defined.

If we wish to stress the category in which we are working, we sometimes write  $M_{\mathcal{A}}(A_1, A_2)$  instead of  $M(A_1, A_2)$ .

Considering graphs as objects, compatible mappings as morphisms, and usual composition as composition, we obtain a category  $\mathcal{R}$ . (1) is satisfied since composition of compatible mappings is a compatible mapping; (2) is the usual associativity of mappings; and (3) is satisfied since every identity mapping is compatible.

If  $\mathcal{A}$  and  $\mathcal{B}$  are categories, a functor  $F: \mathcal{A} \rightarrow \mathcal{B}$  is an assignment of an object  $F(A) \in \mathcal{B}^0$  to each  $A \in \mathcal{A}^0$ , and a morphism  $F(f): F(A) \rightarrow F(A')$  to each morphism  $f: A \rightarrow A'$ ,  $A' \in \mathcal{A}^0$ , subject to the following conditions:

- (1) if  $gf$  is defined in  $\mathcal{A}$ , then  $F(gf) = F(g)F(f)$ ;
- (2) for every  $A \in \mathcal{A}^0$ ,  $F(1_A) = 1_{F(A)}$ .

A category  $\mathcal{A}$  is called a full subcategory of  $\mathcal{B}$  if  $\mathcal{A}^0 \subset \mathcal{B}^0$  and  $M_{\mathcal{A}}(A_1, A_2) = M_{\mathcal{B}}(A_1, A_2)$  for every  $A_1, A_2 \in \mathcal{A}^0$ .

A functor  $F: \mathcal{A} \rightarrow \mathcal{B}$  is called full embedding if the association  $f$  to  $F(f)$  is a one-to-one onto function from  $M_{\mathcal{A}}(A_1, A_2)$  onto  $M_{\mathcal{B}}(F(A_1), F(A_2))$  for all objects  $A_1, A_2 \in \mathcal{A}^0$ , and  $F$  is one-to-one on objects.

Denote by  $\mathcal{R}_e$  the full subcategory of  $\mathcal{R}$  whose objects are all graphs without loops and isolated points. We shall make use of the following proposition proved in (2).

PROPOSITION 1. *There exists a full embedding of  $\mathcal{R}$  into  $\mathcal{R}_e$ .*

**4. Full embeddings.** The following proposition is a categorial formulation of (2, Theorem 1).

PROPOSITION 2. *Let  $(X, R, a, b)$  be a strongly rigid graph. Then the mapping which associates with every  $(Y, S) \in \mathcal{R}_e^0$  a graph  $(X, R, a, b) * (Y, S) \in \mathcal{R}^0$  can be extended to a functor which is a full embedding of  $\mathcal{R}_e$  into  $\mathcal{R}$ .*

*Proof.* Denote this functor by  $F$ . Then  $F(Y, S) = (X, R, a, b) * (Y, S)$ . Let  $g$  be any morphism from  $(Y, S)$  into  $(Y', S')$ . Define  $F(g): F(Y, S) \rightarrow F(Y', S')$  by:

$$F(g)(y) = g(y) \quad \text{for } y \in Y,$$

$$F(g)(x, s) = (x, {}^2g(s)) \quad \text{for } (x, s) \in T \times S.$$

Since  ${}^2g(s) \in S'$ , this map is well-defined. We must prove that it is a morphism in  $\mathcal{R}$ . Let  $F(Y, S) = (\bar{Y}, \bar{S})$ ,  $F(Y', S') = (\bar{Y}', \bar{S}')$ . If  $(\bar{y}_1, \bar{y}_2) \in \bar{S}$ , then there are  $(x_1, x_2) \in R$  and  $s \in S$  such that  $f_s(x_i) = \bar{y}_i$ ,  $i = 1, 2$ . Now, by the definition of  $F(g)$ ,  $F(g)(\bar{y}_i) = f_t(x_i)$ ,  $i = 1, 2$ , where  $t = {}^2g(s)$ . Thus,  ${}^2(F(g))(\bar{y}_1, \bar{y}_2) \in \bar{S}'$  by the definition of  $\bar{S}'$ . Thus,  $F(g)$  is a morphism from  $F(Y, S)$  into  $F(Y', S')$ . Since  $Y \subset F(Y, S)$  and  $F(g)$  restricted to  $Y$  is equal to  $g$ ,  $g_1 \neq g_2$  implies  $F(g_1) \neq F(g_2)$ . It remains to prove that  $F$  maps  $\mathcal{R}_e$  onto a full subcategory of  $\mathcal{R}$ .

Let  $h$  be a morphism from  $F(Y, S)$  into  $F(Y', S')$ . Let  $y_1 \in Y$ . Since  $(Y, S)$  has no isolated points, there exists  $y_2 \in Y$  such that  $(y_1, y_2) \in S$  or  $(y_2, y_1) \in S$ . We may assume without loss of generality that  $(y_1, y_2) \in S$ . Consider the graph  $(f_s(X), {}^2f_s(R))$ , where  $s = (y_1, y_2)$ . It is isomorphic to  $(X, R)$  and is a full subgraph of  $F(Y, S)$ . Since  $(X, R, a, b)$  is strongly rigid,  $h$  restricted to  $f_s(X)$  must be equal to  $f_t$  for some  $t \in S'$ . Let  $t = (y'_1, y'_2)$ . Then  $h(y_1) = y'_1$ ,  $h(y_2) = y'_2$ , and  $h(x, s) = (x, t)$ ,  $x \in T$ . Thus  $h$  restricted to  $Y$  is a morphism, called  $g^*$ , from  $(Y, S)$  into  $(Y', S')$ .

Let  $(x, s)$  be any point in  $\bar{Y} - Y$ . If  $s = (y_1, y_2)$ , then we know that  $h(y_1) = y'_1$ ,  $h(y_2) = y'_2$  for some  $(y'_1, y'_2) \in S'$ . Furthermore,  $(f_s(X), {}^2f_s(R))$  is an isomorphic copy of  $(X, R)$  in  $(\bar{Y}, \bar{S})$ . Since  $(X, R, a, b)$  is strongly rigid,  $hf_s = f_t$  for some  $t \in S'$ . However,  $h(y_1) = y'_1$ ,  $h(y_2) = y'_2$ . Hence  $h((x, s)) = (x, t) = (x, {}^2g^*(s))$ . Since  $F(g^*)(y) = g^*(y)$  and  $F(g^*)((x, s)) = (x, {}^2g^*(s))$ , we have  $F(g^*) = h$  and  $F$  is a full embedding.

COROLLARY 1. *For any cardinal  $k$  there exists a rigid undirected connected graph,  $(Y, S)$ , such that  $c((Y, S)) = 3$  and  $\text{card } Y > k$ .*

*Proof.* It was proved in (2) that for every cardinal  $k$  there exists a rigid connected graph  $(X_1, R_1)$  such that  $\text{card } X_1 > k$ . Since  $(X_1, R_1)$  is rigid, it has no loops if  $\text{card } X_1 > 1$ . Let  $(P, V, 5, 5'')$  denote the strongly rigid undirected graph introduced above. Using Lemma 1, the graph

$$(Y, S) = (P, V, 5', 5'') * (X_1, R_1)$$

is undirected and connected. By Lemma 3,  $c((Y, S)) = 3$ . Evidently,  $\text{card } Y > k$ . Now, using Proposition 2, we conclude that  $(Y, S)$  is rigid.

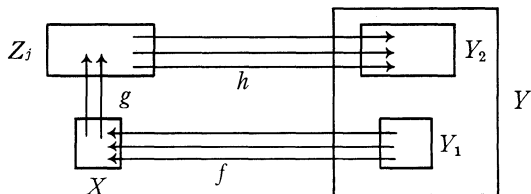
A point  $x \in X$  is called a dead end of  $(X, R)$ , if  $(y, x) \notin R$  for all  $y \in X$ .

PROPOSITION 3. *Every graph  $(X, R)$  without loops is a full subgraph of a rigid connected graph  $(X^*, R^*)$  without dead ends.*

*Proof.* Let  $(X, R)$  be the graph without loops,  $\text{card } X = i$ . Choose a cardinal  $j$  such that  $j > i + 3$ . We shall make use of the graph  $W_j = (Z_j, T_j)$ , where  $Z_j$  is the set of all ordinals smaller than  $j$  and  $T_j$  is the strict well-ordering relation. Further, choose a cardinal  $k > i + j$  and let  $(Y, S)$  be a rigid undirected connected graph such that  $\text{card } Y > k$  and  $c((Y, S)) = 3$ .

Now, we introduce three auxiliary mappings which will enable us to define the graph  $(X^*, R^*)$ .

Choose two disjoint subsets  $Y_1, Y_2$  of  $Y$  such that  $\text{card } Y_1 = i$ ,  $\text{card } Y_2 = j$ . Let  $f: Y_1 \rightarrow X$  be any one-to-one onto mapping,  $g: X \rightarrow Z_j$  any one-to-one mapping, and  $h: Z_j \rightarrow Y_2$  any one-to-one onto mapping. By the assumptions on the cardinals, such mappings exist. The situation is illustrated by the following figure.



We may assume that  $X, Y$ , and  $Z_j$  are mutually disjoint sets (otherwise we can achieve this by proper indexing).

Put

$$X^* = X \cup Y \cup Z_j;$$

$$R^* = R \cup S \cup T_j \cup \{(y, f(y)) \mid y \in Y_1\} \cup \{(x, g(x)) \mid x \in X\} \cup \{(z, h(z)) \mid z \in Z_j\}.$$

We claim that  $(X^*, R^*)$  is the required graph.

First it is clear that  $(X^*, R^*)$  is without dead ends, as dead end cannot appear in  $Y$  since  $S$  is symmetric, cannot appear in  $X$  since  $(x, g(x)) \in R^*$ ,  $x \in X$ , and cannot appear in  $Z_j$  since  $(z, h(z)) \in R^*$ ,  $z \in Z_j$ .

It is easy to see that  $(X^*, R^*)$  is connected since  $(Y, S)$  and  $(Z_j, T_j)$  are connected graphs.

From the definition of  $R^*$ , it follows that  $(X, R)$  is a full subgraph of  $(X^*, R^*)$ .

It remains to prove that  $(X^*, R^*)$  is rigid. Take any  $f: X^* \rightarrow X^*$  such that  $f \in M(X^*, R^*)$ . Observe that  $f \in M(X^*, l(R^*))$  and  $f \in M(X^*, r(R^*))$ .

We shall use the fact that  $f \in M(X^*, l(R^*))$  to show that  $f(Z_j) \subset Z_j$ . The graph  $(Z_j, T_j)$  is a full subgraph of  $(X^*, R^*)$  and  $(Z_j, l(T_j))$  is a full subgraph of  $(X^*, l(R^*))$ . The graph  $(Z_j, l(T_j))$  is a complete  $j$ -point graph,  $j > 3$ . Since  $(X^*, l(R^*))$  has no loops, the mapping  $f$  restricted to  $Z_j$  must be

one-to-one. Since  $\text{card } X < j$ , we have  $f(Z_j) \not\subset X$ . Since  $c((Y, S)) = 3$ , we have  $f(Z_j) \not\subset Y$ ; otherwise  $c((Z_j, T_j)) \leq 3$ , which is a contradiction to  $c((Z_j, T_j)) = j$ .

Hence,  $f(Z_j) \cap Z_j \neq \emptyset$ . Assume that  $f(Z_j) \cap X \neq \emptyset$ . Since the image of  $(Z_j, l(T_j))$  is a complete graph, it follows that  $\text{card}(f(Z_j) \cap X) = 1$ , since for each point in  $X$  there is exactly one  $z \in Z$  such that  $(x, z) \in R^*$ . It follows that  $\text{card } Z_j \leq 2$ , a contradiction. A similar argument shows that

$$f(Z_j) \cap Y = \emptyset.$$

We conclude that  $f(Z_j) \subset Z_j$ .

Now, using the fact that  $f \in M(X^*, r(R^*))$  we shall show that  $f(Y) \subset Y$ . Consider the components of  $(X^*, r(R^*))$ . By definition,  $(X^*, r(R^*))$  is obtained from  $(X^*, R^*)$ , omitting all edges which are oriented in only one way. It is easy to see that each component of  $(X^*, r(R^*))$  is a subset of one of the sets  $X, Y, Z_j$ , the set  $Y$  forms a component since  $(Y, S)$  is connected undirected and each point in  $Z_j$  is a component. Since  $\text{card } Y > 1$ , we have  $f(Y) \cap Z_j = \emptyset$ . Since a component is mapped by a morphism into a component, there are two possibilities; either  $f(Y) \subset X$  or  $f(Y) \subset Y$ . Assume that  $f(Y) \subset X$ . If  $z \in Z_j$ , then  $(z, h(z)) \in R^*$  and  $h(z) \in Y$ . Hence,  $(f(z), f(h(z))) \in R^*$ ,  $f(z) \in Z_j$ ,  $f(h(z)) \in X$ . However this is impossible since there is no pair  $(z_1, x_1) \in R^*$  such that  $z_1 \in Z_j$  and  $x_1 \in X$ . We have  $f(Y) \subset Y$ . Since  $(Y, S)$  is rigid,  $f(y) = y$  for all  $y \in Y$ .

Let  $x \in X$ . There is exactly one  $y_1 \in Y_1$  and  $z \in Z_j$  such that  $(y_1, x) \in R^*$ ,  $(x, z) \in R^*$ . Hence  $(f(y_1), f(x)) = (y_1, f(x)) \in R^*$  and we have  $f(x) \in Y \cup X$ . Furthermore,  $(f(x), f(z)) \in R^*$  and since  $f(z) \in Z_j$ , we have  $f(x) \in X \cup Z_j$ . We conclude that  $f(x) \in X$  and  $f(x) = x$  for  $x \in X$ . Since there is exactly one  $y_2$  for every  $z \in Z_j$  such that  $(z, y_2) \in R^*$  and  $(f(z), f(y_2)) = (f(z), y_2) \in R^*$ , we conclude that  $f(z) = z$  and  $(X^*, R^*)$  is rigid. The proof is complete.

If  $(X, R)$  is a graph, put  $I(x) = \{y \mid (y, x) \in R\}$  for  $x \in X$ .

LEMMA 4. *Let  $(X', R')$  be a rigid connected graph without dead ends. Assume that there exist sets  $A, B \subset X'$  such that  $A \not\subset B, B \not\subset A$  and  $A \not\subset I(x), B \not\subset I(x)$  for all  $x \in X'$ . If  $0, 1 \notin X'$ , then the graph*

$$(X' \cup \{0\} \cup \{1\}, R' \cup \{A \times \{0\}\} \cup \{B \times \{1\}\})$$

*is strongly rigid with respect to the points 0 and 1.*

*Proof.* Put  $X = X' \cup \{0\} \cup \{1\}$ ,  $R = R' \cup \{A \times \{0\}\} \cup \{B \times \{1\}\}$ . If  $(Y, S)$  is a graph without loops and isolated points, consider a morphism  $f: (X, R) \rightarrow (X, R, 0, 1) * (Y, S) = (\bar{Y}, \bar{S})$ . It follows immediately from the definition that all points in  $Y$  are dead ends of the graph  $(\bar{Y}, \bar{S})$ . Hence,  $f$  must map  $X'$  into the set  $\bar{Y} - Y$ . However,  $(X', R')$  is connected; hence  $X'$  must be mapped into a component of the graph

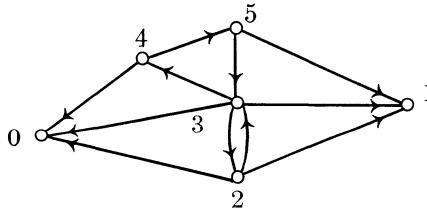
$$(\bar{Y} - Y', \bar{S} \cap (\bar{Y} - Y') \times (\bar{Y} - Y')).$$



It is easy to prove that these components are all isomorphic to  $(X', R')$ . Since  $(X', R')$  is rigid, it follows that  $f$  restricted to  $X'$  is equal to  $f_s$  restricted to  $X'$  for some  $s \in S$ . Consider  $f(0)$  and  $f(1)$ . Since  $(x_0, 0) \in R$  for every  $x_0 \in A$ ,  $(f(x_0), f(0)) = (x_0, f(0)) \in \bar{S}$ . Similarly,  $(x_1, 1) \in R$  for every  $x_1 \in B$ , hence  $(f(x_1), f(1)) = (x_1, f(1)) \in \bar{S}$ . If  $f(0) \in f_s(X')$  say  $f(0) = (x, s)$ , then  $A \subset I(x)$ , which contradicts our assumption. Similarly,  $f(1) \notin f_s(X')$ .

It remains to prove that if  $s = (y_1, y_2)$ , then  $f(0) = y_1$  and  $f(1) = y_2$ . However, it follows easily from the fact that only arrows from  $f(X')$  in  $(Y, S)$  go to the points  $y_1, y_2$ . Since  $A \not\subset B$  and  $B \not\subset A$ , we conclude that  $f(0) = y_1$  and  $f(1) = y_2$ .

*Example.*  $(X', R') = (\{2, 3, 4, 5\}, \{(2, 3), (3, 2), (3, 4), (4, 5), (5, 3)\})$ .  $(X', R')$  is rigid and without dead ends. The sets  $A = \{2, 3, 4\}$  and  $B = \{2, 3, 5\}$  meet the requirements of Lemma 4. Hence, the following graph  $(X', R')$  is strongly rigid with respect to points 0 and 1.



If  $(X, R)$  is a graph, let  $\mathcal{R}(X, R)$  be the full subcategory of  $\mathcal{R}$  whose objects are all the graphs containing  $(X, R)$  as a full subgraph.

**MAIN THEOREM.** *Let  $(X, R)$  be a graph without loops. Then there exists a full embedding of  $\mathcal{R}$  into the category  $\mathcal{R}(X, R)$ .*

*Proof.* Using Proposition 3, the graph  $(X, R)$  is a full subgraph of a rigid connected graph  $(X^*, R^*)$  without dead ends, where  $X^* = X \cup Y \cup Z_j$ . If we put  $A = Z_j \cup Y, B = Y \cup X$ , then it is easy to show that  $A, B \not\subset I(x)$  in  $(X^*, R^*)$  for any  $x \in X^*$ . Hence, using Lemma 4, we obtain a graph which is strongly rigid with respect to points 0 and 1 and contains  $(X, R)$  as a full subgraph. Let this graph be  $(X, R)$ .

It has been found in (2) that  $\mathcal{R}$  can be fully embedded into the category  $\mathcal{R}_e$ . By Proposition 2, choosing for the strongly rigid graph the graph  $(X, R)$  with respect to points 0, 1, we obtain a full embedding of  $\mathcal{R}_e$  into  $\mathcal{R}(X, R)$ . Composing the two full embeddings we obtain the required full embedding.

**5. Applications.** Let  $\mathcal{O}$  be a one-object category. Then, evidently  $\mathcal{O}^m$  forms a monoid under composition; and conversely, every monoid can be considered as  $\mathcal{O}^m$  for a one-object category. In (2) it was shown that  $\mathcal{O}$  can be fully embedded into  $\mathcal{R}_e$ . Combining this assertion with the main theorem, we can present a proof of Theorem 1.

*Proof of Theorem 1.* Let  $M$  be a monoid,  $\mathcal{O}$  a one-object category such that  $\mathcal{O}^m$  is isomorphic to  $M$ . Let  $F: \mathcal{O} \rightarrow \mathcal{R}_e$  be the full embedding the existence of which was shown in (2). Let  $G: \mathcal{R}_e \rightarrow \mathcal{R}(X_1, R_1)$  be the full embedding given by the main theorem. Then the image of the object in  $\mathcal{O}$  under  $GF$  is a graph  $(X, R)$  with a full subgraph  $(X_1, R_1)$  such that  $M(X, R)$  is isomorphic to  $M$ .

**COROLLARY 2.** *If  $M_1$  and  $M_2$  are any two monoids, then there exist graphs  $(X_1, R_1)$  and  $(X_2, R_2)$  such that  $(X_1, R_1)$  is a full subgraph of  $(X_2, R_2)$  and  $M(X_i, R_i)$  is isomorphic to  $M_i, i = 1, 2$ .*

*Proof.* Given  $M_1$ , there is a graph  $(X_1, R_1)$  without loops such that  $M(X_1, R_1)$  is isomorphic to  $M_1$ . Putting  $M = M_2$  and applying Theorem 1 we obtain the required graphs.

Let  $\mathcal{L}$  be the class of all ordinals,  $\mathcal{M}$  the category with monoids as objects, and their homomorphisms as morphisms. Let  $f: \mathcal{L} \rightarrow \mathcal{M}^o$  be any function from  $\mathcal{L}$  into  $\mathcal{M}^o$ . (We must, of course, regard  $f$  as a subclass of  $\mathcal{L} \times \mathcal{M}^o$  rather than a subset. This is to be understood for all functions whose domain is a class.) We can generalize Corollary 2 as follows.

**PROPOSITION 4.** *Let  $f$  be any function from  $\mathcal{L}$  to  $\mathcal{M}^o$ . Then there exists a function  $g: \mathcal{L} \rightarrow \mathcal{R}_e^o$  such that:*

- (i)  $g(i)$  is isomorphic to a full subgraph of  $g(i')$  for  $i < i', i, i' \in \mathcal{L}$ ;
- (ii)  $M(g(i))$  is isomorphic to  $f(i)$  for all  $i \in \mathcal{L}$ .

*Proof.* Define  $g$  inductively as follows:

- (a)  $g(0) = (X_0^*, R_0^*)$ , where  $(X_0^*, R_0^*)$  is obtained from a full embedding of  $f(0)$  as one-object category into  $\mathcal{R}_e$ ;
- (b) assume that  $i > 0$  and  $g(j)$  is defined for all  $j < i$ ;
- (c) if  $i = k + 1$ , put  $g(i) = (X_i^*, R_i^*)$ , where  $(X_i^*, R_i^*)$  is the graph  $(X, R)$  obtained from Theorem 1 by putting  $M = f(i)$ ,  $(X_1, R_1) = g(k)$ ;
- (d) if  $i$  is a limit ordinal, put  $g(i) = (X_i^*, R_i^*)$ , where  $(X_i^*, R_i^*)$  is the graph  $(X, R)$  obtained from Theorem 1 by putting  $M = f(i)$ , and  $(X_1, R_1) = (\cup Y_j | j < i, \cup S_j | j < i)$ , where  $(Y_j, S_j)$  is isomorphic to  $(X_j^*, R_j^*)$  and  $Y_j$  are mutually disjoint.

To be able to apply the results to semigroups we need a slight modification of Theorem 1. First, a notation: Let  $\mathcal{R}_i$  be the full subcategory of  $\mathcal{R}$  whose objects are all those graphs  $(X, R)$  such that:

- (i) for any  $x \in X, (x, x) \notin R$ ,
- (ii) for any  $x \in X$ , there is  $y \in X$  such that  $(y, x) \in R$ .

**THEOREM 1'.** *Let  $M$  be any monoid,  $(X_1, R_1)$  any graph without loops. Then there exists a graph  $(X, R)$  such that  $(X_1, R_1)$  is a full subgraph of  $(X, R)$ ,  $M(X, R)$  is isomorphic to  $M$ , and  $(X, R)$  is an object of  $\mathcal{R}_i$ .*

*Proof.* First, we use Proposition 3 for a graph  $(X_1, R_1)$  and obtain a rigid connected graph  $(X^*, R^*)$  without dead ends. It is easy to see that if we chose

the function  $g$  in the proof such that  $g(x) = 0$  for some  $x \in X$ , we have  $(X^*, R^*) \in \mathcal{R}_i^0$ .

Applying Lemma 4 to the graph  $(X^*, R^*)$  we obtain a strongly rigid graph  $(X^{**}, R^{**}) \in \mathcal{R}_i^0$ . Using this graph as a strongly rigid graph in Proposition 2 we easily see that the main theorem can be modified as follows: *Let  $(X, R)$  be a graph without loops. Then there exists a full embedding of  $R$  into the category  $\mathcal{R}(X, R) \cap \mathcal{R}_i$ .* Theorem 1' then easily follows.

Let  $\mathcal{R}_u$  be the full subcategory of  $\mathcal{R}$  such that  $(X, R)$  is an object of  $\mathcal{R}_u$  if and only if:

- (i) for any  $x \in X$ , we have  $(x, x) \notin R$ ,
- (ii) for any  $x \in X$ , there is  $y \in X$  such that  $(x, y) \in R$ .

If  $G = (X, R)$  is a graph, put  $i(G) = (X, i(R))$ , where  $(x, y) \in i(R)$  if and only if  $(y, x) \in R$ .

The following functor  $I: \mathcal{R} \rightarrow \mathcal{R}$  is an isomorphism:

$$I(G) = i(G), \quad I(f) = f.$$

Using this functor, it follows immediately that Theorem 1' is equivalent to the following theorem.

**THEOREM 1''.** *Let  $M$  be any monoid,  $(X_1, R_1)$  any graph without loops. Then there exists a graph  $(X, R)$  such that  $(X_1, R_1)$  is a full subgraph of  $(X, R)$ ,  $M(X, R)$  is isomorphic to  $M$ , and  $(X, R)$  is an object of  $\mathcal{R}_u$ .*

In (2) it was also proved that  $\mathcal{R}_u$  (see 2, the remark before Proposition 2) is fully embeddable in the category  $\mathcal{S}$ , whose objects are semigroups and whose morphisms homomorphisms, by a functor  $F$  which fulfills the following condition: If  $G_1$  is a full subgraph of  $G$ , then  $F(G_1)$  is a subsemigroup of  $F(G)$ . Using this full embedding and Proposition 4, we obtain a generalization of Theorem 2.

**PROPOSITION 5.** *Let  $f$  be any function from  $\mathcal{L}$  into  $M^0$ . Then there exists  $g: \mathcal{L} \rightarrow \mathcal{S}^0$  such that*

- (i)  $g(i)$  is isomorphic to a subsemigroup of  $g(i')$  for  $i < i'$ ,  $i, i' \in \mathcal{L}$ ,
- (ii) the monoid of all endomorphisms of  $g(i)$  is isomorphic to  $f(i)$  for all  $i \in \mathcal{L}$ .

Let  $\Delta = \{n_i\}$ ,  $i \in I$ , be a type and  $A(\Delta)$  the category of algebras of type  $\Delta$ .  $\Delta$  is said to be non-trivial if and only if at least one of the  $n_i \geq 2$  or at least two of the  $n_i = 1$ . In (4) it was shown that there are full embeddings of  $\mathcal{R}$  into  $\mathcal{A}(2)$ ,  $\mathcal{A}(2, 0)$ ,  $\mathcal{A}(1, 1)$ ,  $\mathcal{A}(1, 1, 0)$  which send subgraphs to subalgebras. If  $\Delta$  is any non-trivial type, there is an obvious full embedding of at least one of these four categories of algebras in  $\mathcal{A}(\Delta)$ . (All "unnecessary" operations are projections and/or all constants equal.) Thus we have the following result.

**PROPOSITION 6.** *Let  $\Delta$  be a non-trivial type, and  $f$  any function from  $\mathcal{L}$  to  $M^0$ . Then there exists  $g: \mathcal{L} \rightarrow A(\Delta)^0$  such that:*

- (i)  $g(i)$  is isomorphic to a subalgebra of  $g(i')$  for  $i < i'$ ,  $i, i' \in \mathcal{L}$ ,
- (ii) the monoid of all endomorphisms of  $g(i)$  is isomorphic to  $f(i)$  for all  $i \in \mathcal{L}$ .

In (1) the existence of a “strongly rigid” complete metric space is proved and the construction is given which associates with every graph  $(X, R)$  a complete metric space  $C(X, R)$  in such a way that

(1) the group of all automorphisms of  $(X, R)$  is isomorphic to the group of all autohomeomorphisms of  $C(X, R)$ ,

(2) if  $(X_1, R_1)$  is a full subgraph of  $(X, R)$ , then  $C(X_1, R_1)$  is a complete subspace of  $C(X, R)$ .

Let  $\mathcal{G}$  be the category of groups. Using this construction and Proposition 4, we obtain a generalization of Theorem 3.

PROPOSITION 7. *Let  $f$  be any function from  $\mathcal{L}$  into  $\mathcal{G}^\circ$ . Then there exists a function  $g$  from  $\mathcal{L}$  into the class of all complete metric spaces such that:*

- (i)  $g(i)$  is homeomorphic to a complete subspace of  $g(i')$  for  $i < i'$ ,  $i, i' \in \mathcal{L}$ ,
- (ii) the group of all autohomeomorphisms of  $g(i)$  is isomorphic to  $f(i)$  for all  $i \in \mathcal{L}$ .

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