

## NOTES ON BOUNDEDNESS OF SPECTRAL MULTIPLIERS ON HARDY SPACES ASSOCIATED TO OPERATORS

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**Abstract.** Let  $L$  be a nonnegative self-adjoint operator on  $L^2(X)$ , where  $X$  is a space of homogeneous type. Assume that  $L$  generates an analytic semigroup  $e^{-tL}$  whose kernel satisfies the standard Gaussian upper bounds. We prove that the spectral multiplier  $F(L)$  is bounded on  $H_L^p(X)$  for  $0 < p \leq 1$ , the Hardy space associated to operator  $L$ , when  $F$  is a suitable function.

### §1. Introduction

Let  $(X, d, \mu)$  be a metric measure space endowed with a distance  $d$  and a nonnegative Borel doubling measure  $\mu$  on  $X$ . Recall that the measure  $\mu$  satisfies doubling condition if there exists a constant  $C > 0$  such that, for all  $x \in X$  and for all  $r > 0$ ,

$$(1) \quad V(x, 2r) \leq CV(x, r) < \infty,$$

where  $B(x, r) = \{y \in X : d(x, y) < r\}$  and  $V(x, r) = \mu(B(x, r))$ . In particular,  $X$  is a space of homogeneous type. (A more general definition and further studies of these spaces can be found in [CW, chapitre 3].) Note that the doubling property implies the following strong homogeneity property:

$$(2) \quad V(x, \lambda r) \leq c\lambda^n V(x, r)$$

for some  $c, n > 0$  uniformly for all  $\lambda \geq 1$  and  $x \in X$ . There also exist  $c$  and  $N, 0 \leq N \leq n$ , such that

$$(3) \quad V(y, r) \leq c \left(1 + \frac{d(x, y)}{r}\right)^N V(x, r)$$

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uniformly for all  $x, y \in X$  and  $r > 0$ . Indeed, property (3) with  $N = n$  is a direct consequence of the triangle inequality of the metric  $d$  and the strong homogeneity property. To simplify notation, we will often use  $B$  for  $B(x_B, r_B)$ . Also, given that  $\lambda > 0$ , we will write  $\lambda B$  for the  $\lambda$ -dilated ball, which is the ball with the same center as  $B$  and with radius  $r_{\lambda B} = \lambda r_B$ . For each ball  $B \subset X$ , we set

$$S_0(B) = B \quad \text{and} \quad S_j(B) = 2^j B \setminus 2^{j-1} B \quad \text{for } j \in \mathbb{N}.$$

In this paper, we assume that  $L$  is a nonnegative self-adjoint operator on  $L^2(X)$  that satisfies the following assumptions.

The operator  $L$  generates an analytic semigroup  $\{e^{-tL}\}_{t>0}$  whose kernels  $p_t(x, y)$  satisfy the Gaussian upper bound; that is, there exist constants  $C, c > 0$  such that, for almost every  $x, y \in X$ ,

$$(G) \quad |p_t(x, y)| \leq \frac{C}{V(x, \sqrt{t})} \exp\left(-\frac{d^2(x, y)}{ct}\right), \quad \forall t > 0.$$

The Gaussian upper bound considered in [DOS] is more general; that is, there exist constants  $C, c > 0$  such that, for almost every  $x, y \in X$ , we have

$$(4) \quad |p_t(x, y)| \leq \frac{C}{V(x, t^{1/m})} \exp\left(-\frac{d(x, y)^{m/(m-1)}}{ct^{1/(m-1)}}\right), \quad \forall t > 0.$$

However, in the case where  $m \neq 2$ , the results concerning the Hardy spaces in [HLMMY] may not hold. Consequently, in this paper we restrict ourselves to considering the case of  $m = 2$ .

By the spectral theorem, for any bounded Borel function  $F : [0, \infty) \rightarrow \mathbb{C}$ , one can define the operator

$$(5) \quad F(L) = \int_0^\infty F(\lambda) dE(\lambda)$$

which is bounded on  $L^2(X)$ .

The  $L^p$ -boundedness of spectral multipliers is a well-known problem which has been studied extensively for elliptic operators in [Ho], for sub-Laplacian on nilpotent groups in [C] and [D], for sub-Laplacian on Lie groups of polynomial growth in [A1], for Schrödinger operator on Euclidean space  $\mathbb{R}^n$  in [He], and for sub-Laplacian on Heisenberg groups in [MSt], among other examples. (For further background information on this topic, we refer the

reader to [A1], [A2], [B], [C], [DeM], [DOS], and [FS] and the references therein.)

Recently, in [DOS], Duong, Ouhabaz, and Sikora investigated the spectral multiplier theorem in a general setting of abstract operators, which we sketch out briefly here. Let  $L$  be a nonnegative self-adjoint operator, and let  $L$  generate an analytic semigroup  $e^{-tL}$  whose kernel satisfies the standard Gaussian upper bounds (equation (4)). It was proved that if, for  $q \in [2, \infty]$ ,  $s > (n/2)$ , and for some  $\eta \in C_c^\infty(\mathbb{R}_+)$ ,

$$(6) \quad \sup_{t>0} \|\eta\delta_t F\|_{W_s^q} < \infty,$$

where  $\delta_t F(\lambda) = F(t\lambda)$  and  $\|F\|_{W_s^q} = \|(I - d^2/dx^2)^{s/2} F\|_{L^q}$ , then  $F(L)$  is of weak type  $(1, 1)$ , and hence, by interpolation,  $F(L)$  is bounded on  $L^p(X)$ ,  $1 < p < \infty$ .

Working in the same setting as [DOS], this paper is dedicated to studying the boundedness of  $F(L)$  when  $0 < p \leq 1$ . We show that  $F(L)$  is bounded on  $H_L^p(X)$  for  $0 < p \leq 1$ , the Hardy space associated to the operator  $L$ . Note that the case when  $p = 1$  was investigated in [DP] with stronger assumptions imposed on  $F$  and  $s$ . More precisely, it was proved in [DP] that if the nonnegative self-adjoint  $L$  satisfies (G), then  $F(L)$  is bounded on  $H_L^1(X)$  if (6) holds for  $q = \infty$  and  $s > n/2$ , or (6) holds for  $q = 2$  and  $s > n/2 + 1/2$ .

The remainder of this article is organized into two sections. In Section 2, we review the definitions and basic properties of Hardy spaces associated to operators in [HLMMY] and [DL]. The main results, Theorem 3.1 and Theorem 3.2, are addressed in Section 3.

**§2. Hardy spaces associated to operators**

The theory of Hardy spaces associated to nonnegative self-adjoint operators satisfying Davies-Gaffney estimates was developed recently by Hofmann, Lu, Mitrea, Mitrea, and Yan [HLMMY]. Here, we use the definitions and characterizations of Hardy spaces  $H_L^p(X)$  from both [HLMMY] and [DL].

**2.1. The atomic Hardy spaces  $H_L^p(X)$  for  $p \leq 1$**

Let us describe the notion of a  $(p, 2, M)$ -atom,  $0 < p \leq 1$ , associated to operators on spaces  $(X, d, \mu)$ . In what follows, assume that

$$(7) \quad M \in \mathbb{N} \quad \text{and} \quad M > \frac{n(2-p)}{4p},$$

where the parameter  $n$  is the constant in (2). Let us denote by  $\mathcal{D}(T)$  the domain of an operator  $T$ .

DEFINITION 2.1.1. A function  $a(x) \in L^2(X)$  is called a  $(p, 2, M)$ -atom associated to an operator  $L$  if there exist a function  $b \in \mathcal{D}(L^M)$  and a ball  $B$  of  $X$  such that

- (i)  $a = L^M b$ ;
- (ii)  $\text{supp } L^k b \subset B, k = 0, 1, \dots, M$ ;
- (iii)  $\|(r_B^2 L)^k b\|_{L^2(X)} \leq r_B^{2M} V(B)^{1/2-1/p}, k = 0, 1, \dots, M$ .

In the case  $\mu(X) < \infty$ , the constant function having value  $[\mu(X)]^{-1/p}$  is also considered to be an atom.

DEFINITION 2.1.2. Given  $0 < p \leq 1$  and  $M > n(2 - p)/4p$ , the atomic Hardy space  $H^p_{L,at,M}(X)$  is defined as follows. We say that  $f = \sum \lambda_j a_j$  is an atomic  $(p, 2, M)$ -representation if  $\{\lambda_j\}^\infty_{j=0} \in l^p$ , each  $a_j$  is a  $(p, 2, M)$ -atom, and the sum converges in  $L^2(X)$ . Set

$$\mathbb{H}^p_{L,at,M}(X) = \{f : f \text{ has an atomic } (p, 2, M)\text{-representation}\},$$

with the norm given by

$$\|f\|_{\mathbb{H}^p_{L,at,M}(X)} = \inf \left\{ \left( \sum |\lambda_j|^p \right)^{1/p} : f = \sum \lambda_j a_j \text{ is an atomic } (p, 2, M)\text{-representation} \right\}.$$

The space  $H^p_{L,at,M}(X)$  is then defined as the completion of  $\mathbb{H}^p_{L,at,M}(X)$  with respect to the quasi-metric  $d$  defined by  $d(h, g) = \|h - g\|_{\mathbb{H}^p_{L,at,M}(X)}$  for all  $h, g \in \mathbb{H}^p_{L,at,M}(X)$ .

In this case, the mapping  $h \rightarrow \|h\|_{H^p_{L,at,M}(X)}, 0 < p < 1$  is not a norm, and  $d(h, g) = \|h - g\|_{H^p_{L,at,M}(X)}$  is a quasi-metric. For  $p = 1$ , the mapping  $h \rightarrow \|h\|_{H^1_{L,at,M}(X)}$  is a norm and  $H^1_{L,at,M}(X)$  is complete. In particular,  $H^1_{L,at,M}(X)$  is a Banach space and  $H^1_{L,at,M}(X) \hookrightarrow L^1$ . A basic result concerning these spaces is the following proposition.

PROPOSITION 2.1.3. *If a nonnegative self-adjoint operator  $L$  satisfies (G), then for every  $0 < p \leq 1$  and for all integers  $M \in \mathbb{N}$  with  $M > (n(2 - p)/4p)$ , the spaces  $H^p_{L,at,M}(X)$  coincide and their norms are equivalent.*

For the proof, we refer to [HLMMY, Theorem 5.1] for  $p = 1$  and to [DL, Section 3] for  $p < 1$ .

We next describe the notion of a  $(p, 2, M, \epsilon)$ -molecule associated to an operator  $L$ .

**DEFINITION 2.1.4.** Let  $0 < p \leq 1$ , let  $0 < \epsilon$ , and let  $M \in \mathbb{N}$ . A function  $\alpha \in L^2(X)$  is called a  $(p, 2, M, \epsilon)$ -molecule associated to  $L$  if there exist a function  $b \in D(L^M)$  and a ball  $B$  such that

- (i)  $\alpha = L^M b$ ;
- (ii) for every  $k = 0, 1, \dots, M$  and  $j = 0, 1, \dots$ , there holds

$$\|(r_B^2 L)^k b\|_{L^2(S_j(B))} \leq r_B^{2M} 2^{-j\epsilon} V(2^j B)^{1/2-1/p}.$$

**PROPOSITION 2.1.5.** Suppose that  $0 < p \leq 1$  and that  $M > (n(2 - p)/4p)$ . If  $\alpha$  is a  $(p, 2, M, \epsilon)$ -molecule or an  $(p, 2, M)$ -atom associated to  $L$ , then  $\alpha \in H_L^p(X)$ . Moreover,  $\|\alpha\|_{H_L^p(X)}$  is independent of  $M$ .

For the proof, we refer the reader to [HLMMY] for  $p = 1$  and to [DL] for  $p < 1$ .

**2.2. A characterization of  $H_{L,at,M}^p(X)$  in terms of square functions**

Define

$$S_h f(x) = \left( \int_0^\infty \int_{d(x,y)<t} |t^2 L e^{-t^2 L} f(y)|^2 \frac{d\mu(y)}{V(x,t)} \frac{dt}{t} \right)^{1/2}, \quad x \in X.$$

The space  $H_{L,S_h}^p(X)$  is defined as the completion of

$$\{f \in L^2(X) : \|S_h f\|_{L^p(X)} < \infty\}$$

under the norm given by the  $L^p$ -norm of the square function; that is,

$$\|f\|_{H_{L,S_h}^p(X)} = \|S_h f\|_{L^p(X)}, \quad 0 < p \leq 1.$$

Then the *square function* and *atomic  $H^p$ -spaces* are equivalent, if the parameter  $M > n(2 - p)/4p$ . In fact, we have the following result.

**PROPOSITION 2.2.1.** Suppose that  $0 < p \leq 1$  and that  $M > n(2 - p)/4p$ . Then we have  $H_{L,at,M}^p = H_{L,S_h}^p(X)$ , and their norms are equivalent.

*Proof.* For the proof, see [DL, Theorem 3.12]. □

Consequently, as in Definition 2.2.2, one may write  $H_{L,at}^p$  in place of  $H_{L,at,M}^p$  when  $M > n(2 - p)/4p$ . Precisely, we have the following definition.

DEFINITION 2.2.2. The Hardy space  $H_L^p(X), p \geq 1$ , is the space

$$H_L^p(X) := H_{L,S_h}^p(X) := H_{L,at}^p(X) := H_{L,at,M}^p(X), \quad M > \frac{n(2 - p)}{4p}.$$

We end this section with the following result, which plays an important role in the remainder of this article.

PROPOSITION 2.2.3. Let  $T$  be a bounded linear operator on  $L^2(X)$ . If there exists  $C_0 > 0$  such that for any  $(p, 2, M)$ -atom  $a$ ,  $0 < p \leq 1$ , one has

$$\|Ta\|_{H_L^p(X)} \leq C_0,$$

then  $T$  can be extended to a bounded operator on  $H_L^p(X)$ ; moreover, there exists  $\kappa > 0$  so that  $\|T\|_{H_L^p(X) \rightarrow H_L^p(X)} \leq \kappa C_0$ .

The proof is similar to one in [HM, Lemma 4.1], so we omit details here.

**§3. Spectral multiplier theorem on  $H_L^p(X), 0 < p \leq 1$**

Let  $T$  be a bounded linear operator on  $L^2(X)$ . Let the associated kernel to the operator  $T$  be denoted by  $K_T(x, y)$ . By the kernel  $K_T(x, y)$ , we mean

$$Tf(x) = \int_X K_T(x, y)f(y) d\mu(y),$$

where  $K_T(x, y)$  is a measurable function and the formula above holds for each continuous function  $f$  with compact support and for almost all  $x$  not in the support of  $f$ .

Our main results are the following two theorems.

THEOREM 3.1. Let  $L$  be a nonnegative self-adjoint operator satisfying (G). Suppose that  $s > n(2 - p)/2p$ , and suppose that, for any  $R > 0$  and for all Borel functions  $F$  such that  $\text{supp } F \subset [0, R]$ ,

$$(8) \quad \int_X |K_{F(\sqrt{L})}(x, y)|^2 d\mu(x) \leq \frac{C}{V(y, R^{-1})} \|\delta_R F\|_{L^q}^2$$

for some  $q \in [2, \infty]$ . Then for any Borel function  $F$  such that  $\sup_{t>0} \|\eta \delta_t F\|_{W_s^q} < \infty$ , the operator  $F(L)$  is bounded on  $H_L^p(X)$  for all  $0 < p \leq 1$ .

Note that (8) always holds for  $q = \infty$  (see [DOS]). If (8) holds for some  $q < \infty$ , then the pointwise spectrum of  $L$  is empty. Indeed, for all  $p < \infty$  and all  $y \in X$ , we have

$$0 = C \|\delta_R \chi_{\{a\}}\|_{L^q} \leq V(y, 1/R)^{1/2} \|K_{\chi_{\{a\}}(\sqrt{L})}(\cdot, y)\|_{L^2},$$

so  $\chi_{\{a\}}(\sqrt{L}) = 0$ . Hence, for elliptic operators on compact manifolds, (8) cannot be true for any  $q < \infty$ . To be able to study these operators as well, we introduce some variation of condition (8). Following [CS] and [DOS] for a Borel function  $F$  such that  $\text{supp } F \subset [-1, 2]$ , we define the norm  $\|F\|_{N,q}$  by the formula

$$\|F\|_{N,q} = \left( \frac{1}{3N} \sum_{l=1-N}^{2N} \sup_{\lambda \in [\frac{l-1}{N}, \frac{l}{N})} |F(\lambda)|^q \right)^{1/q},$$

where  $q \in [1, \infty)$  and  $N \in \mathbb{Z}_+$ . For  $q = \infty$ , we put  $\|F\|_{N,q} = \|F\|_{L^\infty}$ . It is obvious that  $\|F\|_{N,q}$  increases monotonically in  $q$ . The next theorem is a variation of Theorem 3.1. This variation can be used in case of operators with nonempty pointwise spectrum (see [CS, Theorem 3.6]).

**THEOREM 3.2.** *Assume that  $\mu(X) < \infty$ . Let  $L$  be a nonnegative self-adjoint operator satisfying (G). Suppose that  $s > n/2$  and for any  $N \in \mathbb{Z}_+$  and all Borel functions  $F$  such that  $\text{supp } F \subset [-1, N + 1]$ ,*

$$(9) \quad \int_X |K_{F(\sqrt{L})}(x, y)|^2 d\mu(x) \leq \frac{C}{V(y, 1/N)} \|\delta_N F\|_{N,q}^2$$

for some  $q \in [2, \infty]$ . Then for any Borel function  $F$  such that  $\sup_{t>0} \|\eta \delta_t F\|_{W^s} < \infty$ , the operator  $F(L)$  is bounded on  $H_L^1(X)$ .

(For further discussion on conditions (8) and (9), we refer the reader to [DOS, pp. 467–480]).

**REMARK 3.3.** In Theorem 3.1, we can extend  $F(L)$  to a bounded operator on  $H_L^p(X)$  for all  $0 < p \leq 1$ , whereas Theorem 3.2 only establishes the boundedness of  $F(L)$  on  $H_L^1(X)$ . This is a reason why in Theorem 3.2 we require  $s > n/2$  instead of  $s > n(2 - p)/2p$  as in Theorem 3.1.

In both Theorems 3.1 and 3.2, the kernel  $K_{F(\sqrt{L})}(x, y)$  of  $F(\sqrt{L})$  always exists. Indeed, in virtue of the Fourier inversion formula

$$G(L/R^2)e^{-L/R^2} = \frac{1}{2\pi} \int_{\mathbb{R}} \exp((i\tau - 1)R^{-2}L) \widehat{G}(\tau) d\tau,$$

and so

$$K_{F(\sqrt{L})}(x, y) = \frac{1}{2\pi} \int_{\mathbb{R}} \widehat{G}(\tau) p_{(i\tau-1)R^{-2}}(x, y) d\tau$$

where  $G(\lambda) = [\delta_R F](\sqrt{L})e^\lambda$ . (For details, we refer the reader to [DOS, p. 454].)

As a preamble to the proof of Theorems 3.1 and 3.2, we record a useful auxiliary result, which is taken from [DOS, Lemma 4.3].

LEMMA 3.4. *Let  $L$  be a nonnegative self-adjoint operator satisfying (G).*

- (a) *If  $L$  satisfies (8) for some  $q \in [2, \infty]$ ,  $R > 0$  and  $s > 0$ , then for any  $\epsilon > 0$ , there exists a constant  $C = C(s, \epsilon)$  such that*

$$(10) \quad \int_X |K_{F(\sqrt{L})}(x, y)|^2 (1 + Rd(x, y))^s d\mu(x) \leq \frac{C}{V(y, R^{-1})} \|\delta_R F\|_{W^q_{\frac{s}{2} + \epsilon}}^2$$

*for all Borel functions  $F$  such that  $\text{supp } F \subseteq [R/4, R]$ .*

- (b) *If  $L$  satisfies (9) for some  $q \in [2, \infty]$  and if  $N > 8$  is a natural number, then for any  $s > 0$ ,  $\epsilon > 0$ , and function  $\xi \in C_c^\infty([-1, 1])$ , there exists a constant  $C = C(s, \epsilon, \xi)$  such that*

$$(11) \quad \int_X |K_{F*\xi(\sqrt{L})}(x, y)|^2 (1 + Nd(x, y))^s d\mu(x) \leq \frac{C}{V(y, R^{-1})} \|\delta_N F\|_{W^q_{\frac{s}{2} + \epsilon}}^2$$

*for all Borel functions  $F$  such that  $\text{supp } F \subseteq [N/4, N]$ .*

*Proof of Theorem 3.1.* Since condition  $\sup_{t>0} \|\eta\delta_t F\|_{W^q_s} < \infty$  is invariant under the change of variable  $\lambda \mapsto \sqrt{\lambda}$  and independent on the choice of  $\eta$ , the  $H^p_L(X)$ -boundedness of  $F(L)$  and  $F(\sqrt{L})$  is equivalent. Hence, instead of proving the  $H^p_L(X)$ -boundedness of  $F(L)$ , we will show that  $F(\sqrt{L})$  is bounded on  $H^p_L(X)$ . Due to Proposition 2.2.3, it suffices to show that there exists  $\epsilon > 0$  such that, for any  $(p, 2, 2M)$ -atom  $a = L^{2M}b$  in  $H^p_L$ , the function

$$\tilde{a} = F(\sqrt{L})a$$

is a multiple of a  $(p, 2, M, \epsilon)$ -molecule for  $M > n(2 - p)/4p$ . □

By standard argument, fix a function  $\phi \in C_c^\infty(1/4, 1)$  such that

$$\sum_{j \in \mathbb{Z}} \phi(2^{-j}\lambda) = 1 \quad \text{for } \lambda > 0.$$



Set  $j_0 = -\log_2 r_B$ . Then, for  $0 \leq k \leq M$ , one has

$$\begin{aligned}
 (r_B^2 L)^k \tilde{b} &= r_B^{2k} \sum_{j \geq j_0} \phi(2^{-j} \sqrt{L}) F(\sqrt{L}) L^{k+M} b \\
 (12) \quad &+ r_B^{2k} \sum_{j < j_0} \phi(2^{-j} \sqrt{L}) L^M F(\sqrt{L}) L^k b \\
 &= r_B^{2k} \sum_{j \geq j_0} \phi(2^{-j} \sqrt{L}) F(\sqrt{L}) b_1 + r_B^{2k} \sum_{j < j_0} \phi(2^{-j} \sqrt{L}) L^M F(\sqrt{L}) b_2,
 \end{aligned}$$

where  $\tilde{b} = L^M b$ .

It is easy to see that

$$\|b_1\|_{L^2} \leq r_B^{2M-2k} V(B)^{\frac{1}{2}-\frac{1}{p}} \quad \text{and} \quad \|b_2\|_{L^2} \leq r_B^{4M-2k} V(B)^{\frac{1}{2}-\frac{1}{p}}.$$

Setting

$$F_j(\lambda) = \begin{cases} F(\lambda) \phi(2^{-j} \lambda), & j \geq j_0 \\ F(\lambda) (2^{-j} \lambda)^{2M} \phi(2^{-j} \lambda), & j < j_0, \end{cases}$$

then we can rewrite (12) as follows

$$(13) \quad (r_B^2 L)^k \tilde{b} = r_B^{2k} \sum_{j \geq j_0} F_j(\sqrt{L}) b_1 + r_B^{2k} 2^{2jM} \sum_{j < j_0} F_j(\sqrt{L}) b_2.$$

Since (13) converges in  $L^2(X)$ , we have, for any  $k \geq 0$ ,

$$\begin{aligned}
 \|(r_B^2 L)^k \tilde{b}\|_{L^2(S_k(B))} &\leq r_B^{2k} \sum_{j \geq j_0} \|F_j(\sqrt{L}) b_1\|_{L^2(S_k(B))} \\
 &+ r_B^{2k} 2^{2jM} \sum_{j < j_0} \|F_j(\sqrt{L}) b_2\|_{L^2(S_k(B))}.
 \end{aligned}$$

First, let us estimate  $\|F_j(\sqrt{L}) b_1\|_{L^2(S_k(B))}$  for  $j \geq j_0$ . Since  $\text{supp } F_j \subset [R/4, R]$  with  $R = 2^j$ , by applying Lemma 3.4 and the Minkowski inequality, we have, for  $s > s' > n(2-p)/2p \geq n/2$  and  $k \geq 2$ ,

$$\begin{aligned}
 &\|F_j(\sqrt{L}) b_1\|_{L^2(S_k(B))} \\
 &\leq \left\| \int_B K_{F_j(\sqrt{L})}(x, y) b_1(y) d\mu(y) \right\|_{L^2(S_k(B))} \\
 &\leq \|b_1\|_{L^1} \sup_{y \in B} \left( \int_{S_k(B)} |K_{F_j(\sqrt{L})}(x, y)|^2 d\mu(x) \right)^{1/2}
 \end{aligned}$$

$$\begin{aligned}
 &\leq \|b_1\|_{L^2} V(B)^{\frac{1}{2}} \sup_{y \in B} \left( \int_{S_k(B)} |K_{F_j(\sqrt{L})}(x, y)|^2 d\mu(x) \right)^{1/2} \\
 &\leq r_B^{2M-2k} V(B)^{1-\frac{1}{p}} (2^{-(j+k)s'} r_B^{s'}) \\
 (14) \quad &\times \sup_{y \in B} \left( \int_{S_k(B)} |K_{F_j(\sqrt{L})}(x, y)|^2 (1 + 2^j d(x, y))^{2s'} d\mu(x) \right)^{1/2} \\
 &\leq C r_B^{2M-2k} V(B)^{1-\frac{1}{p}} (2^{-(j+k)s'} r_B^{s'}) \sup_{y \in B} \frac{1}{\sqrt{V(y, 2^{-j})}} \|\delta_{2^j} F_j\|_{W_s^q} \\
 &\leq C r_B^{2M-2k} V(B)^{1-\frac{1}{p}} (2^{-(j+k)s'} r_B^{s'}) \sup_{y \in B} \frac{1}{\sqrt{V(y, 2^{-j})}}.
 \end{aligned}$$

For  $j \geq j_0 = -\log_2 r_B$ , we have, by (3),

$$\sup_{y \in B} \frac{1}{V(y, 2^{-j})} = \sup_{y \in B} \frac{1}{V(y, r_B 2^{j_0-j})} \leq C \sup_{y \in B} \frac{(2^j r_B)^n}{V(y, r_B)} \leq C \frac{(2^j r_B)^n}{V(B)}.$$

This together with (14) yields

$$\begin{aligned}
 \|F_j(\sqrt{L})b_1\|_{L^2(S_k(B))} &\leq C r_B^{2M-2k} V(B)^{1-\frac{1}{p}} 2^{-(j+k)s'} 2^{-s'j_0} \frac{(2^j r_B)^{\frac{n}{2}}}{V(B)^{\frac{1}{2}}} \\
 &\leq C r_B^{2M-2k} V(2^k B)^{\frac{1}{2}-\frac{1}{p}} 2^{-k(s'-\frac{n(2-p)}{2p})} 2^{(j-j_0)(\frac{n}{2})-s'}.
 \end{aligned}$$

For  $k = 0, 1$ , it is not difficult to see that

$$\|F_j(\sqrt{L})b_1\|_{L^2(S_k(B))} \leq \|b_1\|_{L^2(S_k(B))} \leq C r_B^{2M-2k} 2^{-k\epsilon} V(2^k B)^{\frac{1}{2}-\frac{1}{p}},$$

with  $\epsilon = s' - n(2-p)/2p$ .

Therefore,

$$r_B^{2k} \sum_{j \geq j_0} \|F_j(\sqrt{L})b_1\|_{L^2(S_k(B))} \leq C 2^{-k\epsilon} r_B^{2M} V(2^k B)^{\frac{1}{2}-\frac{1}{p}}.$$

Note that for  $j \leq j_0$ ,

$$\sup_{y \in B} \frac{1}{V(y, 2^{-j})} = \sup_{y \in B} \leq C \frac{1}{V(y, r_B 2^{j_0-j})} \leq \sup_{y \in B} C \frac{1}{V(y, r_B)} = \frac{C}{V(B)}.$$

At this stage, repeating the argument above, we also obtain

$$r_B^{2k} 2^{2jM} \sum_{j < j_0} \|F_j(\sqrt{L})b_2\|_{L^2(S_k(B))} \leq C 2^{-k\epsilon} r_B^{2M} V(2^k B)^{\frac{1}{2}-\frac{1}{p}}.$$

Hence,  $\tilde{a} = F(\sqrt{L})a$  is a multiple of a  $(p, 2, M, \epsilon)$ -molecule. The proof is complete.  $\square$

*Proof of Theorem 3.2.* First, we claim that if  $F$  supported in  $[-1, N + 1]$  satisfies (9), then

$$(15) \quad \|F(\sqrt{L})\|_{H_L^1 \rightarrow H_L^1}^2 \leq CN^n \|\delta_N F\|_{N,q}.$$

Since  $\mu(X) < \infty$ ,  $X$  is bounded. Therefore, there exists  $r_0 > 1$  such that  $X \subset B(z, r_0)$  for all  $z \in X$ .

Let  $a = L^M b$  be a  $(1, 2, M)$ -atom associated to some ball  $B$ . We will show that  $F(\sqrt{L})a = L^M F(\sqrt{L})b$  is a multiple of  $(1, 2, M)$ -atom associated to the ball  $B(z, \gamma)$  for all  $z \in X$  and  $\gamma = \max\{r_B, r_0\}$ . Indeed, by Minkowski inequality, we have, for all  $0 \leq k \leq M$ ,

$$\begin{aligned} \|L^k F(\sqrt{L})b\|_{L^2(B(z,\gamma))}^2 &= \|F(\sqrt{L})(L^k b)\|_{L^2(B(z,\gamma))}^2 \\ &= \left\| \int_X K_{F(\sqrt{L})}(x, y)(L^k b)(y) d\mu(y) \right\|_{L^2(X)}^2 \\ &\leq \left( \int_X \|K_{F(\sqrt{L})}(\cdot, y)\|_{L^2} |L^k b)(y)| d\mu(y) \right)^2. \end{aligned}$$

Since  $a$  is a  $(1, 2, M)$ -atom,

$$\int_X |(L^k b)(y)| d\mu(y) \leq V(B)^{-1/2} \|L^k b\|_{L^2(B)} \leq r_B^{2M-2k}.$$

So, we get

$$\begin{aligned} \|L^k F(\sqrt{L})b\|_{L^2(B(z,\gamma))}^2 &\leq C \frac{r_B^{4M-4k}}{V(y, 1/N)} \|\delta_N F\|_{N,q}^2 \\ &\leq C \frac{(r_0 N)^n}{V(y, r_0)} r_B^{4M-4k} \|\delta_N F\|_{N,q}^2 \\ &\leq \frac{C}{V(z, \gamma)} \gamma^{4M-4k} N^n \|\delta_N F\|_{N,q}^2. \end{aligned}$$

Hence,  $F(\sqrt{L})a$  is a multiple of  $(1, 2, M)$ -atom associated to the ball  $B(z, \gamma)$  for any  $z \in X$  with a constant  $N^{n/2} \|\delta_N F\|_{N,q}$ . Therefore, due to Proposition 2.1.5, one has  $\|F(\sqrt{L})a\|_{H_L^1}^2 \leq CN^n \|\delta_N F\|_{N,q}^2$ . So, Proposition 2.2.3 tells us that

$$\|F(\sqrt{L})\|_{H_L^1 \rightarrow H_L^1}^2 \leq CN^n \|\delta_N F\|_{N,q}^2.$$

Therefore, in order to prove Theorem 3.2, we can assume that  $\text{supp } F \subset [1, \infty]$ . Let  $\phi$  be the function as in the proof of Theorem 3.1. We set  $F^k(\lambda) = \phi(2^{-k}\lambda)F(\lambda)$ , and

$$\tilde{F} = \sum_{k=1}^{\infty} F^k * \xi,$$

where  $\xi$  is a function defined in (b) of Lemma 3.4.

By repeating the proof of Theorem 3.1 and using (9) in place of (8), we can prove that the  $\tilde{F}(\sqrt{L})$  is bounded on  $H^1_L(X)$ . Hence, it suffices to show that  $F(\sqrt{L}) - \tilde{F}(\sqrt{L})$  is bounded on  $H^1_L(X)$ . To do this, we write

$$F - \tilde{F} = \sum_k H_k, \quad \text{where } H_k = F^k - F^k * \xi.$$

Since  $\text{supp } H_k \subset [-1, 2^k + 1]$ , due to (15), we have

$$\|H_k(\sqrt{L})\|_{H^1_L \rightarrow H^1_L} \leq C2^{kn} \|\delta_{2^k} H_k\|_{2^k, q}.$$

Therefore, to complete our proof, we need only to show that  $\sum_k 2^{kn} \|\delta_{2^k} H_k\|_{2^k, q}$ . To do this, we make the following claim (see [DOS, Proposition 4.6]).

**PROPOSITION 3.5.** *Suppose that  $\xi \in C_c^\infty$  is a function such that  $\text{supp } \xi \subset [-1, 1], \xi \geq 0, \hat{\xi}(0) = 1$  and  $\hat{\xi}^{(k)}(0) = 0$  for all  $1 \leq k \leq [s] + 2$ . If  $\text{supp } G \subset [0, 1]$ , then*

$$\|G - G * \xi_N\|_{N, q} \leq CN^{-s} \|G\|_{W_s^q}$$

for all  $s > 1/q$ .

In virtue of Proposition 3.5, we have

$$\begin{aligned} \sum_k 2^{kn} \|\delta_{2^k} H_k\|_{2^k, q} &= \sum_k 2^{kn} \|\delta_{2^k} [F^k] - \xi_{2^k} * \delta_{2^k} [F^k]\|_{2^k, q} \\ &\leq C \sum_k 2^{nk} 2^{-2ks} \|\delta_{2^k} [F^k]\|_{W_s^q}^2 \\ &\leq C \sup_{k>0} \|\delta_{2^k} [F^k]\|_{W_s^q}^2, \end{aligned}$$

where  $\xi_{2^k}$  denotes the function  $\xi(2^{-k}\cdot)$ .

This completes our proof. □

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