

Draft Minutes APSA Council Meeting August 28, 1996 San Francisco Hilton & Tower 10:00am–5:00pm

[Editor's Note: Minutes still pending Council approval]

Present:

Martha Ackelsberg, James Alt, Sheila Ards, Suzanne Berger, Ruth Berins Collier, Timothy Cook, Ada Finifter, Michael W. Giles, Rodney E. Hero, Harry Hirsch, Jennifer Hochschild, Gary C. Jacobson, M. Kent Jennings, Pamela K. Jensen, Bruce W. Jentleson, David Laitin, Margaret Levi, Arend Lijphart, Paula McClain, Helen Milner, William Nelson, Elinor Ostrom, Richard Payne, Dianne Pinderhughes, Ronald Rogowski, Catherine Rudder, Virginia Sapiro, Ian Shapiro, Theda Skocpol, Paul Sniderman, Harvey Starr, Joseph Stewart, Jr., Toni-Michelle C. Travis, Susan Welch.

APSA Staff: Robert J-P. Hauck, Sheilah Mann, Jun Yin.

1. President Arend Lijphart called the meeting to order and initiated a round of introductions.

2. Council Action: The Council approved the Minutes of the April 20, 1996 Council Meeting.

3. Report of the President:

A. Lijphart announced the renewal of Catherine Rudder's appointment as executive director and the extension of the term of the appointment until 2003.

B. Lijphart commended Catherine Rudder and the staff at APSA for their work, Ronald Rogowski and Jennifer Hochschild for their wonderful job of putting together the 1996 meeting, James Alt and Margaret Levi for co-chairing the 1997 Annual Meeting, and Ada Finifter for her service as APSA editor. He also thanked the members of program committee, the leaders of Organized Sections, Council members, and the members of the Administrative Committee for their efforts on behalf of the profession and discipline.

C. Lijphart presented the minutes of the Administrative Committee meeting and raised three items for Council consideration.

1. Responding to a letter from Ben Marquez on behalf of the Committee on the Status of Latinos in the Profession, the Administrative Committee recommended that the Council increase the number of Latino Fellowships to two, and regularly review the minority fellowships as circumstances are likely to change in the current legal environment. The recommendation was approved unanimously.

2. Recognizing the extensive contributions of Walter E. Beach to APSA, the National Capital Area Political Science Association, the Southern Political Science Association, and the Women's Caucus, the Administrative committee recommended to the Council the naming of APSA's archive as Walter E. Beach APSA Archive at Mount Vernon College. The recommendation was approved unanimously.

3. Reviewing the request of Wilma Rule that APSA establish a task force on electoral reform of the U.S. House of Representatives, the Administrative Committee agreed that diverse representation is an important issue and suggested to the editor of *PS* and the 1997 Annual Meeting Program Co-Chairs that they should incorporate the subject in their respective activities as appropriate. The Council concurred with the Administrative Committee Decision.

D. Lijphart also reviewed the following Administrative Committee decisions with the Council:

1. A request from APSA's Organized Section on Public Policy to publish a book series on general public policy issues in cooperation with SUNY Press.

2. A request to present the Rowman and Littlefield Publishers' Innovation in Teaching Award at APSA's President-Elect's Reception at the Annual Meeting in conjunction with the recognition of other teaching awards.

The Council decided to discuss the issue of sponsorship of APSA activities and events at a future Council meeting. Members expressed gratitude to Rowman & Littlefield for the establishment of the teaching award and for support of the President-Elect's reception. At the same time, discussion arose concerning the appropriateness of permanently estab-

lishing a Rowman & Littlefield representative as chair of the selection committee.

E. Lijphart announced the award recipients for 1996; the interim appointment of Carol Nechemias to represent APSA at the American Association for the Advancement of Slavic Studies; and appointment of Martha Kumar as APSA's representative to the National Archives and Records Administration. All items were approved unanimously.

4. Report of the Executive Director

A. Catherine Rudder summarized the highlights of the year by referring Council members to her written Executive Director's report. She noted that APSA had made progress on all but one of the nine items set by the Council at its Spring meeting.

B. Rudder announced the appointment of Jun Yin as a new APSA Staff Associate. She also announced the retirement of Kay Sterling, Administrative Director of the Congressional Fellowship Program and her replacement by Lisa Foust, a former fellow.

C. Rudder reported that the APSA Building was undergoing some remodeling to improve working space and safety.

D. Rudder thanked former *APSR* Editor Bing Powell for returning \$41,000 in budgeted but unused *APSR* funds.

E. Rudder reported on APSA's plan for the new membership directory and the joint publication with the American Historical Association of a new and expanded journal discount brochure entitled "The Scholar Saver."

F. She committed the Association staff to central proposal processing for the 1997 Annual Meeting—proposals will be submitted to the APSA's national office rather than the individual division chairs by November 15, 1996. The APSA Annual Meeting staff will acknowledge receipt of proposals, bundle the submissions and send them to the appropriate division chairs who will decide which proposals will be accepted.

5. Standing and Award Committee Appointments of the President-Elect (Elinor Ostrom)

Elinor Ostrom presented the Council with her list of committee appointments.

All appointments were approved unanimously by the Council. The Council also approved any additions or changes that might be necessitated during her presidential year.

6. Report of the President-Elect on a Task Force on Civic Education for the New Century

A. Elinor Ostrom proposed the creation of a Task Force of the American Political Science Association on Civic Education for the New Century which will complete its work by 2003, the centennial of the APSA.

B. The Council approved the proposal and allocated a budget of \$5,000 to the Task Force.

7. Review of the 1996 Annual Meeting

A. Ronald Rogowski and Jennifer Hochschild, 1996 Program Co-chairs, reported on some of the highlights of the meeting. Rogowski focused on the Lasswell Symposium, James Madison Lecture, John Gaus Lecture, APSA Awards Ceremony and Presidential Address, and Presidential Reception. Hochschild on Robert Dahl's plenary session on equality and inequality. She also reported on some of the innovations of the meeting, including Breakfast with Champions and Poster Sessions. The Co-chairs also emphasized the importance of relations with the press. They concluded by expressing their appreciation to Program Committee division chairs and the APSA staff.

B. Catherine Rudder updated the Council on the record preregistration numbers [5011] for the 1996 meeting.

C. Robert Hauck reported that the Council supported 94 advanced graduate students and international graduate students and faculty.

D. Hauck noted approximately 1,500 graduate students will be a part of the 1996 Annual Meeting and cordially invited the Council Members to the annual Graduate Student Reception hosted by President Lijphart and President-Elect Ostrom.

E. Catherine Rudder asked the Council to review and approve the Agenda of APSA 1996 Annual Business Meeting. The agenda was approved unanimously.

8. Report on the 1997 Annual Meeting Program

A. James Alt and Margaret Levi presented the Council with the plans and innovations for the 1997 Annual Meeting. One innovation will be to invite sev-

eral Nobel Laureates to the Annual Meeting to promote interaction between political scientists and economists. Another innovation will be the central processing of paper and panel proposals. Alt and Levi added three members to the Program Committee as general advisors to the Co-chairs, an action approved by the Council. Alt promised other innovations such as new panel formats, emphasized the importance of teaching programs, suggested that a panel on the relation between teaching and research be created and a poster session be devoted to teaching.

B. Levi asked Council members to think about how to stir divisions chairs to actively shape the intellectual substance of their divisions. Alt and Levi also reminded the Council that the deadline for proposal submission will be changed to November 15 and asked them to spread the word. It was agreed that the new deadline will be posted on the PSRTL and be highlighted in the annual departmental mailing.

9. Committee on the Annual Meeting

On behalf of the Committee on the Annual Meeting, Robert Hauck requested that the Program Committee budget be increased from \$ 5,000 to \$12,500 in order to accommodate special, non-political science participants for plenary and other sessions at the meeting. He also proposed the Annual Meeting Committee's plan for central processing of paper and panel submissions to be adopted with the 1997 meeting. He proposed for the committee that paper givers and panel participants accept their invitation to participate by submitting their preregistration fee, and that the fee be non-refundable for program participants. These requirements he said, would serve as an encouragement to those accepted to fulfill their obligations, rather than leaving a position on the program empty that many others would like to have filled. These requests and proposals were approved unanimously.

10. Report of the Treasurer, Gary Jacobson

A. Gary Jacobson observed that the Association's net assets were up 18%. He presented the Administrative Committee's recommendation that \$30,000 of the FYI 1996 budget surplus be allocated to the Ralph Bunche Summer Institute and the remaining amount be allocated to the Second Century Fund and asked for Council approval. The recommendation was approved unanimously.

B. Jacobson presented the Council with the Revised Budget for FY96-97 and asked for Council approval. The Revised Budget was approved unanimously.

C. Jacobson reported that the value of the Trust and Development Fund was up 26% and the value of other endowed funds were up 26.4%. He reported that the annual audit for FY95-96 had been completed, and the independent auditors found no weakness within the internal controls and offered no recommendations for improvements or changes. Jacobson concluded that the Association was in robust financial health and was operating at a high level of financial accountability.

11. Report of the Endowments Committee

A. Sheila Mann gave an update on fund raising for the dissertation awards. She reported that among the four dissertation awards whose endowments in 1995 were insufficient to support a \$500 annual prize, the Corwin, Almond, and Anderson Awards had now reached the required size, and that the endowment for the Schattschneider Award is only \$1,900 below the necessary level. The campaign for the contributions to the Schattschneider endowment will continue.

B. Robert Hauck reviewed the core strategies and materials for the Centennial Campaign which included the Campaign Statement, the establishment of the Goodnow Award for contributions to building the profession, and an endowment spending rate of 5%. He then asked for Council approval. All three items were approved unanimously.

12. Report of the Committee on the Status of Lesbians and Gays in the Profession (Harry Hirsch)

A. Harry Hirsch reported that most recommendations from the APSA Committee on the Status of Lesbians and Gays in the Profession endorsed last year by the APSA Council have been acted upon by APSA Committees. He identified two areas in which the Committee has not received a positive response. The first was that no action has been taken on the request that the APSA health benefit plan offer coverage to same-sex couples comparable to that provided for married heterosexual couples, even if that entails more expensive benefit policies.

A second recommendation that has not been accepted is the one that called upon the Managing Editor of the *APSR* to include expertise on gay and lesbian

politics on the journal's editorial board. *APSR* Editor Ada Finifter gave three reasons for the lack of such expertise on the editorial board: [1] In correspondence to her, copies of which went to Arend Lijphart, Elinor Ostrom, and APSA staff, Professor Hirsch asked for "gay and lesbian representation, or, at minimum, the presence of a scholar who studies these issues" on the Editorial Board. As to the first, Finifter stated that membership on the Editorial Board should not be earmarked for individuals with any particular personal characteristics. Rather, Editorial Board members are chosen to represent fields of scholarship in which the Review receives large numbers of submissions; as to the second, [2] Articles on gay and lesbian politics are reviewed now by readers expert in the broader field in which the research is based, such as American politics or political theory; and [3] Of over 650 manuscripts processed this past year, *APSR* received only three manuscripts which had any gay and lesbian content, and they were handled by experts in public opinion, political philosophy, and social movements. Finifter argued that the editor needs to receive enough papers in this area to justify enlarging the Board. Hirsch replied that there are papers on gays and lesbian politics that have been submitted to and published by other journals, and that the *APSR* needs to create a welcoming environment to bring submissions to *APSR*. Finifter emphasized that the Review welcomes submissions in all fields and that she strives to get fair and impartial reviews for all manuscripts. The Council discussed the Committee's concerns, but noted it was inappropriate for the Board to dictate to the editor the composition of the Editorial Board.

B. Hirsch noted that the Committee on the Status of Lesbians and Gays in the Profession is continuing to meet with the Committee on Professional Ethics in order to present its requests regarding partners benefits and affirmative action.

C. On behalf of the Ethic Committee, Robert Hauck requested Council approval of a change to Section F of the Ethics Guide. The section will be retitled "Personnel Issues" generally, and the language altered to refer to "any conditions of employment," and not simply be restricted to "hiring." The Council approved the change unanimously. The Section will now read:

F. Ethics in Recruitment, Hiring, and Personnel Practices Process²⁵. Equal Employment Practices and Opportunities

It is Association policy that educational institutions not discriminate on

the basis of gender, race, color, national origin, sexual orientation, marital status, physical handicap, disability, or religion in any condition of employment except in those cases in which federal laws allow religious preference in hiring.

The guiding principle is that employment should be based on only those criteria which relate directly to professional competence.

In accordance with this policy, therefore, the Association will not indicate a preference, limitation, or specification based upon these classifications in job listings, except that religious preferences may be indicated when allowed by federal law.

However, it is Association policy to support the principles of affirmative action and to urge political science departments to pursue aggressively affirmative action programs and policies with regard to African Americans, Latinos, women, and other minorities.

1. In response to the recommendation from the Committee on the Status of Lesbians and Gays in the Profession that the language on affirmative action be broadened to refer to sexual orientation, the Committee on Professional Ethics, Rights, and Freedoms reported that it is unprepared to make a recommendation at this time but it would appreciate further comments from the Status Committee and the Council.

13. Report on Joint Meeting of Committees on the Status of Blacks, Latinos, and Lesbians and Gays (in consultate with the Committee on the Status of Women)

The four Status Committees asked that the Association make a general policy statement supporting affirmative action. The APSA Administrative Committee recommended to the Council that it reaffirm APSA's longstanding commitment to affirmative action as stated in the Guide to Professional Ethics and the Personnel Service Newsletter. This recommendation was approved unanimously.

14. Report on Minority Programs

Catherine Rudder delivered a brief report on the continuing success of the Minority Fellowship Program, the Ralph Bunche Summer Institute, and the Minority Identification Project. She invited Council members to the Committee on the Status of Blacks in the Profession's Nightcap Reception in honor of Ru-

dolph Gordon and San Francisco Mayor Willie Brown.

15. Report of the Editor of the American Political Science Review (Ada Finifter)

Ada Finifter updated the Council on the *APSR* editorial office workload, the review process, acceptance rates at various stages of the review process, and book reviews. Finifter reported that there are three general issues she has been focusing on: service to various constituencies including authors, reviewers, and readers; good communication with various constituencies; and maintaining the *APSR* as an excellent scholarly journal.

16. Report of the Publications Committee

A. Sheilah Mann updated the Council on JSTOR (The Journal Storage Project). The back issues of the *APSR* will be available in electronic form late this fall.

B. Robert Hauck informed the Council of the publication of the new cumulative index of the *APSR* prepared by Kenneth Janda and Richard Powell.

C. Sheilah Mann conveyed the Publications Committee's deliberations on *APSR* submission fees. The Committee had recommended that the Council introduce a submission fee for nonmembers and decide the amount of such a fee. A full discussion followed. Finifter noted that 28% of submissions to the *APSR* come from nonmembers. Laitin and Starr pointed out that other fields do impose submission fees or processing fees. President-Elect Ostrom suggested that the proposal be sent back to the Publications Committee and that it clarify why submission fees were necessary. The Council agreed to send the proposal back to the Publications Committee for elaboration.

D. Robert Hauck reported on APSA's negotiation with Michigan State University and Humanities Online regarding an H-Net/APSA cooperative agreement that would have H-Net function as the Association's server and make discussion lists and websites available to Organized Sections. He asked the Council to approve APSA's alliance with H-Net. The alliance was approved unanimously.

17. Report of the APSA Committee on International Programs

A. Robert Hauck reported on APSA's effort to initiate a cooperative program with the Ukrainian Academy of Political

Sciences/Ukrainian Association of Political Scientists in league with the American Association for the Advancement of Science. The AAAS Society Fellow Program enables international scholars to learn the theory and practice of learned societies. Efforts will continue for 1997.

B. Hauck reported that the exchange program with the Japanese Political Science Association continues to provide for APSA member participation in the JPSA's annual meeting and vice versa.

18. Update Education and Professional Development Committee

Sheilah Mann reported on the difficulties of the FIPSE project to develop designs for comprehensive introductory courses. She also reported that the Annual Meeting focus group discussion among graduate students on the introductory courses they are being prepared to teach. Mann noted that Community college and high school teachers have received special invitations to attend the 1996 Annual Meeting. In addition, the APSA co-sponsored a national convention on science and engineering doctoral education held by the National Academy of Sciences in June. A small report will appear in the December issue of *PS*.

19. Report of the Committee on Professional Ethics: Follow up on outside letters of reference

The Council received a memo from Kay Schlozman, Chair, Committee on Professional Ethics' dealing with the Committee's reconsideration of the language in the **Guide to Professional Ethics** regarding requests for letters from outside reference. It has been suggested that departments may be unfairly taking advantage of the faculty members professional obligation to supply letters when asked. The Committee and the Departmental Services Committee will conduct a survey of department chairs and senior faculty to collect data on departmental practices. The survey will be conducted in October 1996.

20. Committee Reports and Other Materials Submitted for the Council's Information

The Council was presented with the following reports and materials for its information: minutes of the Departmental Services Committee, Agenda of the 1996 Conference of Political Science chairs, Announcement of the new **Directory of Undergraduate Political Science**

Faculty, minutes of the Publications Committee, Organized Section update, minutes of the Committee on the Status of Lesbians and Gays in the Profession, report on the Congressional Fellowship Program, and press release on the 1996 Annual Meeting.

21. Adjournment

Elinor Ostrom presented Arend Lijphart with a Certificate of Appreciation, lauding him for his work on behalf of the Association. The meeting adjourned with no further business.

APSA Awards Presented at 1996 Annual Meeting

DISSERTATION AWARDS

Gabriel A. Almond Award (\$500)

For the best doctoral dissertation completed and accepted in 1994 or 1995 in the field of comparative politics.

Award Committee: Scott Mainwaring, University of Notre Dame, chair; Thomas Koelble, University of Miami; and Frances Rosenbluth, Yale University

Recipient: **Torben Iversen**, Harvard University

Dissertation: "Contested Economic Institutions: The Politics of Macro-Economics and Wage Bargaining in Organized Capitalism."

Citation: Torben Iversen's dissertation examines the interaction between wage bargaining institutions, macro-economic policy regimes, and the welfare state in organized capitalism, focusing mostly on northern Europe and Japan. His central puzzle is to explain different patterns in institutional change in organized capitalism.

He argues that in advanced industrial democracies with well organized labor unions and business associations, macro-economic performance—especially the level of unemployment—depends fundamentally on two variables: the degree of centralization of the bargaining system, and the nature of the monetary policy regime. Two quite different macroinstitutional equilibria facilitate successful adaptation to the erosion of the post-1945 Social Democratic consensus: flexible centralization and non-accommodating decentralization. With flexible centralization, economic adjustment occurs through a coordination of macroeco-

omic policies and the behavior of unions and business associations. The Scandinavian countries for some time represented this path to adjustment. With non-accommodating decentralization, decentralized unions and employers adjust their behavior to government policies that deliberately eschew accommodation and coordination. Germany, Japan, and Switzerland have pursued this path to adjustment. Both of these outcomes are superior to those produced when centralization is combined with a non-accommodating policy regime or when decentralization is coupled with an accommodating one.

The two paths that produce better results are both Pareto optimal, but they differ markedly in the opportunities for organized interests to exercise influence. For this reason, they are supported by different coalitions. This poses the puzzle as to why different institutional arrangements arise in the first place. Building on the literatures on new classical economics, coalition formation, institutional change, and corporatist theory, Iversen argues that institutions arise as an outcome of a process of coalition formation between partisan governments and organized interests. Institutional change results when technological, environmental, and economic transformations lead to a new constellation of interests among the actors (government, unions, and employers' associations) that Iversen considers in his model.

Iversen's dissertation builds on a variety of literatures, and also contributes rich new insights to these literatures, challenging them in refreshing ways. It is comparatively broad and theoretically bold.

William Anderson Award (\$500)

For the best doctoral dissertation completed and accepted in 1994 or 1995 in the field of state and local politics, federalism, or intergovernmental relations.

Award Committee: Gerald A. McBeath, University of Alaska-Fairbanks, chair; Herman Bakvis, Dalhousie University; and Marilyn Davis, Spelman College

Recipient: **Thomas M. Carsey**

Dissertation: "Election Dynamics: Candidate Strategy and Electoral Cleavages in United States Gubernatorial Elections"

Dissertation Chair: Gerald C. Wright, Indiana University

Citation: The William Anderson Award Committee honors Thomas M. Carsey for the best doctoral dissertation of 1995 in the fields of state and local politics,