

## AN ASYMPTOTIC FORMULA FOR A SUM OF PRODUCTS OF POWERS

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**1. Introduction.** Fix an integer  $r \geq 2$  and positive numbers  $b_1, \dots, b_r$ . Write  $\sigma = b_1 + \dots + b_r$ . Let  $t \in \mathbb{Z}$ ,  $k \in \mathbb{N}$ . In this note we evaluate the constant  $A$  (when it exists) for which

$$(1) \quad k^{1-\sigma-r} \sum j_1^{b_1} \dots j_r^{b_r} \rightarrow A \quad (k \rightarrow \infty),$$

where the sum is over all vectors

$$(2) \quad (j_1, \dots, j_r) \in \mathbb{N}^r, \text{ with } j_1 + \dots + j_r \equiv t \pmod{k} \text{ and } 1 \leq j_i \leq k.$$

We also obtain upper and lower bounds for the sum in (1).

If  $t$  is allowed to vary with  $k$ , one cannot generally expect an asymptotic constant  $A$  to exist. However, if  $t$  is so restricted that  $t/k$  approaches a limit  $\alpha$  as  $k \rightarrow \infty$ , then  $A$  does exist and we evaluate it in terms of Bernoulli polynomials  $B_\nu(\alpha)$ . In the case  $t = 0$ ,  $b_1 = \dots = b_r = 1$ , our formula (1) reduces essentially to that in [2].

**2. Notation.** If not otherwise indicated, a summation  $\sum$  is over the vectors in (2). The Bernoulli polynomials  $B_\nu(x)$  are defined by

$$\frac{we^{wx}}{e^w - 1} = \sum_{\nu=0}^{\infty} \frac{B_\nu(x)}{\nu!} w^\nu \quad (0 < |w| < 2\pi).$$

For  $\nu \geq 2$ ,  $0 \leq x \leq 1$ , these polynomials have the following Fourier expansions [1, p. 267]:

$$B_\nu(x) = -\frac{2\nu!}{(2\pi i)^\nu} \sum_{j=1}^{\infty} \frac{\cos 2\pi jx}{j^\nu}, \quad \text{if } 2 \mid \nu$$

and

$$B_\nu(x) = -\frac{2i\nu!}{(2\pi i)^\nu} \sum_{j=1}^{\infty} \frac{\sin 2\pi jx}{j^\nu}, \quad \text{if } 2 \nmid \nu.$$

For  $b > 0$ ,  $j \in \mathbb{Z}$ , define

$$(3) \quad C(b, j) = \int_0^1 x^b e^{2\pi i jx} dx.$$

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For non-zero  $j$ , integration by parts yields

$$(4) \quad C(b, j) = \frac{1}{2\pi ij} - \frac{b}{2\pi ij} \int_0^1 x^{b-1} e^{2\pi i j x} dx.$$

It follows that

$$(5) \quad |C(b, j)| \leq 1/\pi |j|.$$

In the case  $b \in \mathbb{N}$ , repeated use of (4) shows that

$$(6) \quad C(b, j) = P_b(-1/2\pi ij),$$

where

$$(7) \quad P_b(x) = - \sum_{m=0}^{b-1} \frac{b!}{(b-m)!} x^{m+1}.$$

For  $b_1, \dots, b_r \in \mathbb{N}$ , denote the polynomial  $\prod_{i=1}^r P_{b_i}(x)$  by  $\sum_{\nu=r}^{\sigma} e_{\nu} x^{\nu}$ .

Define

$$J = \left\{ j \in \mathbb{Z} : 1 \leq |j| \leq \left\lfloor \frac{k-1}{2} \right\rfloor \right\}.$$

**3. Upper and lower bounds.** THEOREM 1. Fix  $r \geq 2$  and positive numbers  $b_1, \dots, b_r$ . Let  $t \in \mathbb{Z}$ ,  $k \in \mathbb{N}$ . Then as  $k \rightarrow \infty$ ,

$$M_r \leq \liminf k^{1-\sigma-r} \sum j_1^{b_1} \cdots j_r^{b_r} \leq \limsup k^{1-\sigma-r} \sum j_1^{b_1} \cdots j_r^{b_r} \leq N_r,$$

where

$$M_r = \frac{\Gamma(b_1+1)\Gamma(b_2+1)}{\Gamma(b_1+b_2+2)} \prod_{i=3}^r (b_i+1)^{-1}$$

and

$$N_r = (b_1+b_2+1)^{-1} \prod_{i=3}^r (b_i+1)^{-1}.$$

**Proof.** First suppose that  $r = 2$ . Let  $L(m)$  denote the least positive residue of  $m \pmod k$ . Then

$$\sum_{j=1}^k j^{b_1} j^{b_2} = \sum_{j=1}^k j^{b_1} L^{b_2}(t-j).$$

Since the sequence  $L(t-1), L(t-2), \dots, L(t-k)$  is a permutation of  $1, 2, \dots, k$ , it follows that

$$(8) \quad \sum_{j=1}^k j^{b_1} (k+1-j)^{b_2} \leq \sum_{j=1}^k j^{b_1} j^{b_2} \leq \sum_{j=1}^k j^{b_1+b_2}.$$

As  $k \rightarrow \infty$ , the rightmost member of (8) is asymptotic to  $k^{b_1+b_2+1} N_2$  and the

leftmost is asymptotic to

$$\int_0^{k+1} x^{b_1}(k+1-x)^{b_2} dx \sim k^{b_1+b_2+1} M_2.$$

Therefore, the result follows from (8) in the case  $r = 2$ .

Now let  $r > 2$  and suppose that the theorem holds for  $r - 1$  in place of  $r$ . We have

$$\sum j_1^{b_1} \cdots j_r^{b_r} = \sum_{j=1}^k j^b \sum^* j_1^{b_1} \cdots j_{r-1}^{b_{r-1}}$$

where the sum  $\sum^*$  is over all vectors  $(j_1, \dots, j_{r-1}) \in \mathbb{N}^{r-1}$  with  $j_1 + \dots + j_{r-1} \equiv t - j \pmod k$  and  $1 \leq j_i \leq k$ . Applying the induction hypothesis to  $\sum^*$  and using the fact that

$$\sum_{j=1}^k j^b \sim \frac{k^{b+1}}{b+1},$$

the theorem follows.

**QED**

In case (1) holds, Theorem 1 says that  $M_r \leq A \leq N_r$ . It is proved in [2] that  $A = 2^{-r} - B_r(0)/r!$  in the case  $t = 0, b_1 = \dots = b_r = 1$ . This example shows that in general  $M_r$  cannot be replaced by the larger number  $\prod_{i=1}^r (b_i + 1)^{-1}$ , nor can  $N_r$  be replaced by the smaller number  $\prod_{i=1}^r (b_i + 1)^{-1}$ .

**4. Lemmas.** LEMMA 2. Let  $g_u(x) (1 \leq u \leq r)$  be complex valued functions, and set

$$f_u(x) = \sum_{n=1}^k g_u(n)x^n.$$

Write

$$F(x) = \prod_{u=1}^r f_u(x).$$

Then

$$\sum g_1(j_1) \cdots g_r(j_r) = k^{-1} \sum_{j=1}^k e^{-2\pi i j t/k} F(e^{2\pi i j/k}).$$

**Proof.** We may assume  $0 \leq t < k$ . Write

$$F(x) = \sum_{m=0}^{kr} c_m x^m \quad \text{and} \quad a_s = \sum_{\substack{0 \leq m \leq kr \\ m \equiv s \pmod k}} c_m \quad (0 \leq s < k).$$

Let  $V = \{e^{2\pi i j/k} : j = 1, 2, \dots, k\}$ . For each  $v \in V$ ,

$$v^{-t} F(v) = v^{-t} \sum_{m=0}^{kr} c_m v^m = \sum_{s=0}^{k-1} a_s v^{s-t}.$$

Since

$$\sum_{v \in V} v^{s-t} = \begin{cases} 0, & \text{if } s \neq t \\ k, & \text{if } s = t, \end{cases}$$

we have

$$\sum_{v \in V} v^{-t} F(v) = ka_t = k \sum g_1(j_1) \cdots g_r(j_r). \tag{QED}$$

We remark that if  $t=1$  and the  $g_u$  are taken to be primitive characters (mod  $k$ ) such that  $g_1 \cdots g_u$  is non-principal, then Lemma 2 yields the well known formula for  $r$ -fold Jacobi sums in terms of Gauss sums; see [4, p. 100].

LEMMA 3. For each  $b \in \mathbb{N}$  and  $j \in J$ ,

$$\sum_{n=1}^k n^b e^{2\pi i n j/k} = k^{b+1} C(b, j) + O(k^b),$$

where the implied constant depends only on  $b$ .

**Proof.** Curiously, the result does not seem to be readily deducible from the Euler–Maclaurin summation formula, so we utilize complex analysis. Taking  $b$ th derivatives in the identity

$$\sum_{n=1}^k e^{zn} = (e^{kz} - 1)(1 - e^{-z})^{-1},$$

we have

$$\sum_{n=1}^k n^b e^{zn} = \sum_{m=0}^b \binom{b}{m} (e^{kz} - 1)^{(b-m)} \left( \frac{1}{1 - e^{-z}} \right)^{(m)}.$$

Restrict  $z$  to the annulus  $0 < |z| < \pi$ . We have the Laurent expansion

$$(1 - e^{-z})^{-1} = z^{-1} + d_0 + d_1 z + d_2 z^2 + \cdots$$

Hence

$$\left( \frac{1}{1 - e^{-z}} \right)^{(m)} = (-1)^m m! z^{-m-1} + O(1),$$

where the implied constant depends only on  $m$ . Hence

$$\sum_{n=1}^k n^b e^{zn} = (e^{kz} - 1) \left( \frac{1}{1 - e^{-z}} \right)^{(b)} + \sum_{m=0}^{b-1} \binom{b}{m} k^{b-m} e^{kz} (-m! (z)^{-m-1} + O(1)).$$

Setting  $z = 2\pi i j/k$  with  $j \in J$ , we have the desired result, in view of (6).

LEMMA 4. Assume that  $t/k \rightarrow \alpha$  as  $k \rightarrow \infty$ . Then for each  $\nu \geq 2$ ,

$$\lim_{k \rightarrow \infty} \sum_{j=1}^{[(k-1)/2]} \frac{e^{2\pi i j t/k}}{(2\pi i j)^\nu} = \sum_{j=1}^{\infty} \frac{e^{2\pi i j \alpha}}{(2\pi i j)^\nu}$$

**Proof.** Put  $N = (t/k - \alpha)^{-1}$  (if  $t/k = \alpha$ ,  $N = \infty$ ). Let  $k \rightarrow \infty$ . Then  $N \rightarrow \infty$  and

$$\begin{aligned} \sum_{j=1}^{[(k-1)/2]} \frac{e^{2\pi i j t/k}}{(2\pi i j)^\nu} &= \sum_{j=1}^N \frac{e^{2\pi i j t/k}}{(2\pi i j)^\nu} + o(1) = \sum_{j=1}^N \frac{\exp(2\pi i j \alpha + 0(j/N))}{(2\pi i j)^\nu} + o(1) \\ &= \sum_{j=1}^N \frac{e^{2\pi i j \alpha}}{(2\pi i j)^\nu} + \sum_{j=1}^N o\left(\frac{1}{jN}\right) + o(1) \rightarrow \sum_{j=1}^\infty \frac{e^{2\pi i j \alpha}}{(2\pi i j)^\nu}. \end{aligned} \quad \text{QED}$$

**5. Asymptotic formula.** THEOREM 5. Fix  $r \geq 2$  and  $b_1, \dots, b_r \in \mathbb{N}$ . Let  $t$  and  $k$  be integers such that  $0 \leq t < k$  and  $t/k \rightarrow \alpha$  as  $k \rightarrow \infty$ . Then as  $k \rightarrow \infty$ ,

$$\sum j_1^{b_1} \dots j_r^{b_r} \sim A k^{\sigma+r-1},$$

where

$$A = \prod_{i=1}^r (b_i + 1)^{-1} - \sum_{\nu=r}^\sigma \frac{e_\nu}{\nu!} B_\nu(\alpha).$$

**Proof.** By Lemma 2,

$$\sum j_1^{b_1} \dots j_r^{b_r} = k^{-1} \sum_{j=1}^k e^{-2\pi i j t/k} \prod_{u=1}^r \sum_{n=1}^k n^{b_u} e^{2\pi i j n/k}.$$

The rightmost sum is asymptotic to  $k^{b_u+1}/(b_u + 1)$  when  $j = k$ , and it is equal to  $0(k^{b_u})$  when  $j = k/2$ . Thus,

$$\sum j_1^{b_1} \dots j_r^{b_r} \sim k^{\sigma+r-1} \prod_{i=1}^r (b_i + 1)^{-1} + k^{\sigma+r-1} H,$$

where

$$H = k^{-\sigma-r} \sum_{j \in J} e^{-2\pi i j t/k} \prod_{u=1}^r \sum_{n=1}^k n^{b_u} e^{2\pi i j n/k}.$$

It remains to show that

$$H \rightarrow - \sum_{\nu=r}^\sigma \frac{e_\nu}{\nu!} B_\nu(\alpha) \quad \text{as } k \rightarrow \infty.$$

By Lemma 3 and (5),

$$H = k^{-\sigma-r} \sum_{j \in J} e^{-2\pi i j t/k} \left\{ k^{\sigma+r} \prod_{u=1}^r C(b_u, j) + o\left(\frac{k^{\sigma+r-1}}{j^{r-1}}\right) \right\}$$

where the implied constant depends only on  $b_1, \dots, b_r$ . Thus,

$$H = \left\{ 1 + o\left(\frac{\log k}{k}\right) \right\} \sum_{j \in J} e^{-2\pi i j t/k} \prod_{u=1}^r C(b_u, j).$$

Therefore, by (6) and (7),

$$\begin{aligned}
 H &= \left\{ 1 + O\left(\frac{\log k}{k}\right) \right\} \sum_{j \in J} e^{-2\pi i j t/k} \sum_{\nu=r}^{\sigma} e_{\nu} \left(\frac{-1}{2\pi i j}\right)^{\nu} \\
 &= \left\{ 1 + O\left(\frac{\log k}{k}\right) \right\} \sum_{\nu=r}^{\sigma} e_{\nu} \cdot \sum_{j=1}^{\lfloor (k-1)/2 \rfloor} \frac{e^{2\pi i j t/k} + (-1)^{\nu} e^{-2\pi i j t/k}}{(2\pi i j)^{\nu}}.
 \end{aligned}$$

By Lemma 4,

$$H \rightarrow \sum_{\nu=r}^{\sigma} e_{\nu} \sum_{j=1}^{\infty} \frac{e^{2\pi i j \alpha} + (-1)^{\nu} e^{-2\pi i j \alpha}}{(2\pi i j)^{\nu}} = - \sum_{\nu=r}^{\sigma} \frac{e_{\nu}}{\nu!} B_{\nu}(\alpha).$$

COROLLARY 6. Under the hypotheses of Theorem 5,

$$\sum j_1 \cdots j_r \sim k^{2r-1} (2^{-r} + (-1)^{r+1} B_r(\alpha)/r!)$$

and

$$\sum (j_1 \cdots j_r)^2 \sim k^{3r-1} (3^{-r} + (-1)^{r+1} \sum_{n=0}^r \binom{r}{n} 2^n B_{n+r}(\alpha)/(n+r)!).$$

COROLLARY 7. Fix  $r \geq 2$ . Let  $k \in \mathbb{N}$  tend to  $\infty$ . Then

$$\sum_{\substack{1 \leq j_i \leq 2k \\ (j_1 + \cdots + j_r)/k \text{ odd}}} j_1 \cdots j_r \sim (2k)^{2r-1} (2^{-r} + (-1)^{r+1} B_r(\frac{1}{2})/r!).$$

For  $h_1, \dots, h_r \in \mathbb{N}$ , let  $A = A(h_1, \dots, h_r)$  be as in Theorem 5. Let  $A'(h_1, \dots, h_r)$  be obtained from  $A(h_1, \dots, h_r)$  by replacing  $\alpha$  by  $1 - \alpha$ . For  $b_1, \dots, b_r > 0$ , define

$$B = B(b_1, \dots, b_r) = \sum_{h_1=0}^{\infty} \cdots \sum_{h_r=0}^{\infty} (-1)^{h_1 + \cdots + h_r} \binom{b_1}{h_1} \cdots \binom{b_r}{h_r} A'(h_1, \dots, h_r).$$

Since  $|A'(h_1, \dots, h_r)| < 1$  by Theorem 1 and since

$$\sum_{h=0}^{\infty} \binom{b}{h}$$

converges absolutely for  $b > 0$  [3, p. 90], the  $r$ -fold series for  $B$  converges absolutely.

The following theorem extends Theorem 5.

THEOREM 8. Fix  $r \geq 2$  and  $b_1, \dots, b_r > 0$ . Let  $t \in \mathbb{Z}$ ,  $k \in \mathbb{N}$ ,  $0 \leq t < k$ , and  $t/k \rightarrow \alpha$  as  $k \rightarrow \infty$ . Then as  $k \rightarrow \infty$ ,

$$\sum j_1^{b_1} \cdots j_r^{b_r} \sim B k^{\sigma+r-1}.$$

**Proof.** Let  $\Sigma'$  be obtained from  $\Sigma$  by replacing  $t$  by  $-t$ . Then

$$\begin{aligned} \sum j_1^b \cdots j_r^b &= \sum' (k - j_1)^{b_1} \cdots (k - j_r)^{b_r} \\ &= k^{\sigma+r-1} \sum_{h_1=0}^{\infty} \cdots \sum_{h_r=0}^{\infty} (-1)^{h_1+\cdots+h_r} \binom{b_1}{h_1} \cdots \binom{b_r}{h_r} k^{1-r-(h_1+\cdots+h_r)} \sum' j_1^{h_1} \cdots j_r^{h_r}. \end{aligned}$$

We have

$$(9) \quad \sum j_1^b \cdots j_r^b = k^{\sigma+r-1} B + k^{\sigma+r-1} \sum_{h_1=0}^{\infty} \cdots \sum_{h_r=0}^{\infty} (-1)^{h_1+\cdots+h_r} \binom{b_1}{h_1} \cdots \binom{b_r}{h_r} \theta,$$

where

$$\theta = \theta(k, t, h_1, \dots, h_r) = k^{1-r-(h_1+\cdots+h_r)} \sum' j_1^{h_1} \cdots j_r^{h_r} - A'(h_1, \dots, h_r).$$

By Theorem 1,  $|\theta|$  is bounded by an absolute constant. Since also  $\theta \rightarrow 0$  as  $k \rightarrow \infty$ , it follows that the  $r$ -fold series in (9) approaches 0 as  $k \rightarrow \infty$ . Thus (9) yields the desired result.

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