

TAUBERIAN ESTIMATES CONCERNING THE REGULAR HAUSDORFF AND $[J, f(x)]$ TRANSFORMATIONS

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1. Introduction. Denote by $\{t(x)\}$ some linear transform of the sequence

$$\{s_n\} \quad (n \geq 0, s_n = a_0 + a_1 + \dots + a_n),$$

of the form

$$t(x) = \sum_{k=0}^{\infty} c_k(x) s_k \quad (x \geq x_0 \geq 0),$$

where x attains continuous or only integer values. The problem of estimating $|t(x) - s_m|$ as x and m tend to ∞ with some connection between them was considered first by H. Hadwiger **(3)** assuming the Tauberian condition $na_n = O(1)$ on the sequence $\{s_k\}$, specifying the transform $t(x)$ to be the usual Abel transform and $x = 1 - n^{-1}$. Papers of Agnew **(1)**, Garten **(2)**, and Jakimovski **(5, 6)** deal with similar problems concerning other transformation methods.

The same problem but replacing the Tauberian condition $na_n = O(1)$ by $b_n = O(1)$, where

$$(1.1) \quad b_n = (n + 1)^{-1}(a_1 + 2a_2 + \dots + na_n),$$

was solved for special transformation methods by V. Garten **(2)** and P. Hartman **(4)**.

In this paper our aim is to state and prove the corresponding results under the condition (1.1) for a class of regular Hausdorff and $[J, f(x)]$ transforms. The Abel transform and the Cesàro-transform of order $\alpha \geq 1$ are included in our theorem as special cases.

2. Definitions, notations, and lemmas. The regular Hausdorff-transformation is defined as follows: Let $\beta(t)$ be a function of bounded variation on $[0, 1]$, satisfying

$$(2.1) \quad \beta(0+) = \beta(0) = 0, \quad \beta(1) = 1.$$

The Hausdorff-transform $H_n(\beta)$ of a sequence $\{s_k\}$ is

$$(2.2) \quad H_n(\beta) = \sum_{k=0}^n \binom{n}{k} s_k \int_0^1 u^k (1-u)^{n-k} d\beta(u), \quad n \geq 0.$$

The $[J, f(x)]$ -transformation was defined in **(7)** by Jakimovski as follows: Let

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$$f(x) = \int_0^1 t^x d\beta(t),$$

where $\beta(t)$ is a function of bounded variation on $[0, 1]$ satisfying

$$(2.3) \quad \beta(0+) = \beta(0) = 0, \quad \beta(1-) = \beta(1) = 1,$$

and let the $[J, f(x)]$ -transform, say $J_x(\beta)$ of a sequence $\{s_k\}$, be defined by

$$(2.4) \quad \begin{aligned} J_x(\beta) &= \sum_{k=0}^{\infty} \frac{(-x)^k}{k!} f^{(k)}(x) s_k \\ &= \sum_{k=0}^{\infty} \frac{x^k}{k!} s_k \int_0^1 t^x \left(\log \frac{1}{t}\right)^k d\beta(t) \end{aligned}$$

for $x \geq 0$. The regularity of this transformation has been proved in (7).

We shall use the following notations:

$$(2.5) \quad d_k \equiv d_k(x) = \frac{x^k}{k!} \int_0^1 t^x \left(\log \frac{1}{t}\right)^k d\beta(t), \quad k \geq 0;$$

$$(2.6) \quad D_k \equiv D_k(x) = \sum_{j=k}^{\infty} d_j, \quad k \geq 0;$$

$$(2.7) \quad \delta_k \equiv \delta_k(n) = \binom{n}{k} \int_0^1 u^k (1-u)^{n-k} d\beta(u), \quad k \geq 0.$$

$$(2.8) \quad \Delta_k \equiv \Delta_k(n) = \begin{cases} \sum_{j=k}^n \delta_j & \text{if } 0 \leq k \leq n, \\ 0 & k \geq n + 1. \end{cases}$$

We shall use in our proofs the following lemmas:

LEMMA 1. *If the $[J, f(x)]$ -transformation is regular and $0 \leq \beta(t) \leq 1$ on $[0, 1]$, then*

$$(2.9) \quad 0 \leq D_k(x) \leq 1, \quad k \geq 0, x > 0.$$

If the $H_n(\beta)$ -transform is regular and $0 \leq \beta(t) \leq 1$ on $[0, 1]$, then

$$(2.10) \quad 0 \leq \Delta_k(n) \leq 1, \quad k \geq 0, n \geq 0.$$

Proof. By the regularity of the transformation, $D_0(x) = 1$ (7, p. 142). Let $k \geq 1$. By (2.5) and (2.6) and changing the variable of integration to $u = x \log(1/t)$, we obtain

$$(2.11) \quad 1 - D_k = \frac{1}{(k-1)!} \int_0^{\infty} e^{-u} u^{k-1} (1 - \beta(e^{-u/x})) du$$

and since $0 \leq \beta(t) \leq 1$, we have at once $0 \leq 1 - D_k \leq 1$. So (2.9) is proved. The proof of (2.10) is completely analogous.

LEMMA 2. For every ϵ ($0 < \epsilon < 1$) and every $u \geq 0$

$$(2.12) \quad \sum_{|k-u| \geq \epsilon u} \frac{u^k}{k!} e^{-u} \leq \frac{K_\epsilon}{1 + u^2},$$

K_ϵ being dependent only on ϵ ; and for every ϵ ($0 < \epsilon < 1$), every $n \geq 0$ and every u , $0 \leq u \leq 1$,

$$(2.13) \quad \sum_{|k-nu| \geq \epsilon n} \binom{n}{k} u^k (1-u)^{n-k} \leq \frac{K'_\epsilon}{1 + n^2},$$

K'_ϵ being dependent only on ϵ .

Proof. Let $u \geq 1$. Then

$$\sum_{|k-u| \geq \epsilon u} \frac{u^k}{k!} e^{-u} \leq \frac{1}{\epsilon^4 u^4} \sum_{k=0}^\infty \frac{u^k}{k!} e^{-u} (k-u)^4.$$

By easy calculation, this is equal to

$$\frac{1}{\epsilon^4 u^4} (3u^2 + u) \leq \frac{4}{\epsilon^4} \cdot \frac{1}{u^2} \leq \frac{8}{\epsilon^4} \frac{1}{u^2 + 1}.$$

For $0 \leq u < 1$ the sum on the left-hand side of (2.12) is clearly ≤ 1 . So $K_\epsilon = 8\epsilon^{-4}$ is suitable for all $u \geq 0$. Thus (2.12) is proved. The proof of (2.13) is completely analogous. K'_ϵ may be chosen as ϵ^{-4} .

LEMMA 3. Suppose the $[J, f(x)]$ -transformation is regular,

$$(2.14) \quad 0 \leq \beta(t) \leq 1,$$

and

$$(2.15) \quad \begin{cases} \text{(i)} & \int_0^\infty u^{-1}(1 - \beta(e^{-u}))du < +\infty, \\ \text{(ii)} & \int_q^\infty u^{-1}V(e^{-u})du < +\infty, \end{cases}$$

where $V(t)$ is the variation of $\beta(u)$ from 0 to t . Then for every $q > 0$

$$(2.16) \quad \lim_{m \rightarrow \infty, x \rightarrow \infty, m/x \rightarrow q} \left\{ \sum_{k=1}^m k^{-1}(1 - D_k) + \sum_{K=m+1}^\infty k^{-1}D_k \right\} = A_q,$$

where

$$(2.17) \quad A_q = \int_0^q t^{-1}(1 - \beta(e^{-t}))dt + \int^\infty t^{-1}\beta(e^{-t})dt.$$

Remark. In fact, Lemma 3 still remains true if we assume instead of (2.15) (ii) the weaker condition

$$\int^\infty u^{-1}\beta(e^{-u})du < +\infty.$$

If $\beta(t)$ is non-decreasing on $[0, 1]$, this condition is equivalent to (2.15) (ii). Thus Lemma 3 improves Jakimovski's result (6, Theorem (3.1)), where $na_n = O(1)$ was supposed.

The significance of (2.15) (ii) will appear in the proof of Theorem 1.

Proof. Let $\epsilon, 0 < \epsilon < 1$, be fixed. By (2.11),

$$(2.18) \quad S_1 \equiv \sum_{k=1}^m k^{-1}(1 - D_k) = \int_0^\infty \sum_{k=1}^m \frac{u^k}{k!} e^{-u} \cdot u^{-1}(1 - \beta(e^{-u/x}))du$$

$$= \int_0^{m(1-\epsilon)} + \int_{m(1-\epsilon)}^{m(1+\epsilon)} + \int_{m(1+\epsilon)}^\infty \equiv I_1 + I_2 + I_3.$$

Now

$$\sum_{k=1}^m \frac{u^k}{k!} e^{-u} = 1 - e^{-u} - \sum_{k=m+1}^\infty \frac{u^k}{k!} e^{-u}$$

and if $u \leq m(1 - \epsilon)$, clearly $m \geq u + \epsilon u$; thus by (2.12)

$$\sum_{k=1}^m \frac{u^k}{k!} e^{-u} = 1 - O((1 + u^2)^{-1}).$$

Thus

$$I_1 = \int_0^{m(1-\epsilon)/x} u^{-1}(1 - \beta(e^{-u}))\{1 - O([1 + (xu)^2]^{-1})\}du$$

and by (2.15) (i)

$$(2.19) \quad I_1 = \int_0^{q(1-\epsilon)} u^{-1}(1 - \beta(e^{-u}))du + o(1)$$

as $m \rightarrow \infty, x \rightarrow \infty, mx^{-1} \rightarrow q$.

By (2.14)

$$(2.20) \quad |I_2| \leq \int_{m(1-\epsilon)}^{m(1+\epsilon)} u^{-1} du = \log \frac{1 + \epsilon}{1 - \epsilon}.$$

If $u \geq m(1 + \epsilon)$, clearly $u - \frac{1}{2}\epsilon u \geq m$; thus by (2.12) and (2.14)

$$(2.21) \quad I_3 = \int_{m(1+\epsilon)}^\infty u^{-1}O(u^{-2})du = o(1) \quad \text{as } m \rightarrow \infty.$$

Now $\epsilon > 0$ was arbitrary. Let $\epsilon \rightarrow 0$. From (2.19), (2.20), and (2.21),

$$(2.22) \quad \lim S_1 = \int_0^q u^{-1}(1 - \beta(e^{-u}))du$$

as $m \rightarrow \infty, x \rightarrow \infty, m/x \rightarrow q$.

By (2.11) and changing the order of summation and integration, as justified by (2.15) (ii),

$$(2.23) \quad S_2 \equiv \sum_{k=m+1}^\infty k^{-1}D_k = \int_0^\infty \sum_{k=m+1}^\infty \frac{u^k}{k!} e^{-u} u^{-1} \beta(e^{-u/x})du$$

$$= \int_0^{m(1-\epsilon)} + \int_{m(1-\epsilon)}^{m(1+\epsilon)} + \int_{m(1+\epsilon)}^\infty \equiv I'_1 + I'_2 + I'_3.$$

Now by (2.14)

$$|I'_1| \leq \int_0^{m(1-\epsilon)} \frac{1}{m+1} \sum_{k=m}^{\infty} \frac{u^k}{k!} e^{-u} du$$

and since $m \geq u + \epsilon u$, (2.12) implies that

$$(2.24) \quad |I'_1| \leq \frac{1}{m+1} \int_0^m O((1+u^2)^{-1}) du = o(1) \quad \text{as } m \rightarrow \infty,$$

$$(2.25) \quad |I'_2| \leq \int_{m(1-\epsilon)}^{m(1+\epsilon)} u^{-1} du = \log \frac{1+\epsilon}{1-\epsilon},$$

$$I'_3 = \int_{m(1+\epsilon)}^{\infty} \left(1 - \sum_{k=0}^m \frac{u^k}{k!} e^{-u}\right) u^{-1} \beta(e^{-u/x}) du,$$

and since $m \leq u - \frac{1}{2}\epsilon u$, by (2.12),

$$(2.26) \quad \begin{aligned} I'_3 &= \int_{m(1+\epsilon)}^{\infty} (1 - O(u^{-2})) u^{-1} \beta(e^{-u/x}) du \\ &= \int_{q(1+\epsilon)}^{\infty} u^{-1} \beta(e^{-u}) du + o(1) \end{aligned}$$

as $m \rightarrow \infty, x \rightarrow \infty, mx^{-1} \rightarrow q$.

Since $\epsilon > 0$ was arbitrary, by (2.23)–(2.26),

$$(2.27) \quad \lim S_2 = \int_q^{\infty} u^{-1} \beta(e^{-u}) du$$

as $m \rightarrow \infty, x \rightarrow \infty, mx^{-1} \rightarrow q$. Equations (2.22) and (2.27) prove the lemma.

LEMMA 4. Suppose that the $H_n(\beta)$ -transformation is regular,

$$(2.28) \quad 0 \leq \beta(t) \leq 1,$$

and

$$(2.29) \quad \int_0^1 u^{-1} \beta(u) du < +\infty.$$

Then for every $q > 0$

$$(2.30) \quad \lim_{m \rightarrow \infty, n \rightarrow \infty, mn^{-1} \rightarrow q} \left\{ \sum_{k=1}^m k^{-1} (1 - \Delta_k) + \sum_{k=m+1}^{m+n} k^{-1} \Delta_k \right\} = B_q,$$

where $\Delta_k = 0$ when $k \geq n + 1$ and

$$(2.31) \quad B_q = \begin{cases} \int_0^q t^{-1} \beta(t) dt + \int_q^1 t^{-1} (1 - \beta(t)) dt, & q \leq 1, \\ \int_0^1 t^{-1} \beta(t) dt + \log q, & q \geq 1. \end{cases}$$

Remark. Lemma 4 improves Jakimovski's result (5, Theorem 1) for the case $na_n = O(1)$, since only (2.28) is assumed instead of the more restrictive assumption that $\beta(t)$ is non-decreasing on $[0, 1]$.

Proof. From (2.7) we get easily, by integration by parts and summation,

$$(2.32) \quad \Delta_k = k \int_0^1 \binom{n}{k} (1-t)^{n-k} t^k t^{-1} (1-\beta(t)) dt, \quad k \geq 1,$$

$$(2.33) \quad 1 - \Delta_k = k \int_0^1 \binom{n}{k} (1-t)^{n-k} t^k t^{-1} \beta(t) dt, \quad 1 \leq k \leq n.$$

Now if $m \geq n$,

$$(2.34) \quad \sum_{k=1}^m k^{-1} (1 - \Delta_k) = \sum_{k=1}^n k^{-1} (1 - \Delta_k) + \sum_{k=n+1}^m k^{-1}.$$

By (2.33) this is equal to

$$\int_0^1 (1 - (1-t)^n) t^{-1} \beta(t) dt + \sum_{k=n+1}^m k^{-1},$$

which by (2.29) becomes

$$\int_0^1 t^{-1} \beta(t) dt + \log q + o(1)$$

as $m \rightarrow \infty, n \rightarrow \infty, mn^{-1} \rightarrow q$.

If $m < n$ we have, by (2.32) and (2.33),

$$(2.35) \quad \begin{aligned} & \sum_{k=1}^m k^{-1} (1 - \Delta_k) + \sum_{k=m+1}^n k^{-1} \Delta_k \\ &= \int_0^1 \sum_{k=1}^m \binom{n}{k} (1-t)^{n-k} t^k t^{-1} \beta(t) dt \\ & \quad + \int_0^1 \sum_{k=m+1}^n \binom{n}{k} (1-t)^{n-k} t^k t^{-1} (1-\beta(t)) dt \\ & \equiv I_1 + I_2. \end{aligned}$$

Let $\epsilon, 0 < \epsilon < 1$, be fixed, and denote for brevity $mn^{-1}(1 - \epsilon) = \theta_1, mn^{-1}(1 + \epsilon) = \theta_2$.

$$(2.36) \quad I_1 = \int_0^{\theta_1} + \int_{\theta_1}^{\theta_2} + \int_{\theta_2}^1 \equiv I_{11} + I_{12} + I_{13}.$$

If $0 \leq t \leq \theta_1$, clearly $m - nt \geq m\epsilon$, and since $mn^{-1} \rightarrow q > 0$ we may assume that $m - nt \geq 2^{-1}\epsilon qn$. Thus by Lemma 2, (2.13), we have

$$(2.37) \quad \begin{aligned} I_{11} &= \int_0^{\theta_1} \{1 - (1-t)^n - O(n^{-2})\} t^{-1} \beta(t) dt \\ &= \int_0^{\epsilon(1-\epsilon)} t^{-1} \beta(t) dt + o(1) \end{aligned}$$

as $n \rightarrow \infty, m \rightarrow \infty, mn^{-1} \rightarrow q$;

$$(2.38) \quad |I_{12}| \leq \int_{\theta_1}^{\theta_2} t^{-1} dt = \log \frac{1 + \epsilon}{1 - \epsilon}.$$

If $\theta_2 \leq t \leq 1$, it is easily seen that $tn - m \geq m\epsilon \geq 2^{-1}\epsilon qn$. Thus, by (2.13),

$$(2.39) \quad |I_{13}| \leq \int_{\theta_2}^1 O(n^{-2})t^{-1} dt = o(1) \quad \text{as } n \rightarrow \infty, m \rightarrow \infty, m/n \rightarrow q.$$

From (2.36)–(2.39) and since $\epsilon > 0$ is arbitrary,

$$(2.40) \quad I_1 = \int_0^q t^{-1}\beta(t)dt + o(1) \quad \text{as } m \rightarrow \infty, n \rightarrow \infty, m/n \rightarrow q.$$

Using exactly the same reasoning,

$$(2.41) \quad I_2 = \int_q^1 t^{-1}(1 - \beta(t))dt + o(1).$$

If $q > 1$, we have for sufficiently large values of m and n that $m > n$. Thus (2.34) proves the lemma in this case. If $q < 1$, then $m < n$ for sufficiently large values of m and n . Thus (2.35), (2.40), and (2.41) prove the lemma. If $q = 1$, the expressions (2.34) and (2.35) both tend to the same limit, since for $q = 1$ both expressions defining B_q in (2.31) are equal. This completes our proof.

LEMMA 5. For $u \geq 2$

$$(2.42) \quad S \equiv \sum_{k=2}^{\infty} \log k \frac{u^k}{k!} e^{-u} = O(\log u).$$

Proof.

$$\log u - S = \log u(1 + u)e^{-u} + \sum_{k=2}^{\infty} \log \frac{u}{k} \frac{u^k}{k!} e^{-u}.$$

Thus

$$(2.43) \quad \begin{aligned} |\log u - S| &\leq O(\log u) + \sum_{k=2}^{\infty} \left| \log \frac{u}{k} \right| \frac{u^k}{k!} e^{-u} \\ &= O(\log u) + \sum_{2 \leq k \leq 2u} + \sum_{k > 2u} \\ &\equiv O(\log u) + \sigma_1 + \sigma_2. \end{aligned}$$

Now trivially in the first sum $|\log(u/k)| \leq \log u$; so

$$(2.44) \quad \sigma_1 \leq \log u.$$

In the second sum $|\log(k/u)| \leq k$; thus by (2.12)

$$(2.45) \quad \sigma_2 \leq O(u^{-1}) = O(1).$$

LEMMA 6 (Agnew 6). Suppose $\{b_k\}$ ($k \geq 1$) is a bounded sequence. Let $\{c_k(x)\}$ be a sequence of functions defined for $x > 0$ satisfying

$$(2.46) \quad \lim_{x \rightarrow \infty} c_k(x) = 0, \quad k = 1, 2, \dots,$$

$$(2.47) \quad \limsup_{x \rightarrow \infty} \sum_{k=1}^{\infty} |c_k(x)| = A < \infty.$$

Then

$$(2.48) \quad \limsup_{x \rightarrow \infty} \left| \sum_{k=1}^{\infty} c_k(x) b_k \right| \leq A \cdot \limsup_{k \rightarrow \infty} |b_k|.$$

The constant A in (2.48) is the best possible in the sense that there exists a bounded sequence $\{b_k\}$ with $0 < \limsup |b_k| < \infty$ and such that both sides of (2.48) are equal.

3. The main theorems.

THEOREM 1. Suppose that the $[J, f(x)]$ -transformation is regular, the function $\beta(t)$ occurring in (2.4) is continuous and satisfies (2.14) and (2.15), and the functions

$$(3.1) \quad \text{(i) } t^{-1}(1 - \beta(e^{-t})) \quad \text{and} \quad \text{(ii) } t^{-1}\beta(e^{-t})$$

are non-increasing for $t > 0$. Then for every sequence $\{s_m\}$ satisfying (1.1), for its transform $J_x(\beta)$ and for every $q > 0$

$$(3.2) \quad \limsup_{m \rightarrow \infty, x \rightarrow \infty, mx^{-1} \rightarrow q} |s_m - J_x(\beta)| \leq C_q \cdot \limsup_{n \rightarrow \infty} |b_n|,$$

where

$$(3.3) \quad C_q = A_q + 2\beta(e^{-q})$$

and A_q was defined by (2.17).

The constant C_q is the best possible in the sense that there exists a sequence $\{s_m\}$ with $0 < \limsup |b_n| < \infty$ such that both sides of (3.2) are equal.

THEOREM 2. Suppose that the $H_n(\beta)$ -transformation is regular, the function $\beta(t)$ occurring in (2.2) is continuous and satisfies (2.28) and (2.29), and the functions

$$(3.4) \quad \text{(i) } t^{-1}(1 - \beta(t)) \quad \text{and} \quad \text{(ii) } t^{-1}\beta(t)$$

are non-increasing for $0 < t \leq 1$. Then for every sequence $\{s_m\}$ satisfying (1.1), for its transform $H_n(\beta)$, and for every $q > 0$,

$$(3.5) \quad \limsup_{m \rightarrow \infty, n \rightarrow \infty, mn^{-1} \rightarrow q} |s_m - H_n(\beta)| \leq D_q \limsup_{n \rightarrow \infty} |b_n|,$$

where

$$(3.6) \quad D_q = \begin{cases} B_q + 2(1 - \beta(q)), & q \leq 1, \\ B_q, & q \geq 1, \end{cases}$$

and B_q was defined by (2.31). The constant D_q in (3.6) is the best possible in the sense that there exists a sequence $\{s_m\}$ with $0 < \limsup |b_n| < \infty$ such that both sides of (3.5) are equal.

Examples. (i) For Theorem 1: Let $\beta(t) = t$; then the $J_x(\beta)$ -transform is Abel's transform and is easily seen to satisfy the conditions of Theorem 1.

(ii) For Theorem 2: Let $\beta(t) = 1 - (1 - t)^\alpha$, where $\alpha \geq 1$; then the $H_n(\beta)$ transform is Cesàro's transform of order α , and satisfies the conditions of Theorem 2.

Proof of Theorem 1. We define $b_0 = 0$. By (1.1) we have

$$(3.7) \quad a_\nu = \nu^{-1}b_\nu + b_\nu - b_{\nu-1}, \quad \nu \geq 1;$$

thus

$$(3.8) \quad s_k = a_0 + \sum_{\nu=1}^k \nu^{-1}b_\nu + b_k$$

and since $b_\nu = O(1)$,

$$(3.9) \quad s_k = O(\log k), \quad k \geq 2.$$

Next we show that the transform $J_x(\beta)$ exists for all $x \geq 2$. By (2.5) and (3.9)

$$(3.10) \quad \begin{aligned} \sum_{k=2}^\infty |d_k||s_k| &\leq \int_0^1 \sum_{k=2}^\infty O(\log k) \frac{x^k}{k!} t^x \left(\log \frac{1}{t}\right)^k |d\beta(t)| \\ &= \int_0^{e^{-1}} + \int_{e^{-1}}^1 \equiv J_1 + J_2. \end{aligned}$$

Since $\log k < k$ and $\log(1/t) \leq 1$ for $t \geq e^{-1}$, we have

$$(3.11) \quad J_2 = O(x) \int_{e^{-1}}^1 |d\beta(t)| = O(x),$$

and since for $t \leq e^{-1}$, $x \log(1/t) \geq x \geq 2$, by (2.42),

$$(3.12) \quad \begin{aligned} J_1 &= O\left\{ \int_0^{e^{-1}} \log\left(x \log \frac{1}{t}\right) |d\beta(t)| \right\} \\ &= O(\log x) + O\left\{ \int_0^{e^{-1}} \log \log \frac{1}{t} |d\beta(t)| \right\}. \end{aligned}$$

But

$$(3.13) \quad \begin{aligned} \int_0^{e^{-1}} \log \log \frac{1}{t} |d\beta(t)| &= \int_0^{e^{-1}} |d\beta(t)| \int_t^{e^{-1}} \frac{du}{u \log(1/u)} \\ &= \int_0^{e^{-1}} \frac{du}{u \log(1/u)} \int_0^u |d\beta(t)| = \int_0^{e^{-1}} \frac{V(u)}{u \log(1/u)} du, \end{aligned}$$

which by (2.15)(ii) is $O(1)$.

By (3.10)–(3.13), for every fixed $x \geq 2$

$$(3.14) \quad \sum_{k=0}^\infty |d_k||s_k| < +\infty,$$

and

$$(3.15) \quad \sum_{k=2}^{\infty} |d_k| \log k < +\infty.$$

Now for every $N \geq 2$, we easily see that

$$\sum_{\nu=0}^{\infty} d_{\nu} s_{\nu} - \sum_{k=0}^N a_k D_k = \sum_{\nu=N+1}^{\infty} d_{\nu} s_{\nu} - \sum_{\nu=N+1}^{\infty} d_{\nu} s_N.$$

Since by (3.9) $s_N = O(\log N)$, this yields

$$(3.16) \quad \left| \sum_{\nu=0}^{\infty} d_{\nu} s_{\nu} - \sum_{k=0}^N a_k D_k \right| \leq \sum_{\nu=N+1}^{\infty} |d_{\nu}| |s_{\nu}| + O\left\{ \sum_{\nu=N+1}^{\infty} |d_{\nu}| \log \nu \right\},$$

which by (3.14) and (3.15) is $o(1)$ as $N \rightarrow \infty$. Thus for every fixed $x \geq 2$

$$(3.17) \quad \begin{aligned} s_m - J_x(\beta) &= \sum_{k=0}^m a_k - \sum_{k=0}^{\infty} a_k D_k \\ &= \sum_{k=1}^m a_k (1 - D_k) - \sum_{k=m+1}^{\infty} a_k D_k, \end{aligned}$$

which by (3.7) is equal to

$$\sum_{k=1}^m (k^{-1}(k+1)b_k - b_{k-1})(1 - D_k) - \sum_{k=m+1}^{\infty} (k^{-1}(k+1)b_k - b_{k-1})D_k.$$

Now

$$\begin{aligned} \sum_{k=m+1}^M (k^{-1}(k+1)b_k - b_{k-1})D_k \\ = \sum_{k=m+1}^M b_k (k^{-1}(k+1)D_k - D_{k+1}) - b_m D_{m+1} + b_M D_{M+1}, \end{aligned}$$

and since by (1.1) and (3.15) $b_M D_{M+1} = o(1)$ as $M \rightarrow \infty$, we have

$$(3.18) \quad \begin{aligned} s_m - J_x(\beta) &= \sum_{k=1}^{m-1} \{k^{-1}(k+1)(1 - D_k) - (1 - D_{k+1})\} b_k \\ &\quad + \{m^{-1}(m+1)(1 - D_m) + D_{m+1}\} b_m - \sum_{k=m+1}^{\infty} \{k^{-1}(k+1)D_k - D_{k+1}\} b_k \\ &\equiv \sum_{k=1}^{\infty} c_k b_k. \end{aligned}$$

We want to apply Lemma 6 to the last expression. First by the regularity of the transformation for every fixed $k \geq 1$

$$\lim_{x \rightarrow \infty} D_k(x) = 1;$$

thus clearly (2.46) is satisfied. For computing the value of the constant A of (2.48) we have to evaluate the upper limit of $\sum |c_k|$ when $x \rightarrow \infty$, $m \rightarrow \infty$,

$mx^{-1} \rightarrow q$. By integration by parts and changing the variable, we obtain from (2.11)

$$c_k = - \int_0^\infty \frac{(ux)^k}{k!} e^{-xu} \cdot u d\left(\frac{1 - \beta(e^{-u})}{u}\right) \quad \text{if } k \leq m - 1,$$

which by (3.1) (i) is non-negative. By (2.9)

$$c_m \geq 0,$$

and for $k \geq m + 1$

$$c_k = (k + 1)x^{-1} \int_0^\infty \frac{(xu)^{k+1}}{(k + 1)!} e^{-xu} d\left(\frac{\beta(e^{-u})}{u}\right),$$

which by (3.1) (ii) is non-positive. Consequently

$$(3.19) \quad \sum_{k=1}^\infty |c_k| = \sum_{k=1}^m k^{-1}(1 - D_k) + \sum_{k=m+1}^\infty k^{-1}D_k + 2D_{m+1} + 1 - D_1.$$

Now the limit of the first two sums on the right-hand side is A_q (cf. (2.17)) and by our regularity assumption $1 - D_1 = o(1)$ as $x \rightarrow \infty$. By (2.11)

$$(3.20) \quad D_{m+1} = \int_0^\infty \frac{u^m}{m!} e^{-u} \beta(e^{-u/x}) du.$$

Therefore

$$\begin{aligned} D_{m+1} - \beta(e^{-q}) &= \int_0^\infty \frac{u^m}{m!} e^{-u} \{\beta(e^{-u/x}) - \beta(e^{-q})\} du \\ &= \int_0^{(m-2)(1-\epsilon)} + \int_{(m-2)(1-\epsilon)}^{m(1+2\epsilon)} + \int_{m(1+2\epsilon)}^\infty \\ &\equiv J_1^* + J_2^* + J_3^*. \end{aligned}$$

By (2.12) and (2.14),

$$(3.21) \quad J_1^* = O\left\{m^{-1}(m - 1)^{-1} \int_0^m du\right\} = o(1) \quad \text{as } m \rightarrow \infty,$$

$$(3.22) \quad J_3^* = O\left\{\int_m^\infty u^{-2} du\right\} = o(1) \quad \text{as } m \rightarrow \infty,$$

and since $\beta(t)$ is continuous and $m/x \rightarrow q$, for every given $\eta > 0$, if $\epsilon > 0$ is small enough,

$$(3.23) \quad |J_2^*| \leq \eta \int_0^\infty \frac{u^m}{m!} e^{-u} du = \eta$$

for $m \geq m_0$ and $x \geq x_0$. In other words, by (3.21)–(3.23)

$$(3.24) \quad \lim_{m \rightarrow \infty, x \rightarrow \infty, mx^{-1} \rightarrow q} D_{m+1} = \beta(e^{-q}).$$

Our Theorem 1 now follows from Lemmas 3 and 6, (3.19) and (3.24).

Proof of Theorem 2. Since $\Delta_k = 0$ for $k \geq n + 1$, for both $m \leq n$ and $n < m$,

$$\begin{aligned}
 (3.25) \quad s_m - H_n(\beta) &= \sum_{k=0}^m a_k - \sum_{j=0}^n \delta_j \sum_{k=0}^j a_k \\
 &= \sum_{k=0}^m a_k(1 - \Delta_k) - \sum_{k=m+1}^{m+n} a_k \Delta_k.
 \end{aligned}$$

Hence by (3.7), after easy computation,

$$\begin{aligned}
 s_m - H_n(\beta) &= \sum_{k=1}^m \{k^{-1}(k + 1)(1 - \Delta_k) - (1 - \Delta_{k+1})\} b_k + b_m \\
 &\quad - \sum_{k=m+1}^{m+n} \{k^{-1}(k + 1)\Delta_k - \Delta_{k+1}\} b_k \equiv \sum_{k=1}^{m+n} \gamma_k b_k.
 \end{aligned}$$

Now from (2.7) and (2.8), after partial integration and summation,

$$\begin{aligned}
 (3.26) \quad k^{-1}(k + 1)(1 - \Delta_k) - (1 - \Delta_{k+1}) \\
 = - \binom{n}{k} \int_0^1 (1 - t)^{n-k} t^{k+1} d\left(\frac{\beta(t)}{t}\right),
 \end{aligned}$$

which is non-negative by (3.4)(ii); $k = 1, 2, \dots$. Also

$$(3.27) \quad k^{-1}(k + 1)\Delta_k - \Delta_{k+1} = \binom{n}{k} \int_0^1 (1 - t)^{n-k} t^{k+1} d\left(\frac{1 - \beta(t)}{t}\right),$$

which by (3.4)(i) is non-negative; $k = 1, 2, \dots$. Thus by easy computation

$$(3.28) \quad \sum_{k=1}^{n+m} |\gamma_k| = \sum_{k=1}^m k^{-1}(1 - \Delta_k) + \sum_{k=m+1}^{m+n} k^{-1}\Delta_k + 2\Delta_{m+1} + 1 - \Delta_1.$$

Next we want to apply Lemma 6 to (3.25). First, we observe that by the regularity of the transformation for every fixed k , $1 - \Delta_k = o(1)$ as $n \rightarrow \infty$; thus (2.46) is satisfied. To compute the constant A of (2.48) we have to evaluate the upper limit of $\sum |\gamma_k|$ as $m \rightarrow \infty$, $n \rightarrow \infty$, $mn^{-1} \rightarrow q$. By Lemma 4, the first two sums of (3.28) tend to B_q ; cf. (2.31). By the regularity assumption,

$$(3.29) \quad \lim_{n \rightarrow \infty} (1 - \Delta_1) = 0.$$

If $m \geq n$, then $\Delta_{m+1} = 0$ by definition, and thus our theorem is already proved for $q > 1$.

If $m < n$, we have by (2.32) and simple computation

$$\begin{aligned}
 (3.30) \quad \Delta_{m+1} - (1 - \beta(q)) &= \int_0^n \binom{n-1}{m} \left(1 - \frac{u}{n}\right)^{n-1-m} \left(\frac{u}{n}\right)^m \left(\beta(q) - \beta\left(\frac{u}{n}\right)\right) du \\
 &= \int_0^{m(1-\epsilon)} + \int_{m(1-\epsilon)}^{m(1+\epsilon)} + \int_{m(1+\epsilon)}^n \equiv J'_1 + J'_2 + J'_3
 \end{aligned}$$

for any fixed ϵ , $0 < \epsilon < 1$. Now, since $mn^{-1} \rightarrow q$, we have for sufficiently large m and n if $u \leq m(1 - \epsilon)$ that

$$m - \frac{n - 1}{n} u \geq \frac{1}{2}\epsilon qn.$$

Thus by (2.13)

$$(3.32) \quad J'_1 = O\left\{\frac{1}{n^2} \int_0^m du\right\} = o(1) \quad \text{as } n \rightarrow \infty.$$

If $u \geq m(1 + \epsilon)$, then

$$\frac{n - 1}{n} u - m \geq \frac{1}{2}\epsilon qn.$$

Thus (2.13) yields

$$(3.33) \quad J'_3 = O\left\{\frac{1}{n^2} \int_m^n du\right\} = o(1) \quad \text{as } n \rightarrow \infty.$$

Since the function $\beta(t)$ is continuous and $mn^{-1} \rightarrow q$ for every given $\eta > 0$, if $\epsilon > 0$ is small enough,

$$(3.34) \quad |J'_2| \leq \eta \int_0^n \binom{n-1}{m} \left(1 - \frac{u}{n}\right)^{n-1-m} \left(\frac{u}{n}\right)^m du = \eta$$

for $m \geq m_0, n \geq n_0$.

By (3.30)–(3.34)

$$(3.35) \quad \lim_{m \rightarrow \infty, n \rightarrow \infty, mn^{-1} \rightarrow q} \Delta_{m+1} = 1 - \beta(q).$$

Thus by (3.28), (3.29), (3.30), and (3.35)

$$(3.36) \quad \lim \sum_{k=1}^n |\gamma_k| = B_q + 2(1 - \beta(q)), \quad q < 1.$$

In the case of $q = 1$, both $m \geq n$ and $m \leq n$ are possible; but since $\beta(1) = 1$, both expressions defining D_q in (3.6) are equal.

By Lemma 6, (3.25), (3.36), and our last remark, the theorem is proved for $q \leq 1$ also.

We state the following theorems without proof.

THEOREM 1'. *If we replace condition (3.1) (i) in Theorem 1 by the assumption that*

$$(3.1) (i)' \quad t^{-1}(1 - \beta(e^{-t}))$$

is non-decreasing for $t > 0$, the conclusion (3.2) holds with

$$C'_q = 2 + \int_q^\infty t^{-1}\beta(e^{-t})dt - \int_0^q t^{-1}(1 - \beta(e^{-t}))dt$$

instead of C_q .

THEOREM 2'. If we replace condition (3.4) (ii) in Theorem 2 by the assumption that

$$(3.4) \text{ (ii)'} \quad t^{-1}\beta(t)$$

is non-decreasing for $0 < t \leq 1$, the conclusion (3.5) holds with

$$D'_q = 2 + |\log q| - \int_0^1 t^{-1}\beta(t)dt$$

instead of D_q .

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