

## Review

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# COVID-19 host genetics and ABO blood group susceptibility

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## Abstract

Twenty-five susceptibility loci for SARS-CoV-2 infection and/or COVID-19 disease severity have been identified in the human genome by genome-wide association studies, and the most frequently replicated genetic findings for susceptibility are genetic variants at the *ABO* gene locus on chromosome 9q34.2, which is supported by the association between ABO blood group distribution and COVID-19. The ABO blood group effect appears to influence a variety of disease conditions and pathophysiological mechanisms associated with COVID-19. Transmission models for SARS-CoV-2 combined with observational public health and genome-wide data from patients and controls, as well as receptor binding experiments in cell lines and human samples, indicate that there may be a reduction or slowing of infection events by up to 60% in certain ABO blood group constellations of index and contact person in the early phase of a SARS-CoV-2 outbreak. The strength of the ABO blood group effect on reducing infection rates further depends on the distribution of the ABO blood groups in the respective population and the proportion of blood group O in that population. To understand in detail the effect of ABO blood groups on COVID-19, further studies are needed in relation to different demographic characteristics, but also in relation to recent data on reinfection with new viral variants and in the context of the human microbiome.

## Impact statement

The contribution of host genetic factors to COVID-19 has been investigated through genome-wide association studies (GWAS), as genetic targets generally double the success rate of drugs in clinical development. Researchers from around the world have teamed up and found 25 susceptibility loci, primarily related to immune response, but also to the ABO blood group system (with the most commonly replicated locus, the *ABO* gene), that influence either susceptibility to infection and/or progression of COVID-19. These genetic discoveries can now help suggest specific targets for drug reuse and new drug development. They also increase our knowledge of COVID-19 biology and our understanding of genetic risk factors for SARS-CoV-2 transmission. Some of the genes, for example, *IFNAR2* and *ACE2*, encode proteins for which drug candidates are currently being tested in clinical trials. Strikingly, almost all independent (genetic and nongenetic) study data suggest that blood group A is associated with a higher probability of SARS-CoV-2 infection and blood group O with a lower probability, which is also reflected in the increased and decreased numbers of severely ill COVID-19 patients with blood group A and O, respectively. Model calculations show that the relative probability of SARS-CoV-2 transmission between an infected index person and an ABO-incompatible contact is reduced by an average of 40% in certain situations (ranging from 20 and 55% depending on ABO blood group frequency, estimated risk effect in different countries and the proportion of the population infected), which is confirmed by independent studies in couples. Current GWAS studies on refined COVID-19 symptoms such as loss of smell or taste and in the context of different demographic characteristics, but also in the context of recent data on reinfection with new viral variants and the human microbiome, are likely to help elucidate the underlying biology and provide precise and personalized treatments.

## Introduction

The COVID-19 pandemic is a global crisis that has caused severe disruption in health systems and the global economy. Tremendous efforts have been made to contain the spread of SARS-CoV-2 and immunize the world's population against the virus. At the same time, extensive research has been conducted to identify risk factors to protect vulnerable groups and to find new targets for drug development for severely ill individuals. To this end, a series of genome-wide association studies (GWAS) and genome-wide meta-analyses (GWMA) have been conducted in large patient populations to determine genetic determinants in the human

genome of susceptibility to infection and disease severity in COVID-19. After two early blood group studies from hospitals in Wuhan and Shenzhen in China (which both first appeared on a pre-print server in March 2020 and were later published in peer-reviewed journals (Zhang et al., 2020; Zhao et al., 2021)) showed a statistical correlation of ABO blood group distributions with the incidence of COVID-19 compared to uninfected controls, nearly all (hypothesis-free) COVID-19 GWAS and GWMA reported associations between genetic variants at the *ABO* gene locus and ABO blood group distribution and susceptibility to SARS-CoV-2 infection and/or severity of COVID-19. The statistical observation that ABO blood groups and thus blood group antigens, in general, can increase or decrease host susceptibility to infection, including viral infections with norovirus, rotavirus, HIV, SARS-CoV-1 and influenza, is not a new finding, although the underlying mechanisms are not clearly known (Cooling, 2015). Anthropological studies indicated that the geographical distribution of human blood groups also reflects the susceptibility of populations with certain blood groups to plague, cholera, smallpox, malaria and other infectious diseases (Berger et al., 1989). A well-known example is the increased host resistance to *Plasmodium falciparum* infection (malaria) in blood group O carriers in many African and Asian populations (Degarege et al., 2019), and it is estimated that 25% of the risk for malaria severity in Africa is determined by genetic factors of the human genome (Mackinnon et al., 2005). In the following sections, I summarize which susceptibility loci for SARS-CoV-2 infection and/or COVID-19 disease severity have been identified to date through large-scale genome-wide analyses and what the genetic variants at the *ABO* gene locus and the ABO blood group associations might have to do with SARS-CoV-2 infection or severity in COVID-19. I will then review the current models of SARS-CoV-2 transmission in the context of ABO blood groups for validity based on study results and briefly look at the distribution of ABO blood groups in different populations worldwide to illustrate, what impact the statistical finding that blood group O is protective against infection and/or the severity of COVID-19 disease might have on the transmissibility of SARS-CoV-2 at the population level and at the individual level, and when blood group O might offer a real advantage over the other blood groups.

### COVID-19 susceptibility loci from genome-wide studies

Of the 25 susceptibility loci with genome-wide significance identified to date in the human genome (Ellinghaus et al., 2020; COVID-19 Host Genetics Initiative, 2021; Pairo-Castineira et al., 2021; Shelton et al., 2021; Wu et al., 2021; COVID-19 Host Genetics Initiative, 2022; Cruz et al., 2022; Degenhardt et al., 2022; Horowitz et al., 2022; Namkoong et al., 2022; Roberts et al., 2022; Table 1), locus 9q34.2 with the *ABO* gene stands out because the genetic association there points directly to the *ABO* gene and because the risk/protective effect inferred from the ABO blood group distribution among cases and controls is very similar between genome-wide and blood group candidate studies. The genetic variants at the *ABO* gene locus represent the statistically strongest genetic associations in the so-called Manhattan *P* value association plots of the GWAS studies (Shelton et al., 2021) (or sometimes the second strongest next to the association signal at locus 3p21.31 (Ellinghaus et al., 2020; Horowitz et al., 2022), depending on whether one is testing for disease severity or infection). Moreover, it was shown that the genetic association at the *ABO* locus cannot be explained by

COVID-19 comorbidities, that is, potential confounding factors (Ellinghaus et al., 2020; Horowitz et al., 2022). It should be noted that most candidate genes listed in Table 1 from the respective publications, with the exception of *ABO* and *ACE2* (here a rare variant association upstream of the angiotensin-converting enzyme 2 gene, the primary cell entry receptor for SARS-CoV-2, was identified (Horowitz et al., 2022) which, however, describes a much smaller proportion of the heritability (So et al., 2011) for COVID-19 susceptibility compared to the *ABO* association in the general population due to its rare frequency and its comparable effect size with those of the common variants), are so far predominantly candidate genes that need to be investigated in functional studies for a biological effect. Indeed, most of the loci listed in Table 1 span a large number of genes, so that many genes at a susceptibility locus may be candidate susceptibility genes. An important observation about the *ABO* locus is that the *ABO* association signal has been replicated in almost all large COVID-19 GWAS studies, making it the most replicated locus for COVID-19 (along with 3p21.31). Multiple genetic variants have been identified for the *ABO* locus (Ellinghaus et al., 2020; COVID-19 Host Genetics Initiative, 2021; Shelton et al., 2021; Cruz et al., 2022; Degenhardt et al., 2022; Horowitz et al., 2022; Roberts et al., 2022; Table 2), including a frameshift insertion (rs8176719) in a recent trans-ethnic GWAS meta-analysis (Wu et al., 2021), although in this genome-wide meta-analysis, the frameshift polymorphism was only identified in one GWAS study from China using sequencing data and further confirmation is needed. The same is true for the association of a genetic variant near the *DOCK2* gene, which so far is significant only in one GWAS study from Japan and for the age group <65 years (Namkoong et al., 2022).

### The ABO blood group system in brief

The ABO histo-blood group system includes two antigens (A and B) and four blood groups (A, B, AB and O). The ABO blood group antigens, which are expressed predominantly on N-linked and O-linked glycoproteins as well as glycolipids, are expressed not only on erythrocytes but also on numerous other cell types. Their synthesis first requires synthesis of the histo-blood group H precursor antigen, which is catalyzed by the enzymes (fucosyltransferases) FUT1 (e.g., in erythroblasts, megakaryocytes and vascular endothelial cells) or FUT2 (in epithelial cells of, e.g., the upper respiratory tract and digestive tract), and then blood group A or B enzymes (glycosyltransferases) generate the A and B antigens (Cooling, 2015). In many epithelial tissues, ABO expression is highly dependent on the inheritance of the *Secretor/FUT2* gene, and null alleles of *FUT2* (the “nonsecretor” phenotype) are very common in the population (approximately 5–50% worldwide (Nordgren et al., 2016)), resulting in a deficiency of precursor H antigen synthesis and thus also a deficiency of A and B antigens in the corresponding cell types. The *ABO* gene and the *FUT2* gene are two of the few human genes that are clearly subject to frequency-dependent balanced selection (Pendur et al., 2021), which also suggests an important role in their interaction with environmental factors such as gut microbes (Ruhlemann et al., 2021). The glycosyltransferases of blood groups A and B are encoded by different alleles of the *ABO* gene: Type A antigen is synthesized by the glycosyltransferase encoded by A alleles of the *ABO* gene, while type B antigen is synthesized by the glycosyltransferase encoded by B alleles. The A and B antigens are autosomal codominant; this means that both A and B antigens are

**Table 1.** Genome-wide significant ( $P < 5 \times 10^{-8}$ ) susceptibility loci for SARS-CoV-2 infection and/or COVID-19 disease severity identified in large-scale (hypothesis-free) genome-wide analyses to date (as of August 2022) (Ellinghaus et al., 2020; COVID-19 Host Genetics Initiative, 2021; Pairo-Castineira et al., 2021; Shelton et al., 2021; Wu et al., 2021; COVID-19 Host Genetics Initiative, 2022; Cruz et al., 2022; Degenhardt et al., 2022; Horowitz et al., 2022; Namkoong et al., 2022; Roberts et al., 2022)

Variant	Locus	Chromosome: position	EA	NEA	OR	Infection/disease severity	Candidate gene	Reference
rs67579710	1q22	1:155203736	A	G	0.90	Disease severity	<i>EFNA1</i>	COVID-19 Host Genetics Initiative, 2022
rs11385942	3p21.31	3:45834968	AAA	AA	1.77	Both	<i>LZTFL1</i>	Ellinghaus et al. 2020
rs11919389	3q12.3	3:101705614	C	T	0.94	Infection	<i>RPL24</i>	COVID-19 Host Genetics Initiative, 2021
rs60200309	5q35	5:170092608	G	A	2.01	Disease severity	<i>DOCK2</i>	Namkoong et al. 2022
rs9380142	6p22.1	6:29831017	A	G	1.30	Disease severity	<i>HLA-G</i>	Pairo-Castineira et al. 2021
rs143334143	6p21.33	6:31153649	A	G	1.80	Disease severity	<i>CCHCR1</i>	Pairo-Castineira et al. 2021
rs3131294	6p21.32	6:32212369	G	A	1.50	Infection	<i>NOTCH4</i>	Pairo-Castineira et al. 2021
rs1886814	6p21.1	6:41534945	C	A	1.26	Disease severity	<i>FOXP4</i>	COVID-19 Host Genetics Initiative, 2021
rs10813976	9p13.3	9:33426577	A	G	1.20	Infection	<i>AQP3</i>	Cruz et al. 2022
rs657152	9q34.2	9:133263862	A	C	1.32	Both	<i>ABO</i>	Ellinghaus et al. 2020
rs721917	10q22.3	10:79946568	G	A	1.06	Disease severity	<i>SFTPD</i>	COVID-19 Host Genetics Initiative, 2022
rs766826	11p13	11:34507219	T	C	0.92	Disease severity	<i>ELF5</i>	COVID-19 Host Genetics Initiative, 2022
rs35705950	11p15.5	11:1219991	T	G	0.89	Disease severity	<i>MUC5B</i>	COVID-19 Host Genetics Initiative, 2022
rs10735079	12q24.13	12:112942203	A	G	1.30	Disease severity	<i>OAS3</i>	Pairo-Castineira et al. 2021
rs12809318	12q24.32	12:132564254	C	T	0.94	Disease severity	<i>FBRSL1</i>	COVID-19 Host Genetics Initiative, 2022
rs117169628	16q24.3	16:89196249	A	G	1.09	Disease severity	<i>SLC22A31</i>	COVID-19 Host Genetics Initiative, 2022
rs1819040	17q21.31	17:46142465	A	T	0.88	Disease severity	<i>KANSL1</i>	COVID-19 Host Genetics Initiative, 2021
rs77534576	17q21.33	17:49863303	T	C	1.45	Disease severity	<i>TAC4</i>	COVID-19 Host Genetics Initiative, 2021
rs2109069	19p13.3	19:4719431	A	G	1.26	Both	<i>DPP9</i>	Pairo-Castineira et al. 2021
rs74956615	19p13.2	19:10317045	A	T	1.43	Disease severity	<i>TYK2</i>	Pairo-Castineira et al. 2021
rs12609134	19q13.12	19:35687796	G	A	0.83	Disease severity	<i>UPK1A</i>	Cruz et al. 2022
rs4801778	19q13.33	19:48867352	T	G	0.95	Infection	<i>PLEKHA4</i>	COVID-19 Host Genetics Initiative, 2021
rs1405655	19q13.33	19:50379362	C	T	1.09	Disease severity	<i>NAPSA</i>	Degenhardt et al. 2022
rs13050728	21q22.11	21:33242905	C	T	0.82	Disease severity	<i>IFNAR2</i>	Pairo-Castineira et al. 2021
rs190509934	Xp22.2	X:15602217	C	T	0.60	Infection	<i>ACE2</i>	Horowitz et al. 2022

Note: Susceptibility variants from GWAS and GWMA studies with fewer than 1,500 individuals and fewer than two study populations as well as candidate gene studies are not listed. Variant: dbSNP name of the lead variant (without mentioning nearby variant names from later studies). Locus: chromosomal region. Chromosome: position: position according to human genome build hg38. EA: effect allele. NEA: non-effect allele. OR: odds ratio (i.e., estimated effect size) with respect to EA from the respective publication. The effect direction refers to whether the EA increases (OR > 1) or decreases (OR < 1) the risk of infection and/or disease severity. Candidate gene: preferably selected candidate gene from the respective publication. Reference: publication in which the genome-wide significant association was first reported.

synthesized in A/B individuals. Blood group O is autosomal recessive, and the O alleles are unable to produce a functional enzyme; therefore, in O/O individuals, the H precursor antigen is left unchanged. For this reason, O blood group individuals have anti-A and anti-B antibodies, A blood group individuals have anti-B antibodies, B blood group individuals have anti-A antibodies and AB blood group individuals have neither anti-A nor anti-B antibodies.

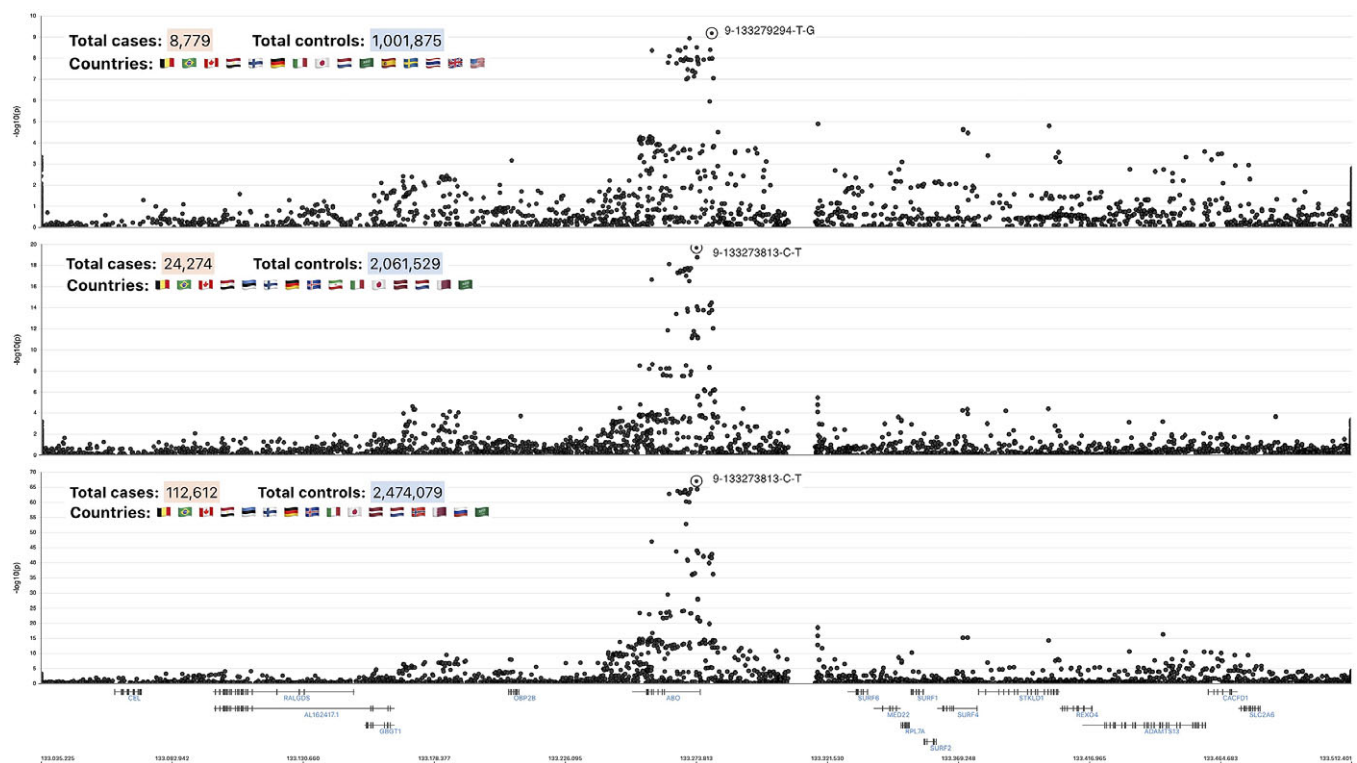
### Are the ABO locus and ABO blood groups related to infection risk, disease severity or both in COVID-19?

Although initial genome-wide and candidate studies have not yet provided a clear picture of this question, it is now apparent that genetic variants at the ABO locus confer risk (or protection) with

SARS-CoV-2 infection and COVID-19 severity (Figure 1 and Table 2). Numerous hypothesis-driven (nongenome-wide) studies have also reported associations between ABO blood groups and risk for COVID-19 infection (Barnkob et al., 2020; Goker et al., 2020; Leaf et al., 2020; Li et al., 2020; Zietz et al., 2020; Ahmed et al., 2021; Solmaz and Arac, 2021; Zhao et al., 2021) and severity of COVID-19 (Hoiland et al., 2020; Sardu et al., 2020; Muniz-Diaz et al., 2021; Ray et al., 2021) with almost all studies reaching the same conclusion as the GWAS/GWMA studies: A lower risk of infection for people with blood type O than for people with non-O blood types, with blood type A (sometimes AB (Namkoong et al., 2022) because of higher number of patients examined in Asian countries where AB is more common) being associated with a higher risk. Although the significant estimated risk (odds ratio(OR) > 1) or protection (OR < 1) of the ABO blood groups is rather small (for SARS-CoV-2 infection: OR 0.81 and 95% confidence interval (95% CI) 0.75–0.86

**Table 2.** Genome-wide significant associations between genetic variants at the *ABO* gene locus and SARS-CoV-2 infection and/or COVID-19 disease severity (including other important phenotypic associations for the variants)

Genetic variant at the <i>ABO</i> locus	Study population/impact on SARS-CoV-2 infection and/or COVID-19 disease severity
rs657152	COVID-19 severity (Ellinghaus et al., 2020); perfect correlation (linkage disequilibrium (LD) $r^2 = 1.0$ in Europeans and LD $r^2 = 0.95$ in all 1,000 Genomes populations (1000 Genomes Project Consortium et al., 2015)) with rs643434 which is associated with interleukin-6 levels (Naitza et al., 2012; Russell et al., 2020), C-reactive protein levels (Ligthart et al., 2018), and end-stage coagulation (Williams et al., 2013) (studies unrelated to COVID-19 or SARS-CoV-2).
rs9411378	SARS-CoV-2 infection (Shelton et al., 2021)
rs912805253	SARS-CoV-2 infection (Cruz et al., 2022)/COVID-19 severity (COVID-19 Host Genetics Initiative, 2021); high correlation (LD $r^2 = 0.97$ in Europeans and LD $r^2 = 0.81$ in all 1,000 Genomes populations (1000 Genomes Project Consortium et al., 2015)) with rs687289 (see below)
rs879055593	SARS-CoV-2 infection (Horowitz et al., 2022)
rs505922	SARS-CoV-2 infection/COVID-19 severity (COVID-19 Host Genetics Initiative, 2022; Roberts et al., 2022); high correlation (LD $r^2 = 0.98$ in Europeans and LD $r^2 = 0.87$ in all 1,000 Genomes populations (1000 Genomes Project Consortium et al., 2015)) with rs687289 (see below)
rs687289	COVID-19 severity (Degenhardt et al., 2022); rs687289 is associated with blood protein levels (Suhre et al., 2017), monocyte count (Vuckovic et al., 2020; Kachuri et al., 2021), venous thromboembolism (Lindstrom et al., 2019; Herrera-Rivero et al., 2021), fibroblast growth factor 23 levels (Folkersen et al., 2020) and coagulations factor VIII and von Willebrand factor plasma levels (Smith et al., 2010; Desch et al., 2013; Sabater-Lleal et al., 2019) (studies unrelated to COVID-19 or SARS-CoV-2).
rs8176719	COVID-19 severity (Wu et al., 2021); rs8176719 is associated with serum level of IL-1 $\beta$ (Wu et al., 2021) and susceptibility to malaria (Timmann et al., 2012) (studies unrelated to COVID-19 or SARS-CoV-2).

**Figure 1.** Summary of results from meta-analysis association studies at the 9q34.2 locus (*ABO*) conducted by the COVID-19 Host Genetics Initiative (HGI). Meta-analyses of association data show an association of the 9q34.2 locus (*ABO*) with (i) critical severity of illness, (ii) hospitalization and (iii) infection, as described in COVID-19 Host Genetics Initiative (2022). Upper Manhattan plot: association results for 8,779 critically ill COVID-19 patients versus 1,001,875 population controls. Middle Manhattan plot: association results for 24,274 hospitalized COVID-19 patients versus 2,061,529 population controls. Lower Manhattan plot: association results for 112,612 SARS-CoV-2 infected individuals versus 2,474,079 population controls. X-axis: chromosome positions and gene annotations on human genome build hg38. Y-axis: meta-analysis association  $p$ -values ( $-\log_{10}p$ ) of genetic markers. Plots were generated with the COVID-19 Host Genetics Initiative Browser (<https://app.covid19hg.org>; release 6).

for O vs. A/B/AB estimated across 20 cohort studies (Franchini et al., 2021); for COVID-19 disease severity with respiratory support: OR 0.65 for O vs. A/B/AB in Italian/Spanish study populations (Ellinghaus et al., 2020); OR 0.81 for O vs. A/B/AB for

Japanese study populations (Namkoong et al., 2022); OR 0.78 for O vs. A, OR 0.79 for O vs. B, OR 0.65 for O vs. AB for individuals of European ancestry from USA and United Kingdom (Shelton et al., 2021); OR 0.64 for O vs. A, OR 0.51 for O vs. B for Latin Americans

and OR 0.43 for O vs. B for African Americans (Shelton et al., 2021), sometimes inconsistent results from other studies (Leaf et al., 2020)), the actual effect or impact of these associations at the biological level and for the disease process in COVID-19 is difficult to assess. The impact on infection rate may depend strongly on the underlying pathophysiological mechanism, the ABO blood group distribution in the population of interest, socioeconomic interventions in different countries, and the proportion of the population already infected at a given time, among other factors. Interestingly, contrary to initial studies (Zietz et al., 2020), no association with the *RHD* locus was found (Shelton et al., 2021), suggesting that the rhesus factor on its own (and independent of the ABO blood group) is not a genetic risk factor. No significant difference was also found between the rhesus-positive and rhesus-negative forms of each ABO blood group.

The inclusion of controls with unknown status in most GWAS on disease severity may have led to associations with disease severity also being related to infection, as hospitalized cases are susceptible to infection, but an untested control group may or may not be susceptible, so it would be helpful to test against asymptomatic cases as well. Investigation of possible associations with severity is also possible with a comparison of blood group frequencies between patient subgroups with different clinical characteristics; results of studies with patient subgroups (not exhaustive) are listed in Table 3. These studies have further shown that blood group O is associated with lower disease severity, which is consistent with previously reported (SARS-CoV-2 independent) effects of ABO blood groups on thrombosis and vascular function (Vasan et al., 2016). Thus, numerous studies indicate that the *ABO* locus and ABO blood groups are susceptibility factors for SARS-CoV-2 infection and COVID-19 severity.

### SARS-CoV-2 transmission models in the context of ABO blood group effects

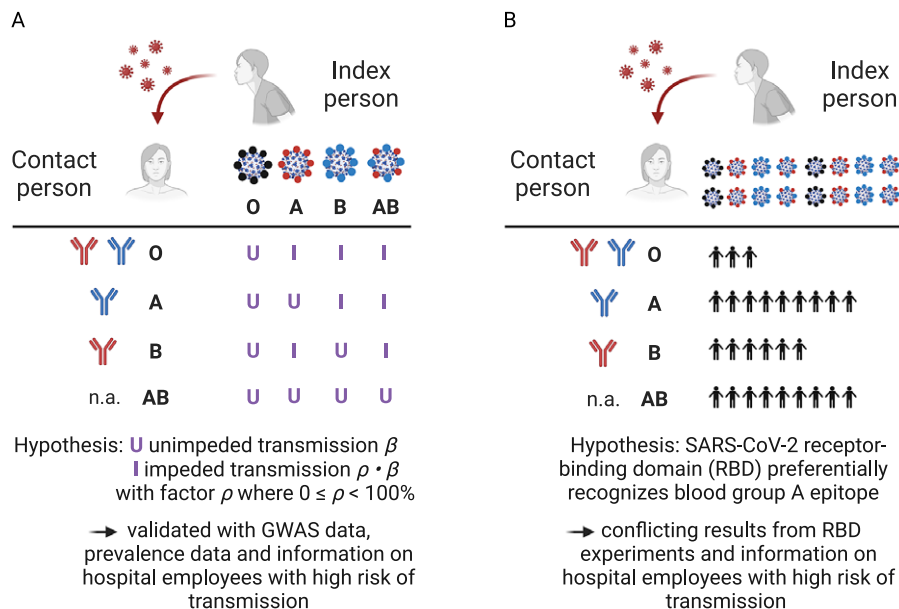
Two potential pathophysiological mechanisms (Figure 2) have mainly been proposed to explain the reported association

between ABO blood groups and the risk of SARS-CoV-2 infection: The ABO compatibility-dependence (or ABO-interference) hypothesis (neutralization by natural anti-ABO antibodies), as previously described for SARS-CoV-1 (Breiman et al., 2020), and the ABO-dependent intrinsic hypothesis (direct attachment of the virus spike protein to blood group A glycans), as previously described for noroviruses and rotaviruses (Le Pendu and Ruvoen-Clouet, 2020).

If SARS-CoV-2 viruses replicate in respiratory tract cells that express ABO antigens (depending on the host's ABO blood group and secretor status) then the A, B or H epitopes may also be present on the viral envelope glycoproteins due to the host cell glycosyltransferases, as shown in *in vitro* studies (Deleers et al., 2021). Thus, analogous to the rules of blood transfusion, in ABO incompatible situations (denoted with "I" in Figure 2A) of an index person (the one who transmits the virus) and a contact person (the one who receives the virus), we can speculate that the transmitted SARS-CoV-2 virus particles are neutralized by anti-A and anti-B antibodies, in which case individuals of blood group O would be at an advantage because they have both anti-A and anti-B antibodies. This hypothesis was first supported by previous *in vitro* observations for SARS-CoV-1 that anti-A antibodies can specifically block the interaction between the SARS-CoV-1 spike (S)-glycoprotein and its target, the ACE2 receptor (Guillon et al., 2008). Therefore, early after the outbreak of the COVID-19 pandemic, Breiman *et al.* hypothesized that in the presence of sufficient anti-A and/or anti-B antibody titers, individuals with blood groups O, A and B might also have some protection against transmission of SARS-CoV-2 by infected ABO-incompatible persons (Breiman et al., 2020). This hypothesis has now been explored by Ellis (2021) using more refined modeling techniques and COVID-19 GWAS (Ellinghaus et al., 2020) and prevalence data (Zietz et al., 2020; Zhao et al., 2021) from regions in the early phase of the SARS-CoV-2 epidemic. If the model holds, ABO incompatibility reduces viral transmissibility by 60% (Ellis, 2021), but the relative risk for each blood group is nearly the same once the majority of a given population is infected (see French Navy aircraft study (Boudin et al., 2020) below).

**Table 3.** Studies of mechanistic and pathophysiological hypotheses of ABO blood group effects as well as clinical findings from COVID-19 patient subgroup studies (not exhaustive) suggest an association between ABO blood groups and SARS-CoV-2 infection and COVID-19 disease severity

Mechanistic/pathophysiological observation	Impact on SARS-CoV-2 infection and/or COVID-19 disease severity
Anti-A and anti-B antibodies	If anti-A and anti-B antibodies play a role (Ellis, 2021), they reduce viral load and can impede infection during virus transmission. Once viral replication has occurred in the host, the newly formed virions carry autologous glycans that cannot be recognized by the host's own anti-A and anti-B antibodies (Pendu et al., 2021).
ABO effect on thromboembolic diseases and vascular function	Studies suggest that ABO blood groups modulate leukocyte-blood vessel interactions and influence the magnitude of the inflammatory response, and individuals with non-O blood groups were found to be at higher risk than individuals with O blood groups (Stowell and Stowell, 2019). Intracapillary thrombosis and endothelial dysfunction are major components of the severity of COVID-19 (Pendu et al., 2021).
ABO effect in COVID-19 patients with hypertension	COVID-19 patients with hypertension without blood group O had higher levels of prothrombotic indices, cardiac damage and mortality rates than blood group O patients (Sardu et al., 2020), consistent with the known effects of ABO blood groups on thrombosis and cardiovascular disease (Vasan et al., 2016). In individuals with hypertension, ABO blood groups had an impact on worse prognosis after SARS-CoV-2 infection (Sardu et al., 2020).
ABO effect on mechanical ventilation and disease severity	Studies of critically ill Canadian COVID-19 patients have shown that those with non-O groups are at higher risk of needing mechanical ventilation (Hoiland et al., 2020) or experiencing higher severity (Ray et al., 2021).
ABO effect on blood transfusion and risk of death	The risk of death was significantly higher for individuals in group A than for individuals in group O (Muniz-Diaz et al., 2021).



**Figure 2.** Two predominant hypotheses of possible mechanisms involving ABO blood group-related antigens: (A) The ABO-compatibility-dependence model (or ABO-interference) and (B) the ABO-dependent intrinsic model. The ABO-compatibility dependence model was recently modeled by Ellis (2021) under different assumptions and compared with observational healthcare data (Zietz et al., 2020; Zhao et al., 2021) and GWAS data from the Severe COVID-19 GWAS Group (Ellinghaus et al., 2020). Both models have been further evaluated by Boukhari et al. (2021) in a French study population of 666 individuals (333 index persons and their spouses) of known ABO blood type with a high risk of SARS-CoV-2 transmission (hospital employees) as well as receptor-binding domain (RBD) protein binding experiments in cell lines and saliva samples from individuals of known ABO and secretor phenotypes. For the ABO-compatibility-dependence model,  $\rho$  represents the relative probability of virus transmission between an infected index person and an ABO-incompatible contact (impeded transmission; pairs denoted with “I”) and was estimated to be 40% on average (between 20 and 55% depending on ABO blood group frequencies and relative risk ratios in different countries) by Ellis (2021). Boukhari *et al.* estimated a decrease of 41% in ABO-incompatible pairs. The ABO-dependent intrinsic hypothesis remains controversial because of conflicting study results (Boukhari et al., 2021; Wu et al., 2021). n.a., not available. Figure based on Boukhari et al. (2021) and extended.

In contrast, according to the ABO-dependent intrinsic hypothesis (Figure 2B), individuals with blood groups A, B and AB are inherently more susceptible to SARS-CoV-2 infection than individuals with blood group O, regardless of the blood group of the transmitting index person. Only the blood group of the contact person plays a role here. The difference in susceptibility is attributed to the possibility of direct binding of the receptor-binding domain (RBD) of the SARS-CoV-2 spike protein to blood group glycans (such as the A antigen) (Wu et al., 2021), which could facilitate the infection process and make individuals with non-O blood groups more vulnerable. Similar results were obtained from human noroviruses and rotaviruses studies, where the absence or low expression of the recognized glycan motifs due to combined *ABO*, *FUT2* and *FUT3* gene polymorphisms was associated with resistance to the diarrheal disease (Le Pendu and Ruvoen-Clouet, 2020).

### In favor of the ABO-compatibility-dependence model

The effects of the two hypotheses could potentially overlap, but with different consequences for different constellations of ABO blood group frequencies in the population. In an attempt to test the two hypotheses based on further data, Boukhari et al. (2021) calculated symptomatic secondary attack rates (SAR) in 333 French couples (333 index persons (hospital employees) and their spouses who constantly slept in the same bedroom; only symptomatic infections within 7 days with PCR confirmation were counted) with known blood groups and assessed the effect of ABO compatibility/incompatibility. This study was convincing because of its very good study design; some previous ABO blood group studies on other infections may have lacked such a design, which could have led to inconclusive

results. In addition, they experimentally reinvestigated the reported potential binding of the SARS-CoV-2 RBD to blood group A epitopes (Wu et al., 2021).

ABO incompatibility was significantly associated with a lower risk of symptomatic COVID-19 transmission ( $P = 0.0004$ ; OR 0.43, 95% CI 0.27–0.69); the SAR was 47.2% in ABO-compatible couples, but only 27.9% in ABO-incompatible couples (decrease of 41%). After further classification of ABO-compatible and ABO-incompatible couples according to the ABO blood group of the contact partner (spouse), SAR were always higher in ABO-compatible couples than in ABO-incompatible couples, regardless of the blood group of the contact partner (with exception of blood group AB, which cannot be incompatible, see Figure 2A). This means that the risk of transmission to spouses of blood group A was not higher than that to spouses of other blood groups with ABO compatibility, suggesting that all ABO blood groups are intrinsically equally susceptible to COVID-19 (contradictory result to the hypothesis in Figure 2B and previous studies (Wu et al., 2021)). Interestingly, as in the GWAS studies, the COVID-19 negative subgroup had a much higher frequency of blood group O and a much lower frequency of blood group A compared to the COVID-19 positive subgroup. In their binding experiments, the authors were further unable to demonstrate, among other things, either binding of the recombinant chimeric RBD-Fc protein to the blood group A 1 type chain structures (expressed on epithelial cells) or binding above background level (in dependence of the ABO blood group of the donor) of the RBD to salivary mucins from saliva samples (salivary mucins contain histo-blood group antigens similar to those in epithelia; saliva samples with secretor phenotype were selected to ensure expression of A, B or H(O) histo-blood group antigens).

## The impact of the ABO-compatibility-dependence model on the population and individual level

Different blood group antigens can generally increase or decrease host susceptibility to infections (Cooling, 2015). The two most common ABO blood groups in Western Europe are blood group A and blood group O. On the Eurasian continent, there is a gradient of blood group B, whose frequency increases from west to east (where a more balanced distribution between all ABO blood groups dominates and where also, interestingly, an ancient viral selection pressure on host coronavirus interacting genes began more than 20,000 years ago (Souilmi et al., 2021)). In Africa, blood group O is the most common (Cooling, 2015), and the Americas show the high proportion of blood type O (see Cabezas-Cruz et al., 2017, Supplementary Table 1, for an excellent overview of published geographic ABO blood group distributions worldwide or <https://biobankengine.shinyapps.io/hla-map/>, tab “ABO Global Map”). On the population level, according to the ABO-compatibility-dependence hypothesis, transmission rates then would have to be higher in populations with a high frequency of blood group O than in populations in which this blood type is less common because the frequency of compatible encounters is then higher. Indeed, Pendu et al. (2021) demonstrated (ruling out to a certain extent confounder effects due to socioeconomic and demographic inequalities and differences in protective measures among various countries) that the estimated protective effect of blood type O over A/B/AB was significantly higher in populations with blood group percentages below 40% than in populations with blood group percentages above 40%, and that countries where blood group O is most prevalent also had the highest SARS-CoV-2 infection rates. On an individual level, however, it is very likely that more or less no one can escape SARS-CoV-2 infection if a large part of the population is already infected. This can be illustrated by the isolated outbreak of SARS-CoV-2 in 2020, well-known from the media, when 75.8% of 1,769 crew members of the aircraft carrier *Charles de Gaulle* of the French Navy became infected, but no statistical association between ABO blood groups and SARS-CoV-2 incidence was found (Boudin et al., 2020).

## Conclusion and future perspectives

Twenty-five susceptibility loci for SARS-CoV-2 infection and/or COVID-19 have been identified through GWAS, and the most frequently replicated genetic finding is the ABO gene on chromosome 9q34.2, which encodes glycosyltransferases important for A and B antigens on epithelial cells, such as those of the upper respiratory tract and the digestive tract. The analysis of secondary attack rates (SAR) in ABO blood group incompatible pairs of individuals (denoted by pairs “I” in Figure 2A) as well as SARS-CoV-2 RBD experiments revealed no evidence for the ABO-dependent intrinsic susceptibility hypothesis (Figure 2B, i.e., higher susceptibility for blood group A due to potential binding of the SARS-CoV-2 RBD to the A antigen). Instead, the ABO-compatibility-dependence model is currently favored, where transmission in ABO incompatibility situations were associated with a much lower SAR than transmission in ABO compatibility situations (denoted by pairs “U” in Figure 2A). The results suggest that natural anti-AB antibodies can reduce the risk of SARS-CoV-2 transmission by up to 41% in blood group O individuals (Boukhari et al., 2021), consistent with a value of 40% (Ellis, 2021) (20–55% depending on ABO blood group frequencies and relative risk ratios in different countries) estimated from COVID-19 GWAS and observational

healthcare data (Ellinghaus et al., 2020; Zietz et al., 2020; Zhao et al., 2021). However, these estimates can only be valid if a large portion of the population is not yet infected with SARS-CoV-2. In previous studies, it has been reported that women with blood group O have higher natural anti-A antibody levels than men with blood type O and that antibody titers decrease with increasing age (de Franca et al., 2011; McVey et al., 2015). Therefore, future models could also account for possible sex- and age-related differences in SARS-CoV-2 transmission.

Major efforts by the worldwide GWAS community to unravel the genetic basis of COVID-19 have consistently identified genes with high biological plausibility, but no convincing polygenic risk score (PRS) for clinical testing for COVID-19 severity/SARS-CoV-2 infection has yet been published. This is a general problem with GWAS studies (Wald and Old, 2019), which is why PRS will be more useful for stratifying patients into subgroups. However, drug repurposing may offer a rapid approach to address the urgent need for therapeutics for COVID-19. Some of the genes identified in the COVID-19 GWAS studies, for example, *IFNAR2* and *ACE2*, encode proteins against which drug candidates are currently being tested in clinical trials (Gaziano et al., 2021). In addition, GWAS studies of other COVID-19 symptoms such as loss of smell or taste (Shelton et al., 2022) could help elucidate the underlying biology, which could be further steps toward new precise treatments. It remains to be seen whether the genetic associations in COVID-19 can make a significant direct contribution to precision medicine. However, it should be remembered that genetic studies in patients are one of the best ways to explore new therapeutic targets for precision medicine: The overall added value is that GWAS conduct genetic research directly in humans, allowing us to study and uncover individual patient risk factors in a hypothesis-free manner. It has been estimated that selecting genetically based targets generally doubles the success rate in clinical development (Nelson et al., 2015).

Not only various host factors such as sex, age, genetics and comorbidities, but also environmental factors such as the gut microbiome could have a major impact on SARS-CoV-2 infection and disease severity in COVID-19 (Chhibber-Goel et al., 2021; Yeoh et al., 2021; Wang et al., 2022). The composition of the microbiota varies between individuals and populations, and the composition of the gut microbiota is also genetically influenced (Kurilshikov et al., 2021). ABO blood groups and *Secretor/FUT2* status have already been associated with gut microbiome characteristics (Ruhlemann et al., 2021). Several studies have shown that SARS-CoV-2 infection has negative effects on the respiratory, intestinal and oral microbiota (Gang et al., 2022), manifested mainly in a decrease in microbial diversity and beneficial symbiotic bacteria and an increase in opportunistic pathogens (Zuo et al., 2020; Gao et al., 2021; Ren et al., 2021) or by priming of host inflammatory responses by the gut microbiome and regulation of endocrine signaling (Sarkar et al., 2021); however, studies on the effects of ABO blood groups on the human microbiome in COVID-19 patients are lacking. The extent to which the observed ABO blood group effects also apply to novel SARS-CoV-2 viral variants (as of 2022) is unknown. Whether the ABO-compatibility-dependence model also shows the same effects in people who have undergone reinfection with SARS-CoV-2 has not yet been investigated. According to the latest study results (infections until 2021; without Omicron variants), the risk for long-COVID is still present after previous vaccination (breakthrough SARS-CoV-2 infection) or could even increase after reinfections (Al-Aly et al., 2022a). Whether the risk of COVID-19 increases with reinfections with

SARS-CoV-2 is unclear, and recent study results sometimes contradict each other (Abu-Raddad et al., 2021; Chemaitelly et al., 2021; Al-Aly et al., 2022b). Therefore, future efforts to study blood group effects in the context of the human microbiome and other demographic and genetic variables, and in the context of multiple infections and newer viral variants, may provide more detailed insights into susceptibility to SARS-CoV-2 infection and the severity of COVID-19 in the context of ABO blood group effects.

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