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Refined unramified cohomology of schemes

Stefan Schreieder

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ABSTRACT

We introduce the notion of refined unramified cohomology of algebraic schemes and prove comparison theorems that identify some of these groups with cycle groups. This generalizes to cycles of arbitrary codimension previous results of Bloch–Ogus, Colliot-Thélène–Voisin, Kahn, Voisin, and Ma. We combine our approach with the Bloch–Kato conjecture, proven by Voevodsky, to show that on a smooth complex projective variety, any homologically trivial torsion cycle with trivial Abel–Jacobi invariant has coniveau 1. This establishes a torsion version of a conjecture of Jannsen originally formulated $\otimes \mathbb{Q}$. We further show that the group of homologically trivial torsion cycles modulo algebraic equivalence has a finite filtration (by coniveau) such that the graded quotients are determined by higher Abel–Jacobi invariants that we construct. This may be seen as a variant for torsion cycles modulo algebraic equivalence of a conjecture of Green. We also prove ℓ -adic analogues of these results over any field k which contains all ℓ -power roots of unity.

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1. Introduction

Unramified cohomology of a smooth variety may be defined as the subgroup of the cohomology of the generic point given by all classes that have trivial residues at all codimension-one points, see [BO74] and [Col95, 4.1.1(a)]. Bloch and Ogus [BO74] showed that unramified cohomology in degree three is related to the Griffiths group of codimension-two cycles. Colliot-Thélène and Voisin [CV12] computed the failure of the integral Hodge conjecture for codimension-two cycles on smooth complex projective varieties in terms of unramified cohomology in degree three; a similar statement holds for the integral Tate conjecture by Kahn [Kah12]. A relation between torsion codimension-three cycles with unramified cohomology in degree four is due to Voisin [Voi12] and Ma [Ma17].

The results in [CV12, Kah12, Voi12, Ma17] use two main ingredients: the Gersten conjecture proven by Bloch and Ogus [BO74], which identifies unramified cohomology of smooth varieties with the global sections of a certain Zariski sheaf, and the Bloch–Kato conjecture, proven in [MS83, Voe11].

This paper arose from the observation that the aforementioned results from [CV12, Kah12] have more elementary proofs, not relying on the Gersten conjecture, nor on the Bloch–Kato conjecture, see §3 for more details. This leads us to the notion of refined unramified

cohomology, which generalizes unramified cohomology. Our arguments work for cycles of arbitrary codimensions, over arbitrary fields, and even on singular schemes, see Theorems 1.6 and 1.8.

Our main results on algebraic torsion cycles that we explain next combine the machinery of refined unramified cohomology with the Bloch–Kato conjecture [Voe11].

1.1 Torsion cycles and Abel–Jacobi invariants

A cycle $z \in \text{CH}^i(X)$ on a complex variety X has coniveau j , i.e. $z \in N^j \text{CH}^i(X)$, if z is homologically trivial on a closed subset of codimension j . That is, $z \in N^j$ if $z = \partial\gamma$ is the boundary of a locally finite singular chain γ whose support is contained in a closed algebraic subset of codimension j in X . This yields a finite descending filtration with $N^0 = \text{CH}^i(X)_{\text{hom}}$ and $N^{i-1} = \text{CH}^i(X)_{\text{alg}}$, the subgroups of homologically and algebraically trivial cycles, respectively, cf. [Blo85] and [Tot97, p. 491, Remark 2].

Jannsen showed that on smooth complex projective varieties, $N_j \text{CH}^i(X) := N^{i-j} \text{CH}^i(X)$ is a filtration by adequate equivalence relations, see [Jan00, Theorem 5.6] (stated $\otimes \mathbb{Q}$, but the same arguments work integrally). In particular, N^* interpolates naturally between algebraic and homological equivalence, respectively, and it is multiplicative in a strong sense: $N^j \text{CH}^i(X) \cdot \text{CH}^h(X) \subset N^{j+h} \text{CH}^{i+h}(X)$.

THEOREM 1.1. *Let X be a smooth projective variety over \mathbb{C} and let $i \geq 2$. A homologically trivial torsion cycle $z \in \text{CH}^i(X)_{\text{tors}}$ has coniveau 1 if and only if Griffiths’ Abel–Jacobi invariant $\lambda(z) \in H^{2i-1}(X, \mathbb{Q}/\mathbb{Z})$ admits a lift to $N^1 H^{2i-1}(X, \mathbb{Q})$.*

This theorem uses the fact that the torsion subgroup of Griffiths’ intermediate Jacobian $J^{2i-1}(X)$ identifies canonically to the image of $H^{2i-1}(X, \mathbb{Q})$ in $H^{2i-1}(X, \mathbb{Q}/\mathbb{Z})$, cf. [Blo79, p. 116]. The subgroup $N^1 H^{2i-1}(X, \mathbb{Q}) \subset H^{2i-1}(X, \mathbb{Q})$ consists of those classes that vanish away from a divisor.

The case $i = 2$ may be deduced from [MS83, § 18]; the case $i \geq 3$ is new. Torsion cycles to which the theorem applies are constructed, e.g., in [Tot97, SV05, Sch20].

COROLLARY 1.2. *Let X be a smooth projective variety over \mathbb{C} . Then for any positive integer n , the n -torsion subgroup of $\text{CH}^i(X)_{\text{tors}}/N^1 \text{CH}^i(X)_{\text{tors}}$ is finite.*

We illustrate the above corollary in the case of cycles of codimension three. In this case the coniveau filtration is of the form $N^2 \subset N^1 \subset N^0 \subset \text{CH}^3(X)$. The above corollary shows that the n -torsion in $\text{CH}^3(X)$ is finite modulo N^1 . In contrast, it is shown in [Sch00, RS10, Tot16] that the n -torsion in $N^2 \text{CH}^3(X)$ can be infinite (the torsion classes constructed there are all algebraically trivial, hence contained in N^2). Similarly, using the theory developed in this paper, we show in [Sch20] that at least for n even, the n -torsion in $\text{CH}^3(X)_{\text{tors}}/N^2$ is in general infinite. It follows that $\text{CH}^3(X)_{\text{tors}}/N^0$ and $N^0 \text{CH}^3(X)_{\text{tors}}/N^1$ are the only graded pieces of $\text{CH}^3(X)_{\text{tors}}$ whose torsion is always finite. In this sense, Corollary 1.2 is optimal for $i = 3$. We also note that $\text{CH}^i(X)/N^1 \text{CH}^i(X)$ is for $i = 2$ in general not a finitely generated group, see [Cle83, Voi00] (it is conceivable that the same holds all $i \geq 2$).

Another immediate consequence of Theorem 1.1 is as follows.

COROLLARY 1.3. *Let X be a smooth projective variety over \mathbb{C} . A homologically trivial torsion cycle $z \in \text{CH}^i(X)_{\text{tors}}$ with trivial Abel–Jacobi invariant has coniveau 1.*

Corollary 1.3 proves a torsion analogue of a conjecture of Jannsen (going back to a question of Esnault) who writes in [Jan00, p. 227, (5)] that ‘cycles in the kernel of the Abel–Jacobi map should be homologous to zero on a divisor, at least modulo torsion’. Jannsen showed that his

conjecture follows from deep motivic conjectures: the existence of a Bloch–Beilinson filtration F^* (see, e.g., [Jan00, §2.1]) together with the standard conjecture B imply $F^j \subset N^{j-1}$ at least rationally, see [Jan00, p. 226, (4)]. Jannsen’s conjecture generalizes a conjecture of Nori [Nor93], which predicts that the transcendental Abel–Jacobi map on codimension-two cycles modulo algebraic equivalence is injective, see [Tot97, p. 468].

Nowadays, essentially all deep conjectures in the theory of algebraic cycles on smooth complex projective varieties are formulated rationally. For instance, Hodge originally formulated his famous conjecture integrally, but when Atiyah and Hirzebruch showed that it fails for torsion cycles [AH62], it became clear that one should phrase it rationally. Nonetheless, investigating instances where the Hodge conjecture may hold integrally remained an active field of research, see, e.g., [Voi06, CV12, BW20, Per22]. Similarly, it is natural to investigate to which extent other cycle conjectures may hold integrally, or on torsion cycles, see, e.g., [Tot97, §8]. In view of the many torsion counterexamples to the integral Hodge conjecture (see, e.g., [AH62, Tot97, SV05, BO20]), it may be surprising that the integral Jannsen conjecture holds by Corollary 1.3 unconditionally on torsion cycles.

The proof of Theorem 1.1 uses a homological interpretation of the transcendental Abel–Jacobi invariant on torsion cycles that does not require the smoothness assumption on X . The main point of this observation is that it allows for $0 \leq j \leq i - 2$ to define higher Abel–Jacobi invariants,

$$\bar{\lambda}_{j,\text{tr}}^i : N^j \text{CH}^i(X)_{\text{tors}} \longrightarrow \bar{\mathcal{J}}_{j,\text{tr}}^i(X)_{\text{tors}},$$

by applying suitable Abel–Jacobi mappings on closed subschemes of X . Here,

$$\bar{\mathcal{J}}_{j,\text{tr}}^i(X)_{\text{tors}} := \varinjlim_{Z \subset X} H_{2d-2i+1}^{\text{BM}}(Z_{\text{an}}, \mathbb{Q}/\mathbb{Z})/N^1 H_{2d-2i+1}^{\text{BM}}(Z_{\text{an}}, \mathbb{Q}),$$

where $d := \dim X$, $Z \subset X$ runs through all closed subschemes with $j = \dim X - \dim Z$ and N^* denotes Grothendieck’s coniveau filtration on the respective Borel–Moore homology group.

THEOREM 1.4. *Let X be a separated scheme of finite type over \mathbb{C} . Then for all $0 \leq j \leq i - 2$, we have*

$$N^{j+1} \text{CH}^i(X)_{\text{tors}} = \ker(\bar{\lambda}_{j,\text{tr}}^i : N^j \text{CH}^i(X)_{\text{tors}} \rightarrow \bar{\mathcal{J}}_{j,\text{tr}}^i(X)_{\text{tors}}).$$

As $N^{i-1} \text{CH}^i(X) = \text{CH}^i(X)_{\text{alg}}$ is divisible, this theorem implies that the torsion subgroup of $A^i(X) := \text{CH}^i(X)/\sim_{\text{alg}}$ admits a finite filtration (by coniveau) such that the graded pieces are determined by higher Abel–Jacobi invariants. This should be compared to Green’s conjecture [Gre98, Voi99], which predicts that rational Chow groups of smooth complex projective varieties carry a finite filtration (expected to be the Bloch–Beilinson filtration) such that the graded quotients are determined by higher Abel–Jacobi invariants.

Theorem 1.4 admits the following ℓ -adic analogue, concerning the ℓ -power torsion subgroup $\text{CH}^i(X)[\ell^\infty]$ of $\text{CH}^i(X) := \text{CH}_{\dim X - i}(X)$.

THEOREM 1.5. *Let X be a separated scheme of finite type over a field k and let ℓ be a prime invertible in k . Assume that k contains all ℓ -power roots of unity. Then for all $0 \leq j \leq i - 2$, we have*

$$N^{j+1} \text{CH}^i(X)[\ell^\infty] = \ker(\bar{\lambda}_{j,\text{tr}}^i : N^j \text{CH}^i(X)[\ell^\infty] \rightarrow \bar{\mathcal{J}}_{j,\text{tr}}^i(X)[\ell^\infty]).$$

The coniveau filtration on $\text{CH}^i(X)[\ell^\infty]$ as well as the (higher) Abel–Jacobi invariants are defined analogues to the case of complex schemes above, where we replace ordinary Borel–Moore homology by its ℓ -adic pro-étale analogue [BS15], see Proposition 6.6 and Definition 7.29.

Theorem 1.5 proves analogues of Theorems 1.1 and 1.4 over any field k that contains all ℓ -power roots of unity. This includes in particular an ℓ^∞ -torsion version of Jannsen’s conjecture over any field that contains all ℓ -power roots of unity, such as (function fields over) algebraically closed fields.

1.2 Refined unramified cohomology and algebraic cycles

Let X be a separated scheme of finite type over a field k . We consider the increasing filtration

$$F_0X \subset F_1X \subset \cdots \subset F_{\dim X}X = X, \quad \text{where } F_jX := \{x \in X \mid \text{codim}(x) \leq j\},$$

and $\text{codim}(x) := \dim X - \dim(\overline{\{x\}})$. Each F_jX may be seen as a pro-object in the category of schemes. For a given (co-)homology functor $H^i(-, A(n))$ that admits pullbacks along open immersions of schemes of the same dimension, the (co-)homology of F_jX is defined as direct limit over all open subsets $U \subset X$ with $F_jX \subset U$. We then define the associated j th refined unramified cohomology by

$$H_{j,\text{nr}}^i(X, A(n)) := \text{im}(H^i(F_{j+1}X, A(n)) \rightarrow H^i(F_jX, A(n))). \tag{1.1}$$

The Gysin sequence (see (1.4)) shows that the case $j = 0$ corresponds to classical unramified cohomology.

1.2.1 *Complex schemes.* Now let $k = \mathbb{C}$. For an abelian group A , let

$$H^i(X, A(n)) := H_{2d_X-i}^{\text{BM}}(X_{\text{an}}, A(d_X - n)), \tag{1.2}$$

where H_*^{BM} denotes Borel–Moore homology of the underlying analytic space X_{an} and $A(m) = A \otimes_{\mathbb{Z}} (2\pi i)^m \mathbb{Z}$ denotes the m th Tate twist. Restriction maps as required previously exist in this setting and so we get refined unramified cohomology groups $H_{j,\text{nr}}^i(X, A(n))$.

By [Ful98, § 19.1], there is a cycle class map

$$\text{cl}_X^i : \text{CH}^i(X) \longrightarrow H^{2i}(X, \mathbb{Z}(i)),$$

where $\text{CH}^i(X) := \text{CH}_{d_X-i}(X)$. We let $\text{Griff}^i(X) := \ker(\text{cl}_X^i) / \sim_{\text{alg}}$.

If X is smooth and equi-dimensional, then $H_{2d_X-i}^{\text{BM}}(X_{\text{an}}, A) \simeq H_{\text{sing}}^i(X_{\text{an}}, A)$ and the above map agrees with the usual cycle class map in singular cohomology.

THEOREM 1.6. *Let X be a separated scheme of finite type over \mathbb{C} and define refined unramified cohomology as in (1.1) with cohomology theory in (1.2).*

(i) *There are canonical isomorphisms*

$$\text{coker}(\text{cl}_X^i)_{\text{tors}} \simeq \frac{H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Q}/\mathbb{Z}(i))}{H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Q}(i))}, \quad \text{Griff}^i(X) \simeq \frac{H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Z}(i))}{H^{2i-1}(X, \mathbb{Z}(i))}.$$

(ii) *There is a transcendental Abel–Jacobi map*

$$\lambda_{\text{tr}}^i : \text{Griff}^i(X)_{\text{tors}} \longrightarrow \frac{H^{2i-1}(X, \mathbb{Q}/\mathbb{Z}(i))}{N^{i-1}H^{2i-1}(X, \mathbb{Q}(i))}.$$

If X is a smooth projective variety, this agrees with Griffiths’ transcendental Abel–Jacobi map [Gri69] restricted to torsion cycles. Its kernel is isomorphic to

$$\ker(\lambda_{\text{tr}}^i) \simeq H_{i-3,\text{nr}}^{2i-2}(X, \mathbb{Q}/\mathbb{Z}(i)) / G^i H_{i-3,\text{nr}}^{2i-2}(X, \mathbb{Q}/\mathbb{Z}(i))$$

and its image is given by $\text{im}(\lambda_{\text{tr}}^i) = N^{i-1}H^{2i-1}(X, \mathbb{Q}/\mathbb{Z}(i))_{\text{div}} / N^{i-1}H^{2i-1}(X, \mathbb{Q}(i))$.

In this theorem, N^* denotes Grothendieck’s coniveau filtration and $H^*(X, \mathbb{Q}/\mathbb{Z}(i))_{\text{div}} \subset H^*(X, \mathbb{Q}/\mathbb{Z}(i))$ denotes the divisible subgroup. Moreover, $G^i H_{i-3, \text{nr}}^{2i-2}(X, \mathbb{Q}/\mathbb{Z}(i))$ denotes the subspace of $H_{i-3, \text{nr}}^{2i-2}(X, \mathbb{Q}/\mathbb{Z}(i))$ generated by classes that admit a lift $\alpha \in H^{2i-2}(F_{i-2}X, \mathbb{Q}/\mathbb{Z}(i))$ whose image $\delta(\alpha) \in H^{2i-1}(F_{i-2}X, \mathbb{Z}(i))$ via the Bockstein map lifts to $H^{2i-1}(X, \mathbb{Z}(i))$, cf. Definition 5.4 and Lemma 7.18.

The theorem contains the aforementioned results from [BO74, CV12, Voi12, Ma17] as the special case where $i = 2$ in item (i) and $i = 3$ in item (ii), and where X is a smooth projective variety. Item (i) uses Hilbert theorem 90, but not the Bloch–Kato conjecture. Item (ii) uses the Bloch–Kato conjecture in degree two, proven by Merkurjev and Suslin, but not in higher degrees.

Item (i) in Theorem 1.6 leads to new results on the integral Hodge conjecture for uniruled varieties. Indeed, Voisin [Voi06] proved that the integral Hodge conjecture holds for smooth complex projective threefolds X that are uniruled (i.e. $Z^*(X)_{\text{tors}} = 0$) and conjectured that it should fail for codimension-two cycles on rationally connected varieties of dimension at least four. This has later been proven in [CV12] ($\dim X \geq 6$) and in full generality in [Sch19]. Taking products $X \times \mathbb{P}^n$ with \mathbb{P}^n , the examples in [CV12, Sch19] also yield counterexamples to the integral Hodge conjecture on unirational varieties for cycles of codimension greater than two. However, in some sense these non-algebraic Hodge classes should still be regarded as degree-four classes, because they are Gysin pushforwards of non-algebraic degree-four Hodge classes on a subvariety of $X \times \mathbb{P}^n$ (namely $X \times \{pt.\}$).

The tools of this paper allow us to go further by studying the integral Hodge conjecture for Hodge classes (of arbitrary degree) in the following strong sense.

COROLLARY 1.7. *For any integer $i \geq 2$, there is a smooth uniruled complex projective variety X such that the integral Hodge conjecture fails for codimension- i cycles on X in a way that cannot be explained by the failure on proper subvarieties of X in the following sense: there is a class $\alpha \in \text{coker}(\text{cl}_X^i)_{\text{tors}}$ such that for any closed subscheme $Z \subset X$ of codimension $j \geq 1$, the class α is not in the image of the natural map $\text{coker}(\text{cl}_Z^{i-j})_{\text{tors}} \rightarrow \text{coker}(\text{cl}_X^i)_{\text{tors}}$.*

In this corollary we may take $X = Y \times E$, where Y is a certain unirational variety of dimension $3i$ and E is an elliptic curve, see Theorem 10.6. The problem of finding a unirational variety X with the property stated in the corollary remains open for $i \geq 3$.

1.2.2 *Arbitrary ground fields.* Theorem 1.6 admits an ℓ -adic analogue over any field k in which ℓ is invertible. The corresponding (co-)homology functor will be the ℓ -adic pro-étale Borel–Moore cohomology, see [BS15] and Proposition 6.6. For instance,

$$H^i(X, \mathbb{Z}_\ell(n)) := H^{i-2d_X}(X_{\text{proét}}, \pi_X^! \widehat{\mathbb{Z}}_\ell(n - d_X)), \tag{1.3}$$

where $\pi_X : X \rightarrow \text{Spec } k$ is the structure map and $d_X = \dim X$. We construct in § 7.2 cycle class maps

$$\text{cl}_X^i : \text{CH}^i(X)_{\mathbb{Z}_\ell} \longrightarrow H^{2i}(X, \mathbb{Z}_\ell(i)), \quad \text{where } \text{CH}^i(X)_{\mathbb{Z}_\ell} := \text{CH}_{d_X-i}(X) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell,$$

which for X smooth and equi-dimensional coincide with Jannsen’s cycle class in continuous étale cohomology, see Lemma 9.1. Let N^* be the associated coniveau filtration on $\text{CH}^i(X)_{\mathbb{Z}_\ell}$ and put

$$A_0^i(X)_{\mathbb{Z}_\ell} := N^0 \text{CH}^i(X)_{\mathbb{Z}_\ell} / N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}.$$

This is the ℓ -adic Griffiths group of homologically trivial ℓ -adic cycles modulo algebraic equivalence if k is algebraically closed, and it is the group of homologically trivial ℓ -adic cycles modulo rational equivalence if k is finitely generated, see [Jan00, Lemmas 5.7 and 5.8] and Lemma 7.5. We denote the torsion subgroup of $A_0^i(X)_{\mathbb{Z}_\ell}$ by $A_0^i(X)_{\mathbb{Z}_\ell}[\ell^\infty]$.

THEOREM 1.8. *Let k be a field and let ℓ be a prime invertible in k . Let X be a separated scheme of finite type over k and define refined unramified cohomology as in (1.1) with cohomology theory in (1.3).*

(i) *There are canonical isomorphisms*

$$\text{coker}(\text{cl}_X^i[\ell^\infty]) \simeq \frac{H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Q}_\ell(i))} \quad \text{and} \quad A_0^i(X)_{\mathbb{Z}_\ell} \simeq \frac{H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))}.$$

(ii) *There is a transcendental Abel–Jacobi map*

$$\lambda_{\text{tr}}^i : A_0^i(X)[\ell^\infty] \longrightarrow H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))/N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i)).$$

If X is a smooth projective variety and k is algebraically closed, then this map is induced by Bloch’s Abel–Jacobi map on torsion cycles [Blo79]. Its kernel is isomorphic to

$$\ker(\lambda_{\text{tr}}^i) \simeq H_{i-3,\text{nr}}^{2i-2}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))/G^i H_{i-3,\text{nr}}^{2i-2}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$$

and its image is given by $\text{im}(\lambda_{\text{tr}}^i) = N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))_{\text{div}}/N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))$.

The filtration N^* is the coniveau filtration and G^* is defined similarly as in Theorem 1.6, see Definition 5.4 and Lemma 7.18. Moreover, $H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))_{\text{div}} \subset H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$ denotes the image of $H^{2i-1}(X, \mathbb{Q}_\ell(i)) \rightarrow H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$.

The computation of $\ker(\lambda_{\text{tr}}^i)$ uses as before Merkurjev and Suslin’s theorem. The Bloch–Kato conjecture is not used otherwise (in particular, not in item (i)).

The first isomorphism in item (i) generalizes a result of Kahn [Kah12] who proved it for $i = 2$ and X smooth projective.

1.3 Comparison with Bloch–Ogus theory and Kato homology

Let X be an algebraic scheme over a field k and let $H^i(-, A(n))$ be one of the (co)-homology theories considered previously. For a point $x \in X$ with closure $Z_x := \overline{\{x\}} \subset X$, we let $H^i(x, A(n)) = H^i(F_0 Z_x, A(n))$. The Gysin sequence (i.e. long exact sequence of pairs), yields in the colimit a long exact sequence

$$H^{p+q-1}(F_p X, A(n)) \xrightarrow{f} H^{p+q-1}(F_{p-1} X, A(n)) \xrightarrow{\partial} \bigoplus_{x \in X^{(p)}} H^{q-p}(x, A(n-p)) \xrightarrow{\iota_*} H^{p+q}(F_p X, A(n)), \tag{1.4}$$

see Lemma 5.8. Note that the image of f agrees, by definition, with the refined unramified cohomology group $H_{p-1,\text{nr}}^{p-1+q}(X, A(n))$, which thus coincides with the kernel of the residue map ∂ above. This shows, in particular, that $H_{0,\text{nr}}^q(X, A(n))$ corresponds to traditional unramified cohomology.

The above sequence gives rise to an exact couple $D_1 \xrightarrow{f} D_1 \xrightarrow{\partial} E_1 \xrightarrow{\iota_*} D_1$, where

$$D_1^{p,q} = H^{p-1+q}(F_{p-1} X, A(n)) \quad \text{and} \quad E_1^{p,q} = \bigoplus_{x \in X^{(p)}} H^{q-p}(x, A(n-p)),$$

and f , ∂ , and ι_* have bi-degrees $(-1, 1)$, $(0, 0)$, and $(1, 0)$, respectively. The associated spectral sequence $E_1^{p,q} \implies H^{p+q}(X, A(n))$ is convergent. The derived couple has the form $D_2 \rightarrow D_2 \rightarrow E_2 \rightarrow D_2$, where

$$D_2 = \bigoplus_{p,q} D_2^{p,q}, \quad D_2^{p,q} = H_{p-1,\text{nr}}^{p-1+q}(X, A(n)),$$

is the direct sum of all refined unramified cohomology groups of X .

It follows from Lemma 5.12 that $d_1 : E_1 \rightarrow E_1$ agrees with the differential of the coniveau spectral sequence from [BO74, § 3], but see also Remark 1.9. Hence, E_2 agrees with the second page of the coniveau spectral sequence and the derived couple $D_2 \rightarrow D_2 \rightarrow E_2 \rightarrow D_2$ shows that E_2 is up to extensions determined by D_2 , hence by refined unramified cohomology, see Proposition 7.35. In the special case where X is smooth and equi-dimensional, the Gersten conjecture proven in [BO74] (see also [CHK97]) thus implies that the cohomologies $H^p(X_{\text{Zar}}, \mathcal{H}^q)$ of the Zariski sheaf associated to $U \mapsto H^q(U, A(n))$ are up to extensions determined by refined unramified cohomology.

Without any smoothness assumption on X , but under the condition that the ground field k has finite cohomological dimension c , the derived couple $D_2 \rightarrow D_2 \rightarrow E_2 \rightarrow D_2$ yields for $A = \mathbb{Z}/\ell^r$ canonical isomorphisms

$$E_2^{p,d+c} \xrightarrow{\sim} H_{p,\text{nr}}^{p+d+c}(X, \mu_{\ell^r}^{\otimes n}), \quad d = \dim X,$$

see Corollary 7.36. By definition, $E_2^{p,d+c}$ agrees with the Kato homology of X (see, e.g., [Kat86, KS12, Tia20]), and so we find that the latter is, in fact, a special instance of refined unramified cohomology, cf. Remark 7.37. We remark that for X smooth projective, Kato homology as well as traditional unramified cohomology are stable birational invariants of X , see, e.g., [CO89, CV12, Tia20].

Remark 1.9. One of the key differences of this paper compared with previous work is the observation that for our purposes, the couple $D_1 \rightarrow D_1 \rightarrow E_1 \rightarrow D_1$ is better suited than the couple $D'_1 \xrightarrow{f'} D'_1 \rightarrow E_1 \rightarrow D'_1$, used in [BO74, § 3] to define the coniveau spectral sequence. (Both couples stem from the long exact sequence of triples, but applied to different geometric situations.) Moreover, we will not pass to the coniveau spectral sequence (as done, e.g., in [Blo79, CV12, Kah12, Voi12, Ma17]), but work directly with the above couple, which contains more information.

Remark 1.10. Assume that X is smooth and equi-dimensional. The main result of [BO74] (see also [CHK97]) is that the map $f' : D'_1 \rightarrow D'_1$ is zero locally on X with the exception of only some trivial bi-degrees; as a consequence, $E_2^{p,q} = 0$ locally on X for all $p \neq 0$. In contrast, the image of $f : D_1 \rightarrow D_1$ is refined unramified cohomology and this invariant in general does not vanish locally on X . In fact, the local vanishing of $E_2^{p,q}$ for $p \neq 0$ implies that $f : D_2^{p+1,q-1} \rightarrow D_2^{p,q}$ is an isomorphism locally on X for all $p \geq 1$. It follows that the Zariski sheaf $\mathcal{H}_{j,\text{nr}}^i$ associated to $U \mapsto H_{j,\text{nr}}^i(U, A(n))$ does not depend on $j \geq 0$, hence agrees with \mathcal{H}^i for all $j \geq 0$, which is in general non-zero. (This shows in particular that at least in the smooth case, refined unramified cohomology contains no interesting local information.)

1.4 Homology or cohomology?

The results described previously relied on a twisted Borel–Moore homology theory $H_*^{\text{BM}}(-, A(n))$ with corresponding Borel–Moore cohomology theory $H^i(X, A(n)) := H_{2d_X-i}^{\text{BM}}(X, A(d_X - n))$, see (1.2) and (1.3). We collect the properties of this functor that are crucial for us in § 4. In sheaf theoretic terms, Borel–Moore cohomology will in practice be the (hyper-)cohomology of some complex of sheaves. If X is smooth and equi-dimensional, Poincaré duality identifies this complex to a locally constant sheaf. (For instance, ℓ -adic Borel–Moore pro-étale cohomology is given by $H^i(X, \mathbb{Z}_\ell(n)) = R^i \Gamma(X_{\text{proét}}, \pi_X^! \widehat{\mathbb{Z}}_\ell(n - d_X)[-2d_X])$, where $\pi_X : X \rightarrow \text{Spec } k$ and $\pi_X^! \simeq \pi_X^*(d_X)[2d_X]$ if X is smooth and equi-dimensional of dimension d_X , see § 6.1.) The resulting theory thus coincides on smooth equi-dimensional algebraic schemes with ordinary cohomology, but it differs in general. In particular, as long as one is interested only in smooth equi-dimensional schemes, $H^i(X, A(n))$ can be identified with ordinary cohomology in all our applications.

(Working with singular schemes is, however, important for the proof of several of the main results such as Theorems 1.1, 1.4, and 1.5 even if the total space is smooth projective.)

The functoriality properties of Borel–Moore cohomology differ from those of ordinary cohomology: the latter has arbitrary pullbacks but no pushforwards, whereas the former admits only pullbacks along étale maps, but has proper pushforwards (which shift the degree), see §4. The situation is similar to the distinction between ordinary singular homology and Borel–Moore homology (i.e. finite versus locally finite singular chains) in topology, which have also different functoriality properties; they agree for compact analytic spaces but differ in general.

Instead of exploiting the notion of Borel–Moore cohomology, we could of course use the formula $H^i(X, A(n)) = H_{2d_X-i}^{\text{BM}}(X, A(d_X - n))$ to write everything in terms of Borel–Moore homology, which may be preferred by some readers. The reason we use Borel–Moore cohomology and wrote this paper cohomologically is that in the important special case where X is smooth and equi-dimensional, $H^i(X, A(n))$ will coincide with ordinary cohomology in all our applications. This has, in particular, the advantage that the formulas that we prove for singular varieties and in arbitrary codimension reduce in the special case of smooth projective varieties to those in [CV12, Kah12, Voi12, Ma17], which motivate this paper. Moreover, the applications of the theory in [Sch20, Sch22] concern smooth projective varieties and use the identification of Borel–Moore cohomology with ordinary cohomology. This allows one to make use of cup products, which are crucial (and which require a cohomological formulation). Writing this paper homologically would thus make it significantly harder to read those applications.

After all, it is a matter of formal manipulations to rewrite this paper homologically, but note that it will not be enough to just use $H^i(X, A(n)) = H_{2d_X-i}^{\text{BM}}(X, A(d_X - n))$, one should also change the indices in the filtration F_*X to make the indices in the resulting formulas in Theorems 1.6 and 1.8 appealing. Unfortunately, the translation between the homological and the cohomological notation is tedious, so that we restrict ourselves to only one version here.

Although only a matter of notation, we do believe that the notion of Borel–Moore cohomology may also be useful in the future.

2. Notation

A field is said to be finitely generated, if it is finitely generated over its prime field. An algebraic scheme is a separated scheme of finite type over a field. A variety is an integral algebraic scheme. An open subset of a scheme will always refer to a Zariski open subset, unless specified otherwise. The dimension of an algebraic scheme is the maximum of the dimensions of its irreducible components.

For an algebraic scheme X , we write $X_{(i)}$ for the set of all points $x \in X$ with $\dim(\overline{\{x\}}) = i$. We then define $X^{(i)} := X_{(d_X-i)}$, where $d_X = \dim X$. That is, $x \in X$ lies in $X^{(i)}$ if and only if $\dim X - \dim(\overline{\{x\}}) = i$. Note that this is slightly non-standard, as it does not imply that the codimension of x defined locally in X is i , but it has the advantage that the Chow group $\text{CH}^i(X) := \text{CH}_{d_X-i}(X)$ (see [Ful98]) is the quotient of $\bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}$ by rational equivalence, where $[x]\mathbb{Z}$ denotes the free \mathbb{Z} -module with generator $[x]$. We refer to [Ful98, §10.3] for the definition of algebraic equivalence of cycles on algebraic schemes.

Whenever G and H are abelian groups (or R -modules for some ring R) so that there is a canonical map $H \rightarrow G$ (and there is no reason to confuse this map with a different map), we write G/H as a short hand for $\text{coker}(H \rightarrow G)$. For an abelian group G , we denote by $G[\ell^r]$ the subgroup of ℓ^r -torsion elements, and by $G[\ell^\infty] := \bigcup_r G[\ell^r]$ the subgroup of elements that are ℓ^r -torsion for some $r \geq 1$. We further write $\text{Tors}(G)$ or G_{tors} for the torsion subgroup of G .

Let I be a directed index set and let $(G_i)_{i \in I}$ be a direct system of abelian groups. We then denote by

$$\varinjlim_{i \in I} G_i$$

the direct limit of this system. Synonymously, we sometimes also call this the (filtered) colimit of $(G_i)_{i \in I}$ and denote it by $\operatorname{colim} G_i$.

3. Warm-up: a simple proof of the Colliot-Thélène–Voisin theorem

Let X be a smooth complex variety. In this section, we present as a warm-up a proof of the formula

$$\operatorname{coker}(\operatorname{cl}_X^2)_{\operatorname{tors}} \simeq H_{nr}^3(X, \mathbb{Q}/\mathbb{Z})/H_{nr}^3(X, \mathbb{Q}), \tag{3.1}$$

which is due to Colliot-Thélène and Voisin [CV12]. Their original proof relied on Voevodsky’s proof of the Bloch–Kato conjecture; Kahn [Kah12] later showed that Bloch–Kato in degree two, i.e. the Merkurjev–Suslin theorem, suffices to prove the result. Both approaches use the Gersten conjecture proven by Bloch and Ogus, which identifies unramified cohomology with the global sections of a certain Zariski sheaf, see [BO74]. In this section, we explain a simpler argument that does not need Bloch–Kato in any degree and which does not make use of the Gersten conjecture. The proof presented here generalizes easily to give the result for arbitrary codimension and, in fact, on possibly singular schemes, see Theorem 7.7. This is the starting point of the more general theory presented in the body of the paper.

To fix notation in this section, we denote by $H^i(X, A)$ singular cohomology of the underlying analytic space X_{an} with coefficients in an abelian group A . This coincides with Borel–Moore cohomology as considered in the rest of this paper, because X is smooth and irreducible (hence, equi-dimensional).

As before, we define $H^i(F_j X, A)$ as the direct limit over $H^i(U, A)$ where $U \subset X$ runs through all (Zariski) open subsets whose complement has codimension at least $j + 1$. The unramified cohomology of X is defined by $H_{nr}^i(X, A) = \operatorname{im}(H^i(F_1 X, A) \rightarrow H^i(F_0 X, A))$. The Gysin sequence implies that this agrees with the definition given in [Col95, Theorem 4.1.1(a)] (cf. Lemma 5.8). In other words, an element $[\alpha] \in H_{nr}^i(X, A)$ is represented by a class $\alpha \in H^i(U, A)$ for some open subset $U \subset X$ whose complement has codimension at least two (such open subsets are called ‘big’) and two such representatives yield the same element in $H_{nr}^i(X, A)$ if they coincide on some dense open subset of X .

Our proof of (3.1) relies on the following lemma.

LEMMA 3.1. *The natural restriction map is an isomorphism*

$$f : \frac{H^3(F_1 X, \mathbb{Q}/\mathbb{Z})}{H^3(F_1 X, \mathbb{Q})} \xrightarrow{\sim} \frac{H_{nr}^3(X, \mathbb{Q}/\mathbb{Z})}{H_{nr}^3(X, \mathbb{Q})}.$$

Proof. As f is clearly surjective, it suffices to prove that it is injective.

Note that $H^3(F_1 X, \mathbb{Q}) \rightarrow H_{nr}^3(X, \mathbb{Q})$ is surjective by definition. Hence, in order to prove the injectivity of f it suffices to show the following: Let $U \subset X$ be a big open subset and let $\alpha \in H^3(U, \mathbb{Q}/\mathbb{Z})$ such that

$$\alpha|_V = 0 \in H^3(V, \mathbb{Q}/\mathbb{Z})$$

for some dense open subset $V \subset U$. Then we need to show that up to removing a codimension-two subset from U , the class α lifts to $H^3(U, \mathbb{Q})$. Equivalently, we need to show that the image

$\delta(\alpha) \in H^4(U, \mathbb{Z})_{\text{tors}}$ via the Bockstein map (associated to $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Q} \rightarrow \mathbb{Q}/\mathbb{Z} \rightarrow 0$) vanishes after removing a codimension-two subset from U .

Up to removing a codimension-two subset from U , we may assume that $D := U \setminus V$ is smooth of pure codimension one in U . As $\alpha|_V = 0$, the Gysin sequence shows that there is a class $\xi \in H^1(D, \mathbb{Q}/\mathbb{Z})$ with $\alpha = \iota_*\xi$, where $\iota_* : H^1(D, \mathbb{Q}/\mathbb{Z}) \rightarrow H^3(U, \mathbb{Q}/\mathbb{Z})$ denotes the Gysin pushforward. Identifying the respective cohomology groups via Poincaré duality with Borel–Moore homology, it follows directly from the definitions that the Bockstein map is compatible with ι_* . It thus suffices to show that

$$\delta(\xi) \in H^2(D, \mathbb{Z})_{\text{tors}}$$

vanishes after removing a codimension-one subset of D . This, in turn, is a well-known consequence of Hilbert theorem 90, see [Blo10, end of Lecture 5], which concludes the proof of the lemma. \square

By the above lemma, it suffices to construct an isomorphism

$$g : \text{coker}(\text{cl}_X^2)_{\text{tors}} \longrightarrow H^3(F_1X, \mathbb{Q}/\mathbb{Z})/H^3(F_1X, \mathbb{Q}). \tag{3.2}$$

Here we note that both sides in (3.2) remain unchanged if we remove from X a closed codimension-three subset (this is obvious for the right-hand side and it follows from the Gysin sequence and purity for the left-hand side). We allow ourselves to perform such shrinkings in what follows (this could be avoided if we were using Borel–Moore cohomology so that we can work with singular schemes). Let $\alpha \in H^4(X, \mathbb{Z})$ such that some multiple $n\alpha = \text{cl}_X^2(z)$ is algebraic. Let $Z := \text{supp } z$. Up to removing Z^{sing} from X , we may assume that Z is smooth. The Gysin sequence then yields

$$H^0(Z, \mathbb{Z}) \xrightarrow{\iota_*} H^4(X, \mathbb{Z}) \longrightarrow H^4(U, \mathbb{Z}) \xrightarrow{\partial} H^1(Z, \mathbb{Z}), \tag{3.3}$$

where ∂ denotes the residue map and the pushforward ι_* corresponds to the cycle class map. As $n\alpha = \text{cl}_X^2(z) \in \text{im}(\iota_*)$, we find that $\alpha|_U \in H^4(U, \mathbb{Z})_{\text{tors}}$ is torsion. The Bockstein map $\delta : H^3(U, \mathbb{Q}/\mathbb{Z}) \rightarrow H^4(U, \mathbb{Z})$ induces an isomorphism

$$\delta^{-1} : H^4(U, \mathbb{Z})_{\text{tors}} \xrightarrow{\simeq} H^3(U, \mathbb{Q}/\mathbb{Z})/H^3(U, \mathbb{Q}).$$

The right-hand side in the isomorphism maps naturally to the right-hand side in (3.2) (in fact, the latter is just the direct limit of the former where one runs through all big open subsets $U \subset X$). The map g above is then defined by

$$g(\alpha) := [\delta^{-1}(\alpha|_U)].$$

The Gysin sequence implies that this definition is well-defined, i.e. $g(\alpha)$ does not change if we add to α some algebraic class.

We aim to construct an inverse of g . To this end, let $\beta \in H^3(U, \mathbb{Q}/\mathbb{Z})$ for some big open subset $U \subset X$. The class $\alpha' := \delta(\beta) \in H^4(U, \mathbb{Z})$ is a torsion class. Let $Z = X \setminus U$. Up to shrinking X , we may assume that Z is smooth of pure codimension two in X . Then we have an exact sequence as in (3.3) and the (trivial) fact that $H^1(Z, \mathbb{Z})$ is torsion-free implies that the torsion class $\alpha' \in H^4(U, \mathbb{Z})$ lifts to a class $\alpha \in H^4(X, \mathbb{Z})$. The fact that α' is torsion implies that some multiple of α is algebraic and, hence, $[\alpha] \in \text{coker}(\text{cl}_X^2)_{\text{tors}}$. If β lifts to a class in $H^3(U, \mathbb{Q})$, then $\alpha' = 0$ and so the above construction yields a map

$$g' : H^3(F_1X, \mathbb{Q}/\mathbb{Z})/H^3(F_1X, \mathbb{Q}) \longrightarrow \text{coker}(\text{cl}_X^2)_{\text{tors}}, \quad [\beta] \mapsto [\alpha].$$

It follows from the construction that g and g' are inverse to each other. Hence, g is an isomorphism and the formula in (3.1) is proved.

4. Borel–Moore cohomology

We list here properties of a functor, that we call Borel–Moore cohomology, which allows us to run the arguments from § 3 (and more). Technically speaking, Borel–Moore cohomology will in all our applications agree up to shifts with Borel–Moore homology, see also § 1.4 above. In practice and in terms of sheaf theory, this means that Borel–Moore cohomology will be the hypercohomology of some complex of sheaves on some site; the complex in question has by Poincaré duality the property that it simplifies on smooth equi-dimensional schemes to a locally constant sheaf. In other words, on smooth equi-dimensional varieties, Borel–Moore cohomology will agree with ordinary cohomology. However, on singular spaces, Borel–Moore cohomology and ordinary cohomology differ: for Borel–Moore cohomology we require pullbacks only along open immersions of equi-dimensional schemes (and not along arbitrary morphisms), whereas we require pushforwards along proper morphisms of possibly singular schemes (and not only for smooth equi-dimensional schemes).

DEFINITION 4.1. Let \mathcal{V} be a category of Noetherian schemes such that the morphisms are given by open immersions $U \hookrightarrow X$ of schemes with $\dim(U) = \dim(X)$. We call \mathcal{V} constructible, if for any $X \in \mathcal{V}$, the following hold:

- if $Y \hookrightarrow X$ is an open or closed immersion, then $Y \in \mathcal{V}$;
- if $X \in \mathcal{V}$ is reduced, then the normalization of X is also in \mathcal{V} .

DEFINITION 4.2. Let \mathcal{V} be a constructible category of Noetherian schemes as in Definition 4.1. Let R be a ring and let $\mathcal{A} \subset \text{Mod}_R$ be a full subcategory of R -modules with $R \in \mathcal{A}$. A twisted Borel–Moore cohomology theory on \mathcal{V} with coefficients in \mathcal{A} is a family of contravariant functors

$$\mathcal{V} \longrightarrow \text{Mod}_R, \quad X \longmapsto H_{\text{BM}}^i(X, A(n)) \quad \text{with } i, n \in \mathbb{Z} \text{ and } A \in \mathcal{A} \tag{4.1}$$

that are covariant in A and such that the following holds, where we write for simplicity

$$H^i(X, A(n)) := H_{\text{BM}}^i(X, A(n)).$$

(P1) For $X, Y \in \mathcal{V}$ and any proper morphism $f : X \rightarrow Y$ of schemes of relative codimension $c = \dim Y - \dim X$, there are functorial pushforward maps

$$f_* : H^{i-2c}(X, A(n-c)) \longrightarrow H^i(Y, A(n)),$$

compatible with pullbacks along morphisms in \mathcal{V} .

(P2) For any pair (X, Z) of schemes in \mathcal{V} with a closed immersion $Z \hookrightarrow X$ of codimension $c = \dim(X) - \dim(Z)$ and with complement U with $\dim(X) = \dim(U)$, there is a Gysin exact sequence

$$\dots \longrightarrow H^i(X, A(n)) \xrightarrow{r} H^i(U, A(n)) \xrightarrow{\partial} H^{i+1-2c}(Z, A(n-c)) \xrightarrow{\iota_*} H^{i+1}(X, A(n)) \longrightarrow \dots$$

where r is induced by functoriality, ∂ is called residue map and ι_* is induced by proper pushforward from (P1). The Gysin sequence is functorial with respect to pullbacks along open immersions $f : V \hookrightarrow X$ with $\dim V = \dim X$, $\dim(V \cap Z) = \dim Z$, and $\dim(V \setminus (V \cap Z)) = \dim V$, giving rise to the following commutative diagram for all i .

$$\begin{CD} H^i(X, A(n)) @>r>> H^i(U, A(n)) @>\partial>> H^{i+1-2c}(Z, A(n-c)) @>\iota_*>> H^{i+1}(X, A(n)) \\ @Vf^*VV @Vf^*VV @Vf^*VV @Vf^*VV \\ H^i(V, A(n)) @>r>> H^i(V \cap U, A(n)) @>\partial>> H^{i+1-2c}(V \cap Z, A(n-c)) @>\iota_*>> H^{i+1}(V, A(n)) \end{CD}$$

Similarly, if $f : X' \rightarrow X$ is proper with $Z' = f^{-1}(Z)$ and $\dim X' = \dim(X' \setminus Z')$, then the proper pushforward along f induces for all i the following commutative diagram.

$$\begin{array}{ccccccc}
 H^i(X', A(n)) & \xrightarrow{r} & H^i(U', A(n)) & \xrightarrow{\partial} & H^{i+1-2c}(Z', A(n-c)) & \xrightarrow{\iota_*} & H^{i+1}(X', A(n)) \\
 \downarrow f_* & & \downarrow f_* & & \downarrow f_* & & \downarrow f_* \\
 H^i(X, A(n)) & \xrightarrow{r} & H^i(U, A(n)) & \xrightarrow{\partial} & H^{i+1-2c}(Z, A(n-c)) & \xrightarrow{\iota_*} & H^{i+1}(X, A(n))
 \end{array}$$

(P3) For any $X \in \mathcal{V}$ and $x \in X$, the groups

$$H^i(x, A(n)) := \varinjlim_{\emptyset \neq V_x \subset \overline{\{x\}}} H^i(V_x, A(n)), \tag{4.2}$$

where V_x runs through all (Zariski) open dense subsets of $\overline{\{x\}} \subset X$ (with the reduced subscheme structure), satisfy $H^i(x, A(n)) = 0$ for $i < 0$. Moreover, there are isomorphisms $H^0(x, A(0)) \simeq A$ that are functorial in A , and for $A = R$ there is a distinguished class $[x] \in H^0(x, R(0))$ (called the fundamental class) such that $H^0(x, R(0)) = [x]R$ is freely generated by $[x]$.

Remark 4.3. We warn the reader that even if $\text{Spec } \kappa(x) \in \mathcal{V}$, the cohomology of a point $x \in X$ in (4.2) may not agree with $H^i(\text{Spec } \kappa(x), A(n))$. This phenomenon is not new but already present in [BO74] and in any other work where the Bloch–Ogus resolution for non-torsion coefficients is used.

In what follows, we usually write $\mu_{\ell^r}^{\otimes n} := \mathbb{Z}/\ell^r(n)$.

DEFINITION 4.4. Let \mathcal{V} be a constructible category of Noetherian schemes as in Definition 4.1. Let ℓ be a prime and let $R = \mathbb{Z}_\ell$. A twisted Borel–Moore cohomology theory on \mathcal{V} with coefficients in $\mathcal{A} \subset \text{Mod}_{\mathbb{Z}_\ell}$ (see Definition 4.2) is called ℓ -adic, if $\mathbb{Z}_\ell, \mathbb{Q}_\ell, \mathbb{Q}_\ell/\mathbb{Z}_\ell$, and \mathbb{Z}/ℓ^r for all $r \geq 1$ are contained in \mathcal{A} , such that the following hold.

(P4) Functoriality in the coefficients induces isomorphisms of functors

$$\varinjlim_r H^i(-, \mu_{\ell^r}^{\otimes n}) \xrightarrow{\sim} H^i(-, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n)) \quad \text{and} \quad H^i(-, \mathbb{Z}_\ell(n)) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell \xrightarrow{\sim} H^i(-, \mathbb{Q}_\ell(n)).$$

(P5) For any $X \in \mathcal{V}$, there is a long exact Bockstein sequence

$$\dots \longrightarrow H^i(X, \mathbb{Z}_\ell(n)) \xrightarrow{\times \ell^r} H^i(X, \mathbb{Z}_\ell(n)) \longrightarrow H^i(X, \mu_{\ell^r}^{\otimes n}) \xrightarrow{\partial} H^{i+1}(X, \mathbb{Z}_\ell(n)) \xrightarrow{\times \ell^r} \dots,$$

where $H^i(X, \mathbb{Z}_\ell(n)) \rightarrow H^i(X, \mu_{\ell^r}^{\otimes n})$ is given by functoriality in the coefficients and where ∂ is called the Bockstein map. This sequence is functorial with respect to proper pushforwards and pullbacks along morphisms in \mathcal{V} .

(P6) For any $X \in \mathcal{V}$ and $x \in X$, there is a map $\epsilon : \kappa(x)^* \rightarrow H^1(x, \mathbb{Z}_\ell(1))$ such that Hilbert theorem 90 holds in the sense that the map $\bar{\epsilon} : \kappa(x)^* \rightarrow H^1(x, \mu_{\ell^r}^{\otimes 1})$ induced by reduction modulo ℓ^r is surjective. Moreover, for $X \in \mathcal{V}$ integral with generic point η , there is a unit $u \in \mathbb{Z}_\ell$ such that for any regular point $x \in X^{(1)}$, the natural composition

$$\kappa(\eta)^* \xrightarrow{\epsilon} H^1(\eta, \mathbb{Z}_\ell(1)) \xrightarrow{\partial} H^0(x, \mathbb{Z}_\ell(0)) = [x]\mathbb{Z}_\ell,$$

where ∂ is induced by (P2) and the last equality comes from (P3), sends f to $[x](u \cdot \nu_x(f))$. Here, ν_x denotes the valuation on $\kappa(\eta)$ induced by x .

Let $X \in \mathcal{V}$ be integral and let $U \subset X$ be a big open subset, i.e. $\dim(X \setminus U) < \dim X - 1$. Then $H^2(U, \mathbb{Z}_\ell(1)) \simeq H^2(X, \mathbb{Z}_\ell(1))$ by (P2) and (P3) (see Corollary 5.10 below). Taking the

direct limit over all U and using $H^0(x, \mathbb{Z}_\ell(0)) = [x]\mathbb{Z}_\ell$ from (P3), we find that the proper pushforwards from (P1) induce a cycle class map

$$\iota_* : \bigoplus_{x \in X^{(1)}} [x]\mathbb{Z}_\ell \longrightarrow H^2(X, \mathbb{Z}_\ell(1)). \tag{4.3}$$

The following two options are of particular interest.

- (P7.1) If X is integral and regular, the kernel of (4.3) is given by \mathbb{Z}_ℓ -linear combinations of algebraically trivial divisors.
- (P7.2) If X is integral and regular, the kernel of (4.3) is given by \mathbb{Z}_ℓ -linear combinations of principal divisors.

DEFINITION 4.5. Let \mathcal{V} be a constructible category of Noetherian schemes, see Definition 4.1. An ℓ -adic twisted Borel–Moore cohomology theory $H^*(-, A(n))$ on \mathcal{V} as in Definition 4.4 is adapted to algebraic equivalence, if (P7.1) holds, and it is adapted to rational equivalence, if (P7.2) holds.

In addition to ℓ -adic theories, we also need the following integral variant. To this end, we perform in each of the statements (P4)–(P6), (P7.1), and (P7.2) the formal replacement of symbols:

$$\mathbb{Z}_\ell \rightsquigarrow \mathbb{Z}, \quad \mathbb{Q}_\ell \rightsquigarrow \mathbb{Q}, \quad \ell^r \rightsquigarrow r,$$

and denote the corresponding statements by (P4')–(P6'), (P7.1'), and (P7.2'), respectively.

DEFINITION 4.6. Let \mathcal{V} be a constructible category of Noetherian schemes (see Definition 4.1) and let $R = \mathbb{Z}$. A twisted Borel–Moore cohomology theory on \mathcal{V} with coefficients in $\mathcal{A} \subset \text{Mod}_{\mathbb{Z}}$ as in Definition 4.2 is called integral, if \mathbb{Z} , \mathbb{Q} , \mathbb{Q}/\mathbb{Z} , and \mathbb{Z}/r for all $r \geq 1$ are contained in \mathcal{A} , such that items (P4')–(P6') hold. The theory is adapted to algebraic (respectively, rational) equivalence, if item (P7.1') (respectively, (P7.2')) holds true.

Remark 4.7. It seems natural to add in (P1) the requirement that pushforwards are compatible with the functoriality in the coefficients. We did not do so because in this paper we only need this compatibility for the natural maps $\mathbb{Z}_\ell \xrightarrow{\times \ell} \mathbb{Z}_\ell$, $\mathbb{Z}_\ell \rightarrow \mathbb{Z}/\ell^r$, $\mathbb{Z}_\ell \rightarrow \mathbb{Q}_\ell$, and $\mathbb{Q}_\ell \rightarrow \mathbb{Q}_\ell/\mathbb{Z}_\ell$, where it follows from (P4) together with the compatibility of the Bockstein sequence with proper pushforwards formulated in (P5).

5. Definition of refined unramified cohomology and simple consequences

In this section, we fix a constructible category \mathcal{V} of Noetherian schemes, see Definition 4.1. We further fix a ring R and a twisted Borel–Moore cohomology theory $H^*(-, A(n))$ on \mathcal{V} with coefficients in a full subcategory $\mathcal{A} \subset \text{Mod}_R$, see Definition 4.2. In particular, (P1)–(P3) hold true.

For $X \in \mathcal{V}$ we write $F_j X := \{x \in X \mid \text{codim}(x) \leq j\}$, where $\text{codim}(x) := \dim(X) - \dim(\overline{\{x\}})$. We then define

$$H^i(F_j X, A(n)) := \varinjlim_{F_j X \subset U \subset X} H^i(U, A(n)),$$

where the direct limit runs through all open subschemes $U \subset X$ with $F_j X \subset U$. As the direct limit functor (i.e. filtered colimits) is exact, many of the properties of $H^*(X, A(n))$ remain true for $F_j X$ in place of X . Moreover, for $m \geq j$, there are canonical restriction maps $H^i(F_m X, A(n)) \rightarrow H^i(F_j X, A(n))$.

DEFINITION 5.1. The j th refined unramified cohomology of $X \in \mathcal{V}$ with respect to a twisted Borel–Moore cohomology theory $H^*(-, A(n))$ on \mathcal{V} with coefficients in a full subcategory $\mathcal{A} \subset \text{Mod}_R$, is given by

$$H_{j,\text{nr}}^i(X, A(n)) := \text{im} (H^i(F_{j+1}X, A(n)) \longrightarrow H^i(F_jX, A(n))).$$

5.1 Three filtrations

Following Grothendieck, the coniveau filtration on $H^i(X, A(n))$ is defined by

$$N^j H^i(X, A(n)) := \ker(H^i(X, A(n)) \rightarrow H^i(F_{j-1}X, A(n))). \tag{5.1}$$

There is a similar coniveau filtration on refined unramified cohomology, defined as follows.

DEFINITION 5.2. Let $X \in \mathcal{V}$. The coniveau filtration N^* is for $h \leq j + 1$ given by

$$N^h H^i(F_jX, A(n)) := \ker(H^i(F_jX, A(n)) \rightarrow H^i(F_{h-1}X, A(n)))$$

and

$$N^h H_{j,\text{nr}}^i(X, A(n)) := H_{j,\text{nr}}^i(X, A(n)) \cap N^h H^i(F_jX, A(n)).$$

Somewhat dually to the coniveau filtration, we have the following filtration, which is also decreasing.

DEFINITION 5.3. Let $X \in \mathcal{V}$. The decreasing filtration F^* is for $m \geq j$ given by

$$F^m H^i(F_jX, A(n)) := \text{im} (H^i(F_mX, A(n)) \longrightarrow H^i(F_jX, A(n)))$$

and

$$F^m H_{j,\text{nr}}^i(X, A(n)) := H_{j,\text{nr}}^i(X, A(n)) \cap F^m H^i(F_jX, A(n)).$$

Note that for $m \geq j + 1$, $F^m H^i(F_jX, A(n)) = F^m H_{j,\text{nr}}^i(X, A(n))$.

Related to F^* there is another filtration that will be important for us, and which exists only for $A = \mathbb{Z}/\ell^r$ or $A = \mathbb{Q}_\ell/\mathbb{Z}_\ell$. To define it, note that exactness of the direct limit functor ensures that the Bockstein sequence in (P5) holds for F_jX in place of X . In particular, there is a Bockstein map

$$\delta : H^i(F_jX, \mu_{\ell^r}^{\otimes n}) \rightarrow H^{i+1}(F_jX, \mathbb{Z}_\ell(n)).$$

DEFINITION 5.4. Let $X \in \mathcal{V}$. The decreasing filtration G^* is for $m \geq j$ given by

$$\alpha \in G^m H^i(F_jX, \mu_{\ell^r}^{\otimes n}) \iff \delta(\alpha) \in F^m H^{i+1}(F_jX, \mathbb{Z}_\ell(n)).$$

We then define

$$G^m H_{j,\text{nr}}^i(X, \mu_{\ell^r}^{\otimes n}) := \text{im}(G^m H^i(F_{j+1}X, \mu_{\ell^r}^{\otimes n}) \rightarrow H^i(F_jX, \mu_{\ell^r}^{\otimes n})).$$

Using the isomorphism in (P4), we finally let

$$G^m H_{j,\text{nr}}^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n)) := \varinjlim_r G^m H_{j,\text{nr}}^i(X, \mu_{\ell^r}^{\otimes n}).$$

Remark 5.5. By definition, F^* and N^* on $H_{j,\text{nr}}^i(X, A(n))$ are induced by the corresponding filtration on $H^i(F_jX, A(n))$. We warn the reader that the corresponding assertion does not hold true for G^* .

5.2 Consequence of the Gysin sequence

LEMMA 5.6. Let $X \sqcup Y \in \mathcal{V}$ with $\dim X = \dim Y$ and let $A \in \mathcal{A}$. Then the canonical map given by pullback is an isomorphism:

$$H^i(X \sqcup Y, A(n)) \xrightarrow{\cong} H^i(X, A(n)) \oplus H^i(Y, A(n)).$$

Proof. Let i_X (respectively, i_Y) denote the inclusions of X (respectively, Y) into $X \sqcup Y$. By the Gysin sequence (P2), we have an exact sequence

$$H^i(X, A(n)) \xrightarrow{i_{X*}} H^i(X \sqcup Y, A(n)) \xrightarrow{i_{Y*}} H^i(Y, A(n)).$$

Functoriality of this sequence with respect to proper pushforward and pullbacks along morphisms in \mathcal{V} shows that i_{X*} and i_Y^* admit splittings. Hence, the above sequence is part of a short exact sequence that splits, which proves the lemma. \square

LEMMA 5.7. *Let $X \in \mathcal{V}$ and $A \in \mathcal{A}$. Then for any $n \in \mathbb{Z}$ and $m, j \geq 0$, the Gysin sequence in (P2) induces a long exact sequence:*

$$\dots \longrightarrow H^i(F_{j+m}X, A(n)) \longrightarrow H^i(F_{j-1}X, A(n)) \xrightarrow{\partial} \varinjlim_{\substack{Z \subset X \\ \text{codim}(Z)=j}} H^{i+1-2j}(F_mZ, A(n-j)) \xrightarrow{\iota_*} \dots,$$

where the direct limit runs through all closed reduced subschemes $Z \subset X$ of codimension $\text{codim}(Z) = \dim X - \dim Z = j$.

Proof. This follows immediately from (P2) by taking direct limits. We explain the details for convenience of the reader. Let $Z \subset X$ be closed with $\dim Z = \dim X - j$. Further, let $W \subset Z$ be closed of dimension $\dim W = \dim Z - m - 1 = \dim X - j - m - 1$. By (P2), we get an exact sequence

$$\dots \longrightarrow H^i(X \setminus W, A(n)) \longrightarrow H^i(X \setminus Z, A(n)) \xrightarrow{\partial} H^{i+1-2j}(Z \setminus W, A(n-j)) \xrightarrow{\iota_*} \dots.$$

We can now consider the index set I that consists of pairs (Z, W) of closed subsets $W \subset Z \subset X$ with $\dim W = \dim Z - m - 1 = \dim X - j - m - 1$. This is a directed set with respect to the preorder given by declaring $(Z, W) \leq (Z', W')$ if and only if $Z \subset Z'$ and $W \subset W'$. Taking the direct limit over this index set, the above long exact sequence yields the sequence

$$\dots \longrightarrow H^i(F_{j+m}X, A(n)) \longrightarrow H^i(F_{j-1}X, A(n)) \xrightarrow{\partial} \varinjlim_{\substack{Z \subset X \\ \text{codim}(Z)=j}} H^{i+1-2j}(F_mZ, A(n-j)) \xrightarrow{\iota_*} \dots,$$

which is exact because the direct limit functor is exact. This proves the lemma. \square

LEMMA 5.8. *Let $X \in \mathcal{V}$ and $A \in \mathcal{A}$. Then for any $j, n \in \mathbb{Z}$, (P2) induces a long exact sequence*

$$\longrightarrow H^i(F_jX, A(n)) \longrightarrow H^i(F_{j-1}X, A(n)) \xrightarrow{\partial} \bigoplus_{x \in X^{(j)}} H^{i+1-2j}(x, A(n-j)) \xrightarrow{\iota_*} H^{i+1}(F_jX, A(n)),$$

where ι_* (respectively, ∂) is induced by the pushforward (respectively, residue) map from the Gysin exact sequence (P2).

Proof. Using additivity from Lemma 5.6, this identifies to the special case $m = 0$ in Lemma 5.7. \square

COROLLARY 5.9. *Let $X \in \mathcal{V}$. Then for any $n \in \mathbb{Z}$ and $j, m \geq 0$, the following sequence is exact:*

$$\varinjlim_{\substack{Z \subset X \\ \text{codim}(Z)=j}} H_{m,\text{nr}}^{i-2j}(Z, A(n-j)) \xrightarrow{\iota_*} H_{j+m,\text{nr}}^i(X, A(n)) \longrightarrow H_{j-1,\text{nr}}^i(X, A(n)),$$

where the direct limit runs through all closed reduced subschemes $Z \subset X$ of codimension $\text{codim}(Z) = \dim X - \dim Z = j$.

Proof. The composition of the two arrows in the corollary is zero by Lemma 5.7. Conversely, assume that $\alpha \in H_{j+m,\text{nr}}^i(X, A(n))$ maps to zero in $H_{j-1,\text{nr}}^i(X, A(n))$. By Lemma 5.7, $\alpha = \iota_* \xi$

for some $\xi \in H^{i-2j}(F_m Z, A(n-j))$ and some $Z \subset X$ of codimension j . As α is unramified, Lemma 5.8 shows that

$$\iota_*(\partial\xi) = \partial(\iota_*\xi) = 0 \in \bigoplus_{x \in X^{(j+m+1)}} H^{i-2j-2m-1}(x, A(n-j-m-1)), \tag{5.2}$$

where the first equality uses that the Gysin sequence is functorial with respect to proper pushforwards (see (P2)), so that ι_* and ∂ commute. However, this implies that the class

$$\partial\xi \in \bigoplus_{x \in Z^{(m+1)}} H^{i-2j-2m-1}(x, A(n-j-m-1))$$

vanishes, as the above right-hand side is a subgroup of the right-hand side of (5.2), and ι_* identifies to the inclusion. Hence, Lemma 5.8 implies $\xi \in H_{m, \text{nr}}^{i-2j}(Z, A(n-j))$, as we want. This concludes the proof of the corollary. \square

COROLLARY 5.10. *Let $X \in \mathcal{V}$ and $A \in \mathcal{A}$. Then $H^i(F_j X, A(n)) \simeq H^i(X, A(n))$ for all $j \geq \lceil i/2 \rceil$.*

Proof. As $H^i(x, A(n))$ vanishes for $i < 0$ by (P3), Lemma 5.8 implies

$$H^i(F_j X, A(n)) \simeq H^i(F_{j-1} X, A(n))$$

for all j with $j > \lceil i/2 \rceil$. This proves the corollary by induction on j , because $H^i(F_j X, A(n)) = H^i(X, A(n))$ for $j \geq \dim(X)$. \square

COROLLARY 5.11. *Let $X \in \mathcal{V}$ and $A \in \mathcal{A}$. Assume that there is a non-negative integer c , such that for any $X \in \mathcal{V}$ and $x \in X_{(j)}$, $H^i(x, A(n)) = 0$ for $i > j + c$ and all n . Then $H^i(F_j X, A(n)) = 0$ for all $i > \dim X + j + c$ and all n .*

Proof. Our assumption implies by Lemma 5.8 that $H^i(F_j X, A(n)) \simeq H^i(F_{j-1} X, A(n))$ for all j with $i > j + \dim X + c$. Hence, $H^i(F_j X, A(n)) \simeq H^i(F_0 X, A(n))$ for all j with $i > j + \dim X + c$. But $H^i(F_0 X, A(n)) = 0$ for all $i > \dim X + c$ by Lemma 5.6 and our assumption, because $F_0 X$ is the union of the generic points of the maximal-dimensional components of X . This proves the corollary. \square

The following lemma identifies the differential d_1 on the E_1 -page of the coniveau spectral sequence of Bloch and Ogus [BO74, §3] with the composition $\partial \circ \iota_*$.

LEMMA 5.12. *Let $X \in \mathcal{V}$ and $A \in \mathcal{A}$. Let $w \in X^{(p-1)}$ with closure $W \subset X$ and let $\tau : \tilde{W} \rightarrow W$ be the normalization with generic point $\eta_{\tilde{W}} \in \tilde{W}$. Then the following diagram commutes for all integers i and n :*

$$\begin{array}{ccc} H^i(w, A(n)) = H^i(\eta_{\tilde{W}}, A(n)) & \xrightarrow{\partial} & \bigoplus_{\tilde{w} \in \tilde{W}^{(1)}} H^{i-1}(\tilde{w}, A(n-1)) \\ \downarrow & & \downarrow \tau_* \\ \bigoplus_{x \in X^{(p-1)}} H^i(x, A(n)) & \xrightarrow{\partial \circ \iota_*} & \bigoplus_{x \in X^{(p)}} H^{i-1}(x, A(n-1)) \end{array}$$

where the vertical arrow on the left is the natural inclusion, the vertical arrow on the right is induced by the proper pushforward maps from (P1), the upper horizontal arrow is induced by the residue map in (P2), and the lower horizontal arrow is given by

$$\bigoplus_{x \in X^{(p-1)}} H^i(x, A(n)) \xrightarrow{\iota_*} H^{i+2p-2}(F_{p-1} X, A(n+p)) \xrightarrow{\partial} \bigoplus_{x \in X^{(p)}} H^{i-1}(x, A(n-1)),$$

where ι_* (respectively, ∂) is the pushforward (respectively, residue map) induced by (P2).

Proof. Note that $W \in \mathcal{V}$ and, hence, $\widetilde{W} \in \mathcal{V}$, cf. Definition 4.1. The lemma is thus a direct consequence of the functoriality of the Gysin sequence (P2) with respect to proper pushforwards (P1), as required in (P2). \square

5.3 Torsion-freeness of the cohomology of points

In this section, we fix a prime ℓ and assume that the twisted Borel–Moore cohomology theory $H^*(-, A(n))$ on \mathcal{V} is ℓ -adic, see Definition 4.4. It is an observation of Bloch (see [Blo10, end of Lecture 5]) that properties (P5) and (P6) have the following important consequence.

LEMMA 5.13. *Let \mathcal{V} be a constructible category of Noetherian schemes as in Definition 4.1. Fix a prime ℓ and assume that $H^*(-, A(n))$ is an ℓ -adic twisted Borel–Moore cohomology theory on \mathcal{V} as in Definition 4.4. Then for any $X \in \mathcal{V}$ and $x \in X$, $H^i(x, \mathbb{Z}_\ell(i-1))$ is torsion-free for $1 \leq i \leq 2$.*

Proof. Taking direct limits of abelian groups is exact, so that property (P5) implies that

$$H^i(x, \mathbb{Z}_\ell(i-1))[\ell^r] \simeq \text{coker}(H^{i-1}(x, \mathbb{Z}_\ell(i-1)) \longrightarrow H^{i-1}(x, \mu_{\ell^r}^{\otimes i-1})).$$

This vanishes for $i = 1$, as in this case we have by (P5) an exact sequence

$$H^0(x, \mathbb{Z}_\ell(0)) \xrightarrow{\times \ell^r} H^0(x, \mathbb{Z}_\ell(0)) \longrightarrow H^0(x, \mu_{\ell^r}^{\otimes 0}),$$

which by (P3) identifies to $\mathbb{Z}_\ell \xrightarrow{\times \ell^r} \mathbb{Z}_\ell \rightarrow \mathbb{Z}/\ell$ and so the last arrow is surjective.

By (P6), there is a surjection $\bar{e} : \kappa(x)^* \twoheadrightarrow H^1(x, \mu_{\ell^r}^{\otimes 1})$ which factors through $H^1(x, \mathbb{Z}_\ell(1))$ and so the above cokernel also vanishes for $i = 2$. This concludes the proof. \square

Remark 5.14. The above proof shows more generally that $H^{i+1}(x, \mathbb{Z}_\ell(i))$ is torsion-free if there are surjections $(\kappa(x)^*)^{\otimes i} \twoheadrightarrow H^i(x, \mu_{\ell^r}^{\otimes i})$ that factor through $H^i(x, \mathbb{Z}_\ell(i))$. In particular, $H^{i+1}(x, \mathbb{Z}_\ell(i))$ is torsion-free if a version of the Bloch–Kato conjecture holds in degree i in the sense that there is a map $K_i^M(\kappa(x)) \rightarrow H^i(x, \mathbb{Z}_\ell(i))$ which induces isomorphisms $K_i^M(\kappa(x))/\ell^r \simeq H^i(x, \mu_{\ell^r}^{\otimes i})$. It follows from Voevodsky’s proof of the Bloch–Kato conjecture [Voe11] that the theories that we discuss in Propositions 6.6 and 6.9 have this property.

6. Examples of Borel–Moore cohomologies

In this section, we discuss some examples of functors that satisfy the properties from §4. The results are certainly well-known to experts and we only include them for convenience of the reader.

6.1 ℓ -adic Borel–Moore pro-étale cohomology

6.1.1 *Continuous étale cohomology of Jannsen.* Let X be a scheme over a field k and let $\text{Ab}(X_{\text{ét}})^{\mathbb{N}}$ be the abelian category of inverse systems of abelian étale sheaves on the small étale site $X_{\text{ét}}$ of X . This category has enough injectives (see [Jan88]) and we may consider the left exact functor

$$\varprojlim \circ \Gamma : \text{Ab}(X_{\text{ét}})^{\mathbb{N}} \longrightarrow \text{Ab}, \quad (F_r) \longmapsto \varprojlim_r \Gamma(X, F_r).$$

Jannsen then defines the continuous étale cohomology groups

$$H_{\text{cont}}^i(X, (F_r)) := \text{R}^i(\varprojlim \circ \Gamma)((F_r)).$$

These groups are closely related to the corresponding étale cohomology groups via the following canonical short exact sequence (see [Jan88, § 1.6]):

$$0 \rightarrow \mathbb{R}^1 \varprojlim H^{i-1}(X_{\text{ét}}, F_r) \rightarrow H_{\text{cont}}^i(X_{\text{ét}}, (F_r)) \rightarrow \varprojlim H^i(X_{\text{ét}}, F_r) \rightarrow 0, \tag{6.1}$$

where \varprojlim denotes the inverse limit functor over r .

By [Jan88, (3.27)], we have the following Kummer exact sequence in $\text{Ab}(X_{\text{ét}})^{\mathbb{N}}$:

$$0 \rightarrow (\mu_{\ell^r})_r \rightarrow (\mathbb{G}_m, \times \ell)_r \xrightarrow{\times \ell^r} (\mathbb{G}_m, \text{id})_r \rightarrow 0, \tag{6.2}$$

where ℓ is a prime invertible in k . Taking cohomology, the boundary map of the corresponding long exact sequence yields maps

$$\epsilon : H^0(X, \mathbb{G}_m) \rightarrow H_{\text{cont}}^1(X, \mathbb{Z}_{\ell}(1)) \quad \text{and} \quad c_1 : \text{Pic}(X) \rightarrow H_{\text{cont}}^2(X, \mathbb{Z}_{\ell}(1)), \tag{6.3}$$

where $H_{\text{cont}}^i(X, \mathbb{Z}_{\ell}(n)) := H_{\text{cont}}^i(X, (\mu_{\ell^r}^{\otimes n})_r)$.

6.1.2 Pro-étale cohomology of Bhatt and Scholze. For a scheme X we denote by $X_{\text{proét}}$ the pro-étale site of X formed by weakly étale maps of schemes $U \rightarrow X$ (with U of not too big cardinality), see [BS15, Definition 4.1.1 and Remark 4.1.2]. As every étale map is weakly étale, there is a natural map of associated topoi:

$$\nu : \text{Shv}(X_{\text{proét}}) \rightarrow \text{Shv}(X_{\text{ét}}). \tag{6.4}$$

The pullback $\nu^* : D^+(X_{\text{ét}}) \rightarrow D^+(X_{\text{proét}})$ on bounded below derived categories is fully faithful and the adjunction $\text{id} \rightarrow \mathbb{R}\nu_*\nu^*$ is an isomorphism, see [BS15, Proposition 5.2.6]. For a sheaf $F \in \text{Ab}(X_{\text{proét}})$ of abelian groups on $X_{\text{proét}}$, one defines

$$H^i(X_{\text{proét}}, F) := \mathbb{R}^i \Gamma(X_{\text{proét}}, F),$$

where $\mathbb{R}^i \Gamma$ denotes the i th right derived functor of the global section functor $F \mapsto \Gamma(X, F)$.

If the transition maps in the inverse system $(F_r) \in \text{Ab}(X_{\text{ét}})^{\mathbb{N}}$ are surjective, then there is a canonical isomorphism

$$H^i(X_{\text{proét}}, \lim \nu^* F_r) \simeq H_{\text{cont}}^i(X_{\text{ét}}, (F_r)), \tag{6.5}$$

see [BS15, § 5.6].

6.1.3 Constructible complexes in the pro-étale topology. We present in this section some parts of the six functor formalism on constructible complexes of Bhatt and Scholze in the special case of algebraic schemes, i.e. separated schemes of finite type over a field, which suffices for our purposes. In Remark 6.3 we add some comments on the more general setting from [BS15].

Let X be an algebraic scheme over a field k and recall ν from (6.4). For a prime ℓ invertible in k , let

$$\widehat{\mathbb{Z}}_{\ell}(n) := \lim \nu^* \mu_{\ell^r}^{\otimes n} \in \text{Ab}(X_{\text{proét}}) \tag{6.6}$$

and write $\widehat{\mathbb{Z}}_{\ell} := \widehat{\mathbb{Z}}_{\ell}(0)$. Note that $\widehat{\mathbb{Z}}_{\ell}$ is a sheaf of rings on $X_{\text{proét}}$ and $\widehat{\mathbb{Z}}_{\ell}(n)$ are $\widehat{\mathbb{Z}}_{\ell}$ -modules, which are, in fact, locally free (e.g. they are free on the pro-étale covering $X_{\bar{k}} \rightarrow X$). We may then consider the derived category $D(X_{\text{proét}}, \widehat{\mathbb{Z}}_{\ell})$ of the abelian category $\text{Mod}(X_{\text{proét}}, \widehat{\mathbb{Z}}_{\ell})$ of sheaves of $\widehat{\mathbb{Z}}_{\ell}$ -modules on $X_{\text{proét}}$. A complex $K \in D(X_{\text{proét}}, \widehat{\mathbb{Z}}_{\ell})$ is constructible, if it is complete, i.e. $K \xrightarrow{\simeq} \mathbb{R} \lim (K \otimes_{\widehat{\mathbb{Z}}_{\ell}}^{\mathbb{L}} \mathbb{Z}/\ell^r)$, and $K \otimes_{\widehat{\mathbb{Z}}_{\ell}}^{\mathbb{L}} \mathbb{Z}/\ell^r \simeq \nu^* K_r$ for a constructible complex $K_r \in D(X_{\text{ét}}, \mathbb{Z}/\ell^r)$, see [BS15, Definition 6.5.1]. The full subcategory spanned by constructible complexes is denoted by $D_{\text{cons}}(X_{\text{proét}}, \widehat{\mathbb{Z}}_{\ell}) \subset D(X_{\text{proét}}, \widehat{\mathbb{Z}}_{\ell})$. Constructible complexes are bounded, see [BS15, Lemma 6.5.3].

For a morphism $f : X \rightarrow Y$ of algebraic schemes, Rf_* respects constructibility and is right adjoint to $f_{\text{comp}}^* : D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell) \rightarrow D_{\text{cons}}(X_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$, which is given by pullback followed by (derived) completion, see [BS15, Lemma 6.7.2]. There is also a functor $Rf_! : D_{\text{cons}}(X_{\text{proét}}, \widehat{\mathbb{Z}}_\ell) \rightarrow D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$ (see [BS15, Definition 6.7.6]) with a right adjoint $f^! : D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell) \rightarrow D_{\text{cons}}(X_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$, see [BS15, Lemma 6.7.19]. If f is proper, $Rf_! = Rf_*$ (by definition).

To explain the construction of $f^!$ in [BS15], note that the pullback

$$\nu^* : D_{\text{cons}}(X_{\text{ét}}, \mathbb{Z}/\ell^r) \xrightarrow{\simeq} D_{\text{cons}}(X_{\text{proét}}, \mathbb{Z}/\ell^r) \tag{6.7}$$

is an equivalence (see the paragraph after [BS15, Definition 6.5.1]). Using this, we freely identify complexes on the two sides with each other. For instance, we freely identify $\mu_{\ell^r}^{\otimes n}$ on $Y_{\text{ét}}$ with its pullback $\nu^* \mu_{\ell^r}^{\otimes n}$ to $Y_{\text{proét}}$. Now let $K \in D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$ with truncation $K_r = K \otimes_{\mathbb{Z}_\ell}^{\mathbb{L}} \mathbb{Z}/\ell^r$ and let $f_r^! : D_{\text{cons}}(Y_{\text{ét}}, \mathbb{Z}/\ell^r) \rightarrow D_{\text{cons}}(X_{\text{ét}}, \mathbb{Z}/\ell^r)$ be the exceptional pullback on the étale site, induced by f , cf. [SGA4.3, Exposé XVIII]. As any constructible complex of sheaves of \mathbb{Z}/ℓ^r -modules on $X_{\text{proét}}$ is also a constructible complex of $\widehat{\mathbb{Z}}_\ell$ -modules on $X_{\text{proét}}$, we may by (6.7) identify $f_r^! K_r$ with an object in $D_{\text{cons}}(X_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$. By [BS15, Lemma 6.7.18], the natural reduction maps $K_r \rightarrow K_m$ for $m \leq r$ make $(f_r^! K_r)$ into a projective system and so, following Bhatt and Scholze (see [BS15, Lemma 6.7.19]), one may define

$$f^! K := R \lim f_r^! K_r \in D_{\text{cons}}(X_{\text{proét}}, \widehat{\mathbb{Z}}_\ell). \tag{6.8}$$

This construction implies that many properties known from the étale site carry over to the pro-étale site.

LEMMA 6.1. *Let $f : X \rightarrow Y$ be a morphism between algebraic schemes over a field k and let ℓ be a prime invertible in k . Then the following hold in $D_{\text{cons}}(X_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$.*

- (i) *If f is weakly étale or a closed immersion, then $f_{\text{comp}}^* \simeq f^*$.*
- (ii) *If f is étale, then $f^! \simeq f^* \simeq f_{\text{comp}}^*$.*
- (iii) *If $g : Y \rightarrow Z$ is another morphism, then there is a natural isomorphism of functors $f^! g^! \xrightarrow{\simeq} (g \circ f)^!$.*
- (iv) *If f is smooth of pure relative dimension d , then there is a canonical isomorphism of functors $f_{\text{comp}}^*(d)[2d] \xrightarrow{\simeq} f^!$, where $f_{\text{comp}}^*(n) := f_{\text{comp}}^*(- \otimes_{\widehat{\mathbb{Z}}_\ell} \widehat{\mathbb{Z}}_\ell(n))$.*
- (v) *Let f be smooth of pure relative dimension d . Then for any étale map $j : U \rightarrow X$, the diagram*

$$\begin{array}{ccc} (f \circ j)_{\text{comp}}^*(d)[2d] & \xrightarrow{\simeq} & (f \circ j)^! \\ \uparrow & & \uparrow \\ j_{\text{comp}}^* f_{\text{comp}}^*(d)[2d] & \xrightarrow{\simeq} & j_{\text{comp}}^* f^! \simeq j^! f^! \end{array}$$

commutes, where the horizontal maps are induced by the canonical isomorphisms from item (iv) and the vertical arrows are induced by the canonical maps given by functoriality of f_{comp}^ and $f^!$.*

Proof. Item (i) follows from [BS15, Remark 6.5.10]. Item (ii) follows from this together with the fact that for any $K \in D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$,

$$f_{\text{comp}}^* K \xrightarrow{\simeq} R \lim f_r^* K_r = R \lim f_r^! K_r = f^! K$$

because $f_r^! = f_r^*$ since f is étale; see [SGA4.3, XVIII, Proposition 3.1.8(iii)].

Let $K \in D_{\text{cons}}(Z_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$ with truncations $K_r = K \otimes_{\widehat{\mathbb{Z}}_\ell}^{\mathbb{L}} \mathbb{Z}/\ell^r \in D_{\text{cons}}(Y_{\text{ét}}, \mathbb{Z}/\ell^r)$. By (6.7) and the construction of $f^!$ from (6.8), there is a natural map

$$f^!g^!K = R\lim f_r^!(R\lim g_r^!K_r) = R\lim(f_r^! \circ g_r^!(K_r)) \xrightarrow{\cong} R\lim((g_r \circ f_r)^!K_r) = (g \circ f)^!K$$

induced by the natural isomorphism $f_r^! \circ g_r^! \xrightarrow{\cong} (g_r \circ f_r)^!$, given by adjunction and $R(g_r)_! R(f_r)_! \xrightarrow{\cong} R(g_r \circ f_r)_!$. This proves item (iii).

Let $K \in D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$ with truncations $K_r = K \otimes_{\widehat{\mathbb{Z}}_\ell}^{\mathbb{L}} \mathbb{Z}/\ell^r \in D_{\text{cons}}(Y_{\text{ét}}, \mathbb{Z}/\ell^r)$. Assume that f is smooth of pure relative dimension d . By Poincaré duality on the étale site, there are canonical identifications $f_r^! = f_r^*(d)[2d]$, see [SGA4.3, XVIII, Théorème 3.2.5] (cf. [Ver67, § 4.4]). We thus obtain a canonical isomorphism:

$$f_{\text{comp}}^*K(d)[2d] \xrightarrow{\cong} R\lim f_r^*K_r(d)[2d] = R\lim f_r^!K_r = f^!K.$$

This holds functorially in K and so we get an isomorphism $f_{\text{comp}}^*(d)[2d] \xrightarrow{\cong} f^!$, which proves item (iv).

By item (ii), $j_{\text{comp}}^* \simeq j^!$ and so the commutativity of the diagram in item (v) follows from the fact that the isomorphism in item (iv) is compatible with respect to compositions of smooth maps. The latter follows by construction of $f^!$ from the analogous result for constructible complexes on the étale site and, hence, from [SGA4.3, XVIII, diagram above Théorème 3.2.5]. This concludes the proof of the lemma. \square

Let $f : X \rightarrow Y$ be a morphism between algebraic k -schemes. By adjunction, there are natural transformations

$$\text{Tr}_f : Rf_!f^! \longrightarrow \text{id} \quad \text{and} \quad \theta_f : \text{id} \longrightarrow Rf_*f_{\text{comp}}^* \tag{6.9}$$

between functors on $D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$. For $K \in D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$, the maps are defined by asking (see [BS15, Lemmas 6.7.2 and 6.7.19]) that the following diagrams commute:

$$\begin{array}{ccc} K & \xrightarrow{\theta_f} & Rf_*f_{\text{comp}}^*K \\ \downarrow & & \downarrow \\ R\lim K_r & \xrightarrow{R\lim(\theta_{f_r})} & R\lim(Rf_{r*}f_r^*K_r) \end{array} \quad \begin{array}{ccc} Rf_!f^!K & \xrightarrow{\text{Tr}_f} & K \\ \downarrow & & \downarrow \\ R\lim Rf_{r!}f_r^!K_r & \xrightarrow{R\lim(\text{Tr}_{f_r})} & R\lim(K_r) \end{array} \tag{6.10}$$

where $K_r = K \otimes_{\widehat{\mathbb{Z}}_\ell}^{\mathbb{L}} \mathbb{Z}/\ell^r \in D_{\text{cons}}(Y_{\text{ét}}, \mathbb{Z}/\ell^r)$, $f_r^\bullet, f_{r\bullet}$ denote the corresponding functors on $D_{\text{cons}}(Y_{\text{ét}}, \mathbb{Z}/\ell^r)$ (respectively, $D_{\text{cons}}(X_{\text{ét}}, \mathbb{Z}/\ell^r)$) induced by f , and where as before we identify K_r with ν^*K_r , using the equivalence (6.7).

For $K \in D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$, θ_f induces pullback maps:

$$f^* : R^i\Gamma(Y_{\text{proét}}, K) \longrightarrow R^i\Gamma(X_{\text{proét}}, f_{\text{comp}}^*K). \tag{6.11}$$

If f is proper, then $Rf_! = Rf_*$ and so Tr_f induces a pushforward map:

$$f_* : R^i\Gamma(X_{\text{proét}}, f^!K) \longrightarrow R^i\Gamma(Y_{\text{proét}}, K). \tag{6.12}$$

LEMMA 6.2. Let $K \in D_{\text{cons}}(Y_{\text{proét}}, \widehat{\mathbb{Z}}_\ell)$ with truncations $K_r = K \otimes_{\widehat{\mathbb{Z}}_\ell}^{\mathbb{L}} \mathbb{Z}/\ell^r \in D_{\text{cons}}(Y_{\text{ét}}, \mathbb{Z}/\ell^r)$. Then for any morphism $f : X \rightarrow Y$ between algebraic k -schemes, the following diagram

commutes with exact rows.

$$\begin{array}{ccccccc}
 0 & \longrightarrow & R^1 \lim R^{i-1} \Gamma(X_{\text{ét}}, f_r^* K_r) & \longrightarrow & R^i \Gamma(X_{\text{proét}}, f_{\text{comp}}^* K) & \longrightarrow & \lim R^i \Gamma(X_{\text{ét}}, f_r^* K_r) \longrightarrow 0 \\
 & & \uparrow R^1 \lim(f_r^*) & & \uparrow f^* & & \uparrow \lim(f_r^*) \\
 0 & \longrightarrow & R^1 \lim R^{i-1} \Gamma(Y_{\text{ét}}, K_r) & \longrightarrow & R^i \Gamma(Y_{\text{proét}}, K) & \longrightarrow & \lim R^i \Gamma(Y_{\text{ét}}, K_r) \longrightarrow 0
 \end{array}$$

If f is proper, then the following commutes with exact rows as well.

$$\begin{array}{ccccccc}
 0 & \longrightarrow & R^1 \lim R^{i-1} \Gamma(X_{\text{ét}}, f_r^! K_r) & \longrightarrow & R^i \Gamma(X_{\text{proét}}, f^! K) & \longrightarrow & \lim R^i \Gamma(X_{\text{ét}}, f_r^! K_r) \longrightarrow 0 \\
 & & \downarrow R^1 \lim((f_r)_*) & & \downarrow f_* & & \downarrow \lim((f_r)_*) \\
 0 & \longrightarrow & R^1 \lim R^{i-1} \Gamma(Y_{\text{ét}}, K_r) & \longrightarrow & R^i \Gamma(Y_{\text{proét}}, K) & \longrightarrow & \lim R^i \Gamma(Y_{\text{ét}}, K_r) \longrightarrow 0
 \end{array}$$

Proof. The horizontal lines are parts of short exact sequences given by the composed functor spectral sequence of $R \lim \circ R \Gamma$, where we note that $R \lim$ has cohomological dimension ≤ 1 on abelian groups. The lemma thus follows immediately from the commutative diagrams in (6.10). \square

Remark 6.3. Bhatt and Scholze’s six functor formalism on constructible complexes of $\widehat{\mathbb{Z}}_\ell$ -sheaves on the pro-étale site works more generally for quasi-excellent quasi-compact quasi-separated schemes over $\mathbb{Z}[1/\ell]$ and separated finitely presented maps between them, see [BS15, §6.7]. Lemmas 6.1 and 6.2 remain true in this set-up (with the same proofs).

Remark 6.4. Related to Bhatt and Scholze’s pro-étale theory [BS15], there is Ekedahl’s ℓ -adic formalism [Ekh90], which leads to a six functor formalism (see [Ekh90, Theorem 6.3]) that is closer in spirit to Jannsen’s continuous étale cohomology groups. We prefer to use Bhatt and Scholze’s theory, as it allows us to work with actual sheaves on a site, whereas Ekedahl’s formalism as well as Jannsen’s theory involve inverse systems of sheaves. The resulting triangulated categories agree under suitable finiteness assumptions, see [BS15, §5.5].

6.1.4 *Properties (P1)–(P6), (P7.1), and (P7.2).* Let k be a field and let ℓ be a prime that is invertible in k . Let \mathcal{V} be the category whose objects are separated schemes of finite type over k and where the morphisms are open immersions of schemes of the same dimension. For $X \in \mathcal{V}$ of dimension d with structure morphism $\pi_X : X \rightarrow \text{Spec } k$, we define

$$H^i(X, \mu_{\ell^r}^{\otimes n}) := R^{i-2d} \Gamma(X_{\text{proét}}, \pi_X^! \mu_{\ell^r}^{\otimes n-d}) \in \text{Mod}_{\mathbb{Z}_\ell}, \tag{6.13}$$

$$H^i(X, \widehat{\mathbb{Z}}_\ell(n)) := R^{i-2d} \Gamma(X_{\text{proét}}, \pi_X^! \widehat{\mathbb{Z}}_\ell(n-d)) \in \text{Mod}_{\mathbb{Z}_\ell}, \tag{6.14}$$

$$H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n)) := \varinjlim_r H^i(X, \mu_{\ell^r}^{\otimes n}) \quad \text{and} \quad H^i(X, \mathbb{Q}_\ell(n)) := H^i(X, \mathbb{Z}_\ell(n)) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell, \tag{6.15}$$

where $\widehat{\mathbb{Z}}_\ell(n)$ denotes the sheaf on $X_{\text{proét}}$ defined in (6.6). By item (ii) in Lemma 6.1 and (6.11), the cohomology groups in (6.13)–(6.15) are contravariantly functorial with respect to morphisms in \mathcal{V} (in fact, with respect to arbitrary étale maps $U \rightarrow X$ with $\dim U = \dim X$).

LEMMA 6.5. *Assume that $X \in \mathcal{V}$ is equi-dimensional and smooth over k . Then there are canonical isomorphisms*

$$H^i(X, \mu_{\ell^r}^{\otimes n}) \simeq R^i \Gamma(X_{\text{proét}}, \mu_{\ell^r}^{\otimes n}) \simeq H^i_{\text{cont}}(X_{\text{ét}}, (\mu_{\ell^r}^{\otimes n}, \text{id})_s) \simeq H^i(X_{\text{ét}}, \mu_{\ell^r}^{\otimes n}),$$

and

$$H^i(X, \mathbb{Z}_\ell(n)) \simeq R^i \Gamma(X_{\text{proét}}, \widehat{\mathbb{Z}}_\ell(n)) \simeq H^i_{\text{cont}}(X, (\mu_{\ell^r}^{\otimes n})_r),$$

which are compatible with respect to pullbacks along open immersions.

Proof. As $\pi : X \rightarrow \text{Spec } k$ is smooth of pure dimension d , there is a canonical isomorphism $(\pi_X)_{\text{comp}}^*(d)[2d] \xrightarrow{\cong} \pi_X^!$, see item (iv) in Lemma 6.1. This isomorphism is compatible with respect to pullbacks along open immersions by item (v) in Lemma 6.1. This yields the first isomorphism in each row of the lemma. The comparison to continuous étale cohomology follows from (6.5) and that to étale cohomology for finite coefficients from (6.1), because $R\text{lim}^1$ vanishes on constant inductive systems. This concludes the proof of the lemma. \square

The main result of this section is the following.

PROPOSITION 6.6. *Let k be a field and let ℓ be a prime that is invertible in k . Let $\mathcal{A} \subset \text{Mod}_{\mathbb{Z}_\ell}$ be the full subcategory of \mathbb{Z}_ℓ -modules containing $\mathbb{Z}_\ell, \mathbb{Q}_\ell, \mathbb{Q}_\ell/\mathbb{Z}_\ell,$ and \mathbb{Z}/ℓ^r for all $r \geq 1$. Let \mathcal{V} be the category of separated schemes of finite type over k with morphisms given by open immersions $U \hookrightarrow X$ with $\dim U = \dim X$. Let the cohomology functor (4.1) be given by (6.13)–(6.15). Then (P1)–(P5) from Definitions 4.2 and 4.4 hold true. If k is perfect, then (P6) holds true as well. Moreover:*

- property (P7.1) holds if k is algebraically closed;
- property (P7.2) holds if k is the perfect closure of a finitely generated field.

In the terminology of Definitions 4.2, 4.4, and 4.5, the proposition states that $H^*(-, A(n))$ is a twisted Borel–Moore cohomology theory on \mathcal{V} that is ℓ -adic if k is perfect and it is adapted to algebraic equivalence if k is algebraically closed, whereas it is adapted to rational equivalence if k is the perfect closure of a finitely generated field.

Proof of Proposition 6.6. Item (P4) is clear (by definition). As the direct limit functor as well as $\otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$ is exact, it suffices to prove the remaining properties for $A = \mathbb{Z}/\ell^r$ and $A = \mathbb{Z}_\ell$.

Step 1: item (P1). Let $X, Y \in \mathcal{V}$ and let $f : X \rightarrow Y$ be a proper morphism of schemes with $c = \dim Y - \dim X$. The existence of the pushforward $f_* : H^{i-2c}(X, A(n-c)) \rightarrow H^i(Y, A(n))$ follows from (6.12) and item (iii) in Lemma 6.1. Functoriality in f (i.e. $(f \circ g)_* = f_* g_*$) follows from the functoriality of the trace map (which by (6.9) may either be deduced from the corresponding statement on the étale site, or directly from item (iii) in Lemma 6.1). Compatibility of f_* with pullbacks along open immersions may by Lemma 6.2 be checked in the case where $A = \mathbb{Z}/\ell^r$ on the étale site of X , which is well-known (and holds, in fact, for arbitrary étale maps in place of open immersions), see [BO74, (1.2.2) and §2.1]. This proves (P1).

Step 2: item (P2). Let $X \in \mathcal{V}$ and let $i : Z \hookrightarrow X$ be a closed immersion with complement $j : U \hookrightarrow X$. Let $c = \dim X - \dim Z$. By [BS15, Lemma 6.1.16], there is an exact triangle

$$R i_* i^! \pi_X^! \xrightarrow{\text{Tr}_i} \pi_X^! \xrightarrow{\theta_j} R j_* j_{\text{comp}}^* \pi_X^!,$$

where we used $j^* \simeq j_{\text{comp}}^*$ (see Lemma 6.1). By Lemma 6.1, $j_{\text{comp}}^* \simeq j^!, \pi_Z^! \xrightarrow{\cong} i^! \pi_X^!,$ and $\pi_U^! \xrightarrow{\cong} j_{\text{comp}}^* \pi_X^!$. Hence, the above triangle identifies to an exact triangle

$$R i_* \pi_Z^! \longrightarrow \pi_X^! \longrightarrow R j_* \pi_U^!.$$

Applying $R\Gamma(X_{\text{proét}}, -)$, the corresponding long exact sequence yields the Gysin sequence claimed in (P2). The map ι_* in the Gysin sequence from item (P2) coincides, by construction, with the proper pushforward with respect to the inclusion $Z \hookrightarrow X$. Functoriality with respect to

open immersions follows by Lemma 6.2 from the case $A = \mathbb{Z}/\ell^r$ on the étale site which is well-known (and holds, in fact, for arbitrary étale maps), see, e.g., [BO74, (1.1.2), Lemma 1.4, and (2.1)]. Similarly, functoriality with respect to proper pushforwards follows by Lemma 6.2 from the case of finite coefficients $A = \mathbb{Z}/\ell^r$ on the étale site, which is well-known, see, e.g., [BO74, (1.2.4) and (2.1)]. This proves (P2).

Step 3: item (P3). By the topological invariance of the pro-étale topos (see [BS15, Lemma 5.4.2]), we may replace X be the base change to the perfect closure k^{per} of k , and x by the unique point in $X_{k^{per}}$ that lies over it via the natural map $X_{k^{per}} \rightarrow X$ (where we use that the latter is a universal homeomorphism). After this reduction step, we may assume that k is perfect.

Note that $H^i(x, A(n))$ in (4.2) is defined as a direct limit where it suffices to run only through the cohomology of regular (hence, smooth, because k is perfect) schemes, so that the vanishing $H^i(x, A(n)) = 0$ for $i < 0$ as well as the canonical isomorphism $H^0(x, A(0)) \simeq A$ which is functorial in A follows from Lemma 6.5. The fundamental class $[x] \in H^0(x, \mathbb{Z}_\ell(0))$ corresponds via the canonical isomorphism $H^0(x, \mathbb{Z}_\ell(0)) \simeq \mathbb{Z}_\ell$ to $1 \in \mathbb{Z}_\ell$. More precisely, let $U \subset \overline{\{x\}}$ be dense and smooth over k . By Lemma 6.5, the canonical isomorphism in item (iv) of Lemma 6.1 induces a canonical isomorphism

$$H^0(U, \mathbb{Z}_\ell(0)) \simeq H^0(U_{\text{proét}}, \widehat{\mathbb{Z}}_\ell(0)),$$

which is compatible with respect to restrictions to open subsets. The class of $H^0(U, \mathbb{Z}_\ell(0))$ induced by the unit section of the pro-étale sheaf $\widehat{\mathbb{Z}}_\ell(0)$ yields a canonical fundamental class $[U] \in H^0(U, \mathbb{Z}_\ell(0))$ with $H^0(U, \mathbb{Z}_\ell(0)) = [U]\mathbb{Z}_\ell$. This class is compatible with respect to restrictions to open subsets (see item (v) in Lemma 6.1), hence induces a canonical class $[x] \in H^0(x, \mathbb{Z}_\ell(0))$ in the limit. This proves (P3).

Step 4: item (P5). There is a canonical short exact sequence

$$0 \longrightarrow \widehat{\mathbb{Z}}_\ell(n) \xrightarrow{\times \ell^r} \widehat{\mathbb{Z}}_\ell(n) \longrightarrow \mu_{\ell^r}^{\otimes n} \longrightarrow 0$$

of sheaves on $(\text{Spec } k)_{\text{proét}}$. Applying $\pi_X^!$, we arrive at the exact triangle

$$\pi_X^! \widehat{\mathbb{Z}}_\ell(n) \xrightarrow{\times \ell^r} \pi_X^! \widehat{\mathbb{Z}}_\ell(n) \longrightarrow \pi_X^! \mu_{\ell^r}^{\otimes n}. \tag{6.16}$$

The Bockstein sequence in (P5) is (up to some shifts) the long exact sequence associated to this triangle after applying $R\Gamma(X_{\text{proét}}, -)$. Functoriality of the exceptional pullback $\pi_X^!$ shows that the Bockstein sequence is functorial with respect to pullbacks along morphisms in \mathcal{V} and with respect to proper pushforwards from (P1). This proves (P5).

Step 5: item (P6) for k perfect. Let $X \in \mathcal{V}$ and let $x \in X^{(1)}$. In the direct limit (4.2) that defines $H^i(x, A(n))$, we may restrict ourselves to regular (hence, smooth, as k is perfect) dense open subsets $V_x \subset \overline{\{x\}}$, so that Lemma 6.5 identifies $H^i(V_x, A(n))$ canonically with continuous étale cohomology. The map $\epsilon : \kappa(x)^* \rightarrow H^1(x, \mathbb{Z}_\ell(1))$ is then induced by the Kummer sequence in continuous étale cohomology, see (6.3). Surjectivity of the reduction $\bar{\epsilon} : \kappa(x)^* \rightarrow H^1(x, \mu_{\ell^r})$ follows from the Kummer sequence in étale cohomology and Grothendieck’s Hilbert theorem 90, which implies that

$$\varinjlim_{F_0 X \subset U \subset X} H_{\text{ét}}^1(U, \mathbb{G}_m) \simeq \varinjlim_{F_0 X \subset U \subset X} \text{Pic}(U) = 0.$$

Let $X \in \mathcal{V}$ be integral of dimension d with generic point η and let $x \in X^{(1)}$ be a regular point with closure $i : D \hookrightarrow X$. We claim that the composition $\partial \circ \epsilon : \kappa(\eta)^* \rightarrow H^0(x, \mathbb{Z}_\ell(0)) = [x]\mathbb{Z}_\ell$

satisfies

$$\partial \circ \epsilon(f) = [x](-\nu_x(f)),$$

where ν_x denotes the valuation on $\kappa(\eta)$ induced by x . It suffices to check this after reduction modulo ℓ^r for $r \geq 1$. It follows from [SGA4 $\frac{1}{2}$, p. 147, (cycle), Lemme 2.3.6] that the fundamental class $[x] \in H^0(x, \mu_{\ell^r}^{\otimes 0})$ that we defined above via Poincaré duality (i.e. via item (iv) in Lemma 6.1) is induced by the cycle class

$$\text{cl}_{\ell^r}(D) \in H_D^2(X_{\text{ét}}, \mu_{\ell^r}) = H^2(D_{\text{ét}}, i_r^! \mu_{\ell^r}) \simeq H^{2-2d}(D_{\text{ét}}, \pi_D^! \mu_{\ell^r}^{\otimes 1-d})$$

from [SGA4 $\frac{1}{2}$, p. 138, (cycle), Définition 2.1.2]. The claim in question follows therefore from the anticommutativity of the diagram in [SGA4 $\frac{1}{2}$, p. 138, (cycle), (2.1.3)]. This concludes the proof of (P6).

By Lemma 5.8 and Corollary 5.10 (which apply because we have proven (P1)–(P6) already), the proper pushforward map from (P1) together with $H^0(x, \mathbb{Z}_{\ell}(0)) = [x]\mathbb{Z}_{\ell}$ from (P3) yields for any $X \in \mathcal{V}$ a canonical map

$$\iota_* : \bigoplus_{x \in X^{(1)}} [x]\mathbb{Z}_{\ell} \longrightarrow H^2(F_1 X, \mathbb{Z}_{\ell}(1)) \simeq H^2(X, \mathbb{Z}_{\ell}(1)),$$

as claimed in (4.3). If X is a smooth variety, then there is a canonical isomorphism $H^2(X, \mathbb{Z}_{\ell}(1)) \simeq H_{\text{cont}}^2(X, \mathbb{Z}_{\ell}(1))$ (see Lemma 6.5) and so we may compare ι_* to the first Chern class map c_1 from (6.3), as follows.

LEMMA 6.7. *Let k be a field and let X be a smooth k -variety. For any Weil divisor $D \in \bigoplus_{x \in X^{(1)}} [x]\mathbb{Z}$, we have:*

- (i) $\iota_* D = c_1(\mathcal{O}_X(D))$, where ι_* is the cycle class map from (4.3) and c_1 is from (6.3);
- (ii) $c_1(\mathcal{O}_X(D)) = 0$ if and only if $\mathcal{O}_X(D) \in \text{Pic}(X)$ is contained in the subgroup of ℓ -divisible elements of $\text{Pic}(X)$.

Proof. The first assertion is [Jan88, Lemma 3.26]. For the second assertion, note that the Kummer sequence (6.2) yields an exact sequence

$$H^1(X_{\text{ét}}, (\mathbb{G}_m, \times \ell)_r) \longrightarrow \text{Pic}(X) \xrightarrow{-c_1} H_{\text{cont}}^2(X, \mathbb{Z}_{\ell}(1)).$$

Functoriality of the extension in (6.1) shows that the image of the first map is given by subgroup of ℓ -divisible elements of $\text{Pic}(X)$ (cf. [Jan88, Remark 6.15]), which concludes the proof of the lemma. □

Step 6: item (P7.1) for k algebraically closed. Let us now assume that k is algebraically closed and let X be a regular (hence, smooth, as $k = \bar{k}$) variety over k . Note that (P7.1) is well-known in the case where X is smooth projective, and we deduce the general case from this statement in what follows. We denote by $\text{NS}(X) = \text{Pic}(X) / \sim_{\text{alg}}$ the group of divisors modulo algebraic equivalence on X . As k is algebraically closed, the subgroup of algebraically trivial divisors in $\text{Pic}(X)$ is ℓ -divisible. By Lemma 6.7, the first Chern class map from (6.3) descends to a map $c_1 : \text{NS}(X) \otimes \mathbb{Z}_{\ell} \rightarrow H^2(X, \mathbb{Z}_{\ell}(1))$ and, again by Lemma 6.7, it suffices to show that this is injective. Let \bar{X} be a projective normal compactification of X and let $\tau : \bar{X}' \rightarrow \bar{X}$ be an alteration (i.e. a projective generically finite morphism with regular source) of degree prime to ℓ , which exists by [ILO14, Exposé X, Théorème 2.1]. Since k is algebraically closed, \bar{X}' is smooth.

Putting $X' := \tau^{-1}(X)$, we get the following commutative diagram.

$$\begin{array}{ccc}
 \mathrm{NS}(\overline{X}') \otimes \mathbb{Z}_\ell & \xrightarrow{c_1} & H^2(\overline{X}', \mathbb{Z}_\ell(1)) \\
 \downarrow \text{restr.} & & \downarrow \text{restr.} \\
 \mathrm{NS}(X') \otimes \mathbb{Z}_\ell & \xrightarrow{c_1} & H^2(X', \mathbb{Z}_\ell(1)) \\
 \downarrow (\tau|_{X'})_* & & \downarrow (\tau|_{X'})_* \\
 \mathrm{NS}(X) \otimes \mathbb{Z}_\ell & \xrightarrow{c_1} & H^2(X, \mathbb{Z}_\ell(1))
 \end{array}$$

We claim that it suffices to show that the horizontal arrow in the middle is injective. To see this, let $\alpha \in \mathrm{NS}(X) \otimes \mathbb{Z}_\ell$. Then $c_1(\tau^*\alpha) = \tau^*c_1(\alpha)$ and so injectivity of the horizontal arrow in the middle implies $c_1(\alpha) \neq 0$ unless $\tau^*\alpha = 0$, which, in turn, implies $\tau_*\tau^*\alpha = \deg \tau \cdot \alpha = 0$ and so $\alpha = 0$ because $\deg \tau$ is coprime to ℓ .

By the localization sequence, the kernel of $\mathrm{NS}(\overline{X}') \otimes \mathbb{Z}_\ell \rightarrow \mathrm{NS}(X') \otimes \mathbb{Z}_\ell$ is generated by classes of divisors supported on $\overline{X}' \setminus X$. Similarly, the Gysin sequence (see (P2)) shows that the kernel of the restriction map $H^2(\overline{X}', \mathbb{Z}_\ell(1)) \rightarrow H^2(X', \mathbb{Z}_\ell(1))$ is generated by the cycle classes of these divisors. As the first horizontal map in the above diagram is injective by [Mil80, p. 216, V.3.28], whereas the restriction map $\mathrm{NS}(\overline{X}') \rightarrow \mathrm{NS}(X')$ is surjective (see [Ful98, Proposition 1.8]), this shows that the horizontal arrow in the middle of the above diagram is injective, as desired. This proves (P7.1).

Step 7: item (P7.2) for k the perfect closure of a finitely generated field. We use the following well-known lemma.

LEMMA 6.8. *Let X be a separated scheme of finite type over a field k of characteristic $p > 0$ and let E/k be a purely inseparable extension. Then the flat pullback map $\mathrm{CH}^i(X)[1/p] \rightarrow \mathrm{CH}^i(X_E)[1/p]$ is an isomorphism.*

Proof. The argument is well-known; we recall it for convenience. By a standard limit argument, it suffices to treat the case where E/k is a finite extension of degree p^s for some s . Let $f : X_E \rightarrow X$ be the canonical map. Then $f_* \circ f^* = p^s \cdot \mathrm{id}$ and so f^* is injective after inverting p . As f is a universal homeomorphism, we have that for any subvariety $Z \subset X_E$: $f^*f_*[Z] = m[Z]$ for some $m \geq 1$ and $f_* \circ f^* = p^s \cdot \mathrm{id}$ implies that m must be a p -power. Hence, f^* is surjective after inverting p , as desired. \square

Now let k be the perfect closure of a finitely generated field $k_0 \subset k$, and let X be a regular (hence smooth) variety over k . By Lemma 6.7, it suffices to show that the map

$$c_1 \otimes \mathbb{Z}_\ell : \mathrm{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \longrightarrow H^2(X, \mathbb{Z}_\ell(1)) \tag{6.17}$$

induced by c_1 from (6.3) is injective, where we note that the right-hand side identifies to continuous étale cohomology by Lemma 6.5. Using the existence of prime to ℓ alterations, the same argument as in step 6 reduces us to the case where X is smooth projective over k . At this point the argument is similar to [Jan88, Remark 6.15].

As X is defined over some finitely generated field, we may assume (up to enlarging k_0) that $X = X_0 \times_{k_0} k$ for some smooth k_0 -variety X_0 .

Assume for the moment that X_0 is geometrically integral. By Grothendieck’s theorem, the Picard functor on X_0 is then represented by the Picard scheme $\mathbf{Pic}_{X_0/k}$, see e.g. [Kle05, Theorem 9.4.8]. In particular, $\mathrm{Pic}(X_0)$ is given by the group of k_0 -rational points of $\mathbf{Pic}_{X_0/k}$.

The quotient of $\mathbf{Pic}_{X_0/k}$ by the identity component is always a finitely generated group scheme (the Néron–Severi group). Moreover, the identity component is an abelian variety over k_0 and because k_0 is finitely generated, its group of k_0 -rational points is finitely generated by Néron’s Mordell–Weil theorem [Nér52]. It follows that $\mathbf{Pic}(X_0)$ is a finitely generated abelian group.

In general, X_0 will split into a finite union of geometrically integral smooth projective varieties after a finite extension of the base field. The above argument together with a pull and push argument then shows that, in general, $\mathbf{Pic}(X_0)$ contains an n -torsion subgroup T for some $n \geq 1$ such that $Q := \mathbf{Pic}(X_0)/T$ is a finitely generated abelian group. We consider the short exact sequence $0 \rightarrow T \rightarrow \mathbf{Pic}(X_0) \rightarrow Q \rightarrow 0$. As Q is finitely generated and T is n -torsion, this sequence remains exact if we apply either the ℓ -adic completion functor or $\otimes_{\mathbb{Z}} \mathbb{Z}_\ell$. Comparing the two resulting short exact sequences, we find that

$$\varprojlim_r (\mathbf{Pic}(X_0)/\ell^r) \xrightarrow{\simeq} \mathbf{Pic}(X_0) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell. \tag{6.18}$$

The usual Kummer sequence on the étale site yields compatible injections $\mathbf{Pic}(X_0)/\ell^r \hookrightarrow H^2(X_0, \mu_{\ell^r}^{\otimes 1})$, i.e. an injection of projective systems. Applying the inverse limit functor, this yields by (6.18) an injection $\mathbf{Pic}(X_0) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \hookrightarrow \varprojlim H^2(X_0, \mu_{\ell^r}^{\otimes 1})$. By (6.1) and the construction of c_1 in (6.3), this injection factors through

$$c_1 \otimes \mathbb{Z}_\ell : \mathbf{Pic}(X_0) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \longrightarrow H^2(X_0, \mathbb{Z}_\ell(1))$$

and so the latter must be injective as well. It follows that (6.17) is injective, because c_1 is functorial with respect to pullbacks, and the canonical pullback maps yield isomorphisms $\mathbf{Pic}(X_0) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \simeq \mathbf{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$ (see Lemma 6.8) and $H^2(X_0, \mathbb{Z}_\ell(1)) \simeq H^2(X, \mathbb{Z}_\ell(1))$ (see [BS15, Lemma 5.4.2]), because k/k_0 is purely inseparable by assumption. This concludes the proof of (P7.2) and, hence, finishes the proof of the proposition. \square

6.2 Borel–Moore cohomology of complex analytic spaces

If X is a complex algebraic scheme with underlying analytic space X_{an} and A is an abelian group, then one may (and we do) define its Borel–Moore homology $H_i^{\text{BM}}(X_{\text{an}}, A)$ analogous to singular homology with values in A , but with locally finite chains instead of finite chains, see [Bre97, Theorem V.12.14 and Corollary V.12.21]. An alternative sheaf theoretic definition of the same group can be found in [BM60] and [Bre97, Chapter V]; a definition in terms of relative singular cohomology is given in [Ful98, Example 19.1.1] and the references therein. If X is smooth and equi-dimensional of dimension d_X , then $H_i^{\text{BM}}(X_{\text{an}}, A) \simeq H_{\text{sing}}^{2d_X-i}(X_{\text{an}}, A)$ by Poincaré duality, see [Bre97, Chapter V, § 9].

PROPOSITION 6.9. *Let \mathcal{V} be the category whose objects are separated schemes of finite type over \mathbb{C} and whose morphisms are given by open immersions of schemes of the same dimension. Further let $\mathcal{A} = \text{Mod}_{\mathbb{Z}}$ and put $A(n) := A \otimes_{\mathbb{Z}} (2\pi i)^n \mathbb{Z}$ for all $A \in \mathcal{A}$ and $n \in \mathbb{Z}$. Then let*

$$H^i(X, A(n)) := H_{2d_X-i}^{\text{BM}}(X_{\text{an}}, A(d_X - n)),$$

where the right-hand side denotes Borel–Moore homology of the underlying analytic space, and where $d_X = \dim X$. Then $H^*(-, A(n))$ defines an integral twisted Borel–Moore cohomology theory that is adapted to algebraic equivalence, see Definition 4.6.

Proof. Property (P1’) follows from covariant functoriality of Borel–Moore homology with respect to proper maps, and item (P2’) is a consequence of the long exact sequence of pairs in Borel–Moore homology, see, e.g., [Ful98, § 19.1] and the references therein. If X is smooth and integral, then $H^i(X, A(n)) \simeq H_{\text{sing}}^i(X_{\text{an}}, A(n))$. In particular, $H^0(X, A(0)) \simeq A$ and there is a

canonical class

$$[X] \in H_{\text{sing}}^0(X_{\text{an}}, \mathbb{Z}(0)) = \mathbb{Z},$$

which corresponds to $1 \in \mathbb{Z}$. This proves (P3'), as in the direct limit (4.2), it suffices to run through smooth integral varieties $V_x \subset \overline{\{x\}}$.

It remains to prove that (P4')–(P6') and (P7.1') hold, where we recall that these properties are formally deduced from (P4)–(P6) and (P7.1) by the replacement of symbols $\mathbb{Z}_\ell \rightsquigarrow \mathbb{Z}$, $\mathbb{Q}_\ell \rightsquigarrow \mathbb{Q}$, and $\ell^r \rightsquigarrow r$.

Item (P4') is clear and item (P5') follows from the long exact sequence associated to the coefficient sequence $0 \rightarrow \mathbb{Z}(1) \xrightarrow{\times r} \mathbb{Z}(1) \rightarrow \mathbb{Z}/r(1) \rightarrow 0$; functoriality of the Bockstein sequence with respect to pullbacks and pushforwards in Borel–Moore (co-)homology are well-known and left to the reader.

For property (P6'), note that in the direct limit (4.2) it suffices to run through regular (Zariski) open subsets $V := V_x$ of the closure of x in X . In this case, $H^i(V, A(n))$ identifies to singular cohomology and so the exponential sequence yields a map $H^0(V_{\text{an}}, \mathcal{O}_{V_{\text{an}}}^*) \rightarrow H^1(V, \mathbb{Z}(1))$. Taking direct limits, and using that algebraic functions are holomorphic, we get a map $\epsilon : \kappa(x)^* \rightarrow H^1(x, \mathbb{Z}(1))$. For any positive integer r , this induces by reduction modulo r a map

$$\bar{\epsilon} : \kappa(x)^* \rightarrow H^1(x, \mathbb{Z}/r(1))$$

and we need to prove that this is surjective.

Consider the following commutative diagram of sheaves on V_{an} (cf. [CV12, § 3.1]).

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{Z}(1) & \longrightarrow & \mathcal{O}_{V_{\text{an}}} & \xrightarrow{e^-} & \mathcal{O}_{V_{\text{an}}}^* \longrightarrow 0 \\ & & \downarrow e^{\frac{1}{r}-} & & \downarrow e^{\frac{1}{r}-} & & \downarrow = \\ 0 & \longrightarrow & \mu_r & \longrightarrow & \mathcal{O}_{V_{\text{an}}}^* & \xrightarrow{(-)^r} & \mathcal{O}_{V_{\text{an}}}^* \longrightarrow 0 \end{array}$$

The rows in this diagram are exact and we get a boundary map $\beta : H^0(V_{\text{an}}, \mathcal{O}_{V_{\text{an}}}^*) \rightarrow H^1(V, \mu_r)$. Taking the direct limit over all (Zariski) open dense $V \subset \overline{\{x\}}$ and restricting β to algebraic functions, we get a map $\bar{\beta} : \kappa(x)^* \rightarrow H^1(x, \mu_r)$. Commutativity of the above diagram shows that $\bar{\beta}$ identifies to $\bar{\epsilon}$ under the isomorphism $\mathbb{Z}/r(1) \rightarrow \mu_r, 1 \otimes (2\pi i) \mapsto e^{2\pi i/r}$. It thus suffices to show that $\bar{\beta}$ is surjective. This follows by comparing the sequence above with the Kummer sequence $0 \rightarrow \mu_r \rightarrow \mathbb{G}_m \rightarrow \mathbb{G}_m \rightarrow 0$ on the étale site $V_{\text{ét}}$ and using that $H^1(V_{\text{an}}, \mu_r) \simeq H^1(V_{\text{ét}}, \mu_r)$ (see, e.g., [Mil80, p. 117, III.3.12]) and

$$\varinjlim_{\emptyset \neq V \subset \overline{\{x\}}} H^1(V_{\text{ét}}, \mathbb{G}_m) = 0,$$

because $H^1(V_{\text{ét}}, \mathbb{G}_m) \simeq \text{Pic}(V)$ by Grothendieck's Hilbert theorem 90. We have thus shown that $\bar{\epsilon}$ is surjective. Finally, let $X \in \mathcal{V}$ integral with generic point η and a regular point $x \in X^{(1)}$. We claim that the natural composition

$$\kappa(\eta)^* \xrightarrow{\epsilon} H^1(\eta, \mathbb{Z}(1)) \xrightarrow{\partial} H^0(x, \mathbb{Z}(0)) = [x]\mathbb{Z},$$

where ∂ is induced by (P2'), maps f to $[x](-\nu_x(f))$, where ν_x denotes the valuation on $\kappa(\eta)$ induced by x . It suffices to check this modulo an arbitrary prime power, which, thanks to the comparison between étale cohomology and singular cohomology with finite coefficients (see [Mil80, p. 117, III.3.12]), follows from step 5 in the proof of Proposition 6.6. This concludes the proof of (P6').

Finally, property (P7.1') is well-known in the case where X is smooth projective and follows for arbitrary smooth X by choosing a smooth compactification (using resolution of singularities) by a similar argument as in step 6 of the proof of Proposition 6.6. This concludes the proof of the proposition. \square

7. Comparison theorems to algebraic cycles

In this section, we fix a prime ℓ and an ℓ -adic twisted Borel–Moore cohomology theory $H^*(-, A(n))$ on a constructible category of Noetherian schemes \mathcal{V} with coefficients in a full subcategory $\mathcal{A} \subset \text{Mod}_{\mathbb{Z}_\ell}$, see Definition 4.4. In particular, (P1)–(P6) hold true. The main result is that this set-up allows us to compute several cycle groups efficiently.

7.1 ℓ -adic Chow groups

We use the notation $\text{CH}^i(X)_{\mathbb{Z}_\ell} := \text{CH}^i(X) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$.

LEMMA 7.1. *For any $X \in \mathcal{V}$, there is a canonical isomorphism*

$$\text{CH}^i(X)_{\mathbb{Z}_\ell} \simeq \frac{\bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell}}{\text{im} \left(\bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \xrightarrow{\epsilon} \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\partial \circ \iota_*} \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell} \right)},$$

where ϵ is induced by the map from (P6), and where $\partial \circ \iota_*$ denotes the composition

$$\bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\iota_*} H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(1)) \xrightarrow{\partial} \bigoplus_{x \in X^{(i)}} H^0(x, \mathbb{Z}_\ell(0)) = \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell},$$

where ι_* and ∂ are induced by (P2) and the last equality uses (P3).

Proof. We recall our convention that for a Noetherian scheme X , $X^{(j)}$ denotes the set of points $x \in X$ of dimension $\dim(\overline{\{x\}}) = \dim(X) - j$. In particular, $\text{CH}^i(X)_{\mathbb{Z}_\ell}$ is the quotient of $\bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell}$ by the \mathbb{Z}_ℓ -submodule generated by cycles that are given by the pushforward of a principal divisor on the normalization of some subvariety $W \subset X$ with $\dim W = \dim X - i + 1$. The lemma therefore follows directly from Lemma 5.12 and the second part of (P6). This concludes the proof. \square

In view of Lemma 7.1, it is natural to make the following definition.

DEFINITION 7.2. For $X \in \mathcal{V}$, we define

$$A^i(X)_{\mathbb{Z}_\ell} := \frac{\bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell}}{\text{im} \left(\bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\partial \circ \iota_*} \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell} \right)}.$$

By Lemma 7.1, there is a canonical surjection

$$\text{CH}^i(X)_{\mathbb{Z}_\ell} \twoheadrightarrow A^i(X)_{\mathbb{Z}_\ell}.$$

We compute the kernel of this surjection in Lemma 7.4.

7.2 Cycle class maps and coniveau filtration

By Corollary 5.10, $H^{2i}(X, \mathbb{Z}_\ell(i)) \simeq H^{2i}(F_i X, \mathbb{Z}_\ell(i))$. The Gysin sequence from Lemma 5.8 therefore yields a map

$$\iota_* : \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell} \longrightarrow H^{2i}(X, \mathbb{Z}_\ell(i)),$$

which is zero on the image of $\partial : \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \rightarrow \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell}$. It thus follows from Lemma 7.1 and Definition 7.2 that there is a well-defined cycle class map

$$\text{cl}_X^i : \text{CH}^i(X)_{\mathbb{Z}_\ell} \longrightarrow H^{2i}(X, \mathbb{Z}_\ell(i)), \tag{7.1}$$

which factors through the canonical surjection $\text{CH}^i(X)_{\mathbb{Z}_\ell} \twoheadrightarrow A^i(X)_{\mathbb{Z}_\ell}$. We then define

$$\text{CH}_0^i(X)_{\mathbb{Z}_\ell} := \ker(\text{cl}_X^i).$$

As the category \mathcal{V} is constructible, $Z \in \mathcal{V}$ for any closed subscheme $Z \subset X$. Using this, we can define the coniveau filtration N^* on $\text{CH}^i(X)_{\mathbb{Z}_\ell}$ as follows.

DEFINITION 7.3. A class $z \in \text{CH}^i(X)_{\mathbb{Z}_\ell}$ has coniveau j , i.e. $z \in N^j \text{CH}^i(X)_{\mathbb{Z}_\ell}$, if and only if it is homologically trivial on a closed subscheme of codimension j . More precisely, $z \in N^j \text{CH}^i(X)_{\mathbb{Z}_\ell}$ if and only if there is a closed subscheme $\iota : Z \hookrightarrow X$ with $j = \dim X - \dim Z$ and a cycle $z' \in \text{CH}_0^{i-j}(Z)_{\mathbb{Z}_\ell}$ with $z = \iota_* z' \in \text{CH}^i(X)_{\mathbb{Z}_\ell}$.

For the case when X is not equi-dimensional, we recall from §2 that $X^{(i)} := X_{(d-i)}$ and so $\text{CH}^i(X)_{\mathbb{Z}_\ell}$ is the group of ℓ -adic cycles of dimension $d - i$, where $d = \dim X$.

The coniveau filtration N^* on $\text{CH}^i(X)_{\mathbb{Z}_\ell}$ is of the following form

$$N^i = 0 \subset N^{i-1} \subset N^{i-2} \subset \dots \subset N^1 \subset N^0 = \text{CH}_0^i(X)_{\mathbb{Z}_\ell} \subset \text{CH}^i(X)_{\mathbb{Z}_\ell}.$$

This definition is related to the groups $A^i(X)_{\mathbb{Z}_\ell}$ from Definition 7.2, as follows.

LEMMA 7.4. Let $X \in \mathcal{V}$. Then $A^i(X)_{\mathbb{Z}_\ell} = \text{CH}^i(X)_{\mathbb{Z}_\ell} / N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}$.

Proof. We need to show that a codimension- i cycle on X has coniveau $i - 1$ if and only if it is represented by a cycle in

$$\text{im} \left(\bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\partial \circ \iota_*} \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell} \right). \tag{7.2}$$

For $Z \in \mathcal{V}$ the Gysin sequence (P2) yields a residue map

$$\partial : H^1(F_0 Z, \mathbb{Z}_\ell(1)) \longrightarrow \bigoplus_{z \in Z^{(1)}} [z]_{\mathbb{Z}_\ell}.$$

The compatibility of the Gysin sequence with proper pushforwards yields the following commutative diagram.

$$\begin{array}{ccc} H^1(F_0 Z^{\text{red}}, \mathbb{Z}_\ell(1)) & \longrightarrow & \bigoplus_{z \in (Z^{\text{red}})^{(1)}} [z]_{\mathbb{Z}_\ell} \\ \downarrow & & \downarrow = \\ H^1(F_0 Z, \mathbb{Z}_\ell(1)) & \longrightarrow & \bigoplus_{z \in Z^{(1)}} [z]_{\mathbb{Z}_\ell} \end{array}$$

Here Z^{red} denotes the reduced scheme that underlies Z . If $Z \subset X$ is closed so that $U = X \setminus Z$ satisfies $\dim U = \dim X$, then the comparison of the Gysin sequences for the pairs (X, Z) and (X, Z^{red}) shows by the five lemma that the pushforward map $H^i(Z^{\text{red}}, A(n)) \rightarrow H^i(Z, A(n))$ is an isomorphism. This argument remains valid if we replace Z by some dense open subset $Z^\circ \subset Z$ and X by $X \setminus (Z \setminus Z^\circ)$. It follows that in the above diagram the vertical arrow on the left is an isomorphism for all $Z \subset X$ closed with $\dim(X \setminus Z) = \dim X$. The image in (7.2) therefore

agrees with

$$\text{im} \left(\bigoplus_{Z \subset X} H^1(F_0 Z, \mathbb{Z}_\ell(1)) \xrightarrow{\partial \circ \iota_*} \bigoplus_{x \in X^{(i)}} [x] \mathbb{Z}_\ell \right),$$

where $Z \subset X$ runs through all closed subschemes $Z \subset X$ with $i - 1 = \dim X - \dim Z$ and $\iota : Z \hookrightarrow X$ denotes the inclusion.

Let us now fix a subscheme $Z \subset X$ with $i - 1 = \dim X - \dim Z$. As the Gysin sequence (P2) is functorial with respect to proper pushforwards, we get from Lemma 5.8 the following commutative diagram with exact rows.

$$\begin{CD} H^1(F_0 Z, \mathbb{Z}_\ell(1)) @>\partial>> \bigoplus_{z \in Z^{(1)}} [z] \mathbb{Z}_\ell @>\text{cl}_Z^1>> H^2(Z, \mathbb{Z}_\ell(1)) \\ @VV\iota_*V @VV\iota_*V @VV\iota_*V \\ H^{2i-1}(F_{i-1} X, \mathbb{Z}_\ell(i)) @>\partial>> \bigoplus_{x \in X^{(i)}} [x] \mathbb{Z}_\ell @>\text{cl}_X^i>> H^{2i}(X, \mathbb{Z}_\ell(i)) \end{CD}$$

Exactness of the first row shows that the ℓ -adic cycles that are homologically trivial on Z are exactly those in the image of ∂ in the left upper corner. This description together with the commutativity of the square on the left implies the lemma. \square

By the above lemma, $A^i(X)_{\mathbb{Z}_\ell} = \text{CH}^i(X)_{\mathbb{Z}_\ell} / N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}$. The following lemma computes this quotient (and, hence, $N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}$) in the cases where (P7.1) and (P7.2) hold, respectively; the result is essentially due to Jannsen, see [Jan00, Lemmas 5.7 and 5.8] where it is proven $\otimes \mathbb{Q}_\ell$.

Before we state the next result, we refer the reader to [Ful98, §10.3] for the definition of algebraic equivalence of algebraic cycles.

LEMMA 7.5. *For $X \in \mathcal{V}$, the following holds:*

(i) *If (P7.1) holds, then*

$$N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell} = \text{CH}^i(X)_{\text{alg}} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \quad \text{and} \quad A^i(X)_{\mathbb{Z}_\ell} = (\text{CH}^i(X) / \sim_{\text{alg}}) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell.$$

(ii) *If (P7.2) holds, then $N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell} = 0$ and $A^i(X)_{\mathbb{Z}_\ell} = \text{CH}^i(X) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$.*

Proof. We aim to describe the image of

$$\bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\iota_*} H^{2i-1}(F_{i-1} X, \mathbb{Z}_\ell(1)) \xrightarrow{\partial} \bigoplus_{x \in X^{(i)}} [x] \mathbb{Z}_\ell.$$

By Lemma 5.12, the image is generated by the images of the maps

$$H^1(F_0 W', \mathbb{Z}_\ell(1)) \xrightarrow{\partial} \bigoplus_{w \in (W')^{(1)}} [w] \mathbb{Z}_\ell \xrightarrow{\tau_*} \bigoplus_{x \in X^{(i)}} [x] \mathbb{Z}_\ell,$$

where $W \subset X$ runs through all closed subvarieties of codimension $\dim X - \dim W = i - 1$ and $\tau : W' \rightarrow W$ denotes the normalization. By Lemma 5.8, the image of ∂ above is given by the kernel of

$$\iota_* : \bigoplus_{w \in (W')^{(1)}} [w] \mathbb{Z}_\ell \longrightarrow H^2(F_1 W', \mathbb{Z}_\ell(1)) \simeq H^2(W', \mathbb{Z}_\ell(1)), \tag{7.3}$$

where the last isomorphism is due to Corollary 5.10. Since W' is normal, it is regular in codimension one and so we may in (7.3) up to shrinking W' assume that W' is regular. The kernel of (7.3) then coincides with the \mathbb{Z}_ℓ -module spanned by algebraically trivial divisors on W' if (P7.1)

holds, and it coincides with the \mathbb{Z}_ℓ -module spanned by principal divisors if (P7.2) holds. This description proves the lemma. \square

7.3 The cokernel of the cycle class map

DEFINITION 7.6. For $X \in \mathcal{V}$, we define

$$Z^i(X)_{\mathbb{Z}_\ell} := \text{coker}(\text{cl}_X^i : \text{CH}^i(X)_{\mathbb{Z}_\ell} \longrightarrow H^{2i}(X, \mathbb{Z}_\ell(i))),$$

and $Z^i(X)[\ell^r] := Z^i(X)_{\mathbb{Z}_\ell}[\ell^r]$, where the cycle class map cl_X^i is from (7.1).

The following result generalizes Colliot-Thélène and Voisin’s computation of the failure of the integral Hodge conjecture for codimension-two cycles on smooth complex projective varieties from [CV12]. The argument follows the same lines as in §3 above.

THEOREM 7.7. For any $X \in \mathcal{V}$, there are canonical isomorphisms:

$$Z^i(X)[\ell^r] \simeq H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i}) / H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i)), \tag{7.4}$$

$$Z^i(X)[\ell^\infty] \simeq H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Q}_\ell / \mathbb{Z}_\ell(i)) / H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Q}_\ell(i)). \tag{7.5}$$

The image of $H^{2i}(X, \mathbb{Z}_\ell(i))[\ell^r] \rightarrow Z^i(X)[\ell^r]$ corresponds via the isomorphism in (7.4) to the subspace generated by the image of $H^{2i-1}(X, \mu_{\ell^r}^{\otimes i}) \rightarrow H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})$. Similarly, the image of $H^{2i}(X, \mathbb{Z}_\ell(i))[\ell^\infty] \rightarrow Z^i(X)[\ell^\infty]$ corresponds via the isomorphism in (7.5) to the subspace generated by the image of

$$H^{2i-1}(X, \mathbb{Q}_\ell / \mathbb{Z}_\ell(i)) \rightarrow H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Q}_\ell / \mathbb{Z}_\ell(i)).$$

Before we turn to the proof of the above theorem we need the following.

LEMMA 7.8. Let $X \in \mathcal{V}$. Then the natural map

$$\frac{H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))} \longrightarrow \frac{F^{i-1}H^{2i-1}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{F^{i-1}H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))} = \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}{H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}$$

is an isomorphism.

Proof. As the above map is clearly surjective, it suffices to show that it is injective. As

$$F^{i-1}H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i)) = \text{im}(H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)) \rightarrow H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))),$$

it thus suffices to show that any element

$$\alpha \in \ker(H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i}) \longrightarrow H^{2i-1}(F_{i-2}X, \mu_{\ell^r}^{\otimes i}))$$

satisfies

$$\delta(\alpha) = 0 \in H^{2i}(F_{i-1}X, \mathbb{Z}_\ell(i)),$$

which by (P5) implies that α lifts to an integral class. By Lemma 5.8, $\alpha = \iota_*\xi$ for some

$$\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mu_{\ell^r}^{\otimes 1}).$$

As δ commutes with ι_* by functoriality of the Bockstein sequence in (P5), we find $\delta(\alpha) = \iota_*(\delta(\xi))$. On the other hand,

$$\delta(\xi) \in \bigoplus_{x \in X^{(i-1)}} H^2(x, \mathbb{Z}_\ell(1))$$

is ℓ^r -torsion by property (P5), whereas the above direct sum is torsion-free by Lemma 5.13. Hence, $\delta(\alpha) = 0$, which concludes the proof of the lemma. \square

Proof of Theorem 7.7. By Lemma 5.8, we have an exact sequence

$$\bigoplus_{x \in X^{(i)}} [x] \mathbb{Z}_\ell \xrightarrow{\iota_*} H^{2i}(X, \mathbb{Z}_\ell(i)) \longrightarrow H^{2i}(F_{i-1}X, \mathbb{Z}_\ell(i)) \longrightarrow \bigoplus_{x \in X^{(i)}} H^1(x, \mathbb{Z}_\ell(0)).$$

By Lemma 5.13, the last term in this sequence is torsion-free and so

$$Z^i(X)[\ell^r] \simeq H^{2i}(F_{i-1}X, \mathbb{Z}_\ell(i))[\ell^r].$$

By property (P5), the Bockstein map thus induces an isomorphism

$$Z^i(X)[\ell^r] \simeq \frac{H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))}. \tag{7.6}$$

By Lemma 7.8, we then get a canonical isomorphism

$$Z^i(X)[\ell^r] \simeq \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}{H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))},$$

which proves (7.4).

Now let $\alpha \in H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})$ with image

$$[\alpha] \in \frac{H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))}.$$

By Corollary 5.10, $H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i}) \simeq H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})$ and so $[\alpha]$ lifts to $F^i H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})$ if and only if $\delta(\alpha) \in H^{2i}(F_{i-1}X, \mathbb{Z}_\ell(i))[\ell^r]$ lifts to an ℓ^r -torsion class in $H^{2i}(X, \mathbb{Z}_\ell(i))$. Hence, the image of the ℓ^r -torsion classes $H^{2i}(X, \mathbb{Z}_\ell(i))[\ell^r]$ inside $Z^i(X)[\ell^r]$ correspond via (7.6) to the subspace

$$\frac{F^i H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))} \subset \frac{H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))},$$

where we recall that $F^i H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i}) \subset H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})$ is the image of $H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})$, see Corollary 5.10. Combining this with the isomorphism in Lemma 7.8, we find that the image of $H^{2i}(X, \mathbb{Z}_\ell(i))[\ell^r] \rightarrow Z^i(X)[\ell^r]$ corresponds via the isomorphism in (7.4) to the subspace generated by the image of $H^{2i-1}(X, \mu_{\ell^r}^{\otimes i}) \rightarrow H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})$, as claimed.

By (P4), the identity (7.5) as well as the assertion on the image of $H^{2i}(X, \mathbb{Z}_\ell(i))[\ell^\infty] \rightarrow Z^i(X)[\ell^\infty]$ follow from what we have proven above by taking direct limits over r . This concludes the proof of the theorem. \square

The proof has the following consequence, that we want to record here.

COROLLARY 7.9. *Let $X \in \mathcal{V}$ and $\alpha \in H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})$. Then*

$$\delta(\alpha) \in \text{im}(H^{2i}(X, \mathbb{Z}_\ell(i)) \rightarrow H^{2i}(F_{i-1}X, \mathbb{Z}_\ell(i))).$$

Proof. By Lemma 5.8, we have an exact sequence

$$\bigoplus_{x \in X^{(i)}} [x] \mathbb{Z}_\ell \xrightarrow{\iota_*} H^{2i}(X, \mathbb{Z}_\ell(i)) \longrightarrow H^{2i}(F_{i-1}X, \mathbb{Z}_\ell(i)) \longrightarrow \bigoplus_{x \in X^{(i)}} H^1(x, \mathbb{Z}_\ell(0)).$$

For any $\alpha \in H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})$, the class $\delta(\alpha) \in H^{2i}(F_{i-1}X, \mathbb{Z}_\ell(i))$ is torsion and so Lemma 5.13 implies that it maps to zero in $\bigoplus_{x \in X^{(i)}} H^1(x, \mathbb{Z}_\ell(0))$. \square

7.4 The ℓ -adic Griffiths group

Recall from (7.1) that the cycle class map $\text{cl}_X^i : \text{CH}^i(X)_{\mathbb{Z}_\ell} \rightarrow H^{2i}(X, \mathbb{Z}_\ell(i))$ factorizes through $A^i(X)_{\mathbb{Z}_\ell}$ from Definition 7.2. We denote the induced cycle class map on $A^i(X)_{\mathbb{Z}_\ell}$ by $\widetilde{\text{cl}}_X^i$.

DEFINITION 7.10. For $X \in \mathcal{V}$, we define

$$A_0^i(X)_{\mathbb{Z}_\ell} := \ker(\widetilde{\text{cl}}_X^i : A^i(X)_{\mathbb{Z}_\ell} \longrightarrow H^{2i}(X, \mathbb{Z}_\ell(i))).$$

By Lemma 7.5, $A_0^i(X)_{\mathbb{Z}_\ell}$ coincides with the ℓ -adic Griffiths group of homologically trivial \mathbb{Z}_ℓ -cycles modulo algebraic equivalence if (P7.1) holds, whereas it is given by the kernel of the cycle class map $\text{CH}^i(X)_{\mathbb{Z}_\ell} \rightarrow H^{2i}(X, \mathbb{Z}_\ell(i))$ if (P7.2) holds.

Using the definition of $A^i(X)_{\mathbb{Z}_\ell}$ from §7.1, we get

$$A_0^i(X)_{\mathbb{Z}_\ell} = \frac{\ker(\iota_* : \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell} \longrightarrow H^{2i}(X, \mathbb{Z}_\ell(i)))}{\text{im}(\partial \circ \iota_* : \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \longrightarrow \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell})}. \tag{7.7}$$

The following result is motivated by Bloch and Ogus' computation of the second Griffiths group of a smooth complex projective variety in [BO74, (7.5)].

PROPOSITION 7.11. For $X \in \mathcal{V}$, there is a canonical isomorphism

$$A_0^i(X)_{\mathbb{Z}_\ell} \simeq H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i)) / H^{2i-1}(X, \mathbb{Z}_\ell(i)).$$

Proof. By Lemma 5.8 and Corollary 5.10, we have exact sequences

$$H^{2i-1}(X, \mathbb{Z}_\ell(i)) \longrightarrow H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)) \xrightarrow{\partial} \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell} \xrightarrow{\iota_*} H^{2i}(X, \mathbb{Z}_\ell(i))$$

and

$$\bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\iota_*} H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)) \longrightarrow H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i)).$$

This shows by (7.7) that $A_0^i(X)_{\mathbb{Z}_\ell}$ is isomorphic to

$$\text{im} \left(\frac{H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))} \longrightarrow \frac{H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))} \right),$$

which proves the proposition by definition of $H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))$. □

Combining Theorem 7.7 and Proposition 7.11, we obtain the following.

COROLLARY 7.12. Let $X \in \mathcal{V}$. Then there is a canonical short exact sequence

$$0 \longrightarrow A_0^i(X)_{\mathbb{Z}_\ell} \otimes \mathbb{Z}/\ell^r \longrightarrow \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})} \longrightarrow \frac{Z^i(X)[\ell^r]}{H^{2i}(X, \mathbb{Z}_\ell(i))[\ell^r]} \longrightarrow 0.$$

Proof. By Proposition 7.11, there is an exact sequence

$$A_0^i(X)_{\mathbb{Z}_\ell} \otimes \mathbb{Z}/\ell^r \longrightarrow \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})} \longrightarrow \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}{H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i)) \oplus H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})} \longrightarrow 0.$$

By Theorem 7.7, $Z^i(X)[\ell^r] \simeq H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i}) / H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))$. One checks that the natural map $H^{2i-1}(X, \mu_{\ell^r}^{\otimes i}) \rightarrow Z^i(X)[\ell^r]$ is induced by the Bockstein morphism and so its image coincides with the image of the natural map $H^{2i}(X, \mathbb{Z}_\ell(i))[\ell^r] \rightarrow Z^i(X)[\ell^r]$. We thus obtain an exact sequence

$$A_0^i(X)_{\mathbb{Z}_\ell} \otimes \mathbb{Z}/\ell^r \longrightarrow \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})} \longrightarrow \frac{Z^i(X)[\ell^r]}{H^{2i}(X, \mathbb{Z}_\ell(i))[\ell^r]} \longrightarrow 0 \tag{7.8}$$

and it remains to show that the first arrow is injective. For this, let $z \in \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell}$ with $\iota_* z = 0$ and let $[z] \in A_0^i(X)_{\mathbb{Z}_\ell}$. By Lemma 5.8, there is a class $\alpha \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$ with $\partial \alpha = z$.

The map in question sends $[z]$ to the image of α in

$$\frac{F^{i-1}H^{2i-1}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})} = \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}.$$

If this vanishes, then there is a class $\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mu_{\ell^r}^{\otimes 1})$ such that

$$\partial(\bar{\alpha} + \iota_*\xi) = 0 \in \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}/\ell^r,$$

where $\bar{\alpha}$ denotes the image of α in $H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})$. By property (P6), we can pick a lift $\xi' \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$ of ξ and find that

$$\partial(\alpha + \iota_*\xi') \in \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell$$

is zero modulo ℓ^r . The above cycle and $z = \partial\alpha$ have the same class in $A^i(X)_{\mathbb{Z}_\ell}$ and so z has trivial image in $A_0^i(X)_{\mathbb{Z}_\ell}/\ell^r$. This shows that the first map in (7.8) is injective and so the exact sequence from the corollary follows. This concludes the proof. \square

The following result gives a geometric interpretation of the extension

$$E_{\ell^r}^i := \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}{H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})}$$

from Corollary 7.12.

LEMMA 7.13. *For $X \in \mathcal{V}$, there is a canonical isomorphism*

$$E_{\ell^r}^i(X) \simeq \ker(\text{cl}_X^i : A^i(X)_{\mathbb{Z}_\ell}/\ell^r \longrightarrow H^{2i}(X, \mu_{\ell^r}^{\otimes i})),$$

where cl_X^i denotes by reduction modulo ℓ^r of the cycle class map $\tilde{\text{cl}}_X^i : A^i(X)_{\mathbb{Z}_\ell} \rightarrow H^{2i}(X, \mathbb{Z}_\ell(i))$.

Proof. By Lemma 5.8, we have exact sequences

$$H^{2i-1}(X, \mu_{\ell^r}^{\otimes i}) \longrightarrow H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i}) \xrightarrow{\partial} \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}/\ell^r \xrightarrow{\iota_*} H^{2i}(X, \mu_{\ell^r}^{\otimes i})$$

and

$$\bigoplus_{x \in X^{(i-1)}} H^1(\kappa(x), \mu_{\ell^r}^{\otimes 1}) \xrightarrow{\iota_*} H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i}) \longrightarrow H^{2i-1}(F_{i-2}X, \mu_{\ell^r}^{\otimes i}).$$

Combining these two sequences, we find that $E_{\ell^r}^i(X)$ is isomorphic to

$$\text{coker} \left(\partial \circ \iota_* : \bigoplus_{x \in X^{(i-1)}} H^1(\kappa(x), \mu_{\ell^r}^{\otimes 1}) \longrightarrow \ker \left(\iota_* : \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}/\ell^r \rightarrow H^{2i}(X, \mu_{\ell^r}^{\otimes i}) \right) \right).$$

By (P5) and (P6), $H^1(\kappa(x), \mathbb{Z}_\ell(1))/\ell^r \simeq H^1(\kappa(x), \mu_{\ell^r}^{\otimes 1})$ and so the above cokernel injects into

$$A^i(X)_{\mathbb{Z}_\ell}/\ell^r = \text{coker} \left(\bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\partial \circ \iota_*} \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell \right) / \ell^r,$$

cf. Definition 7.2. Moreover, a class $z \in A^i(X)_{\mathbb{Z}_\ell}$ with reduction $[z] \in A^i(X)_{\mathbb{Z}_\ell}/\ell^r$ satisfies $[z] \in E_{\ell^r}^i$ if and only if

$$\tilde{\text{cl}}_X^i(z) \in \ker(H^{2i}(X, \mathbb{Z}_\ell(i)) \rightarrow H^{2i}(X, \mathbb{Z}_\ell(i))/\ell^r)$$

where we use that $H^{2i}(X, \mathbb{Z}_\ell(i))/\ell^r \hookrightarrow H^{2i}(X, \mu_{\ell^r}^{\otimes i})$ by (P5). This concludes the proof of the lemma, because

$$H^{2i}(X, \mathbb{Z}_\ell(i))/\ell^r \longrightarrow H^{2i}(X, \mu_{\ell^r}^{\otimes i})$$

is injective by (P5). □

7.5 A transcendental Abel–Jacobi map on torsion cycles

We write for simplicity $A_0^i(X)[\ell^r] := A_0^i(X)_{\mathbb{Z}_\ell}[\ell^r]$, where $A_0^i(X)_{\mathbb{Z}_\ell}$ denotes the kernel of the cycle class map $\widetilde{\text{cl}}_X^i : A^i(X)_{\mathbb{Z}_\ell} \rightarrow H^{2i}(X, \mathbb{Z}_\ell(i))$, see Definition 7.10.

In this section, we show that there is a canonical map

$$\lambda_{\text{tr}}^i : A_0^i(X)[\ell^\infty] \longrightarrow \frac{H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))}, \tag{7.9}$$

where we recall that $N^j H^i(X, A(n)) = \ker(H^i(X, A(n)) \rightarrow H^i(F_{j-1}X, A(n)))$. Our result is motivated by Bloch’s Abel–Jacobi map on $\text{CH}^i(X)[\ell^\infty]$ constructed in [Blo79] in the case where X is smooth projective over an algebraically closed field. We compare the two constructions in § 8. We show that for $k = \bar{k}$ and when H^* denotes Borel–Moore pro-étal cohomology (see Proposition 6.6), then the above map is the transcendental Abel–Jacobi map on torsion cycles, i.e. the smallest quotient of Bloch’s map that descends to a map on

$$A_0^i(X)[\ell^\infty] = \frac{N^0 \text{CH}^i(X)_{\mathbb{Z}_\ell}}{N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}}[\ell^\infty].$$

(The adjective transcendental stems from the fact that $N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell} = \text{CH}^i(X)_{\text{alg}} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$ is the space of algebraically trivial ℓ -adic cycles in this case.) We call the above map the transcendental Abel–Jacobi map, regardless of the ground field and the Borel–Moore cohomology theory chosen.

Lemma 5.8 and Corollary 5.10, we have an exact sequence

$$H^{2i-1}(X, \mathbb{Z}_\ell(i)) \longrightarrow H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)) \xrightarrow{\partial} \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell} \xrightarrow{\iota_*} H^{2i}(X, \mathbb{Z}_\ell(i)). \tag{7.10}$$

Now let $[z] \in A_0^i(X)[\ell^r]$ for some r and some $z \in \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell}$. Then $\iota_* z = \widetilde{\text{cl}}_X^i(z) = 0$ by the definition of $\widetilde{\text{cl}}_X^i$ and so we may choose a lift $\alpha \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$ via (7.10). This is well-defined up to classes that come from $H^{2i-1}(X, \mathbb{Z}_\ell(i))$. As $[z]$ is ℓ^r -torsion, (7.7) implies that $\partial(\ell^r \alpha - \iota_* \xi) = 0$ for some $\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$. Hence, there is a class $\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$ with

$$\beta = \ell^r \alpha - \iota_* \xi \in F^i H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)). \tag{7.11}$$

By (P4), $\beta/\ell^r \in H^{2i-1}(X, \mathbb{Q}_\ell(i))$. Using functoriality of $H^*(-, A(n))$ in the coefficients, we may consider the image of that class in $H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$ and define (7.9) via

$$\lambda_{\text{tr}}^i([z]) := [\beta/\ell^r]. \tag{7.12}$$

LEMMA 7.14. *The map λ_{tr}^i given by (7.12) is well-defined.*

Proof. Let us first fix a representative z of $[z]$. Then $\lambda_{\text{tr}}^i([z])$ does not depend on the choice of α , as this would change β by a class in $\ell^r \cdot H^{2i-1}(X, \mathbb{Z}_\ell(i))$. In addition, the class ξ is well-defined up to classes $\zeta \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$ with $\partial(\iota_* \zeta) = 0$. This changes β by

$$\iota_* \zeta \in F^i H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)) \simeq H^{2i-1}(X, \mathbb{Z}_\ell(i))$$

and, hence, by a class in $N^{i-1}H^{2i-1}(X, \mathbb{Z}_\ell(i))$. In particular,

$$[\beta/\ell^r] \in \frac{H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))}$$

remains unchanged.

Finally, if we replace z by a cycle z' that represents the same class in $A_0^i(X)_{\mathbb{Z}_\ell}$, then, by (7.7), $z - z' = \partial \iota_* \zeta$ for some $\zeta \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$. However, then we can replace α by $\alpha - \iota_* \zeta$ and ξ by $\xi - \ell^r \cdot \zeta$, so that the class β does not change at all via this process. This proves the lemma. \square

The following alternative description of λ_{tr}^i is useful.

LEMMA 7.15. *Let $X \in \mathcal{V}$ and let $[z] \in A_0^i(X)_{\mathbb{Z}_\ell}$ be an ℓ^r -torsion class. By Proposition 7.11, $[z]$ is represented by a class $\alpha \in H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))$ such that $\ell^r \cdot \alpha$ lifts to a class $\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$. Then*

$$\lambda_{\text{tr}}^i([z]) = [\beta/\ell^r] \in \frac{H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))}.$$

Proof. By the proof of Proposition 7.11, α lifts to a class $\alpha' \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$ such that $\partial \alpha' = z \in \bigoplus_{x \in X^{(i)}} [x]_{\mathbb{Z}_\ell}$ is a representative of $[z] \in A_0^i(X)_{\mathbb{Z}_\ell}$. As $[z]$ is ℓ^r -torsion, the construction of λ_{tr}^i shows that there is a class $\beta' \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$ and $\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$ such that

$$\beta' = \ell^r \cdot \alpha' + \iota_* \xi$$

and

$$\lambda_{\text{tr}}^i([z]) = [\beta'/\ell^r] \in \frac{H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))}.$$

As β and β' both restrict to the same class on $F_{i-2}X$, we find that

$$\beta/\ell^r - \beta'/\ell^r \in N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i)).$$

Hence, $\lambda_{\text{tr}}^i([z]) = [\beta/\ell^r]$, which concludes the proof of the lemma. \square

7.6 The image of the transcendental Abel–Jacobi map on torsion cycles

For $X \in \mathcal{V}$ we write

$$H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))_{\text{div}} := \text{im}(H^i(X, \mathbb{Q}_\ell(n)) \rightarrow H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))),$$

which is a divisible subgroup of $H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))$; if the torsion subgroup of $H^{i+1}(X, \mathbb{Z}_\ell(n))$ is finitely generated, then $H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))_{\text{div}}$ is, in fact, the maximal divisible subgroup of $H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))$. For $j \geq 0$, we consider the coniveau filtration

$$N^j H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))_{\text{div}} := \ker(H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))_{\text{div}} \rightarrow H^i(F_{j-1}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))).$$

The following result computes the image of λ_{tr}^i . It is motivated by the description of the image of the Abel–Jacobi map on $\text{CH}^2(X)_{\text{tors}}$ for smooth projective varieties over algebraically closed fields, due to Bloch and Merkurjev and Suslin, see [MS83, § 18.4].

PROPOSITION 7.16. *For $X \in \mathcal{V}$, the transcendental Abel–Jacobi map λ_{tr}^i from Lemma 7.14 satisfies*

$$\text{im}(\lambda_{\text{tr}}^i) = \frac{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))_{\text{div}}}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))}.$$

Proof. Let $[z] \in A_0^i(X)[\ell^\infty]$ and let $\alpha \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$ with $\partial \alpha = z$. Assume that $\ell^r \cdot [z] = 0$. Then, as we have shown, there are classes $\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$ and

$\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$ such that (7.11) holds true. By definition, $\lambda_{\text{tr}}^i([z]) = [\beta/\ell^r]$ is the class represented by the image of $\beta/\ell^r \in H^{2i-1}(X, \mathbb{Q}_\ell(i))$. This shows, in particular,

$$\text{im}(\lambda_{\text{tr}}^i) \subset \frac{H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))_{\text{div}}}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))} = \frac{\text{im}(H^{2i-1}(X, \mathbb{Q}_\ell(i)) \rightarrow H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)))}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))}.$$

Next, note that $\iota_*\xi$ vanishes on $F_{i-2}X$ and so (7.11) implies that

$$[\beta/\ell^r] = [\alpha] = 0 \in H^{2i-1}(F_{i-2}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$$

because α is an integral class. Hence,

$$\text{im}(\lambda_{\text{tr}}^i) \subset \frac{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))_{\text{div}}}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))},$$

as desired.

Conversely, let

$$\gamma \in N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))_{\text{div}}.$$

By Lemma 5.8,

$$\gamma \in \text{im}\left(\bigoplus_{x \in X^{(i)}} H^1(x, \mathbb{Q}_\ell/\mathbb{Z}_\ell(1)) \rightarrow H^{2i-1}(F_{i-1}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))\right).$$

As $H^1(x, \mathbb{Q}_\ell(1)) \rightarrow H^1(x, \mathbb{Q}_\ell/\mathbb{Z}_\ell(1))$ is surjective by (P4) and (P6), we conclude (using again (P4)) that there is a class $\xi \in \bigoplus_{x \in X^{(i)}} H^1(x, \mathbb{Z}_\ell(1))$ and a positive integer r such that γ lifts to the class

$$\frac{1}{\ell^r} \cdot \iota_*\xi \in H^{2i-1}(F_{i-1}X, \mathbb{Q}_\ell(i)).$$

As γ lifts to a rational class by assumption, (P4) implies there is a class $\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$ and a positive integer r' such that γ lifts to $\beta/\ell^{r'} \in H^{2i-1}(X, \mathbb{Q}_\ell(i))$. Up to replacing r and r' by their maximum (and ξ , respectively β , by a suitable multiple), we may assume that $r = r'$. Then let

$$\alpha' := \beta/\ell^r - \iota_*\xi/\ell^r \in H^{2i-1}(F_{i-1}X, \mathbb{Q}_\ell(i)).$$

Note that the image of α' in $H^{2i-1}(F_{i-1}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$ vanishes. By (P4) and (P5), it follows that α' lifts to a class $\alpha \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$. We then find that there is a torsion class $\tau \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$ such that

$$\ell^r \alpha = \beta - \iota_*\xi + \tau \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)).$$

As τ is torsion, there is a positive integer s such that $\ell^s \cdot \tau = 0$ and we get

$$\ell^s \beta = \ell^{r+s} \alpha + \ell^s \iota_*\xi \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)).$$

Let $z := \partial\alpha$ with associated class $[z] \in A_0^i(X)$. Then the above identity shows that $[z]$ is ℓ^{r+s} -torsion with associated transcendental Abel–Jacobi invariant

$$\lambda_{\text{tr}}^i([z]) = [\ell^s \beta/\ell^{r+s}] = [\beta/\ell^r] = [\gamma] \in H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))/N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i)).$$

Hence, $[\gamma] \in \text{im}(\lambda_{\text{tr}}^i)$, which concludes the proof of the proposition. □

7.7 The kernel of the transcendental Abel–Jacobi map on torsion cycles

DEFINITION 7.17. For $X \in \mathcal{V}$, we define

$$\mathcal{T}^i(X)[\ell^\infty] := \ker \left(\lambda_{\text{tr}}^i : A_0^i(X)[\ell^\infty] \longrightarrow \frac{H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))} \right),$$

where λ_{tr}^i is the transcendental Abel–Jacobi map defined in § 7.5. We further let $\mathcal{T}^i(X)[\ell^r] \subset \mathcal{T}^i(X)[\ell^\infty]$ denote the subgroup of ℓ^r -torsion elements.

Recall the filtrations F^* and G^* from Definitions 5.3 and 5.4.

LEMMA 7.18. *Let $X \in \mathcal{V}$. Then*

$$G^i H_{i-3, \text{nr}}^{2i-2}(X, \mu_{\ell^r}^{\otimes i}) \subset H_{i-3, \text{nr}}^{2i-2}(X, \mu_{\ell^r}^{\otimes i})$$

is the subspace of classes $\alpha \in H_{i-3, \text{nr}}^{2i-2}(X, \mu_{\ell^r}^{\otimes i})$ that admit a lift $\alpha' \in H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$ such that $\delta(\alpha') \in H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))$ lifts to $H^{2i-1}(X, \mathbb{Z}_\ell(i))$.

Proof. This is an immediate consequence of the definition and the fact that

$$F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i)) = \text{im}(H^{2i-1}(X, \mathbb{Z}_\ell(i)) \rightarrow H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))),$$

because $H^{2i-1}(F_i X, \mathbb{Z}_\ell(i)) \simeq H^{2i-1}(X, \mathbb{Z}_\ell(i))$ by Corollary 5.10. □

The following result is motivated by [Voi12, Ma17], where $\mathcal{T}^3(X)[\ell^\infty]$ is computed for smooth projective varieties over $k = \mathbb{C}$.

THEOREM 7.19. *Let $X \in \mathcal{V}$ and assume that for any $x \in X$, $H^3(x, \mathbb{Z}_\ell(2))$ is torsion-free. Then there are canonical isomorphisms*

$$\mathcal{T}^i(X)[\ell^\infty] \simeq \frac{H^{2i-2}(F_{i-2}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{G^i H^{2i-2}(F_{i-2}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))} \simeq \frac{H_{i-3, \text{nr}}^{2i-2}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{G^i H_{i-3, \text{nr}}^{2i-2}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}.$$

This theorem is deduced from the following two propositions.

PROPOSITION 7.20. *For any $X \in \mathcal{V}$, there is a canonical isomorphism*

$$\mathcal{T}^i(X)[\ell^\infty] \simeq \frac{H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]}{F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]}.$$

Proof. Let $[z] \in A_0^i(X)[\ell^r]$ for some r . By construction and Lemma 7.14, $\lambda_{\text{tr}}^i([z]) = 0$ if and only if for some classes $\alpha \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$, $\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$, and $\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$ with $z = \partial\alpha$ and

$$\beta = \ell^r \alpha - \iota_* \xi \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)), \tag{7.13}$$

we have that $\beta/\ell^r \in H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$ admits a lift

$$\gamma \in N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i)) = \ker(H^{2i-1}(X, \mathbb{Q}_\ell(i)) \rightarrow H^{2i-1}(F_{i-2}X, \mathbb{Q}_\ell(i))).$$

This means that

$$\beta/\ell^r = \gamma + \epsilon \in H^{2i-1}(X, \mathbb{Q}_\ell(i))$$

for some $\epsilon \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$. Replacing α by $\alpha - \epsilon$, we may assume that $\epsilon = 0$ and so $\beta/\ell^r \in N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))$.

By Lemma 5.8, there is an exact sequence

$$\bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\iota_*} H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)) \xrightarrow{f} H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i)),$$

where f denotes the canonical restriction map. As $\beta/\ell^r \in N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))$, we find that $\lambda_{\text{tr}}^i([z]) = 0$ implies that the image $f(\alpha) \in H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))$ of α is torsion. We claim that the map

$$\varphi : \mathcal{T}^i(X)[\ell^\infty] \longrightarrow \frac{H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]}{F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]}, \quad [z] \mapsto \varphi([z]) := [f(\alpha)]$$

is well-defined. Here we emphasize that the class $\alpha \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$ used in the definition of $\varphi([z])$ is not an arbitrary representative that satisfies $\partial\alpha = z$, but it is chosen in such a way that β from (7.13) satisfies $\beta/\ell^r \in N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))$.

To prove that φ is well-defined, let us first fix z . Then the condition $\partial\alpha = z$ shows that α is unique up to classes in $H^{2i-1}(X, \mathbb{Z}_\ell(i))$ and so $[f(\alpha)]$ is independent of the choice of α for fixed z as we quotient out $F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]$ in the above formula. If z' and z have the same class in $A^i(X)_{\mathbb{Z}_\ell}$, then, by (7.7), $z - z' = \partial\iota_*\zeta$ for some $\zeta \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$. The class $\alpha' := \alpha - \iota_*\zeta$ then satisfies $\partial(\alpha - \iota_*\zeta) = z'$ and, by (7.13),

$$\beta = \ell^r \alpha' - \iota_*(\xi - \zeta) \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)).$$

As $\beta/\ell^r \in N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))$, we find that α' may be used to compute $\varphi([z'])$, that is, $\varphi([z']) = f(\alpha')$. By exactness of the above sequence, $f(\alpha') = f(\alpha)$ and so $\varphi([z']) = \varphi([z])$. This proves that φ is well-defined, as claimed. It remains to see that φ is an isomorphism.

To see that φ is injective, assume that in the above construction, $f(\alpha)$ lifts to a class in $H^{2i-1}(X, \mathbb{Z}_\ell(i))$. Then, by Lemma 5.8, there is a class $\epsilon \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$ such that

$$\alpha - \iota_*\epsilon \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$$

lifts to $H^{2i-1}(X, \mathbb{Z}_\ell(i))$. As $\partial\alpha$ and $\partial(\alpha - \iota_*\epsilon) = 0$ have the same image in $A_0^i(X)_{\mathbb{Z}_\ell}$, it follows that

$$[z] = [\partial\alpha] = [\partial(\alpha - \iota_*\epsilon)] = 0 \in A_0^i(X)_{\mathbb{Z}_\ell},$$

as desired.

Next, we claim that φ is surjective. For this, let $\gamma \in H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^r]$. Then

$$\partial\gamma \in \bigoplus_{x \in X^{(i-1)}} H^2(x, \mathbb{Z}_\ell(1))$$

is torsion and so it must vanish by Lemma 5.13. Hence, $\gamma = f(\alpha)$ for some $\alpha \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$. The cycle $z = \partial\alpha$ is then homologically trivial (i.e. lies in the kernel of cl_X^i) by exactness of (7.10). The class $[z] \in A_0^i(X)_{\mathbb{Z}_\ell}$ of z is ℓ^r -torsion, because $f(\ell^r\alpha) = 0$ and so $\ell^r\alpha = \iota_*\xi$ (and, hence, $\ell^r z = \partial\iota_*\xi$) for some $\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1))$. In particular, $0 = \ell^r\alpha - \iota_*\xi$ and so $\lambda_{\text{tr}}^i([z]) = 0$ by construction in § 7.5. Hence, $[z] \in \mathcal{T}^i(X)[\ell^r]$. By the previous definition of φ , we have $\varphi([z]) = f(\alpha) = \gamma$. This concludes the proof of the proposition. \square

PROPOSITION 7.21. *Let $X \in \mathcal{V}$ and assume that for any $x \in X$, $H^3(x, \mathbb{Z}_\ell(2))$ is torsion-free. Then the natural map*

$$\frac{H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{G^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})} \longrightarrow \frac{H_{i-3, \text{nr}}^{2i-2}(X, \mu_{\ell^r}^{\otimes i})}{G^i H_{i-3, \text{nr}}^{2i-2}(X, \mu_{\ell^r}^{\otimes i})}$$

is an isomorphism.

Proof. The map in question is surjective by definition.

Now let $\alpha \in H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$ so that the image $\alpha' \in H^{2i-2}(F_{i-3}X, \mu_{\ell^r}^{\otimes i})$ of α is contained in $G^i H_{i-3, \text{nr}}^{2i-2}(X, \mu_{\ell^r}^{\otimes i})$. By Lemma 7.18, this means that there is a lift $\alpha'' \in H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$ of α' such that

$$\delta(\alpha'') \in H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))$$

lifts to a class $\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$. Then $\alpha - \alpha''$ lies in the kernel of

$$H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i}) \longrightarrow H^{2i-2}(F_{i-3}X, \mu_{\ell^r}^{\otimes i}).$$

Lemma 5.8 thus implies that

$$\alpha - \alpha'' = \iota_* \xi \in H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$$

for some $\xi \in \bigoplus_{x \in X^{(i-2)}} H^2(x, \mu_{\ell^r}^{\otimes 2})$. The class

$$\delta(\iota_* \xi) = \iota_*(\delta(\xi)) \in \bigoplus_{x \in X^{(i-2)}} H^3(x, \mathbb{Z}_\ell(2))$$

is torsion by property (P5) and so it vanishes, because $H^3(x, \mathbb{Z}_\ell(2))$ is torsion-free by assumption. This shows that $\delta(\alpha) = \delta(\alpha'')$. As $\delta(\alpha'')$ extends to the class $\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$, the same holds for $\delta(\alpha)$ and so

$$\alpha \in G^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i}).$$

This proves that the map in question is injective, as desired. □

Remark 7.22. The torsion-freeness assumption in the proposition (respectively, in Theorem 7.19) will in all applications be satisfied by Merkurjev and Suslin’s theorem [MS83], i.e. by the Bloch–Kato conjecture in degree two, see Remark 5.14.

Proof of Theorem 7.19. We claim that the Bockstein map

$$\delta : H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i}) \longrightarrow H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))$$

from property (P5) induces an isomorphism

$$\frac{H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{G^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})} \simeq \frac{H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^r]}{F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^r]}. \tag{7.14}$$

By (P5), the image of δ is $H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^r]$ and so it suffices to show that

$$\delta^{-1}(F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^r]) = G^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i}),$$

which is exactly the definition of G^i (see Definition 5.4). This proves the claim. Taking direct limits and using (P4), we get an isomorphism

$$\frac{H^{2i-2}(F_{i-2}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{G^i H^{2i-2}(F_{i-2}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))} \simeq \frac{H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]}{F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]}.$$

The first isomorphism in Theorem 7.19 follows therefore from Proposition 7.20. The second isomorphism follows from Proposition 7.21 by taking direct limits. This concludes the proof of the theorem. □

COROLLARY 7.23. *For any $X \in \mathcal{V}$ there are subgroups $\mathcal{T}_0^i(X)[\ell^r] \subset \mathcal{T}^i(X)[\ell^r]$ with $\mathcal{T}^i(X)[\ell^\infty] = \bigcup_r \mathcal{T}_0^i(X)[\ell^r]$ and a canonical isomorphism*

$$\mathcal{T}_0^i(X)[\ell^r] \simeq \frac{H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{G^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})} \simeq \frac{H_{i-3, \text{nr}}^{2i-2}(X, \mu_{\ell^r}^{\otimes i})}{G^i H_{i-3, \text{nr}}^{2i-2}(X, \mu_{\ell^r}^{\otimes i})}.$$

Proof. By Proposition 7.20, there is a canonical isomorphism

$$\mathcal{T}^i(X)[\ell^\infty] \simeq \frac{H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]}{F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^\infty]}.$$

Using this isomorphism, we define

$$\mathcal{T}_0^i(X)[\ell^r] := \frac{H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^r]}{F^i H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))[\ell^r]}.$$

Hence, $\mathcal{T}_0^i(X)[\ell^r] \subset \mathcal{T}^i(X)[\ell^\infty]$ is a subgroup of ℓ^r -torsion elements and so $\mathcal{T}_0^i(X)[\ell^r] \subset \mathcal{T}^i(X)[\ell^r]$. Note also that $\mathcal{T}^i(X)[\ell^\infty] = \bigcup_r \mathcal{T}_0^i(X)[\ell^r]$. The corollary thus follows from (7.14) and Proposition 7.21. \square

For the final result of this subsection, we need the following definition, where for $X \in \mathcal{V}$ we let

$$\tilde{\delta} : H^i(X, \mu_{\ell^r}^{\otimes n}) \longrightarrow H^{i+1}(X, \mu_{\ell^r}^{\otimes n})$$

be the composition of the Bockstein map δ from (P5) with the reduction modulo ℓ^r map

$$H^{i+1}(X, \mathbb{Z}_\ell(n)) \longrightarrow H^{i+1}(X, \mu_{\ell^r}^{\otimes n})$$

given by functoriality in the coefficients.

DEFINITION 7.24. For any $X \in \mathcal{V}$, we define a decreasing filtration \tilde{G}^* on $H^i(F_j X, \mu_{\ell^r}^{\otimes n})$ by

$$\alpha \in \tilde{G}^m H^i(F_j X, \mu_{\ell^r}^{\otimes n}) \iff \tilde{\delta}(\alpha) \in F^m H^{i+1}(F_j X, \mu_{\ell^r}^{\otimes n}).$$

Moreover,

$$\tilde{G}^m H_{j,\text{nr}}^i(X, \mu_{\ell^r}^{\otimes n}) := \text{im}(\tilde{G}^m H^i(F_{j+1}X, \mu_{\ell^r}^{\otimes n}) \rightarrow H^i(F_j X, \mu_{\ell^r}^{\otimes n})).$$

It follows directly from the definition that $G^m H^i(F_j X, \mu_{\ell^r}^{\otimes n}) \subset \tilde{G}^m H^i(F_j X, \mu_{\ell^r}^{\otimes n})$.

PROPOSITION 7.25. For any $X \in \mathcal{V}$, the kernel of the canonical surjection

$$\mathcal{T}_0^i(X)[\ell^r] \simeq \frac{H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{G^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})} \twoheadrightarrow \frac{H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{\tilde{G}^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}$$

is given by all classes in $\mathcal{T}_0^i(X)[\ell^r]$ that are ℓ^r -divisible in $A^i(X)_{\mathbb{Z}_\ell}$.

Proof. By Proposition 7.11, there is a canonical isomorphism

$$A_0^i(X)_{\mathbb{Z}_\ell} \simeq H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i)) / H^{2i-1}(X, \mathbb{Z}_\ell(i)).$$

The natural inclusion

$$\mathcal{T}_0^i(X)[\ell^r] \hookrightarrow A_0^i(X)_{\mathbb{Z}_\ell}$$

corresponds via the isomorphism in Corollary 7.23 to the map

$$\frac{H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{G^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})} \longrightarrow \frac{H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))}, \quad [\alpha] \mapsto [\delta(\alpha)].$$

Here, the fact that $\delta(\alpha) \in H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))$ lies in $F^{i-1}H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))$ follows from Lemma 5.8, because $\delta(\alpha)$ is torsion whereas $\bigoplus_{x \in X^{(i-1)}} H^2(x, \mathbb{Z}_\ell(i))$ is torsion-free by Lemma 5.13.

Now let $[\alpha] \in \mathcal{T}_0^i(X)[\ell^r]$ with $\alpha \in H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$. As we have shown, the class

$$\delta(\alpha) \in H^{2i-1}(F_{i-2}X, \mathbb{Z}_\ell(i))$$

admits a lift

$$\delta(\alpha)' \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)). \tag{7.15}$$

By Lemma 5.8, the lift is unique up to classes coming from $\bigoplus_{x \in X^{(i-1)}} H^1(\kappa(x), \mathbb{Z}_\ell(1))$. Moreover, there is an exact sequence

$$H^{2i-1}(X, \mathbb{Z}_\ell(i)) \longrightarrow H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)) \xrightarrow{\partial} \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell.$$

The class

$$\partial(\delta(\alpha)') \in \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell$$

is unique up to an element of the image of

$$\partial \circ \iota_* : \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \longrightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell.$$

The cokernel of this map is isomorphic to $A^i(X)_{\mathbb{Z}_\ell}$, see Definition 7.2. As ∂ is trivial on classes that lift to $H^{2i-1}(X, \mathbb{Z}_\ell(i))$, we get a well-defined map

$$\frac{H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})}{G^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})} \longrightarrow A^i(X)_{\mathbb{Z}_\ell}, \quad [\alpha] \mapsto [\partial(\delta(\alpha)')].$$

This map identifies via the isomorphism in Corollary 7.23 to the inclusion $\mathcal{T}_0^i(X)[\ell^r] \hookrightarrow A^i(X)_{\mathbb{Z}_\ell}$.

Let us first assume that

$$[\partial(\delta(\alpha)')] \in A^i(X)_{\mathbb{Z}_\ell}$$

is divisible by ℓ^r . Then up to a suitable choice of the lift $\delta(\alpha)'$, we may assume that $\partial(\delta(\alpha)')$ is zero modulo ℓ^r . By Lemma 5.8, there is an exact sequence

$$H^{2i-1}(X, \mu_{\ell^r}^{\otimes i}) \longrightarrow H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i}) \xrightarrow{\partial} \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}/\ell^r.$$

We thus conclude that the reduction $\tilde{\delta}(\alpha)'$ modulo ℓ^r of $\delta(\alpha)'$ lifts to a class in $H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})$. As $\tilde{\delta}(\alpha)'$ is a lift of $\tilde{\delta}(\alpha)$, this implies $\alpha \in \tilde{G}^i H^{2i-1}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$.

Conversely, assume that $\alpha \in H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$ lies in $\tilde{G}^i H^{2i-2}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$. That is,

$$\tilde{\delta}(\alpha) \in H^{2i-1}(F_{i-2}X, \mu_{\ell^r}^{\otimes i})$$

lifts to a class in $H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})$. Consider the lift $\delta(\alpha)' \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$ of $\delta(\alpha)$ from above. The reduction $\overline{\delta(\alpha)'} \in H^{2i-1}(F_{i-1}X, \mu_{\ell^r}^{\otimes i})$ modulo ℓ^r of the lift $\delta(\alpha)'$ is a lift of $\tilde{\delta}(\alpha)$. As $\tilde{\delta}(\alpha)$ lifts to $H^{2i-1}(X, \mu_{\ell^r}^{\otimes i})$, Lemma 5.8 implies that there is a class

$$\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mu_{\ell^r}),$$

such that

$$\partial(\overline{\delta(\alpha)'} - \iota_* \xi) = 0 \in \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}/\ell^r.$$

As ξ lifts by (P6) to a class in $\bigoplus_{x \in X^{(i-1)}} H^1(\kappa(x), \mathbb{Z}_\ell(1))$, and because $\partial(\overline{\delta(\alpha)'})$ is the reduction modulo ℓ^r of $\partial(\delta(\alpha)')$, we conclude that

$$[\partial(\delta(\alpha)')] \in A^i(X)_{\mathbb{Z}_\ell}$$

is zero modulo ℓ^r . Hence, the class $[\alpha] \in \mathcal{T}_0^i(X)[\ell^r]$ is divisible by ℓ^r in $A^i(X)_{\mathbb{Z}_\ell}$, as we want. This concludes the proof of the proposition. \square

7.8 More on the coniveau filtration on Chow groups

Recall the coniveau filtration N^* on $\text{CH}^i(X)_{\mathbb{Z}_\ell}$ from Definition 7.3. By Lemma 7.4,

$$A^i(X)_{\mathbb{Z}_\ell} = \text{CH}^i(X)_{\mathbb{Z}_\ell} / N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell} \quad \text{and} \quad A_0^i(X)_{\mathbb{Z}_\ell} = N^0 \text{CH}^i(X)_{\mathbb{Z}_\ell} / N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}.$$

It follows that N^* induces a filtration on $A_0^i(X)_{\mathbb{Z}_\ell}$, given by

$$N^j A_0^i(X)_{\mathbb{Z}_\ell} = N^j \text{CH}^i(X)_{\mathbb{Z}_\ell} / N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}$$

for $0 \leq j \leq i - 1$. Note that $N^{i-1} A_0^i(X)_{\mathbb{Z}_\ell} = 0$. We thus have a finite decreasing filtration of the form

$$0 = N^{i-1} \subset N^{i-2} \subset N^{i-3} \subset \dots \subset N^1 \subset N^0 = A_0^i(X)_{\mathbb{Z}_\ell}.$$

Let $f : X \rightarrow Y$ be a proper morphism of schemes $X, Y \in \mathcal{V}$ and let $c = \dim Y - \dim X$. The definition of $A^i(X)_{\mathbb{Z}_\ell}$ and $A_0^i(X)_{\mathbb{Z}_\ell}$ (see Definitions 7.2 and 7.10) together with the functoriality of the Gysin sequence (P2) with respect to the pushforward maps from (P1) implies that there are natural pushforward maps

$$f_* : A^i(X)_{\mathbb{Z}_\ell} \longrightarrow A^{i+c}(Y)_{\mathbb{Z}_\ell} \quad \text{and} \quad f_* : A_0^i(X)_{\mathbb{Z}_\ell} \longrightarrow A_0^{i+c}(Y)_{\mathbb{Z}_\ell}.$$

Using these maps, we get the following description of the above filtration on $A_0^i(X)_{\mathbb{Z}_\ell}$.

LEMMA 7.26. *Let $X \in \mathcal{V}$. The coniveau filtration N^* on $A_0^i(X)_{\mathbb{Z}_\ell}$ is given by*

$$N^j A_0^i(X)_{\mathbb{Z}_\ell} = \text{im} \left(\varinjlim_{Z \subset X} A_0^{i-j}(Z)_{\mathbb{Z}_\ell} \rightarrow A_0^i(X)_{\mathbb{Z}_\ell} \right),$$

where $Z \subset X$ runs through all closed subschemes with $\dim Z = \dim X - j$.

The following lemma shows that the coniveau filtration on algebraic cycles is surprisingly well-behaved.

LEMMA 7.27. *For $X \in \mathcal{V}$, the canonical pushforward maps*

$$\varinjlim_{Z \subset X} N^0 \text{CH}^{i-j}(Z)_{\mathbb{Z}_\ell} \longrightarrow N^j \text{CH}^i(X)_{\mathbb{Z}_\ell} \quad \text{and} \quad \varinjlim_{Z \subset X} A_0^{i-j}(Z)_{\mathbb{Z}_\ell} \longrightarrow N^j A_0^i(X)_{\mathbb{Z}_\ell}$$

are isomorphisms, where $Z \subset X$ runs through all closed subschemes with $\dim Z = \dim X - j$.

Proof. Both pushforward maps in question are surjective by definition, cf. Lemma 7.26. Moreover, injectivity is trivial unless $0 \leq j \leq i - 1$, which we assume from now on.

We first prove injectivity of the first map. Let $z \in \text{CH}^{i-j}(Z)_{\mathbb{Z}_\ell}$ be a cycle that is rationally equivalent to zero on X . Then there is a closed subscheme $W \subset X$ with $i - 1 = \dim X - \dim W$ such that z is rationally equivalent to zero on $Z \cup W$. Since $j \leq i - 1$, we find that the subscheme $Z \cup W$ appears in the direct limit in question, which settles the injectivity of the first map in the lemma.

Injectivity of the second map is similar. \square

For the following proposition, recall the definition of the coniveau filtration N^* on refined unramified cohomology from Definition 5.2.

PROPOSITION 7.28. For $X \in \mathcal{V}$, the isomorphism from Proposition 7.11 induces an isomorphism

$$N^j A_0^i(X)_{\mathbb{Z}_\ell} \xrightarrow{\simeq} \frac{N^j H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{N^j H^{2i-1}(X, \mathbb{Z}_\ell(i))}.$$

Proof. By Proposition 7.11, there is a canonical isomorphism

$$A_0^i(X)_{\mathbb{Z}_\ell} \simeq H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i)) / H^{2i-1}(X, \mathbb{Z}_\ell(i)).$$

By Corollary 5.9, for any $0 \leq j \leq i - 1$, there is a canonical exact sequence

$$\varinjlim H_{i-j-2, \text{nr}}^{2(i-j)-1}(Z, \mathbb{Z}_\ell(i-j)) \xrightarrow{\iota_*} H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i)) \longrightarrow H_{j-1, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i)),$$

where the direct limit runs through all closed reduced subschemes $Z \subset X$ of dimension $\dim Z = \dim X - j$. Here the first map is induced by the pushforward map with respect to $Z \hookrightarrow X$ and the second map is the canonical restriction map.

The above sequence induces a sequence

$$\varinjlim \frac{H_{i-j-2, \text{nr}}^{2(i-j)-1}(Z, \mathbb{Z}_\ell(i-j))}{H^{2(i-j)-1}(Z, \mathbb{Z}_\ell(i-j))} \xrightarrow{\iota_*} \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))} \longrightarrow \frac{H_{j-1, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))},$$

and one directly checks that this sequence remains exact. By Proposition 7.11, the first arrow in this sequence identifies to the natural map

$$\varinjlim A_0^{i-j}(Z)_{\mathbb{Z}_\ell} \xrightarrow{\iota_*} A_0^i(X)_{\mathbb{Z}_\ell}.$$

It follows from the functoriality of the Gysin sequence with respect to proper pushforwards (see (P2)) that this map agrees with the pushforward of cycles induced by $Z \hookrightarrow X$. Hence, the image of the above map is given by $N^j A_0^i(X)_{\mathbb{Z}_\ell}$. The above exact sequence thus yields a canonical isomorphism

$$N^j A_0^i(X)_{\mathbb{Z}_\ell} \simeq \ker \left(\frac{H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))} \longrightarrow \frac{H_{j-1, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))} \right).$$

By the definition of the coniveau filtration (see Definition 5.2), we thus obtain

$$N^j A_0^i(X)_{\mathbb{Z}_\ell} \simeq \text{im} \left(N^j H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i)) \longrightarrow \frac{H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{H^{2i-1}(X, \mathbb{Z}_\ell(i))} \right).$$

The kernel of the above map is given by the image of $N^j H^{2i-1}(X, \mathbb{Z}_\ell(i))$ and so

$$N^j A_0^i(X)_{\mathbb{Z}_\ell} \simeq \frac{N^j H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))}{N^j H^{2i-1}(X, \mathbb{Z}_\ell(i))}$$

as desired. This concludes the proof of the proposition. □

7.9 Higher transcendental Abel–Jacobi mappings

The coniveau filtration N^* on $\text{CH}^i(X)_{\mathbb{Z}_\ell}$ induces a filtration N^* on

$$A_0^i(X)_{\mathbb{Z}_\ell} = N^0 \text{CH}^i(X)_{\mathbb{Z}_\ell} / N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}$$

and, hence, on the torsion subgroup $A_0^i(X)[\ell^\infty] \subset A_0^i(X)_{\mathbb{Z}_\ell}$. The goal of this section is to show that the graded pieces of this filtration are detected by higher Abel–Jacobi invariants. To this end it will be convenient to consider

$$\bar{J}_{\text{tr}}^i(X)[\ell^\infty] := H^{2i-1}(X, \mathbb{Q}_\ell / \mathbb{Z}_\ell(i)) / N^1 H^{2i-1}(X, \mathbb{Q}_\ell(i)).$$

Here we use a bar in our notation to emphasize that we are quotienting out $N^1 H^{2i-1}(X, \mathbb{Q}_\ell(i))$ and not $N^{i-1} H^{2i-1}(X, \mathbb{Q}_\ell(i))$, as in the construction of λ_{tr}^i in § 7.5. For $i \geq 2$, we have

$N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i)) \subset N^1H^{2i-1}(X, \mathbb{Q}_\ell(i))$ and so λ_{tr}^i induces a canonical map

$$\bar{\lambda}_{\text{tr}}^i : A_0^i(X)[\ell^\infty] \longrightarrow \bar{J}_{\text{tr}}^i(X)[\ell^\infty], \tag{7.16}$$

where we note that $A_0^i(X)_{\mathbb{Z}_\ell} = 0$ for $i \leq 1$ by Lemma 7.4.

DEFINITION 7.29. For $0 \leq j \leq i$, we define the j th higher transcendental ℓ^∞ -torsion intermediate Jacobian of X by

$$\bar{J}_{j,\text{tr}}^i(X)[\ell^\infty] := \varinjlim_{Z \subset X} \bar{J}_{\text{tr}}^{i-j}(Z)[\ell^\infty],$$

where $Z \subset X$ runs through all subschemes with $\dim Z = \dim X - j$.

It follows from Lemma 7.27 that the map $\bar{\lambda}_{\text{tr}}^{i-j}$ from (7.16), applied to the subschemes $Z \subset X$ with $\dim Z = \dim X - j$, yields in the limit a canonical higher Abel–Jacobi map

$$\bar{\lambda}_{j,\text{tr}}^i : N^j A_0^i(X)[\ell^\infty] \longrightarrow \bar{J}_{j,\text{tr}}^i(X)[\ell^\infty]. \tag{7.17}$$

Note that $\bar{J}_{0,\text{tr}}^i(X)[\ell^\infty] = \bar{J}_{\text{tr}}^i(X)[\ell^\infty]$ and $\bar{\lambda}_{0,\text{tr}}^i = \bar{\lambda}_{\text{tr}}^i$. The following theorem computes the kernel of $\bar{\lambda}_{j,\text{tr}}^i$

THEOREM 7.30. *Let $X \in \mathcal{V}$ and assume that the twisted ℓ -adic Borel–Moore cohomology theory H^* on \mathcal{V} has the property that for all $Z \subset X$ with $\dim Z = \dim X - j$, the group $H^{2(i-j)-1}(F_0 Z, \mathbb{Z}_\ell(i-j))$ is torsion-free. Then for any $j \geq 0$, we have $\ker(\bar{\lambda}_{j,\text{tr}}^i) = N^{j+1}A_0^i(X)[\ell^\infty]$.*

Proof. By Lemma 7.27 and the construction of $\bar{\lambda}_{j,\text{tr}}^i$ via direct limits, it suffices by induction to show that

$$\ker(\bar{\lambda}_{\text{tr}}^i) = N^1 A_0^i(X)[\ell^\infty].$$

To this end, let $[z] \in A_0^i(X)[\ell^\infty]$ and let $\alpha \in H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))$ be a representative of $[z]$ via the isomorphism in Proposition 7.11. Let $r \geq 1$ such that $\ell^r [z] = 0 \in A_0^i(X)[\ell^\infty]$. Then $\ell^r \cdot \alpha$ lifts to a class $\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$. By Lemma 7.15,

$$\bar{\lambda}_{\text{tr}}^i([z]) = [\beta/\ell^r] \in \frac{H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{N^1 H^{2i-1}(X, \mathbb{Q}_\ell(i))}.$$

Assume now that $\bar{\lambda}_{\text{tr}}^i([z]) = 0$. As $H^{2i-1}(F_0 X, \mathbb{Z}_\ell(i))$ is torsion-free by assumption, the preimage of $N^1 H^{2i-1}(X, \mathbb{Q}_\ell(i))$ via the natural map $H^{2i-1}(X, \mathbb{Z}_\ell(i)) \rightarrow H^{2i-1}(X, \mathbb{Q}_\ell(i))$ is given by $N^1 H^{2i-1}(X, \mathbb{Z}_\ell(i))$. Hence, (P4) and the assumption $[\beta/\ell^r] = 0$ implies that there is a class $\beta' \in N^1 H^{2i-1}(X, \mathbb{Z}_\ell(i))$ and a positive integer r' such that

$$\beta/\ell^r = \beta'/\ell^{r'} \in H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)).$$

Up to replacing r and r' by their maximum and β , respectively, β' by a suitable multiple, we may assume that $r = r'$. We then consider the class

$$\gamma := \beta - \beta' \in H^{2i-1}(X, \mathbb{Z}_\ell(i)).$$

As $r = r'$, $\gamma/\ell^r = 0 \in H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$. Hence, there is a class $\delta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$ and a torsion class $\tau \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$ with

$$\gamma = \ell^r \delta + \tau.$$

As τ is torsion, there is a positive integer s such that $\ell^s \tau = 0$. Hence,

$$\ell^s \beta = \ell^s \beta' + \ell^{r+s} \delta$$

is a lift of $\ell^{r+s}\alpha \in H_{i-2, \text{nr}}^{2i-1}(X, \mathbb{Z}_\ell(i))$. As $\beta' \in N^1$, we deduce that the image of $\ell^{r+s}\alpha$ in $H^{2i-1}(F_0X, \mathbb{Z}_\ell(i))$ agrees with the image of $\ell^{r+s}\delta$. Replacing α by $\alpha - \delta$ (which does not change the class $[z]$ that α represents, because $\partial\delta = 0$), we may assume that $\delta = 0$ and we find that the image of $\ell^{r+s}\alpha$ in $H^{2i-1}(F_0X, \mathbb{Z}_\ell(i))$ vanishes. The latter is torsion-free by assumption and so we conclude that the image of α in $H^{2i-1}(F_0X, \mathbb{Z}_\ell(i))$ vanishes. By Proposition 7.28, this implies $[z] \in N^1A_0^i(X)[\ell^\infty]$, as we want. This concludes the proof of the theorem. \square

Remark 7.31. The torsion-freeness condition in the theorem will in our applications be satisfied by the Bloch–Kato conjecture, proven by Voevodsky, see Remark 5.14.

7.10 The second piece of the coniveau filtration

In [Voi12, Ma17], Voisin and Ma showed that $\mathcal{T}^3(X)[\ell^\infty]$ is related to unramified cohomology up to an error term given by the torsion subgroup of $H^5(X, \mathbb{Z}_\ell(3))/N^2H^5(X, \mathbb{Z}_\ell(3))$. The next result shows that this error term is exactly what is captured by the G^* -filtration on traditional unramified $\mathbb{Q}_\ell/\mathbb{Z}_\ell$ -cohomology from Definition 5.4. In particular, the statement in Theorem 7.19 specializes in the case of codimension-three cycles on smooth complex projective varieties to the result in [Voi12, Ma17].

PROPOSITION 7.32. *For $X \in \mathcal{V}$, there is a canonical surjection*

$$\varphi : \left(\frac{H^i(X, \mathbb{Z}_\ell(n))}{N^2H^i(X, \mathbb{Z}_\ell(n))} \right)_{\text{tors}} \twoheadrightarrow \frac{G^{[i/2]}H_{0, \text{nr}}^{i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))}{H_{0, \text{nr}}^{i-1}(X, \mathbb{Q}_\ell(n))},$$

which maps the image of $H^i(X, \mathbb{Z}_\ell(n))_{\text{tors}}$ on the left onto the image of $H^{i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))$ on the right. If $H^{i-2}(x, \mathbb{Z}_\ell(n))$ is torsion-free for all $x \in X^{(1)}$, then φ is an isomorphism.

Remark 7.33. By Remark 5.14, Voevodsky’s proof of the Bloch–Kato conjecture implies that $H^{i-2}(x, \mathbb{Z}_\ell(i-3))$ is torsion-free for the cohomology theories in Propositions 6.6 and 6.9, so that the surjection in the above proposition will be an isomorphism for $n = i - 3$ in those cases, but we do not use this result in the remainder of this paper.

Proof of Proposition 7.32. Recall that $N^2H^i(X, \mathbb{Z}_\ell(n)) = \ker(H^i(X, \mathbb{Z}_\ell(n)) \rightarrow H^i(F_1X, \mathbb{Z}_\ell(n)))$. Hence,

$$\left(\frac{H^i(X, \mathbb{Z}_\ell(n))}{N^2H^i(X, \mathbb{Z}_\ell(n))} \right)_{\text{tors}} \xrightarrow{\cong} \text{Tors}(F^{[i/2]}H^i(F_1X, \mathbb{Z}_\ell(n))), \tag{7.18}$$

because $H^i(F_{[i/2]}X, \mathbb{Z}_\ell(n)) \simeq H^i(X, \mathbb{Z}_\ell(n))$ by Corollary 5.10.

By (P4) and exactness of the direct limit functor, the integral Bockstein sequence (P5) yields in the limit $r \rightarrow \infty$ a Bockstein sequence

$$\dots \longrightarrow H^i(X, \mathbb{Z}_\ell(n)) \longrightarrow H^i(X, \mathbb{Q}_\ell(n)) \longrightarrow H^i(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n)) \xrightarrow{\delta} H^{i+1}(X, \mathbb{Z}_\ell(n)) \longrightarrow \dots,$$

where by slight abuse of notation we still denote the boundary map by δ . By the description of \mathbb{Q}_ℓ -cohomology in (P4), the image of δ agrees with the torsion subgroup of $H^{i+1}(X, \mathbb{Z}_\ell(n))$. Using exactness of the direct limit functor once again, we find that the above sequence remains exact for F_jX in place of X . By the definition of G^* in Definition 5.4, δ therefore induces an exact sequence

$$H^{i-1}(F_1X, \mathbb{Q}_\ell(n)) \longrightarrow G^{[i/2]}H^{i-1}(F_1X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n)) \longrightarrow \text{Tors}(F^{[i/2]}H^i(F_1X, \mathbb{Z}_\ell(n))) \longrightarrow 0.$$

From this we conclude a canonical isomorphism

$$G^{[i/2]}H^{i-1}(F_1X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))/H^{i-1}(F_1X, \mathbb{Q}_\ell(n)) \xrightarrow{\cong} \text{Tors}(F^{[i/2]}H^i(F_1X, \mathbb{Z}_\ell(n)))$$

induced by δ . Combining this with (7.18), we get the surjection φ as claimed in the proposition.

It remains to analyze the kernel of the canonical map

$$\frac{G^{\lceil i/2 \rceil} H^{i-1}(F_1 X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))}{H^{i-1}(F_1 X, \mathbb{Q}_\ell(n))} \longrightarrow \frac{G^{\lceil i/2 \rceil} H^{i-1}(F_0 X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))}{H^{i-1}(F_1 X, \mathbb{Q}_\ell(n))}.$$

To this end, let $\alpha \in G^{\lceil i/2 \rceil} H^{i-1}(F_1 X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n))$ be a class that vanishes on $F_0 X$. By Lemma 5.8,

$$\alpha = \iota_* \xi, \quad \text{for some } \xi \in \bigoplus_{x \in X^{(1)}} H^{i-3}(x, \mathbb{Q}_\ell/\mathbb{Z}_\ell(n-1)).$$

If $H^{i-2}(x, \mathbb{Z}_\ell(n))$ is torsion-free for all $x \in X^{(1)}$, then $\delta(\xi) = 0$ and so $\delta(\alpha) = 0$ by functoriality of the Bockstein sequence (see (P5)). Hence, α lifts to a class in $H^{i-1}(F_1 X, \mathbb{Q}_\ell(n))$ and so it vanishes in the above quotient. This concludes the proof of the proposition. \square

Remark 7.34. A version of Proposition 7.32 has been proven independently by Ma [Ma22].

7.11 Comparison with Bloch–Ogus theory and to Kato homology

In this section, we define

$$E_2^{j,i+j}(X, A(n)) := \frac{\ker(\partial \circ \iota_* : \bigoplus_{x \in X^{(j)}} H^i(x) \rightarrow \bigoplus_{x \in X^{(j+1)}} H^{i-1}(x))}{\text{im}(\partial \circ \iota_* : \bigoplus_{x \in X^{(j-1)}} H^{i+1}(x) \rightarrow \bigoplus_{x \in X^{(j)}} H^i(x))}, \quad (7.19)$$

where $H^*(x)$ is short hand for $H^*(x, A(n-c))$, where $c = \text{codim}(x) = \dim X - \dim(\overline{\{x\}})$. If X is smooth and equi-dimensional over a field k and H^* satisfies the properties of Bloch–Ogus in [BO74, §1] (see, e.g., [BO74, §2]), then $E_2^{j,i+j}(X, A(n)) \simeq H^j(X, \mathcal{H}_X^{i+j}(A(n)))$ identifies by [BO74] to the j th cohomology of the Zariski sheaf associated to $U \mapsto H^{i+j}(U, A(n))$.

PROPOSITION 7.35. *For any $X \in \mathcal{V}$, there is a canonical long exact sequence*

$$\cdots \rightarrow H_{j-1, \text{nr}}^{i+2j-1}(X, A(n)) \rightarrow H_{j-2, \text{nr}}^{i+2j-1}(X, A(n)) \rightarrow E_2^{j,i+j}(X, A(n)) \rightarrow H_{j, \text{nr}}^{i+2j}(X, A(n)) \rightarrow \cdots$$

Proof. The result follows, as explained in §1.3, from the derived couple associated to the couple from Lemma 5.8. With the aim of making the involved maps explicit, we spell out the argument in some detail in what follows.

Let $[\xi] \in E_2^{j,i+j}(X, A(n))$ with $\xi \in \bigoplus_{x \in X^{(j)}} H^i(x)$ and $\partial \circ \iota_*(\xi) = 0$. By Lemma 5.8, the condition $\partial \circ \iota_*(\xi) = 0$ is equivalent to

$$\iota_* \xi \in F^{j+1} H^{2j+i}(F_j X).$$

If $\xi = \partial \circ \iota_*(\zeta)$ for some $\zeta \in \bigoplus_{x \in X^{(j-1)}} H^{i+1}(x)$, then

$$\iota_* \xi = \iota_* \circ \partial \circ \iota_*(\zeta) = 0$$

by the exactness of the Gysin sequence. It follows that there is a well-defined map

$$E_2^{j,i+j}(X, A(n)) \longrightarrow H_{j, \text{nr}}^{i+2j}(X, A(n)), \quad [\xi] \longmapsto \iota_* \xi. \quad (7.20)$$

Any class in the image of this map lies in the kernel of

$$H_{j, \text{nr}}^{i+2j}(X, A(n)) \longrightarrow H_{j-1, \text{nr}}^{i+2j}(X, A(n)) \quad (7.21)$$

because $\iota_* \xi$ vanishes when restricted to $F_{j-1} X$ by Lemma 5.8. Conversely, any class $\alpha \in H_{j, \text{nr}}^{i+2j}(X, A(n))$ in the kernel of the above restriction map is, by Lemma 5.8, of the form $\alpha = \iota_* \xi$ for some $\xi \in \bigoplus_{x \in X^{(j)}} H^i(x)$. The fact that $\alpha \in H_{j, \text{nr}}^{i+2j}(X, A(n)) \subset H^{i+2j}(F_j X, A(n))$ is unramified implies $\partial \circ \iota_*(\xi) = 0$, and so α lies in the image of (7.20). Hence, the composition of (7.20) and (7.21) is exact.

Now let $[\xi] \in E_2^{j,i+j}(X, A(n))$ with $\xi \in \bigoplus_{x \in X^{(j)}} H^i(x)$ and $\partial \circ \iota_*(\xi) = 0$ be a class in the kernel of (7.20). By the exactness of the Gysin sequence, this means that $\xi = \partial\alpha$ for some $\alpha \in H^{i+2j-1}(F_{j-1}X, A(n))$. Hence, the natural sequence

$$H^{i+2j-1}(F_{j-1}X, A(n)) \xrightarrow{\partial} E_2^{j,i+j}(X, A(n)) \xrightarrow{\iota_*} H_{j,\text{nr}}^{i+2j}(X, A(n)) \tag{7.22}$$

is exact. The image of

$$\iota_* : \bigoplus_{x \in X^{(j-1)}} H^{i+1}(x, A(n-j+1)) \longrightarrow H^{i+2j-1}(F_{j-1}X, A(n))$$

lies in the kernel of the first map in (7.22) by the definition in (7.19). By the Gysin sequence, it follows that (7.22) descends to an exact sequence

$$H_{j-2,\text{nr}}^{i+2j-1}(X, A(n)) \xrightarrow{\partial} E_2^{j,i+j}(X, A(n)) \xrightarrow{\iota_*} H_{j,\text{nr}}^{i+2j}(X, A(n)). \tag{7.23}$$

Let $[\alpha] \in H_{j-2,\text{nr}}^{i+2j-1}(X, A(n))$ with $\alpha \in H^{i+2j-1}(F_{j-1}X, A(n))$ and assume that

$$\partial\alpha = 0 \in E_2^{j,i+j}(X, A(n)).$$

This means that there is a class $\zeta \in \bigoplus_{x \in X^{(j-1)}} H^{i+1}(x)$ with $\partial(\alpha - \iota_*\zeta) = 0$. Hence, up to replacing α by $\alpha - \iota_*\zeta$, we may assume $\partial\alpha = 0$ and so

$$[\alpha] \in F^j H^{i+2j-1}(F_{j-2}X, A(n)).$$

Conversely, any class in $F^j H^{i+2j-1}(F_{j-2}X, A(n))$ clearly maps to zero in $H^j(X, \mathcal{H}_X^{i+j}(A(n)))$. Hence, the kernel of the first map in (7.23) agrees with the image of the canonical restriction map

$$H_{j-1,\text{nr}}^{i+2j-1}(X, A(n)) \longrightarrow H_{j-2,\text{nr}}^{i+2j-1}(X, A(n)).$$

This concludes the proof of the proposition. □

COROLLARY 7.36. *Let $c \geq 0$ be a non-negative integer. Let $X \in \mathcal{V}$ with $d := \dim X$ and assume that for any $x \in X^{(j)}$, $H^i(x, \mu_{\ell^r}^{\otimes n}) = 0$ for $i > j + c$. Then there is a canonical isomorphism*

$$E_2^{j,d+c}(X, \mu_{\ell^r}^{\otimes n}) \xrightarrow{\sim} H_{j,\text{nr}}^{d+c+j}(X, \mu_{\ell^r}^{\otimes n}).$$

Proof. Our assumption implies by Corollary 5.11:

$$H_{j,\text{nr}}^i(X, A(n)) = 0 \quad \text{for all } j < i - d - c.$$

The result in question is then an immediate consequence of Proposition 7.35. □

Remark 7.37. The condition in Corollary 7.36 is satisfied for $c = 0$ if $k = \mathbb{C}$ and the underlying cohomology theory is singular/étale cohomology. It is also satisfied if k has finite cohomological dimension c and the cohomology theory is twisted ℓ -adic pro-étale cohomology, which for finite coefficients agrees with étale cohomology and so $H^i(x, \mu_{\ell^r}^{\otimes n})$ identifies by [Mil80, p. 88, III.1.16] to the Galois cohomology of the residue field $\kappa(x)$. In both cases, $E_2^{j,d+c}(X, \mu_{\ell^r}^{\otimes n})$ coincides, by definition, with Kato homology of X , see [Kat86, KS12, Tia20]. Corollary 7.36 thus shows that Kato homology is a special case of refined unramified cohomology.

8. Comparison with Bloch’s map

In [Blo79], Bloch constructed an Abel–Jacobi map on torsion cycles in the Chow group of smooth projective varieties over algebraically closed ground fields. Bloch’s map induces a transcendental

Abel–Jacobi map on torsion cycles in the Griffiths group of such varieties and we aim to show in this section that Bloch’s map agrees with the map that we constructed in § 7.5 (applied to the cohomology theory from Proposition 6.6 in the case where $k = \bar{k}$ is algebraically closed and X is smooth projective).

In contrast to Bloch’s map, the transcendental Abel–Jacobi map that we defined in § 7.5 works for arbitrary algebraic schemes over a field. This is crucial for the construction of the higher Abel–Jacobi maps in § 7.9.

8.1 ℓ^r -torsion in Chow groups

Fix a prime ℓ and an ℓ -adic twisted Borel–Moore cohomology theory $H^*(-, A(n))$ on a constructible category of Noetherian schemes \mathcal{V} with coefficients in a full subcategory $\mathcal{A} \subset \text{Mod}_{\mathbb{Z}_\ell}$, as in Definitions 4.2 and 4.4. For $X \in \mathcal{V}$, $x \in X$ there are isomorphisms $H^0(x, A(0)) \simeq A$ that are functorial in A . Moreover, there is a distinguished class $[x] \in H^0(x, \mathbb{Z}_\ell(0))$ and we denote the image of that class in $H^0(x, \mu_{\ell^r}^{\otimes 0})$ by the same symbol, so that $H^0(x, \mu_{\ell^r}^{\otimes 0}) = [x]\mathbb{Z}/\ell^r$. For any $X \in \mathcal{V}$, properties (P1)–(P3), (P5), and (P6) thus imply the existence of the following commutative diagram with exact rows (cf. [Blo79, (2.1)]).

$$\begin{array}{ccccccc}
 \bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \otimes_{\mathbb{Z}} \mathbb{Z}_\ell & \xrightarrow{\times \ell^r} & \bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \otimes_{\mathbb{Z}} \mathbb{Z}_\ell & \longrightarrow & \bigoplus_{x \in X^{(i-1)}} \kappa(x)^* / (\kappa(x)^*)^{\ell^r} & \longrightarrow & 0 \\
 \downarrow \epsilon & & \downarrow \epsilon & & \downarrow \simeq & & \\
 \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) & \xrightarrow{\times \ell^r} & \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) & \longrightarrow & \bigoplus_{x \in X^{(i-1)}} H^1(x, \mu_{\ell^r}^{\otimes 1}) & \longrightarrow & 0 \\
 \downarrow \partial \circ \iota_* & & \downarrow \partial \circ \iota_* & & \downarrow \partial \circ \iota_* & & \\
 0 \longrightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell & \xrightarrow{\times \ell^r} & \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell & \longrightarrow & \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}/\ell^r & \longrightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \\
 \text{CH}^i(X)_{\mathbb{Z}_\ell} & \xrightarrow{\times \ell^r} & \text{CH}^i(X)_{\mathbb{Z}_\ell} & & & & \\
 \downarrow & & \downarrow & & & & \\
 A^i(X)_{\mathbb{Z}_\ell} & \xrightarrow{\times \ell^r} & A^i(X)_{\mathbb{Z}_\ell} & & & &
 \end{array}$$

The following result is motivated by [Blo79, § 2].

LEMMA 8.1. *For any $X \in \mathcal{V}$, there are canonical isomorphisms*

$$\phi_r : \text{CH}^i(X)[\ell^r] \xrightarrow{\simeq} \frac{\ker(\partial \circ \iota_* : \bigoplus_{x \in X^{(i-1)}} H^1(x, \mu_{\ell^r}^{\otimes 1}) \longrightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}/\ell^r)}{\ker(\partial \circ \iota_* \circ \epsilon : \bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \longrightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell)}$$

and

$$\psi_r : A^i(X)[\ell^r] \xrightarrow{\simeq} \frac{\ker(\partial \circ \iota_* : \bigoplus_{x \in X^{(i-1)}} H^1(x, \mu_{\ell^r}^{\otimes 1}) \longrightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}/\ell^r)}{\ker(\partial \circ \iota_* : \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \longrightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell)}.$$

Proof. Note that the first arrow in the third row of the above diagram is injective, whereas the last arrows in the first two rows are surjective by property (P6). The result is therefore an immediate consequence of the snake lemma and the presentation of $\text{CH}^i(X)_{\mathbb{Z}_\ell}$ in Lemma 7.1 and the definition of $A^i(X)_{\mathbb{Z}_\ell}$ in Definition 7.2, respectively. \square

8.2 The case of smooth projective varieties over algebraically closed fields

In this section, we assume that k is an algebraically closed field, ℓ is a prime that is invertible in k and \mathcal{V} denotes the category of separated schemes of finite type over k . Let $\mathcal{A} \subset \text{Mod}_{\mathbb{Z}_\ell}$ be

the full subcategory spanned by $\mathbb{Z}_\ell, \mathbb{Q}_\ell, \mathbb{Q}_\ell/\mathbb{Z}_\ell$ and \mathbb{Z}/ℓ^r for all $r \geq 1$. We further fix the ℓ -adic twisted Borel–Moore cohomology theory on \mathcal{V} with coefficients in \mathcal{A} given by Proposition 6.6, cf. Definitions 4.2 and 4.4. We also note that (P7.1) holds true by Proposition 6.6, as k is algebraically closed.

If $X \in \mathcal{V}$ is regular and equi-dimensional, then

$$H^i(X, A(n)) \simeq H_{\text{cont}}^i(X_{\text{ét}}, A(n)) \simeq H^i(X_{\text{ét}}, A(n)),$$

where the first isomorphism comes from Lemma 6.5 and the second isomorphism uses that k is algebraically closed, so that continuous étale cohomology of algebraic schemes over k coincides with usual étale cohomology, as the $R^1 \lim$ term in (6.1) vanishes in this case by finiteness of the corresponding étale cohomology groups, cf. [Jan88]. (As usual, étale cohomology with \mathbb{Z}_ℓ -coefficients has to be understood in the above formula as the inverse limit $\lim H^i(X_{\text{ét}}, \mu_{\ell^r}^{\otimes n})$ and cohomology with \mathbb{Q}_ℓ or $\mathbb{Q}_\ell/\mathbb{Z}_\ell$ coefficients is as usual defined by asking that (P4) holds.)

Bloch [Blo79] used Bloch–Ogus theory [BO74] and the Weil conjectures, proven by Deligne [Del74], to construct a map

$$\lambda : \text{CH}^i(X)[\ell^\infty] \longrightarrow H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)), \tag{8.1}$$

which agrees with the Abel–Jacobi map on homologically trivial cycles in the case where $k = \mathbb{C}$, see [Blo79, Proposition 3.7]. To give a description of Bloch’s map in the present context, we need the following.

LEMMA 8.2. *Let k be an algebraically closed field and let ℓ be a prime that is invertible in k . Let X be a smooth projective variety over k . Then the image of*

$$\ker \left(\partial \circ \iota_* \circ \epsilon : \bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \longrightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell \right) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$$

via the composition

$$\bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \xrightarrow{\epsilon} \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(1)) \xrightarrow{\iota_*} H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$$

is torsion.

Proof. Our proof is similar to [Blo79, Lemma 2.4] but we avoid Bloch–Ogus theory.

Let $\xi \in \bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$ with $\partial(\iota_*(\epsilon(\xi))) = 0$. By Lemma 5.8, we get

$$\iota_*(\epsilon(\xi)) \in F^i H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)) \simeq H^{2i-1}(X, \mathbb{Z}_\ell(i)).$$

If k is the algebraic closure of a finite field, then X and ξ are both defined over \mathbb{F}_q for some finite field $\mathbb{F}_q \subset k$. In particular, $X = X_0 \times_{\mathbb{F}_q} k$ and the Frobenius F (given by $x \mapsto x^q$ on X_0 and by id on k) satisfies

$$F(\iota_*(\epsilon(\xi))) = \iota_*(\epsilon(\xi^q)) = q \cdot \iota_*(\epsilon(\xi)).$$

As X is smooth projective, the Weil conjectures [Del74] imply that q cannot appear as an eigenvalue of the action of F on $H^{2i-1}(X, \mathbb{Q}_\ell(i))$ and so $\iota_*(\epsilon(\xi))$ must be torsion, as claimed.

If k is not the algebraic closure of a finite field, then the result in question follows from spreading out the problem over a finitely generated field, which allows us to specialize to a finite field and so the smooth proper base change theorem yields the result. This proves the lemma. \square

Taking the direct limit of the isomorphisms from Lemma 8.1, we obtain an isomorphism

$$\phi : \text{CH}^i(X)[\ell^\infty] \xrightarrow{\simeq} \frac{\ker(\partial \circ \iota_* : \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Q}_\ell/\mathbb{Z}_\ell(1)) \rightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Q}_\ell/\mathbb{Z}_\ell)}{\ker(\partial \circ \iota_* \circ \epsilon : \bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \otimes_{\mathbb{Z}} \mathbb{Q}_\ell \rightarrow \bigoplus_{x \in X^{(i)}} [x]\mathbb{Q}_\ell)}.$$

PROPOSITION 8.3. *There is a well-defined map*

$$\lambda' : \text{CH}^i(X)[\ell^\infty] \longrightarrow H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)),$$

given by

$$\lambda'(\phi^{-1}([\xi])) := -\iota_*\xi \in F^i H^{2i-1}(F_{i-1}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)) \simeq H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)).$$

Proof. The natural map

$$H^{2i-1}(X, \mathbb{Z}_\ell(i))_{\text{tors}} \longrightarrow \varinjlim_r H^{2i-1}(X, \mu_{\ell^r}^{\otimes i}) \simeq H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$$

is zero. Lemma 8.2 thus implies that for any $\xi \in \bigoplus_{x \in X^{(i-1)}} \kappa(x)^* \otimes_{\mathbb{Z}} \mathbb{Q}_\ell$ with $\partial(\iota_*(\epsilon(\xi))) = 0$,

$$\iota_*(\epsilon(\xi)) \in F^i H^{2i-1}(F_{i-1}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)) \simeq H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))$$

vanishes. This concludes the proof. □

The minus sign in Proposition 8.3 is necessary to make our definition compatible with λ_{tr}^i defined in § 7.5; a similar sign issue was noted by Bloch, see [Blo79, p. 112].

LEMMA 8.4. *The map λ' constructed above coincides with the map (8.1) constructed by Bloch: $\lambda = \lambda'$.*

Proof. This follows directly from Lemma 5.12 by comparing our construction with Bloch’s construction via diagram (2.2) in [Blo79], where we recall that Bloch included the minus sign in [Blo79, p. 112]. □

As mentioned previously, because k is algebraically closed, (P7.1) holds true. Lemma 7.5 thus implies

$$A_0^i(X)_{\mathbb{Z}_\ell} = \text{Griff}^i(X) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$$

is the group of homologically trivial codimension- i cycles with coefficients in \mathbb{Z}_ℓ modulo algebraic equivalence. In particular, $A_0^i(X)[\ell^\infty] = \text{Griff}^i(X)[\ell^\infty]$ is the group of classes in $\text{Griff}^i(X)$ that are annihilated by some power of ℓ .

PROPOSITION 8.5. *Let k be an algebraically closed field and let X be a smooth projective variety over k . The map*

$$\lambda_{\text{tr}}^i : \text{Griff}^i(X)[\ell^\infty] \longrightarrow \frac{H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))}{N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))}$$

constructed in § 7.5 is induced by Bloch’s map in (8.1) and, hence, agrees with the transcendental Abel–Jacobi map if $k = \mathbb{C}$.

Proof. If $k = \mathbb{C}$, then Bloch’s map agrees with the Abel–Jacobi map on torsion cycles, see [Blo79, Proposition 3.7]. It thus suffices to show that λ_{tr}^i from § 7.5 is induced by Bloch’s map in (8.1). For this, let $z \in \bigoplus_{x \in X^{(i)}} [x]\mathbb{Z}_\ell$ be a homologically trivial cycle. Then $\partial\alpha = z$ for some $\alpha \in H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i))$. Assume that z is ℓ^r -torsion modulo algebraic equivalence. As in § 7.5, we find classes $\beta \in H^{2i-1}(X, \mathbb{Z}_\ell(i))$ and $\xi \in \bigoplus_{x \in X^{(i-1)}} H^1(x, \mathbb{Z}_\ell(i))$ with

$$\beta = \ell^r \cdot \alpha - \iota_*\xi \in F^i H^{2i-1}(F_{i-1}X, \mathbb{Z}_\ell(i)).$$

In particular, $\partial \circ \iota_*(\xi)/\ell^r = z$ and so $\psi_r([z]) = [\xi/\ell^r]$, where ψ_r is the isomorphism from Lemma 8.1. By our construction of Bloch’s map, we thus find

$$\lambda([z]) = \lambda'([z]) = -\iota_*\xi/\ell^r \in F^i H^{2i-1}(F_{i-1}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)) \simeq H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)).$$

On the other hand,

$$\lambda_{\text{tr}}^i([z]) = [\beta/\ell^r] \in H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))/N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i))$$

by our construction of λ_{tr}^i in § 7.5. The result thus follows from the fact that

$$\beta/\ell^r + \iota_*\xi/\ell^r = \alpha = 0 \in H^{2i-1}(F_{i-1}X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i)),$$

because α is an integral class. This proves the proposition. □

9. Proof of the main results (ℓ -adic)

9.1 ℓ -adic twisted Borel–Moore cohomology

Fix a field k and a prime ℓ that is invertible in k . Let \mathcal{V} be the category whose objects are separated schemes of finite type over k and such that the morphisms are given by open immersions of schemes of the same dimension. This is a constructible category of Noetherian schemes as in Definition 4.1. Let $\mathcal{A} \subset \text{Mod}_{\mathbb{Z}_\ell}$ be the full subcategory with objects $\mathbb{Z}_\ell, \mathbb{Q}_\ell, \mathbb{Q}_\ell/\mathbb{Z}_\ell$ and \mathbb{Z}/ℓ^r for all $r \geq 1$. By Proposition 6.6, ℓ -adic pro-étale Borel–Moore cohomology $H^*(-, A(n))$ as defined in (6.13)–(6.15) is a twisted Borel–Moore cohomology theory on \mathcal{V} with coefficients in \mathcal{A} which is ℓ -adic if k is perfect, see Definitions 4.2 and 4.4. In particular, all results from § 5 and 7 hold true in this set-up. Here we recall that for $i \geq 1$:

$$A^i(X)_{\mathbb{Z}_\ell} = \frac{\text{CH}^i(X)_{\mathbb{Z}_\ell}}{N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}} \quad \text{and} \quad N^j A_0^i(X)_{\mathbb{Z}_\ell} = N^j A^i(X)_{\mathbb{Z}_\ell} = \frac{N^j \text{CH}^i(X)_{\mathbb{Z}_\ell}}{N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}} \tag{9.1}$$

for $0 \leq j \leq i - 1$, see Lemma 7.4. Moreover, Lemma 7.5 implies that $A_0^i(X)_{\mathbb{Z}_\ell} = \text{Griff}^i(X)_{\mathbb{Z}_\ell}$ if k is algebraically closed and $A_0^i(X)_{\mathbb{Z}_\ell} = \ker(\text{cl}_X^i) \subset \text{CH}^i(X)_{\mathbb{Z}_\ell}$ if k is the perfect closure of a finitely generated field.

LEMMA 9.1. *In the above notation, assume in addition that X is smooth and equi-dimensional. Then the cycle class map*

$$\text{cl}_X^i : \text{CH}^i(X)_{\mathbb{Z}_\ell} \longrightarrow H^{2i}(X, \mathbb{Z}_\ell(i)),$$

constructed in (7.1) coincides with Jannsen’s cycle class map in continuous étale cohomology from [Jan88].

Proof. By Lemma 6.8 and the topological invariance of the pro-étale topos (see [BS15, Lemma 5.4.2]), we may replace k by its perfect closure and assume that k is perfect. Let X be a smooth variety over k . By Lemma 6.5, $H^*(X, A(n))$ agrees with the corresponding continuous étale cohomology group. The cycle class map in (7.1) is defined via the Gysin pushforward, where one uses excision to reduce to the case of a cycle whose support is smooth. Our claim thus follows from [Jan88, Remark 3.24]. □

9.2 Proof of Theorem 1.8

Proof of Theorem 1.8. We use the notation from § 9.1 and claim that it suffices to prove Theorem 1.8 after replacing k by its perfect closure k^{per} . Indeed, this does not change ℓ -adic Chow groups by Lemma 6.8 and it does not change the (pro-)étale topos (see [BS15, Lemma 5.4.2]), so that $H^*(-, A(n))$ remains unchanged by passing from k to k^{per} .

We may and will from now on assume that k is perfect, so that $H^*(-, A(n))$ is an ℓ -adic twisted Borel–Moore cohomology theory on \mathcal{V} by Proposition 6.6. For any $X \in \mathcal{V}$, we thus get a

cycle class map

$$\text{cl}_X^i : \text{CH}^i(X)_{\mathbb{Z}_\ell} := \text{CH}^i(X) \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \longrightarrow H^{2i}(X, \mathbb{Z}_\ell(i)),$$

constructed in § 7.2. If X is smooth and equi-dimensional, then, by Lemma 6.5, $H^i(X, \mathbb{Z}_\ell(n)) \simeq H_{\text{cont}}^i(X_{\text{ét}}, \mathbb{Z}_\ell(n))$ agrees with Jannsen’s ℓ -adic continuous étale cohomology groups, see § 6.1.1. It follows from the construction of cl_X^i via the Gysin sequence (see (P2)) that if X is a smooth variety, then cl_X^i agrees with Jannsen’s cycle class map (see Lemma 9.1).

Recall from Definitions 7.2 and 7.10 the groups $A^i(X)_{\mathbb{Z}_\ell}$ and $A_0^i(X)_{\mathbb{Z}_\ell}$ and recall the description from (9.1) (that we used as a definition in the introduction).

Item (i) in Theorem 1.8 is then a consequence of Theorem 7.7 and Proposition 7.11.

By § 7.5, there is a map

$$\lambda_{\text{tr}}^i : A_0^i(X)[\ell^\infty] \longrightarrow H^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))/N^{i-1}H^{2i-1}(X, \mathbb{Q}_\ell(i)),$$

where $N^j H^i(X, A(n)) := \ker(H^i(X, A(n)) \rightarrow H^i(F_{j-1}X, A(n)))$. If k is algebraically closed and X is smooth projective, then this map agrees by Proposition 8.5 with Bloch’s transcendental Abel–Jacobi mapping on torsion cycles from [Blo79] (cf. § 8). Item (ii) thus follows from Theorem 7.19 and Proposition 7.16. This concludes the proof of Theorem 1.8. \square

9.3 Proof of Theorem 1.5

The following lemma shows that in our set-up, the result of Theorem 7.30 holds true whenever k contains all ℓ -roots of unity.

LEMMA 9.2. *In the notation of § 9.1, the following holds. Then for any $X \in \mathcal{V}$ and any i and n , $H^i(F_0X, \mathbb{Z}_\ell(n))$ is torsion-free if one of the following conditions holds:*

- (i) $n = i - 1$;
- (ii) k contains all ℓ -power roots of unity.

Proof. By additivity of the cohomology functor (see Lemma 5.6), we may assume that X is irreducible with generic point $\eta_X \in X$. If k contains all ℓ -power roots of unity, $H^i(F_0X, \mathbb{Z}_\ell(n)) \simeq H^i(F_0X, \mathbb{Z}_\ell(i - 1))$ for all i and n . It thus suffices to prove the lemma under assumption (9.2). As X is irreducible, $H^i(F_0X, \mathbb{Z}_\ell(i - 1)) = H^i(\eta_X, \mathbb{Z}_\ell(i - 1))$ and so the claim follows from Remark 5.14 and Voevodsky’s proof of the Bloch–Kato conjecture [Voe11]. \square

By (7.17) there is a higher Abel–Jacobi mapping

$$\bar{\lambda}_{j,\text{tr}}^i : N^j A_0^i(X)[\ell^\infty] \longrightarrow \bar{J}_{j,\text{tr}}^i(X)[\ell^\infty] \tag{9.2}$$

where N^* denotes the coniveau filtration on $A_0^i(X)[\ell^\infty]$ from § 7.8.

THEOREM 9.3. *Let X be a separated scheme of finite type over k . Let $i \geq 2$ and assume that one of the following holds:*

- (i) k contains all ℓ -power roots of unity; or
- (ii) $i = 2$.

Then for all $0 \leq j \leq i - 2$, we have

$$N^{j+1} A_0^i(X)[\ell^\infty] = \ker(\bar{\lambda}_{j,\text{tr}}^i : N^j A_0^i(X)[\ell^\infty] \rightarrow \bar{J}_{j,\text{tr}}^i(X)[\ell^\infty]).$$

Proof. We aim to apply Theorem 7.30. To this end we need to ensure that for any closed subscheme $Z \subset X$, $H^{2(i-j)-1}(F_0Z, \mathbb{Z}_\ell(i - j))$ is torsion-free. By Lemma 9.2, this condition is satisfied if k contains all ℓ -power roots of unity, or if $i = 2$ and $j = 0$. This concludes the proof. \square

We are now in a position to prove Theorem 1.5, which follows from the following slightly stronger result.

THEOREM 9.4. *Let X be a separated scheme of finite type over a field k and let ℓ be a prime invertible in k . Let $i \geq 2$ and assume that one of the following holds:*

- (i) k contains all ℓ -power roots of unity; or
- (ii) $i = 2$.

Then for all $0 \leq j \leq i - 2$, we have

$$N^{j+1} \text{CH}^i(X)[\ell^\infty] = \ker(\bar{\lambda}_{j,\text{tr}}^i : N^j \text{CH}^i(X)[\ell^\infty] \rightarrow \bar{J}_{j,\text{tr}}^i(X)[\ell^\infty]).$$

Proof. By (9.1),

$$A_0^i(X)[\ell^\infty] = N^0 \text{CH}^i(X)_{\mathbb{Z}_\ell} / N^{i-1} \text{CH}^i(X)_{\mathbb{Z}_\ell}.$$

The higher transcendental Abel–Jacobi mapping from (7.17) thus yields for $0 \leq j \leq i - 2$ mappings

$$\bar{\lambda}_{j,\text{tr}}^i : N^j \text{CH}^i(X)[\ell^\infty] \longrightarrow \bar{J}_{j,\text{tr}}^i(X)[\ell^\infty] = \varliminf_{Z \subset X} \frac{H^{2i-2j-1}(Z, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i-j))}{N^1 H^{2i-2j-1}(Z, \mathbb{Q}_\ell(i-j))},$$

where $Z \subset X$ runs through all closed subschemes with $j = \dim X - \dim Z$. Theorem 9.3 then implies that for $0 \leq j \leq i - 2$, the kernel of the above map is given by $N^{j+1} \text{CH}^i(X)[\ell^\infty]$, as desired. \square

9.4 Applications of Theorems 1.5 and 9.4

The simplest (non-trivial) consequence of Theorem 9.4 is as follows.

COROLLARY 9.5. *Let X be a smooth equi-dimensional algebraic scheme over a finitely generated field k . Let ℓ be a prime invertible in k and let $\text{CH}_0^i(X)[\ell^\infty]$ denote the group of ℓ -power torsion cycles with trivial cycle class in Jannsen’s continuous ℓ -adic étale cohomology. Then there is a canonical injection*

$$\lambda_{\text{tr}}^2 : \text{CH}_0^2(X)[\ell^\infty] \hookrightarrow H_{\text{cont}}^3(X_{\text{ét}}, \mathbb{Q}_\ell/\mathbb{Z}_\ell(2)) / N^1 H_{\text{cont}}^3(X_{\text{ét}}, \mathbb{Q}_\ell(2)).$$

Corollary 9.5 should be compared with a result of Merkurjev and Suslin [MS83, §18], who showed that Bloch’s Abel–Jacobi mapping on ℓ -power torsion cycles on smooth projective varieties over algebraically closed fields [Blo79] is injective on codimension-two cycles. Corollary 9.5 has previously been proven in the particular case where $k = \mathbb{F}_q$ is a finite field and X is smooth projective in [CSS83, Théorème 4] (in fact, [CSS83, Théorème 4] proves that $\text{CH}_0^2(X)[\ell^\infty] = 0$ in this case; this also follows from our set-up, see Proposition 7.16 and note that $H_{\text{cont}}^3(X_{\text{ét}}, \mathbb{Q}_\ell(2)) = H^3(X_{\text{ét}}, \mathbb{Q}_\ell(2)) = 0$ for weight reasons, cf. [CSS83, pp. 780–781]).

Proof of Corollary 9.5. By the same argument as in the proof of Theorem 1.8, we may replace k by its perfect closure and hence assume that k is the perfect closure of a finitely generated field. The result then follows from Theorem 1.5 (or Theorem 7.19) together with the fact that $A_0^2(X)_{\mathbb{Z}_\ell} = \text{CH}_0^2(X)_{\mathbb{Z}_\ell}$ if the ground field k is (the perfect closure of a) finitely generated, see Proposition 6.6 and Lemma 7.5. \square

Let X and Y be smooth projective equi-dimensional k -schemes. A cycle $\Gamma \in \text{CH}^{\dim X}(X \times Y)$ yields actions on Chow groups that are compatible with the cycle class maps in continuous étale cohomology. Hence, we get an action $\Gamma_* : N^0 \text{CH}^i(X)[\ell^\infty] \rightarrow N^0 \text{CH}^i(Y)[\ell^\infty]$. Similarly, there are actions $\Gamma_* : H_{\text{cont}}^i(X_{\text{ét}}, A(n)) \rightarrow H_{\text{cont}}^i(Y_{\text{ét}}, A(n))$ for $A \in \{\mathbb{Q}_\ell/\mathbb{Z}_\ell, \mathbb{Q}_\ell\}$. The latter respect

Grothendieck’s coniveau filtration N^* , as can be checked with the help of a moving lemma (see, e.g., [Lev05, Theorem 2.13]). We conclude that correspondences act on source and target of the map

$$\lambda_{\text{tr}}^i : N^0 \text{CH}^i(X)[\ell^\infty] \longrightarrow H_{\text{cont}}^{2i-1}(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(i))/N^{i-1}H_{\text{cont}}^{2i-1}(X, \mathbb{Q}_\ell(i))$$

induced from the map in § 7.5 (cf. Lemma 7.4). We will show in [Sch22] that these actions are compatible with the map λ_{tr}^i . The case $i = 2$ is simpler, and we give a direct proof in the following lemma.

LEMMA 9.6. *Let X, Y be smooth projective equi-dimensional k -schemes and let $\Gamma \in \text{CH}^{\dim X}(X \times Y)$. Then the following diagram commutes.*

$$\begin{array}{ccc} A_0^2(X)[\ell^\infty] & \xrightarrow{\lambda_{\text{tr}}^2} & H_{\text{cont}}^3(X, \mathbb{Q}_\ell/\mathbb{Z}_\ell(2))/N^1H_{\text{cont}}^3(X, \mathbb{Q}_\ell(2)) \\ \downarrow \Gamma_* & & \downarrow \Gamma_* \\ A_0^2(Y)[\ell^\infty] & \xrightarrow{\lambda_{\text{tr}}^2} & H_{\text{cont}}^3(Y, \mathbb{Q}_\ell/\mathbb{Z}_\ell(2))/N^1H_{\text{cont}}^3(Y, \mathbb{Q}_\ell(2)) \end{array}$$

Proof. By the description of λ_{tr}^i from Lemma 7.15, it suffices to show that the isomorphism

$$A_0^2(X)_{\mathbb{Z}_\ell} \xrightarrow{\cong} H_{nr}^3(X, \mathbb{Z}_\ell(2))/H^3(X, \mathbb{Z}_\ell(2))$$

from Proposition 7.11 is compatible with the action of correspondences. As X is smooth and equi-dimensional, the Gersten conjecture [BO74, CHK97] identifies $H_{nr}^3(X, \mathbb{Z}_\ell(2))$ with the global sections of the corresponding Bloch–Ogus sheaf, associated to $U \mapsto H_{\text{cont}}^3(U_{\text{ét}}, \mathbb{Z}_\ell(2))$. This description makes it easy to define an action on unramified cohomology, cf. [CV12, § 9, Appendix] (an action in a more general setting has been constructed by Rost [Ros96]). Using this description, one can readily check that the above isomorphism is compatible with the action of cycles, which concludes the lemma. \square

Corollary 9.5 implies for instance the Rost nilpotence conjecture for surfaces up to inverting the exponential characteristic, originally due to Gille [Gil10, Gil14] and with an alternative proof due to Rosenschon and Sawant [RS18].

COROLLARY 9.7. *Let X be a smooth projective equi-dimensional scheme over a field k with base change $\bar{X} = X \times_k \bar{k}$, where \bar{k} denotes an algebraic closure. Let $\Gamma \in \text{CH}^{\dim X}(X \times X)$ and assume that the base change $\bar{\Gamma} = \Gamma \times_k \bar{k}$ acts trivially on $H^i(\bar{X}_{\text{ét}}, \mathbb{Q}_\ell/\mathbb{Z}_\ell(2))$ for $i \leq 3$. Then the action*

$$\Gamma_*^{\circ N} : \text{CH}^2(X)[\ell^\infty] \longrightarrow \text{CH}^2(X)[\ell^\infty]$$

is zero for $N \geq 10$.

Proof. A straightforward limit argument reduces us to the case where k is finitely generated. (This uses that étale cohomology does not change under algebraically closed field extensions.) By Lemma 9.6 and Corollary 9.5, it thus suffices to show that $\Gamma_*^{\circ 5}$ acts trivially on $H_{\text{cont}}^4(X_{\text{ét}}, \mathbb{Z}_\ell(2))[\ell^\infty]$ and on $H_{\text{cont}}^3(X_{\text{ét}}, \mathbb{Q}_\ell/\mathbb{Z}_\ell(2))$. The Bockstein sequence yields a canonical surjection

$$H_{\text{cont}}^3(X_{\text{ét}}, \mathbb{Q}_\ell/\mathbb{Z}_\ell(2)) \rightarrow H_{\text{cont}}^4(X_{\text{ét}}, \mathbb{Z}_\ell(2))[\ell^\infty]$$

that is compatible with the action of correspondences. It thus suffices to show that $\Gamma_*^{\circ 5}$ acts trivially on $H_{\text{cont}}^3(X_{\text{ét}}, \mathbb{Q}_\ell/\mathbb{Z}_\ell(2))$. Note that $H_{\text{cont}}^3(X_{\text{ét}}, \mathbb{Q}_\ell/\mathbb{Z}_\ell(2)) = \text{colim}_r H_{\text{cont}}^3(X_{\text{ét}}, \mu_{\ell^r}^{\otimes 2})$ and $H_{\text{cont}}^3(X_{\text{ét}}, \mu_{\ell^r}^{\otimes 2}) = H^3(X_{\text{ét}}, \mu_{\ell^r}^{\otimes 2})$, cf. [Jan88, (3.1)]. The assertion in question thus follows from

the fact that the Hochschild–Serre spectral sequence for étale cohomology (see [Mil80, p. 105, III.2.20]) is compatible with the action of correspondences. (In the last step, we are implicitly working with the separable closure of k and not with the algebraic closure; this is possible because neither Chow groups nor étale cohomology change by purely inseparable field extensions, see Lemma 6.8.) \square

Recall that the exponential characteristic e of a field k is 1 if $\text{char } k = 0$ and p if $p = \text{char } k > 0$.

COROLLARY 9.8. *Let S be a smooth projective scheme of pure dimension two over a field k of exponential characteristic e . Let $\Gamma \in \text{CH}^2(S \times_k S)$ be a correspondence with base change $\bar{\Gamma} \in \text{CH}^2(S_{\bar{k}} \times_{\bar{k}} S_{\bar{k}})$ to the algebraic closure \bar{k} of k . Assume that $\bar{\Gamma}$ is torsion and homologically trivial in ℓ -adic étale cohomology for any prime ℓ invertible in \bar{k} . Then up to inverting e , the composition $\Gamma^{\circ N}$ is zero for $N \geq 11$.*

Proof. A standard norm argument shows that Γ is torsion. By the Chinese remainder theorem, we may assume that Γ is ℓ^r -torsion for some integer $r \geq 0$ and some prime ℓ invertible in k . Let $X := S \times S$. The assumptions imply that there is a correspondence $\Omega \in \text{CH}^4(X \times X)[\ell^\infty]$ which is homologically trivial over \bar{k} and such that $\Omega_*^{\circ N}(\Gamma) = \Gamma^{\circ N+1}$. The assertion thus follows from Corollary 9.7. \square

Remark 9.9. Up to inverting the exponential characteristic, Corollary 9.8 is slightly stronger than the original conjecture of Rost for surfaces, proven in [Gil10, Gil14, RS18]. Indeed, we are only asking that $\bar{\Gamma}$ is torsion and homologically trivial, while the original formulation asks that Γ is rationally equivalent to 0 over \bar{k} (or equivalently over some field extension of k).

10. Proof of main results over \mathbb{C}

10.1 Integral twisted Borel–Moore cohomology

Let \mathcal{V} be the category whose objects are separated schemes of finite type over \mathbb{C} and such that the morphisms are given by open immersions of schemes of the same dimension. This is a constructible category of Noetherian schemes as in Definition 4.1. Let $\mathcal{A} := \text{Mod}_{\mathbb{Z}}$ and define for $X \in \mathcal{V}$ and $A \in \mathcal{A}$,

$$H^i(X, A(n)) := H_{2d_X-i}^{\text{BM}}(X_{\text{an}}, A(d_X - n)),$$

where $d_X := \dim X$ and H_*^{BM} denotes Borel–Moore homology and X_{an} denotes the analytic space that underlies X and $A(n) := A \otimes_{\mathbb{Z}} (2\pi i)^n \mathbb{Z}$ denotes the n th Tate twist of A . By Proposition 6.9, H^* defines an integral twisted Borel–Moore cohomology theory that is adapted to algebraic equivalence, cf. Definition 4.6. It follows that all results from §§ 5 and 7 hold true in the above set-up if we formally make the replacements $\mathbb{Z}_\ell \rightsquigarrow \mathbb{Z}$, $\mathbb{Q}_\ell \rightsquigarrow \mathbb{Q}$, $\ell^r \rightsquigarrow r$, and $[\ell^\infty] \rightsquigarrow \text{tors}$.

10.2 Proof of Theorem 1.6

Proof of Theorem 1.6. We use the notation from § 10.1. Performing the aforementioned formal replacements $\mathbb{Z}_\ell \rightsquigarrow \mathbb{Z}$, $\mathbb{Q}_\ell \rightsquigarrow \mathbb{Q}$, $\ell^r \rightsquigarrow r$, and $[\ell^\infty] \rightsquigarrow \text{tors}$, Lemma 7.5 shows that $A_0^i(X)_{\mathbb{Z}} = \text{Griff}^i(X)$ is the group of homologically trivial cycles modulo algebraic equivalence. The arguments in § 7.5 yield a map

$$\lambda_{\text{tr}}^i : \text{Griff}^i(X)_{\text{tors}} \longrightarrow J_{\text{tr}}^i(X)_{\text{tors}} := H^{2i-1}(X, \mathbb{Q}/\mathbb{Z}(i))/N^{i-1}H^{2i-1}(X, \mathbb{Q}(i)), \quad (10.1)$$

where $N^j H^i(X, A(n)) := \ker(H^i(X, A(n)) \rightarrow H^i(F_{j-1}X, A(n)))$. If X is smooth projective, we claim that this map agrees with Griffiths transcendental Abel–Jacobi map restricted to torsion cycles. By the Chinese remainder theorem, it suffices to show this for classes that are ℓ -power

torsion for some prime ℓ . In this case our map identifies by Proposition 8.5 with Bloch’s map, which, in turn, identifies with Griffiths map by [Blo79, Proposition 3.7].

Theorem 1.6 follows now as previously from Theorem 7.7, Proposition 7.11, Theorem 7.19, and Proposition 7.16 (after performing the formal replacements $\mathbb{Z}_\ell \rightsquigarrow \mathbb{Z}$, $\mathbb{Q}_\ell \rightsquigarrow \mathbb{Q}$, $\ell^r \rightsquigarrow r$, and $[\ell^\infty] \rightsquigarrow \text{tors}$). This concludes the proof of Theorem 1.6. \square

10.3 Proof of Theorems 1.1 and 1.4, and Corollaries 1.2 and 1.3

LEMMA 10.1. *In the notation of § 10.1, for any $X \in \mathcal{V}$ and any i and n , $H^i(F_0X, \mathbb{Z}(n))$ is torsion-free.*

Proof. By additivity of the cohomology functor (see Lemma 5.6), we may assume that X is irreducible with generic point $\eta_X \in X$. By definition, $H^i(F_0X, \mathbb{Z}(n)) \simeq H^i(F_0X, \mathbb{Z}(i - 1))$ for all i and n . As X is irreducible, the latter coincides with $H^i(\eta_X, \mathbb{Z}(i - 1))$ and so the claim follows from Remark 5.14 and Voevodsky’s proof of the Bloch–Kato conjecture [Voe11]. \square

Proof of Theorem 1.4. Theorem 1.4 follows with help of Lemma 10.1 via the same arguments as in the proof of Theorem 1.5. This requires as in Theorem 1.6 the formal replacements $\mathbb{Z}_\ell \rightsquigarrow \mathbb{Z}$, $\mathbb{Q}_\ell \rightsquigarrow \mathbb{Q}$, $\ell^r \rightsquigarrow r$, and $[\ell^\infty] \rightsquigarrow \text{tors}$ in § 7. \square

Proof of Theorem 1.1. This is a consequence of Theorem 1.4 together with the fact that λ_{tr}^i factorizes for smooth projective varieties through Bloch’s Abel–Jacobi map for torsion cycles, which, in turn, agrees with the Abel–Jacobi invariants due to Griffiths in that case, see Proposition 8.5. The assumption $i \geq 2$ is needed, because the assertion in Theorem 1.4 is empty for $i = 1$. \square

Proof of Corollary 1.2. By Theorem 1.1, the n -torsion of $N^0 \text{CH}^i(X)_{\text{tors}}/N^1 \text{CH}^i(X)_{\text{tors}}$ injects into the n -torsion of a quotient of $H^{2i-1}(X, \mathbb{Q}/\mathbb{Z})$, hence is finite. Moreover, the cycle class map yields an injection of $\text{CH}^i(X)/N^0 \text{CH}^i(X)$ into $H^{2i}(X, \mathbb{Z})$ and so the n -torsion subgroup of $\text{CH}^i(X)_{\text{tors}}/N^0 \text{CH}^i(X)_{\text{tors}}$ must be finite as well. Altogether we conclude that the n -torsion subgroup of $\text{CH}^i(X)_{\text{tors}}/N^1 \text{CH}^i(X)_{\text{tors}}$ is finite, as claimed. \square

Proof of Corollary 1.3. This is an immediate consequence of Theorem 1.1. \square

10.4 Applications of Theorem 1.4

For a complex algebraic scheme X , we recall that the coniveau filtration N^j on $\text{Griff}^i(X)$ is defined by saying that a cycle $z \in \text{Griff}^i(X)$ lies in N^j if and only if there is a closed subset $Z \subset X$ with $j = \dim X - \dim Z$ and a homologically trivial cycle z' on Z such that z agrees with the pushforward of z' , cf. Definition 7.3. This yields a finite decreasing filtration on $\text{Griff}^i(X)$ with $N^{i-1} = 0$, cf. Lemmas 7.4 and 7.5.

COROLLARY 10.2. *Let X be a separated scheme of finite type over \mathbb{C} . Then*

$$\text{Griff}^i(X)_{\text{tors}} = N^1 \text{Griff}^i(X)_{\text{tors}} = \cdots = N^j \text{Griff}^i(X)_{\text{tors}} \quad \text{for all } j \leq 2i - 1 - \dim X.$$

Proof. We use the notation from § 10.1. Proposition 7.16 implies that for any separated scheme X of finite type over \mathbb{C} , we have

$$\text{im}(\bar{\lambda}_{\text{tr}}^i) = \frac{N^{i-1} H^{2i-1}(X, \mathbb{Q}/\mathbb{Z}(i))_{\text{div}}}{N^1 H^{2i-1}(X, \mathbb{Q}(i))},$$

where $H^{2i-1}(X, \mathbb{Q}/\mathbb{Z}(i))_{\text{div}} = \text{im}(H^{2i-1}(X, \mathbb{Q}(i)) \rightarrow H^{2i-1}(X, \mathbb{Q}/\mathbb{Z}(i)))$. As affine varieties have no cohomology in degrees greater than their dimension, and because $H^i(X, A(n))$ depends only on the underlying reduced scheme and agrees with singular cohomology if X is smooth, we find

that $N^1 H^{2i-1}(X, \mathbb{Q}(i)) = H^{2i-1}(X, \mathbb{Q}(i))$ for $2i - 1 > \dim X$ and so $\text{im}(\bar{\lambda}_{\text{tr}}^i) = 0$ for $2i - 1 > \dim X$. It follows that $\text{im}(\bar{\lambda}_{j,\text{tr}}^i) = 0$ for $2i - 2j - 1 > \dim X - j$, i.e. for $j < 2i - 1 - \dim X$. Theorem 1.4 thus implies that $N^{j+1} \text{Griff}^i(X)_{\text{tors}} = N^j \text{Griff}^i(X)_{\text{tors}}$ for $j < 2i - 1 - \dim X$, which proves Corollary 10.2. \square

10.5 Algebraic cycles and traditional unramified cohomology in arbitrary degree

For $j \geq m$, there is a canonical restriction map

$$H_{j,\text{nr}}^i(X, A(n)) \longrightarrow H_{m,\text{nr}}^i(X, A(n)). \tag{10.2}$$

Recall from §5 that we denote its image by $F^{j+1} H_{m,\text{nr}}^i(X, A(n))$. We describe the effect of applying the restriction map (10.2) to Theorem 1.6 next.

COROLLARY 10.3. *Let X be a separated scheme of finite type over \mathbb{C} . Then for any $0 \leq j \leq i - 2$, there are canonical exact sequences*

$$\begin{aligned} \varinjlim Z^{i-j}(Z)_{\text{tors}} &\longrightarrow Z^i(X)_{\text{tors}} \longrightarrow \frac{F^{i-1} H_{j-1,\text{nr}}^{2i-1}(X, \mathbb{Q}/\mathbb{Z}(i))}{F^{i-1} H_{j-1,\text{nr}}^{2i-1}(X, \mathbb{Q}(i))} \longrightarrow 0, \\ \varinjlim \text{Griff}^{i-j}(Z) &\longrightarrow \text{Griff}^i(X) \longrightarrow \frac{F^{i-1} H_{j-1,\text{nr}}^{2i-1}(X, \mathbb{Z}(i))}{H^{2i-1}(X, \mathbb{Z}(i))} \longrightarrow 0, \\ \varinjlim \mathcal{T}^{i-j}(Z) &\longrightarrow \mathcal{T}^i(X) \longrightarrow \frac{F^{i-2} H_{j-1,\text{nr}}^{2i-2}(X, \mathbb{Q}/\mathbb{Z}(i))}{G^i F^{i-2} H_{j-1,\text{nr}}^{2i-2}(X, \mathbb{Q}/\mathbb{Z}(i))} \longrightarrow 0, \end{aligned}$$

where in the direct limits, $Z \subset X$ runs through all reduced closed subschemes of X with $\dim(X) - \dim(Z) = j$.

This corollary is particularly interesting for $j = 1$. In this case, the refined unramified cohomology groups above agree with traditional unramified cohomology $H_{\text{nr}}^i(X, A(n)) = H_{0,\text{nr}}^i(X, A(n))$. The corollary then identifies certain graded pieces of traditional unramified cohomology with certain birationally invariant quotients of the above cycle groups. In particular, non-trivial elements in certain pieces of the F^* filtration on traditional unramified cohomology $H_{\text{nr}}^i(X, A(n)) = H_{0,\text{nr}}^i(X, A(n))$ detect exactly those cycles on X that are not supported in codimension one in the sense that they are not pushforwards of the respective cycle groups on some divisor on X . This improves some results obtained independently by Ma in [Ma22].

Proof of Corollary 10.3. The corollary follows from Theorem 1.6 and Corollary 5.9. We give some details for $\text{Griff}^i(X)$; the other cases are similar.

We use the same notation as in the proof of Theorem 1.6 and fix the integral twisted Borel–Moore cohomology theory $H^*(-, A(n))$ on separated schemes of finite type over \mathbb{C} from Proposition 6.9. By Theorem 1.6, there is a canonical isomorphism

$$\text{Griff}^i(X) \simeq H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Z}(i))/H^{2i-1}(X, \mathbb{Z}(i)).$$

By Corollary 5.9, for any $0 \leq j \leq i - 1$, there is a canonical exact sequence

$$\varinjlim H_{i-j-2,\text{nr}}^{2(i-j)-1}(Z, \mathbb{Z}(i-j)) \xrightarrow{\iota_*} H_{i-2,\text{nr}}^{2i-1}(X, \mathbb{Z}(i)) \longrightarrow F^{i-1} H_{j-1,\text{nr}}^{2i-1}(X, \mathbb{Z}(i)) \longrightarrow 0,$$

where the direct limit runs through all closed reduced subschemes $Z \subset X$ of dimension $\dim Z = \dim X - j$. Here the first map is induced by the pushforward map with respect to $Z \hookrightarrow X$ and the second map is the canonical restriction map. The latter is surjective by definition of the filtration F^* (see Definition 5.3).

The above sequence induces a sequence

$$\lim_{\rightarrow} \frac{H_{i-j-2, nr}^{2(i-j)-1}(Z, \mathbb{Z}(i-j))}{H^{2(i-j)-1}(Z, \mathbb{Z}(i-j))} \xrightarrow{\iota_*} \frac{H_{i-2, nr}^{2i-1}(X, \mathbb{Z}(i))}{H^{2i-1}(X, \mathbb{Z}(i))} \longrightarrow \frac{F^{i-1}H_{j-1, nr}^{2i-1}(X, \mathbb{Z}(i))}{H^{2i-1}(X, \mathbb{Z}(i))} \longrightarrow 0,$$

and one can check directly that this sequence remains exact. By Theorem 1.6, this sequence identifies to an exact sequence

$$\lim_{\rightarrow} \text{Griff}^{i-j}(Z) \xrightarrow{\iota_*} \text{Griff}^i(X) \longrightarrow \frac{F^{i-1}H_{j-1, nr}^{2i-1}(X, \mathbb{Z}(i))}{H^{2i-1}(X, \mathbb{Z}(i))} \longrightarrow 0.$$

It follows from the functoriality of the Gysin sequence with respect to proper pushforwards (see (P2)) that the first map above agrees with the pushforward of cycles induced by $Z \hookrightarrow X$. This concludes the proof of the corollary. \square

Remark 10.4. Theorem 1.8 together with Corollary 5.9 implies analogues of Corollary 10.3 over arbitrary fields. We leave it to the reader to formulate and prove those results.

10.6 Applications of Theorem 1.6

If X is an integral scheme over \mathbb{C} , we write in this section

$$H^i(\mathbb{C}(X), A) := H^i(F_0X, A) = \lim_{\substack{\rightarrow \\ \emptyset \neq U \subset X}} H^i(U, A),$$

which is consistent with some of the notation used in the literature (see, e.g., [CV12, Voi12]). The above group is the cohomology of the generic point of X as defined in (4.2). If $A = \mathbb{Z}/\ell^r$ or $A = \mathbb{Q}/\mathbb{Z}$, this group coincides by [Mil80, p. 88, III.1.16] with the corresponding Galois cohomology group of the field $\mathbb{C}(X)$.

We need the following result that is proven with methods from [Sch19].

PROPOSITION 10.5. *For any positive integer n , there is a smooth projective unirational variety Y of dimension $3n$ over \mathbb{C} such that the composition*

$$H^{2i}(Y, \mathbb{Z}/2) \longrightarrow H^{2i}(\mathbb{C}(Y), \mathbb{Z}/2) \longrightarrow H^{2i}(\mathbb{C}(Y), \mathbb{Q}/\mathbb{Z})$$

is non-zero for all $i = 1, \dots, n$.

Proof. By the proof of [Sch19, Theorem 1.5], there is a unirational smooth complex projective threefold T together with a morphism $f : T \rightarrow \mathbb{P}^2$ whose generic fiber is a conic, such that the following hold:

- the class $\alpha = (x_1/x_0, x_2/x_0) \in H^2(\mathbb{C}(\mathbb{P}^2), \mathbb{Z}/2)$ has the property that $f^*\alpha \in H_{nr}^2(T, \mathbb{Z}/2)$ is unramified and non-trivial;
- there is a specialization T_0 of T such that the specialization $f_0 : T_0 \rightarrow \mathbb{P}^2$ of f has the property that its generic fiber has a $\mathbb{C}(\mathbb{P}^2)$ -rational point in its smooth locus.

Let us now consider $Y := T^n$, which is a smooth complex projective variety of dimension $3n$ that is unirational. Let $\text{pr}_j : Y \rightarrow T$ denote the projection onto the j th factor and consider the class

$$\gamma_i := \text{pr}_1^* f^* \alpha \cup \text{pr}_2^* f^* \alpha \cup \dots \cup \text{pr}_i^* f^* \alpha \in H^{2i}(\mathbb{C}(Y), \mathbb{Z}/2).$$

As α is of degree two, the unramified class $f^*\alpha$ admits a lift to a class in $H^2(T, \mathbb{Z}/2)$, see Corollary 5.10. Hence, γ_i admits a lift to a class in $H^{2i}(Y, \mathbb{Z}/2)$ and so

$$\gamma_i \in F^i H_{nr}^{2i}(Y, \mathbb{Z}/2).$$

It remains to show that the image γ'_i of γ_i in $H^{2i}(\mathbb{C}(Y), \mathbb{Q}/\mathbb{Z})$ is non-zero for all $i = 1, \dots, n$. By construction of the class γ_i , it suffices to prove that γ'_n is non-zero and our argument is similar to the proofs of [Sch19, Proposition 6.1] and [Sch21, Theorem 5.3(3)].

Assume for a contradiction that $\gamma' := \gamma'_n$ is zero in $H^{2n}(\mathbb{C}(Y), \mathbb{Q}/\mathbb{Z})$. Then let us specialize T to T_0 . Then Y specializes to a projective variety Y_0 together with a morphism $Y_0 \rightarrow (\mathbb{P}^2)^n$ whose generic fiber admits a rational point in its smooth locus. The specialization γ'_0 of γ' vanishes, because γ' vanishes by assumption. It follows that the restriction of γ'_0 to the rational point in the smooth locus of the generic fiber of $Y_0 \rightarrow (\mathbb{P}^2)^n$ is zero. This restriction, in turn, is computed explicitly as the image of

$$\text{pr}_1^* \alpha \cup \text{pr}_2^* \alpha \cup \dots \cup \text{pr}_n^* \alpha \in H^{2n}(\mathbb{C}((\mathbb{P}^2)^n), \mathbb{Z}/2)$$

in $H^{2n}(\mathbb{C}((\mathbb{P}^2)^n), \mathbb{Q}/\mathbb{Z})$. However, this class is non-zero, as one can check by computing successive residues. This is a contradiction, which concludes the proof. \square

10.6.1 *Integral Hodge conjecture for uniruled varieties.* Recall that for any algebraic scheme X of dimension d over \mathbb{C} , there is a cycle class map $\text{cl}_X^i : \text{CH}^i(X) \rightarrow H^{2i}(X, \mathbb{Z})$, where $H^i(X, A) := H_{2d-i}^{\text{BM}}(X_{\text{an}}, A)$. We denote its cokernel by $Z^i(X) := \text{coker}(\text{cl}_X^i)$.

THEOREM 10.6. *For any $n \geq 1$, there is a smooth complex projective unirational variety Y of dimension $3n$ and an elliptic curve E such that $X := E \times Y$ satisfies*

$$\text{coker}(\varinjlim Z^{i-1}(D)_{\text{tors}} \rightarrow Z^i(X)_{\text{tors}}) \neq 0 \quad \text{for all } 2 \leq i \leq n + 1, \tag{10.3}$$

where D runs through all closed reduced subvarieties $D \subset X$ of codimension one.

Note that for any closed subscheme $Z \subsetneq X$ of codimension $c \geq 1$, the pushforward $Z^{i-c}(Z) \rightarrow Z^i(X)$ factors through $Z^{i-1}(D)$ for any divisor $D \subset X$ that contains Z , and so the non-trivial class in the cokernel of the above corollary is not hit by $Z^{i-c}(Z)_{\text{tors}}$ and, hence, in particular not by the torsion in $H^{2i-2c}(Z, \mathbb{Z}) = H_{2d_X-2i}^{\text{BM}}(Z, \mathbb{Z})$, where $d_X = \dim X$. In particular, the above theorem implies Corollary 1.7 stated in the introduction.

Proof of Theorem 10.6. By Proposition 10.5, there is a unirational smooth complex projective variety Y of dimension $3n$ such that $H^{2i}(Y, \mathbb{Q}/\mathbb{Z}) \rightarrow H^{2i}(\mathbb{C}(Y), \mathbb{Q}/\mathbb{Z})$ is non-zero for all $i = 1, \dots, n$. It thus follows from a theorem of Colliot-Thélène [Col19, Theorem 1.1] that there is an elliptic curve E such that the product $X = Y \times E$ has the property that

$$H^{2i+1}(X, \mathbb{Q}/\mathbb{Z}) \rightarrow H^{2i+1}(\mathbb{C}(X), \mathbb{Q}/\mathbb{Z})$$

is non-zero for all $i = 1, \dots, n$. As the Chow group of zero cycles of X is supported on a curve (i.e. $\text{CH}_0(\{pt.\} \times E) \rightarrow \text{CH}_0(Y \times E)$ is surjective), the rational unramified cohomology groups of X above degree one vanish by a simple Bloch–Srinivas decomposition of the diagonal argument, see, e.g., [CV12, Proposition 3.3.(i)].¹ The result thus follows from Corollary 10.3. \square

10.6.2 *Applications to the Artin–Mumford invariant.* In [AM72], Artin and Mumford showed that for any smooth complex projective variety X , the torsion subgroup of $H^3(X, \mathbb{Z})$ is a birational invariant and used this to construct unirational threefolds that are not rational. For $i > 3$, the torsion subgroup of $H^i(X, \mathbb{Z})$ is not a birational invariant. However, Voisin observed (see [Voi12, Remark 2.4]) that the Bloch–Kato conjecture proven by Voevodsky implies that the

¹ This step uses the existence of an action of algebraic cycles on unramified cohomology, hence [BO74] or [Sch22], but it does not use the Bloch–Kato conjectures, as we are only concerned about the vanishing of unramified cohomology with rational coefficients and so torsion-freeness of $H_{nr}^i(X, \mathbb{Z})$ is not needed.

torsion subgroup of $H^5(X, \mathbb{Z})/N^2H^5(X, \mathbb{Z})$ is a birational invariant. By Proposition 7.32, there is a canonical surjection

$$\varphi : \text{Tors} \left(\frac{H^i(X, \mathbb{Z})}{N^2H^i(X, \mathbb{Z})} \right) \twoheadrightarrow \frac{G^{\lceil i/2 \rceil} H_{nr}^{i-1}(X, \mathbb{Q}/\mathbb{Z})}{H_{nr}^{i-1}(X, \mathbb{Q})}.$$

(It follows from the Bloch–Kato conjecture, proven by Voevodsky, that this surjection is, in fact, an isomorphism, see Remark 7.33, but we do not use this.)

As an application, we prove that Voisin’s generalization of the Artin–Mumford invariant is non-trivial in any odd degree.

COROLLARY 10.7. *For any positive integer i , there is a unirational smooth complex projective variety X with a torsion class in $H^{2i+1}(X, \mathbb{Z})$ that is non-zero in the quotient*

$$H^{2i+1}(X, \mathbb{Z})/N^2H^{2i+1}(X, \mathbb{Z}).$$

Proof. Rationally connected varieties have no rational unramified cohomology in positive degrees, see, e.g., [CV12, Proposition 3.3(i)]. The claim in Corollary 10.7 follows therefore directly from Propositions 6.9, 7.32, and 10.5. □

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CONFLICTS OF INTEREST

None.

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