

## THE 3-IRREDUCIBLE PARTIALLY ORDERED SETS

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The *dimension* [4] of a partially ordered set (poset) is the minimum number of linear orders whose intersection is the partial ordering of the poset. For a positive integer  $m$ , a poset is *m-irreducible* [10] if it has dimension  $m$  and removal of any element lowers its dimension. By the compactness property of finite dimension, every  $m$ -irreducible poset is finite and every poset of dimension  $\geq m$  contains an  $m$ -irreducible subposet. Thus, the set of all  $m$ -irreducible posets (up to isomorphism) can be characterized as the smallest set  $\mathcal{S}$  of posets such that a poset has dimension  $\leq (m - 1)$  if and only if it does not contain any poset in  $\mathcal{S}$ . Henceforth, only 3-irreducible posets are considered.

In this paper, the set of all 3-irreducible posets (up to isomorphism) will be exhibited. The number of 3-irreducible posets with  $m$  elements is:  $0(m \leq 5)$ ,  $3(m = 6)$ ,  $21(m = 7)$ ,  $4(\text{even } m \geq 8)$ , or  $5(\text{odd } m \geq 9)$ . If a poset and its dual were counted only once, the number would then be:  $0(m \leq 5)$ ,  $2(m = 6)$ ,  $13(m = 7)$ ,  $3(\text{even } m \geq 8)$ , or  $4(\text{odd } m \geq 9)$ . Let

$$\mathcal{P} = \{A_n | n \geq 0\} \cup \{B, B^d, C, C^d, D, D^d\} \cup \{E_n, E_n^d, F_n, G_n, H_n | n \geq 0\},$$

where these posets appear in Figure 1, and  $P^d$  denotes the dual of a poset  $P$ . The set  $\mathcal{P}$  was introduced by I. Rival and the author in [8], where every poset in  $\mathcal{P}$  was shown to be 3-irreducible. By [7, Theorem 6.1],  $\mathcal{P}$  is the smallest set of posets such that a lattice has dimension  $\leq 2$  if and only if it does not contain any poset in  $\mathcal{P}$  as a subposet. Let

$$\mathcal{R} = \mathcal{P} \cup \{CX_1, CX_1^d, CX_2, CX_2^d, CX_3, CX_3^d, EX_1, EX_1^d, EX_2, \\ FX_1, FX_1^d, FX_2\} \cup \{I_n, I_n^d, J_n | n \geq 0\},$$

where these posets appear in Figure 1. We will prove that  $\mathcal{R}$  is the set of all 3-irreducible posets. Using different techniques, W. T. Trotter, Jr. and J. I. Moore, Jr. [11] have given an independent proof of this result.

**1. The posets in  $\mathcal{R}$  are 3-irreducible.** We use the term *completion* for what is also called the *completion by cuts* [3] or *MacNeille completion*. The completion of a poset  $P$  is denoted by  $\mathbf{L}(P)$ . We will make use of the following five lemmas. Planar lattices are defined in [3] and [7].

LEMMA 1 (Banaschewski [2] or Schmidt [9]). *The completion of a poset  $P$  is*

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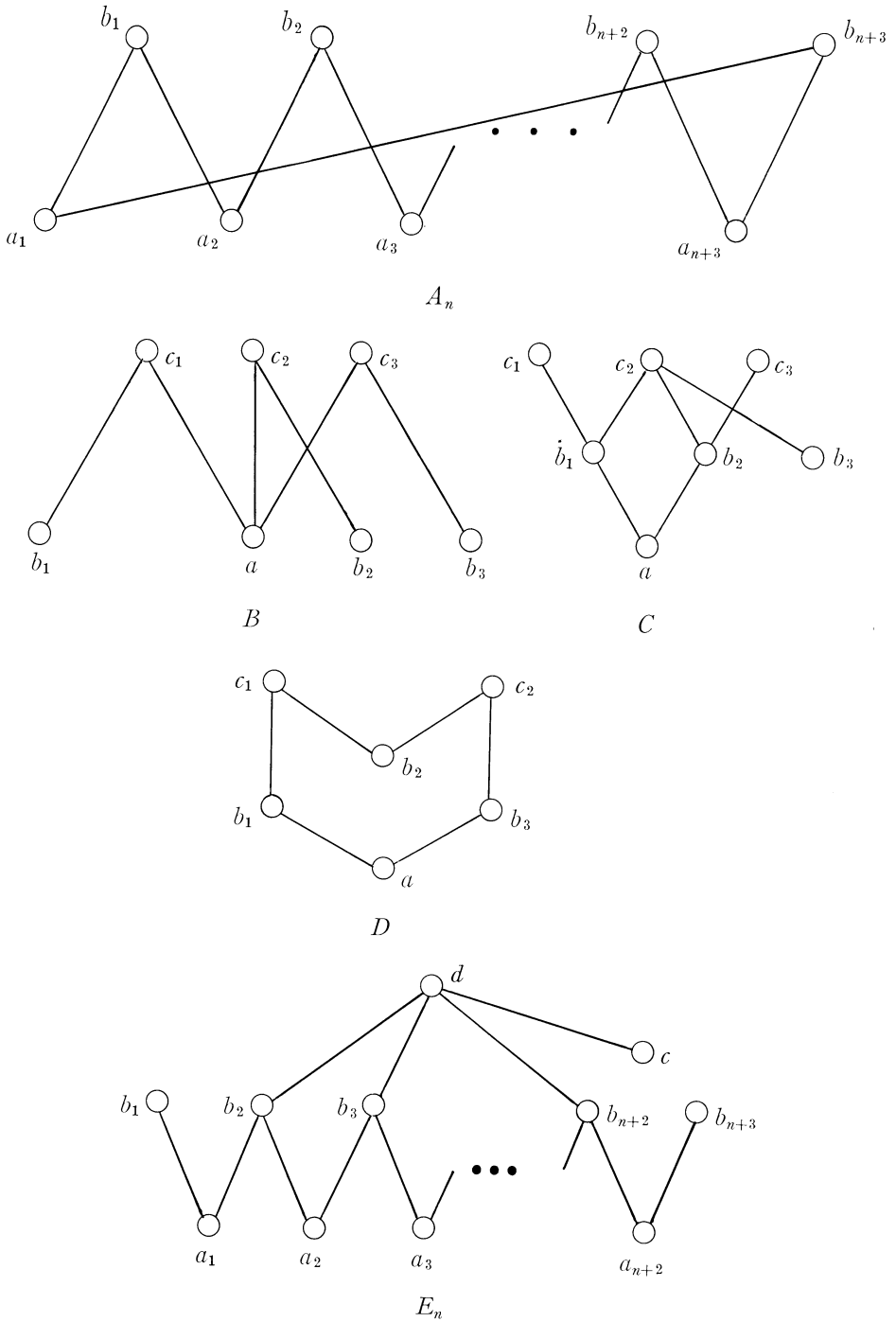


FIGURE 1. The 3-irreducible posets

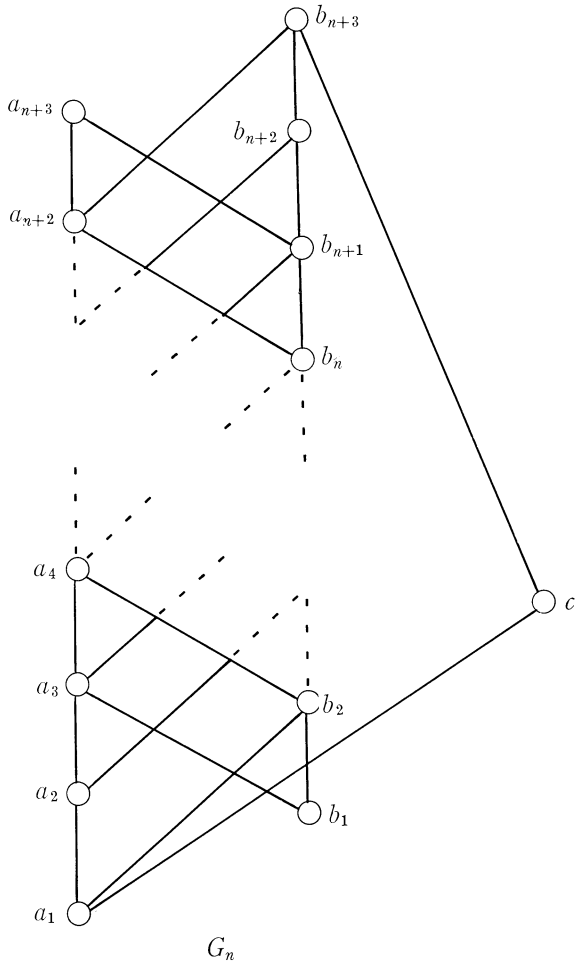
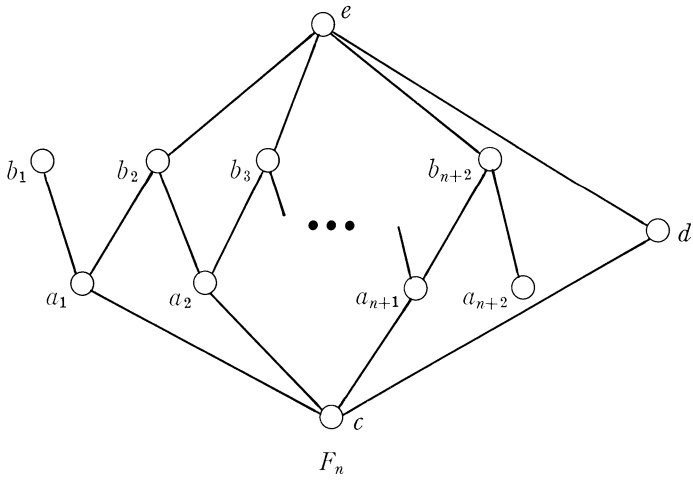
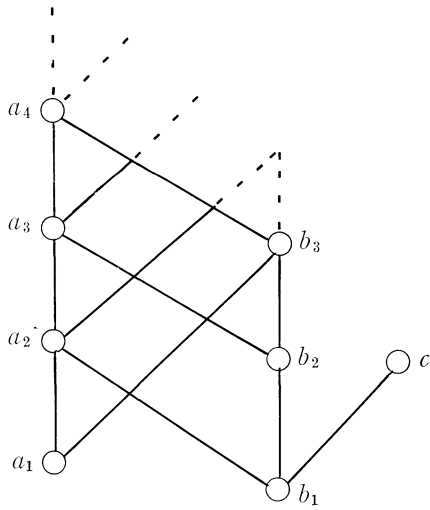
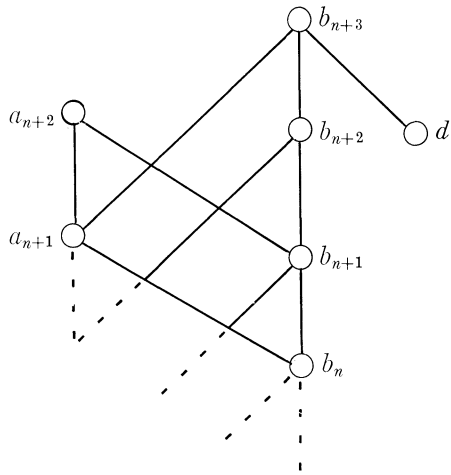
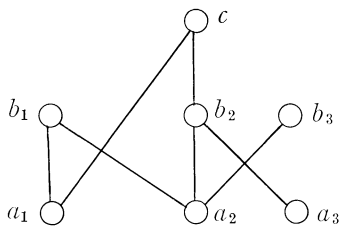


FIGURE 1—(Continued)

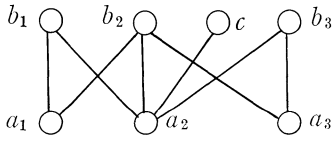


$H_n$

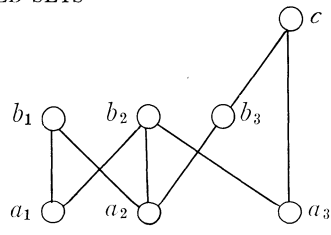


$CX_1$

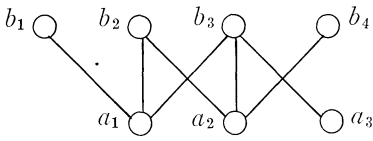
FIGURE 1—(Continued)



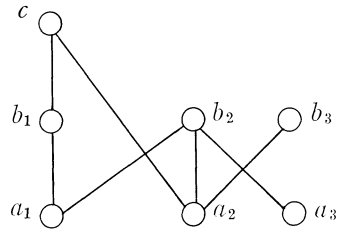
$CX_2$



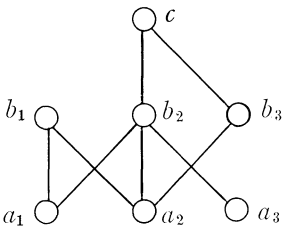
$CX_3$



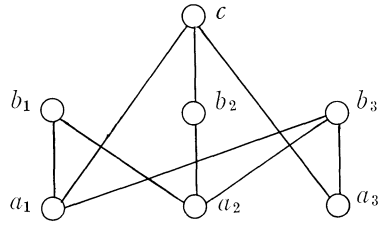
$EX_1$



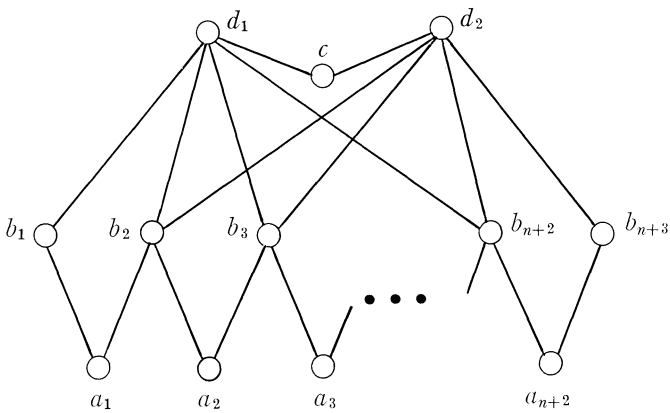
$EX_2$



$FX_1$



$FX_2$



$I_n$

FIGURE 1—(Continued)

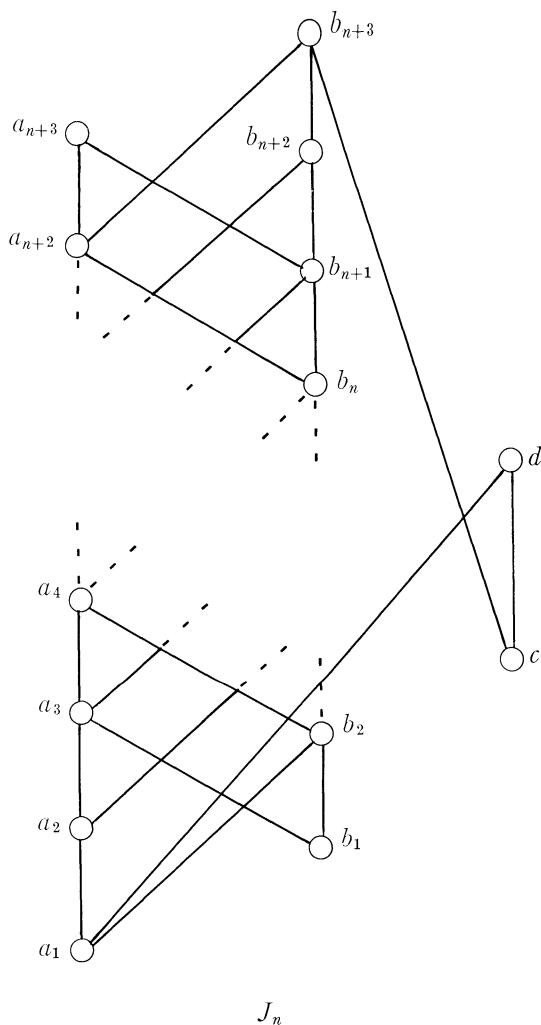


FIGURE 1—(Concluded)

the unique (up to isomorphism) complete lattice  $L$  containing  $P$  such that every element of  $L$  is both a join and a meet of elements of  $P$ .

LEMMA 2 (Birkhoff [3]). If a lattice contains a finite poset  $P$ , then it also contains the completion of  $P$  as a subposet.

*Proof.* Associate each element  $S$  of the completion of  $P$ , considered as a subset of  $P$ , with the join of  $S$  in the lattice (with the meet of  $P$  replacing the empty join).

LEMMA 3 (Baker [1]). A poset and its completion have the same dimension.

LEMMA 4 (Baker [1]). A finite lattice is planar if and only if it is of dimension  $\leq 2$ .

LEMMA 5 (Hiraguchi [5]). *Adding one element to a poset increases its dimension by at most one.*

We now show that every poset in  $\mathcal{R}$  is 3-irreducible. This statement was already proved for  $\mathcal{P}$  in [8], and we will use similar techniques for  $\mathcal{R} - \mathcal{P}$ . We first verify that the diagrams in Figure 2 are correct. Each poset in Figure 2 is *dismantlable* [6], and therefore, a lattice by [7, Proposition 2.1]. The correctness of Figure 2 now follows by Lemma 1. The diagrams for the collection  $\mathcal{L} = \{\mathbf{L}(P) \mid P \in \mathcal{P}\}$  are given in [7], where each completion is denoted by the corresponding boldface letter (with the same subscript, if any). The completions of  $CX_1$ ,  $CX_2$  and  $CX_3$  contain  $\mathbf{C}^d$  (as a subposet);  $\mathbf{L}(CX_3)$  also contains  $\mathbf{D}$ .  $\mathbf{L}(EX_1)$  contains  $\mathbf{E}_0$  while  $\mathbf{L}(EX_2)$  contains  $\mathbf{E}_0$  and  $\mathbf{E}_0^d$ . The completions of  $FX_1$  and  $FX_2$  contain  $\mathbf{F}_0$ . For the rest of the paper,  $n$  will always denote a non-negative integer. The completion of  $I_n$  contains  $\mathbf{E}_n$ , and the completion of  $J_n$  contains  $\mathbf{G}_n$ . Lemma 3 now implies that each poset in  $\mathcal{R} - \mathcal{P}$  has dimension  $\geq 3$ . (Using [7, Proposition 5.3], it can be shown that the completions of the posets in  $\mathcal{R} - \mathcal{P}$  do not contain any other lattices in  $\mathcal{L}$ , and thus, by Lemma 2, contain only the corresponding posets in  $\mathcal{P}$ .)

It only remains to show that removing any one element from any poset in  $\mathcal{R} - \mathcal{P}$  leaves a poset of dimension 2. It will then follow from Lemma 5 that each poset in  $\mathcal{R} - \mathcal{P}$  is of dimension 3, and therefore, 3-irreducible. If any element of  $CX_1$  except  $b_1$  is removed from  $\mathbf{L}(CX_1)$ , a planar lattice is left; thus, by Lemma 4, such a removal from  $CX_1$  leaves a poset of dimension 2. The completion of the poset  $CX_1 - \{b_1\}$ , obtained by merely adding a zero and one, is obviously planar. Thus, applying Lemma 4,  $CX_1 - \{b_1\}$  has dimension 2. The remaining posets in  $\mathcal{R} - \mathcal{P}$  are handled similarly. For example, for  $I_n(J_n)$ ,  $d_1$  and  $d_2$  ( $c$  and  $d$ ) play the role that  $b_1$  did for  $CX_1$ . Only for  $J_n$  must more than three elements be added to form one of the corresponding completions. This completes the proof that all the posets in  $\mathcal{R}$  are 3-irreducible.

**2. Starting the proof that  $\mathcal{R}$  contains every 3-irreducible poset.** Let  $L$  be a finite lattice, and let  $P = \mathbf{P}(L)$ , the subposet of *irreducible* elements of  $L$ . (An element of a finite lattice is *join-irreducible* (*meet-irreducible*) if it is not the joint (meet) of two incomparable elements; an element is *irreducible* if it is join-irreducible and distinct from  $\mathbf{0}$ , or meet-irreducible and distinct from  $\mathbf{1}$ .) The remainder of this paper is devoted to proving the following statement:

*If  $L$  contains a poset in  $\mathcal{P}$ , then  $P$  contains a poset in  $\mathcal{R}$ .*

Let  $P$  be a 3-irreducible poset, and let  $L = \mathbf{L}(P)$ . By Lemma 3,  $L$  has dimension 3. Therefore, applying [7, Theorem 6.1],  $L$  contains a poset in  $\mathcal{P}$ . Since  $\mathbf{P}(L) \subseteq P$  by Lemma 1, the above statement will show that  $P$  contains a poset in  $\mathcal{R}$ ; thus,  $P \in \mathcal{R}$ , completing the proof that  $\mathcal{R}$  is the set of all 3-irreducible posets. (Actually, it is easily seen that  $\mathbf{P}(\mathbf{L}(P)) = P$  for any  $m$ -irreducible poset  $P$ .)

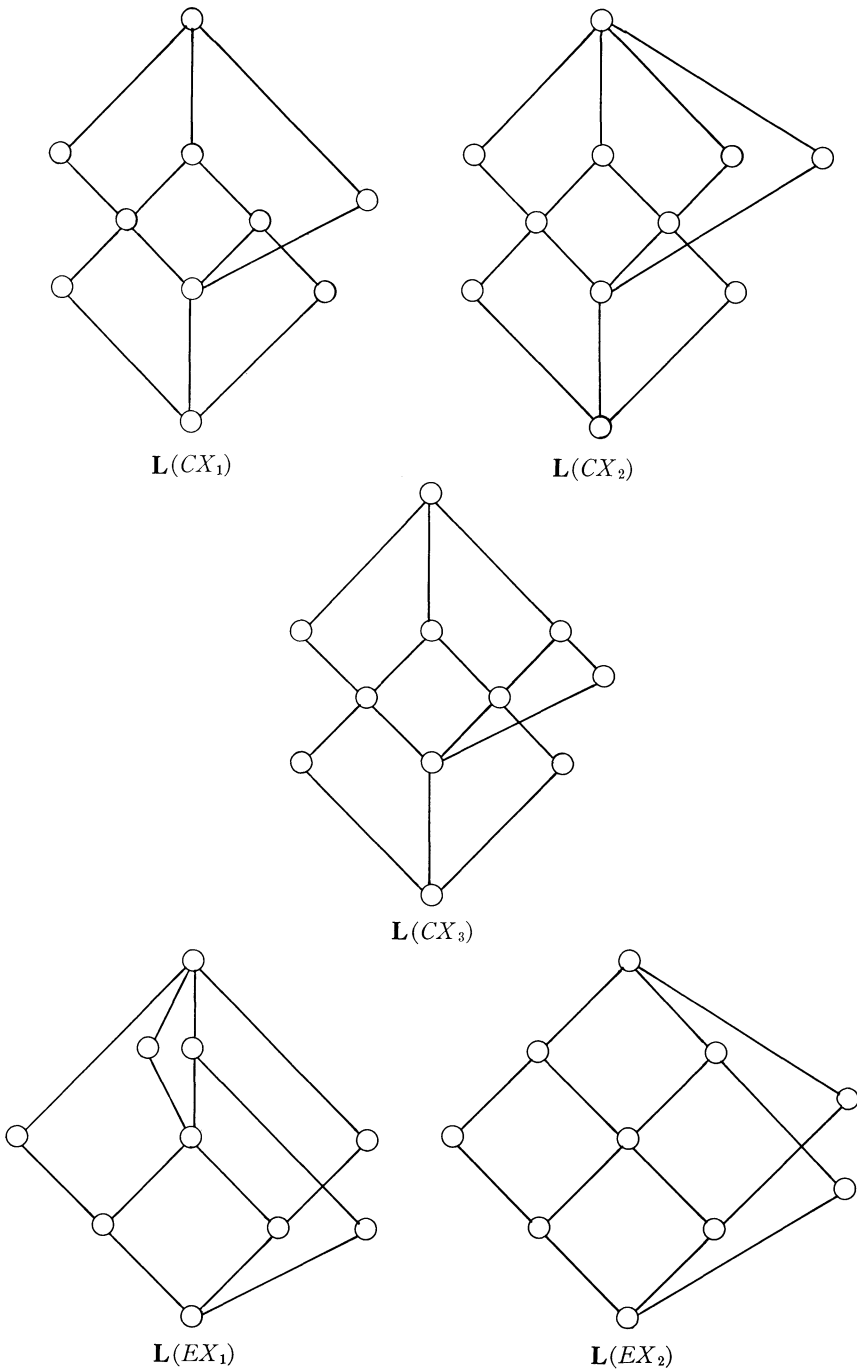
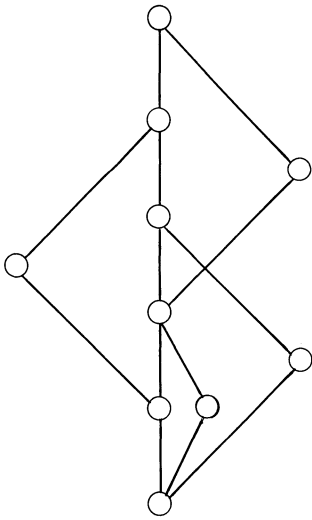
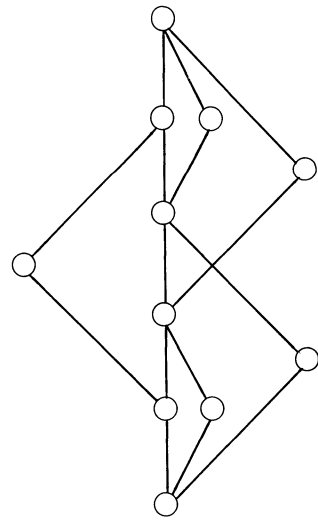


FIGURE 2. Completions of the posets in  $\mathcal{R} - \mathcal{P}$

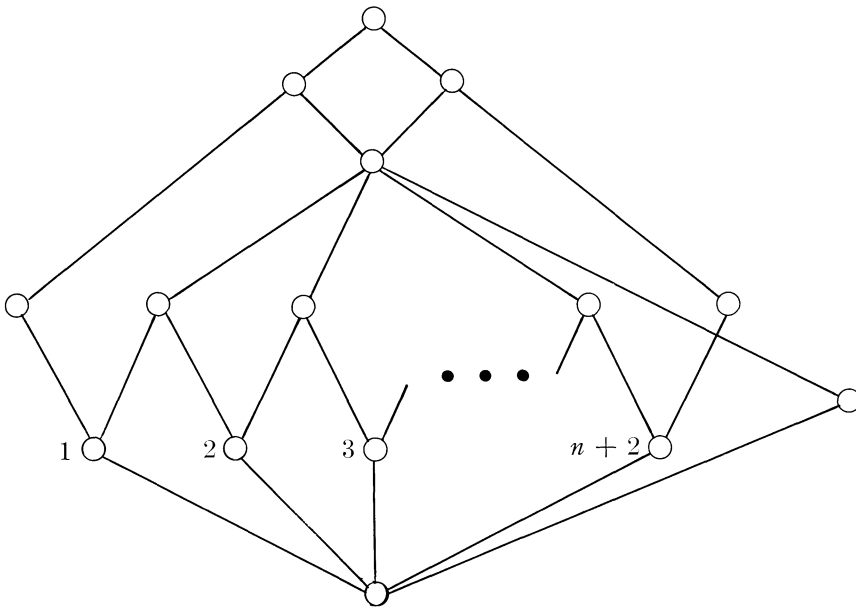




$L(FX_1)$



$L(FX_2)$



$L(I_n)$

FIGURE 2—(Continued)

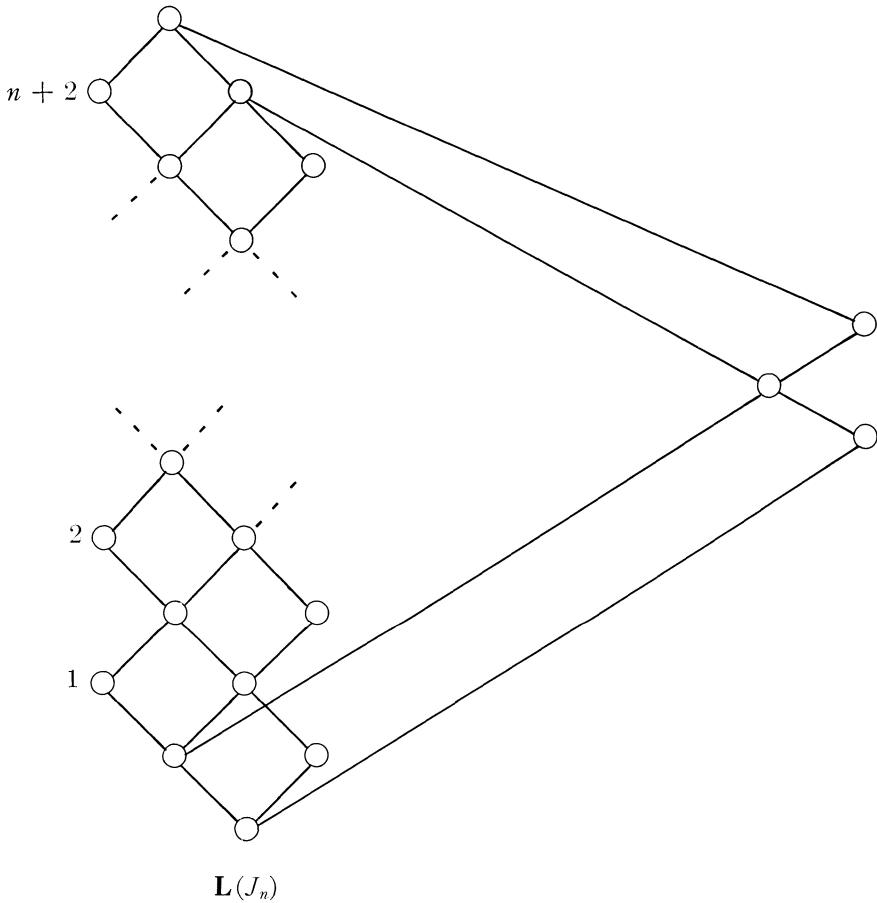


FIGURE 2—(Concluded)

For each  $Q \in \mathcal{P}$ , we will show that if  $L$  contains  $Q$ , then  $P$  contains a poset isomorphic to some  $R$  in  $\mathcal{R}$ . By duality, it is enough to let  $Q$  be a poset in Figure 1. We will consider separately each case where  $Q$  is denoted by one of the letters  $A$  to  $H$  (possibly subscripted). In any case involving a subscript  $n$ , we will assume that the smallest  $n$  was chosen such that  $L$  contains  $Q$  or  $Q^d$ . Unless stated otherwise, we also assume that all previously considered cases and their duals do not occur. These are our “standard assumptions”.

The elements of  $Q$  are named as in Figure 1. The elements of the poset isomorphic to  $R$  will be given in the order determined by the labelling assigned to  $R$  or  $R^d$  in Figure 1 in the following manner: the alphabetical order predominates and then the numerical order on any subscripts is considered. For example, a poset isomorphic to  $B$  or  $B^d$  would be given in the order

$$\{a, b_1, b_2, b_3, c_1, c_2, c_3\}.$$

**3.  $L$  contains  $A_n$ .** For  $1 \leq i \leq n + 3$ , let  $a_i'$  be a join-irreducible element of  $L$  such that  $a_i' \leq a_i$  but  $a_i' \not\leq b_{i+1}$ . (Subscripts are taken modulo  $n + 3$ ). If  $a_1' \leq b_k$  for some  $k \geq 3$ , then taking the least such  $k$ ,  $\{a_1', a_2, \dots, a_k, b_1, b_2, \dots, b_k\}$  would be isomorphic to  $A_{k-3}$ . By the minimality of  $n$ ,  $k = n + 3$ . By symmetry,  $a_i' \leq b_j$  only if  $j$  is  $i - 1$  or  $i$  ( $1 \leq i \leq n + 3$ ), and thus  $\{a_1', a_2', \dots, a_{n+3}', b_1, b_2, \dots, b_{n+3}\} \cong A_n$ . Meet-irreducible elements  $b_i'$  ( $1 \leq i \leq n + 3$ ) can now be defined dually to yield a subposet of  $P$  that is isomorphic to  $A_n$ . We recall that a *crown* is a poset isomorphic to  $A_n$  for some  $n \geq 0$ . We have actually proved

**PROPOSITION.** †) *If a finite lattice  $L$  contains a crown, then there is a crown in  $\mathbf{P}(L)$ .*

In the above proposition,  $\mathbf{P}(L)$  need not contain crowns of the same size as  $L$  does. (Consider the lattice of subsets of a four-element set.) Using [6, Theorem 3.1], we obtain the

**COROLLARY.** *If a finite poset contains no crowns, then its completion is dismantlable.*

According to our standard assumptions, we henceforth assume that  $L$  contains no crowns. Since  $L$  does not contain  $A_0$ ,  $L$  has breadth 2 [6, Lemma 3.4]. Therefore, any element  $a \in L - (P \cup \{0, 1\})$  can be written as  $a = x_1 \vee x_2 = y_1 \wedge y_2$  for suitable  $x_1, x_2, y_1, y_2 \in P$ . We note that none of the posets in  $\mathcal{R}$  contain a zero or one. From now on,  $x$  and  $y$  (with or without subscripts) will always denote elements of  $P$ .

In each of the remaining cases where  $L$  contains the poset  $Q$  in  $\mathcal{P}$ , we now outline the procedure that will be followed. Each element  $a$  of  $Q$  is considered in turn. If  $a \in P$ , we proceed to the next element. If  $a \notin P$ , then it can be written as  $a = x_1 \vee x_2 = y_1 \wedge y_2$ . (In the sequel, whenever we write  $a = x \vee x_2$ , the conditions on  $x$  will ensure that  $x \notin Q$ , and dually.) Often, we can simply replace  $a$  in  $Q$  by one of  $x_1, x_2, y_1$  or  $y_2$  to obtain a poset  $Q'$  isomorphic to  $Q$ . Otherwise, we will show there is a subposet  $R$  of  $(Q - \{a\}) \cup \{x_1, x_2, y_1, y_2\}$  that is in  $\mathcal{R}$ . This procedure is repeated with  $Q'$  or  $R$  replacing  $Q$  until we obtain a subposet of  $P$  that is in  $\mathcal{R}$ . The poset isomorphic to  $R$  will be given in the order determined by the labelling of  $R$  or  $R^d$  in Figure 1. When  $R$  replaces  $Q$ , its elements are named as in Figure 1.

We recall that a *down-down fence* [7] is a poset  $\{a_1, a_2, \dots, a_{n+2}, b_1, b_2, \dots, b_{n+1}\}$  in which  $a_i < b_i$  and  $a_{i+1} < b_i$  ( $1 \leq i \leq n + 1$ ) are the only comparabilities. (For any  $E_n$  or  $F_n$ , the subposet consisting of all the  $a_i$ 's and  $b_j$ 's is a fence.) The following two lemmas will be repeatedly applied in the sequel.

**LEMMA 6.** *If  $\{a_1, a_2, \dots, a_{n+2}, b_1, b_2, \dots, b_{n+1}\}$  is a down-down fence in a poset  $L$  containing no crowns, and  $y > a_1$  but  $y \not> a_2$ , then  $y \not> a_i$  for all  $i \geq 2$ .*

†This proposition and its corollary were obtained jointly with I. Rival.

Consequently,  $y$  is incomparable with every element of the fence except  $a_1$  and possibly  $b_1$ .

*Proof.* If  $y > a_i$  for some  $i \geq 3$ , then choosing the least such  $i$ ,  $A_{i-3} \cong \{a_1, a_2, \dots, a_i, b_1, b_2, \dots, b_{i-1}, y\}$ .

**LEMMA 7.** *Let  $S = \{a_1, a_2, \dots, a_{n+2}, b_1, b_2, \dots, b_{n+1}\}$  be a down-down fence in a lattice  $L$  that contains no crowns. If  $c = y_1 \wedge y_2$  in  $L$  and  $c$  is incomparable with every element of  $S$ , then  $y_1$  or  $y_2$  is incomparable with every element of  $S$ .*

*Proof.* If the statement of the lemma were false, we could assume there were integers  $i$  and  $j$  with  $1 \leq i < j \leq n + 2$  such that  $y_1 > a_i, y_2 > a_j, y_1 \succ a_k$  whenever  $i < k \leq j$ , and  $y_2 \succ a_l$  whenever  $i \leq l < j$ . Then  $A_{j-i-1} \cong \{a_i, a_{i+1}, \dots, a_j, c, b_i, b_{i+1}, \dots, b_{j-1}, y_2, y_1\}$ , contrary to assumption.

**4.  $L$  contains  $D$ .**

(i)  $a \notin P$ .<sup>†</sup> If  $a = x \vee x_2$  with  $x \prec b_2$ , then  $x$  can replace  $a$ . Therefore, we can now assume that  $a \in P$ .

(ii)  $b_2 \notin P$ . Let  $b_2 = x_1 \vee x_2$ . Applying the dual of Lemma 7 to  $S = \{b_1, b_3, a\}$  shows that  $x_1$  or  $x_2$  can replace  $b_2$ . We can now assume that  $a, b_2 \in P$ .

(iii)  $c_1 \notin P$ . If  $c_1 = y \wedge y_2$  with  $y \succ b_2$ , then  $y$  can replace  $c_1$ . Therefore,  $a, b_2, c_1, c_2 \in P$ .

(iv)  $b_1 \notin P$ . Let  $b_1 = x \vee x_2 = y \wedge y_2$  with  $x \prec c_2$  and  $y \succ b_2$ . Then,  $y \parallel b_3$  by Lemma 6. If  $x > a$  ( $y < c_1$ ), then  $x(y)$  could replace  $b_1$ . Therefore, we can assume that  $x \parallel a$  and  $y \parallel c_1$ . Then,  $CX_3 \cong \{x, a, b_2, y, c_1, b_3, c_2\}$ , where every element, except possibly  $b_3$ , is in  $P$ .

(v)  $L$  contains  $CX_3$  with only  $b_3 \notin P$ . Let  $b_3 = y \wedge y_2$  with  $y \succ a_3$ . Then,  $y \parallel a_1$  by Lemma 6 applied to the fence  $\{b_3, a_3, a_1, c, b_2\}$ . If  $y < c$ , then  $y$  could replace  $b_3$ ; therefore,  $y \parallel c$ . Then,  $CX_2 \cong \{a_1, a_2, a_3, b_1, b_2, c, y\}$ , where every element is in  $P$ .

In summary, we have shown that if  $L$  contains  $D$  (and contains no crowns), then  $P$  contains  $D, CX_2$  or  $CX_3$ .

**5.  $L$  contains  $C$ .**

(i)  $a \notin P$ . If  $a = x \vee x_2$  with  $x \prec b_3$ , then  $x$  can replace  $a$ .

(ii)  $b_3 \notin P$ . If  $b_3 = x_1 \vee x_2$ , then  $x_1$  or  $x_2$  can replace  $b_3$  by the dual of Lemma 7 applied to  $\{c_1, c_3, a\}$ .

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<sup>†</sup>In each paragraph, the original assumption of the heading will be shown *not* to hold. In other words, we show that the element being considered can be assumed in the sequel to be an element of  $P$ .

(iii)  $c_1 \notin P$ . If  $c_1 = y_1 \wedge y_2$ , then  $y_1$  or  $y_2$  can replace  $c_1$  by Lemma 7 applied to  $\{b_2, b_3, c_2\}$ .

(iv)  $c_2 \notin P$ . If  $c_2 = y_1 \wedge y_2$ , then  $y_1$  or  $y_2$  can replace  $c_2$  since, otherwise, we could assume that  $y_1 > c_1$ ,  $y_1 \succcurlyeq c_3$ ,  $y_2 > c_3$ , and  $y_2 \succcurlyeq c_1$ . Then,  $D \cong \{a, c_1, b_3, c_3, y_1, y_2\}$ , contrary to assumption. Therefore,  $a, b_3, c_1, c_2, c_3 \in P$ .

(v)  $b_1 \notin P$ . Let  $b_1 = x \vee x_2$  with  $x \triangleleft c_3$ . If  $x > a$ , then  $x$  could replace  $b_1$ ; therefore,  $x \parallel a$ . If  $x < b_3$ , then  $D^d \cong \{c_2, b_3, c_1, b_2, x, a\}$ ; therefore,  $x \parallel b_3$ . Then,  $CX_1^d \cong \{c_1, c_2, c_3, x, b_2, b_3, a\}$ , where every element, except possibly  $b_2$ , is in  $P$ .

(vi)  $L$  contains  $CX_1$  with only  $b_2 \notin P$ . Let  $b_2 = y \wedge y_2$  with  $y \succcurlyeq a_1$ . If  $y < c$ , then  $y$  could replace  $b_2$ ; therefore,  $y \parallel c$ . If  $y \succcurlyeq b_3$ , then  $CX_2 \cong \{a_1, a_2, a_3, b_1, c, y, b_3\}$  while if  $y > b_3$ , then  $CX_3 \cong \{a_1, a_2, a_3, b_1, c, b_3, y\}$ ; in both cases, every element is in  $P$ .

In summary,  $P$  must contain  $C$ ,  $CX_1^d$ ,  $CX_2^d$ , or  $CX_3^d$ .

## 6. $L$ contains $B$ .

(i)  $b_1 \notin P$ . If  $b_1 = x_1 \vee x_2$ , then  $x_1$  or  $x_2$  can replace  $b_1$  by the dual of Lemma 7 applied to  $\{c_2, c_3, a\}$ .

(ii)  $c_1 \notin P$ . Let  $c_1 = y_1 \wedge y_2$ . If neither  $y_1$  nor  $y_2$  can replace  $c_1$ , we can assume that  $y_1 > b_2$ ,  $y_1 \succcurlyeq b_3$ ,  $y_2 > b_3$ , and  $y_2 \succcurlyeq b_2$ . If  $y_1 > c_2$ , then  $C^d \cong \{y_1, c_1, c_2, c_3, b_1, a, b_2\}$ , contrary to assumption. Therefore,  $y_1 \parallel c_2$  and  $y_2 \parallel c_3$ . Then,  $CX_2 \cong \{b_1, a, b_2, y_2, y_1, c_2, c_3\}$ , which implies that  $L$  contains  $C^d$  by Lemma 2. Therefore,  $b_1, b_2, b_3, c_1, c_2, c_3 \in P$ .

(iii)  $a \notin P$ . Let  $a = x_1 \vee x_2$ . If neither  $x_1$  nor  $x_2$  can replace  $a$ , we can assume that  $x_1 < b_1$ ,  $x_1 \triangleleft b_2$ ,  $x_2 < b_2$ , and  $x_2 \triangleleft b_1$ . If  $x_1 < b_3$ , then  $D \cong \{x_1, b_1, x_2, b_3, c_1, c_3\}$ ; therefore,  $x_1 \parallel b_3$  and  $x_2 \parallel b_3$ . Then,  $EX_2 \cong \{x_1, x_2, b_3, b_1, c_3, b_2, c_1\}$ , with every element in  $P$ .

**7.  $L$  contains  $F_n$ .** In addition to our standard assumptions, we assume that  $L$  does not contain  $E_m$  or  $E_m^d$  whenever  $0 \leq m < n$ .

(i)  $c \notin P$ . If  $c = x \vee x_2$  with  $x \triangleleft a_{n+2}$ , then  $x$  can replace  $c$ .

(ii)  $d \notin P$ . If  $d = y \wedge y_2$ , we can assume by Lemma 7 that  $y$  is incomparable with every  $a_i$  and  $b_i$  ( $1 \leq i \leq n+2$ ). If  $y \triangleleft e$ , then  $C \cong \{c, a_1, d, a_{n+2}, b_1, e, y\}$ . Thus,  $y < e$  and  $y$  can replace  $d$ .

(iii)  $b_1 \notin P$ . Let  $b_1 = y \wedge y_2$  with  $y \succcurlyeq a_2$ . If  $y > d$ , then  $D \cong \{a_1, b_1, d, b_2, y, e\}$ ; therefore,  $y \parallel d$ . Thus, by Lemma 6,  $y$  can replace  $b_1$ . Therefore,  $a_{n+2}, b_1, c, d, e \in P$ .

(iv)  $b_i \notin P$  ( $2 \leq i \leq n+2$ ). Let  $b_i = y \wedge y_2$  with  $y \succcurlyeq d$ . Let  $j(k)$  be the least (greatest) value of  $l$  such that  $y > a_l$ . If  $y < e$ , then  $F_m \cong \{a_1, \dots, a_j,$

$a_k, \dots, a_{n+2}, b_1, \dots, b_j, y, b_{k+1}, \dots, b_{n+2}, c, d, e\}$  with  $m = n - k + j + 1$ . (Note that  $m \geq 0$ .) By the minimality of  $n$ ,  $m = n$ , and consequently,  $j = i - 1$  and  $k = i$ . This means that this is the original  $F_n$  with  $b_i$  replaced by  $y$ ; therefore, we can assume that  $y \parallel e$ . If  $j > 1$ , then  $E_{j-2} \cong \{a_1, \dots, a_j, b_1, \dots, b_j, y, d, e\}$ , which is contrary to assumption since  $j \leq n + 1$ . If  $k < n + 2$ , then  $F_m \cong \{a_k, \dots, a_{n+2}, y, b_{k+1}, \dots, b_{n+2}, c, d, e\}$  with  $m = n - k + 1$ . Since  $k \geq 2$ , this would contradict the minimality of  $n$ . Therefore,  $j = 1$  and  $k = n + 2$ . If  $y > b_1$ , then  $D \cong \{c, b_1, a_{n+2}, d, y, e\}$ . Therefore,  $y \parallel b_1$  and  $FX_1^d = \{y, e, b_1, a_{n+2}, a_1, d, c\}$ , where every element, except possibly  $a_1$ , is in  $P$ .

(v)  $L$  contains  $FX_1$  with only  $b_2 \notin P$ . Let  $b_2 = y \wedge y_2$  with  $y \succ b_3$ . Since  $y$  could replace  $b_2$  if  $y < c$ , we can assume that  $y \parallel c$ . If  $y > b_1$ , then  $D \cong \{a_2, b_1, a_3, b_3, y, c\}$ . Therefore,  $y \parallel b_1$  and  $FX_2 \cong \{a_1, a_2, a_3, b_1, b_3, y, c\}$ , where every element is in  $P$ .

**8.  $L$  contains  $E_n$ .** The standard assumptions apply except that we assume that  $L$  does not contain  $F_m$  only when  $m = 0$ . (Note that cases 7 and 8 cover all situations where  $L$  contains some  $E_n, E_n^d$  or  $F_n$ .)

(i)  $c \notin P$ . If  $c = x_1 \vee x_2$ , it follows by the dual of Lemma 7 that  $x_1$  or  $x_2$  can replace  $c$ .

(ii)  $a_1 \notin P$ . Let  $a_1 = x_1 \vee x_2$ . If neither  $x_1$  nor  $x_2$  can replace  $a_1$ , we can assume by the dual of Lemma 6 that  $x_1 < c, x_1 \prec b_3, x_2 < b_3$ , and  $x_2 \prec c$ . Then,  $D^d \cong \{d, c, b_1, b_3, x_1, x_2\}$ .

(iii)  $a_i \notin P$  ( $2 \leq i \leq n + 1$ ). Let  $a_i = x \vee x_2$ . Suppose that neither  $x$  nor  $x_2$  can replace  $a_i$ . If  $x_2 < c, x_2 \prec b_{i-1}$ , and  $x_2 \prec b_{i+2}$ , then  $E_0^d \cong \{b_i, b_{i+1}, a_{i-1}, a_i, a_{i+1}, c, x_2\}$ , contradicting that  $n \geq 1$ . Consequently, we can assume that  $x \prec c$  and  $x \prec b_{i-1}$ . If  $j$  is the greatest value of  $k$  such that  $x < b_k$ , then  $m = n - j + i + 1 \geq 0$  and, by the dual of Lemma 6,  $E_m \cong \{a_i, \dots, a_{i-1}, x, a_j, \dots, a_{n+2}, b_1, \dots, b_i, b_j, \dots, b_{n+3}, c, d\}$ . By the minimality of  $n, j = i + 1$  and this is the original  $E_n$  with  $a_i$  replaced by  $x$ . Therefore, we can assume that  $a_i \in P$  for  $1 \leq i \leq n + 2$ .

(iv)  $b_1 \notin P$ . Let  $b_1 = y \wedge y_2$  with  $y \succ a_2$ . If  $y > c$ , then  $D \cong \{a_1, b_1, c, b_2, y, d\}$ . Therefore,  $y \parallel c$  and  $y$  can replace  $b_1$  by Lemma 6.

(v)  $b_i \notin P$  ( $2 \leq i \leq n + 2$ ). Let  $b_i = y \wedge y_2$  with  $y \succ c$ . Let  $j(k)$  be the least (greatest) value of  $l$  such that  $y > a_l$ . If  $y < d$ , then we can show that  $y$  can replace  $b_i$  similarly as in case 7 (iv); therefore,  $y \parallel d$ . If  $j > 1$ , then  $E_{j-2} \cong \{a_1, \dots, a_j, b_1, \dots, b_j, y, c, d\}$ ; therefore,  $j = 1$  and  $k = n + 2$ . If  $y > b_1$  and  $y > b_{n+3}$ , then  $D^d \cong \{y, b_1, d, b_{n+3}, a_1, a_{n+2}\}$ . Thus, we can assume that either  $y \parallel b_1, y \parallel b_{n+3}$  and  $EX_1 \cong \{a_1, a_{n+2}, c, b_1, y, d, b_{n+3}\}$ , or  $y > b_1, y \parallel b_{n+3}$  and  $EX_2 \cong \{a_1, a_{n+2}, c, b_1, d, b_{n+3}, y\}$ . In both cases, every element, except possibly  $d$ , is in  $P$ .

(vi) *L contains  $EX_1$  with only  $b_3 \notin P$ .* Let  $b_3 = y_1 \wedge y_2$  and suppose that neither  $y_1$  nor  $y_2$  can replace  $b_3$ . By symmetry,  $y_1 > b_1$ , and therefore,  $y_2 \parallel b_1$ . We first assume that  $y_1 \succ b_2$ . If  $y_2 > b_2$ , then  $D \cong \{a_1, b_1, a_3, b_2, y_1, y_2\}$ . Thus,  $y_2 \parallel b_2$  and  $FX_2 \cong \{a_2, a_1, a_3, b_2, b_1, y_2, y_1\}$ , which implies that  $L$  contains  $F_0$  by Lemma 2. We can now assume that  $y_1 > b_2$ ; consequently  $y_2 \succ b_2$ , and thus,  $y_2 > b_4$ . If  $y_1$  and  $y_2$  are interchanged, and  $b_1$  and  $b_4$  are interchanged, we return to the case that was considered first.

(vii) *L contains  $EX_2$  with only  $b_2 \notin P$ .* Let  $b_2 = y_1 \wedge y_2$  and suppose that neither  $y_1$  nor  $y_2$  can replace  $b_2$ . We can assume that  $y_1 > b_1, y_1 \succ b_3, y_2 > b_3$ , and  $y_2 \succ c$ . If  $y_1 > c$ , then  $D \cong \{a_2, c, a_3, b_3, y_1, y_2\}$ . Thus,  $y_1 \parallel c$  and  $FX_2 \cong \{a_1, a_2, a_3, c, b_3, y_1, y_2\}$ , a contradiction. Therefore, we can assume that all the elements of  $E_n$ , except possibly  $d$ , are in  $P$ .

(viii)  *$d \notin P$ .* If  $d = y_1 \wedge y_2$  and neither  $y_1$  nor  $y_2$  can replace  $d$ , then we can assume that  $y_1 > b_1, y_1 \succ b_{n+3}, y_2 > b_{n+3}$ , and  $y_2 \succ b_1$ . Then,  $I_n \cong \{a_1, \dots, a_{n+2}, b_1, \dots, b_{n+3}, c, y_1, y_2\}$ , where every element is in  $P$ .

**9.  $L$  contains  $G_n$ .** In addition to the standard assumptions, we assume that  $L$  does not contain  $H_m$  whenever  $0 \leq m < n$ .

(i)  $a_1 \notin P$ . If  $a_1 = x \vee x_2$  with  $x \triangleleft b_1$ , then  $x$  can replace  $a_1$ .

(ii)  $b_1 \notin P$ . If  $b_1 = x_1 \vee x_2$ , then by the dual of Lemma 7 applied to  $\{a_2, c, a_1\}$ ,  $x_1$  or  $x_2$  can replace  $b_1$ . Therefore,  $a_1, a_{n+3}, b_1, b_{n+3} \in P$ .

(iii)  $a_i \notin P$  ( $2 \leq i \leq n + 2$ ). Let  $a_i = x \vee x_2$  with  $x \triangleleft b_i$ . If  $x < c$ , then  $D^d \cong \{b_{n+3}, c, a_{n+3}, b_{n+2}, x, b_{n+1}\}$  when  $i = n + 2$ , and  $G_{n-i+1} \cong \{x, a_{i+1}, \dots, a_{n+3}, b_i, \dots, b_{n+3}, c\}$  when  $i \leq n + 1$ . Therefore,  $x \parallel c$ . If  $x \succ a_1$  and  $x \succ b_1$ , then  $CX_1 \cong \{b_1, a_1, x, b_2, a_2, c, a_3\}$  when  $i = 2$ , and  $H_{i-3} \cong \{b_1, \dots, b_{i-1}, a_1, \dots, a_i, c, x\}$  when  $i \geq 3$ . Therefore,  $x > a_1$  or  $x > b_1$ ; consequently, one of the following two cases must occur:

(a) there is  $j$  ( $1 \leq j \leq i - 1$ ) such that  $x > a_j, x > b_{j-1}$  (if  $j > 1$ ) and  $x \succ b_j$ ; or

(b) there is  $k$  ( $1 \leq k \leq i - 2$ ) such that  $x > b_k, x \succ b_{k+1}$  and  $x \succ a_{k+1}$ .

If (a) holds, then  $G_{n-i+j+1} \cong \{a_1, \dots, a_j, x, a_{i+1}, \dots, a_{n+3}, b_1, \dots, b_j, b_i, \dots, b_{n+3}, c\}$ . By the minimality of  $n, j = i - 1$  and this is the original  $G_n$  with  $a_i$  replaced by  $x$ . If case (b) occurs with  $k = i - 2$ , then  $D \cong \{b_{i-2}, x, a_{i-1}, b_{i-1}, a_i, b_i\}$ . Otherwise, case (b) occurs with  $k \leq i - 3$  and  $G_{i-k-3} \cong \{b_k, \dots, b_{i-1}, a_{k+1}, \dots, a_i, x\}$ . Therefore, all the elements of  $G_n$ , except possibly  $c$ , are in  $P$ .

(iv)  $c \notin P$ . Let  $c = x \vee x_2 = y \wedge y_2$  with  $x \triangleleft a_{n+3}$  and  $y \succ b_1$ . Let  $j(k)$  be the greatest (least) value of  $l$  such that  $y > a_l (x < b_l)$ ; then,  $j = 1$  or  $2$ , and  $k = n + 2$  or  $n + 3$ . If  $x > a_2$ , then  $D^d \cong \{b_3, x, a_3, b_2, a_2, b_1\}$  when  $k = 3$ , and  $G_{k-4} \cong \{a_2, \dots, a_k, b_2, \dots, b_k, x\}$  when  $k \geq 4$ . Therefore,  $x \parallel a$ , and

dually,  $y \parallel b_{n+2}$ . Similarly, if  $x > a_1$ , then  $D \cong \{a_1, a_2, b_1, x, a_3, b_2\}$  when  $k = 2$ , and  $G_{k-3} \cong \{a_1, \dots, a_k, b_1, \dots, b_k, x\}$  when  $k \geq 3$ . Therefore,  $x \parallel a_i$  and  $y \parallel b_i$  ( $1 \leq i \leq n + 3$ ). If  $k = j = 2$ , then  $A_0 \cong \{a_2, b_1, x, a_3, b_2, y\}$ . If  $k = j + 1$ , then  $CX_3 \cong \{x, a_j, b_j, y, b_{j+1}, a_{j+1}, a_{j+2}\}$ . We can therefore assume that  $k \geq j + 2$  and thus,  $J_{k-j-2} \cong \{a_j, \dots, a_k, b_j, \dots, b_k, x, y\}$ , where every element is in  $P$ .

**10.  $L$  contains  $H_n$ .** The standard assumptions apply except that we assume that  $L$  does not contain  $G_m$  only when  $0 \leq m < n$ .

(i)  $a_1 \notin P$ . If  $a_1 = x \vee x_2$ , then by the dual of Lemma 7, we can assume that  $x \parallel b_2$  and  $x \parallel c$ . If  $x < d$ , then  $D^d \cong \{b_3, d, a_2, b_2, x, b_1\}$  when  $n = 0$ , and  $G_{n-1} \cong \{x, a_2, \dots, a_{n+2}, b_2, \dots, b_{n+3}, d\}$  when  $n \geq 1$ . Therefore,  $x \parallel d$  and  $x$  can replace  $a_1$ .

(ii)  $b_1 \notin P$ . Let  $b_1 = x_1 \vee x_2$ . If neither  $x_1$  nor  $x_2$  can replace  $b_1$ , we can assume that  $x_1 < a_1$ ,  $x_1 \not\leq d$ ,  $x_2 < d$ , and  $x_2 \not\leq a_1$ . Then,  $D^d \cong \{b_{n+3}, a_1, c, d, x_1, x_2\}$ . Therefore,  $a_1, a_{n+2}, b_1, b_{n+3} \in P$ .

(iii)  $c \notin P$ . Let  $c = y \wedge y_2$ . Applying Lemma 7 to  $\{a_1, b_2, b_3\}$ , we can assume that  $y \parallel a_1$  and  $y \parallel b_2$ . If  $y > d$ , then  $D \cong \{b_1, b_2, d, c, b_{n+3}, y\}$ . Therefore,  $y \parallel d$  and  $y$  can replace  $c$ .

(iv)  $a_i \notin P$  ( $2 \leq i \leq n + 1$ ). By duality, we can assume that  $i \leq \frac{1}{2}(n + 3)$ . Let  $a_i = x \vee x_2$  with  $x \not\leq b_{i+1}$ . If  $x < c$  and  $x > b_1$ , then  $D \cong \{b_1, x, a_1, b_2, a_2, b_3\}$  when  $i = 2$ , and  $G_{i-3} \cong \{b_1, \dots, b_i, a_1, \dots, a_i, x\}$  when  $i \geq 3$ . If  $x < c$  and  $x \parallel b_1$ , then  $CX_3 \cong \{x, b_1, a_1, c, a_2, b_2, b_3\}$  when  $i = 2$ , and  $J_{i-3} \cong \{b_1, \dots, b_i, a_1, \dots, a_i, x, c\}$  when  $i \geq 3$ . Since the latter case implies that  $L$  contains  $G_{i-3}$ , we conclude that  $x \parallel c$ . If  $x < d$ , then  $D^d \cong \{b_4, d, a_3, b_3, x, b_2\}$  when  $i = 2$  and  $n = 1$ ; otherwise,  $i \leq n$  and  $G_{n-i} \cong \{x, a_{i+1}, \dots, a_{n+2}, b_{i+1}, \dots, b_{n+3}, d\}$ . Therefore,  $x \parallel d$ . If  $x \not\leq b_1$ , then

$$H_{n-i+1} \cong \{x, a_{i+1}, \dots, a_{n+2}, b_1, b_{i+1}, \dots, b_{n+3}, c, d\}.$$

Therefore,  $x > b_1$  and one of the following two cases must occur:

- (a) there is  $j$  ( $1 \leq j \leq i - 1$ ) such that  $x > a_j$ ,  $x > b_j$  and  $x \not\leq b_{j+1}$ ; or
- (b) there is  $k$  ( $1 \leq k \leq i - 1$ ) such that  $x > b_k$ ,  $x \not\leq b_{k+1}$  and  $x \not\leq a_k$ .

If (a) holds, then

$$H_{n-i+j+1} \cong \{a_1, \dots, a_j, x, a_{i+1}, \dots, a_{n+2}, b_1, \dots, b_{j+1}, b_{i+1}, \dots, b_{n+3}, c, d\}.$$

By the minimality of  $n$ ,  $j = i - 1$  and this is the original  $H_n$  with  $a_i$  replaced by  $x$ . If case (b) occurs, then  $D \cong \{b_{i-1}, x, a_{i-1}, b_i, a_i, b_{i+1}\}$  when  $k = i - 1$ , and  $G_{i-k-2} \cong \{b_k, \dots, b_i, a_k, \dots, a_i, x\}$  when  $k \leq i - 2$ .

(iv)  $b_i \notin P$  ( $2 \leq i \leq n + 2$ ). By duality, we can assume that  $i \leq \frac{1}{2}n + 2$ . Let  $b_i = x \vee x_2$  with  $x \not\leq a_i$ . If  $x < c$  and  $x < d$ , then  $CX_3^d \cong \{a_2, b_3, c,$



$a_1, b_1, d, x$  when  $n = 0$  and  $i = 2$ , and  $D^d \cong \{b_{n+3}, a_2, c, d, b_1, x\}$  when  $n \geq 1$ . If  $x < c$  and  $x \parallel d$ , then for  $n = 0$ ,  $CX_1^d \cong \{a_2, b_3, c, a_1, x, d, b_1\}$  when  $x > b_1$ , and  $CX_2^d \cong \{a_2, b_3, c, a_1, b_1, x, d\}$  when  $x \parallel b_1$ ; for  $n \geq 1$ ,  $i \leq n + 1$  and  $H_{n-i+1} \cong \{a_i, \dots, a_{n+2}, x, b_{i+1}, \dots, b_{n+3}, c, d\}$ . Therefore,  $x \parallel c$ . If  $x \not> a_1$  and  $x \not> b_1$ , then  $CX_1 \cong \{a_1, b_1, x, a_2, b_2, c, b_3\}$  when  $i = 2$ , and  $H_{i-3} \cong \{a_1, \dots, a_{i-1}, b_1, \dots, b_i, c, x\}$  when  $i \geq 3$ . Therefore,  $x > a_1$  or  $x > b_1$ ; consequently,  $x \parallel d$  and one of the following two cases must occur:

(a) there is  $j$  ( $1 \leq j \leq i - 1$ ) such that  $x > a_{j-1}$  (if  $j > 1$ ),  $x \not> a_j$  and  $x > b_j$ ; or

(b) there is  $k$  ( $1 \leq k \leq i - 2$ ) such that  $x > a_k$ ,  $x \not> a_{k+1}$  and  $x \not> b_{k+1}$ .

If (a) holds, then

$$H_{n-i+j+1} \cong \{a_1, \dots, a_j, a_i, \dots, a_{n+2}, b_1, \dots, b_j, x, b_{i+1}, \dots, b_{n+3}, c, d\}.$$

This must be the original  $H_n$  with  $b_i$  replaced by  $x$ . If case (b) occurs, then  $D \cong \{a_{i-2}, a_{i-1}, b_{i-1}, x, a_i, b_i\}$  when  $k = i - 2$ , and  $G_{i-k-3} \cong \{a_k, \dots, a_{i-1}, b_{k+1}, \dots, b_i, x\}$  when  $k \leq i - 3$ . With this contradiction, the proof of the final case is complete.

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