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Neha Rahalkar^{1,†}, Aaron Holman-Vittone^{1,†}, Christian Daniele¹, Rachel Wacks¹,
Autumn Gagnon¹, Amy D'Agata², Nazmus Saquib³ , Peter F. Schnatz⁴,
Mary C. Sullivan⁴, Robert Wallace⁵ and Cassandra N. Spracklen¹ 

Original Article

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Corresponding author:C. N. Spracklen; Email: cspracklen@umass.edu[†]Rahalkar and Holman-Vittone are contributed equally to this project.

¹Department of Biostatistics and Epidemiology, University of Massachusetts Amherst, Amherst, MA, USA; ²College of Nursing, University of Rhode Island, Providence, RI, USA; ³Department of Clinical Sciences, College of Medicine, Sulaiman Al Rajhi University, Al Bukairiyah, Saudi Arabia; ⁴Sidney Kimmel Medical College at Thomas Jefferson University, West Reading, PA, USA and ⁵Department of Epidemiology, University of Iowa, Iowa City, IA, USA

Abstract

An individual's birthweight, a marker of *in utero* exposures, was recently associated with certain psychiatric conditions. However, studies investigating the relationship between an individual's preterm birth status and/or birthweight and risk for depression during adulthood are sparse; we used data from the Women's Health Initiative (WHI) to investigate these potential associations. At study entry, 86,925 postmenopausal women reported their birthweight by category (<6 lbs., 6–7 lbs. 15 oz., 8–9 lbs. 15 oz., or ≥10 lbs.) and their preterm birth status (full-term or ≥4 weeks premature). Women also completed the Burnham screen for depression and were asked to self-report if: (a) they had ever been diagnosed with depression, or (b) if they were taking antidepressant medications. Linear and logistic regression models were used to estimate unadjusted and adjusted effect estimates. Compared to those born weighing between 6 and 7 lbs. 15 oz., individuals born weighing <6 lbs. ($\beta_{\text{adj}} = 0.007$, $P < 0.0001$) and ≥10 lbs. ($\beta_{\text{adj}} = 0.006$, $P = 0.02$) had significantly higher Burnham scores. Individuals born weighing <6 lbs. were also more likely to have depression (adjOR 1.21, 95% CI 1.11–1.31). Individuals born preterm were also more likely to have depression (adjOR 1.18, 95% CI 1.02–1.35); while attenuated, this association remained in analyses limited to only those reportedly born weighing <6 lbs. Our research supports the role of early life exposures on health risks across the life course. Individuals born at low or high birthweights and those born preterm may benefit from early evaluation and long-term follow-up for the prevention and treatment of mental health outcomes.

Introduction

Depression is a major cause of morbidity and mortality in the United States and worldwide, affecting more than 280 million people each year.¹ In the United States specifically, it is estimated that 8.4% of US adults have had at least one major depressive episode.¹ Women who suffer from depression and/or depressive episodes are at increased risk for other health conditions, including heart disease, diabetes, hypertension, and stroke.^{2–5} Depression has also been associated with an increased risk of developing certain cancers and dementia.^{6,7} Identification of individuals most at risk of depression can significantly impact public health, allowing for counseling and/or intervention strategies. Despite this, few studies have examined the effect of early life exposures such as gestational age and birthweight on the risk of depression in later life.

The Developmental Origins of Health and Disease Hypothesis, or “Barker Hypothesis,” hypothesizes that the risk of adult-onset disease is increased among those with adverse *in utero* exposures and poor nutrition in early life.⁸ There is substantial evidence to support the hypothesis between early life exposures, such as preterm birth (<37 weeks gestation) and low birthweight (<2,500 g), and risk for chronic physical conditions, including type 2 diabetes, cancer, cardiovascular disease, disability, thyroid conditions, and autoimmune diseases.^{9–16} Further, there is evidence that adverse *in utero* exposures (e.g., malnutrition) may influence epigenetic changes and/or brain development, predisposing one to mental health conditions.^{17,18} A growing body of literature also suggests an association between early life exposures and cognition, schizophrenia, and/or general psychosis later in life, particularly in children;^{19–23} however, much less research has been conducted on the association between early life exposures and depression in adulthood. A recent meta-analysis of prospective studies found an increased risk for low birthweight (<2500g) and preterm infants; however, only one of the included studies included adults over the age of 40 in the meta-analysis.²⁴ The remaining pool of existing research is mostly limited to small sample sizes ($n < 1,000$),^{25–29} performed using data

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from individuals living in Europe and Canada,^{25–32} and primarily focuses on extremely low birthweight or very early preterm birth.^{25–29,32}

Our study aims to evaluate the potential relationship(s) between birthweight and a personal history of being born preterm and risk for depression using data from the Women's Health Initiative (WHI), a large, longitudinal cohort of women from the United States. We hypothesize that individuals born preterm (i.e., <37 weeks of gestation) and those born weighing <6lbs. (2721m) would be at increased risk for depression later in life compared to individuals born full-term and within a normal birthweight range.

Methods

Study population

We utilized data from the Women's Health Initiative (WHI), a prospective cohort study designed to study major causes of chronic disease in postmenopausal women. Detailed information about the WHI study design and implementation has been described elsewhere.³³ Briefly, 161,608 women between the ages of 49–81 at enrollment were recruited from the general United States population through 40 clinical recruitment sites between 1993 and 1998 and enrolled into overlapping clinical trials (WHI-CT) or a long-term observational study (WHI-OS). The present study used only individuals enrolled in WHI-OS.^{33,34} All study protocols were approved by the Institutional Review Board of each clinical center and all study participants provided informed consent at enrollment.

Baseline measures

Individuals enrolled in WHI-OS were provided with structured, self-administered questionnaires that collected demographic information; medical, reproductive, and family history; psychosocial and behavioral information; and personal habits at study enrollment. Participants also reported their birthweight by choosing from one of the following categories: <6 pounds (lbs.), 6–7 lbs. 15 ounces (oz.), 8–9 lbs. 15 oz., ≥10 lbs., or unknown. Participants were also asked to record whether they were “born 4 or more weeks premature” or “full-term”, and if they were a “twin or triplet”. Participants were considered to have been born preterm if they responded “yes” when asked if they were born four or more weeks premature. The collection of self-reported birthweight categories has previously been validated (Spearman $r = 0.74$).³⁵

Depression definition and measurement

Data on depression status was obtained at enrollment using several approaches. At the enrollment visit with trained clinical staff, participants were asked to bring their medications with them, including any antidepressants. Additionally, to determine if individuals were currently experiencing symptoms consistent with major depression, participants were asked to complete the Burnam screen, a shortened version of the Center for Epidemiological Assessment (CES-D) depression screen.³⁶ Briefly, the Burnam screen is an 8-item questionnaire comprising six items from the CES-D scale³⁷ and two items from the National Institute of Mental Health's Diagnostic Interview Schedule.³⁸ Of the eight items in the Burnam screen, six concern the frequency of feelings in the past week, and two concern the duration of depressed mood. Unlike other screening tools, the Burnam screen logistically weights the responses, yielding a composite score between 0 and 1; a cut-point

of ≥ 0.06 indicates individuals who screen positive for depression.³⁶ While it is still possible that there may be a self-reporting error in recording accurate depressive symptom severity, the Burnam screen has been validated in the WHI.³⁹ For this study, participants were classified as having depression if at least one of three conditions was met: (1) their Burnam score was ≥ 0.06 ; or (2) they reported current use of antidepressants at enrollment.

Exclusion criteria

Individuals were excluded from all analyses if they reported being a twin or triplet ($n = 1,622$) or if data were unknown or missing regarding their preterm birth status ($n = 5,058$, preterm birth analyses) or birthweight category ($n = 8,791$, birthweight analyses). Individuals were also excluded from the analyses if they did not complete the Burnam screen ($n = 1,897$ for birthweight analyses and $n = 2,210$ for preterm birth analyses). Additionally, to consider birthweight as an exposure separately from gestational age, individuals who reported being born preterm ($n = 1,938$) were excluded from birthweight analyses. The final maximum sample sizes were $n = 74,299$ for birthweight analyses and $n = 84,715$ for preterm birth analyses (Fig. 1).

Statistical analysis

Descriptive statistics for WHI-OS participants were generated using baseline data and compared across preterm birth status or birthweight category using t-tests and ANOVAs for continuous variables and chi-square tests for categorical variables. Multivariable linear regression models were used to generate beta estimates (β) and associated p-values to evaluate the association between self-reported preterm birth status or birthweight category and Burnam scores with and without adjustment for potential confounding. Multivariable logistic regression models were used to estimate odds ratios (OR) and 95% confidence intervals (CI) for the association between self-reported preterm birth status, birthweight category, and depression (yes/no). Covariates examined for inclusion in the models were notable risk factors for depression, including demographic characteristics (age, education, race, ethnicity, neighborhood socioeconomic status (NSES), family history of depression, and geographic region) and baseline lifestyle factors (smoking status, alcohol consumption, BMI at baseline, and 2005 Healthy Eating Index (HEI) score). Due to controversy in the field of life-course epidemiology as to whether or not adult lifestyle factors such as BMI or race should be adjusted for in statistical models,⁴⁰ we present results unadjusted, partially adjusted, and fully adjusted for demographic and lifestyle factors.

Because we observed an association between the birthweight category and both Burnam score and depression, we considered presenting models for the preterm birth analyses with and without adjustment for the birthweight category. However, because gestational age (including preterm birth) and birthweight are strongly correlated, adjustment for birthweight category could attenuate the association between preterm birth and depression or Burnam scores (e.g., colliders). As such, we also present models for the preterm birth analyses stratified by self-reported birthweight category, but do not provide models adjusted for birthweight category. Statistical tests were two-sided, and p-values of <0.05 were considered statistically significant. All analyses used full-term birth or the “6 lb.–7 lb. 15 oz.” birthweight category as the referent group. All data analyses were performed using SAS 9.4 (SAS Institute Inc., Cary, NC, USA).

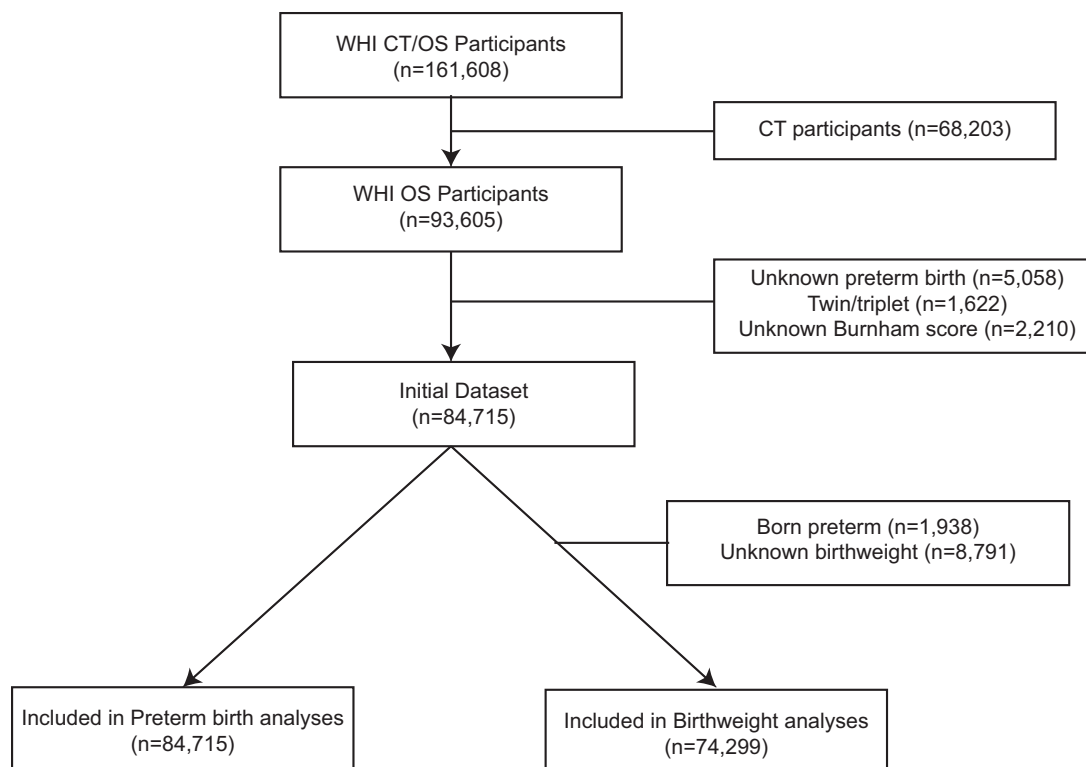


Figure 1. Flow chart of subject selection from the women's health initiative. Some individuals were missing information on more than one variable. CT, clinical trial; OS, observational study; WHI, women's health initiative.

Results

Birthweight

Baseline characteristics of participants stratified by birthweight category are shown in Table 1. Participants who reported weighing <6 lbs. at birth were more likely to be younger; live in the South or West regions of the United States; identify as Asian, Black, or other race; identify as Hispanic/Latinx; have never smoked; report never consuming alcohol; and have depression at baseline when compared to other birthweight categories. At enrollment, individuals who reported weighing ≥ 10 lbs. at birth were more likely to be older, have a higher BMI, live in the Midwest, and have less than a high school diploma/GED compared to other birthweight categories.

Crude and adjusted effect estimates for the association between birthweight category and Burnham score or birthweight category and depression are presented in Tables 2 and 3, respectively. Individuals born at term weighing <6 lbs. and ≥ 10 lbs. had significantly higher Burnham scores when compared to those born within a normal birthweight range, even after adjustments for demographic and lifestyle factors (<6 lbs.: $\beta_{\text{adj}} = 0.007$, $P < 0.001$; ≥ 10 lbs.: $\beta_{\text{adj}} = 0.006$, $P = 0.02$). Similarly, individuals born at term weighing <6 lbs. were at increased odds of depression compared to those born within the normal birthweight range (adjOR = 1.21, 95% CI 1.11–1.31). However, no significant association was observed between being born weighing ≥ 10 lbs. and odds for depression (adjOR = 1.10, 95% CI 0.97–1.25).

Preterm birth

Baseline characteristics of participants stratified by preterm status are shown in Table 4. At enrollment, participants born preterm

tended to be younger, have a higher Burnham score, have a higher BMI, have more years of education, and have a higher prevalence of depression compared to individuals born full-term.

Crude and adjusted effect estimates for the association between preterm birth and Burnham score are presented in Table 5. Individuals born preterm had significantly higher Burnham scores compared to those born full-term in unadjusted and fully adjusted models ($\beta_{\text{unadj}} = 0.009$, $P = 0.003$; $\beta_{\text{adj}} = 0.007$, $P = 0.02$). When limiting analyses to individuals born in the lowest birthweight category (<6 lbs.), there were no statistically significant associations between preterm birth and Burnham score ($\beta_{\text{unadj}} = -0.0003$, $P = 0.95$; $\beta_{\text{adj}} = 0.0004$, $P = 0.92$; Supplementary Table S1). These results suggest that the association between low birthweight and Burnham score may explain the observed association between preterm birth and Burnham score.

Crude and adjusted results for the association between preterm birth and depression are presented in Table 6. Individuals born preterm had significantly higher odds of depression when compared to those born full-term in unadjusted and demographic and lifestyle-adjusted models (unadjOR = 1.23, 95% CI 1.09–1.40; adjOR = 1.18, 95% CI 1.02–1.35). Results were consistent when limiting the analyses to individuals born in the lowest birthweight category (adjOR = 1.14, 95% CI 1.01–1.28; Supplementary Table S2).

Discussion

Utilizing the large, United States-based Women's Health Initiative (WHI) cohort, we found that individuals who report being born at both lower (<6 lbs.) and heavier (≥ 10 lbs.) birthweights had significantly higher Burnham scores than those born in the normal

Table 1. Baseline characteristics of 74,299 women's health initiative participants born at term, by birth weight

| | <6 lbs. | 6–7 lbs. 15 oz. | 8–9 lbs. 15 oz | ≥10 lbs. | <i>P</i> ^a |
|-------------------------------------|-------------------------|--------------------------|--------------------------|-------------------------|-----------------------|
| | <i>N</i> = 6,172 | <i>N</i> = 50,517 | <i>N</i> = 15,055 | <i>N</i> = 2,555 | |
| Age at baseline (mean, STD) | 62.9 (7.5) | 63.2 (7.3) | 63.4 (7.3) | 65.0 (7.0) | <0.0001 |
| Burnam Score (mean, STD) | 0.049 (0.14) | 0.039 (0.13) | 0.042 (0.13) | 0.047 (0.14) | <0.0001 |
| NSES (mean, STD) | 75.4 (9.0) | 76.3 (8.4) | 76.5 (7.9) | 75.5 (8.2) | <0.0001 |
| BMI (mean, STD) | 27.2 (6.0) | 27.0 (5.7) | 27.7 (6.1) | 28.6 (6.6) | <0.0001 |
| 2005 HEI Score* (mean, STD) | 68.9 (10.7) | 69.4 (10.4) | 69.4 (10.5) | 68.8 (10.7) | <0.0001 |
| Geographic Region (<i>n</i> , %) | | | | | 0.004 |
| Northeast | 1,346 (21.8) | 11,544 (22.9) | 3,326 (22.1) | 545 (21.3) | |
| South | 1,667 (27.0) | 12,818 (25.4) | 3,847 (25.6) | 656 (25.7) | |
| Midwest | 1,322 (21.4) | 11,361 (22.5) | 3,518 (23.4) | 618 (24.2) | |
| West | 1,837 (29.8) | 14,794 (29.3) | 4,364 (29.0) | 736 (28.8) | |
| Education (<i>n</i> , %) | | | | | <0.0001 |
| <High school diploma/GED | 1,374 (22.5) | 9,844 (19.6) | 2,819 (18.9) | 617 (24.4) | |
| School after high school | 2,998 (49.0) | 24,310 (48.5) | 7,237 (48.5) | 1,258 (49.8) | |
| College degree or higher | 1,743 (28.5) | 16,013 (31.9) | 4,874 (32.7) | 653 (25.8) | |
| Race (<i>n</i> , %) | | | | | <0.0001 |
| Asian | 303 (5.0) | 1,245 (2.5) | 162 (1.1) | 20 (0.8) | |
| Black | 610 (10.1) | 3,646 (7.4) | 816 (5.5) | 144 (5.7) | |
| White | 4,998 (83.0) | 44,036 (88.8) | 13,642 (92.1) | 2,311 (92.0) | |
| Other ^b | 109 (1.8) | 679 (1.4) | 190 (1.3) | 36 (1.4) | |
| Ethnicity (<i>n</i> , %) | | | | | <0.0001 |
| Hispanic /Latinx | 307 (5.1) | 1,897 (3.8) | 468 (3.1) | 77 (3.0) | |
| Non-Hispanic /Latinx | 5,768 (95.0) | 48,191 (96.2) | 14,493 (96.9) | 2,462 (97.0) | |
| Smoking Status (<i>n</i> , %) | | | | | <0.0001 |
| Never | 3,206 (52.6) | 25,201 (50.5) | 7,225 (48.6) | 1,242 (49.3) | |
| Past | 2,454 (40.3) | 21,683 (43.4) | 6,686 (44.9) | 1,113 (44.1) | |
| Current | 435 (7.1) | 3,041 (6.1) | 972 (6.5) | 167 (6.6) | |
| Alcohol Consumption (<i>n</i> , %) | | | | | <0.0001 |
| Never | 821 (13.4) | 5,395 (10.8) | 1,508 (10.1) | 303 (12.0) | |
| Past | 1,483 (24.2) | 10,456 (20.9) | 3,126 (20.9) | 590 (23.3) | |
| Current | 3,816 (62.4) | 34,303 (68.4) | 10,312 (69.0) | 1,640 (64.8) | |
| Depression | | | | | <0.0001 |
| Yes | 901 (14.6) | 6,105 (12.1) | 1,896 (12.6) | 344 (13.5) | |
| No | 5,271 (85.4) | 44,412 (87.9) | 13,159 (87.4) | 2,211 (86.5) | |

BMI, body mass index; HEI, healthy eating score; lbs, pounds; NSES, normalized neighborhood socioeconomic status.

^a*P*-values are from ANOVA and chi-square statistics.

^bDue to small sample sizes, we collapsed the Native Hawaiian/Other Pacific Islander, American Indian/Alaskan Native, and Multi-racial categories into one "other" category. Numbers are *N* (%) for categorical variables or mean (standard deviation) for continuous variables.

birthweight category. Further, those born weighing <6 lbs. had a significantly increased risk for depression. Individuals born preterm also have significantly higher odds of depression compared to those born full-term.

There has been significant research demonstrating increased risks for externalizing and internalizing behavioral issues in preschool-age to young adulthood among children born preterm.^{41,42} However, to our knowledge, fewer studies published

to date have examined the relationship between gestational age or preterm birth and, specifically, depression in adulthood, however, the results are somewhat inconsistent.^{30–32,43,44} A large (*n* >1 million) population-based registry-linked study in Sweden found that individuals born <32 weeks and 32–36 weeks gestation were significantly more likely to develop depression by age 16 than those who were born term (<32 weeks: HR 2.9, 95% CI 1.8–4.6; 32–36 weeks: HR 1.3, 95% CI 1.1–1.7).³² Conversely, three studies

Table 2. Association between birthweight and baseline Burnam score among postmenopausal women born at term in the women's health initiative

| | Birthweight Category β (SE) <i>P</i> | | | | Global <i>P</i> |
|--|--|----------------|--------------------|---------------------|-----------------|
| | <6 lbs. | 6–7 lbs. 15 oz | 8–9 lbs. 15 oz. | \geq 10 lbs. | |
| <i>n</i> | 6,172 | 50,517 | 15,055 | 2,555 | |
| Burnam Score | | | | | |
| Unadjusted (<i>n</i> = 74,299) | 0.010 (0.002) <0.0001 | (Ref) | 0.003 (0.001) 0.04 | 0.008 (0.003) 0.004 | <0.0001 |
| Adj for Demographics (<i>n</i> = 64,405) | 0.007 (0.002) <0.0001 | (Ref) | 0.003 (0.001) 0.02 | 0.008 (0.003) 0.002 | <0.0001 |
| Adj for Demographic & Lifestyle Factors (<i>n</i> = 62,603) | 0.007 (0.002) 0.0001 | (Ref) | 0.002 (0.001) 0.13 | 0.006 (0.003) 0.02 | <0.0001 |

adj, adjusted; lbs., pounds; SE, standard error; HEI, healthy eating index; NSES, neighborhood socioeconomic status.

Results presented as beta (standard error) and *p*-value. Positive beta-values indicate a higher Burnam score for that birthweight category compared to the reference category. Demographic factors include age at baseline, BMI, geographic region, education, race, and ethnicity. Lifestyle factors include NSES, 2005 dietary HEI score, smoking status, and alcohol consumption.

Table 3. Associations between birthweight and depression among postmenopausal women born at term in the women's health initiative

| | Birthweight | | | | Global <i>P</i> |
|--|------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-----------------|
| | <6 lbs. OR (95% CI) <i>P</i> | 6–7 lbs. 15 oz OR (95% CI) <i>P</i> | 8–9 lbs. 15 oz OR (95% CI) <i>P</i> | \geq 10 lbs. OR (95% CI) <i>P</i> | |
| <i>n</i> | 6,172 | 50,517 | 15,055 | 2,555 | |
| Depression | | | | | |
| Unadjusted (<i>n</i> = 74,299) | 1.24 (1.15, 1.34) <0.0001 | (Ref) | 1.05 (0.99, 1.11) 0.09 | 1.13 (1.01, 1.27) 0.03 | <0.0001 |
| Adj for Demographics (<i>n</i> = 64,405) | 1.22 (1.12, 1.32) <0.0001 | (Ref) | 1.06 (1.00, 1.13) 0.04 | 1.16 (1.02, 1.31) 0.02 | <0.0001 |
| Adj for Demographic & Lifestyle Factors (<i>n</i> = 62,603) | 1.21 (1.11, 1.31) <0.0001 | (Ref) | 1.05 (0.98, 1.11) 0.16 | 1.10 (0.97, 1.25) 0.14 | <0.0001 |

adj, adjusted; CI, confidence interval; lbs., pounds; OR, odds ratio.

Demographic factors include age at baseline, BMI score, geographic region, education, race and ethnicity. Lifestyle factors include NSES score, HEI score, Smoking Status, and Alcohol Consumption.

considering the risk for depression reported no association with preterm birth,^{30,31,43,44} including a study from Finland examining a cohort of 12,597 individuals of a similar age range (66–67 years) to our study.³¹ Three of the aforementioned studies^{30–32} were retrospective cohort studies linking birth records to ICD codes reported in nationalized health registries; undiagnosed and/or less severe cases of depression were likely to be missed with this approach, leading to misclassification of the outcome. Further, the prior studies were conducted in European and Canadian populations and, thus, may not be generalizable to a United States-based population.

To our knowledge, ten studies examined the relationship between birthweight and depression or mood disorders in adults.^{23,25,26,28–30,32} In the largest of the three significant studies (*N* > 490,000), Larsen et al. used a population-based case-control design within three linked Danish registries to examine the association between low birthweight (adjusted for gestational age) and mood (affective) disorders.³⁰ While this study did not distinguish depression from other mood disorders, they did observe an increased risk for mood disorders among those born with low birthweight compared to those born within a normal birthweight range (OR 1.15; *p* = 0.04); this effect size is very similar to the one we observed. Two additional studies with much smaller sample sizes (*n* = 275–397) also found the prevalence of depression to be higher among those born extremely low (*p* = 0.007)²⁵ and very low (OR = 1.65, 95% CI 1.02–2.67)²⁶ birthweight. While they did not attain statistical significance, the three additional studies also observed a consistently increased risk for depression or mood disorders among those born with low birthweight.^{28,29,32} It is

important to note that the age range for each of the populations with the ten studies mentioned here is between 18–45 years of age and are much younger than our own study population (45–81 years). Differences in participant age, in addition to sample sizes and population origin (e.g., Europe), could explain differences observed between all studies.

The exact mechanisms underlying the association between an individual's weight at birth and/or preterm birth status and the increased risk for depression are unclear. At least two studies have demonstrated that maternal exposure to famine during the second and/or trimesters of pregnancy conferred an elevated risk for major depressive disorder.^{45,46} Current research suggests that a poor intrauterine environment and/or fetal growth restriction can result in structural brain differences, such as reduced gray matter, reduced brain reserve, and poor neuronal structure development.^{18,46,47} Other research supporting the Barker Hypothesis indicates that adverse *in utero* exposures may result in fetal epigenetic changes, which may predispose an individual to many chronic health outcomes, including mental health conditions.^{18,47,48}

The strengths of our study included the use of the large, U.S.-based WHI cohort with a sample size of more than 76,000. Our analyses were further strengthened by the availability of extensive phenotyping, allowing for the consideration of many potential confounders. We also performed sensitivity analyses stratified birthweight category. Further, we were able to use multiple approaches in classifying our participants as having depression, including the quantitative Burnham score and current use of antidepressant medication.

Table 4. Baseline characteristics of 84,715 women's health initiative participants by preterm birth status

| | Preterm N = 1,984 | Full-term N = 82,731 | P ^a |
|-----------------------------|----------------------|-------------------------|----------------|
| Age at baseline (mean, STD) | 62.0 (7.3) | 63.5 (7.3) | <0.0001 |
| Burnam Score (mean, STD) | 0.050 (0.15) | 0.041 (0.13) | 0.009 |
| NSES (mean, STD) | 75.8 (8.1) | 76.1 (8.5) | 0.20 |
| BMI (mean, STD) | 27.7 (6.1) | 27.2 (5.8) | 0.001 |
| 2005 HEI Score* (mean, STD) | 68.8 (10.7) | 69.3 (10.5) | 0.03 |
| Geographic Region (n, %) | | | 0.33 |
| Northeast | 419 (21.1) | 18,909 (22.9) | |
| South | 528 (26.6) | 21,312 (25.8) | |
| Midwest | 448 (22.6) | 18,377 (22.2) | |
| West | 589 (29.7) | 24,133 (29.2) | |
| Education (n, %) | | | 0.0004 |
| <High school diploma/GED | 346 (17.6) | 17,043 (20.8) | |
| School after high school | 948 (48.2) | 39,504 (48.1) | |
| College degree or higher | 674 (34.3) | 25,544 (31.1) | |
| Race (n, %) | | | 0.48 |
| Asian | 42 (2.2) | 2,215 (2.7) | |
| Black | 150 (7.7) | 6,263 (7.7) | |
| White | 1,727 (88.8) | 71,436 (88.1) | |
| Other ^b | 26 (1.3) | 1,150 (1.4) | |
| Ethnicity (n, %) | | | 0.85 |
| Hispanic /Latinx | 78 (4.0) | 3,322 (4.1) | |
| Non-Hispanic /Latinx | 1,888 (96.0) | 78,633 (96.0) | |
| Smoking Status (N, %) | | | 0.11 |
| Never | 1,013 (51.7) | 41,321 (50.5) | |
| Past | 809 (41.3) | 35,329 (43.2) | |
| Current | 139 (7.1) | 5,103 (6.2) | |
| Alcohol Consumption (N, %) | | | 0.30 |
| Never | 212 (10.8) | 9,346 (11.4) | |
| Past | 451 (22.9) | 17,677 (21.5) | |
| Current | 1,309 (66.4) | 55,065 (67.1) | |
| Depression (N, %) | | | 0.001 |
| Yes | 298 (15.0) | 10,364 (12.5) | |
| No | 1,686 (85.0) | 72,367 (87.5) | |

BMI, body mass index; HEI, healthy eating score; lbs, pounds; NSES, normalized neighborhood socioeconomic status.

^aP-values are from t-tests and chi-square statistics.

^bDue to small sample sizes, we collapsed the Native Hawaiian/Other Pacific Islander, American Indian/Alaskan Native, and Multi-racial categories into one "other" category. Numbers are n (%) for categorical variables or mean (standard deviation) for continuous variables.

Participants in the WHI were born in the 1910s–1940s, well before the 1990s, when medical technologies and pharmacologic interventions to drastically improve the survival of preterm infants became widespread. It is likely that our study population is affected by survival bias, as survival of infants born extremely preterm and/or extremely low birthweight was low, and only the "healthiest"

Table 5. Relationship between preterm birth status and baseline Burnam score among postmenopausal women in the women's health initiative

| | Preterm birth status | | P |
|---|----------------------|---------------------|-------|
| | Preterm β (SE) | Full-term β (SE) | |
| n | 1,984 | 82,731 | |
| Burnam Score | | | |
| Unadjusted (n = 84,715) | 0.009 (0.003) | (Ref) | 0.003 |
| Adj for Demographics (n = 73,246) | 0.008 (0.003) | (Ref) | 0.01 |
| Adj for Demographic & Lifestyle Factors (n = 71,177) | 0.007 (0.003) | (Ref) | 0.02 |

adj, adjusted; CI, confidence interval; lbs., pounds; SE, standard error.

Results presented as beta (standard error) and p-value. Positive beta-values indicate a higher Burnam score for that birthweight category compared to the reference category.

Demographic factors include age at baseline, BMI score, geographic region, education, race, and ethnicity. Lifestyle factors include NSES score, HEI score, smoking status, and alcohol consumption.

Table 6. Relationship between preterm birth and depression among postmenopausal women in the women's health initiative

| | Preterm birth status | | P |
|--|------------------------|--------------------------|-------|
| | Preterm OR (95% CI) | Full-term OR (95% CI) | |
| n | 1,984 | 82,731 | |
| Depression | | | |
| Unadjusted (n = 84,715) | 1.23 (1.09, 1.40) | (Ref) | 0.001 |
| Adj for Demographics (n = 73,246) | 1.18 (1.03, 1.35) | (Ref) | 0.02 |
| Adj for Demographic & Lifestyle Factors (n = 71,177) | 1.18 (1.02, 1.35) | (Ref) | 0.02 |

adj, adjusted; CI, confidence interval; lbs., pounds; OR, odds ratio.

Demographic factors include age at baseline, BMI score, geographic region, education, race, and ethnicity. Lifestyle factors include NSES score, HEI score, smoking status, and alcohol consumption.

infants, or those with mild prematurity or moderately low birthweight, survived.^{49,50} Thus, it is possible that our results do not directly apply to preterm infants born in the current era. However, it is becoming more apparent that the overall quality of life is reduced among those born preterm.^{51,52} If true, it is likely that one would expect the associations observed in this study to be stronger among those preterm infants who did not survive to be included in our study but would survive with current technologies.

Our study was limited to self-report data for nearly all the considered exposures, outcomes, and potential covariates, which could have resulted in misclassification. Quantitative birthweight and gestational age data from birth records would have been ideal, however, self-reported categorical birthweight has been validated (Spearman $r = 0.74$).⁵³ The validity of self-reported preterm birth status, especially among older individuals born between 1910 and 1940s is unknown. Further, the definition of preterm birth as "being born 4 or more weeks premature" is inconsistent with current definition of preterm birth (< 37 weeks gestation). Because our study utilized data from the WHI, our analyses were restricted to women; generalizability of our results to men is unknown. Finally, the depression categorization of participants in our study

was measured through the CES-D (Burnam) depression scale, while the ideal diagnosis collection is through diagnostic interviews. While the Burnam screen has been validated in the WHI,³⁹ it is important to recognize that the Burnam scale, itself, has a sensitivity of 74% and a positive predictive value of 20% for depression and may have resulted in misclassification of the outcome. Further, we compared the average Burnam score and depression prevalence among those with missing data (Supplementary Tables S3–S4): the mean Burnam score was higher among those with missing birthweight categories ($P = 0.01$) and those with missing preterm birth status ($P < 0.0001$) compared to those that remained in our study, as did the prevalence rates of depression. As such, it is possible that our study is subject to selection bias. There were no differences in birthweight category or preterm birth among those with missing Burnam scores.

Despite extensive phenotyping within the WHI, we could not adjust for all potential confounders of interest that may be important in the life-course pathophysiology of depression, including other *in utero* exposures (e.g., maternal smoking status during pregnancy). There are many known risk factors and causes of both low birthweight and preterm birth, some of which may also predict adult mental illness, but we were unable to consider them here (e.g., gestational infections, intimate partner violence, maternal substance use). As such, our results should not be considered to demonstrate a causal relationship between birthweight and depression. Additionally, because preterm birth status is closely linked to birthweight, birthweight may still confound our analyses. We were able to perform sensitivity analyses, limiting our models to only those reportedly born weighing <6 lbs., which allowed us to limit the impact of birthweight on the effect estimates; in doing so, a significant relationship was no longer observed between preterm birth and depression, suggesting the true association is with birthweight and not preterm birth.

In conclusion, we found that individuals born at lower and higher birthweights and/or preterm were at significantly increased risk of depression. Our research further supports the role of early life and *in utero* exposures on health risks across the life course. Individuals born at low or high birthweights and those born preterm may benefit from early evaluation and long-term follow-up for the prevention and treatment of mental health outcomes. Further, pre-pregnancy and prenatal interventions aimed at preventing prematurity and low/high birthweight infants may reduce the overall burden of depression at the population level.

Supplementary material. For supplementary material accompanying this paper visit <https://doi.org/10.1017/S2040174423000296>

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Competing interests. None.

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