



## Concise Communication

# Antimicrobial use and opportunities for antimicrobial stewardship in pediatric postacute and long-term care settings

Candace L. Johnson MD<sup>1,2</sup> , Natalie Neu MD, MPH<sup>1</sup> and Lisa Saiman MD, MPH<sup>1,2</sup> 

<sup>1</sup>Department of Pediatrics, Columbia University Irving Medical Center, New York, New York and <sup>2</sup>Department of Infection Prevention and Control, NewYork-Presbyterian Hospital, New York, New York

### Abstract

We performed a point-prevalence study of antimicrobial prescriptions in 9 pediatric postacute and long-term care (pPALTC) settings. Antimicrobials were prescribed for 5%–7% of residents including infectious (41%), noninfectious (24%), prophylaxis (24%), and unknown (11%) indications. Macrolides were often prescribed for noninfectious indications. Developing treatment guidelines are antimicrobial stewardship opportunities for pPALTC.

(Received 3 March 2022; accepted 4 November 2022; electronically published 30 January 2023)

Pediatric postacute and long-term care (pPALTC) settings provide residential healthcare for children with ongoing medical needs no longer requiring acute care.<sup>1</sup> Such children have unique risks for infections including the following: frequent use of medical devices, multipatient rooms, numerous contacts with staff and visitors, shared therapy equipment and toys, on-site schools, and age-related susceptibility to infections. Little is known about antimicrobial use and stewardship opportunities in pPALTC settings. We evaluated prescriptions for antimicrobials and identified potential antimicrobial stewardship opportunities in pPALTC settings.

### Methods

We conducted a retrospective point-prevalence survey of antimicrobial use on January 20 and July 20, 2016. Because a centralized database identifying pPALTC settings is lacking, we recruited sites from the Pediatric Complex Care Association (~40 sites, [www.pediatriccomplexcare.org](http://www.pediatriccomplexcare.org)) and the Pediatric Leadership Committee of the Society of Healthcare Epidemiology of America (~30 participants). Eligible sites were in the United States and were freestanding pPALTC settings, pediatric postacute care units within acute-care settings, or PALTC settings serving both adults and children. Eligible residents were aged ≤21 years. Ineligible residents were receiving respite care or only attending daycare programs and/or on-site schools. The institutional review boards at Columbia University Irving Medical Center and the study sites approved the study with a waiver of informed consent.

Designated site staff collected data pertaining to the following site characteristics: number of beds, use of an electronic medical

record (EMR), and resources for infection prevention and control and antimicrobial stewardship. On each point-prevalence date, site staff collected demographic and clinical characteristics of residents prescribed antimicrobial agents; the type of antimicrobial prescribed; route of administration including systemic (oral or intravenous) or topical (applied to the skin or via endotracheal or inhaled administration); and indications for prescription. Bacterial cultures and susceptibility testing results were collected, when available.

We used 4 parameters to identify antimicrobial stewardship opportunities. First, we considered antimicrobial use for noninfectious indications as stewardship opportunities. Second, we assessed adherence to treatment guidelines for hospital-acquired pneumonia (HAP) or ventilator-associated pneumonia (VAP),<sup>2</sup> otitis media (OM),<sup>3</sup> urinary tract infections (UTIs),<sup>4</sup> and skin and soft-tissue infections (SSTIs).<sup>5</sup> Third, we determined the proportion of prescriptions without a stated indication. Fourth, we assessed pathogen–drug mismatches, that is, treatment with an agent to which the identified organism was resistant.

Descriptive statistics included frequencies, means (standard deviations), and medians (interquartile range). We performed  $\chi^2$  and Student *t* tests, as appropriate. *P* values <.05 were considered significant.

### Results

In total, 9 sites participated: 5 in the Northeast Census region, 3 in the South, and 1 in the West. Also, 3 sites had ≤50 beds, 3 sites had 51–100 beds, and 3 sites had >100 beds. All sites had an infection preventionist, of whom 5 were full time. In addition, 6 sites had an antimicrobial stewardship program with physician oversight. Of these, 4 sites had restricted formularies and 5 sites used audit and feedback. Furthermore, 8 sites had an EMR: 7 included antibiotic dosing guidelines and 4 required indications for antibiotic prescriptions. All sites had access to bacterial cultures and susceptibility testing.

On the January study date versus the July study date, systemic antimicrobial agents were prescribed to 56 (7%) of 834 residents

**Author for correspondence:** Lisa Saiman, E-mail: [ls5@cumc.columbia.edu](mailto:ls5@cumc.columbia.edu)

**PREVIOUS PRESENTATION.** The preliminary data from this project were presented as a poster, “Point prevalence survey on antimicrobial use in pediatric postacute care facilities,” at IDWeek 2017 on October 4–8, 2017, in San Diego, California.

**Cite this article:** Johnson CL, Neu N, and Saiman L. (2023). Antimicrobial use and opportunities for antimicrobial stewardship in pediatric postacute and long-term care settings. *Infection Control & Hospital Epidemiology*, 44: 1515–1517, <https://doi.org/10.1017/ice.2022.287>



**Table 1.** Indications for Systemic Antimicrobial Agents Prescribed in Pediatric Postacute and Long-Term Care Settings on Each Point-Prevalence Survey Date, January Versus July

Indication	Overall <sup>a</sup> (n = 111 agents), No. %	January (n = 63 agents), No. %	July (n = 48 agents), No. %
<b>Infections</b>	45 (41)	30 (48)	15 (31)
Skin and soft tissue	12 (11)	9 (14)	3 (6)
Urinary tract	10 (9)	9 (14)	1 (2)
Head, eye, ear, nose, throat	6 (5)	4 (6)	2 (4)
Respiratory tract	8 (7)	4 (6)	4 (8)
Bloodstream	3 (3)	3 (5)	...
Gastrointestinal	3 (3)	1 (2)	2 (4)
<b>Noninfectious indications</b>	27 (24)	15 (24)	12 (25)
Dysmotility	17 (15)	11 (17)	6 (13)
Neurologic	7 (6)	4 (6)	3 (6)
Other <sup>b</sup>	3 (3)	...	3 (6)
<b>Prophylaxis</b>	27 (24)	14 (22)	13 (27)
Urinary tract infection	10 (9)	6 (10)	4 (8)
Asplenia	6 (5)	3 (5)	3 (6)
Skin and soft tissue infection	3 (3)	2 (3)	1 (2)
Chronic respiratory tract infection	2 (2)	1 (2)	1 (2)
Other <sup>c</sup>	4 (4)	2 (3)	2 (4)
<b>Unknown</b>	11 (10)	4 (6)	7 (15)

<sup>a</sup>13% of residents in January and 10% of residents in July were treated with >1 systemic agent.

<sup>b</sup>Other noninfectious indications: abrasion, tracheal edema, tracheocutaneous fistula, eczema.

<sup>c</sup>Other prophylaxis: post-transplant, neutropenia, chronic otitis media, gastrointestinal bacterial overgrowth.

versus 40 (5%) of 851 residents, respectively. For residents prescribed antimicrobials, the median length of pPALTC stay was 3.3 years (interquartile range [IQR], 0.4–7.9) in January and 3.4 years (IQR, 0.5–8.0) in July. On both dates, neurologic, respiratory, and gastrointestinal comorbid conditions were most common; 63% of residents had  $\geq 3$  comorbidities and most had  $\geq 2$  medical devices (81% in January and 70% in July). The proportion of residents who were prescribed antibiotics with tracheostomy tubes was higher in January than in July (68% vs 48%, respectively;  $P < .05$ ).

Similar indications for systemic agents were reported on both dates and included infectious (41%), noninfectious (24%), and prophylaxis (24%) indications; 11% lacked an indication (Table 1). SSTI was the most common infectious indication, gastrointestinal dysmotility was the most common noninfectious indication, and UTI was the most common prophylaxis indication. Macrolide agents were the most common antimicrobials prescribed on both study dates and were most often prescribed for noninfectious indications (19 of 25, 76%) (Table 2).

On each date, 36 residents (4%) were prescribed topical antimicrobial agents, of which 29% were prescribed for infectious indications, 31% were prescribed for noninfectious indications, and 10% were prescribed for prophylaxis indications, whereas 30% lacked

an indication. Clindamycin, bacitracin, and mupirocin were the most common topical agents prescribed: 15 (94%) of 16 topical clindamycin prescriptions were for acne and 6 of 6 inhaled aminoglycoside prescriptions were prophylaxis for respiratory tract infections (RTIs).

Of 12 residents prescribed antimicrobials for RTIs, 8 were diagnosed with tracheitis and 4 were diagnosed with pneumonia. Of these 12 residents, 7 received a systemic agent and 5 received a topical agent. None received recommended agents for HAP or VAP.<sup>2</sup> Of 4 residents aged  $\leq 12$  years with OM, 3 were prescribed amoxicillin as recommended.<sup>3</sup> Topical agents were prescribed for 4 of 9 residents with cellulitis and 1 of 4 residents with an abscess, which is inconsistent with SSTI guidelines.<sup>5</sup>

Culture results were available for 19 (42%) of 45 infections: 9 of 10 UTIs; 5 of 10 RTIs; 1 of 1 BSI; and 4 of 23 SSTIs. Antimicrobial susceptibility data were available for 17 (89%) of 19 positive cultures; pathogen–drug mismatches were identified for 3 (18%) of 17 cultures.

## Discussion

This multicenter study is the first to assess antibiotic use in pPALTC settings. Overall, 5%–7% of residents were prescribed a systemic antibiotic on the study dates. This rate is similar to prescribing prevalence rates in adult PALTC (aPALTC) settings, which have ranged from 6% to 10%.<sup>6</sup> SSTIs were the most common infection in these pPALTC facilities, whereas UTIs and RTIs were most common in aPALTC facilities.<sup>6</sup>

We identified several stewardship opportunities. Prescriptions for narrow-spectrum systemic agents were relatively common, but nearly half were for noninfectious indications and prophylaxis, which may be associated with adverse outcomes. For example, when used for gastrointestinal dysmotility, erythromycin can be associated with resistance and adverse impacts on the gastrointestinal microbiome; thus, alternative prokinetic agents should be used, when feasible.<sup>7</sup> Prescriptions for topical antimicrobials were nearly as common as prescriptions for systemic agents. We speculate that the use of topical prophylaxis for SSTIs reflects staff and family concerns about maintaining skin integrity because this population can develop skin breakdown and subsequent complications. However, staff and family should be provided education given reports of the emergence of mupirocin-resistance in aPALTC associated with decolonization.<sup>8</sup>

This study had several limitations. We included a small number of sites, and data collection was restricted to 2 days; thus, the findings may not be generalizable to other pPALTC settings. Many of the treatment guidelines we used to assess appropriateness of prescriptions do not target pPALTC residents. Treatment guidelines for HAP and VAP are intended for adults in acute care.<sup>2</sup> UTI treatment guidelines address children aged  $< 24$  months.<sup>4</sup> In this study, 90% of residents with UTIs were  $\geq 24$  months old. OM treatment guidelines address children aged  $\leq 12$  years.<sup>3</sup> In this study, 33% of residents with OM were aged  $> 12$  years. In fact, lack of treatment guidelines was a recognized barrier for antimicrobial stewardship by pPALTC staff.<sup>9</sup> Furthermore, we did not assess additional metrics of prescribing, such as dosing or duration, nor assess the frequency of infections with multidrug-resistant organisms or *Clostridioides difficile*.

This study was performed before the implementation of the Centers for Medicaid and Medicare Services' rule requiring antimicrobial stewardship programs in aPALTC settings as a condition of reimbursement.<sup>10</sup> Future studies evaluating the implementation

**Table 2.** Administration Route and Types of Systemic Antimicrobials Prescribed for Infections, Noninfectious Indications, and Prophylaxis in Pediatric Postacute and Long-Term Care Settings

Administration Route Antimicrobial Type	Infections, No. %	Noninfectious, No. %	Prophylaxis, No. %	Unknown, No. %	Total, No. %
<b>Oral</b>	37 (20)	27 (15)	27 (15)	10 (5)	101 (54)
Macrolide agent	1 (0.5)	19 (10)	3 (2)	2 (1)	25 (14)
Amoxicillin, amoxicillin-clavulonate	10 (5)	1 (0.5)	7 (4)	2 (1)	20 (11)
Cephalexin, cefdinir	8 (4)	...	2 (1)	1 (0.5)	11 (6)
Amantadine	...	7 (4)	...	3 (2)	10 (5)
Nitrofurantoin	2 (1)	...	6 (3)	...	8 (4)
Trimethoprim-sulfamethoxazole	4 (2)	...	3 (2)	1 (0.5)	8 (4)
Fluoroquinolone agent	6 (3)	...	...	...	6 (3)
Other <sup>a</sup>	6 (3)	...	6 (3)	1 (0.5)	13 (7)
<b>Intravenous</b>	5 (3)	...	...	1 (0.5)	6 (3)
Ceftriaxone	2 (1)	...	...	1 (0.5)	3 (2)
Ampicillin	1 (0.5)	...	...	...	2 (1)
Vancomycin	1 (0.5)	...	...	...	1 (0.5)
Meropenem	1 (0.5)	...	...	...	1 (0.5)

<sup>a</sup>Other (n): clindamycin (4), acyclovir (4), metronidazole (2), fluconazole (1), linezolid (1), vancomycin (1).

of this rule in pPALTC settings could identify successful stewardship strategies for these resource-challenged settings. Additionally, creating definitions for infections applicable to pPALTC settings and better understanding of pathogens and their susceptibility patterns would facilitate the development of treatment guidelines for pPALTC.

**Acknowledgments.** The authors are indebted the study sites and the staff who collected the study data. Sites included Children's Specialized Hospital (New Brunswick, New Jersey), Elizabeth Seton Children's Center (Yonkers, New York), Home of the Innocents (Louisville, Kentucky), New England Pediatric Care (North Billerica, MA), Rady Children's Hospital (San Diego, California), Sunshine Children's Home and Rehabilitation Center (Ossining, New York), The Center for Discovery (Harris, New York), The Children's Center Rehabilitation Hospital (Bethany, Oklahoma), and The Children's Hospital of San Antonio (San Antonio, Texas).

**Financial support.** Dr. Johnson received salary support from the NIH NIAID T32 Training Grant in Pediatric Infectious Diseases (AI007531, PI- Lisa Saiman).

**Conflicts of interest.** The authors have no conflicts of interest relevant to this article to disclose.

## References

- O'Brien JE, Berry J, Humas H. Pediatric postacute hospital care: striving for identity and value. *Hosp Pediatr* 2015;5:548–551.
- Kalil AC, Metersky ML, Klompas M, *et al.* Management of adults with hospital-acquired and ventilator-associated pneumonia: 2016 clinical practice guidelines by the Infectious Diseases Society of America and the American Thoracic Society. *Clin Infect Dis* 2016;63:e61–e111.
- Lieberthal AS, Carroll AE, Chonmaitree T, *et al.* The diagnosis and management of acute otitis media. *Pediatrics* 2013;131:e964.
- Subcommittee on Urinary Tract Infection, Steering Committee on Quality Improvement and Management. Urinary tract infection: clinical practice guideline for the diagnosis and management of the initial UTI in febrile infants and children 2 to 24 months. *Pediatrics* 2011;128:595–610.
- Stevens DL, Bisno AL, Chambers HF, *et al.* Practice guidelines for the diagnosis and management of skin and soft-tissue infections: 2014 update by the Infectious Diseases Society of America. *Clin Infect Dis* 2014;59:e10–e52.
- Pakyz AL, Dwyer LL. Prevalence of antimicrobial use among United States nursing home residents: results from a national survey. *Infect Control Hosp Epidemiol* 2010;31:661–662.
- Hawkyard CV, Koerner RJ. The use of erythromycin as a gastrointestinal prokinetic agent in adult critical care: benefits versus risk. *J Antimicrob Chemother* 2007;59:347–358.
- Schora DM, Boehm S, Das S, *et al.* Impact of detection, education, research and decolonization without isolation in long-term care (DERAIL) on methicillin-resistant *Staphylococcus aureus* colonization and transmission at 3 long-term care facilities. *Am J Infect Control* 2014;42:S269–S273.
- Johnson CL, Jain M, Saiman L, Neu N. Antimicrobial stewardship in pediatric post-acute care facilities. *Am J Infect Control* 2018;46:468–470.
- Centers for Medicare & Medicaid Services. Medicare and Medicaid programs: reform of requirements for long-term care facilities. *Federal Register* 2016;81:68688–68872.