

## IDEAL CHAINS IN RESIDUALLY FINITE DEDEKIND DOMAINS

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### Abstract

Let  $\mathfrak{D}$  be a residually finite Dedekind domain and let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$ . We consider counting problems for the ideal chains in  $\mathfrak{D}/\mathfrak{n}$ . By using the Cauchy–Frobenius–Burnside lemma, we also obtain some further extensions of Menon’s identity.

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### 1. Introduction

In [3], Menon obtained the identity

$$\sum_{a \in U(\mathbb{Z}/n\mathbb{Z})} \gcd(a-1, n) = \varphi(n)\sigma(n), \quad (1.1)$$

where  $\varphi(n)$  is Euler’s totient function,  $\sigma(n)$  is the divisor function and  $U(\mathbb{Z}/n\mathbb{Z})$  denotes the group of units modulo  $n$ . In [8], Sury proved the generalisation

$$\sum_{\substack{t_1 \in U(\mathbb{Z}/n\mathbb{Z}) \\ t_2, \dots, t_r \in \mathbb{Z}/n\mathbb{Z}}} \gcd(t_1-1, t_2, \dots, t_r, n) = \varphi(n)\sigma_{r-1}(n),$$

where  $\sigma_{r-1}(n) = \sum_{d|n} d^{r-1}$ . Tărnăuceanu [9] discussed an open problem from [8, Section 2] and Li and Kim [2] extended Tărnăuceanu’s results.

Let  $\mathfrak{D}$  be a Dedekind domain such that the residue class ring  $\mathfrak{D}/\mathfrak{n}$  is finite for each nonzero ideal  $\mathfrak{n}$ . Then  $\mathfrak{D}$  is called a residually finite Dedekind domain. Let  $N(\mathfrak{n}) = |\mathfrak{D}/\mathfrak{n}|$  be the norm of  $\mathfrak{n}$ . In [4], Miguel extended the identity (1.1) to residually finite Dedekind domains and obtained the following result.

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**THEOREM 1.1** [4]. *Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and  $U(\mathfrak{D}/\mathfrak{n})$  be the multiplicative group of units of  $\mathfrak{D}/\mathfrak{n}$ . Then*

$$\sum_{a \in U(\mathfrak{D}/\mathfrak{n})} N(\langle a - 1 \rangle + \mathfrak{n}) = \varphi_{\mathfrak{D}}(\mathfrak{n})\sigma_{\mathfrak{D}}(\mathfrak{n}), \tag{1.2}$$

where  $\varphi_{\mathfrak{D}}(\mathfrak{n})$  is the order of the multiplicative group of units in  $\mathfrak{D}/\mathfrak{n}$  and  $\sigma_{\mathfrak{D}}(\mathfrak{n})$  is the number of ideals that divide  $\mathfrak{n}$ .

There are some related results in [1, 5, 10]. The key tool in proving these identities is the Cauchy–Frobenius–Burnside lemma (see [7]).

**LEMMA 1.2 (Cauchy–Frobenius–Burnside lemma).** *Let  $G$  be a finite group acting on a finite set  $X$  and, for each  $g \in G$ , let  $X^g = \{x \in X \mid gx = x\}$  be the set of elements in  $X$  that are fixed by  $g$ . Denote the set of orbits of  $X$  under the action of  $G$  by  $G/X$ . Then*

$$|G/X| = \frac{1}{|G|} \sum_{g \in G} |X^g|.$$

We give a brief description of the content of this paper. In Sections 2 and 3, we study the counting problems of ideal chains in  $\mathfrak{D}/\mathfrak{n}$  by using the group action. In Section 4, we use the *Smith normal form* in a principal ideal domain  $\mathfrak{D}_{\mathfrak{p}}$ , which is the completion of  $\mathfrak{D}$  under a prime ideal  $\mathfrak{p}$ , to diagonalise the matrices in  $\mathfrak{D}$  (Lemma 4.1). As an application, we obtain some new representations of (1.1) and (1.2) (Remarks 4.4 and 4.5). In Sections 5 and 6, we obtain generalisations in residually finite Dedekind domains of the Menon-type identities in [2, 9] (Theorems 5.2 and 6.2).

## 2. Some lemmas

Let  $\mathfrak{D}$  be a residually finite Dedekind domain and let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$ . Then the residue class ring  $\mathfrak{D}/\mathfrak{n}$  is a principal ideal ring. It is clear that the mapping

$$\phi : \mathfrak{D} \rightarrow \mathfrak{D}/\mathfrak{n}, \quad x \mapsto x + \mathfrak{n}$$

is a surjective ring homomorphism. There is a one-to-one order-preserving correspondence between the ideals  $\mathfrak{a}$  of  $\mathfrak{D}$  which contain  $\mathfrak{n}$  and the ideals  $\bar{\mathfrak{a}}$  of  $\mathfrak{D}/\mathfrak{n}$ , given by  $\mathfrak{a} = \phi^{-1}(\bar{\mathfrak{a}})$ . We shall use the notation  $x \equiv y \pmod{\mathfrak{n}}$ , meaning that  $x - y \in \mathfrak{n}$ .

Let  $\mathfrak{n} = \mathfrak{p}_1^{\alpha_1} \cdots \mathfrak{p}_t^{\alpha_t}$ , where  $\mathfrak{p}_1, \dots, \mathfrak{p}_t$  are distinct prime ideals of  $\mathfrak{n}$  and  $\alpha_1, \dots, \alpha_t$  are positive integers. By the Chinese remainder theorem, for  $i = 1, \dots, t$ , there exists  $\pi_{\mathfrak{p}_i}$  such that  $\pi_{\mathfrak{p}_i} \in \mathfrak{p}_i - \mathfrak{p}_i^2$  and  $\pi_{\mathfrak{p}_i} \equiv 1 \pmod{\mathfrak{p}_j}$  for every  $j \neq i$ . Hence  $\bar{\mathfrak{p}}_i = \langle \bar{\pi}_{\mathfrak{p}_i} \rangle$ . Without loss of generality, we always take  $\bar{\pi}_{\mathfrak{p}_i}$  as the generator of  $\bar{\mathfrak{p}}_i$  in  $\mathfrak{D}/\mathfrak{n}$ . Therefore, we can suppose any ideal  $\bar{\mathfrak{a}}$  of  $\mathfrak{D}/\mathfrak{n}$  to be of the form

$$\bar{\mathfrak{a}} = \langle \bar{\pi}_{\mathfrak{p}_1} \rangle^{\beta_1} \cdots \langle \bar{\pi}_{\mathfrak{p}_t} \rangle^{\beta_t} = \langle \bar{\eta}_{\mathfrak{a}} \rangle, \tag{2.1}$$

where  $0 \leq \beta_i \leq \alpha_i$  for  $i = 1, \dots, t$  and  $\eta_{\mathfrak{a}} = \prod_{i=1}^t \pi_{\mathfrak{p}_i}^{\beta_i}$ .

Considering the group action of  $G = U(\mathfrak{D}/\mathfrak{n})$  on  $\mathfrak{D}/\mathfrak{n}$ , we define the orbit,  $\text{orb}(\bar{\eta})$ , of an element  $\bar{\eta}$  in  $\mathfrak{D}/\mathfrak{n}$  under the action of  $G$  by

$$\text{orb}(\bar{\eta}) = \{g\bar{\eta} \mid g \in G\}.$$

In terms of this notation, we can state the following lemma.

**LEMMA 2.1.** *Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$ . Then in the principal ideal ring  $\mathfrak{D}/\mathfrak{n}$ , for every element  $\bar{\eta} \in \mathfrak{D}/\mathfrak{n}$ , the orbit  $\text{orb}(\bar{\eta})$  is the set of all generators of the ideal  $\langle \bar{\eta} \rangle$ .*

Let  $\mathfrak{a}$  be an ideal of  $\mathfrak{D}$  that contains  $\mathfrak{n}$ , that is,  $\mathfrak{a} \mid \mathfrak{n}$ . Let  $\bar{\mathfrak{a}} = \langle \bar{\eta}_{\mathfrak{a}} \rangle$ . We can define

$$\text{orb}(\bar{\mathfrak{a}}) = \text{orb}(\bar{\eta}_{\mathfrak{a}}). \tag{2.2}$$

**LEMMA 2.2.** *Let  $\mathfrak{a}$  be an ideal of  $\mathfrak{D}$  that contains  $\mathfrak{n}$ . Then*

$$|\text{orb}(\bar{\mathfrak{a}})| = \varphi_{\mathfrak{D}}(\mathfrak{n}/\mathfrak{a}),$$

where  $\varphi_{\mathfrak{D}}(\mathfrak{n})$  is the order of the multiplicative group of units in  $\mathfrak{D}/\mathfrak{n}$ .

**PROOF.** With the above notation, we can write  $\bar{\mathfrak{a}} = \langle \bar{\eta}_{\mathfrak{a}} \rangle$ . The stabiliser subgroup of  $\bar{\eta}_{\mathfrak{a}}$  in  $G = U(\mathfrak{D}/\mathfrak{n})$  is

$$G_{\bar{\eta}_{\mathfrak{a}}} = \{g \in G \mid g\bar{\eta}_{\mathfrak{a}} = \bar{\eta}_{\mathfrak{a}}\}.$$

Here,  $g \in G_{\bar{\eta}_{\mathfrak{a}}}$  if and only if  $g \in 1 + \mathfrak{n}/\mathfrak{a}$ . For the surjective homomorphism

$$\psi : U(\mathfrak{D}/\mathfrak{n}) \rightarrow U(\mathfrak{D}/(\mathfrak{n}/\mathfrak{a})),$$

we have  $1 + \mathfrak{n}/\mathfrak{a} = \text{Ker } \psi$  and  $G_{\bar{\eta}_{\mathfrak{a}}} = \text{Ker } \psi$ . Hence

$$|G_{\bar{\eta}_{\mathfrak{a}}}| = \frac{|U(\mathfrak{D}/\mathfrak{n})|}{|U(\mathfrak{D}/(\mathfrak{n}/\mathfrak{a}))|}.$$

By the orbit-stabiliser theorem and (2.2),

$$|\text{orb}(\bar{\mathfrak{a}})| = |G|/|G_{\bar{\eta}_{\mathfrak{a}}}| = |U(\mathfrak{D}/(\mathfrak{n}/\mathfrak{a}))| = \varphi_{\mathfrak{D}}(\mathfrak{n}/\mathfrak{a}).$$

This completes the proof of Lemma 2.2. □

**LEMMA 2.3.** *Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and let  $\mathfrak{a}, \mathfrak{b}$  be two ideals of  $\mathfrak{D}$  with  $\mathfrak{n} \subseteq \mathfrak{b} \subseteq \mathfrak{a} \subseteq \mathfrak{D}$ . Then the number of generators of the ideal  $\mathfrak{a}/\mathfrak{b}$  in the quotient ring  $\mathfrak{D}/\mathfrak{b}$  is  $\varphi_{\mathfrak{D}}(\mathfrak{b}/\mathfrak{a})$ .*

### 3. Main results

**DEFINITION 3.1.** Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and  $r$  be a positive integer. If the ideals  $I_1, \dots, I_r$  of  $\mathfrak{D}$  satisfy  $\mathfrak{n} \subseteq I_1 \subseteq I_2 \subseteq \dots \subseteq I_r \subseteq \mathfrak{D}$ , then we call  $(\bar{I}_1, \dots, \bar{I}_r)$  an  $r$ -ideal chain of the quotient ring  $\mathfrak{D}/\mathfrak{n}$ . Set  $I_0 = \mathfrak{n}$ . We define

$$I(\mathfrak{D}/\mathfrak{n}, r) = \{(\bar{I}_1, \dots, \bar{I}_r) \mid I_0 \subseteq I_1 \subseteq I_2 \subseteq \dots \subseteq I_r \subseteq \mathfrak{D}\}.$$

**THEOREM 3.2.** *Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and  $r$  be a positive integer. Then*

$$|I(\mathfrak{D}/\mathfrak{n}, r)| = \prod_{\mathfrak{p}^{\alpha} \mid \mathfrak{n}} \binom{\alpha + r}{r}.$$

**PROOF.** Let  $\mathfrak{n} = \mathfrak{p}_1^{\alpha_1} \cdots \mathfrak{p}_t^{\alpha_t}$ . Then, by (2.1), all  $r$ -ideal chains can be written as

$$0 \subseteq \langle \bar{\pi}_{\mathfrak{p}_1} \rangle^{\alpha_1 - \beta_{11}} \cdots \langle \bar{\pi}_{\mathfrak{p}_t} \rangle^{\alpha_t - \beta_{tt}} \subseteq \cdots \subseteq \langle \bar{\pi}_{\mathfrak{p}_1} \rangle^{\alpha_1 - \beta_{r1}} \cdots \langle \bar{\pi}_{\mathfrak{p}_t} \rangle^{\alpha_t - \beta_{rt}} \subseteq \mathfrak{D}/\mathfrak{n},$$

where  $0 \leq \beta_{1j} \leq \beta_{2j} \leq \cdots \leq \beta_{rj} \leq \alpha_j$  for  $j = 1, \dots, t$ . Hence,

$$|I(\mathfrak{D}/\mathfrak{n}, r)| = \sum_{\substack{0 \leq \beta_{1j} \leq \cdots \leq \beta_{rj} \leq \alpha_j \\ j=1, \dots, t}} 1.$$

For  $1 \leq j \leq t$ , let

$$\begin{cases} x_{1j} = \beta_{1j} - 0, \\ x_{2j} = \beta_{2j} - \beta_{1j}, \\ \vdots \\ x_{rj} = \beta_{rj} - \beta_{r-1,j}, \\ x_{r+1,j} = \alpha_j - \beta_{rj}. \end{cases} \tag{3.1}$$

Then  $x_{ij} \geq 0$  for  $i = 1, \dots, r + 1$  and  $j = 1, \dots, t$ . Hence,

$$|I(\mathfrak{D}/\mathfrak{n}, r)| = \prod_{j=1}^t \sum_{\substack{x_{1j} + \cdots + x_{r+1,j} = \alpha_j \\ x_{ij} \geq 0, i=1, \dots, r+1}} 1 = \prod_{j=1}^t \binom{\alpha_j + r}{r}.$$

This completes the proof of Theorem 3.2. □

**DEFINITION 3.3.** Let  $r$  be a positive integer and let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$ . For every ideal chain  $(\bar{I}_1, \dots, \bar{I}_r) \in I(\mathfrak{D}/\mathfrak{n}, r)$ , we define

$$H(\mathfrak{D}/\mathfrak{n}, \bar{I}_1, \dots, \bar{I}_r) = \{(x_1, \dots, x_r) \mid \langle x_i \rangle = I_i/I_{i-1}, x_i \in \mathfrak{D}/I_{i-1}, 1 \leq i \leq r\}$$

and

$$H(\mathfrak{D}/\mathfrak{n}, r) = \bigcup_{(\bar{I}_1, \dots, \bar{I}_r) \in I(\mathfrak{D}/\mathfrak{n}, r)} H(\mathfrak{D}/\mathfrak{n}, \bar{I}_1, \dots, \bar{I}_r).$$

**THEOREM 3.4.** Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and  $r$  be a positive integer. Then

$$|H(\mathfrak{D}/\mathfrak{n}, r)| = \varphi_{\mathfrak{D}}^{(r-1)} * I(\mathfrak{n}),$$

where  $\varphi_{\mathfrak{D}}^{(r-1)}$  is the  $(r - 1)$ -power of  $\varphi_{\mathfrak{D}}$  under the Dirichlet convolution and  $I(\mathfrak{n}) = N(\mathfrak{n})$  for a nonzero ideal  $\mathfrak{n}$ .

**PROOF.** Let

$$0 \subseteq \bar{I}_1 \subseteq \bar{I}_2 \subseteq \cdots \subseteq \bar{I}_r \subseteq \mathfrak{D}/\mathfrak{n}$$

be an  $r$ -ideal chain of  $\mathfrak{D}/\mathfrak{n}$ , as in Definition 3.1, and let  $\mathfrak{n} = \mathfrak{p}_1^{\alpha_1} \cdots \mathfrak{p}_t^{\alpha_t}$ . For  $1 \leq i \leq r$ ,

$$\bar{I}_i = \langle \bar{\pi}_{\mathfrak{p}_1} \rangle^{\alpha_1 - \beta_{i1}} \cdots \langle \bar{\pi}_{\mathfrak{p}_t} \rangle^{\alpha_t - \beta_{it}}.$$

Hence, by Definition 3.3 and Lemma 2.3,

$$\begin{aligned}
 |H(\mathfrak{D}/\mathfrak{n}, \bar{I}_1, \dots, \bar{I}_r)| &= \varphi_{\mathfrak{D}}(\mathfrak{n}/I_1) \cdot \varphi_{\mathfrak{D}}(I_1/I_2) \cdots \varphi_{\mathfrak{D}}(I_{r-1}/I_r) \\
 &= \prod_{j=1}^t \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{1j}}) \cdot \prod_{j=1}^t \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{2j}-\beta_{1j}}) \cdots \prod_{j=1}^t \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{rj}-\beta_{r-1,j}}) \\
 &= \prod_{j=1}^t \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{1j}}) \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{2j}-\beta_{1j}}) \cdots \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{rj}-\beta_{r-1,j}}).
 \end{aligned}$$

Hence,

$$|H(\mathfrak{D}/\mathfrak{n}, r)| = \prod_{j=1}^t \sum_{0 \leq \beta_{1j} \leq \dots \leq \beta_{rj} \leq \alpha_j} \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{1j}}) \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{2j}-\beta_{1j}}) \cdots \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{\beta_{rj}-\beta_{r-1,j}}).$$

Define  $x_{ij}$  for  $1 \leq i \leq r + 1, 1 \leq j \leq t$  as in (3.1). Since  $x_{ij} \geq 0$  for  $i = 1, \dots, r + 1$  and  $j = 1, \dots, t$ ,

$$|H(\mathfrak{D}/\mathfrak{n}, r)| = \prod_{j=1}^t \sum_{\substack{x_{1j} + \dots + x_{r+1,j} = \alpha_j \\ x_{ij} \geq 0, i=1, \dots, r+1}} \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{1j}}) \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{2j}}) \cdots \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{rj}}).$$

Hence,

$$\begin{aligned}
 |H(\mathfrak{D}/\mathfrak{n}, r)| &= \prod_{j=1}^t \sum_{\mathfrak{p}_j^{x_{1j}} \cdots \mathfrak{p}_j^{x_{rj}} | \mathfrak{p}_j^{\alpha_j}} \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{1j}}) \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{2j}}) \cdots \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{rj}}) \\
 &= \prod_{j=1}^t \sum_{\mathfrak{p}_j^{x_{1j}} \cdots \mathfrak{p}_j^{x_{r-1,j}} | \mathfrak{p}_j^{\alpha_j}} \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{1j}}) \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{2j}}) \cdots \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{r-1,j}}) \sum_{\mathfrak{p}_j^{x_{r,j}} | \mathfrak{p}_j^{\alpha_j - x_{1j} - \dots - x_{r-1,j}}} \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{rj}}).
 \end{aligned}$$

Since  $\sum_{i=0}^{\alpha} \varphi_{\mathfrak{D}}(\mathfrak{p}^i) = N(\mathfrak{p})^{\alpha}$ ,

$$\begin{aligned}
 |H(\mathfrak{D}/\mathfrak{n}, r)| &= \prod_{j=1}^t \sum_{\mathfrak{p}_j^{x_{1j}} \cdots \mathfrak{p}_j^{x_{r-1,j}} | \mathfrak{p}_j^{\alpha_j}} \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{1j}}) \cdots \varphi_{\mathfrak{D}}(\mathfrak{p}_j^{x_{r-1,j}}) N(\mathfrak{p}_j^{\alpha_j} / \mathfrak{p}_j^{x_{1j}} \cdots \mathfrak{p}_j^{x_{r-1,j}}) \\
 &= \prod_{j=1}^t \varphi_{\mathfrak{D}}^{(r-1)} * I(\mathfrak{p}_j^{\alpha_j}) = \varphi_{\mathfrak{D}}^{(r-1)} * I(\mathfrak{n}).
 \end{aligned}$$

This completes the proof of Theorem 3.4. □

### 4. Matrix diagonalisation in $M_r(\mathfrak{D}/\mathfrak{n})$

Let  $K$  be the field of fractions of  $\mathfrak{D}$ . From [6, Theorem 3.2, page 90], every discrete valuation  $v$  of  $K$  is induced by a prime ideal  $\mathfrak{p}$  of  $\mathfrak{D}$ . The completion of  $K$  under  $v$  will be denoted by  $K_{\mathfrak{p}}$  and called the  $\mathfrak{p}$ -adic field, and the ring  $\mathfrak{D}_{\mathfrak{p}}$  will be called the ring of integers of  $K_{\mathfrak{p}}$ . The ring  $\mathfrak{D}_{\mathfrak{p}}$  is a Dedekind domain with unique maximal ideal  $\mathfrak{p}\mathfrak{D}_{\mathfrak{p}}$ . Hence  $\mathfrak{D}_{\mathfrak{p}}$  is a principal ideal domain.

**LEMMA 4.1.** *Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and let  $M_r(\mathfrak{D})$  be the set of  $r \times r$  matrices with elements in  $\mathfrak{D}$ . For  $A \in M_r(\mathfrak{D})$ ,*

$$|\{x \in (\mathfrak{D}/\mathfrak{n})^r \mid Ax \equiv 0 \pmod{\mathfrak{n}}\}| = \prod_{\mathfrak{p}^\alpha \parallel \mathfrak{n}} \prod_{i=1}^r N_{\mathfrak{p}}(\langle d_i \rangle + \mathfrak{p}^\alpha),$$

where  $d_1, \dots, d_r$  are all invariant factors of the matrix  $A$  in  $\mathfrak{D}_{\mathfrak{p}}$  with  $d_1 \mid d_2 \mid \dots \mid d_r$  and  $N_{\mathfrak{p}}(\mathfrak{m}) = |\mathfrak{D}_{\mathfrak{p}}/\mathfrak{m}|$ . If  $d_i = 0$ , then  $d_{i+1} = \dots = d_r = 0$  and we define  $0 \mid 0$ .

**PROOF.** By the Chinese remainder theorem, it is enough to prove the case  $\mathfrak{n} = \mathfrak{p}^\alpha$ . Since  $A \in M_r(\mathfrak{D}) \subseteq M_r(\mathfrak{D}_{\mathfrak{p}})$ , according to the Smith normal form over  $\mathfrak{D}_{\mathfrak{p}}$ , there are two invertible matrices  $P$  and  $Q \in GL_r(\mathfrak{D}_{\mathfrak{p}})$  such that

$$PAQ = A_{\mathfrak{p}} = \begin{pmatrix} d_1 & 0 & \dots & 0 \\ 0 & d_2 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & d_r \end{pmatrix} \in M_r(\mathfrak{D}_{\mathfrak{p}}),$$

where  $d_1, \dots, d_r$  are all invariant factors of  $A$  in  $\mathfrak{D}_{\mathfrak{p}}$  and  $d_1 \mid d_2 \mid \dots \mid d_r$ . If  $d_i = 0$ , then  $d_{i+1} = \dots = d_r = 0$  and we define  $0 \mid 0$ .

It is easy to see that the number of solutions of  $Ax \equiv 0 \pmod{\mathfrak{p}^\alpha}$  is equal to that of  $A_{\mathfrak{p}}x \equiv 0 \pmod{\mathfrak{p}^\alpha}$ . By [4, Theorem 2.3], the number of solutions of  $Ax \equiv 0 \pmod{\mathfrak{p}^\alpha}$  is

$$\prod_{i=1}^r N_{\mathfrak{p}}(\langle d_i \rangle + \mathfrak{p}^\alpha).$$

This completes the proof of Lemma 4.1. □

Denote the set of  $r \times r$  invertible matrices in  $\mathfrak{D}/\mathfrak{n}$  by  $GL_r(\mathfrak{D}/\mathfrak{n})$ . Define the set

$$X = \left\{ \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_r \end{pmatrix} \mid x_i \in \mathfrak{D}/\mathfrak{n}, i = 1, \dots, r \right\}.$$

**DEFINITION 4.2.** For every invertible matrix  $A \in GL_r(\mathfrak{D}/\mathfrak{n})$ , we define

$$\varrho_{r,\mathfrak{n}}(A) = |\{x \in X \mid Ax \equiv x \pmod{\mathfrak{n}}\}|.$$

The next theorem is an immediate consequence of Lemma 4.1.

**THEOREM 4.3.** *For every invertible matrix  $A \in GL_r(\mathfrak{D}/\mathfrak{n})$ ,*

$$\varrho_{r,\mathfrak{n}}(A) = \prod_{\mathfrak{p}^\alpha \parallel \mathfrak{n}} \prod_{i=1}^r N_{\mathfrak{p}}(\langle d_i \rangle + \mathfrak{p}^\alpha),$$

where  $d_1, \dots, d_r$  are all invariant factors of the matrix  $A - E_r$  in  $\mathfrak{D}_{\mathfrak{p}}$  with  $d_1 \mid d_2 \mid \dots \mid d_r$ . Here, the matrix  $E_r$  stands for the identity matrix of order  $r$ .

**REMARK 4.4.** If  $r = 1$ , then  $A \in U(\mathfrak{D}/\mathfrak{n})$  and  $X = \mathfrak{D}/\mathfrak{n}$ . For every  $a \in U(\mathfrak{D}/\mathfrak{n})$ , we shall write  $\varrho(a) = \varrho_{1,\mathfrak{n}}(a)$ . Then  $\varrho(a) = N(\langle a - 1 \rangle + \mathfrak{n})$ . By (1.2),

$$\sum_{a \in U(\mathfrak{D}/\mathfrak{n})} \varrho(a) = \varphi_{\mathfrak{D}}(\mathfrak{n})\sigma_{\mathfrak{D}}(\mathfrak{n}).$$

**REMARK 4.5.** In particular, let  $r = 1$  and  $\mathfrak{D} = \mathbb{Z}$ . Then, by (1.1),

$$\sum_{a \in U(\mathbb{Z}/n\mathbb{Z})} \varrho(a) = \varphi(n)\sigma(n).$$

## 5. An application

Let  $\mathfrak{D}$  be a residually finite Dedekind domain, let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and let  $r$  be a positive integer. Let  $G$  denote the group

$$G = \left\{ \left( \begin{array}{cccc} a_{11} & a_{12} & \cdots & a_{1r} \\ 0 & a_{22} & \cdots & a_{2r} \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & a_{rr} \end{array} \right) \left| \begin{array}{l} a_{ii} \in U(\mathfrak{D}/\mathfrak{n}), i = 1, \dots, r, \\ a_{ij} \in \mathfrak{D}/\mathfrak{n}, 1 \leq i < j \leq r \end{array} \right. \right\}$$

and let  $X$  denote the set

$$X = \left\{ \left( \begin{array}{c} x_1 \\ x_2 \\ \vdots \\ x_r \end{array} \right) \left| x_i \in \mathfrak{D}/\mathfrak{n}, i = 1, \dots, r \right. \right\}.$$

**LEMMA 5.1.** Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and  $r$  be a positive integer, and define the group  $G$  and the set  $X$  as above. Then the number of orbits of  $X$  under the action of  $G$  is

$$|G/X| = \prod_{\mathfrak{p}^a \parallel \mathfrak{n}} \binom{\alpha + r}{r}.$$

**PROOF.** Two elements  $x$  and  $y$  of  $X$  belong to the same orbit if and only if there exists an element  $g \in G$  such that  $gx = y$ . Let

$$g = \left( \begin{array}{cccc} a_{11} & a_{12} & \cdots & a_{1r} \\ 0 & a_{22} & \cdots & a_{2r} \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & a_{rr} \end{array} \right) \in G.$$

Then

$$\begin{cases} a_{11}x_1 + a_{12}x_2 + \cdots + a_{1r}x_r \equiv y_1 \pmod{\mathfrak{n}}, \\ a_{22}x_2 + \cdots + a_{2r}x_r \equiv y_2 \pmod{\mathfrak{n}}, \\ \vdots \\ a_{rr}x_r \equiv y_r \pmod{\mathfrak{n}}. \end{cases} \quad (5.1)$$

Consider the system of congruences

$$\begin{cases} \langle x_r \rangle = \langle y_r \rangle & \text{in } \mathfrak{D}/\mathfrak{n}, \\ \langle x_{r-1} + I_1 \rangle = \langle y_{r-1} + I_1 \rangle & \text{in } \mathfrak{D}/I_1, \\ \vdots \\ \langle x_1 + I_{r-1} \rangle = \langle y_1 + I_{r-1} \rangle & \text{in } \mathfrak{D}/I_{r-1}, \end{cases} \tag{5.2}$$

where the ideals  $I_j$  are given by

$$\begin{aligned} I_1 &= \langle x_r \rangle = \langle y_r \rangle, \\ I_2 &= \langle x_{r-1}, x_r \rangle = \langle y_{r-1}, y_r \rangle, \\ &\vdots \\ I_r &= \langle x_1, \dots, x_r \rangle = \langle y_1, \dots, y_r \rangle. \end{aligned}$$

It is easy to see that if  $x, y \in X$  are in the same orbit under the action of  $G$ , that is,  $x, y$  satisfy (5.1), then  $x, y$  satisfy (5.2). Conversely, for any  $r$ -ideal chain  $\bar{I}_1 \subseteq \bar{I}_2 \subseteq \dots \subseteq \bar{I}_r \subseteq \mathfrak{D}/\mathfrak{n}$ , defined as above, there is exactly one orbit of  $G$  acting on  $X$ . Hence  $|G/X|$  is the number of distinct  $r$ -ideal chains in  $\mathfrak{D}/\mathfrak{n}$ . By Theorem 3.2,

$$|G/X| = |I(\mathfrak{D}/\mathfrak{n}, r)| = \prod_{\mathfrak{p}^a \parallel \mathfrak{n}} \binom{\alpha + r}{r}.$$

This completes the proof of Lemma 5.1. □

**THEOREM 5.2.** *Let  $r$  be a positive integer and  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$ . Let*

$$G = \left\{ (a_{ij})_{r \times r} \left| \begin{array}{l} a_{ii} \in U(\mathfrak{D}/\mathfrak{n}), i = 1, \dots, r, \\ a_{ij} \in \mathfrak{D}/\mathfrak{n}, 1 \leq i < j \leq r, \\ a_{ij} = 0, 1 \leq j < i \leq r \end{array} \right. \right\}$$

and define  $\varrho_{r,\mathfrak{n}}(A)$  as in Definition 4.2. Then

$$\sum_{A \in G} \varrho_{r,\mathfrak{n}}(A) = N(\mathfrak{n})^{r(r-1)/2} \varphi_{\mathfrak{D}}(\mathfrak{n})^r \prod_{\mathfrak{p}^a \parallel \mathfrak{n}} \binom{\alpha + r}{r}.$$

**PROOF.** Consider the group action of  $G$  on  $X$ . By Definition 4.2, for any element  $A \in G$ ,

$$\varrho_{r,\mathfrak{n}}(A) = |\{x \in X \mid Ax \equiv x \pmod{\mathfrak{n}}\}| = |X^A|.$$

Using the Cauchy–Frobenius–Burnside lemma and Lemma 5.1,

$$\sum_{A \in G} \varrho_{r,\mathfrak{n}}(A) = |G| \cdot |G/X| = N(\mathfrak{n})^{r(r-1)/2} \varphi_{\mathfrak{D}}(\mathfrak{n})^r \prod_{\mathfrak{p}^a \parallel \mathfrak{n}} \binom{\alpha + r}{r}.$$

This completes the proof of Theorem 5.2. □



**LEMMA 5.3.** *Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and  $r$  be a positive integer. Define  $\tau_1(\mathfrak{n}) = \sigma_{\mathfrak{D}}(\mathfrak{n})$  and  $\tau_i(\mathfrak{n}) = \sum_{\mathfrak{d}|\mathfrak{n}} \tau_{i-1}(\mathfrak{d})$  for  $i \geq 2$ . Then*

$$\tau_r(\mathfrak{n}) = \prod_{\mathfrak{p}^\alpha || \mathfrak{n}} \binom{\alpha + r}{r}.$$

**PROOF.** Let  $\mathfrak{n} = \mathfrak{p}_1^{\alpha_1} \cdots \mathfrak{p}_t^{\alpha_t}$ . We shall prove the lemma by induction on  $r$ . For  $r = 1$ ,

$$\tau_1(\mathfrak{n}) = \sigma_{\mathfrak{D}}(\mathfrak{n}) = \prod_{\mathfrak{p}^\alpha || \mathfrak{n}} \binom{\alpha + 1}{1}.$$

Hence the lemma holds for  $r = 1$ . Assume that the lemma holds for  $r = k$ , that is,

$$\tau_k(\mathfrak{n}) = \prod_{\mathfrak{p}^\alpha || \mathfrak{n}} \binom{\alpha + k}{k}.$$

Now we show that the lemma holds for  $r = k + 1$ . By the induction hypothesis,

$$\begin{aligned} \tau_{k+1}(\mathfrak{n}) &= \sum_{\mathfrak{d}|\mathfrak{n}} \tau_k(\mathfrak{d}) = \sum_{\mathfrak{d}|\mathfrak{n}} \prod_{\mathfrak{p}^\alpha || \mathfrak{d}} \binom{\alpha + k}{k} \\ &= \sum_{\substack{0 \leq \beta_i \leq \alpha_i \\ 1 \leq i \leq t}} \prod_{i=1}^t \binom{\beta_i + k}{k} = \prod_{i=1}^t \sum_{\beta_i=0}^{\alpha_i} \binom{\beta_i + k}{k} \\ &= \prod_{i=1}^t \binom{\alpha_i + k + 1}{k + 1} = \prod_{\mathfrak{p}^\alpha || \mathfrak{n}} \binom{\alpha + k + 1}{k + 1}, \end{aligned}$$

showing that the lemma holds for  $r = k + 1$ . Thus Lemma 5.3 follows by induction.  $\square$

The next theorem follows at once from Theorem 5.2 and Lemma 5.3.

**THEOREM 5.4.** *For every nonzero ideal  $\mathfrak{n}$  of  $\mathfrak{D}$  and a positive integer  $r$ ,*

$$\sum_{A \in G} \varrho_{r,\mathfrak{n}}(A) = N(\mathfrak{n})^{r(r-1)/2} (\varphi_{\mathfrak{D}}(\mathfrak{n}))^r \tau_r(\mathfrak{n}),$$

where  $G$  is defined as in Theorem 5.2.

Using Theorem 4.3, we have the following corollary.

**COROLLARY 5.5.** *For every nonzero ideal  $\mathfrak{n}$  of  $\mathfrak{D}$  and a positive integer  $r$ ,*

$$\sum_{A \in G} \prod_{\mathfrak{p}^\alpha || \mathfrak{n}} \prod_{i=1}^r N(\langle d_i \rangle + \mathfrak{p}^\alpha) = N(\mathfrak{n})^{r(r-1)/2} \varphi_{\mathfrak{D}}(\mathfrak{n})^r \tau_r(\mathfrak{n}),$$

where  $d_1, \dots, d_r$  are all invariant factors of the matrix  $A - E_r$  in  $\mathfrak{D}_p$  satisfying  $d_1 | d_2 | \cdots | d_r$ .

**REMARK 5.6.** If  $\mathfrak{D} = \mathbb{Z}$ , then Corollary 5.5 reduces to the main theorem of [9].

### 6. Another application

In this section, we define the group

$$U = \left\{ \begin{pmatrix} 1 & a_{11} & a_{12} & \cdots & a_{1r} \\ 0 & 1 & a_{22} & \cdots & a_{2r} \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix} \mid a_{ij} \in \mathfrak{D}/\mathfrak{n}, 1 \leq i \leq j \leq r \right\}$$

and consider the action of  $U$  on the set

$$X = \left\{ \begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_r \end{pmatrix} \mid x_i \in \mathfrak{D}/\mathfrak{n}, i = 0, \dots, r \right\}.$$

**LEMMA 6.1.** *Let  $\mathfrak{n}$  be a nonzero ideal of  $\mathfrak{D}$  and  $r$  be a positive integer. Then the number of orbits of the set  $X$  under the action of the group  $U$  is*

$$|U/X| = \varphi_{\mathfrak{D}}^{(r)} * I(\mathfrak{n}).$$

**PROOF.** If two elements  $x, y \in X$  are in the same orbit, then there exists an element  $g \in U$  such that  $gx = y$ . That is,

$$\begin{cases} x_0 + a_{11}x_1 + a_{12}x_2 + \cdots + a_{1r}x_r \equiv y_0 \pmod{\mathfrak{n}}, \\ x_1 + a_{22}x_2 + \cdots + a_{2r}x_r \equiv y_1 \pmod{\mathfrak{n}}, \\ \vdots \\ x_r \equiv y_r \pmod{\mathfrak{n}}. \end{cases}$$

Consider the system of congruences

$$\begin{cases} \langle x_r \rangle = \langle y_r \rangle & \text{in } \mathfrak{D}/\mathfrak{n}, \\ \langle x_{r-1} + I_1 \rangle = \langle y_{r-1} + I_1 \rangle & \text{in } \mathfrak{D}/I_1, \\ \vdots \\ \langle x_0 + I_r \rangle = \langle y_0 + I_r \rangle & \text{in } \mathfrak{D}/I_r, \end{cases}$$

with the ideals

$$\begin{aligned} I_1 &= \langle x_r \rangle = \langle y_r \rangle, \\ I_2 &= \langle x_{r-1}, x_r \rangle = \langle y_{r-1}, y_r \rangle, \\ &\vdots \\ I_{r+1} &= \langle x_0, \dots, x_r \rangle = \langle y_0, \dots, y_r \rangle. \end{aligned}$$

Let  $\bar{I}_1 \subseteq \bar{I}_2 \subseteq \cdots \subseteq \bar{I}_r \subseteq \bar{I}_{r+1} \subseteq \mathfrak{D}/\mathfrak{n}$  be an  $(r + 1)$ -ideal chain in  $I(\mathfrak{D}/\mathfrak{n}, r + 1)$ . Then, for any vector  $(x_1, \dots, x_{r+1}) \in H(\mathfrak{D}/\mathfrak{n}, \bar{I}_1, \dots, \bar{I}_{r+1})$ , there is exactly one orbit of  $U$  acting on  $X$ . Hence  $|G/X| = |H(\mathfrak{D}/\mathfrak{n}, r + 1)|$ . By Theorem 3.4,  $|U/X| = \varphi_{\mathfrak{D}}^{(r)} * I(\mathfrak{n})$ . This completes the proof of Lemma 6.1.  $\square$

**THEOREM 6.2.** For every nonzero ideal  $\mathfrak{n}$  of  $\mathfrak{D}$  and a positive integer  $r$ ,

$$\sum_{A \in U} \varrho_{r+1, \mathfrak{n}}(A) = N(\mathfrak{n})^{r(r+1)/2} \varphi_{\mathfrak{D}}^{(r)} * I(\mathfrak{n}).$$

**PROOF.** The theorem can be proved in a similar way to Theorem 5.2 by using the Cauchy–Frobenius–Burnside lemma.  $\square$

Using Theorem 4.3, we have the following corollary.

**COROLLARY 6.3.** For every nonzero ideal  $\mathfrak{n}$  of  $\mathfrak{D}$  and a positive integer  $r$ ,

$$\sum_{A \in U} \prod_{\mathfrak{p}^{\alpha} \parallel \mathfrak{n}} \prod_{i=1}^{r+1} N(\langle d_i \rangle + \mathfrak{p}^{\alpha}) = N(\mathfrak{n})^{r(r+1)/2} \varphi_{\mathfrak{D}}^{(r)} * I(\mathfrak{n}),$$

where  $d_1, \dots, d_{r+1}$  are all invariant factors of matrix  $A - E_{r+1}$  in  $\mathfrak{D}_{\mathfrak{p}}$  satisfying  $d_1 \mid d_2 \mid \dots \mid d_{r+1}$ .

**REMARK 6.4.** If  $\mathfrak{D} = \mathbb{Z}$ , then Corollary 6.3 reduces to [2, Theorem 3.1].

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