

DIAGONAL CAUCHY SPACES

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A diagonal condition is defined which internally characterises those Cauchy spaces which have topological completions. The T_2 diagonal Cauchy spaces allow both a finest and a coarsest T_2 diagonal completion. The former is a completion functor, while the latter preserves uniformisability and has an extension property relative to θ -continuous maps.

INTRODUCTION

In 1954, Kowalsky [2] defined a diagonal axiom for convergence spaces subject to which every pretopological space is topological. In 1967, Cook and Fischer [1] gave a stronger version of the Kowalsky axiom relative to which a larger class of convergence spaces was shown to be topological. In [4], we showed that any convergence space satisfying the Cook-Fischer diagonal axiom is topological.

In this paper, we introduce a diagonal axiom for Cauchy spaces which reduces to the Cook-Fischer axiom when the Cauchy space is complete. A *diagonal Cauchy space* is one which satisfies this axiom. We show that a Cauchy space is diagonal if and only if every Cauchy equivalence class contains a smallest Cauchy filter, and this smallest Cauchy filter has a base of open sets. Equivalently, a Cauchy space is diagonal if and only if it allows a diagonal (that is, topological) completion. The category of diagonal Cauchy spaces and Cauchy continuous maps is shown to be a topological category.

It is shown that a T_2 diagonal Cauchy space has both a finest and a coarsest T_2 diagonal completion. The “fine diagonal completion” determines a completion functor on the category of T_2 , diagonal Cauchy spaces. The “coarse diagonal completion” is finer than any T_3 completion, and thus if a T_3 , diagonal completion exists, it necessarily coincides with the coarse diagonal completion. In particular, the coarse diagonal completion preserves uniformisability. A condition is given which is necessary and sufficient for the coarse diagonal completion of a T_3 , diagonal Cauchy space to be T_3 , and this is followed by an example which shows that the coarse diagonal completion of a totally bounded, T_3 , diagonal Cauchy space can fail to be either T_3 or totally bounded.

Finally, we show that the coarse diagonal completion, although not functorial, has the following interesting extension property: Any Cauchy continuous map between T_2 , diagonal Cauchy spaces has a θ -continuous extension to the respective coarse diagonal completions.

Received 30th October, 1995

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1. DIAGONAL CAUCHY SPACES

Let X be a set, $\mathbf{F}(X)$ the set of all (proper) filters on X , and 2^X the set of all subsets of X . For $x \in X$, let \dot{x} be the fixed ultrafilter generated by $\{x\}$. For $\mathcal{F}, \mathcal{G} \in \mathbf{F}(X)$, we write $\mathcal{F} \leq \mathcal{G}$ if $\mathcal{F} \subseteq \mathcal{G}$. If $F \cap G \neq \emptyset$, for all $F \in \mathcal{F}$ and $G \in \mathcal{G}$, let $\mathcal{F} \vee \mathcal{G}$ denote the filter generated by $\{F \cap G : F \in \mathcal{F}, G \in \mathcal{G}\}$. If, on the other hand, there are $F \in \mathcal{F}$ and $G \in \mathcal{G}$ such that $F \cap G = \emptyset$, we say that " $\mathcal{F} \vee \mathcal{G}$ fails to exist".

DEFINITION 1.1: A convergence structure q on a set X is a function $q : \mathbf{F}(X) \rightarrow 2^X$ such that:

- (a) $x \in q(\dot{x})$, for all $x \in X$;
- (b) $\mathcal{F} \leq \mathcal{G} \Rightarrow q(\mathcal{F}) \subseteq q(\mathcal{G})$;
- (c) $x \in q(\mathcal{F}) \Rightarrow x \in q(\mathcal{F} \cap \dot{x})$.

The statement $x \in q(\mathcal{F})$ means " \mathcal{F} q -converges to x ," which is also written " $\mathcal{F} \xrightarrow{q} x$." If p, q are convergence structures on X , $p \leq q$ if and only if $\mathcal{F} \xrightarrow{q} x$ implies $\mathcal{F} \xrightarrow{p} x$. If $p \leq q$, we say that " p is coarser than q " or " q is finer than p ." If q is a convergence structure on X , then (X, q) is a convergence space.

Given a convergence space (X, q) and $x \in X$, let $\mathcal{V}_q(x)$ denote the intersection of all filters which q -converge to x , and for a subset A of X , let $I_q(A) = \{x \in A : A \in \mathcal{V}_q(x)\}$ and $cl_q(A) = \{x \in X : \text{there is } \mathcal{F} \xrightarrow{q} x \text{ such that } A \in \mathcal{F}\}$. $\mathcal{V}_q(x)$ is called the q -neighbourhood filter at x , $I_q(A)$ is the q -interior of A , and $cl_q(A)$ is the q -closure of A . A subset U of X is defined to be q -open if $I_q(U) = U$. A convergence structure q on X is called a pretopology if $\mathcal{V}_q(x) \xrightarrow{q} x$, for each $x \in X$; a pretopology in which every neighbourhood filter has a base of q -open sets is a topology. For any convergence structure q on X , the set of all q -open subsets of X determines a topology τ_q on X , called the topological modification of q , which is the finest topology coarser than q .

In 1967, Cook and Fischer [1] introduced a "diagonal condition" for convergence spaces which we shall call Condition F. This condition is defined by means of a "compression operator" for filters which is defined as follows: If J is any set, $\mathcal{F} \in \mathbf{F}(J)$, and $\sigma : J \rightarrow \mathbf{F}(X)$ is any function, let $\kappa\sigma\mathcal{F} = \bigcup_{F \in \mathcal{F}} (\bigcap \sigma(y) : y \in F)$. Condition F may now be stated for a convergence space (X, q) as follows:

F: Let J be any set, $\psi : J \rightarrow X$, and let $\sigma : J \rightarrow \mathbf{F}(X)$ have the property that $\psi(y) \in \sigma(y)$ and $\sigma(y) \xrightarrow{q} \psi(y)$, for all $y \in J$. If $\mathcal{F} \in \mathbf{F}(J)$ is such that $\psi(\mathcal{F}) \xrightarrow{q} x$, then $\kappa\sigma\mathcal{F} \xrightarrow{q} x$.

In [4], the authors proved the following theorem.

THEOREM 1.2. A convergence structure q on a set X is a topology if and only if (X, q) satisfies Condition F.

We shall proceed to formulate an analogous diagonal condition for Cauchy spaces.

First we must review the basic theory of Cauchy spaces.

DEFINITION 1.3: A *Cauchy structure* \mathcal{C} on a set X is a collection of filters on X satisfying:

- (C₁) $\dot{x} \in \mathcal{C}$, for all $x \in X$;
- (C₂) $\mathcal{F} \in \mathcal{C}$ and $\mathcal{F} \leq \mathcal{G}$ implies $\mathcal{G} \in \mathcal{C}$;
- (C₃) If $\mathcal{F}, \mathcal{G} \in \mathcal{C}$ and $\mathcal{F} \vee \mathcal{G}$ exists, then $\mathcal{F} \cap \mathcal{G} \in \mathcal{C}$.

If $\mathcal{C}_1, \mathcal{C}_2$ are Cauchy structures on X , we write $\mathcal{C}_1 \leq \mathcal{C}_2$ if and only if $\mathcal{C}_2 \subseteq \mathcal{C}_1$; in this case we say “ \mathcal{C}_2 is finer than \mathcal{C}_1 ” or “ \mathcal{C}_1 is coarser than \mathcal{C}_2 .” A pair (X, \mathcal{C}) consisting of a set X and a Cauchy structure \mathcal{C} on X is called a *Cauchy space*.

For each Cauchy space (X, \mathcal{C}) , there is an associated convergence structure $q_{\mathcal{C}}$ on X defined by $\mathcal{F} \xrightarrow{q_{\mathcal{C}}} x$ if and only if $\mathcal{F} \cap \dot{x} \in \mathcal{C}$. A Cauchy space (X, \mathcal{C}) is \mathbf{T}_2 (or *Hausdorff*) if $\dot{x} \cap \dot{y} \in \mathcal{C}$ implies $x = y$. Equivalently, (X, \mathcal{C}) is \mathbf{T}_2 if and only if $(X, q_{\mathcal{C}})$ is \mathbf{T}_2 in the sense that each $q_{\mathcal{C}}$ -convergent filter has a unique limit. A \mathbf{T}_2 Cauchy space (X, \mathcal{C}) is \mathbf{T}_3 if $cl_{q_{\mathcal{C}}} \mathcal{F} \in \mathcal{C}$ whenever $\mathcal{F} \in \mathcal{C}$, *complete* if every filter \mathcal{F} in \mathcal{C} is $q_{\mathcal{C}}$ -convergent, and *totally bounded* if every ultrafilter on X is in \mathcal{C} .

Let (X, \mathcal{C}) be a Cauchy space. An equivalence relation \sim on \mathcal{C} is defined as follows: For $\mathcal{F}, \mathcal{G} \in \mathcal{C}$, $\mathcal{F} \sim \mathcal{G}$ if and only if $\mathcal{F} \cap \mathcal{G} \in \mathcal{C}$. If $\mathcal{F} \in \mathcal{C}$, let $[\mathcal{F}]_{\mathcal{C}} = \{\mathcal{G} \in \mathcal{C} : \mathcal{F} \sim \mathcal{G}\}$ be the equivalence class determined by \mathcal{F} ; this equivalence class is denoted simply by $[\mathcal{F}]$ if there is no ambiguity.

If (X, \mathcal{C}) and (Y, \mathcal{D}) are two Cauchy spaces, $f : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ is *Cauchy continuous* if $\mathcal{F} \in \mathcal{C}$ implies $f(\mathcal{F}) \in \mathcal{D}$. If $f : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ is Cauchy continuous, then obviously $f : (X, q_{\mathcal{C}}) \rightarrow (Y, q_{\mathcal{D}})$ is *continuous* in the sense that $\mathcal{F} \xrightarrow{q_{\mathcal{C}}} x$ implies $f(\mathcal{F}) \xrightarrow{q_{\mathcal{D}}} f(x)$. If $f : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ is a bijection such that f and f^{-1} are both Cauchy continuous, then f is a *Cauchy isomorphism*.

Let (X, \mathcal{C}) be a Cauchy space and $A \subseteq X$. $\mathcal{F} \in \mathbf{F}(X)$ has a *trace* on A if $F \cap A \neq \emptyset$, for all $F \in \mathcal{F}$; in this case $\mathcal{F}_A = \{F \cap A : F \in \mathcal{F}\}$ denotes the trace of \mathcal{F} on A . $\mathcal{C}_A = \{\mathcal{F}_A : \mathcal{F} \in \mathcal{C}, \mathcal{F} \text{ has a trace on } A\}$ is a Cauchy structure on A , and (A, \mathcal{C}_A) is a *Cauchy subspace* of (X, \mathcal{C}) . If $f : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ is such that $f : (X, \mathcal{C}) \rightarrow (f(X), \mathcal{D}_{f(X)})$ is a Cauchy isomorphism, then $f : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ is called a *Cauchy embedding*.

We next define the diagonal Condition D for a Cauchy space (X, \mathcal{C}) . The following notation will be useful: $\mathcal{N}_{\mathcal{C}} = \{\mathcal{G} \in \mathcal{C} : \mathcal{G} \text{ is non-} q_{\mathcal{C}}\text{-convergent}\}$.

D: Let J be any set, $\psi : J \rightarrow X \cup \{[\mathcal{G}] : \mathcal{G} \in \mathcal{N}_{\mathcal{C}}\}$, and let $\sigma : J \rightarrow \mathcal{C}$ be such that $\psi(y) \geq \sigma(y)$ and $\sigma(y) \xrightarrow{q_{\mathcal{C}}} \psi(y)$ if $\psi(y) \in X$ and $\sigma(y) \in [\mathcal{G}]$ if $\psi(y) = [\mathcal{G}]$, where $\mathcal{G} \in \mathcal{N}_{\mathcal{C}}$. If \mathcal{F} is a filter on J such that $\psi(\mathcal{F})$ is finer than the filter on $X \cup \{[\mathcal{G}] : \mathcal{G} \in \mathcal{N}_{\mathcal{C}}\}$ generated by $\mathcal{H} \cap \{[\mathcal{H}]\}$, for some $\mathcal{H} \in \mathcal{C}$, then $\kappa\sigma\mathcal{F} \in \mathcal{C}$.

Observe that Condition D is equivalent to Condition F if (X, \mathcal{C}) is a complete Cauchy space (in which case \mathcal{C} can be identified with $q_{\mathcal{C}}$).

A Cauchy space (X, \mathcal{C}) which satisfies Condition D will be called a *diagonal Cauchy space*. We shall now establish some properties of diagonal Cauchy spaces.

THEOREM 1.4. *If (X, \mathcal{C}) is a diagonal Cauchy space, then $q_{\mathcal{C}}$ is a topology.*

PROOF: By Theorem 1.2, it is sufficient to show that $(X, q_{\mathcal{C}})$ satisfies Condition F. If J, ψ , and σ are as specified in Condition F and \mathcal{F} is a filter on J such that $\psi(\mathcal{F}) \xrightarrow{q_{\mathcal{C}}} x$ (which is equivalent to $\psi(\mathcal{F}) \cap \dot{x} \in \mathcal{C}$), then we are dealing with a special case of Condition D where $\psi(J) \subseteq X$, and we conclude by the latter condition that $\kappa\sigma\mathcal{F} \in \mathcal{C}$. But $\psi(\mathcal{F}) \geq \kappa\sigma\mathcal{F}$, and $(\psi(\mathcal{F}) \cap \dot{x}) \vee \kappa\sigma\mathcal{F}$ exists, so by Definition 1.3 (C_3), $(\kappa\sigma\mathcal{F}) \cap \dot{x} \in \mathcal{C}$, or in other words, $\kappa\sigma\mathcal{F} \xrightarrow{q_{\mathcal{C}}} x$. Thus Condition F is satisfied. \square

PROPOSITION 1.5. *If (X, \mathcal{C}) is a diagonal Cauchy space and $\mathcal{G} \in \mathcal{C}$, then there is $\mathcal{H} \in \mathcal{C}$ such that $\mathcal{H} \leq \mathcal{G}$ and \mathcal{H} has a filter base of $q_{\mathcal{C}}$ -open sets.*

PROOF: Let $J = X$ and let $\mathcal{G} \in \mathcal{C}$. Let $\sigma(x) = \mathcal{V}_{q_{\mathcal{C}}}(x)$, the $q_{\mathcal{C}}$ -neighbourhood filter at x , for all $x \in X$. Let $\mathcal{H} = \kappa\sigma\mathcal{G}$. If $G \in \mathcal{G}$, then $\bigcap_{x \in G} \sigma(x) = \bigcap_{x \in G} \mathcal{V}_{q_{\mathcal{C}}}(x)$ is a filter with a base of $q_{\mathcal{C}}$ -open sets by Theorem 1.4, and $\mathcal{H} = \kappa\sigma\mathcal{G} = \bigcup_{G \in \mathcal{G}} \left(\bigcap_{x \in G} \sigma(x) \right)$ is likewise a filter with a base of $q_{\mathcal{C}}$ -open sets. Note that $\mathcal{H} \in \mathcal{C}$ by Condition D, and $\mathcal{H} \leq \mathcal{G}$ is obvious from the construction of $\kappa\sigma\mathcal{G}$. \square

THEOREM 1.6. *If (X, \mathcal{C}) is a diagonal Cauchy space, then for each $\mathcal{G} \in \mathcal{C}$, $[\mathcal{G}]$ contains a smallest filter \mathcal{G}_{\min} , and \mathcal{G}_{\min} has a filter base of $q_{\mathcal{C}}$ -open sets.*

PROOF: If $\mathcal{G} \xrightarrow{q_{\mathcal{C}}} x$, then $\mathcal{G}_{\min} = \mathcal{V}_{q_{\mathcal{C}}}(x)$, and $\mathcal{V}_{q_{\mathcal{C}}}(x)$ has a filter base of $q_{\mathcal{C}}$ -open sets by Theorem 1.4. Assume $\mathcal{G} \in \mathcal{N}_{\mathcal{C}}$ and let $\{\mathcal{G}_{\alpha} : \alpha \in A\}$ be a set of filters in \mathcal{G} such that $\bigcap[\mathcal{G}] = \bigcap\{\mathcal{G}_{\alpha} : \alpha \in A\}$. Let $J = X \cup A$. Let $\psi : J \rightarrow X \cup \{[\mathcal{H}] : \mathcal{H} \in \mathcal{N}_{\mathcal{C}}\}$ be defined by $\psi(x) = x$, for all $x \in X$, and $\psi(\alpha) = [\mathcal{G}]$, for all $\alpha \in A$. Let $\sigma : J \rightarrow \mathcal{C}$ be defined by $\sigma(x) = \mathcal{V}_{q_{\mathcal{C}}}(x)$, for all $x \in X$ and $\sigma(\alpha) = \mathcal{G}_{\alpha}$, for all $\alpha \in A$. Let \mathcal{F} be the filter on J with filter base $\{G \cup A : G \in \mathcal{G}\}$. Then $\psi(\mathcal{F})$ is the filter generated by $\{G \cup \{[\mathcal{G}]\} : G \in \mathcal{G}\}$, and so by Condition D, $\kappa\sigma\mathcal{F} \in \mathcal{C}$. Note that for each $G \cup A \in \mathcal{F}$, $\bigcap_{y \in G \cup A} \sigma(y) \leq \bigcap\{\mathcal{G}_{\alpha} : \alpha \in A\} = \bigcap[\mathcal{G}]$, and since $\kappa\sigma\mathcal{F} \leq \bigcap[\mathcal{G}]$ and $\kappa\sigma\mathcal{F} \in [\mathcal{G}]$, $\kappa\sigma\mathcal{F} = \mathcal{G}_{\min}$ is the smallest filter in $[\mathcal{G}]$. The fact that \mathcal{G}_{\min} has a base of $q_{\mathcal{C}}$ -open sets follows by Proposition 1.5. \square

Let DCHY be the category whose objects are diagonal Cauchy spaces and whose morphisms are Cauchy continuous maps.

THEOREM 1.7. *DCHY is a topological category.*

PROOF: It suffices to show that Condition D is preserved under the formation

of initial structures. Let $\{(X_\alpha, \mathcal{C}_\alpha) : \alpha \in A\}$ be a collection of Cauchy spaces, each satisfying Condition D. Let X be a set and $f_\alpha : X \rightarrow X_\alpha$ a family of maps indexed by A . Let \mathcal{C} be the initial Cauchy structure on X induced by the collections $\{f_\alpha : \alpha \in A\}$ and $\{(X_\alpha, \mathcal{C}_\alpha) : \alpha \in A\}$. As is well known, $\mathcal{F} \in \mathcal{C}$ if and only if $f_\alpha(\mathcal{F}) \in \mathcal{C}_\alpha$, for all $\alpha \in A$.

Let J be any set, $\psi : J \rightarrow X \cup \{[\mathcal{G}]_{\mathcal{C}} : \mathcal{G} \in \mathcal{N}_{\mathcal{C}}\}$ and $\sigma : J \rightarrow \mathcal{C}$ such that $\psi(y) \geq \sigma(y)$ and $\sigma(y) \xrightarrow{q_{\mathcal{C}}} \psi(y)$ if $\psi(y) \in X$ and $\sigma(y) \in [\mathcal{G}]_{\mathcal{C}}$ if $\psi(y) = [\mathcal{G}]_{\mathcal{C}}$. Let $\mathcal{F} \in \mathbf{F}(J)$ be such that $\psi(\mathcal{F})$ is finer than the filter on $X \cup \{[\mathcal{G}]_{\mathcal{C}} : \mathcal{G} \in \mathcal{N}_{\mathcal{C}}\}$ generated by $\mathcal{H} \cap [\dot{\mathcal{H}}]$, for some $\mathcal{H} \in \mathcal{C}$. We must show $\kappa\sigma\mathcal{F} \in \mathcal{C}$.

Let $\alpha \in A$ be fixed. Define $\psi_\alpha : J \rightarrow X_\alpha \cup \{[\mathcal{G}]_{\mathcal{C}_\alpha} : \mathcal{G} \in \mathcal{N}_{\mathcal{C}_\alpha}\}$ as follows:

1. $\psi_\alpha(y) = f_\alpha(\psi(y))$ if $\psi(y) \in X$.
2. $\psi_\alpha(y) = \lim f_\alpha(\mathcal{G})$ if $\psi(y) = [\mathcal{G}]_{\mathcal{C}}$ and $f_\alpha(\mathcal{G})$ is $q_{\mathcal{C}_\alpha}$ -convergent.
3. $\psi_\alpha(y) = [f_\alpha(\mathcal{G})]_{\mathcal{C}_\alpha}$ if $\psi(y) = [\mathcal{G}]_{\mathcal{C}}$ and $f_\alpha(\mathcal{G})$ is not $q_{\mathcal{C}_\alpha}$ -convergent.

Let $\sigma_\alpha : J \rightarrow \mathcal{C}_\alpha$ be defined by: $\sigma_\alpha(y) = \mathcal{V}_{q_{\mathcal{C}_\alpha}}(\psi_\alpha(y))$ if $\psi_\alpha(y)$ is defined by 1 or 2; $\sigma_\alpha(y) = f_\alpha(\mathcal{G}_{\min})$ if $\psi_\alpha(y)$ is defined as in 3.

Note that for all y in J , $f_\alpha(\sigma(y)) \geq \sigma_\alpha(y)$. Let $\mathcal{F} \in \mathbf{F}(J)$ be as above. Then for each $\alpha \in A$, $\psi_\alpha(\mathcal{F})$ is finer than the filter on $X_\alpha \cup \{[\mathcal{G}]_{\mathcal{C}_\alpha} : \mathcal{G} \in \mathcal{N}_{\mathcal{C}_\alpha}\}$ generated by $f_\alpha(\mathcal{H}) \cap f_\alpha(\dot{\mathcal{H}})$. By the assumption that $(X_\alpha, \mathcal{C}_\alpha)$ satisfies D, we have that $\kappa\sigma_\alpha(\mathcal{F}) \in \mathcal{C}_\alpha$. But since $f_\alpha(\sigma(y)) \geq \sigma_\alpha(y)$ holds for all $y \in J$, it follows that $f_\alpha(\kappa\sigma\mathcal{F}) \geq \kappa\sigma_\alpha\mathcal{F}$, which implies $f_\alpha(\kappa\sigma\mathcal{F}) \in \mathcal{C}_\alpha$. Since $\alpha \in A$ is arbitrary, $\kappa\sigma\mathcal{F} \in \mathcal{C}$. □

2. DIAGONAL CAUCHY COMPLETIONS

Let (X, \mathcal{C}) and (Y, \mathcal{D}) be Cauchy spaces and $\phi : X \rightarrow Y$. Then $((Y, \mathcal{D}), \phi)$ is a completion of (X, \mathcal{C}) if:

- (1) (Y, \mathcal{D}) is complete;
- (2) $\phi : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ is a dense embedding.

Here, “dense” means that $cl_{q_{\mathcal{D}}} \phi(X) = Y$, where $cl_{q_{\mathcal{D}}}$ denotes the $q_{\mathcal{D}}$ -closure operator.

A completion $((Y, \mathcal{D}), \phi)$ of (X, \mathcal{C}) is said to be *diagonal* (respectively, T_2) if (Y, \mathcal{D}) is a diagonal (respectively, T_2) Cauchy space. Since the properties of being diagonal and T_2 are both hereditary (in the former case, by Theorem 1.7), any Cauchy space having a diagonal (or T_2) completion must itself be diagonal (or T_2). Note that a complete Cauchy space (Y, \mathcal{D}) is diagonal if and only if any one of the following equivalent conditions holds: (1) (Y, \mathcal{D}) satisfies Condition D; (2) $(Y, q_{\mathcal{D}})$ satisfies Condition F; (3) $(Y, q_{\mathcal{D}})$ is a topological space.

THEOREM 2.1. *The following statements about a Cauchy space (X, \mathcal{C}) are equivalent.*

- (1) (X, \mathcal{C}) is a diagonal Cauchy space.

- (2) Each equivalence class $[\mathcal{F}]$ in \mathcal{C} contains a smallest filter \mathcal{F}_{\min} , and \mathcal{F}_{\min} has a base of q_c -open sets.
- (3) (X, \mathcal{C}) has a diagonal Cauchy completion.

PROOF: (1) \Rightarrow (2). This is Theorem 1.6.

(3) \Rightarrow (1). This follows by a remark in the paragraph preceding the theorem.

(2) \Rightarrow (3). Let (X, \mathcal{C}) be a Cauchy space as described in (2). If $\mathcal{F} \xrightarrow{q_c} X$, then $\mathcal{F}_{\min} = \mathcal{V}_{q_c}(x)$, and it follows by (2) that q_c is a topology.

Let $X^\sim = X \cup \{[\mathcal{F}] : \mathcal{F} \in \mathcal{N}_c\}$, and let $\psi : X \rightarrow X^\sim$ be the identity injection. For each $A \subseteq X$, let $A^\sim = A \cup \{[\mathcal{F}] : \mathcal{F} \in \mathcal{N}_c \text{ and } A \in \mathcal{F}_{\min}\}$. Noting that $(A \cap B)^\sim = A^\sim \cap B^\sim$, let $\mathcal{G} \in \mathbf{F}(X)$, and define $\mathcal{G}^\sim \in \mathbf{F}(X^\sim)$ to be the filter with base $\{G^\sim : G \in \mathcal{G}\}$. Define $\mathcal{C}^\sim = \{A \in \mathbf{F}(X^\sim) : \exists \mathcal{F} \in \mathcal{C} \text{ such that } A \geq \mathcal{F}_{\min}^\sim\}$; it is a simple matter to verify that \mathcal{C}^\sim is a Cauchy structure on X^\sim . For $\mathcal{F} \in \mathcal{C}$, $\psi(\mathcal{F}) \geq \mathcal{F}^\sim$ and $\psi^{-1}(\mathcal{F}^\sim) = \mathcal{F}$; from these observations, it follows that $\psi : (X, \mathcal{C}) \rightarrow (X^\sim, \mathcal{C}^\sim)$ is a Cauchy embedding. Since $[\mathcal{F}] \geq \mathcal{F}_{\min}^\sim$ for all $\mathcal{F} \in \mathcal{N}_c$, $\mathcal{F}_{\min}^\sim \cap [\mathcal{F}] \in \mathcal{C}^\sim$, and hence $\mathcal{F}_{\min}^\sim \xrightarrow{q^\sim} [\mathcal{F}]$ for all $\mathcal{F} \in \mathcal{N}_c$, where q^\sim denotes the convergence structure associated with \mathcal{C}^\sim . Since $\mathcal{V}_{q_c}(x)^\sim \xrightarrow{q^\sim} X$, for all $x \in X$, it follows that $(X^\sim, \mathcal{C}^\sim)$ is complete. Finally, $\mathcal{F}^\sim \xrightarrow{q^\sim} [\mathcal{F}]$ for all $\mathcal{F} \in \mathcal{N}_c$ and $\psi(\mathcal{F}) \geq \mathcal{F}^\sim$ imply that $cl_{q^\sim} \psi(X) = X^\sim$, so the embedding ψ is dense.

It remains to show that $(X^\sim, \mathcal{C}^\sim)$ is a diagonal Cauchy space. First observe that (X^\sim, q^\sim) is pretopological (meaning that the neighbourhood filter at each point in X^\sim converges to that point). To show that each q^\sim -neighbourhood filter has a filter base of q^\sim -open sets, it suffices to show that U^\sim is q^\sim -open whenever U is q_c -open. If $x \in U^\sim$, then $U \in \mathcal{V}_{q_c}(x)$, and hence $U^\sim \in \mathcal{V}_{q_c}(x)^\sim = \mathcal{V}_{q^\sim}(x)$. If $[\mathcal{F}] \in U^\sim$, where $\mathcal{F} \in \mathcal{N}_c$, then $U \in \mathcal{F}_{\min}$ implies $U^\sim \in \mathcal{F}_{\min}^\sim = \mathcal{V}_{q^\sim}([\mathcal{F}])$. Thus U^\sim is a q^\sim -open set, since it is a q^\sim -neighbourhood of each of its points, and the proof is complete. \square

Observe that the implication (2) \Rightarrow (1) in Theorem 2.1 is the converse of Theorem 1.6.

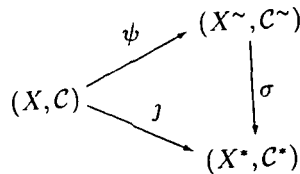
THEOREM 2.2. *If (X, \mathcal{C}) is a T_2 , diagonal Cauchy space, the diagonal completion $((X^\sim, \mathcal{C}^\sim), \psi)$ constructed in the preceding proof is likewise T_2 .*

PROOF: If $[\mathcal{F}] \cap [\mathcal{G}] \in \mathcal{C}^\sim$, where $\mathcal{F}, \mathcal{G} \in \mathcal{N}_c$, then $\mathcal{F}_{\min} \cap \mathcal{G}_{\min} \in \mathcal{C}$, which implies $\mathcal{F}_{\min} = \mathcal{G}_{\min}$, and hence $[\mathcal{F}] = [\mathcal{G}]$. If $\dot{x} \cap \dot{y} \in \mathcal{C}^\sim$, then the intersection of the restrictions of \dot{x} and \dot{y} to X is in \mathcal{C} , and since (X, \mathcal{C}) is T_2 , $x = y$. If $[\mathcal{F}] \cap \dot{x} \in \mathcal{C}^\sim$, where $\mathcal{F} \in \mathcal{N}_c$ and $x \in X$, it follows easily that $\mathcal{F}_{\min} \xrightarrow{q_c} x$, a contradiction, and so this case is impossible. We conclude that $(X^\sim, \mathcal{C}^\sim)$ is T_2 . \square

Let (X, \mathcal{C}) be any T_2 Cauchy space, let $X^* = \{[\mathcal{F}] : \mathcal{F} \in \mathcal{C}\}$, and let $j : X \rightarrow X^*$ be defined by $j(x) = [x]$, for all $x \in X$. A T_2 completion $((Y, \mathcal{D}), \phi)$ of (X, \mathcal{C}) is said

to be in *standard form* if $Y = X^*$, $\phi = j$ and $j(\mathcal{F}) \overset{q_{\mathcal{D}}}{\rightarrow} [\mathcal{F}]$. Reed, [3], showed that every T_2 completion of a Cauchy space (X, \mathcal{C}) is equivalent to one in standard form.

In case (X, \mathcal{C}) is a T_2 , diagonal Cauchy space, it will be convenient to give a description of the preceding completion in standard form. Let $\mathcal{C}^\circ = \{\mathcal{F} \in \mathcal{C} : \mathcal{F} \text{ has a filter base of } q_{\mathcal{C}}\text{-open sets}\}$. Let X^* and j be defined as in the preceding paragraph. For $A \subseteq X$ and $\mathcal{G} \in \mathcal{C}^\circ$, let $A^* = \{[\mathcal{F}] \in X^* : A \in \mathcal{F}_{\min}\}$ and let \mathcal{G}^* be the filter on X^* generated by $\{A^* : A \in \mathcal{G}\}$. Let $\mathcal{C}^* = \{A \in \mathcal{F}(X^*) : \text{there is } \mathcal{F} \in \mathcal{C}^\circ \text{ such that } \mathcal{F}^* \leq A\}$. One easily verifies that $((X^*, \mathcal{C}^*), j)$ is a T_2 , diagonal completion of (X, \mathcal{C}) in standard form, and if $\sigma : X^\sim \rightarrow X^*$ is defined by $\sigma(x) = [x]$ for $x \in X$, and $\sigma([\mathcal{F}]) = [\mathcal{F}]$ for $\mathcal{F} \in \mathcal{N}_{\mathcal{C}}$, then $\sigma : (X^\sim, \mathcal{C}^\sim) \rightarrow (X^*, \mathcal{C}^*)$ is a Cauchy isomorphism which makes the following diagram commute:



This establishes the equivalence of the completions $((X^*, \mathcal{C}^*), j)$ and $((X^\sim, \mathcal{C}^\sim), \psi)$ of a T_2 , diagonal Cauchy space (X, \mathcal{C}) .

THEOREM 2.3. *Let (X, \mathcal{C}) be a T_2 , diagonal Cauchy space. Let $((X^*, \mathcal{C}^*), j)$ be the T_2 , diagonal completion of (X, \mathcal{C}) defined in the preceding paragraph, and let $((X^*, \mathcal{D}), j)$ be any T_2 , diagonal completion of (X, \mathcal{C}) in standard form. Then $\mathcal{D} \subseteq \mathcal{C}^*$.*

PROOF: By analogy to the proof of Theorem 2.1, we see that sets of the form U^* , where U is $q_{\mathcal{C}}$ -open, form a basis for the topology q^* of (X^*, \mathcal{C}^*) . Thus it suffices to show that if U is $q_{\mathcal{C}}$ -open, then U^* is $q_{\mathcal{D}}$ -open.

Let U be $q_{\mathcal{C}}$ -open and let $[\mathcal{F}] \in U^*$. Then $U \in \mathcal{F}_{\min}$ and $j(\mathcal{F}_{\min}) \geq \mathcal{V}_{q_{\mathcal{D}}}([\mathcal{F}])$, the $q_{\mathcal{D}}$ -neighbourhood filter at $[\mathcal{F}]$. Thus $\mathcal{F}_{\min} \geq j^{-1}(\mathcal{V}_{q_{\mathcal{D}}}([\mathcal{F}]))$, and since the latter filter is in \mathcal{C} , it follows from the minimality of \mathcal{F}_{\min} that $\mathcal{F}_{\min} = j^{-1}(\mathcal{V}_{q_{\mathcal{D}}}([\mathcal{F}]))$. Since $U \in \mathcal{F}_{\min}$, there is a $q_{\mathcal{D}}$ -open set $W \in \mathcal{V}_{q_{\mathcal{D}}}([\mathcal{F}])$ such that $j^{-1}(W) \subseteq U$. To see that $W \subseteq U^*$, let $[\mathcal{G}] \in W$; then $j(\mathcal{G}_{\min}) \overset{q_{\mathcal{D}}}{\rightarrow} [\mathcal{G}]$, and since W is $q_{\mathcal{D}}$ -open, $j^{-1}(W) \in \mathcal{G}_{\min}$, which implies $U \in \mathcal{G}_{\min}$, and hence $[\mathcal{G}] \in U^*$. Thus U^* is $q_{\mathcal{D}}$ -open. \square

The preceding theorem shows that $((X^*, \mathcal{C}^*), j)$ is (up to equivalence) the coarsest T_2 , diagonal completion of a T_2 , diagonal Cauchy space (X, \mathcal{C}) ; for this reason, $((X^*, \mathcal{C}^*), j)$ will be called the *coarse diagonal completion* of (X, \mathcal{C}) . The next example shows that a T_2 , diagonal Cauchy space may have T_2 , diagonal completions which are not equivalent to the coarse diagonal completion.

EXAMPLE 2.4. Let X be the set Q of rational numbers, and let C be the usual Cauchy structure for Q . In this case, we can make the usual identification between the set Q^* and the set R of real numbers, in which case $j : Q \rightarrow R$ becomes the identity injection. The Cauchy structure C^* on R of the coarse diagonal completion is the usual (complete) Cauchy structure for R which gives rise to the usual topology. Next, consider the finest topology τ on R which contains all sets open in the usual topology along with the set Q . If $y \in R \setminus Q$, $\mathcal{V}_\tau(y)$ has a filter base of open intervals of the form $(y - \varepsilon, y + \varepsilon)$, where $\varepsilon > 0$, but if $y \in Q$, $\mathcal{V}_\tau(y)$ has a filter base of sets of the form $(y - \varepsilon, y + \varepsilon) \cap Q$. If $C' = \{\mathcal{F} \in \mathbf{F}(R) : \text{there is } y \in R \text{ such that } \mathcal{F} \geq \mathcal{V}_\tau(y)\}$, then $((R, C'), j)$ is a T_2 , diagonal completion of (X, C) which is obviously not equivalent to $((R, C^*), j)$.

Recall that a Cauchy space (X, C) is T_3 if it is T_2 and has the property that $cl_{q_C}(\mathcal{F}) \in C$ wherever $\mathcal{F} \in C$. The next result shows that the coarse diagonal completion is at least as fine as any T_3 completion of a T_2 , diagonal Cauchy space.

PROPOSITION 2.5. *If $((X^*, \mathcal{D}), j)$ is any T_3 completion (in standard form) of a T_2 , diagonal Cauchy space (X, C) , then $C^* \subseteq \mathcal{D}$.*

Proof: If $\mathcal{A} \in C^*$, then $\mathcal{A} \geq \mathcal{F}_{\min}^*$, for some $\mathcal{F} \in C$. But $\mathcal{F}_{\min}^* \geq cl_{\mathcal{D}} j(\mathcal{F}_{\min})$, and it follows by the T_3 property that $\mathcal{A} \in \mathcal{D}$. □

The next theorem establishes that the coarse diagonal completion preserves uniformisability.

THEOREM 2.6. *Let (X, \mathcal{U}) be a T_2 uniform space, and let $C = \{\mathcal{F} \in \mathbf{F}(X) : \mathcal{U} \leq \mathcal{F} \times \mathcal{F}\}$ be the associated Cauchy structure. Let $((X^*, \mathcal{U}^\wedge), j)$ be the uniform completion of (X, \mathcal{U}) in standard form, and let $C^\wedge = \{A \in \mathbf{F}(X^*) : \mathcal{U}^\wedge \leq A \times A\}$. Then $C^* = C^\wedge$.*

PROOF: Observe that (X, C) is a T_2 , diagonal Cauchy space, and $((X^*, C^\wedge), j)$ is the “uniformisable Cauchy completion” of (X, C) . The latter completion is clearly a T_2 , diagonal completion, so $C^\wedge \subseteq C^*$ follows by Theorem 2.3. But any uniformisable completion is also T_3 , so it follows by Proposition 2.5 that $C^* \subseteq C^\wedge$. □

Indeed, the following more general result follows as in the preceding proof from Theorem 2.3 and Proposition 2.5.

COROLLARY 2.7. *Any T_3 , diagonal completion of a T_3 , diagonal Cauchy space is the coarse diagonal completion.*

The next theorem gives a condition which is necessary and sufficient for the coarse diagonal completion of a T_3 , diagonal Cauchy space to be T_3 .

Let (X, C) be a T_2 , diagonal Cauchy space, and for $A \subseteq X$, let $A^{**} = \{\mathcal{F} \in X^* : \mathcal{F}_{\min} \text{ has a trace on } A\}$. Note that $A^* \subseteq A^{**}$ and $j^{-1}(A^{**}) = cl_{q_C} A$ for all $A \subseteq X$; furthermore, if $\mathcal{F} \in \mathbf{F}(X)$, then the filter \mathcal{F}^{**} generated by $\{F^{**} : F \in \mathcal{F}\}$ is a proper

filter on X^* .

THEOREM 2.8. *Let (X, \mathcal{C}) be a T_3 , diagonal Cauchy space. Then the coarse diagonal completion of (X, \mathcal{C}) is T_3 if and only if $\mathcal{F}_{\min}^* = \mathcal{F}_{\min}^{**}$, for each $\mathcal{F} \in \mathcal{C}$.*

PROOF: Let q^* be the convergence structure associated with (X^*, \mathcal{C}^*) . One easily verifies that for any $\mathcal{F} \in \mathcal{C}$, $\mathcal{F}_{\min}^{**} = cl_{q^*}(\mathcal{F}_{\min})$. If (X^*, \mathcal{C}^*) is T_3 , then $\mathcal{F}_{\min}^* = \mathcal{F}_{\min}^{**}$ follows immediately. On the other hand, if $\mathcal{F}_{\min}^* = \mathcal{F}_{\min}^{**}$ for every $\mathcal{F} \in \mathcal{C}$, then \mathcal{F}_{\min}^* has a filter base of q^* -closed sets for every $\mathcal{F} \in \mathcal{C}$, and therefore (X^*, \mathcal{C}^*) is T_3 . \square

The following example shows that the coarse diagonal completion need not preserve either the T_3 property or total boundedness.

EXAMPLE 2.9. Let X be an infinite set, partitioned into infinite subsets $\{X_i : i \in N\}$, where N is the set of natural numbers. For each $i \in N$, let \mathcal{G}_i be the filter on X with filter base $\{X_i \setminus A : A \text{ a finite subset of } X_i\}$. Let $\mathcal{C} = \{\mathcal{H} \in \mathbf{F}(X) : \exists i \in N \text{ such that } \mathcal{H} \geq \mathcal{G}_i\} \cup \{\mathcal{F} : \mathcal{F} \text{ a free ultrafilter on } X\} \cup \{\dot{x} : x \in X\}$. Note that \mathcal{C} is a Cauchy structure on X and $q_{\mathcal{C}}$ is discrete; hence (X, \mathcal{C}) is a totally bounded, T_3 , diagonal Cauchy space.

Let \mathcal{G} be any free ultrafilter on X such that each $G \in \mathcal{G}$ has an infinite intersection with infinitely many of the X_i 's. Then $\mathcal{F} = \mathcal{G}_{\min}$ and $\mathcal{G}_{\min}^* = j(\mathcal{G}) \cap [\mathcal{G}]$, but \mathcal{G}_{\min}^{**} has the property that for each $G \in \mathcal{G}$, \mathcal{G}^{**} contains infinitely many of the $[G_i]$'s. Thus $\mathcal{G}_{\min}^{**} \neq \mathcal{G}_{\min}^*$, so by Theorem 2.8, (X^*, \mathcal{C}^*) is not T_3 . Furthermore, (X^*, \mathcal{C}^*) is not totally bounded; otherwise, $((X^*, q^*), j)$ would be a T_2 , topological compactification of $(X, q_{\mathcal{C}})$, which would imply that (X^*, \mathcal{C}^*) is T_3 .

It comes as no surprise that the coarse diagonal completion for T_2 , diagonal Cauchy spaces does not behave "functorially". For if (Q, \mathcal{C}) and (R, \mathcal{C}') are the T_2 , diagonal Cauchy spaces defined in Example 2.4 and $j : (Q, \mathcal{C}) \rightarrow (R, \mathcal{C}')$ is the identity injection, then j is Cauchy continuous, but j obviously has no Cauchy continuous extension $j^* : (Q^*, \mathcal{C}^*) \rightarrow (R^*, (\mathcal{C}')^*) = (R, \mathcal{C}')$.

There is, however, a completion functor on the category T_2DCHY of T_2 diagonal Cauchy spaces and Cauchy continuous maps. This completion is, for obvious reason, called the *fine diagonal completion* and is denoted (in standard form) by $((X^*, \mathcal{C}^*), j)$. The construction of this completion is briefly described below.

Given an arbitrary T_2 Cauchy space (X, \mathcal{C}) , let $((X^*, \mathcal{W}), j)$ denote the *Wyler completion* (see [5]) of (X, \mathcal{C}) , where $\mathcal{W} = \{\mathcal{A} \in \mathbf{F}(X^*) : \text{there is } \mathcal{F} \in \mathcal{C} \text{ such that } \mathcal{A} \geq j(\mathcal{F}) \cap [\dot{\mathcal{F}}]\}$. The Wyler completion defines a completion functor on the category T_2CHY of all T_2 Cauchy spaces, but this completion does not preserve the diagonal property. Let \mathcal{C}^* be the complete Cauchy structure on X^* associated with the topological modification $\tau_{q_{\mathcal{W}}}$ of $q_{\mathcal{W}}$.

Now let (X, \mathcal{C}) be a T_2 , diagonal Cauchy space. It is clear that $\mathcal{C}^* \subseteq \mathcal{C}^* \subseteq \mathcal{W}$. Since $j : (X, \mathcal{C}) \rightarrow (X^*, \mathcal{C}^*)$ and $j : (X, \mathcal{C}) \rightarrow (X^*, \mathcal{W})$ are both Cauchy embeddings, $j : (X, \mathcal{C}) \rightarrow (X^*, \mathcal{C}^*)$ is also a Cauchy embedding, and hence $((X^*, \mathcal{C}^*), j)$ is a T_2 , diagonal completion of (X, \mathcal{C}) . Since \mathcal{W} is the finest T_2 completion structure for (X, \mathcal{C}) in standard form, \mathcal{C}^* is the finest diagonal completion structure for a T_2 , diagonal Cauchy space (X, \mathcal{C}) in standard form. Furthermore, since the Wyler completion and the topological modification are both functorial, we obtain the following extension theorem for the fine diagonal completion.

THEOREM 2.10. *Let (X, \mathcal{C}) and (Y, \mathcal{D}) be T_2 , diagonal Cauchy spaces, and let $f : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ be Cauchy continuous. Then there is a unique Cauchy continuous function $f^* : (X^*, \mathcal{C}^*) \rightarrow (Y^*, \mathcal{D}^*)$ such that the following diagram commutes:*

$$\begin{array}{ccc} (X, \mathcal{C}) & \xrightarrow{f} & (Y, \mathcal{D}) \\ \downarrow j_X & & \downarrow j_Y \\ (X^*, \mathcal{C}^*) & \xrightarrow{f^*} & (Y^*, \mathcal{D}^*) \end{array}$$

As noted in the remarks following Example 2.9, Theorem 2.10 is not valid for the coarse diagonal completion. However, the latter completion does exhibit an interesting extension property relative to a weaker type of continuity.

Let (X, q) and (Y, p) be topological spaces. $f : (X, q) \rightarrow (Y, p)$ is said to be θ -continuous if, for every $x \in X$ and every neighbourhood V of $f(x)$, there is a neighbourhood U of x such that $f(cl_q U) \subseteq cl_p(V)$. If (X, \mathcal{C}) and (Y, \mathcal{D}) are complete Cauchy spaces such that $q_{\mathcal{C}}$ and $q_{\mathcal{D}}$ are topologies, we define $f : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ to be θ -continuous if $f : (X, q_{\mathcal{C}}) \rightarrow (Y, q_{\mathcal{D}})$ is θ -continuous.

THEOREM 2.11. *Let (X, \mathcal{C}) and (Y, \mathcal{D}) be T_2 , diagonal Cauchy spaces and let $f : (X, \mathcal{C}) \rightarrow (Y, \mathcal{D})$ be Cauchy continuous. Then there is a θ -continuous function $f^* : (X^*, \mathcal{C}^*) \rightarrow (Y^*, \mathcal{D}^*)$ such that the following diagram commutes:*

$$\begin{array}{ccc} (X, \mathcal{C}) & \xrightarrow{f} & (Y, \mathcal{D}) \\ \downarrow j_X & & \downarrow j_Y \\ (X^*, \mathcal{C}^*) & \xrightarrow{f^*} & (Y^*, \mathcal{D}^*) \end{array}$$

PROOF: Let q^* be the topology on X^* induced by \mathcal{C}^* and p^* the topology on Y^* induced by \mathcal{D}^* . If $[\mathcal{F}]_{\mathcal{C}} \in X^*$, let $f^*([\mathcal{F}]_{\mathcal{C}}) = [f(\mathcal{F})]_{\mathcal{D}}$. In particular, if $\mathcal{F} = \dot{x}$, then $f^*([\dot{x}]_{\mathcal{C}}) = [f(x)]_{\mathcal{D}}$, and it follows that the above diagram commutes.

Next, we show that for any $A \subseteq X$, $f^*(cl_{q^*}(A^*)) \subseteq cl_{p^*} j_Y(f(A))$. Given $A \subseteq X$, let $[\mathcal{F}] \in cl_{q^*}(A^*)$. Since $F^* \cap A^* \neq \emptyset$ for all $F \in \mathcal{F}_{\min}$, $F \cap A \neq \emptyset$ for all $F \in \mathcal{F}_{\min}$,

and therefore $f(\mathcal{F}_{\min})$ has a trace on $f(A)$. Consequently, $(f(\mathcal{F}))_{\min}^*$ has a trace on $J_Y f(A)$, which implies that $f^*([\mathcal{F}]_C) = [f(\mathcal{F})]_{\mathcal{D}} \in cl_{p^* J_Y}(f(A))$.

Finally, let $[\mathcal{F}]_C \in X^*$ and $V^* \in (f(\mathcal{F}))_{\min}^*$, where $V \in (f(\mathcal{F}))_{\min}$. Choose $U \in \mathcal{F}_{\min}$ such that $f(U) \subseteq V$. Then U^* is a q^* -neighbourhood of $[\mathcal{F}]_C$ such that $f^*(cl_{q^*}(U^*)) \subseteq cl_{p^* J_Y}(f(U)) \subseteq cl_{p^*}(V^*)$. \square

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