

1 **“I WAS ALSO TRYING TO PROTECT MYSELF AND SAVE MY LIFE”,**  
2 **EXPERIENCES OF PEOPLE LIVING WITH SEVERE MENTAL ILLNESS AND THEIR**  
3 **CAREGIVERS REGARDING COVID-19 RESPONSE IN UGANDA**

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**ABSTRACT**

16 **Introduction:** People with severe mental illness (SMI) are highly vulnerable and more affected  
17 by epidemics than the general population. They encounter limited access to care, miss out on  
18 infection prevention measures, and are more prone to relapses.

19 **Objectives:** This qualitative study aimed to explore the experiences of individuals with SMI and  
20 their caregivers in Uganda during the COVID-19 pandemic. Its focus was on the impact of the  
21 pandemic and its response measures on their mental health.

22 **Methods:** The study was conducted at three sites in Uganda; a national referral mental hospital, a  
23 regional referral hospital, and a district hospital. Participants included persons with SMI, their  
24 caregivers, and mental health professionals. Data collection involved in-depth interviews, key  
25 informant interviews, and focus group discussions. Phenomenological thematic analysis was  
26 employed.

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27 **Results:** The key themes identified encompassed challenges in accessing mental health services,  
28 disrupted routine care, the impact of lockdown measures, and discrimination.

29 **Conclusion:** The findings highlight the unique challenges faced by individuals with SMI and their  
30 caregivers during the COVID-19 pandemic in Uganda. The study points to the need for  
31 interventions focusing on ensuring continued access to care, improving information dissemination,  
32 and addressing the psychological impact of containment measures on people with SMI.

33 **Keywords:** Experiences, people with severe mental illness, COVID-19

34 **Impact statement:** This paper focused on the experiences of people living with severe mental  
35 illness and their caregivers during the COVID-19 pandemic in a resource-limited setting in  
36 Uganda. It also looked at the use of a mobile mental health clinic (MMHC) in addressing the  
37 challenges of access to care by bringing mental health services nearer to the communities where  
38 people with SMI reside.

39 The findings of this research show that people with SMI are a unique and vulnerable population  
40 with unique needs that should be addressed during the response measures of a pandemic especially  
41 in low- and middle-income countries like Uganda.

42

## 43 INTRODUCTION

44

45 Evidence and experience from past epidemics show that people with severe mental illness (SMI)  
46 are a highly vulnerable group and are more negatively impacted by epidemics than the general  
47 population (Moreno et al., 2020). Higher rates of COVID-19 infection and concerns that increase  
48 susceptibility to severe illness such as comorbidity and substance abuse have been noted among  
49 those with severe mental illness (Byrne, Barber, & Lim, 2021). Literature shows that persons with  
50 SMI were greatly affected during the COVID-19 pandemic; a study by [Muruganandam](#) et al (2020)  
51 in India showed that only 25 % of persons with SMI were aware of the symptoms of COVID-19  
52 and the preventive measures against infection hence 75% reported not being worried about  
53 contracting COVID-19 (Muruganandam, Neelamegam, Menon, Alexander, & Chaturvedi, 2020).  
54 People with SMI have also been shown to have challenges in accessing care for their pre-existing  
55 mental illnesses, adhere to their medications, and are more likely to relapse and have severe  
56 psychological distress (Cullen, Gulati, & Kelly, 2020; Muruganandam et al., 2020; Neelam,  
57 Duddu, Anyim, Neelam, & Lewis, 2021; Van Rheenen et al., 2020). SMI clients are a vulnerable  
58 population because they are frequently stigmatized, and left out of health education messaging and  
59 interventions for healthcare (Kahl & Correll, 2020; Kozloff, Mulsant, Stergiopoulos, & Voineskos,  
60 2020; Maling, Todd, Van der Paal, Grosskurth, & Kinyanda, 2011). People with SMI have  
61 cognitive deficits that could impair their interpretation of educational messages given during the  
62 COVID-19 pandemic hence affecting behavior change for exposure and infection prevention  
63 (Shinn & Viron, 2020). They are often neglected by family, have few or no support networks and  
64 may engage in behaviors exposing them to infection e.g. using addictive substances, wandering on  
65 the streets when psychotic or having “I don’t care suicidal ideations” (Kozloff et al., 2020). People  
66 with SMI including bipolar, schizophrenia and major depression have an increased COVID-19

67 related mortality hence making this vulnerable population more disadvantaged (De Hert, Mazereel,  
68 & Detraux, 2022). Those who were acutely ill found it difficult to adhere to the COVID-19 control  
69 measures like lockdown, stay home orders, wearing masks, hand hygiene and curfew times (Shinn  
70 & Viron, 2020). The fear, anxiety and stress brought on by the pandemic may exacerbate their  
71 conditions or lead to other mental disorders such as post-traumatic stress disorder, panic anxiety,  
72 and depression (Pfefferbaum & North, 2020; Shinn & Viron, 2020).

73 Despite increased demand for mental health services during the COVID-19 pandemic, the response  
74 measures such as restricted movement, quarantines and lockdowns affected access to mental health  
75 services (Neelam et al., 2021; Theis, Campbell, De Leeuw, Owen, & Schenke, 2021). Routine care  
76 for mental illness was tampered with as resources were diverted to mitigate the physical effects of  
77 the pandemic (Neelam et al., 2021). Mental health facilities at regional hospitals in Uganda were  
78 converted into COVID-19 treatment units further hindering access to mental health care (Mwesiga,  
79 Nakasujja, Muhwezi, & Musisi, 2021). Attention was focused on the emotional disturbance of  
80 infected persons, front-line health workers and the general public but the experiences, concerns  
81 and impact of COVID-19 on people with SMI went unaddressed (Neelam et al., 2021).

82 SMI is defined as a mental, behavioural or emotional disorder resulting in serious functional  
83 impairment which substantially interferes with one or more major life activities (NIMH, 2017).  
84 This study aimed to explore the experiences of individuals with SMI and their caregivers in  
85 Uganda during the COVID-19 pandemic. It focused on the impact of the pandemic and its response  
86 measures on their mental health, access to care, and their overall experiences. The paper presents  
87 only the qualitative data which focused on assessing the effect of the COVID-19 pandemic and its  
88 response on people with SMI and their caregivers. We received funding from the COVID-19  
89 Africa Rapid Grant Fund (CARGF) through the National Research Foundation (NRF) to conduct  
90 research among people with severe mental illness.

91

## 92 **METHODS**

93 This study was part of a bigger study that employed a mixed methods approach to explore the  
94 experiences of people living with SMI during the COVID-19 pandemic. In addition, the study  
95 sought ways of mitigating these challenges by employing mobile mental health clinic outreaches.  
96 The study took place at three study sites namely: Butabika National Referral Mental Hospital,  
97 Masaka Regional Referral Hospital and Mityana District Hospital. These sites were chosen  
98 because of their diversity in terms of geographical location yet having cultural similarity in  
99 Uganda's predominantly Luganda speaking Central region. Butabika had a mainly urban  
100 population, Masaka an up-country semi-urban population and Mityana had a rural population.

101 The study was conducted shortly after the second wave/ lockdown of COVID-19 pandemic in  
102 Uganda. The lock down measures included the following; only essential categories of workers  
103 were allowed to work, no public means of transport with private cars being allowed to move if  
104 only with government-provided stickers. Motorcycles were allowed to carry goods but no

105 passengers. Public places like schools, churches, were closed and there was a ban on public  
106 gatherings. The lockdown of 2 years from March 2020 to January 2022 was one of the longest in  
107 the world. The country also had a curfew which restricted night life, businesses and movement  
108 beyond 7pm (Mutono & Zotto, 2020).

109 The study participants were persons with SMI, their caretaker/family members and their mental  
110 health professionals who were identified at the respective hospital outpatient clinics. The inclusion  
111 criteria were based on a previous study we had conducted in these hospitals on patients with SMI  
112 (Alinaitwe et al., 2024)

113 Inclusion criteria focused on persons with a primary diagnosis of SMI (Schizophrenia, bipolar  
114 disorder, major depression, chronic substance dependence, epilepsy); aged 18 to 65 years old;  
115 receiving treatment at the study site for at least 6 months; having capacity to provide informed  
116 consent; able to communicate in Luganda or English; and able to identify their caregiver/family  
117 member. Patients with chronic physical medical illnesses including HIV/AIDS (by self-report) and  
118 those who were inpatients at the time of recruitment were excluded. The caregiver/family member  
119 was an individual living with the person with SMI in the same household, aged 18 years or older,  
120 and participating in the provision of assistance needed in meeting the daily needs of the SMI  
121 service user. The mental health professionals were those healthcare professionals who had worked  
122 with the service users at the respective study sites for at least six months and with no plans to move  
123 out of the area within the next one year.

124 The research assistants (RAs) informed the service users about the study in the outpatient waiting  
125 area. Then the clinicians administered the ICD-10 to the participants while accessing care to  
126 diagnose SMI. The RAs then assessed the capacity to consent for these service users using the  
127 University of California, San Diego, Brief Assessment of Capacity to Consent (UBACC). Eligible  
128 participants were recruited consecutively till a sample size of 30 service users and 30 family  
129 members (1 per family) per site was achieved. Three service users and three family members from  
130 each site were purposively selected for In-depth interviews (IDI). We also similarly selected three  
131 mental health professionals for the Key Informant Interviews (KII). We conducted two Focus  
132 Group Discussions (FGD) per site with 6-8 participants; one for service users and the other for  
133 caregivers. The FGDs were facilitated by the mental health professionals who were earlier trained  
134 in group facilitation and qualitative data collection. The IDIs and KII were conducted by research  
135 assistants who were also trained in qualitative data collection.

136 Permission was sought from the hospital administration at each of the study sites and meetings  
137 were held with the respective site Psychiatric Clinical Officer (PCO)/nurse, Psychiatrist, study PI,  
138 and Research Assistants (RA). During the meetings, discussions were held regarding the study  
139 procedures, study instruments and their administration and the outreach mobile mental health  
140 clinic intervention that facilitated access to care during the COVID-19 pandemic.

141 The discussion topic guides were formulated for the qualitative data collection with guidance of  
142 the qualitative experts on the study team. The focus was on the concerns of the SMI service users,  
143 their family caregivers and mental health professionals in relation to medication, stigma, transport,  
144 care access, lockdown, curfew, lived experiences in the pandemic and challenges they faced as  
145 well as any other topic of their concern. The RAs selected participants for the qualitative interviews  
146 following checklists formulated by the qualitative experts. The check lists focused on both males  
147 and females representation, youth and elderly representation, those who were enthusiastic about  
148 the study, ability to speak freely in a group setting and those able to speak Luganda well. The  
149 qualitative interviews were audio-recorded.

150 Recruited site mental health professionals, patients and their preferred family caregivers provided  
151 written informed consent after the research assistant had explained the study details to them  
152 including risks and benefits. Demographic information was collected from each of them before  
153 each respective qualitative interview.

154 The interview guides focused on access to care, perception of health messaging and knowledge  
155 information given to the patients regarding the COVID-19 pandemic, stigma, and the effect of the  
156 pandemic containment measures (government response) on patients and their families in relation  
157 to the SMI (e.g. relapses, hospitalizations, substance abuse, traumatic experiences).

158 For data analysis, qualitative audios from the IDIs, KIIs and the FGDs were transcribed and  
159 translated into English. Data analysis and management was done by experienced qualitative  
160 researchers on the team employing phenomenological thematic analysis (Miles and Huberman,  
161 1994) (Miles & Huberman, 1994) using Atlas-ti qualitative analysis software. Draft codes were  
162 developed and discussed with the rest of the team. Similar codes were grouped under themes, and  
163 the identified themes and sub-themes were then checked and refined by the qualitative experts (JN  
164 & AT) on the study team. The analysis employed an inductive approach to provide new insights  
165 and a richer understanding of the data. Verbatim quotes from the data are reported in the results.

## 166 **RESULTS**

167

### 168 **Sociodemographic characteristics of the respondents**

169

170 Twenty-three (23, 76.7%) SMI participants in this study had attained primary or secondary  
171 education, almost half (43.5%) were not in employment and 56.5%, were females.

172

173 The thematic qualitative analysis revealed themes elaborated below revolving about the  
174 experiences, beliefs and attitudes of SMI clients and their families during the COVID-19 pandemic  
175 and its response measures, the effect of COVID-19 pandemic response measures to access to care  
176 and the effect of the pandemic and the response measures on mental health of people with SMI.

177

178 **Access to healthcare**

179

180 The COVID-19 pandemic affected people with SMI and their caregivers through a curtailing of  
 181 access to health care, thus increasing the risk of relapse in addition to resulting into other negative  
 182 social and economic effects. With a varied compliance by both service users and caregivers to the  
 183 COVID-19 response, infections and deaths were reported in the communities around them.

184

185 ***Support***

186 Perceived support including clear explanations regarding COVID-19 infection from caregivers and  
 187 clinicians enhanced compliance with the COVID-19 Standard Operating Procedures (SOPs) in the  
 188 service user population. It appeared that clear communication and the simplicity and clarity of  
 189 messages were factors that facilitated the observance of COVID-19 SOPs. A service user  
 190 participant indicated that the support from his family enabled him to protect himself as can be seen  
 191 from this quotation:

192

193 *"My family urged me so much not to go to crowded places; they told me, if I moved,*  
 194 *I wouldn't know what I would pick out there. And since they were supportive, I did*  
 195 *not find any difficulty obeying... I had no problem because I was also trying to*  
 196 *protect myself and save my life" (IDI, service user at Butabika).*

197

198 ***Health education messaging***

199 Additionally, messages that were disseminated through health workers to service user participants  
 200 were strongly observed by the participants. This is probably due to the fact that health workers  
 201 were held in high regard and esteem. A caregiver of a service user alluded to this idea in the  
 202 quotation below:

203

204 *"My patient strongly respects health workers' words. Like the Covid vaccination;*  
 205 *he was willing to do it. He is always reluctant about modern medications but he*  
 206 *responded" (FGD, Caregiver at Masaka).*

207

208 A similar sentiment from another caregiver still indicated that when clinicians communicated to  
 209 the service user participants, they listened and took their advice as is indicated below:

210

211 *"... Sitting and listening to a clinician directly is more effective. When a clinician*  
 212 *advises them (patients), they listen to what they are told..." (FGD, Caregivers at*  
 213 *Masaka)*

214

215 ***Adherence to Standard Operating Procedures SOPs***

216 The significant mortality and morbidity due to COVID-19 was a scare to many and it was perhaps  
217 one of the greatest enablers of compliance with COVID-19 SOPs. Many people disregarded the  
218 COVID-19 SOPs initially until they witnessed the loss of lives. Regardless of how actively  
219 severely ill SMI service users were, many of them were still aware of the SOPs and they  
220 endeavored to take the necessary steps to protect themselves. Here are some statements of  
221 caregivers and service users:

222  
223 *"At first people didn't take it serious but when people started dying, we strictly*  
224 *followed the preventive measures"* **(FGD, service users at Masaka).**

225  
226 A response by one of the caregivers in a focus group discussion alluded to the idea that hearing  
227 about numerous deaths due to Covid-19 prompted people to start taking protective measures  
228 seriously as is illustrated below in a quotation:

229  
230 *"In the community when people heard of the high number of deaths, they strictly*  
231 *followed instructions. They also limited visiting each other... People feared and*  
232 *followed SOPs when they heard that so many people in the city were dying"* **(FGD,**  
233 **Caregivers at Masaka).**

234  
235  
236 There is an indication from the discussions that even when SMI service users were actively ill,  
237 many still took the necessary measures to protect themselves from Covid-19 infection. One care  
238 giver noted:

239  
240 *"Mine (SMI patient) used to care so much... she would never forget the mask even*  
241 *during church service; that is at the time when we were allowed to go to churches.*  
242 *She would ask for the mask all the time yet that was the time when she was not*  
243 *mentally stable..."* **(FGD, Caregivers at Masaka).**

244  
245 Notwithstanding the fact that the high mortality and morbidity due to COVID-19 caused a  
246 scare and was an enabler for individuals with SMI to follow SOPs, there were severe cases  
247 of SMI that did not follow SOPs probably because the illness symptoms interfered with  
248 concentration and understanding of the need to protect one from catching COVID-19. It  
249 was noted that patients with severe symptoms had challenges in comprehending and  
250 complying with the SOPs. Some patients were reportedly too ill to even bathe on their own,  
251 let alone comprehend the basic instructions regarding COVID-19 prevention like washing  
252 and or sanitizing hands and wearing of face masks. One of the affected participants  
253 indicated that:

254

255 *"We were given information about the COVID-19; but for mentally ill patients, our brains*  
 256 *couldn't understand it. Even when we did, we would understand very little" (FGD, Service*  
 257 *user at Butabika).*

258

## 259 **Perceptions regarding the Pandemic**

260

### 261 *Misinformation And Disinformation.*

262 The second major theme was the perceptions of SMI service users and their caregivers regarding  
 263 the COVID-19 pandemic. Some people's perceptions interfered with compliance to the COVID-  
 264 19 pandemic SOPs. Some did not believe that COVID-19 actually existed due to misinformation  
 265 and disinformation. Some SMI service users as well as their caregivers were reportedly reluctant  
 266 to wear masks unless they were forced to. They reported difficulty breathing and a lot of discomfort  
 267 while wearing a mask. They said that:

268

269 *"Washing hands was easy but putting on his mask was a challenge. He (patient) claimed*  
 270 *that the mask would interfere with his breathing. We were not used to moving with our*  
 271 *mouths covered" (FGD, Caregivers at Butabika).*

272

273 Also, another participant in an IDI elucidated that:

274

275 *"In school, we were taught that the air you breathe in (oxygen) is different from the air that*  
 276 *we breathe out (carbon dioxide). Yet with a mask the same air that you breathe out is the*  
 277 *same air that you breathe in. So, I thought that may be, we would avoid COVID-19 and get*  
 278 *other diseases" (IDI, Service user at Butabika).*

279

### 280 *Rumors, Beliefs and Misconceptions*

281 Compliance was further complicated by beliefs and misconceptions based on rumors especially  
 282 with regards to reality and cause of COVID-19 as well as vaccination against COVID-19. It was  
 283 noted that some people invoked witchcraft when they or a close person contracted the disease.  
 284 These were therefore least likely to observe the COVID-19 SOPs. Some people had  
 285 misconceptions about the adverse effects of the vaccine.

286

287 *"They (patients) refused to get vaccinated claiming they would die after two weeks (from*  
 288 *vaccination) and that some won't be able to give birth..... We were told that if you have a*  
 289 *mental problem, you are not supposed to get vaccinated all the doses. People in the community*  
 290 *scared us that the vaccination was meant to kill us" (FGD, Service users at Masaka).*

291

### 292 *Political atmosphere*



293 At the time of conduction of the study there were up-coming presidential elections in the country.  
 294 Many people believed that COVID-19 was a political tool by the incumbent government to limit  
 295 political activity of the opposition and therefore tended to disregard the SOPs during the first wave.  
 296 The political environment at the time impacted on the use of COVID-19 SOPs in this community  
 297 as is alluded to in the quotation below:

298

299 *"In my community, people took long to believe (COVID-19) and most of them related it to*  
 300 *politics; that was in the first wave. So most of them only came to believe it in the second wave...*  
 301 *At the end of the day many people died"* **(FGD, Caregivers at Masaka**

302

### 303 ***Fears and Worries***

304 Study participants reported experiencing fear of contracting and spreading COVID-19. They were  
 305 thus forced to take extreme precaution measures. This is elaborated by a clinician in a quote below:

306

307 *"... We would leave for home after bathing and the moment we would get home we would*  
 308 *go straight to the bathroom and even the shoes would be washed. We developed a lot of*  
 309 *fear"* **(KII, Clinician at Butabika).**

310

311 Some service users also experienced fear of their mental health deteriorating with relapse of  
 312 symptoms due to the stress of restrictions in movement as echoed in the quote below:

313

314 *"Yes, I was so worried about not getting medication when transport was closed because*  
 315 *when I do not get medicine, I won't be able to sleep and if I don't sleep the (mental) disease*  
 316 *would easily come back"* **(IDI, Service user at Butabika).**

317

318 Many people were dying due to COVID-19 and other causes but burial of the dead became  
 319 distressful for fear that one could contract COVID-19 in the burial crowds. Participants also  
 320 reported psychological distress accruing from inability to take care of their affected dear ones who  
 321 were in isolation and also the sirens from ambulances that were believed to be transporting  
 322 COVID-19 patients to hospital or dead victims for burial:

323

324 *"The way Covid infected patients were taken care of in isolation was distressing. They*  
 325 *could have recovered but for failure to be in touch with others... This makes me believe*  
 326 *that some may have died because of the isolation they were in. I saw many people that took*  
 327 *care of their own patients in homecare and they recovered"* **(FGD, Caregiver at**  
 328 **Butabika).**

329

330

### 331 **Caring For People With SMI**

332 Another major theme that came out of the conversations with the study participants was the way in which  
 333 the COVID-19 pandemic impacted on the care of people with SMI.

### 334 *Movement and Transport Restrictions*

335 There was restricted movement to health centers and in curfew periods. Some service users moved long  
 336 distances to get to hospital to attend for their clinic reviews. Banning of public transport and enforcement  
 337 of curfew hours during the lockdown curtailed movement to hospitals for reviews and medicine refills.  
 338 Below are some observational statements from clinicians and caregivers:

339  
 340 *"The biggest challenge we got is when we were restricted from carrying passengers on a*  
 341 *motorcycle... We only have one hospital that treats mental illness... there wasn't any means*  
 342 *of transport. And you couldn't move on foot due to the long distance. People had to resort*  
 343 *to bicycles to ride for about 30 miles" (FGD, Caregivers at Masaka).*

344 Also

345 *"Curfew affected us; those were few hours for one to do what they had to do. Even the*  
 346 *security forces meeting you and they just beat you up. It was too hard to find vehicles. Some*  
 347 *were arrested yet at Police they did not observe SOPs; prisoners were at a high risk of*  
 348 *exposure to COVID-19. Us who would work would be forced to leave early because of the*  
 349 *tension from security officers" (KII, Clinician at Butabika).*

350  
 351 Some clinicians however, lived in staff quarters but others lived far off. Most clinicians reported  
 352 coming across some security personnel who respected health workers and spared them during  
 353 curfew. This enabled them to continue providing health services in the hospitals.

354  
 355 *"I did not have issues with curfew personally since I was staying in the staff quarters... if*  
 356 *you are a medical staff and wanted to go somewhere, we used our identity cards whenever*  
 357 *we got problems. That was on my side; I don't know what the other staff experienced" (KII,*  
 358 *clinician at Masaka).*

### 359 360 *Law enforcement*

361  
 362 The COVID-19 period came in with stringent SOPs on movement with dire consequences enforced  
 363 by police when these were not adhered to. Sometimes, the victims felt that too much force was  
 364 used by the police and sometimes to extricate themselves from this trouble they would bribe the  
 365 police to avoid arrest. A caregiver who fell prey to this had this to say;

366  
 367 *"The force which the police was using to handle those arrested was too much. It would*  
 368 *have been better if they had sensitized people other than just arresting them, then again*  
 369 *take away the little money they had. That was a lot of torture." Like the day I moved out*

370 *when someone offered me a job... The policeman saw me and waited till it was past curfew*  
 371 *time; he put me in jail and I ended up paying a UGX 50,000 bribe. (US\$ 15).” (FGD,*  
 372 **Caregivers in Masaka).**

373  
 374 The occurrence of the COVID-19 pandemic was a new experience for everyone in the country and  
 375 was the very first time they were experiencing a pandemic of such magnitude.

376  
 377 ***Limited SMI Admissions***

378 In an effort to accommodate the vast numbers of patients affected by COVID-19 the Ministry of  
 379 Health displaced mental health spaces in hospitals and designated them to COVID-19  
 380 management. The re-assigned mental health spaces were inadequate and observance of SOPs was a  
 381 challenge for service delivery. These units were also understaffed hence there was prolonged  
 382 waiting and an overstretched staff. Also prior to COVID-19, admissions in the mental health units  
 383 were available. With the advent of COVID-19, there were limited SMI admissions. This was a  
 384 disadvantage to the SMI patients who would have to travel back to their homes in quite often  
 385 agitated/disturbed mental states. These concerns are reflected in the following quotes of clinicians  
 386 and patients.

387  
 388  
 389 *“Before COVID-19 came, we had our unit but all of a sudden, we were told to vacate the*  
 390 *place; it was on short notice... They told us we were going to work at OPD. After like a*  
 391 *week... medical clinicians up there were also complaining that we occupied the place yet*  
 392 *they wanted to use it; we had to again leave... but patients were coming... until they took*  
 393 *us miles away to Kyabakuzza (Health Centre II). It’s where we settled till now” (KII,*  
 394 **Clinician at Masaka).**

395  
 396 *“Those days (prior to COVID-19) you would get admitted and they would treat you for*  
 397 *some time until you got fine, unlike in the COVID-19 lockdown where patients weren’t*  
 398 *admitted” (FGD, service user at Masaka).*

399  
 400 ***Shortage of Medical Staff***

401 Secondly due to the excess staffing demands that the pandemic required, a good number of  
 402 clinicians were sourced from the mental health arena leaving fewer staff to work on patients with  
 403 mental health issues.

404  
 405 *“The psychiatric clinicians were fewer compared to the times before COVID-19. When the*  
 406 *clinicians are few you take long to leave and start feeling hungry. Yet if they are many you*  
 407 *spend little time in the line and go back home” (IDI, Service user at Butabika).*

408

409

410 **Accessing Mental Health Care**

411

412 ***Medicine Stock-Outs and Increased Prices***

413 The challenge of accessing mental health care was compounded by psychiatric medicine stock-  
 414 outs in public hospitals and private pharmacies. Mental health clinicians testified that facilities ran  
 415 out of medicines and people had to buy from private sources to avoid illness relapses. The cost of  
 416 medicine shot up during the pandemic period, rendering it largely inaccessible as highlighted in  
 417 the following narrative by a caregiver.

418

419 *"We got to a point where we did not have medicines in hospitals... even in the pharmacies....*  
 420 *One time I was even sent to Kampala and I bought the medicine very expensively; ... "*  
 421 **(FGD, Caregivers at Masaka).**

422

423 In the rural areas, many of the service users seen at the facility used to be supported by their  
 424 relatives who live in the cities in terms of buying medicines. After closure of many business and  
 425 work places these resorted to selling personal property to sustain themselves. As also attested by  
 426 a caregiver in Masaka (below), high poverty levels attributable to the lockdown indeed impacted  
 427 the quality of care that caregivers extended to their patients.

428

429 ***Caregiver support***

430 The amount and quality of caregiver support was impacted upon by COVID-19 as is indicated in  
 431 the quotation below;

432

433 *"We also had a challenge of finances... As an individual you may desire to do a lot like*  
 434 *buying your patient a drink but you wouldn't have money as you may be required to buy*  
 435 *some medicines from a downtown pharmacy because it was not enough in the hospital...*  
 436 *At the end of the day the patient misses out on some of the doses which should not*  
 437 *happen..." (FGD, Caregiver at Masaka).*

438

439 The quality of care that people with severe mental illness received from their caregivers was also  
 440 impacted upon. When the caregiver was sick, this affected the accessibility of not only medication  
 441 but also food. Another caregiver said:

442

443 *"I faced a challenge because we were two patients. I was sick and my patient too was sick*  
 444 *and we were only the two of us in the house... We moved to the village because I could not*  
 445 *cook for him, but I would not also take care of myself since I was weak...I fell sick to the*  
 446 *point of admission... We reached a point where we would even run out of drugs..." (FGD,*  
 447 **Caregivers at Butabika).**

448 Some service users, however, had family members who were still able to support them materially  
449 and financially during the lock-down. One patient said:

450

451 *"It did not affect me badly because my family members were supportive unlike my tenants*  
452 *who left without paying my rent. But my family was so supportive, they would send me*  
453 *basic needs like soap, money etc."* **(IDI, Service user at Butabika Hospital).**

454

### 455 ***Hospitalizations of SMI service users***

456 COVID-19 restrictions variously affected the admitted patients as well as their caregivers. When  
457 patients who got admitted at Butabika hospital tested positive for COVID-19, one of the challenges  
458 they faced was observance of SOPs to prevent further spread of the disease. Moreover, there was  
459 inadequacy of space for isolation of suspected and confirmed cases. Clinicians noted:

460

461 *"We did not have space and we were always around them (COVID-positive patients);*  
462 *though we would wear masks and protect ourselves, we still got worried but mainly the*  
463 *patients because they feared that these are the people they stayed with and spent most time*  
464 *with and they are positive....By the time we got these patients, the hospital had not created*  
465 *isolation space for them...we did not even have a ward that would accommodate all of them*  
466 *for treatment..."* **(KII, Clinician at Butabika).**

467

468 Patient numbers on the psychiatric wards increased as more SMI service users were brought from  
469 other (closed) health facilities yet transfer of patients between wards and even discharges were not  
470 allowed at some point. This led to overcrowding on the wards and fear among the resident patients  
471 that they would be infected by new comers as well as those who had not yet shown symptoms of  
472 the disease. Admitted patients were also denied receiving visitors, which deprived them of supplies  
473 from their family members and also worried the family members since they could not ascertain  
474 the condition of their admitted relatives.

475

476 *"They (relatives) were affected because most times they wanted to visit their admitted patients;*  
477 *so they would request (call) them to come out and we would insist that it would not be possible.*  
478 *The caretakers got so worried when they noticed that the numbers (of COVID cases) were big"*  
479 **(KII, Clinician at Butabika).**

480

### 481 ***Medication non-compliance and Relapses***

482 Many people were not able to work to earn money during the pandemic lockdown. This escalated  
483 poverty levels, yet transport fares were hiked. This affected access to health care in the sense that  
484 they could not afford the high cost of commodities and transporting service users to hospital. This  
485 reportedly led to increased rate of non-compliance to medication and consequent relapses as can  
486 be seen below.

487

488 *"The rate (of relapse) was really high because of poverty; people did not have food, and*  
 489 *they couldn't buy drugs" (KII, Clinician at Masaka)*

490

491 *"We spent almost a year without him getting medication. Of course, he got a relapse and*  
 492 *worsened. He became aggressive; he would beat and fight us" (FGD Caregiver, Butabika).*

493

494 *"Since my sister had gone off medication, we were worried about her getting a relapse*  
 495 *because she wasn't able to move from home to hospital. Even if one had a bicycle you*  
 496 *wouldn't be allowed to ride it to the hospital" (FGD, Caregiver at Butabika).*

497

### 498 ***Home, school and social disruptions***

499 Lockdown occasioned various forms of social and economic loss to affected households. Some  
 500 participants missed their hospital visits and the interactions with friends, family members and  
 501 relatives on these visits. Domestic quarrels reportedly increased when family members were made  
 502 to stay at home all the time. Service users relapsed due to disruption of medical care, which  
 503 rendered them unable to perform certain roles including attendance of important family functions.  
 504 For example, a service user participating in a FGD in Masaka testified that his daughter wedded  
 505 when he had relapsed and he had no recollection of what took place at the time. There was also  
 506 loss of spousal support in form of companionship and contribution to family economic activities  
 507 like farming.

508

509 *"My wife got to a point where she couldn't do most of the things; for example she used*  
 510 *work in the garden and we would share responsibilities. But now I am the only one who is*  
 511 *attending to everything... when she got off medication it affected me so much... I always*  
 512 *moved out with my wife which I could not do any more..." (FGD, Caregivers at Masaka).*

513

514 People were not able to leave home and go to work where they were supposed to earn a living due  
 515 to the lock-down. At some point, motorcycle taxis (*boda-bodas*) were only allowed to carry  
 516 luggage and no passengers. Consequently, household resources including food got depleted.  
 517 Disruption of livelihoods stressed both caregivers and service users as reflected in the quotes  
 518 below.

519

520 *"Feeding was hard since we were all not working. I was at University at the same time*  
 521 *working but during the COVID-19 pandemic, we were not able to work so we could only*  
 522 *feed on whatever little we were able to get" (FGD, Caregivers at Butabika Hospital).*

523

524 *"Whenever mummy (service user) didn't have money, she would be so tough, that was my*  
 525 *biggest challenge... she would always be rude, she would over sleep. I remember there is*

526 *a time she blacked out and she took three days without waking up then she would fall sick*  
 527 *again; all the time" (FGD, Caregiver at Masaka Hospital)*

528  
 529 *"We are not financially stable and it will take us a lot of time to get back on our feet. We*  
 530 *are literally starting from scratch. You start up a business and it simply does not thrive"*  
 531 **(FGD, Caregivers at Butabika).**

532  
 533  
 534 Social life was variously affected. All participants reported being deprived of spiritual nourishment  
 535 and social networking opportunities for lengthy durations since they were not allowed to  
 536 congregate in places of worship. This affected many categories of people including the clinicians.  
 537 They said:

538  
 539 *"My biggest challenge was not being able to go to church for prayers, because these were*  
 540 *closed. I was kept away from the presence of God" (IDI, service user in Masaka).*

541  
 542 *"We were refused to attend mosques; I am a Muslim, it was really affecting me in a way that*  
 543 *people were not allowed to go to places of worship., they were bored wishing they would be*  
 544 *allowed to go back and pray" (KII, Clinician at Masaka).*

545  
 546 ***The Cost of Suffering and Losing Loved Ones***

547 Participants reported personally suffering from COVID-19, having dear ones infected and losing  
 548 dear ones to COVID-19. A participant in the FGD of service users at Butabika narrated how he  
 549 found it terrifying to look after his friend who got COVID-19 and recovered. A family member  
 550 from Masaka who developed the symptoms reportedly did not get tested and instead got medicine  
 551 from a pharmacy for fear of being quarantined since they were not sure what was happening to  
 552 those already quarantined. The fear of contracting the virus also affected the clinicians as well as  
 553 caregivers as cited below.

554  
 555 *"When we saw how people were dying in other countries on TV, yet those people were*  
 556 *considered powerful (developed) countries, we thought we were just going to perish like*  
 557 *grasshoppers but God is faithful, it did not happen" (IDI, Caregiver in Masaka).*

558  
 559 *"The experience I had was the fear of contracting the disease, because our servicer users*  
 560 *come touching anywhere, without washing, without masks and coughing anyhow, so there*  
 561 *were high chances of contracting the disease..." (KII, Clinician at Masaka).*

562  
 563 Other than the physical and psychological pain occasioned by COVID-19 infection, the cost of  
 564 treatment was reportedly high yet most people were not working. A service user participating in

565 an FGD at Masaka reported that he contracted COVID-19 and spent a lot of money to get treated.  
566 A member of such an affected family in Masaka reported that a dose of treatment cost at least  
567 UGX 60,000 (US\$ 17). A service user in the same FGD lost the person who used to meet his  
568 medical bills, leaving him largely unable to get treatment. A caregiver in the FGD at Butabika lost  
569 an uncle and an aunt in the same year. Another participant in the same FGD contracted COVID-  
570 19 and also lost a brother and a relative.

571

## 572 **DISCUSSION**

573

574 This study has highlighted the lived experiences of service users with severe mental illness, their  
575 caregivers and clinicians during the COVID-19 pandemic in Uganda. We captured experiences  
576 related to compliance with COVID-19 measures and SOPs among people with SMI as well as  
577 various psychological and socioeconomic impacts related to the COVID-19 pandemic. These  
578 findings highlight the experienced disruptions to access to mental health care among the SMI  
579 service users and call for adaptations and innovations that are unique in our low resource settings.

580

581 In the context of the pandemic, infection control is an immediate need and the need to comply with  
582 COVID-19 response measures is of crucial importance in both community and hospital settings.  
583 Our participants alluded to the wide and appropriate dissemination of information on COVID-19.  
584 However, several factors influenced the participants' compliance to the COVID-19 response  
585 measures. These factors included poor access to SOP materials, severity of the mental illnesses,  
586 awareness of deaths due to COVID-19 and hence widespread fear and anxiety, negative attitudes  
587 and misinformation/disinformation towards COVID-19. The participants felt that information  
588 given by their clinicians directly through regular service users or caregiver group meetings was  
589 more beneficial to and believable by people with SMI. We came across no studies looking at SMI  
590 service users' compliance with COVID-19 response measures at the community level. Our  
591 findings point to the need to emphasize targeted messages for people with SMI.

592

593 SMI service users' compliance with SOPS was particularly difficult in the inpatient settings. Many  
594 studies have reported about the complexity of infection control within mental health settings  
595 (Bojdani et al., 2020; Gillard et al., 2021; Johnson et al., 2021; Kahl & Correll, 2020) and this  
596 study agrees with these findings. There was inadequate space for isolation in an already crowded  
597 setting of SMI service users who were unable to practice effective control measures due to the  
598 severe nature of their mental illnesses. This was a concern for both clinicians who were not feeling  
599 safe in the hospital setting as well as the caregivers who were not allowed to visit them. This  
600 finding echoes the recommendation by Xiang et al 2020 to institute specific measures for mental  
601 health units during pandemics (25).

602



603 The need for uninterrupted access to mental health and support services for persons with SMI has  
604 been emphasized by WHO 2020. Our findings are similar to other studies (Sheridan Rains et al.,  
605 2021) which indicate that the pandemic led to restrictions on access to mental health care through  
606 the lockdown measures, the curfews, restricted transport measures all of which affected service  
607 users', caregivers' and staff movements amidst the pandemic. In Uganda, this was made worse by  
608 the displacement of mental health services from their usual wards in the regional referral hospitals  
609 to other more isolated areas (Mwesiga et al., 2021).

610 Medication stock outs and higher prices as a result of the lockdown led to service users missing  
611 their medications hence causing relapses of their illnesses. This calls for adaptations and  
612 innovations such as new crisis services, extended services, community services that offer practical  
613 help such as drug deliveries for service users, use of remote technologies and use of informal  
614 support mechanisms as has been recommended by some studies (Johnson et al., 2021; Tromans et  
615 al., 2020). Some participants suggested that government should stock psychiatric drugs to lower  
616 healthcare units (Health Centers) where mental health workers could use telephone calls to reach  
617 out to the persons with SMI to call them to pick their medications. There was minimal mention of  
618 sophisticated remote technologies such as video conferencing and use of smart apps in this study  
619 unlike other studies (Honey et al., 2021; Johnson et al., 2021). This is understandable given that  
620 our study population was largely rural, peasantry or unemployed and thus lacked access to the  
621 technology. This calls for innovative ideas and community mental health services such as mobile  
622 mental health clinics that are recommended and are being investigated in this study (Mwesiga et  
623 al., 2021)

624  
625 Previous studies have also found that pandemic lockdown measures often led to breakdown of  
626 social, economic and family safeguards. This resulted in domestic conflicts, aggression and  
627 violence to which persons with SMI were both victims and perpetrators (Sheridan Rains et al.,  
628 2021). Our participants reported that school closures led to children and youth being redundant,  
629 dropping out of school, using substances and early pregnancies. Other studies have reported similar  
630 findings (Chaabane, Doraiswamy, Chaabna, Mamtani, & Cheema, 2021; Hoffman & Miller, 2020;  
631 Lee, 2020). These findings have important implications for future pandemic preparedness for  
632 schools and communities.

633 Service users in this study also experienced the loneliness and isolation as a result of the pandemic  
634 lockdowns. In fact, one service user reported "feeling like a prisoner". This effect of the pandemic  
635 has been reported globally in all population groups (Musisi, Muron, & Nakku, 2021). This finding  
636 was not widely reported in the Ugandan setting probably because Ugandans live in large extended  
637 families. Nevertheless, this finding in persons with severe mental illness who already have  
638 restricted social networks calls for appropriate measures to address it for this group of people.

639

**640 Limitations**

641 This study was limited to people with severe mental illness seeking care from tertiary and  
642 secondary mental health services. However, many people with other mental health difficulties also  
643 come into contact with health services including primary care services, drug shops, and alternative/  
644 complementary healers (Abbo, Ekblad, Waako, Okello, & Musisi, 2009).

645 This study had some notable strengths. It reports on the experiences of the COVID-19 pandemic  
646 on persons with SMI from their perspectives and also the perspectives of both the formal and  
647 informal caregivers from a variety of geographical locations in the Ugandan setting. Conduction  
648 of the study was 4 months after the second COVID-19 pandemic lockdown in Uganda. Therefore,  
649 the captured information was still very fresh in terms of the experiences the respondent had gone  
650 through thereby limiting chances of information bias. As far as we know, this was the first study  
651 of its kind in Africa regarding the experiences of persons with SMI on the COVID-19 pandemic.

652

**653 CONCLUSIONS AND IMPLICATIONS**

654

655 Individuals with SMI and their caregivers faced extra challenges in accessing care services, the  
656 barriers and enablers of compliance to SOPs as well as the psychological and social impact of the  
657 COVID-19 pandemic and its response measures on the people with SMI. This study is one of the  
658 very few studies done in Africa to further emphasize that the COVID-19 pandemic exacerbated  
659 the difficulties faced by persons with SMI to access care. This work offers researchers, clinicians  
660 and policy makers direction for mental health service development in the face of an emerging  
661 epidemic. It gives direction for opportunity for new ways of working that are appropriate for low  
662 resourced settings such as the use of mobile mental health clinics to enhance access to care.

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668 *NN*: Contributed to the grant acquisition, conceptualisation of study design, data collection,  
669 analysis and interpretation; and was also pivotal in the drafting and revision of the manuscript.

670 *RA*: Made significant contributions in the design of the study, data collection, analysis and  
671 interpretation of results; and was involved in the drafting and revision of the manuscript

672 *JN*: Was involved in the conceptualisation of the study, data collection, transcription, analysis and  
673 interpretation; and also contributed to the manuscript writing.

674 *AT*: Was involved in the conceptualisation of the study, data collection, transcription, analysis and  
675 interpretation; and also contributed to the manuscript writing.

676 **HBO:** Was involved in the data collection, analysis and interpretation of the results and was also  
677 involved in drafting and revision of the manuscript.

678 **SM:** Contributed greatly in the process of grant acquisition, conception and design of the study,  
679 data analysis; and also made tremendous contribution in the writing and revision of the manuscript.

680

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685

### 686 **Conflict of interest**

687 All authors have no competing interests to declare

688

### 689 **Ethics statements**

690 The study received ethical approval from both The AIDS Support Organisation Research and  
691 Ethics Committee housed at TASO- Mulago-Hospital (**TASO-2021-16**) and the National Council  
692 for Science and Technology (**HS1781ES**) All participants provided written informed consent to  
693 participate in the study and for the qualitative interviews to be audio recorded.

694

### 695 **Data availability statement**

696 The authors will ensure that the study dataset is available for sharing on request following the  
697 publication of the paper.

698

699

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