

GENERALIZED D. H. LEHMER PROBLEM OVER SHORT INTERVALS

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Abstract. Let $n \geq 2$ be a fixed positive integer, $q \geq 3$ and c, ℓ be integers with $(nc, q) = 1$ and $\ell | n$. Suppose \mathcal{A} and \mathcal{B} consist of consecutive integers which are coprime to q . We define the cardinality of a set:

$$N(\mathcal{A}, \mathcal{B}, c, n, \ell; q) = \#\{(a, b) \in \mathcal{A} \times \mathcal{B} | ab \equiv c \pmod{q}, (a + b, n) = \ell\}.$$

The main purpose of this paper is to use the estimates of Gauss sums and Kloosterman sums to study the asymptotic properties of $N(\mathcal{A}, \mathcal{B}, c, n, \ell; q)$, and to give an interesting asymptotic formula for it.

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1. Introduction. Let $q \geq 3$ be an integer. For each integer a with $1 \leq a < q$, $(a, q) = 1$, there is a unique integer b with $1 \leq b < q$ such that $ab \equiv 1 \pmod{q}$. Let $N(q)$ denote the number of solutions of the congruence equation $ab \equiv 1 \pmod{q}$ with $1 \leq a, b < q$, $2 \nmid a + b$. That is

$$N(q) = \#\{(a, b) \in [1, q] \times [1, q] | ab \equiv 1 \pmod{q}, 2 \nmid a + b\},$$

where $\#\mathcal{S}$ denotes the cardinality of the set \mathcal{S} . Thus, $N(q)$ denotes the number of integers a , $1 \leq a < q$, $(a, q) = 1$, such that a and its inverse $b \pmod{q}$ are of opposite parity.

For an odd prime p , D. H. Lehmer posed the problem to find $N(p)$ or at least to say something nontrivial about it (see Problem F12 of [2], p. 381). Wenpeng Zhang [8] has given an asymptotic estimate:

$$N(p) = \frac{1}{2}p + O(p^{1/2} \log^2 p). \tag{1}$$

Later, Wenpeng Zhang [9, 10] also proved that for every odd integer $q \geq 3$,

$$N(q) = \frac{1}{2}\varphi(q) + O(q^{1/2}\tau^2(q)\log^2 q), \tag{2}$$

where $\varphi(q)$ is the Euler function and $\tau(q)$ is the divisor function.

The classical problem has been generalized by many scholars (see [5–7], et al.). Recently, Yaming Lu and Yuan Yi [3] studied a generalization of the D. H. Lehmer problem over short intervals. Let $n \geq 2$ be a fixed positive integer, $q \geq 3$ and c be integers with $(nc, q) = 1$. We define

$$r_n(\theta_1, \theta_2, c; q) = \#\{(a, b) \in [1, \theta_1 q] \times [1, \theta_2 q] | ab \equiv c \pmod{q}, n \nmid a + b\},$$

where $0 < \theta_1, \theta_2 \leq 1$. In [3], it is obtained that

$$r_n(\theta_1, \theta_2, c; q) = \left(1 - \frac{1}{n}\right)\theta_1\theta_2\varphi(q) + O(q^{1/2}\tau^6(q)\log^2 q), \tag{3}$$

where the O -constant depends only on n .

In this paper, we consider a more extensive generalization of the D. H. Lehmer problem over short intervals, which may be of great arithmetical interest.

Suppose \mathcal{A} and \mathcal{B} consist of consecutive integers which are coprime to q , that is,

$$\mathcal{A} = \{n \in \mathcal{Q} : M < n \leq M + A\}, \tag{4}$$

$$\mathcal{B} = \{n \in \mathcal{Q} : N < n \leq N + B\}, \tag{5}$$

where $M, N, A > 0, B > 0$ are integers, \mathcal{Q} is a reduced residue system modulo q . Let $n \geq 2$ be a fixed positive integer, $q \geq 3$ and c, ℓ be integers with $(nc, q) = 1$ and $\ell | n$, and define

$$N(\mathcal{A}, \mathcal{B}, c, n, \ell; q) = \#\{(a, b) \in \mathcal{A} \times \mathcal{B} | ab \equiv c \pmod{q}, (a + b, n) = \ell\}.$$

The main purpose of this paper is to use the estimates of Gauss sums and Kloosterman sums to study the asymptotic properties of $N(\mathcal{A}, \mathcal{B}, c, n, \ell; q)$, and to give an interesting asymptotic formula for it. In fact, we have the following.

THEOREM 1. *Let $n \geq 2$ be a fixed positive integer, $q \geq 3$ and c, ℓ be integers with $(nc, q) = 1$ and $\ell | n$, the sets \mathcal{A} and \mathcal{B} are defined by (4) and (5). Then, as $q \rightarrow +\infty$, we have the asymptotic formula*

$$N(\mathcal{A}, \mathcal{B}, c, n, \ell; q) = \frac{\#\mathcal{A}\#\mathcal{B}}{n}\varphi\left(\frac{n}{\ell}\right)\varphi^{-1}(q) + O\left(\sqrt{\frac{\#\mathcal{A}\#\mathcal{B}}{q}}\tau^3(q) \cdot n2^{\omega(n/\ell)}\right) + O(q^{1/2}\tau^3(q)\log^2 q \cdot 2^{\omega(n/\ell)}),$$

where $\varphi(n)$ is the Euler function, $\tau(q)$ is the divisor function, $\omega(q)$ denotes the number of distinct prime factors of q , $\#\mathcal{A}$ denotes the cardinality of \mathcal{A} and two O -constants are both absolute.

We can see that the estimate is nontrivial when $\#\mathcal{A}\#\mathcal{B} \gg q^{3/2+\epsilon}$, where the implied constant depends at most on n and ϵ .

2. Lemmas. In order to prove Theorem 1, we require the following lemmas. First, for integers m, n, q , we introduce the classical Kloosterman sum:

$$S(m, n; q) = \sum_{\substack{a \pmod q \\ (a, q)=1}} e\left(\frac{ma + n\bar{a}}{q}\right),$$

where $e(x) = e^{2\pi ix}$, $\bar{a} \equiv 1 \pmod q$.

LEMMA 1. *Let m, n, q be integers, $q \geq 3$, then we have the upper bound*

$$|S(m, n; q)| \leq q^{1/2}(m, n, q)^{1/2}\tau(q).$$

Proof. See [1]. □

Denote by χ a Dirichlet character mod q , by χ^0 the principal one, and by m an integer. The well known Gauss sum is defined by

$$G(m, \chi) = \sum_{h \pmod q} \chi(h)e\left(\frac{mh}{q}\right).$$

We also require some properties of Gauss sums, which are stated as the following two lemmas.

LEMMA 2. *For any positive integers q and m , we have*

$$G(m, \chi^0) = \mu\left(\frac{q}{(m, q)}\right)\varphi(q)\varphi^{-1}\left(\frac{q}{(m, q)}\right),$$

where $\mu(n)$ is the Möbius function.

Proof. See [4], Section 1.2, Lemma 2. □

LEMMA 3. *Let q and c be two integers with $q \geq 3$, $(c, q) = 1$. Then for any integers a and b , we have*

$$\sum_{\chi \neq \chi^0} \chi(c)G(a, \chi)G(b, \chi) \ll \varphi(q)q^{1/2}(a, q)^{1/2}(b, q)^{1/2}\tau(q),$$

where the O -constant is absolute.

Proof. By using Lemma 1, we can easily deduce that

$$\begin{aligned} \sum_{\chi \pmod q} \chi(c)G(a, \chi)G(b, \chi) &= \sum_{\chi \pmod q} \chi(c) \sum_{s=1}^q \chi(s)e\left(\frac{as}{q}\right) \sum_{t=1}^q \chi(t)e\left(\frac{bt}{q}\right) \\ &= \sum_{s=1}^q \sum_{t=1}^q e\left(\frac{as + bt}{q}\right) \sum_{\chi \pmod q} \chi(stc) \\ &= \varphi(q) \sum_{\substack{s=1 \\ st \equiv \bar{c} \pmod q}}^q \sum_{t=1}^q e\left(\frac{as + bt}{q}\right) \\ &= \varphi(q)S(a, b\bar{c}; q) \\ &\ll \varphi(q)q^{1/2}(a, b, q)^{1/2}\tau(q). \end{aligned} \tag{6}$$

On the other hand, Lemma 2 indicates that

$$\begin{aligned}
 G(a, \chi^0)G(b, \chi^0) &= \mu\left(\frac{q}{(a, q)}\right)\mu\left(\frac{q}{(b, q)}\right)\varphi^2(q)\varphi^{-1}\left(\frac{q}{(a, q)}\right)\varphi^{-1}\left(\frac{q}{(b, q)}\right) \\
 &\ll \varphi^2(q)\frac{(a, q)(b, q)}{q^2}\tau\left(\frac{q}{(a, q)}\right)\tau\left(\frac{q}{(b, q)}\right) \\
 &\ll \varphi^2(q)\frac{(a, q)(b, q)}{q^2}\frac{q}{\sqrt{(a, q)(b, q)}} \\
 &\ll \varphi(q)(a, q)^{1/2}(b, q)^{1/2}.
 \end{aligned}
 \tag{7}$$

Then Lemma 3 follows from (6) and (7) immediately. □

Note: A slight weaker estimate than Lemma 3 can be found in [3].

The following two lemmas focus on the estimation for exponential sums.

LEMMA 4. *Let N be a positive integer, α be a real number. Then we have*

$$\left| \sum_{n \leq N} e(\alpha n) \right| \leq \min\left(N, \frac{1}{2\|\alpha\|}\right),$$

where $\|x\| = \min_{n \in \mathbb{Z}} |x - n|$.

Proof. The estimate is well known, the proof can be found in [4], Section 5.1. □

LEMMA 5. *Assume that U is a positive real number, K_0 an integer, K a positive integer, α and β two arbitrary real numbers. If α can be written in the form*

$$\alpha = \frac{h}{q} + \frac{\theta}{q^2} \quad (q, h) = 1, \quad q \geq 1, \quad |\theta| \leq 1,$$

we have

$$\sum_{k=K_0+1}^{K_0+K} \min\left(U, \frac{1}{\|\alpha k + \beta\|}\right) \ll \left(\frac{K}{q} + 1\right)(U + q \log q),$$

where the implied constant is absolute.

Proof. See reference [4], Section 5.1, Lemma 3. □

3. Proof of Theorem 1. In this section, we shall complete the proof of Theorem 1. From the orthogonality relation for Dirichlet characters modulo q , one can obtain that

$$\begin{aligned}
 N(\mathcal{A}, \mathcal{B}, c, n, \ell; q) &= \frac{1}{\varphi(q)} \sum_{\chi \bmod q} \sum_{a \in \mathcal{A}} \sum_{\substack{b \in \mathcal{B} \\ (a+b, n) = \ell}} \chi(ab)\overline{\chi}(c) \\
 &= \frac{1}{\varphi(q)} \sum_{a \in \mathcal{A}} \sum_{\substack{b \in \mathcal{B} \\ (a+b, n) = \ell}} 1 + \frac{1}{\varphi(q)} \sum_{\chi \neq \chi^0} \sum_{a \in \mathcal{A}} \sum_{\substack{b \in \mathcal{B} \\ (a+b, n) = \ell}} \chi(ab)\overline{\chi}(c) \\
 &:= I_1 + I_2.
 \end{aligned}
 \tag{8}$$

We shall estimate I_1 and I_2 respectively. Firstly,

$$\begin{aligned}
 I_1 &= \frac{1}{\varphi(q)} \sum_{\substack{a \in \mathcal{A} \\ (a+b, n) = \ell}} \sum_{b \in \mathcal{B}} 1 = \frac{1}{\varphi(q)} \sum_{a \in \mathcal{A}} \sum_{\substack{b \in \mathcal{B} \\ \ell | a+b}} \sum_{r | \left(\frac{a+b}{\ell}, \frac{n}{\ell}\right)} \mu(r) \\
 &= \frac{1}{\varphi(q)} \sum_{a \in \mathcal{A}} \sum_{r | \frac{n}{\ell}} \mu(r) \sum_{\substack{b \in \mathcal{B} \\ b \equiv -a \pmod{r\ell}}} 1 \\
 &= \frac{1}{\varphi(q)} \sum_{a \in \mathcal{A}} \sum_{r | \frac{n}{\ell}} \mu(r) \left(\frac{\#\mathcal{B}}{r\ell} + O(1) \right) \\
 &= \frac{\#\mathcal{B}}{\varphi(q)\ell} \sum_{a \in \mathcal{A}} \sum_{r | \frac{n}{\ell}} \frac{\mu(r)}{r} + O(2^{\omega(n/\ell)}) \\
 &= \frac{\#\mathcal{A}\#\mathcal{B}}{n} \varphi\left(\frac{n}{\ell}\right) \varphi^{-1}(q) + O(2^{\omega(n/\ell)}). \tag{9}
 \end{aligned}$$

Secondly,

$$\begin{aligned}
 I_2 &= \frac{1}{\varphi(q)} \sum_{\chi \neq \chi^0} \bar{\chi}(c) \sum_{\substack{a \in \mathcal{A} \\ (a+b, n) = \ell}} \sum_{b \in \mathcal{B}} \chi(ab) = \frac{1}{\varphi(q)} \sum_{\chi \neq \chi^0} \bar{\chi}(c) \sum_{r | \frac{n}{\ell}} \mu(r) \sum_{\substack{a \in \mathcal{A} \\ r | \frac{a+b}{\ell}}} \sum_{b \in \mathcal{B}} \chi(ab) \\
 &= \frac{1}{\varphi(q)\ell} \sum_{\chi \neq \chi^0} \bar{\chi}(c) \sum_{r | \frac{n}{\ell}} \frac{\mu(r)}{r} \sum_{m \leq r\ell} \sum_{a \in \mathcal{A}} \sum_{b \in \mathcal{B}} e\left(\frac{m(a+b)}{r\ell}\right) \chi(ab) \\
 &= \frac{1}{\varphi(q)\ell} \sum_{\chi \neq \chi^0} \bar{\chi}(c) \sum_{r | \frac{n}{\ell}} \frac{\mu(r)}{r} \sum_{m \leq r\ell} \sum_{a \in \mathcal{A}} \chi(a) e\left(\frac{ma}{r\ell}\right) \sum_{b \in \mathcal{B}} \chi(b) e\left(\frac{mb}{r\ell}\right). \tag{10}
 \end{aligned}$$

Note that for any non-principal character $\chi \pmod q$,

$$\chi(a) = \frac{1}{q} \sum_{s \leq q} G(s, \chi) e\left(-\frac{as}{q}\right);$$

thus,

$$\sum_{a \in \mathcal{A}} \chi(a) e\left(\frac{ma}{r\ell}\right) = \frac{1}{q} \sum_{s \leq q} G(s, \chi) \sum_{a \in \mathcal{A}} e\left(\left(\frac{m}{r\ell} - \frac{s}{q}\right)a\right). \tag{11}$$

Combining (10) and (11), and making use of Lemma 3 and 4, we have

$$\begin{aligned}
 I_2 &= \frac{1}{q^2 \varphi(q)\ell} \sum_{r | \frac{n}{\ell}} \frac{\mu(r)}{r} \sum_{m \leq r\ell} \sum_{s \leq q} \sum_{t \leq q} \sum_{a \in \mathcal{A}} \sum_{b \in \mathcal{B}} e\left(\left(\frac{m}{r\ell} - \frac{s}{q}\right)a\right) e\left(\left(\frac{m}{r\ell} - \frac{t}{q}\right)b\right) \\
 &\quad \times \sum_{\chi \neq \chi^0} \bar{\chi}(c) G(s, \chi) G(t, \chi) \\
 &\ll \frac{\tau(q)}{q^{3/2}\ell} \sum_{r | \frac{n}{\ell}} \frac{\mu^2(r)}{r} \sum_{m \leq r\ell} \sum_{s \leq q} \sum_{t \leq q} (s, q)^{1/2} (t, q)^{1/2} \\
 &\quad \times \min\left(\#\mathcal{A}, \left\|\frac{s}{q} - \frac{m}{r\ell}\right\|^{-1}\right) \cdot \min\left(\#\mathcal{B}, \left\|\frac{t}{q} - \frac{m}{r\ell}\right\|^{-1}\right).
 \end{aligned}$$

By Möbius transform, we have

$$\sum_{s \leq q} (s, q)^{1/2} \min \left(\#\mathcal{A}, \left\| \frac{s}{q} - \frac{m}{r\ell} \right\|^{-1} \right) = q^{1/2} \sum_{d|q} d^{-1/2} \sum_{\substack{s \leq d \\ (s,d)=1}} \min \left(\#\mathcal{A}, \left\| \frac{s}{d} - \frac{m}{r\ell} \right\|^{-1} \right). \tag{12}$$

Observe that $(n, q) = 1$; thus, for $\ell|n$ and $d|q$, we have

$$\left\| \frac{s}{d} - \frac{m}{r\ell} \right\| \geq \frac{1}{dr\ell},$$

from which and Lemma 5, the left-hand side of (12) is bounded by

$$\begin{aligned} & q^{1/2} \sum_{d|q} d^{-1/2} \sum_{\substack{s \leq d \\ (s,d)=1}} \min \left(\#\mathcal{A}, dr\ell, \left\| \frac{s}{d} - \frac{m}{r\ell} \right\|^{-1} \right) \\ & \ll q^{1/2} \sum_{d|q} d^{-1/2} (\min(\#\mathcal{A}, dr\ell) + d \log d) \\ & = \#\mathcal{A} q^{1/2} \sum_{\substack{d|q \\ d > \#\mathcal{A}/r\ell}} d^{-1/2} + r\ell q^{1/2} \sum_{\substack{d|q \\ d \leq \#\mathcal{A}/r\ell}} d^{1/2} + q^{1/2} \sum_{d|q} d^{1/2} \log d \\ & \ll (r\ell)^{1/2} (\#\mathcal{A})^{1/2} q^{1/2} \tau(q) + q\tau(q) \log q, \end{aligned}$$

and similarly

$$\sum_{t \leq q} (t, q)^{1/2} \min \left(\#\mathcal{B}, \left\| \frac{t}{q} - \frac{m}{r\ell} \right\|^{-1} \right) \ll (r\ell)^{1/2} (\#\mathcal{B})^{1/2} q^{1/2} \tau(q) + q\tau(q) \log q.$$

Thus,

$$I_2 \ll \sqrt{\frac{\#\mathcal{A}\#\mathcal{B}}{q}} \tau^3(q) \cdot n 2^{\omega(n/\ell)} + q^{1/2} \tau^3(q) \log^2 q \cdot 2^{\omega(n/\ell)}, \tag{13}$$

where $\omega(n)$ denotes the number of distinct prime factors of n .

Combining (8), (9) and (13), we can deduce the theorem immediately.

4. Remarks. Recalling that \mathcal{Q} is a reduced residue system modulo q , and taking $q = p$ as a prime number, $\mathcal{A} = \mathcal{B} = \mathcal{Q}$, $n = 2$, $\ell = 1$ in Theorem 1, we can obtain

$$N(p) = \frac{1}{2}p + O(p^{1/2} \log^2 p),$$

which is just the same as (1). Similarly, Theorem 1 yields (2) with a slightly weaker error term.

Taking $\mathcal{A} = \{n \in \mathcal{Q} : 1 \leq n \leq \theta_1 q\}$, $\mathcal{B} = \{n \in \mathcal{Q} : 1 \leq n \leq \theta_2 q\}$,

$$r_n(\theta_1, \theta_2, c; q) = \sum_{\ell|n} N(\mathcal{A}, \mathcal{B}, c, n, \ell; q) - N(\mathcal{A}, \mathcal{B}, c, n, n; q),$$

and hence

$$r_n(\theta_1, \theta_2, c; q) = \sum_{\ell|n} \frac{\theta_1\theta_2}{n} \varphi\left(\frac{n}{\ell}\right) \varphi(q) - \frac{\theta_1\theta_2}{n} \varphi(q) + (q^{1/2}\tau^3(q)n\tau^2(n)\log^2 q) \\ = \left(1 - \frac{1}{n}\right) \theta_1\theta_2\varphi(q) + O(q^{1/2}\tau^3(q)n\tau^2(n)\log^2 q),$$

which is slightly better than (3).

Observing that the condition $2 \nmid a + b$ is equivalent to $a + b \equiv 1 \pmod{2}$, thus we can consider another generalization of the D. H. Lehmer problem over short intervals.

Let $q \geq 3$, $\ell \geq 1$ be fixed integers, n and c be integers with $(nc, q) = 1$. We define

$$T(\mathcal{A}, \mathcal{B}, c, \ell; q, n) = \#\{(a, b) \in \mathcal{A} \times \mathcal{B} \mid ab \equiv c \pmod{q}, a + b \equiv \ell \pmod{n}\},$$

where \mathcal{A}, \mathcal{B} are defined as before. Using the same method above, we can also prove that

$$T(\mathcal{A}, \mathcal{B}, c, \ell; q, n) = \frac{\#\mathcal{A}\#\mathcal{B}}{n} \varphi^{-1}(q) + O(q^{1/2}\tau^3(q)\log^2 q),$$

which also yields (1), (2) and (3).

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