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## **A MINIMUM DATASET FOR DESTINATION THERAPY WITH LEFT VENTRICULAR ASSIST DEVICE: THE EVIDENCE THAT MATTERS TO DECISION MAKERS**

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**Running head:** Minimum dataset for LVAD as DT

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1 **Abstract**

2 **Background:** Left Ventricular Assist Devices (LVAD) are a therapeutic option in patients  
3 with advanced heart failure (HF) not candidate to cardiac transplant as destination therapy  
4 (DT). However, important uncertainties remain regarding the use of LVAD at long-term in  
5 real practice settings. When planning registries, it is important to choose the appropriate  
6 outcomes that ensure comparability and reduce the possibility of bias.

7 **Aim:** The purpose of this study was to establish a minimum dataset (MDS) that should be  
8 collected in all LVAD for DT registries to meet needs and demands of Health Technology  
9 Assessment (HTA) doers and health professionals.

10 **Methods:** To design the MDS for LVAD, a preliminary list of outcome domains and data  
11 items were developed attending to the gaps and research needs derived from existing evidence  
12 coming from HTA carried out at the European Network of Health Technology Assessment  
13 (EUnetHTA) level. The list of data items and domains was agreed upon by all involved HTA  
14 organizations and a three-round Delphi was conducted among an experienced panel of  
15 cardiologists to rate the importance of the items for measuring uncertainty gaps.

16 **Results:** After the three-round Delphi process, the expert panel reached a consensus regarding  
17 eighteen outcomes and forty-seven variables divided into seven main domains (safety,  
18 effectiveness, patient's acceptability, satisfaction, health care system impact, pharmaceutical  
19 management and technique related factors).

20 **Conclusions:** The MDS of outcomes and measures, developed based on research gaps and  
21 needs, can allow for standardizing data collection and improving the quality of data for  
22 decision-making and practice.

23

24 **Background**

25 Heart failure (HF) is a global pandemic affecting an estimated 64 million people worldwide  
26 (1). Despite the progress made during the last twenty years in the medical treatment of HF,  
27 the percentage of patients in whom the disease progresses to an advanced or terminal stage  
28 remains high. When medical therapy ceases to be effective, cardiac transplantation is  
29 considered the treatment of choice, although this is limited by the availability of organs. In  
30 this context, Left Ventricular Assist Device (LVADs) are commonly used as a bridge to  
31 transplant therapy until a compatible donor is available (2).

32 However, many patients who are elderly or have multiple co-morbidities are not candidates  
33 for cardiac transplant and require implantation of LVAD as DT. The long-term functionality  
34 and safety outcomes are also encouraging the use of these implantable devices as DT when  
35 organ donors are not available. During the last decade, the use of these devices as DT has  
36 steadily increased but key issues exist surrounding the selection of suitable patients that  
37 would most benefit from the implantation of these devices in real practice (3, 4). Uncertainties  
38 remain regarding device related complications, patient reported outcomes (quality of life,  
39 satisfaction) and management of patients to optimize outcomes. Randomized controlled trials  
40 (RCT) remain the gold standard for assessing effectiveness and safety but are deemed  
41 inappropriate for this purpose because they enroll a highly selective population that in many  
42 cases differs from the use in real world conditions (5, 6). Several studies suggest that there are  
43 discrepancies between the hard outcomes and the patient reported outcomes, the devices  
44 performing worse in real life conditions than in randomized controlled trials (7).

45 Patient registries constitute an alternative methodology for real-world data (RWD) gathering.  
46 In several countries LVAD registries have been mandated by health care bodies post-approval  
47 to monitor outcomes and support coverage decisions (8, 9). Professional Associations, like the  
48 European Association for Cardiothoracic Surgery are also running registries to collect  
49 information on patients receiving mechanical circulatory support to support research (10).

50 Some of these registries show that mortality in LVAD patients is high, and complications are  
51 common (8), but comparisons between studies are difficult because of the differences in type  
52 of devices, patient selection criteria, outcome definition and outcome reporting. Patient  
53 registries have been commonly criticized because they tend to lack standardization in data  
54 collection and have a poor reporting of outcome results, leading to outcome related bias in  
55 these studies (11). This undermines the generalizability and the utility for Health Technology  
56 Assessment (HTA) and decision-making.

57 The development of a consensus-based agreed minimum dataset (MDS) collection could  
58 contribute to overcoming these problems (12, 13). MDSs have been defined as a coherent set  
59 of data elements which should be collected for specific categories or domains of healthcare  
60 (14). The development of MDSs for LVAD DT registries could facilitate standardized care  
61 and ensure appropriate evidence is generated for informing decision-making and practice  
62 (15). The existence of MDS would also facilitate cross-border collaboration on the generation  
63 and exchange of RWD not only on clinical aspects but also on organizational, ethical, social  
64 and legal aspects that can determine its use in a NHS. This could be especially relevant  
65 considering the few patients that might benefit from LVAD DT implantation (16).

66 The purpose of this study was to establish a list of data elements that should be collected in all  
67 LVAD registries to meet needs and demands of HTA doers and health professionals. This  
68 work was conducted as part of the European Network of Health Technology Assessment  
69 (EUnetHTA) Joint Action 3 Work Package (WP) 5 Strand B activities, whose general aim  
70 was to help in generating optimal and robust evidence for health technologies  
71 (pharmaceuticals or others) throughout the technology lifecycle, bringing benefits for patient  
72 access and public health (17).

73

## 74 **Methods**

### 75 ***Study steps***

76 The MDS was developed following a four-step approach (figure 1): 1) Identification of  
77 common uncertainties/gaps, 2) Development of the preliminary list of core domains and data  
78 elements to be collected in the registry, 3) Definition of MDS to be collected in routine  
79 practice 4) Elaboration of measurement instruments.

80 The MDS was developed by experienced HTA doers from three EUnetHTA organizations  
81 who had been involved in the development of HTA reports on LVAD as DT: Galician Health  
82 Knowledge Assessment Agency (ACIS), Haute Autorité de Santé (HAS) and National  
83 Institute for Health and Care Excellence (NICE). The conduct and reporting of this MDS  
84 adheres to the framework proposed by Svensson-Ranallo et al (14), except for patient  
85 involvement which was not feasible due to the very early adoption of these devices when the  
86 MDS was developed, further hindered by the fact that there are very few patients candidates  
87 for DT and their health status is commonly very compromised (18). The conduct and  
88 reporting of Delphi studies follow the methodological considerations or reporting for studies  
89 using the Delphi technique to determine which outcomes or domains to measure in clinical  
90 research studies provided by Sinha and colleagues (19).

#### 91 ***Identification of common uncertainties/gaps***

92 A systematic review of HTA reports from European countries on LVAD as destination  
93 therapy was conducted. Four LVAD HTA assessments were identified (Spain (20), UK (21),  
94 Italy (22) and Belgium (23)). Evidence gaps and research needs were derived from existing  
95 evidence coming from these assessments.

96 The preliminary list of research gaps/needs was developed attending to the issues where no  
97 studies were identified, where there was insufficient information or the quality of the studies  
98 was low. Four EUnetHTA partners and organizations which had produced the LVAD HTA  
99 assessments were contacted for confirmation and clarifications with regards to identified  
100 evidence gaps/research needs. The identification of gaps and formulation of research

101 recommendations was done in accordance with the EUnetHTA position paper on how to best  
102 formulate research recommendations for primary research arising from the HTA (24).

### 103 **Development of MDS outcomes and variables**

104 The preliminary MDS were developed by the research team based on the PICOS  
105 characterization of the research gaps. The outcomes (that is the group of variables that asses  
106 the same issue) and variables (that is each item of a given outcome) that make up this MDS  
107 were then grouped in domains and shared once more with the four organizations involved in  
108 the HTAs for comments. All these organizations made contributions to the list and agreed on  
109 the final MDS.

### 110 **Definition of MDS domains**

111 Using the Delphi technique, a multi-round online Delphi survey was performed to obtain  
112 consensus among clinical experts regarding the importance of these MDS for measuring  
113 LVAD existing uncertainty gaps. Given the complexity of the procedure, these experts were  
114 purposely selected according to their experience in LVAD implantation. These experts were  
115 mainly identified through the Spanish Society of Cardiology and European Society of  
116 Cardiology. An invitation letter was sent to these Societies to identify suitable experts and  
117 these were contacted afterwards. All of the experts that agreed to collaborate signed the  
118 Declaration of Interest and Confidentiality Undertaking (DOICU) form by email. Although  
119 we relied on several associations of cardiac patients to identify patients, we could not find  
120 suitable candidates to collaborate in the Delphi.

121 Eight clinical experts coming from Spain and 1 from the UK agreed to participate in the  
122 Delphi survey. The 66.7% are male (n=6). All were experienced cardiologists (>10 years) that  
123 were directly involved in the treatment or management of patients with end-stage heart  
124 failure. Of these, 33 percent were cardiovascular surgeons and the remaining 66 percent were  
125 cardiologists. Five of them were head or coordinators of their units and two were

126 representatives of Spanish and European Society of Cardiology, therefore who are considered  
127 leaders in their field. The overrepresentation of cardiologists was intentional given that they  
128 are more intimately engaged with patients and cares and can therefore provide deeper insights  
129 into their perspectives and experiences.

#### 130 Round-1 Delphi

131 During round one participants were sent the results of the literature review, the variable list  
132 and were asked to review this list and were encouraged to suggest changes to existing  
133 variables and domains and propose additional variables. The participants were presented with  
134 an Excel file with multiples working sheets. Each participant remained anonymous during the  
135 Delphi process.

#### 136 Round 2-Delphi

137 Participants were asked to rate the importance of the variables attending to the acceptability,  
138 feasibility and appropriateness of the measures for assessing LVAD uncertainty gaps. The  
139 rating was performed using a modified version of the Grading of Recommendations  
140 Assessment, Development and Evaluation rating scale, whereby 1–3 indicates ‘limited  
141 importance’, 4–6 is ‘important but not essential’ and 7–9 is ‘essential. Variables that reached  
142 a median score  $\geq 7$  with consensus ( $\leq 2$  panelists rating with a score out of range that contains  
143 the median) were considered for the final list and were not included in the next round. Those  
144 variables rated with median score  $\leq 3$  with consensus were considered of limited importance  
145 and were disregarded. And variables rated with median score 4-6 or  $\geq 7$  but with no consensus  
146 were included in the round 3.

#### 147 Round 3-Delphi

148 In the third round participants were provided feedback regarding the comments received, their  
149 own score and the overall score (median score) for each of the variables rated in the previous  
150 round and were given the opportunity to modify their score in view of the comments and

151 overall rating. Criteria for final consensus was defined a priori as a rating of score  $\geq 7$  with  
152 consensus.

153 Elaboration of measurement instruments

154 Once a consensus was reached on the MDS, a specific bibliographic review of the literature  
155 was carried out to define the most appropriate definitions and measurement instruments (a  
156 score or checklist recommended to assess a given outcome) for each of the outcomes. These  
157 definitions were once again sent to the clinical experts for corrections and comments.

158

## 159 **Results**

160 The analysis of research gaps/needs generated an initial list of seventy variables relating to  
161 eighteen outcomes which were grouped in seven domains: baseline patients' characteristics  
162 (n=21)(including comorbidities and cardiovascular history), technique-related factors (device  
163 trademark, availability of transplant unit in the center) (n=2), pharmacological management  
164 (n=2), safety (n=21), effectiveness (n= 14), satisfaction and acceptability of the patient (n=2)  
165 and cost-effectiveness, budget impact and organizational impact (n=8).

### 166 **Round-1 Delphi**

167 Participants proposed minor modifications to the naming of five variables and a major change  
168 to one variable (LVEF, left ventricular ejection fraction for end-diastolic volume). They  
169 proposed adding four new variables (chronic right-sided heart failure, acute endocarditis,  
170 aortic regurgitation grade and learning curve). The preliminary list of variables (n=74) and  
171 domains is shown in Supplementary Table 1.

### 172 **Round-2 Delphi**

173 All of the participants answered the questionnaire (99 percent rated all the questions; two  
174 failed to rate one variable). Out of the seventy-four variables, thirty-seven variables obtained a  
175 median score  $\geq 7$  with consensus reached and were not included in the third round (figure 2).

### 176 **Round-3 Delphi**



177 Fulfillment rate of sheets by panelists was 85.8 percent during the round 3. In the round 3 of  
178 the Delphi a total of thirty-seven variables were scored again by panelists who knowing their  
179 own and overall ratings.

180 During this third phase, ten of the remaining variables were rated as essential (median score  
181  $\geq 7$ ) with consensus reached (figure 2).

182 The final MDS proposal is composed of eighteen outcomes and forty-seven variables divided  
183 into seven domains.

#### 184 **Measurement instruments**

185 Table 1 shows the final list of items and measurement instruments. Participants agreed that  
186 data on safety, effectiveness and health system impact should be collected at hospital  
187 discharge and at least 1 month, 3, 6, 9, and 12, 18, 24 months and once a year afterwards.

188

#### 189 **Discussion**

190 Many concerns have been identified in different registries from across diverse settings  
191 including, among others: heterogeneity in the patient selection, lack of transparency in  
192 outcome selection and reporting and poorly defined outcomes. These concerns seem to limit  
193 the data utilization for decision-making and also impedes the performing of pooled analysis  
194 (25). In this scenario, the development and implementation of a MDS could improve the  
195 consistency and transparency in outcomes reporting. Moreover, the standardization of  
196 outcomes could increase the possibility of grouping results and performing comparative  
197 analysis between different strategies of treatment of a given disease that it is considered  
198 essential in the decision-making process.

199 The current MDS proposal for LVAD as DT includes a set of key data elements for  
200 monitoring existing evidence gaps of LVAD, which are viewed as feasible to collect in real  
201 clinical practice, that is, outside of clinical trials. The major value of this MDS proposal  
202 resides in that it has been developed based on previously agreed upon evidence gaps and

203 research needs identified by different HTAs and prioritized by clinicians. HTAs are  
204 acknowledged to be a source of systematically generated, comprehensive information for  
205 formulating researchable questions that are relevant to decision-makers (26, 27). However,  
206 relying solely on the producers of HTA reports to identify research gaps might result in an  
207 extensive list of items, but not necessarily the most relevant ones to clinicians or patients (28).  
208 The involvement of stakeholders, especially clinicians and patients ensure that the needs of  
209 end users are met and also, that the data is feasible to collect in real world practice.  
210 In our study, we did not achieve the participation of individual patients or representatives in  
211 our panel. This was probably due to the fact that there are very few patients with end-stage  
212 heart failure who are ineligible for heart transplantation and these commonly have a poor  
213 health status. However, we are confident that our LVAD MDS could be aligned with the  
214 patients' perspectives. We did not observe significant differences in the judgment of patient-  
215 centred outcomes in our study with respect to the ICHOM standard pragmatic patient-centred  
216 outcome set on heart failure patients (29) aimed to improve patient care and permit  
217 comparison across regions and health care systems. This outcome set was composed of  
218 seventeen items related to survival (mortality), functional (symptoms control, living  
219 independently, etc. assessed by New York Heart Association-NYHA class or Kansas City  
220 Cardiomyopathy Questionnaire-KCCQ), psychosocial (Quality of Life-QoL, depression,  
221 anxiety, etc.) or burden of care (complication of treatment, number of hospital readmission,  
222 length of stay, etc.). In addition, they provided a set of adjustment variables in order to allow  
223 the comparability between regions and health care systems (29). Our MDS covers all these  
224 items except for the two patient-centred outcomes related to psychosocial status (i.e.  
225 depression and anxiety). Instead, the MDS includes the EuroQoL-5D-5L QoL scale with  
226 comprises four other dimensions besides anxiety and depression. This scale is the one most

227 commonly used for the estimation of health utility and quality-adjusted life years (QALYs),  
228 which is essential for cost-utility analysis (CUA) and economic evaluations (30).  
229 Despite the rapidly growing number of LVAD implants, there are limited and contradictory  
230 data about patients' device acceptance, and no data about the relationship between patients'  
231 device acceptance and the psychological well-being and QoL of LVAD recipients. To account  
232 for these uncertainties, alongside commonly used patient reported outcomes (PROs), like  
233 quality of life (evaluated by EuroQoL-5D-5L and KCCQ-12 questionnaires), we included two  
234 broad questions on acceptability and satisfaction which were adapted from a previously  
235 validated patient-based questionnaire developed for evaluating patient and carers satisfaction  
236 after cardiac surgery. While in the future these questions could be more streamlined, we are  
237 confident that they will allow for assessing participants' global wellbeing and satisfaction  
238 with their lives.

239 In the same way as Burns et al (29), we established stratification factors (i.e., by device type,  
240 by the availability of transplant unit and by baseline characteristics of patients) of variables  
241 that could allow stratified analysis of clinical trial's results and even comparison of studies  
242 conducted in different health systems or cardiac patient populations. Baseline characteristics  
243 of patients, such as comorbidities or prior cardiac or coronary surgeries are liable to modify  
244 the safety or efficacy results of a given health intervention. Therefore, a *post hoc* analysis of  
245 outcomes throughout these stratification factors, could be very helpful to improve the  
246 identification of the best heart failure candidate for whom clinical results would be optimal  
247 (31, 32).

248 The final MDS developed by our group includes the core outcomes mortality, quality of life,  
249 hospitalisation and cerebrovascular complications that were established in the "COS Adult  
250 Cardiac Surgery" (33). In relation to mortality, the list not only includes survival but also  
251 event-free survival (including events as right heart failure, stroke, LVAD replacement or

252 explant, other surgical interventions (LVAD-related) as these complications are directly  
253 related to hospital readmissions, reinterventions and finally with QoL of heart failure patients.  
254 The MDS list also includes complications specifically related to the LVAD device, including,  
255 among others, device failure, bleeding, infection and stroke. These safety outcomes were also  
256 considered primary endpoints in other clinical trial proposals, as these are viewed critical  
257 from a regulatory perspective, due to high risk of hospital readmission and cost associated to  
258 these devices (34).

259 Finally, our proposal included a definition and follow-up for each variable, reviewed and  
260 agreed by participants in the Delphi consensus, which could facilitate the implementation of  
261 our MDS in different health systems or settings for informing the decision-making process or  
262 even to develop clinical trials.

263 The Delphi process is a widely used method for achieving consensus among experts on the  
264 development of a minimum data elements by means of an iterative, structured and transparent  
265 process. As such, it is commonly used in the development of COS (35). Two examples of  
266 COSs based on COMET methodology are performed on cardiac patients although these aimed  
267 to patients with coronary artery disease treated with cardiac surgery (33) and patients who  
268 suffered a cardiac arrest (36). In the COSs for cardiac arrest, Haywood et al (36) employed a  
269 two-round Delphi study and a 2-day meeting in small groups of discussions; after that, they  
270 developed a core measurement/variable set aligned to the core domain set. However,  
271 Benstoem et al (33) used a three round online Delphi survey.

272 Both authors concluded that the COMET methodology enhances the consistency,  
273 transparency, relevance and accuracy of a given COSs in a specific area. Moreover, the  
274 participation of multiple stakeholders and the application of an agreed methodology during  
275 the COSs development could assure its applicability and implementation in clinical trials  
276 limiting the reporting bias and heterogeneity across these. As Benstoem et al (33) highlighted

277 the next step during the COSs development process, is to identify the core measures aligned  
278 to the core domain set. In our study, we elaborated a MDS through a three-round Delphi  
279 consensus of clinical experts of different specialities following a robust methodology  
280 proposed by the COMET initiative. Therefore, we expect that the MDS proposed could have a  
281 great relevance for LVAD registries but the considerations could also be applicable for  
282 clinical trials or observational trials.

283 The current study has some strengths and limitations. We consider that the recruitment of  
284 multidisciplinary independent experts from different specialties with experience in LVAD and  
285 recognized leadership in the field is a key strength of the study. While we relied on the  
286 opinion of a small number of experts, it has been previously demonstrated that reliable results  
287 can be obtained with small expert panels selected upon strict criteria (22). A potential  
288 limitation concerning the experts involved in the study is that the majority are from Spain,  
289 with only one expert from the UK, potentially affecting the generalizability of the study's  
290 findings to other countries. We consider that the scorings are unlikely to be influenced by  
291 country-specific practices, as the experts involved are prominent leaders in their fields, often  
292 serving as heads of their units or representatives of key scientific societies, providing them  
293 with extensive knowledge of current best practices. However, as with many clinical matters,  
294 individual beliefs may be shaped by personal experiences and perceptions regarding the  
295 feasibility and utility of specific data. These perceptions could also be influenced by factors  
296 such as clinical specialties (e.g., surgeons vs. cardiologists) or local policy and contextual  
297 considerations.

298 While no standardized recommendations exist regarding the stakeholders to be involved in  
299 such processes it is widely acknowledged that the stakeholder group should include key  
300 experts with experience in the investigation, management or conduct of studies in the target  
301 population (37). We consider that the inclusion of clinician's with experience in LVAD was

302 particularly critical for our study, given the complexity of the LVAD procedure and the  
303 significant evidence gaps related to device-specific outcomes. The inclusion of clinicians'  
304 leaders in the field was also essential to ensure the dataset's feasibility for implementation in  
305 real practice, which was one of our primary objectives. However, it cannot be dismissed that  
306 including other stakeholders with different expertise, such as decision makers or HTA doers  
307 could have provided additional valuable perspectives and widen the generalisability (38).

308 Another potential limitation of the current MDS relates to the lack of inclusion of patients or  
309 carers. While confident that the MDS covers participants' global wellbeing and satisfaction  
310 with their lives, a risk exists that it may not encompass all patient relevant outcomes.

311 Nonetheless, we consider that these potential biases do not undermine the value of the study  
312 as the LVAD MDS represents the first standardised framework for data collection in this  
313 field, which could be adapted and expanded upon with additional data elements as required.

314 Although multicentre registries from different countries showed different LVAD DT implant  
315 rates (9, 16), the mainly uncertainties or evidence gaps identified from evidence do not differ  
316 in different settings (39). Then, the clinical relevance of variables proposed should not be  
317 affected for the number of patient who are candidate to LVAD as destination therapy. In fact,  
318 it could be of greater interest to perform registries based on MDS, as the one we proposed in  
319 our work, in those settings with a high level of use of LVAD as destination therapy due to  
320 adverse events associated to their use.

321 Our MDS is very valuable in the sense that it covers most of the relevant gaps identified by  
322 HTA doers in relation to LVAD in DT incorporating a wide array of variables pertaining to  
323 safety, effectiveness, and organizational aspects, even if they are not always directly related to  
324 patients.

325 In conclusion, we have developed a minimum set of outcomes and variables that could  
326 enhance the use of LVAD registries for decision-making and clinical practice. The

327 methodology used for elaborating our dataset, based on evidence gaps collected by HTA  
328 assessments and a Delphi consensus, constitutes an innovative approach that can allow for  
329 improving the quality of data and standardizing data collection. This last issue could also be  
330 ensured by the use of recognized measurement instruments/definitions that have been  
331 previously developed by the most relevant scientific societies. The MDS is currently being  
332 applied in the Spanish prospective LVAD Registry implemented at the National Health Care  
333 system to assess acceptability. We recommend that these dataset be also implemented in other  
334 registries or trials implemented in other countries as part of their HTA decision-making  
335 process. Broad implementation is critical, but can only be achieved raising awareness,  
336 especially at the HTA or policy making level, regarding the importance of harmonising high-  
337 quality data collection, particularly for rare events or indications. This could contribute to  
338 reducing the variability observed in the reporting of outcomes and increase the possibility of  
339 data pooling. Moreover, the implementation of our proposal, based upon agreed evidence  
340 gaps, could provide additional data addressing uncertainties related to organisational and cost-  
341 effectiveness issues.

342

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346

#### 347 **Conflict of interest declaration**

348 The authors declare that they have no conflict of interest.

349

350

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**TABLES**

Table 1. Final list of items (outcomes and variables) and measurement instruments classified by domains

Domain	Outcomes	Measurement instrument/Definition	Follow-up
<b>Baseline patients' characteristics</b>	<b>Variables related to the patient:</b> age, sex, BMI, renal dysfunction, hepatic dysfunction, hypertension	-ACC Clinical Data Standards -ICHOM *modified	<i>Pre-LVAD implantation</i>
	<b>Cardiovascular history:</b> prior stroke, PCI or CABG, cardiac surgery or LVAD.	EACTS Adult Cardiac Database, Version 2.0 -American College of Cardiology	
<b>Pharmacological management</b>	• Antithrombotic drugs	-ATC WHO 2019 Guidelines	
<b>Factors related to the technique</b>	• Device trademark	Not applicable	
<b>Safety</b>	• <b>In-hospital death:</b> date and cause of death	-ACC Clinical Data Standards -INTERMACS Adverse Event Definition	<i>Perioperative LVAD implantation</i>
	• <b>Cardiac adverse events:</b> acute endocarditis, right-sided heart failure, chronic right-sided heart failure	-ACC Clinical Data Standards	
	• <b>Neurological adverse events:</b> stroke (yes/no, type and severity), transient ischaemic attack	-ACC Clinical Data Standards -INTERMACS Adverse Event Definition -CDISC. Standardized Definitions for CV and Stroke endpoint events in clinical trials (Karen A. Hicks, 2014)	<i>Post-LVAD implantation</i>
	• <b>Other serious adverse events:</b> renal dysfunction, respiratory failure, hepatic dysfunction, sepsis, bleeding requiring blood transfusion and multiple organ failure	-INTERMACS Adverse Event Definition The Third International Consensus Definitions for Sepsis and Septic Shock (Sepsis-3) EACTS Adult Cardiac Database, Version 2.0 Martin B. Leon, 2011 GUSTO definition	
	• <b>LVAD device-related adverse event:</b> major infection LVAD-related, pump thrombus, aortic regurgitation (yes/no and grade), LVAD major failure	-Zoghbi, WA 2017. Valvular Regurgitation -INTERMACS Adverse Event Definition	
<b>Effectiveness</b>	• <b>Overall survival:</b> date/cause of death and loss follow-up	ACC Clinical Data Standards	<i>Post-LVAD implantation</i>
	• <b>Survival free of events:</b> right-sided heart failure, stroke, LVAD replacement or explant, other surgical interventions LVAD-related	Not applicable	
	• <b>Functional capacity:</b> 6-min walk test (6 MWT), NYHA class.	-New York Heart Association -American College of Cardiology -ATS Statement-Guidelines for the 6-MWT	
	• <b>Quality of life:</b> Kansas City Cardiomyopathy Questionnaire (KCCQ-12), EuroQol-5D (EQ-5D)	-KCCQ-short version -EuroQoL 5D-5L version	
<b>Patient or caregiver acceptability or satisfaction</b>		Adaptation of the SATISCORE patient satisfaction questionnaire for cardiac surgery (Spanish) <sup>1</sup>	<i>Post-LVAD implantation</i>
<b>Health system impact</b>	• LOS in ICU post LVAD implantation	Not applicable	<i>Post-LVAD implantation</i>
	• LOS in ICU due to LVAD-related readmissions		
	• LOS in cardiac unit due to LVAD-related readmissions		

**Abbreviations:** BMI, body mass index; CABG, coronary artery by-pass graft; CV, cardiovascular; ICD, implantable cardioverter-defibrillator; ICU, intensive care unit; LOS, length of hospital stay; LVAD, left ventricular assist device; LVEF, left ventricular ejection fraction; CRT, cardiac resynchronization therapy; PCI, percutaneous coronary intervention.

<sup>1</sup>The following issues are proposed to measure the satisfaction and acceptability of the patient / caregiver (5-points Likert scale: 1=very dissatisfied; 2=dissatisfied; 3=neither satisfied nor dissatisfied; 4=satisfied; 5=very satisfied): (a) In general, how satisfied are you living with LVAD and (b) Indicate the degree of agreement with the following statement: "If I found myself the same as before, I would have surgery again".

## FIGURE CAPTIONS

Figure 1. Steps followed for the development of MDS for LVAD in DT

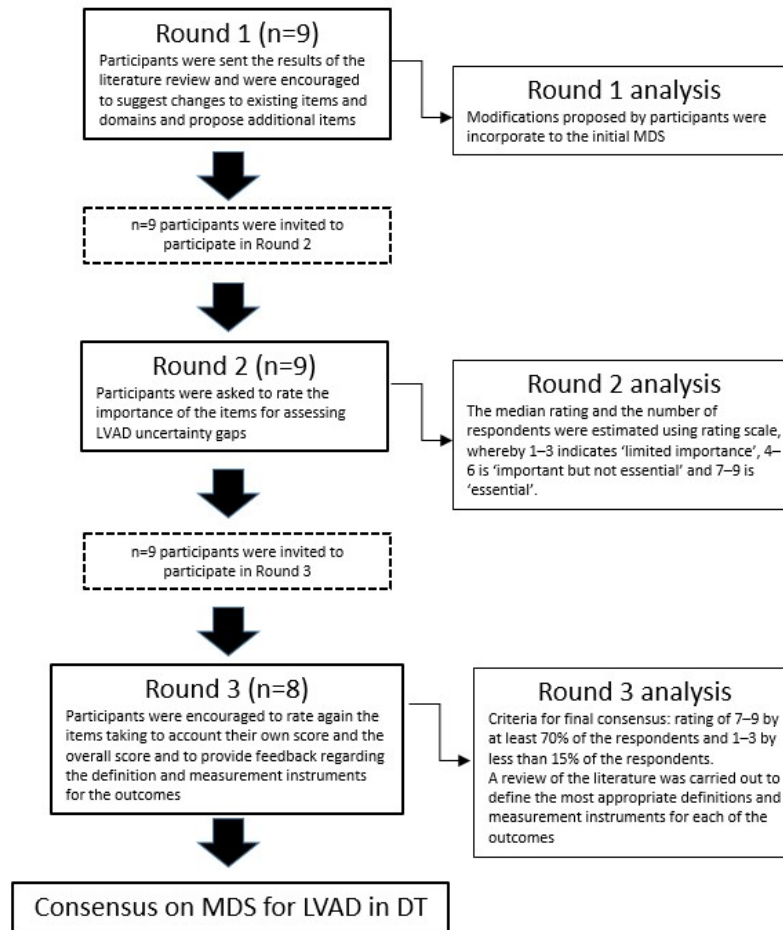


Figure 2. All variables ratings in the round 2 (a) and 3 (b)

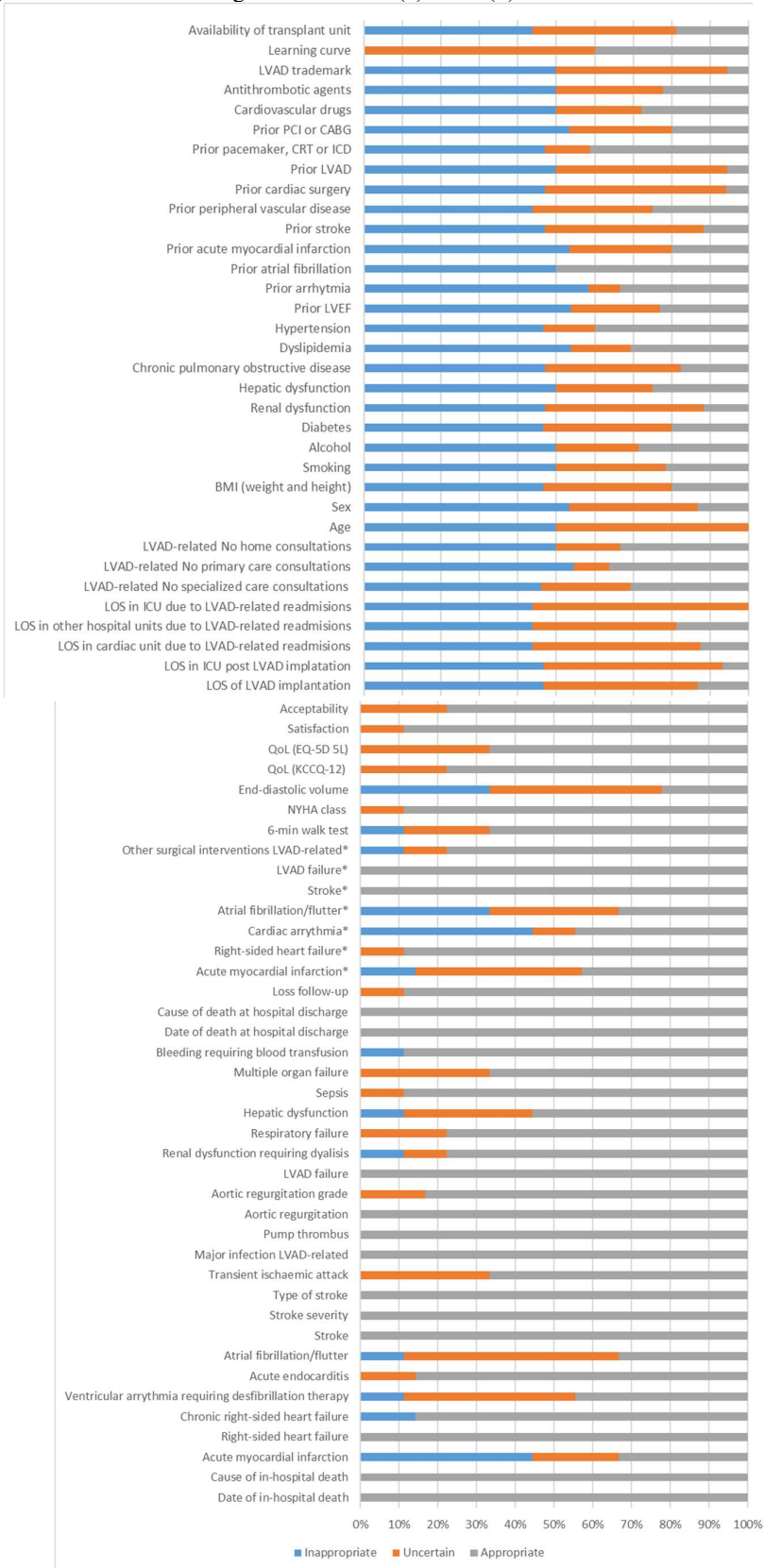


Figure 2a

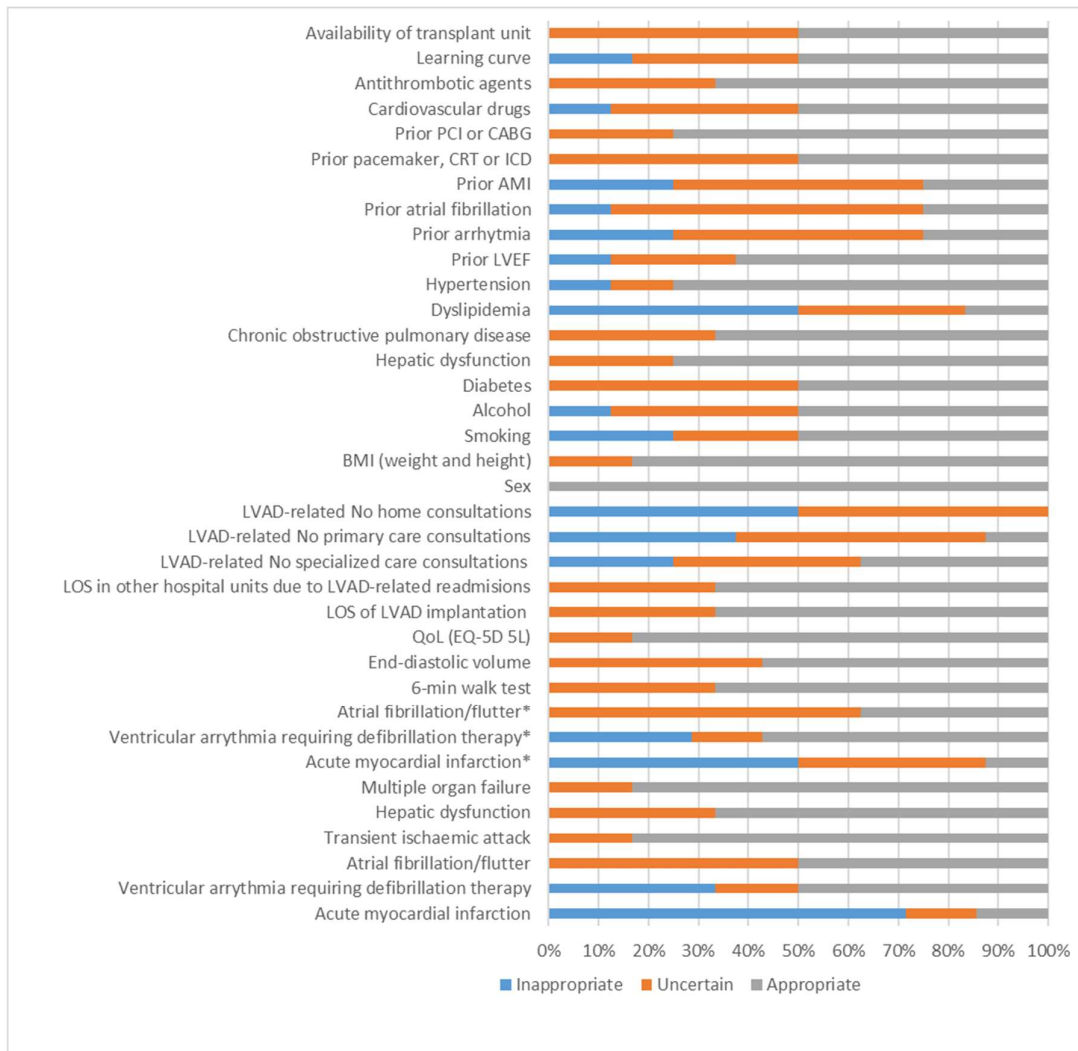


Figure 2b

a) Panelist ratings distribution in the round 2 of Delphi consensus

\*These variables were used to calculate event-free survival after LVAD implantation. Abbreviations: EQ-5D 5L, Euro Quality of Life-5 dimensions 5 levels; KCCQ, Kansas City Cardiomyopathy Questionnaire; LVAD, left ventricular assist device; LVEF, left ventricular ejection fraction; NYHA, New York Heart Association; QoL, quality of life.

(b) Panelist ratings distribution in the round 3 of Delphi consensus

\*These variables were used to calculate event-free survival after LVAD implantation. Abbreviations: EQ-5D 5L, Euro Quality of Life-5 dimensions 5 levels; KCCQ, Kansas City Cardiomyopathy Questionnaire; LVAD, left ventricular assist device; LVEF, left ventricular ejection fraction; NYHA, New York Heart Association; QoL, quality of life.