

Surface waves in a streaming plasma propagating in a duct: kinetic theory

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The dispersion relation of a surface wave generated by a drifting plasma in an infinite duct surrounded by vacuum is derived non-relativistically by means of the Vlasov equation. The kinematic boundary condition imposed on the distribution function, the specular reflection conditions on the four sides of duct, can be satisfied by placing an infinite number of fictitious surface charge sheets spaced by the duct widths. The surface wave mode is specifically the transverse magnetic mode, often called the surface polariton, which propagates with phasor $\exp(ik_z z - i\omega t)$. The method of placing appropriate fictitious surface charge sheets enables one to treat the surface waves in semi-infinite, slab and duct plasmas simultaneously on an equal footing, kinetically. The streaming effect manifests itself through the Doppler-shifted frequency and a correction-like term u^2/c^2 , where u is the streaming velocity and c is the speed of light.

Key words: plasma waves, plasma flows, plasma dynamics

1. Introduction

We consider surface waves of wave number k_z and frequency ω , generated by a plasma beam travelling in a duct interfaced with vacuum by using the Vlasov equation. Surface waves are given rise to by satisfying the kinetic and electromagnetic boundary conditions on the interface between the plasma and the vacuum surrounding it. The electric and magnetic fields in the plasma are connected with the vacuum side fields by the appropriate connection formula, and the latter can be deciphered from the basic equations themselves that we adopt. The connection formula can be easily worked out mathematically if the density gradient across the plasma and the other side is very steep. We talk about ‘a sharp interface’ if the density gradient is theoretically infinite. In this case, the connection formula can be obtained by ‘infinitesimal integration’ across the interface, which is the operation performed on a certain relevant equation in the manner $\int_{-\epsilon}^{\epsilon} (\dots) dx$. If the quantity (\dots) is a perfect differential, this operation yields a non-vanishing surface term that contributes to the connection formula. Usually, the surface term is the surface charge or surface current. In this way, the well-known electromagnetic and dynamic boundary conditions on the boundary can be derived (Lee & Cho 1997). In a gross picture, the surface wave and the vacuum side wave are two different manifestations of ‘the same wave’ given rise to in an extreme inhomogeneous plasma.

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A cold drifting plasma has a characteristic boundary condition (Lee & Cho 1997, 1999), which can be expressed in the form

$$[B_y] = \frac{u}{c}[E_x] \quad (1.1)$$

or equivalently,

$$[D_x] = \frac{ck_z}{\omega}[B_y] \quad (1.2)$$

where $[\dots]$ signifies the jump across the interface, D_x is the normal to the interface component of \mathbf{D} , the electric displacement, u is the drift velocity in the z -direction, B_y is the tangential component of the magnetic field and c is the speed of light, and the other symbols have the usual meaning. The casual use of $[D_x] = 0$ or $[B_y] = 0$ in a drifting plasma leads to erroneous results as, discussed in earlier works (Lee & Cho 1997; Lee 2005). The physical origin of the boundary relation in (1.1) is due to the surface current formed in a cold drifting plasma, as is evident in the equation.

In a bounded Vlasov plasma, the kinematic boundary condition that is usually referred to as the specular reflection condition is assumed to be satisfied on a sharp boundary (Landau 1946). The kinetic theory of surface waves in semi-infinite plasmas is well known (Barr & Boyd 1972; Alexandrov, Bogdankevich & Rukhadze 1984). The kinetic dispersion relation of a surface wave in a slab plasma was worked out earlier (Lee & Lim 2007). In this work, we investigate surface waves of a moving Vlasov plasma in a duct. We consider an infinite duct formed by the intersections of four planes: $x = 0, a$ and $y = 0, b$, with $-\infty < z < \infty$. Thus the specular reflection condition on the $x = a$ plane, for example, requires for the distribution function $f(\mathbf{r}, \mathbf{v}, t)$ to satisfy $f(a, y, z, v_x, v_y, v_z, t) = f(a, y, z, -v_x, v_y, v_z, t)$ or, on the $y = b$ plane, $f(x, b, z, v_x, v_y, v_z, t) = f(x, b, z, v_x, -v_y, v_z, t)$, with similar equations for $x = 0$ and $y = 0$. In our duct-bounded plasma, the kinematic conditions on the four planes are satisfied by introducing an extended electric field in the fashion

$$E_x(-x, y, z) = -E_x(x, y, z), \quad E_x(2a - x, y, z) = -E_x(x, y, z), \quad (1.3a,b)$$

$$E_y(x, -y, z) = -E_y(x, y, z), \quad E_y(x, 2b - y, z) = -E_y(x, y, z). \quad (1.4a,b)$$

This scheme is workable if $f_0(\mathbf{v})$, the zero-order distribution function, is invariant with respect to the reflections $v_x \rightarrow -v_x$ and $v_y \rightarrow -v_y$, and clearly this reflectional property is satisfied by the moving Maxwellian, to be introduced later.

The function $E_x(x)$, as defined in (1.3a,b), is a periodic function of a piecewise continuous function of period ' a ' extending over the range $-\infty < x < \infty$ with discontinuity at $x = \pm 2na$ with a jump of A_1 (say) and with discontinuity at $x = \pm(2n - 1)a$ with a jump of A_2 (say), where n is an integer. The profile of the piecewise function $E_x(x)$ is plotted in Lee (2019). The algebra involved in carrying out the Fourier transform of the piecewise discontinuous functions with the aforementioned discontinuous jumps is quite taxing (Lee & Lim 2007). However, it turns out that, after all the algebraic hard work, the discontinuities that are present in the extended field components $E_x(x, y)$ and $E_y(x, y)$ in (1.3a,b) and (1.4a,b) at the locations $x = \pm 2na$ and $x = \pm(2n - 1)a$ and $y = \pm 2nb$ and $y = \pm(2n - 1)b$ are mathematically (as well as physically) tantamount to

placing fictitious surface charges at the corresponding jump locations in the form

$$S(x, y, z, t) = A_1 \sum_{n=0,1,2,\dots} \delta(x \pm 2na) + A_2 \sum_{n=1,2,\dots} \delta(x \pm (2n - 1)a) + B_1 \sum_{n=0,1,2,\dots} \delta(y \pm 2nb) + B_2 \sum_{n=1,2,\dots} \delta(y \pm (2n - 1)b). \tag{1.5}$$

This is the vital point of improvement in this work as compared with the earlier work (Lee & Lim 2007). The surface charges are associated with the surface currents by satisfying the charge conservation equation

$$\frac{\partial S}{\partial t} + \hat{z} \cdot \mathbf{J}_s = 0. \tag{1.6}$$

Therefore, we can assume the presence of the fictitious surface currents

$$\mathbf{J}_s(\mathbf{r}, \omega) = \hat{z} i\omega S(x, y, z, \omega). \tag{1.7}$$

The surface charges in (1.5) and the surface currents in (1.7) should be included in the Maxwell equations for our duct plasma wave analysis.

The basic equations are the linearized Vlasov equation and the Maxwell equations for electrons. Ions are assumed to be stationary and only form the neutralizing background

$$\frac{\partial}{\partial t} f(\mathbf{r}, \mathbf{v}, t) + \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{r}} - \frac{e}{m} \left(\mathbf{E}(\mathbf{r}, t) + \frac{1}{c} \mathbf{v} \times \mathbf{B} \right) \cdot \frac{\partial f_0(v)}{\partial \mathbf{v}} = 0, \tag{1.8}$$

$$\nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}, \tag{1.9}$$

$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \mathbf{J} + \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t} + \hat{z} \mathbf{J}_s, \tag{1.10}$$

where

$$J_s = A'_1 \sum_{n=0,1,2,\dots} \delta(x \pm 2na) + A'_2 \sum_{n=1,2,\dots} \delta(x \pm (2n - 1)a) + B'_1 \sum_{n=0,1,2,\dots} \delta(y \pm 2nb) + B'_2 \sum_{n=1,2,\dots} \delta(y \pm (2n - 1)b), \tag{1.11}$$

$$\mathbf{J}(\mathbf{r}, t) = -e \int \mathbf{v} f(\mathbf{r}, \mathbf{v}, t) d^3v, \tag{1.12}$$

$$\nabla \cdot \mathbf{E} = -4\pi e \int f d^3v + S(x, y, z), \tag{1.13}$$

$$\nabla \cdot \mathbf{B} = 0. \tag{1.14}$$

It should be noted that the last term in (1.8) involving the magnetic field vanishes if f_0 is isotropic, but in a beam plasma, that term contributes to complexity. The zero-order distribution function is a moving Maxwellian

$$f_0(\mathbf{v}) = \left(\frac{m}{2\pi T} \right)^{3/2} \exp \left[-\frac{m}{2T} (\mathbf{v} - \mathbf{u})^2 \right]. \tag{1.15}$$

We Fourier transform the above equations by performing $\int_{-\infty}^{\infty} d^3r \exp(i\mathbf{k} \cdot \mathbf{r})(\dots)$ and by assuming $\partial/\partial t = i\omega$. Then, the wave has a phasor $\exp(ik_z z - i\omega t)$. The (k_z, ω)

dependency in the Fourier amplitudes will be suppressed. Equation (1.8) is Fourier transformed to give

$$f(\mathbf{k}, \mathbf{v}, \omega) = \frac{e}{m} \frac{i}{\omega} \frac{E_j}{\omega - \mathbf{k} \cdot \mathbf{v}} [(\omega - \mathbf{k} \cdot \mathbf{v})\delta_{sj} + k_s v_j] \frac{\partial f_0}{\partial v_s}, \quad (1.16)$$

where the repeated indexes are summed over. Here, and in the following, the Fourier-transformed variables are expressed by the argument; for example, $f(t)$ and $f(\omega)$ have different dimensions. If f_0 is isotropic, $\partial f_0 / \partial v_s \sim f_0 v_s$, and (1.16) reduces to the expression for the isotropic case.

Eliminating \mathbf{B} between (1.9) and (1.10) gives

$$\mathbf{k} \times (\mathbf{k} \times \mathbf{E}) + \frac{\omega^2}{c^2} \varepsilon_{ij} \cdot \mathbf{E}(\mathbf{k}, \omega) = \hat{\mathbf{z}} J_s(\mathbf{k}, \omega), \quad (1.17)$$

where ε_{ij} is the dielectric tensor in a moving medium

$$\varepsilon_{ij} = \left(1 - \frac{\omega_p^2}{\omega^2}\right) \delta_{ij} + \frac{\omega_p^2}{\omega^2} \int d^3v \frac{v_i v_j k_s}{\omega - \mathbf{k} \cdot \mathbf{v}} \frac{\partial f_0}{\partial v_s}, \quad (1.18)$$

with ω_p being the plasma frequency. In (1.17), $J_s(\mathbf{k}, \omega)$, the Fourier transform of the surface currents in (1.11), is

$$J_s(\mathbf{k}, \omega) = \delta(k_y) [A_1 \Sigma_0 \exp(\pm i 2n a k_x) + A_2 \Sigma_1 \exp(\pm i (2n - 1) a k_x)] \\ + \delta(k_x) [B_1 \Sigma_0 \exp(\pm i 2n b k_y) + B_2 \Sigma_1 \exp(\pm i (2n - 1) b k_y)], \quad (1.19)$$

where the A and B values may be functions of k_z , the double signs are summed over and the notations Σ_0 and Σ_1 are the summations in (1.11).

The foregoing formulation can be applied for simpler boundaries: in a semi-infinite plasma, we can suppose a single surface charge sheet $S(x) = A\delta(x)$, and in a slab geometry $0 < x < a$, the surface charges are assumed in the form of (1.5) with $B_1 = B_2 = 0$. The dispersion relation of surface waves in a slab plasma was worked out earlier without introducing the fictitious surface charges by directly Fourier transforming the extended electric field in (1.3a,b) (Lee & Lim 2007).

2. Solutions for plasma fields

First, let us calculate ε_{ij} in (1.18) by using the moving Maxwellian as given in (1.15). The velocity integral therein can be carried out by transforming $\mathbf{w} = \mathbf{v} - \mathbf{u}$, and thus performing the integral over the isotropic Maxwellian distribution $f_0(\mathbf{w})$. Using

$$\frac{\partial f_0}{\partial v_s} = \frac{m}{T} (u_s - v_s) f_0, \quad (2.1)$$

the velocity integral becomes

$$\int d^3v \frac{v_i v_j k_s}{\omega - \mathbf{k} \cdot \mathbf{v}} \frac{\partial f_0}{\partial v_s} = \delta_{ij} + \frac{m}{T} u_i u_j - \frac{m}{T} \omega' \int_{-\infty}^{\infty} d^3v \frac{v_i v_j}{\omega' - \mathbf{k} \cdot \mathbf{w}} f_0(\mathbf{v}), \quad (2.2)$$

where $\omega' = \omega - k_z u$ is the Doppler-shifted frequency. Using (2.1) again for $v_i f_0$, eventually (2.2) is integrated to yield

$$\int d^3 v \frac{v_i v_j k_s}{\omega - \mathbf{k} \cdot \mathbf{v}} \frac{\partial f_0}{\partial v_s} = \delta_{ij} + \frac{m}{T} u_i u_j - \omega' I_1 \left(\delta_{ij} + \frac{m}{T} u_i u_j \right) - \omega' I_2 (u_i k_j + k_i u_j) - 2\omega' \frac{T}{m} k_i k_j I_3, \tag{2.3}$$

where $I_n = \int_{-\infty}^{\infty} \frac{f_0(w)}{(\omega' - \mathbf{k} \cdot \mathbf{w})^n} d^3 w, \quad (n = 1, 2, 3)$ (2.4)

can be written in terms of the plasma dispersion function $Z(\zeta)$

$$Z(\zeta) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} \frac{e^{-q^2} dq}{q - \zeta} \quad \left(\zeta = \frac{\omega'/k}{\sqrt{2T/m}} \right). \tag{2.5}$$

We have $I_1 = -\zeta Z/\omega', I_2 = -2\zeta^2(1 + \zeta Z)/\omega'^2$ and $I_3 = \zeta^2[\zeta Z - 2\zeta^2(1 + \zeta Z)]/\omega'^3$. Then, (1.18) can be written in the form

$$\begin{aligned} \varepsilon_{ij} = & \delta_{ij} + \frac{\omega_p^2}{\omega^2} \left[\left(\delta_{ij} - \frac{k_i k_j}{k^2} \right) \zeta Z(\zeta) + 2\zeta^2(1 + \zeta Z(\zeta)) \right. \\ & \left. \times \left(\frac{k_i k_j}{k^2} + \frac{k_i u_j + k_j u_i}{\omega'} + \frac{k^2 u_i u_j}{\omega'^2} \right) \right]. \end{aligned} \tag{2.6}$$

Equation (2.6) agrees with the dielectric tensor in a moving medium obtained from Lorentz transform (Alexandrov *et al.* 1984). When the beam velocity $\mathbf{u} = 0$, the dielectric tensor in (2.6) reduces to the well-known result for an isotropic medium. It is convenient to introduce the longitudinal and transverse dielectric permittivities,

$$\varepsilon_L = 1 + 2 \frac{\omega_p^2}{\omega^2} \zeta^2 (1 + \zeta Z(\zeta)), \tag{2.7}$$

$$\varepsilon_T = 1 + \frac{\omega_p^2}{\omega^2} \zeta Z(\zeta). \tag{2.8}$$

In the above expressions, two frequencies are involved: the wave frequency ω and the Doppler-shifted frequency ω' hidden in the variable ζ . In terms of $\varepsilon_{L,T}$, we have

$$\varepsilon_{ij} = \varepsilon_T \delta_{ij} + \frac{k_i k_j}{k^2} (\varepsilon_L - \varepsilon_T) + (\varepsilon_L - 1) U_{ij}, \tag{2.9}$$

where U_{ij} is the tensor associated with the beam velocity components

$$U_{ij} = \frac{k_i u_j + k_j u_i}{\omega'} + \frac{k^2 u_i u_j}{\omega'^2}, \tag{2.10}$$

whose non-zero components are

$$U_{xz} = U_{zx} = \frac{u k_x}{\omega'}, \quad U_{yz} = U_{zy} = \frac{u k_y}{\omega'}, \quad U_{zz} = \frac{2k_z u}{\omega'} + \frac{k^2 u^2}{\omega'^2}, \tag{2.11a-c}$$

and the other elements are zero.

2.1. *Solution for $E(\mathbf{k}, \omega)$*

Using (2.9) in (1.17) gives

$$\left[\delta_{ij}(\varepsilon_T - n^2) + \frac{k_i k_j}{k^2}(n^2 + \varepsilon_L - \varepsilon_T) + U_{ij}(\varepsilon_L - 1) \right] E_j = \hat{z} \frac{c^2}{\omega^2} J_s, \tag{2.12}$$

where $n^2 = c^2 k^2 / \omega^2$ is the refractive index.

We inverted the 3×3 matrix in (2.12) to obtain E

$$E_x = -\frac{J_s}{\Delta} \left(\frac{k_x k_z}{k^2} \alpha + \gamma U_{xz} \right), \tag{2.13}$$

$$E_y = -\frac{J_s}{\Delta} \left(\frac{k_y k_z}{k^2} \alpha + \gamma U_{yz} \right), \tag{2.14}$$

$$E_z = \frac{J_s}{\Delta} \left(\frac{k_x^2 + k_y^2}{k^2} \alpha + \beta \right), \tag{2.15}$$

$$B_x = \frac{c}{\omega} \frac{J_s}{\Delta} k_y \left(\varepsilon_L + \gamma \frac{u k_z}{\omega'} \right), \tag{2.16}$$

$$B_y = -\frac{c}{\omega} \frac{J_s}{\Delta} k_x \left(\varepsilon_L + \gamma \frac{u k_z}{\omega'} \right), \tag{2.17}$$

$$B_z = 0, \tag{2.18}$$

where

$$\alpha = \varepsilon_L - \varepsilon_T + n^2, \quad \beta = \varepsilon_T - n^2, \quad \gamma = \varepsilon_L - 1, \tag{2.19a-c}$$

$$\Delta = \beta \left[\varepsilon_L + (\varepsilon_L - 1) \left(U_{zz} - \frac{u^2}{\omega^2} (k_x^2 + k_y^2) \right) \right]. \tag{2.20}$$

We use (2.18) since we investigate a transverse magnetic mode.

The above expressions for $E(\mathbf{k})$ need to be Fourier inverted to $E(\mathbf{r})$ to apply the boundary conditions. To make further development easier and the equations more transparent, we employ the cold plasma approximation. Then we have the cold plasma dielectric permittivities

$$\varepsilon_L = \varepsilon_T = 1 - \frac{\omega_p^2}{\omega^2}. \tag{2.21}$$

In a cold plasma, Δ in (2.20) becomes

$$\Delta = \beta \left[\left(1 - \frac{\omega_p^2}{\omega^2} \right) - \frac{\omega_p^2}{\omega^2} \left(\frac{u^2 k_z^2}{\omega^2} + \frac{2k_z u}{\omega'} \right) \right]. \tag{2.22}$$

The above expression reduces to, for non-relativistic beam velocity ($u^2/c^2 \ll 1$),

$$\Delta = \left(1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k^2}{\omega^2} \right) \left(1 - \frac{\omega_p^2}{\omega^2} \right). \tag{2.23}$$

The field components are

$$E_x(\mathbf{k}, \omega) = -\frac{J_s c^2}{\Delta \omega^2} k_x \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right), \tag{2.24}$$

$$E_y(\mathbf{k}, \omega) = -\frac{J_s c^2}{\Delta \omega^2} k_y \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right), \tag{2.25}$$

$$E_z(\mathbf{k}, \omega) = \frac{J_s}{\Delta} \left(1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k_z^2}{\omega^2} \right), \tag{2.26}$$

$$B_x(\mathbf{k}, \omega) = \frac{J_s c}{\Delta \omega} k_y \left(1 - \frac{\omega_p^2}{\omega\omega'} \right), \tag{2.27}$$

$$B_y(\mathbf{k}, \omega) = -\frac{J_s c}{\Delta \omega} k_x \left(1 - \frac{\omega_p^2}{\omega\omega'} \right), \tag{2.28}$$

where J_s is given in (1.19).

The Fourier inversion integrals must be carried out to obtain the plasma fields in ordinary coordinate space before the boundary conditions are applied. The integrals involve infinite series through the surface charge J_s , but the infinite series are nicely summed at the particular positions corresponding to $x = 0, a$ and $y = 0, b$. Thus, we apply the boundary conditions along the two infinite lines $(x, y, z) = (0, 0, z)$ and (a, b, z) with $-\infty < z < \infty$. The two lines correspond to the two seams of the duct which are diagonally opposite. When the inversion integrals are performed, the following formulas are useful, which can be verified by a simple change of variable, as is shown in earlier work (Lee & Lim 2007). We have integrals of the type in the inversion integrals

$$J(x) = \int_{-\infty}^{\infty} dk_x k_x \Phi(k) e^{ik_x x} [A_1 \Sigma_0 \exp(\pm i2nak_x) + A_2 \Sigma_1 \exp(\pm i(2n - 1)ak_x)], \tag{2.29}$$

where $\Phi(k)$ is an even function of k_x . Then, we have

$$J(0) = A_1 \int_{-\infty}^{\infty} dk_x k_x \Phi(k_x), \tag{2.30}$$

$$J(a) = -A_2 \int_{-\infty}^{\infty} dk_x k_x \Phi(k_x). \tag{2.31}$$

When we have integrals of the type

$$L(x) = \int_{-\infty}^{\infty} dk_x \Phi(k) e^{ik_x x} [A_1 \Sigma_0 \exp(\pm i2nak_x) + A_2 \Sigma_1 \exp(\pm i(2n - 1)ak_x)], \tag{2.32}$$

we have

$$L(0) = 2 \int_{-\infty}^{\infty} dk_x \Phi(k_x) (A_1 S_1 + A_2 S_2), \tag{2.33}$$

$$L(a) = 2 \int_{-\infty}^{\infty} dk_x \Phi(k_x) (A_1 S_2 + A_2 S_1), \tag{2.34}$$

where

$$S_1 = \frac{1}{2} + \exp(2iak_x) + \exp(4iak_x) + \dots, \tag{2.35}$$

$$S_2 = \exp(iak_x) + \exp(3iak_x) + \dots. \tag{2.36}$$

Integrals (2.30), (2.31), (2.33) and (2.34) are useful for evaluating the integrals. We have

$$\begin{aligned} E_x(0, 0, z) &= -\frac{c^2}{\omega^2} \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right) \int_{-\infty}^{\infty} dk_x \int_{-\infty}^{\infty} dk_y \times \frac{k_x}{\Delta} \left[\delta(k_y) \left(A_1 \Sigma_0 \exp(\pm i2nak_x) \right. \right. \\ &\quad \left. \left. + A_2 \Sigma_1 \exp(\pm i(2n - 1)ak_x) \right) + \delta(k_x) \left(B_1 \Sigma_0 \exp(\pm i2nbk_y) \right. \right. \\ &\quad \left. \left. + B_2 \Sigma_1 \exp(\pm i(2n - 1)bk_y) \right) \right] \\ &= -\frac{c^2}{\omega^2} \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right) \int_{-\infty}^{\infty} dk_x \frac{k_x}{\Delta} \left(A_1 \Sigma_0 \exp(\pm i2nak_x) + A_2 \Sigma_1 \exp(\pm i(2n - 1)ak_x) \right) \\ &= -\frac{c^2}{\omega^2} \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right) A_1 \int_{-\infty}^{\infty} dk_x \frac{k_x}{\Delta}, \end{aligned} \tag{2.37}$$

where we have used (2.30). In the last (also in the later) integral, k^2 hidden in Δ is $k^2 = k_z^2 + k_x^2$. We have

$$\begin{aligned} E_x(a, b, z) &= -\frac{c^2}{\omega^2} \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right) \int_{-\infty}^{\infty} dk_x e^{ik_x a} \int_{-\infty}^{\infty} dk_y e^{ik_y b} \\ &\quad \times \frac{k_x}{\Delta} \left[\delta(k_y) \left(A_1 \Sigma_0 \exp(\pm i2nak_x) + A_2 \Sigma_1 \exp(\pm i(2n - 1)ak_x) \right) \right. \\ &\quad \left. + \delta(k_x) \left(B_1 \Sigma_0 \exp(\pm i2nbk_y) + B_2 \Sigma_1 \exp(\pm i(2n - 1)bk_y) \right) \right] \\ &= -\frac{c^2}{\omega^2} \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right) \int_{-\infty}^{\infty} dk_x e^{ik_x a} \frac{k_x}{\Delta} \left(A_1 \Sigma_0 \exp(\pm i2nak_x) + A_2 \Sigma_1 \exp(\pm i(2n - 1)ak_x) \right) \\ &= -\frac{c^2}{\omega^2} \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right) (-A_2) \int_{-\infty}^{\infty} dk_x \frac{k_x}{\Delta}, \end{aligned} \tag{2.38}$$

where we used (2.31). Analogous integrations yield

$$E_y(0, 0, z) = -\frac{c^2}{\omega^2} \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right) B_1 \int_{-\infty}^{\infty} dk_y \frac{k_y}{\Delta}, \tag{2.39}$$

$$E_y(a, b, z) = -\frac{c^2}{\omega^2} \left(k_z - \frac{u\omega_p^2}{c^2\omega'} \right) (-B_2) \int_{-\infty}^{\infty} dk_y \frac{k_y}{\Delta}. \tag{2.40}$$

In the above (also in the later) $\int dk_y$ integral, k^2 hidden in Δ is $k^2 = k_z^2 + k_y^2$

$$B_x(0, 0, z) = \frac{c}{\omega} \left(1 - \frac{\omega_p^2}{\omega\omega'} \right) B_1 \int_{-\infty}^{\infty} dk_y \frac{k_y}{\Delta}, \tag{2.41}$$

$$B_x(a, b, z) = \frac{c}{\omega} \left(1 - \frac{\omega_p^2}{\omega\omega'} \right) (-B_2) \int_{-\infty}^{\infty} dk_y \frac{k_y}{\Delta}, \tag{2.42}$$

$$B_y(0, 0, z) = -\frac{c}{\omega} \left(1 - \frac{\omega_p^2}{\omega\omega'} \right) A_1 \int_{-\infty}^{\infty} dk_x \frac{k_x}{\Delta}, \tag{2.43}$$

$$B_y(a, b, z) = -\frac{c}{\omega} \left(1 - \frac{\omega_p^2}{\omega\omega'} \right) (-A_2) \int_{-\infty}^{\infty} dk_x \frac{k_x}{\Delta}. \tag{2.44}$$

We encounter a different type of integral in

$$\begin{aligned} E_z(0, 0, z) = & \left(1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k_z^2}{\omega^2} \right) \int_{-\infty}^{\infty} dk_x \int_{-\infty}^{\infty} dk_y \times \frac{1}{\Delta} \left[\delta(k_y) (A_1 \Sigma_0 \exp(\pm i 2 n a k_x)) \right. \\ & + A_2 \Sigma_1 \exp(\pm i (2n - 1) a k_x) + \delta(k_x) (B_1 \Sigma_0 \exp(\pm i 2 n b k_y)) \\ & \left. + B_2 \Sigma_1 \exp(\pm i (2n - 1) b k_y) \right], \end{aligned} \tag{2.45}$$

which becomes

$$\begin{aligned} E_z(0, 0, z) = & \left(1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k_z^2}{\omega^2} \right) \left[\int_{-\infty}^{\infty} \frac{dk_x}{\Delta} (A_1 \Sigma_0 \exp(\pm i 2 n a k_x)) \right. \\ & + A_2 \Sigma_1 \exp(\pm i (2n - 1) a k_x) \\ & \left. + \int_{-\infty}^{\infty} \frac{dk_y}{\Delta} (B_1 \Sigma_0 \exp(\pm i 2 n b k_y) + B_2 \Sigma_1 \exp(\pm i (2n - 1) b k_y)) \right], \end{aligned} \tag{2.46}$$

which we write in the form

$$\begin{aligned} E_z(0, 0, z) = & 2 \left(1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k_z^2}{\omega^2} \right) \left[\int_{-\infty}^{\infty} \frac{dk_x}{\Delta} (A_1 S_1(a k_x) + A_2 S_2(a k_x)) \right. \\ & \left. + \int_{-\infty}^{\infty} \frac{dk_y}{\Delta} (B_1 S_1(b k_y) + B_2 S_2(b k_y)) \right], \end{aligned} \tag{2.47}$$

where we have used (2.33), and

$$S_1(\xi) = \frac{1}{2} + e^{2i\xi} + e^{4i\xi} \dots \quad S_2(\xi) = e^{i\xi} + e^{3i\xi} + \dots \tag{2.48}$$

Analogously, we obtain

$$\begin{aligned} E_z(a, b, z) = & 2 \left(1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k_z^2}{\omega^2} \right) \left[\int_{-\infty}^{\infty} \frac{dk_x}{\Delta} (A_1 S_2(a k_x) + A_2 S_1(a k_x)) \right. \\ & \left. + \int_{-\infty}^{\infty} \frac{dk_y}{\Delta} (B_1 S_2(b k_y) + B_2 S_1(b k_y)) \right], \end{aligned} \tag{2.49}$$

where we have used (2.34).

2.2. Vacuum solution

Vacuum solutions should solve

$$\left(\nabla^2 + \frac{\omega^2}{c^2}\right) \mathbf{B} = 0, \tag{2.50}$$

and

$$\mathbf{E} = \frac{ic}{\omega} \nabla \times \mathbf{B}. \tag{2.51}$$

Equation (2.50) is solved by

$$\mathbf{B} \sim e^{ik_z z} e^{\pm k_x x} e^{\pm k_y y} \tag{2.52}$$

with constraint $k_x^2 + k_y^2 = k_z^2 - \omega^2/c^2 \equiv \lambda^2$ and $\nabla \cdot \mathbf{B} = 0$. Furthermore, we assume $B_z = 0$ since we consider the transverse magnetic mode.

The vacuum regions corresponding to (or exterior to) the lines $(0, 0, z)$ and (a, b, z) , which we designate as (i) and (ii), respectively, are:

Vacuum region (i) $x < 0, y < 0$, where we have

$$B_x^v(i) = H_x e^{ik_z z} e^{k_x x} e^{k_y y}, \tag{2.53}$$

$$B_y^v(i) = H_y e^{ik_z z} e^{k_x x} e^{k_y y}, \tag{2.54}$$

$$k_x H_x + k_y H_y = 0, \tag{2.55}$$

$$E_z^v(i) = \frac{ic}{\omega} (H_y k_x - H_x k_y) e^{ik_z z} e^{k_x x} e^{k_y y}, \tag{2.56}$$

$$E_x^v(i) = \frac{c}{\omega} k_z H_y e^{ik_z z} e^{k_x x} e^{k_y y}, \tag{2.57}$$

$$E_y^v(i) = -\frac{c}{\omega} k_z H_x e^{ik_z z} e^{k_x x} e^{k_y y}. \tag{2.58}$$

Vacuum region (ii) $x > a, y > b$, where

$$B_x^v(ii) = G_x e^{ik_z z} e^{-k_x x} e^{-k_y y}, \tag{2.59}$$

$$B_y^v(ii) = G_y e^{ik_z z} e^{-k_x x} e^{-k_y y}, \tag{2.60}$$

$$k_x G_x + k_y G_y = 0, \tag{2.61}$$

$$E_z^v(ii) = \frac{ic}{\omega} (-G_y k_x + G_x k_y) e^{ik_z z} e^{-k_x x} e^{-k_y y}, \tag{2.62}$$

$$E_x^v(ii) = \frac{c}{\omega} k_z G_y e^{ik_z z} e^{-k_x x} e^{-k_y y}, \tag{2.63}$$

$$E_y^v(ii) = -\frac{c}{\omega} k_z G_x e^{ik_z z} e^{-k_x x} e^{-k_y y}. \tag{2.64}$$

Putting $(x, y) = (0, 0)$ or (a, b) in the above equations gives the vacuum side values of the relevant quantities.

3. Dispersion relation

We enforce the following boundary conditions to connect the plasma and the vacuum fields: $[E_z] = 0$, $[B_y] = (u/c)[E_x]$, $[B_x] = -(u/c)[E_y]$

Along line $(0, 0, z)$

$[E_z] = 0$ gives, per (2.47) and (2.56),

$$\left(1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k_z^2}{\omega^2}\right) (A_1 I_1 + A_2 I_2 + B_1 J_1 + B_2 J_2) = \frac{ic}{\omega} (H_y k_x - H_x k_y), \tag{3.1}$$

$$\text{where } I_i = 2 \int_{-\infty}^{\infty} \frac{dk_x}{\Delta} S_i(ak_x), \quad J_i = 2 \int_{-\infty}^{\infty} \frac{dk_x}{\Delta} S_i(bk_y), \quad (i = 1, 2), \tag{3.2}$$

$[B_y] = (u/c)[E_x]$ gives

$$A_1 Q \left(1 - \frac{\omega_p^2}{\omega^2}\right) + \frac{\omega}{c} H_y = 0, \tag{3.3}$$

$$\text{where } Q = \int_{-\infty}^{\infty} dk_x \frac{k_x}{\Delta} = \int_{-\infty}^{\infty} dk_y \frac{k_y}{\Delta} \tag{3.4}$$

$[B_x] = -(u/c)[E_y]$ gives

$$B_1 Q \left(1 - \frac{\omega_p^2}{\omega^2}\right) - \frac{\omega}{c} H_x = 0. \tag{3.5}$$

Along line (a, b, z)

$[E_z] = 0$ gives

$$\left(1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k_z^2}{\omega^2}\right) (A_1 I_2 + A_2 I_1 + B_1 J_2 + B_2 J_1) = \frac{ic}{\omega} (-G_y k_x + G_x k_y) e^{-k_x a} e^{-k_y b}, \tag{3.6}$$

$[B_y] = (u/c)[E_x]$ gives

$$A_2 Q \left(1 - \frac{\omega_p^2}{\omega^2}\right) - \frac{\omega}{c} G_y e^{-k_x a} e^{-k_y b} = 0, \tag{3.7}$$

$[B_x] = -(u/c)[E_x]$ gives

$$B_2 Q \left(1 - \frac{\omega_p^2}{\omega^2}\right) + \frac{\omega}{c} G_x e^{-k_x a} e^{-k_y b} = 0. \tag{3.8}$$

In obtaining (3.3), (3.5), (3.7) and (3.8), we neglected $u^2/c^2 \ll 1$ as compared with unity. In addition, we have, per $\nabla \cdot \mathbf{B} = 0$ and $B_z = 0$,

$$k_x H_x + k_y H_y = 0, \tag{3.9}$$

$$k_x G_x + k_y G_y = 0. \tag{3.10}$$

Thus, we have 8 equations for 8 unknowns; $A_1, A_2, B_1, B_2, H_x, H_y, G_x, G_y$.

Eliminating H_x, H_y, G_x, G_y gives

$$A_1 \left(I_1 + i \frac{c^2}{\omega^2} \frac{\eta}{\xi} k_x Q \right) + A_2 I_2 + B_1 \left(J_1 + i \frac{c^2}{\omega^2} \frac{\eta}{\xi} k_y Q \right) + B_2 J_2 = 0, \tag{3.11}$$

$$A_1 I_2 + A_2 \left(I_1 + i \frac{c^2}{\omega^2} \frac{\eta}{\xi} k_x Q \right) + B_1 J_2 + B_2 \left(J_1 + i \frac{c^2}{\omega^2} \frac{\eta}{\xi} k_y Q \right) = 0, \tag{3.12}$$

$$k_y A_1 = k_x B_1, \tag{3.13}$$

$$k_y A_2 = k_x B_2, \tag{3.14}$$

where

$$\xi = 1 - \frac{\omega_p^2}{\omega^2} - \frac{c^2 k_z^2}{\omega^2}, \quad \eta = 1 - \frac{\omega_p^2}{\omega^2}. \tag{3.15}$$

Eliminating B_1 and B_2 gives

$$A_1 \left[k_x I_1 + k_y J_1 + \frac{ic^2}{\omega^2} \frac{\eta}{\xi} (k_x^2 + k_y^2) Q \right] + A_2 (k_x I_2 + k_y J_2) = 0, \tag{3.16}$$

$$A_1 (k_x I_2 + k_y J_2) + A_2 \left[k_x I_1 + k_y J_1 + \frac{ic^2}{\omega^2} \frac{\eta}{\xi} (k_x^2 + k_y^2) Q \right] = 0, \tag{3.17}$$

and (3.16) and (3.17) yield the dispersion relation in the form

$$k_x \int_{-\infty}^{\infty} \frac{dk_x}{\Delta} \frac{1 \pm e^{iak_x}}{1 \mp e^{iak_x}} + k_y \int_{-\infty}^{\infty} \frac{dk_y}{\Delta} \frac{1 \pm e^{ibk_y}}{1 \mp e^{ibk_y}} + i\lambda^2 \frac{c^2}{\omega^2} \frac{\eta}{\xi} \int_{-\infty}^{\infty} k_x \frac{dk_x}{\Delta} = 0, \tag{3.18}$$

where we used

$$\int_{-\infty}^{\infty} \frac{dk_x}{\Delta} [S_1(ak_x) \pm S_2(ak_x)] = \frac{1}{2} \int_{-\infty}^{\infty} \frac{dk_x}{\Delta} \frac{1 \pm e^{iak_x}}{1 \mp e^{iak_x}}. \tag{3.19}$$

In regard to the Fourier variables k_x and k_y outside the integrals, we imposed the constraint $k_x^2 + k_y^2 = k_z^2 - \omega^2/c^2 \equiv \lambda^2$. Therefore, it is convenient to transform

$$k_x = \frac{b\lambda}{\sqrt{a^2 + b^2}}, \quad k_y = \frac{a\lambda}{\sqrt{a^2 + b^2}} \tag{3.20a,b}$$

(k_x, k_y inside the integrals are dummy variables and are left as they are). The transform in (3.20a,b) satisfies the constraint and the relation $ak_x = bk_y$. In fact, it can be derived from the latter and the constraint. Then, the dispersion relation takes the form

$$\frac{b}{\sqrt{a^2 + b^2}} \int_{-\infty}^{\infty} \frac{dk_x}{\Delta} \frac{1 \pm e^{iak_x}}{1 \mp e^{iak_x}} + \frac{a}{\sqrt{a^2 + b^2}} \int_{-\infty}^{\infty} \frac{dk_y}{\Delta} \frac{1 \pm e^{ibk_y}}{1 \mp e^{ibk_y}} + i\lambda \frac{c^2}{\omega^2} \frac{\eta}{\xi} \int_{-\infty}^{\infty} k_x \frac{dk_x}{\Delta} = 0. \tag{3.21}$$

If either a or $b \rightarrow \infty$, we recover the slab dispersion relation (Lee & Lim 2007)

$$\int_{-\infty}^{\infty} \frac{dk_x}{\Delta} \frac{1 \pm e^{iak_x}}{1 \mp e^{iak_x}} + i\lambda \frac{c^2}{\omega^2} \frac{\eta}{\xi} \int_{-\infty}^{\infty} k_x \frac{dk_x}{\Delta} = 0. \tag{3.22}$$

It is recalled that the k^2 hidden in Δ is $k^2 = k_z^2 + k_x^2$ in $\int dk_x$ -integral and $k^2 = k_z^2 + k_y^2$ in $\int dk_y$ -integral. Thus, let us change the integration variables, both k_x and k_y , in (3.21) to

κ

$$\frac{b}{\sqrt{a^2 + b^2}} \int_{-\infty}^{\infty} \frac{d\kappa}{\Delta} \frac{1 \pm e^{i a \kappa}}{1 \mp e^{i b \kappa}} + \frac{a}{\sqrt{a^2 + b^2}} \int_{-\infty}^{\infty} \frac{d\kappa}{\Delta} \frac{1 \pm e^{i b \kappa}}{1 \mp e^{i a \kappa}} + i \lambda \frac{c^2}{\omega^2} \frac{\eta}{\xi} \int_{-\infty}^{\infty} \kappa \frac{d\kappa}{\Delta} = 0, \tag{3.23}$$

where $k^2 = k_z^2 + \kappa^2$. In regard to the double signs in (3.23), the upper (lower) signs correspond to the symmetric (anti-symmetric) mode which also occurs in a slab plasma. For a square duct ($a = b$), (3.23) reduces to the form identical to the slab dispersion equation (3.22), except for the factor $\sqrt{2}$. This reduction is due to the x - y symmetry. To recover the slab dispersion relation from (3.18), we take $k_y \rightarrow 0$, $b \rightarrow \infty$, and put $k_x = \lambda$. We can take $k_y \rightarrow 0$ since the y -direction has a translational invariance in a slab.

The duct dispersion relation in (3.23) can be contour integrated for a cold plasma, giving

$$\frac{b\gamma}{\sqrt{a^2 + b^2}} \tanh \frac{a\gamma}{2} + \frac{a\gamma}{\sqrt{a^2 + b^2}} \tanh \frac{b\gamma}{2} + \sqrt{k_z^2 - \frac{\omega^2}{c^2}} \left(1 - \frac{\omega_p^2}{\omega^2} \right) = 0, \tag{3.24}$$

where $\gamma = \sqrt{k_z^2 - (\omega^2 - \omega_p^2)/c^2}$. For the anti-symmetric mode, the tanh-function above is replaced by a coth-function.

4. Discussion

In a bounded plasma, one way of solving the Vlasov equation by satisfying the specular reflection condition is to extend the plasma electric field in the manner of (1.3a,b). The job of Fourier transforming such a piecewise continuous periodic function, extending to infinity, is taxing. In this work, we present an alternative way of avoiding the hard labour by placing sheets of fictitious surface charges at the location of discontinuities of the electric field. The magnitudes of the surface charges are undetermined constants, but they can be determined through the connection formula with the vacuum side field – resulting in the dispersion relation of the surface wave. This method enables one to deal with semi-infinite, slab duct plasmas in a common work frame. Taking $b \rightarrow \infty$ in (3.24) gives

$$\gamma \tanh \frac{a\gamma}{2} + \sqrt{k_z^2 - \frac{\omega^2}{c^2}} \left(1 - \frac{\omega_p^2}{\omega^2} \right) = 0, \tag{4.1}$$

which is the slab ($0 < x < a$) dispersion relation. Taking $a \rightarrow \infty$ in (4.1) gives

$$\gamma + \sqrt{k_z^2 - \frac{\omega^2}{c^2}} \left(1 - \frac{\omega_p^2}{\omega^2} \right) = 0. \tag{4.2}$$

Equation (4.2) agrees with the semi-infinite dispersion relation obtained by Lee (2005) without introducing the fictitious surface charge sheet. If $u = 0$, or $\omega' = \omega$, (4.2) agrees with the slab dispersion relation obtained from the fluid theory worked out by Gradov & Stenflo (1983).

For a square duct, putting $a = b$ in (3.24) yields

$$\sqrt{2}\gamma \tanh \frac{a\gamma}{2} + \sqrt{k_z^2 - \frac{\omega^2}{c^2}} \left(1 - \frac{\omega_p^2}{\omega^2} \right) = 0, \tag{4.3}$$

which is similar to the slab dispersion relation. This is because the complete symmetry between the x and y coordinates makes the three-dimensional problem a two-dimensional problem practically.

The Doppler-shifted frequency ω' appearing in (3.24) represents the streaming effect. This is the first-order effect of the ratio u/c . The second-order effect enters through U_{zz} in (2.11a–c), which produces terms of order u^2/c^2 . If we chase those terms, we end up with replacing in (3.24) $(1 - \omega_p^2/\omega^2) \rightarrow 1 - \omega_p^2/\omega^2(1 - u^2/c^2)$. Relativistic treatment may be desirable to include the higher-order effect of u^2/c^2 in a systematic way.

This work may find applications in a laboratory or astrophysical situation where electromagnetic waves propagate through certain channels.

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