

VON NEUMANN'S MANUSCRIPT ON INDUCTIVE LIMITS OF REGULAR RINGS

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1. Introduction. It is now known (3) that if \mathfrak{R} is a regular rank ring, then the rank function can be extended to the matrix ring \mathfrak{R}_n in such a way that $R(a) = R(a \otimes n)$; here, a is an arbitrary element of \mathfrak{R} , $a \otimes n$ is the $n \times n$ diagonal matrix with a for each entry on the diagonal, and R denotes rank in \mathfrak{R} and also in \mathfrak{R}_n . It is also known (2) that every regular rank ring has a rank-metric completion which is again a regular rank ring. Thus von Neumann's procedure of forming inductive limits applies to an arbitrary regular rank ring \mathfrak{R} ; one begins with an arbitrary factor sequence $\mu = (n_i)$ and constructs first the matrix ring \mathfrak{R}_i for each $i \in \mu$, then the inductive limit \mathfrak{R}_μ , then the completion $(\mathfrak{R}_\mu)^\wedge$.

In a manuscript written in 1936–37, J. von Neumann proved the following two theorems for the case when \mathfrak{R} is a division ring (skew-field):

THEOREM 1. *If μ and γ are factor sequences, then the rings $(\mathfrak{R}_\mu)^\wedge$ and $(\mathfrak{R}_\gamma)^\wedge$ are isomorphic.*

THEOREM 2. *If μ is a factor sequence and $e \neq 0$ is an idempotent in $(\mathfrak{R}_\mu)^\wedge$, then the rings $e((\mathfrak{R}_\mu)^\wedge)e$ and $(\mathfrak{R}_\mu)^\wedge$ are isomorphic.*

Throughout this note, *isomorphism* means ring isomorphism.

Von Neumann's proof of Theorems 1 and 2 (for the case of a division ring) has not been published previously although an abstract appeared in (5). The present note will give a detailed exposition of von Neumann's proof; however, the writer has freely changed the arrangement and notation, has made minor alterations in the argument, and has inserted the Lemmas 3 and 4 and the Remarks 1 and 2 below. Theorems 1 and 2 will be proved here for every regular rank ring \mathfrak{R} with unit for which \mathfrak{R}^\wedge is irreducible (then necessarily \mathfrak{R}^\wedge is an irreducible, discrete or continuous rank ring).

2. Preliminaries. An infinite sequence of integers $\mu = (n_i)$ will be called a *factor sequence* if

- (i) $n_1 \geq 1$,
- (ii) for each $i \geq 1$: $n_{i+1} = k_i n_i$ for some integer $k_i \geq 1$,
- (iii) $n_i \rightarrow \infty$ when $i \rightarrow \infty$.

μ will be called *complete* if also every integer $m \geq 1$ is a divisor of some member of μ .

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If \mathfrak{R} is an arbitrary (associative) ring, then the matrix ring \mathfrak{R}_n , the product $A \otimes q$, the mapping $\phi_{kn,n}: \mathfrak{R}_n \rightarrow \mathfrak{R}_{kn}$ and the inductive limit

$$\mathfrak{R}_\mu = \lim_{\rightarrow} (\mathfrak{R}_{n_i}, \phi_{k_i n_i, n_i})$$

are defined as in (1). If n is a member of a factor sequence μ and $A \in \mathfrak{R}_n$, we shall write $A(\mu)$ to denote the element in \mathfrak{R}_μ determined by A (that is, the equivalence class of A).

If μ is a subsequence of a factor sequence γ , the rule $A(\mu) \rightarrow A(\gamma)$ clearly determines an isomorphism of \mathfrak{R}_μ onto \mathfrak{R}_γ . Thus \mathfrak{R}_μ and \mathfrak{R}_γ are isomorphic whenever μ and γ possess a common infinite subsequence, hence, whenever: each member of μ is a divisor of some member of γ and conversely each member of γ is a divisor of some member of μ , in particular, whenever both μ and γ are complete.

If \mathfrak{R} is a regular rank ring, as defined in (4), then each \mathfrak{R}_n is a regular rank ring with a rank function which is an extension to \mathfrak{R}_n of the rank function of \mathfrak{R} (see 3); with respect to this rank function, each $\phi_{kn,n}$ is rank preserving and \mathfrak{R}_μ is a regular rank ring. As shown in (2), \mathfrak{R}_μ has a metric completion, denoted $(\mathfrak{R}_\mu)^\wedge$, which is a complete regular rank ring. If \mathfrak{R} has a unit element, then so do all \mathfrak{R}_n , \mathfrak{R}_μ , and $(\mathfrak{R}_\mu)^\wedge$, and we may suppose that all rank functions are normalized by the condition $R(1) = 1$. We shall, without fear of ambiguity, use the same letter R to denote the different rank functions.

3. Outline of the proof. In §4, we shall prove the following four lemmas (\mathfrak{R} will denote a regular rank ring *with unit* and θ will denote an arbitrary real number satisfying $0 < \theta < 1$):

LEMMA 1. *Suppose that $\mu = (n_i)$ and $p = (p_i)$ are factor sequences satisfying*

$$1 \geq \frac{p_1}{n_1} \geq \frac{p_2}{n_2} \geq \dots \geq \frac{p_i}{n_i} \geq \frac{p_{i+1}}{n_{i+1}} \geq \dots,$$

$$\lim_{i \rightarrow \infty} \frac{p_i}{n_i} = \theta,$$

then there exists an idempotent $e \in (\mathfrak{R}_\mu)^\wedge$ with $R(e) = \theta$ and an isomorphism of $(\mathfrak{R}_p)^\wedge$ onto $e((\mathfrak{R}_\mu)^\wedge)e$.

LEMMA 2. *If $\mu = (n_i)$ is a factor sequence, there exists a subsequence γ of μ , say $\gamma = (m_i)$, and a complete sequence $p = (p_i)$ such that*

$$1 \geq \frac{p_1}{m_1} \geq \frac{p_2}{m_2} \geq \dots \geq \frac{p_i}{m_i} \geq \frac{p_{i+1}}{m_{i+1}} \geq \dots$$

and

$$\lim_{i \rightarrow \infty} \frac{p_i}{m_i} = \theta.$$

LEMMA 3.

(i) If \mathfrak{R} is irreducible and complete in the rank metric, then \mathfrak{R} is an irreducible, discrete or continuous rank ring.

(ii) \mathfrak{R}^\wedge is irreducible if and only if \mathfrak{R} has the property:

(P) if f is an idempotent in \mathfrak{R} and $R(f) \leq R(1 - f)$, then

$$\sup_{x \in \mathfrak{R}} R(fx(1 - f)) = R(f).$$

(iii) If \mathfrak{R}^\wedge is irreducible (in particular, if \mathfrak{R} is any division ring, more generally any irreducible, discrete or continuous rank ring) and μ is a factor sequence, then $(\mathfrak{R}_\mu)^\wedge$ is an irreducible continuous rank ring.

LEMMA 4. Suppose that \mathfrak{R} is an irreducible, discrete or continuous rank ring. Then

(i) If f is an idempotent in \mathfrak{R} with $R(f) = \frac{1}{2}$, then \mathfrak{R} is isomorphic to $(f\mathfrak{R}f)_2$ (this is the ring of 2×2 matrices with entries in $f\mathfrak{R}f$).

(ii) If f_1 and f_2 are idempotents of equal rank, then $f_1\mathfrak{R}f_1$ and $f_2\mathfrak{R}f_2$ are isomorphic.

Assuming that these four lemmas have been established, we consider a factor sequence μ and an arbitrary regular rank ring \mathfrak{R} with unit for which \mathfrak{R}^\wedge is an irreducible ring (hence, by Lemma 3(iii), $(\mathfrak{R}_\mu)^\wedge$ is an irreducible continuous ring) and we argue as follows:

1. For some idempotent f in $(\mathfrak{R}_\mu)^\wedge$ with $R(f) = \frac{1}{2}$, the ring $f((\mathfrak{R}_\mu)^\wedge)f$ is isomorphic to $(\mathfrak{R}_p)^\wedge$ for some (and hence every) complete factor sequence p (use Lemma 2 and Lemma 1 with $\theta = \frac{1}{2}$).

2. Since $(\mathfrak{R}_\mu)^\wedge$ is an irreducible continuous ring, and $R(f) = \frac{1}{2}$, $(\mathfrak{R}_\mu)^\wedge$ is isomorphic to $(f((\mathfrak{R}_\mu)^\wedge)f)_2$ (use Lemma 4(i)) and hence to $((\mathfrak{R}_p)^\wedge)_2$. This implies that Theorem 1 holds for such \mathfrak{R} .

3. If $e \neq 0, 1$, then $(\mathfrak{R}_p)^\wedge$ is isomorphic to $f((\mathfrak{R}_\mu)^\wedge)f$ for some idempotent f in $(\mathfrak{R}_\mu)^\wedge$ with $R(f) = R(e)$ (use Lemma 2 and Lemma 1 with $\theta = R(e)$). Then $e((\mathfrak{R}_\mu)^\wedge)e$ is isomorphic to $f((\mathfrak{R}_\mu)^\wedge)f$ (use Lemma 4(ii)), hence to $(\mathfrak{R}_p)^\wedge$, hence to $(\mathfrak{R}_\mu)^\wedge$ (use Theorem 1). This proves Theorem 2 for the case $e \neq 1$ (when $e = 1$, Theorem 2 holds trivially).

4. Proofs of Lemmas 1, 2, 3, 4. We shall prove these lemmas in reverse order.

Proof of Lemma 4(i). The principal right ideals $f\mathfrak{R}$ and $(1 - f)\mathfrak{R}$ form a homogeneous basis of order 2 in the lattice of all principal right ideals of \mathfrak{R} . The conclusion of Lemma 4(i) now follows from (4, Part II, Lemma 3.6).

Proof of Lemma 4(ii). The principal right ideals $f_1\mathfrak{R}$ and $f_2\mathfrak{R}$ have equal dimension, and hence are perspective. The proof of (4, Part II, Theorem 15.3(a)) now shows that there exist idempotents e, f in \mathfrak{R} such that

$$e\mathfrak{R} = f_1\mathfrak{R}, \quad f\mathfrak{R} = f_2\mathfrak{R}, \quad \text{and } ef = e, fe = f.$$

Then

$$\begin{aligned} (ef_2)(ff_1) &= e(f_2f)f_1 = eff_1 = ef_1 = f_1, \\ (ff_1)(ef_2) &= f(f_1e)f_2 = fef_2 = ff_2 = f_2. \end{aligned}$$

Hence $f_1 \mathfrak{R} f_1$ and $f_2 \mathfrak{R} f_2$ are isomorphic by (4, Part II, Lemma 15.8).

Proof of Lemma 3(i). This is shown in (4, Part II, Theorem 18.1).

Proof of Lemma 3(ii). Suppose first that property (P) holds in \mathfrak{R} . If \mathfrak{R}^\wedge is not irreducible, then in \mathfrak{R}^\wedge there exists some central idempotent $e \neq 0, 1$. By (2, Proof of Theorem 3.7(ii)) there exists an idempotent $f \in \mathfrak{R}$ such that $R(f - e) < R(e)/5$. Then there exists an x in \mathfrak{R} such that at least one of the following relations holds:

$$R(fx(1 - f)) > \frac{1}{2}R(f) \quad \text{or} \quad R((1 - f)xf) > \frac{1}{2}R(1 - f).$$

Therefore, either

$$\begin{aligned} R(ex(1 - e)) &\geq R(fx(1 - f)) - R((f - e)x(1 - f)) - R(ex((1 - f) - (1 - e))) \\ &> \frac{1}{2}R(f) - 2R(f - e) \geq \frac{1}{2}\{R(e) - 5R(f - e)\} > 0, \end{aligned}$$

or (similar calculation) $R((1 - e)xe) > 0$. This contradicts the fact that $ex(1 - e) = 0 = (1 - e)xe$ (since e is in the centre of \mathfrak{R}^\wedge). This shows that \mathfrak{R}^\wedge must be irreducible.

Next suppose that \mathfrak{R}^\wedge is irreducible, and that f is an idempotent in \mathfrak{R} such that $R(f) \leq R(1 - f)$. Then f is an idempotent in the irreducible, discrete or continuous rank ring \mathfrak{R}^\wedge . It follows from (4, Part I, Theorem 6.9(iii)) that $R(f) = R(g)$ for some idempotent g such that $g = (1 - f)g(1 - f)$. Then, as in the proof of Lemma 4(ii) above, there exist idempotents p, q in \mathfrak{R}^\wedge such that

$$p\mathfrak{R} = f\mathfrak{R}, \quad q\mathfrak{R} = g\mathfrak{R}, \quad \text{and} \quad pq = p, \quad qp = q.$$

Then $fp(1 - f)gq = fpqg = fpq = p$. Therefore

$$R(f) \geq R(fp(1 - f)) \geq R(fp(1 - f)gq) = R(p) = R(f).$$

Hence $R(fx(1 - f)) = R(f)$ if $x = p \in \mathfrak{R}^\wedge$. Since $x = \lim x_n$ for suitable $x_n \in \mathfrak{R}$, it follows that

$$\sup_{x \in \mathfrak{R}} R(fx(1 - f)) = R(f).$$

Proof of Lemma 3(iii). If $(\mathfrak{R}_\mu)^\wedge$ is irreducible, then by Lemma 3(i) above, it is an irreducible, discrete or continuous rank ring. The first alternative (discrete) cannot hold since $(\mathfrak{R}_\mu)^\wedge$ contains an infinite sequence of orthogonal non-zero idempotents.

To show that $(\mathfrak{R}_\mu)^\wedge$ is irreducible, it is sufficient, by Lemma 3(ii), to show that \mathfrak{R}_μ has property (P). Hence it is sufficient to show that for each integer n , \mathfrak{R}_n has property (P).

Since $(\mathfrak{R}_n)^\wedge$ is isomorphic to $(\mathfrak{R}^\wedge)_n$, it is sufficient to show that $(\mathfrak{R}^\wedge)_n$ is irreducible; but it is easy to see that \mathfrak{S}_n is irreducible whenever \mathfrak{S} is an irreducible regular ring. This completes the proof of Lemma 3(iii).

Proof of Lemma 2. Set $\sigma(1) = 1$, $m_1 = n_{\sigma(1)} = n_1$, and $p_1 = 1$. To prove Lemma 2 it is clearly sufficient to define $\sigma(i)$, $m_i = n_{\sigma(i)}$ and p_i , for $i > 1$, to satisfy the conditions:

$$\begin{aligned} \sigma(i) &> \sigma(i - 1), \\ ip_{i-1} &\text{ is a divisor of } p_i, \\ \frac{1}{2} \left(\frac{p_{i-1}}{m_{i-1}} - \theta \right) &> \frac{p_i}{m_i} - \theta > 0. \end{aligned}$$

For this purpose we use induction on i . First we choose $\sigma(i)$ so large that

$$\sigma(i) > \sigma(i - 1) \quad \text{and} \quad \frac{1}{n_{\sigma(i)}} < \frac{1}{2ip_{i-1}} \left(\frac{p_{i-1}}{m_{i-1}} - \theta \right).$$

Then we choose $m_i = n_{\sigma(i)}$, and h_i to be the smallest integer for which $ip_{i-1}h_i/m_i - \theta > 0$ and $p_i = ip_{i-1}h_i$. This completes the proof of Lemma 2.

Proof of Lemma 1. We define the symbol $[g, k]$, for positive integers $g \leq k$, to denote the $k \times k$ matrix which has 1 for (t, t) -entry ($1 \leq t \leq g$) and 0 for all other entries.

We set $g_0 = p_1$, $k_0 = n_1$, and for $i \geq 1$: $g_i = p_{i+1}/p_i$, $k_i = n_{i+1}/n_i$. We remark that every integer $t \geq 1$ has a unique representation of the form

$$t = 1 + \alpha_0 + \alpha_1 p_1 + \dots + \alpha_i p_i + \dots \quad \text{with } 0 \leq \alpha_i < g_i \text{ for } i \geq 0$$

and we define $\psi(t)$ to be the integer

$$\psi(t) = 1 + \alpha_0 + \alpha_1 n_1 + \dots + \alpha_i n_i + \dots$$

It is clear that for each $i \geq 1$, ψ maps the set of integers $1 \leq t \leq p_i$ injectively onto a certain subset of the set $1 \leq t \leq n_i$.

If now $A \in \mathfrak{R}_{p_i}$ for some $i \geq 1$, we define $\bar{\psi}(A)$ to be the following $n_i \times n_i$ matrix: if $1 \leq s, t \leq p_i$, then $\bar{\psi}(A)$ shall have the (s, t) -entry of A for its $(\psi(s), \psi(t))$ -entry and $\bar{\psi}(A)$ shall have 0 for all other entries.

It is easy to see that if $A \in \mathfrak{R}_{p_i}$, then

$$R(\bar{\psi}(A)) = \frac{p_i}{n_i} R(A), \quad \bar{\psi}(A \otimes g_i) = \bar{\psi}(A) \otimes [g_i, k_i],$$

and if $j \geq h \geq i$, then

$$\begin{aligned} R(\bar{\psi}(A \otimes g_i \otimes \dots \otimes g_{j-1})) &= R(\bar{\psi}(A)) \frac{g_i}{k_i} \dots \frac{g_{j-1}}{k_{j-1}} \\ &= R(A) \frac{p_i}{n_i} \frac{g_i}{k_i} \dots \frac{g_{j-1}}{k_{j-1}} = \frac{p_j}{n_j} R(A) \end{aligned}$$

and

$$\begin{aligned} R(((\bar{\psi}(A \otimes g_i \otimes \dots \otimes g_{h-1}))(\mu) - (\bar{\psi}(A \otimes g_i \otimes \dots \otimes g_{j-1}))(\mu))) \\ = \left(\frac{p_h}{n_h} - \frac{p_j}{n_j} \right) R(A). \end{aligned}$$

Hence, when $j \rightarrow \infty$, $(\bar{\psi}(A \otimes g_i \otimes \dots \otimes g_{j-1}))(\mu)$ converges to a limit in $(\mathfrak{R}_\mu)^\wedge$, to be denoted by $\bar{\bar{\psi}}(A)$.

It is clear that $R(\bar{\bar{\psi}}(A)) = \theta R(A)$. It is also clear that $\bar{\bar{\psi}}(A) = \bar{\bar{\psi}}(B)$ if $A(p) = B(p)$. Hence we may define a mapping $\phi: \mathfrak{R}_p \rightarrow (\mathfrak{R}_\mu)^\wedge$ by the rule $\phi(x) = \bar{\bar{\psi}}(A)$ if $A(p) = x \in \mathfrak{R}_p$. Since $\bar{\bar{\psi}}$ preserves differences and products, it follows that ϕ is a ring homomorphism. Since $R(\phi(x)) = \theta R(x)$ and $\theta < \infty$, it follows that the mapping ϕ has a unique extension (to be denoted again by ϕ) which is a ring isomorphism of $(\mathfrak{R}_p)^\wedge$ onto a subring \mathfrak{S} of $(\mathfrak{R}_\mu)^\wedge$.

To complete the proof of Lemma 1 we need only show that $\mathfrak{S} = e((\mathfrak{R}_\mu)^\wedge)e$ for some idempotent e in $(\mathfrak{R}_\mu)^\wedge$ satisfying $R(e) = \theta$.

We define e_1 to be the matrix $[g_0, k_0]$ and for $i \geq 1$ we define e_{i+1} by induction to satisfy

$$e_{i+1} = e_i \otimes [g_i, k_i].$$

It is easy to see that each e_i is an idempotent, $R(e_i) = p_i/n_i$, and if $j > i$, then

$$R(e_i(\mu) - e_j(\mu)) = \frac{p_i}{n_i} - \frac{p_j}{n_j}.$$

Hence $e_i(\mu)$ is convergent in $(\mathfrak{R}_\mu)^\wedge$ to some idempotent e such that $ee_i(\mu) = e_i(\mu)e = e$ for all i , $R(e) = \lim_{i \rightarrow \infty} R(e_i) = \theta$, $e = \bar{\bar{\psi}}(A)$ if A is the unit matrix in \mathfrak{R}_{p_i} ,

$$\phi(x) = e\phi(x) = \phi(x)e \quad \text{for all } x \in (\mathfrak{R}_p)^\wedge.$$

Thus $\mathfrak{S} \subset e((\mathfrak{R}_\mu)^\wedge)e$, and it is sufficient to show that $\phi(\mathfrak{R}_p)$ is dense in $e((\mathfrak{R}_\mu)^\wedge)e$.

Suppose that $y \in (\mathfrak{R}_\mu)^\wedge$. For any $\epsilon > 0$ we can choose i so large that $R(y - A(\mu)) < \epsilon/4$ for some $A \in \mathfrak{R}_{n_i}$. We can also suppose that i is so large that $R(e_i(\mu) - e) < \epsilon/4$. Then

$$\begin{aligned} R(eye - (e_i A e_i)(\mu)) &\leq R(eye - e_i(\mu)ye_i(\mu)) \\ &\quad + R(e_i(\mu)ye_i(\mu) - (e_i A e_i)(\mu)) \\ &\leq 2R(e_i(\mu) - e) + R(y - A(\mu)) \leq \frac{3}{4}\epsilon + \frac{1}{4}\epsilon = \frac{3}{4}\epsilon. \end{aligned}$$

On the other hand, $e_i A e_i$ is of the form $\bar{\psi}(B)$ for some $B \in \mathfrak{R}_{p_i}$ and

$$\begin{aligned} R((e_i A e_i)(\mu) - \phi(B(p))) &\leq \left(\frac{p_i}{n_i} - \theta\right)R(B) \leq \frac{p_i}{n_i} - \theta \\ &= R(e_i(\mu) - e) < \epsilon/4. \end{aligned}$$

Thus $R(eye - \phi(B(p))) < \epsilon$. This shows that $\phi(\mathfrak{R}_p)$ is dense in $e((\mathfrak{R}_\mu)^\wedge)e$ and completes the proof of Lemma 1.

5. Remarks.

Remark 1. Each \mathfrak{R}_n , \mathfrak{R}_μ , and $(\mathfrak{R}_\mu)^\wedge$ is a left \mathfrak{R} -module and a right \mathfrak{R} -module. In Lemma 1 above, the ring $e((\mathfrak{R}_\mu)^\wedge)e$ is also a left and right \mathfrak{R} -module and the ring isomorphism of $(\mathfrak{R}_p)^\wedge$ onto $e((\mathfrak{R}_\mu)^\wedge)e$ is also an isomorphism of left and

right \mathfrak{R} -modules. But it is not known whether in Theorem 1 the ring isomorphism can be chosen to be an isomorphism of left and right \mathfrak{R} -modules.

Remark 2. Suppose that \mathfrak{R} is a finite or countably infinite direct sum of regular rank rings with unit, say $\mathfrak{R} = \sum_i \mathfrak{R}_i$. Suppose that $R(1) = 1$ for the unit in each \mathfrak{R}_i and let $0 < d_i \leq 1$ be such that $\sum_i d_i = 1$. For each $x = \sum_i x_i$ in \mathfrak{R} let $R(x) = \sum_i d_i R(x_i)$. Then \mathfrak{R} is a regular rank ring with unit, $\mathfrak{R}^\wedge = \sum_i (\mathfrak{R}_i)^\wedge$, and $(\mathfrak{R}_\mu)^\wedge = \sum_i (\mathfrak{R}_i)_\mu^\wedge$. It follows that Theorem 1 holds for this ring \mathfrak{R} if each $(\mathfrak{R}_i)^\wedge$ is irreducible. It is not known whether Theorem 1 holds for every regular rank ring with unit.

Added in proof (February 8, 1968). Theorems 1 and 2 for the case \mathfrak{R} is a division ring are treated in the paper by B. Chernishov, *CR-rings and their isomorphisms*, Siberian Math. J., 7 (1966), 1168–1193.

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