

## INDEPENDENCE IN COMBINATORIAL GEOMETRIES OF RANK THREE

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**1. Introduction.** The class of all *combinatorial geometries of rank three* shall coincide with the class of all pairs  $(V, S)$  such that  $V$  is a set and  $S$  is a collection of non-empty subsets of  $V$  such that each pair of distinct elements of  $V$  belong to exactly one member of  $S$ . (See [3].)

Consider a combinatorial geometry  $(V, S)$  of rank three. The *line structure* for  $(V, S)$  is the function  $P:2^V \rightarrow 2^V$  defined as follows: If  $X \subseteq V$ , then  $P(X) = X$  if  $X$  is a singleton set, and  $P(X)$  is the union of all  $Y \in S$  such that  $Y$  contains at least two elements of  $X$  if  $X$  is not a singleton set. Associated with  $P$  is a sequence  $\{P^n\}_{n \in N}$  of functions (with  $N$  being the set of all positive integers) and a function  $P^\infty$  defined as follows:  $P^1 = P$ ; if  $n \in N$  then  $P^{n+1} = PP^n$ ; and  $P^\infty(X) = \cup \{P^n(X) : n \in N\}$  for each  $X \subseteq V$ .

The notion of independence treated in this paper is the notion of independence with respect to the line structure  $P$  for a combinatorial geometry  $(V, S)$  of rank three. Consider an element  $n$  of  $N \cup \{\infty\}$  and a subset  $X$  of  $V$ . By definition,  $X$  is  *$P^n$ -independent* if  $x \notin P^n(X - \{x\})$  for each  $x \in X$ , where  $S - T = \{y \in S : y \notin T\}$  if  $S$  is a set and  $T$  is a set. Also, if  $Y \subseteq V$ , then  $Y$  is a  *$P^n$ -generator of  $X$*  if  $P^n(Y) = X$ ; and  $Y$  is a  *$P^n$ -basis of  $X$*  if  $Y$  is a  $P^n$ -independent  $P^n$ -generator of  $X$ .

This notion of independence is defined for an arbitrary *structure in a set*, that is, a function  $P:2^V \rightarrow 2^V$  for some set  $V$ . (See [5] and [6].) The notion of  $P$ -independence is studied in [1], [2], [4] and [6], based on the following properties:  $P$  is *monotone* [if  $X \subseteq Y \subseteq V$ , then  $P(X) \subseteq P(Y)$ ],  $P$  is *extensive* [if  $X \subseteq V$ , then  $X \subseteq P(X)$ ],  $P$  is *idempotent* [if  $X \subseteq V$ , then  $P(P(X)) = P(X)$ ],  $P$  is a *closure structure* [ $P$  is monotone, extensive and idempotent],  $P$  has the *exchange property* [if  $X \subseteq V$ ,  $y \in V$ ,  $x \in P(X \cup \{y\})$  and  $x \notin P(X)$ , then  $y \in P(X \cup \{x\})$ ],  $P$  has  *$\alpha$ -character* (with  $\alpha$  being a cardinal number) [if  $X \subseteq V$ , then  $P(X) \subseteq \cup \{P(Y) : Y \subseteq X \text{ and } |Y| < \alpha\}$ , where  $|S|$  denotes the cardinal number of a set  $S$ ],  $P$  is *finitary* [ $P$  has  $\alpha$ -character with  $\alpha$  being the first infinite cardinal number],  $P$  is *normal* [if  $G$  is a chain of subsets of  $V$ , then  $P(\cup G) \subseteq \cup \{P(X) : X \in G\}$ ], and  $P$  has the *equivalence covering property* [if  $X \subseteq V$ ,  $Y \subseteq V$  and  $P(X) = P(Y)$ , then  $P(X) \subseteq \cup \{P(Z) : Z \subseteq Y \text{ and } |Z| \leq |X|\}$ ]. (These definitions appear in [1], [2], [4], [5] and [6].)

In Section 2, some properties of the sequence  $\{P^n\}_{n \in N}$  and the function  $P^\infty$  associated with the line structure  $P$  for a combinatorial geometry  $(V, S)$  of rank

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three are developed. A characterization of *line-closed subset of  $V$*  [a subset  $X$  of  $V$  such that  $P(X) \subseteq X$ ] is given in terms of values of  $P^\infty$ . Independence and generation with respect to  $P^\infty$  and the terms of  $\{P^n\}_{n \in \mathbb{N}}$  is treated. Results from [2], [4], and [6] are applied. If  $X \subseteq V$ , then any two  $P^\infty$ -bases of  $P^\infty(X)$  are in one-to-one correspondence. Finally, in Section 3, a non-trivial vector space  $V$  over a division ring  $F$  is interpreted as a combinatorial geometry  $(V, S)$  of rank three. It follows that if  $p$  is the characteristic of  $F$ ,  $\alpha$  is the dimension of  $V$  and either  $1 < p < \alpha$  or  $p=0$ , then  $P^\infty$  is the linear variety structure in  $V$  (and that the condition  $p < \alpha$  is relevant).

**2. Main results.** Unless the contrary is indicated, the symbols  $V, S, P$  and  $L$  will be used with the understanding that  $(V, S)$  is a combinatorial geometry of rank three,  $P$  is the line structure for  $(V, S)$  and  $L$  is the function defined on  $V^2$  such that if  $x \in V$  and  $y \in V$ , then  $L(x, y) = \{x\}$  if  $x=y$  and  $L(x, y)$  is the member of  $S$  which contains  $x$  and  $y$  if  $x \neq y$ . If  $Q_1$  is a structure in a set  $W$  and  $Q_2$  is a structure in  $W$ , then  $Q_1 \subseteq Q_2$  if  $Q_1(X) \subseteq Q_2(X)$  for each  $X \subseteq W$ , and  $Q_1 = Q_2$  if  $Q_1 \subseteq Q_2 \subseteq Q_1$ . If  $S$  is a set, the symbol  $|S|$  shall denote the cardinal number of  $S$ .

**PROPOSITION 1.** *Let  $P$  be a line structure.*

- (a) *If  $n \in \mathbb{N}$ , then  $P^n$  is extensive, monotone, normal and has  $(2^n + 1)$ -character.*
- (b)  *$P^\infty$  is a finitary, normal closure structure.*
- (c) *If  $n \in \mathbb{N}$ , then  $P^n \subseteq P^{n+1} \subseteq P^\infty$ .*

**Proof.** It follows by induction that if  $n \in \mathbb{N}$ , then  $P^n$  is extensive, monotone and has  $(2^n + 1)$ -character (so that  $P^n$  is finitary). Hence, (c) follows, and it follows that  $P^\infty$  is extensive, monotone, and finitary. It is easy to show that every finitary structure is normal. Therefore,  $P^\infty$  and each  $P^n$  is normal. Since each  $P^n$  is normal, it follows from (c) that  $P^\infty$  is idempotent. This completes a proof of the proposition.

**COROLLARY.** *A subset  $X$  of  $V$  is line-closed if and only if  $X = P^\infty(Y)$  for some  $Y \subseteq V$ .*

**PROPOSITION 2.**  *$P$  has the exchange and equivalence covering properties.*

**Proof.** Assume that  $X \subseteq V$ ,  $y \in V$ ,  $x \in P(X \cup \{y\})$  and  $x \notin P(X)$ . It is clear that  $y \in P(X \cup \{x\})$  if  $X = \emptyset$ . Consider the case that  $X \neq \emptyset$ . Let  $z$  and  $w$  be distinct elements of  $X \cup \{y\}$  such that  $x \in L(z, w)$ . One of  $z$  and  $w$  must be  $y$ , say  $w=y$ . If  $x=y$ , then  $y \in P(X \cup \{x\})$  [since  $P$  is extensive]. If  $x \neq y$ , then  $y \in L(z, x)$  [since  $(V, S)$  is a combinatorial geometry of rank three] while  $L(z, x) \subseteq P(X \cup \{x\})$ , so that  $y \in P(X \cup \{x\})$ . It follows that  $P$  has the exchange property. Since  $P$  has  $(2^1 + 1)$ -character, it follows that  $P$  has the equivalence covering property. The proposition follows.

The following proposition is an immediate consequence of (c) of Proposition 1, the definition of  $\{P^n\}_{n \in \mathbb{N}}$  and the definition of  $P^\infty$ .

PROPOSITION 3. *If  $n \in (N \cup \{\infty\})$ , then  $P^n$  has the exchange property if and only if the following condition is satisfied:*

*If  $X \subseteq V$ ,  $y \in V$  and  $x \in [P^m(X \cup \{y\}) - P^n(X)]$  for some  $m \in N$  such that  $m \leq n$ , then  $y \in P^m(X \cup \{y\})$  for some  $m \in N$  such that  $m \leq n$ .*

PROPOSITION 4. *Suppose that  $n \in (N \cup \{\infty\})$ .*

(a) *If  $X \subseteq V$  such that  $|X| \leq 2$  or  $X \subseteq Y$  for some  $P^n$ -independent subset  $Y$  of  $V$ , then  $X$  is  $P^n$ -independent.*

(b) *If  $P^n$  has the exchange property, then every  $P^n$ -independent subset  $X$  of  $V$  satisfies the following conditions:*

*$x \in [V - P^n(X)]$  implies that  $X \cup \{x\}$  is  $P^n$ -independent.*

**Proof.** (a) is an immediate consequence of the definitions. Assume that  $P^n$  has the exchange property while  $X$  is a  $P^n$ -independent subset of  $V$ ,  $x \in V$  and  $x \notin P^n(X)$ . Suppose that  $X \cup \{x\}$  is not  $P^n$ -independent. Choose an element  $y$  of  $X \cup \{x\}$  such that  $y \in P^n([X \cup \{x\}] - \{y\})$ . Then  $x \neq y$  [since  $x \notin P^n(X)$  and  $P^n$  is extensive]. Hence,  $y \in P^n([X - \{y\}] \cup \{x\})$  while  $P^n$  has the exchange property and  $y \notin P^n(X - \{y\})$  [since  $X$  is  $P^n$ -independent and  $y \in X$ ]. Therefore,  $x \in P^n([X - \{y\}] \cup \{y\}) = P^n(X)$ . But  $x \notin P^n(X)$ . It follows that  $X \cup \{x\}$  is  $P^n$ -independent. (b) follows. The proof is complete.

Propositions 5 and 6 are immediate consequences of (c) of Proposition 1 and the definitions of  $\{P^n\}_{n \in N}$  and  $P^\infty$ .

PROPOSITION 5. *If  $n \in (N \cup \{\infty\})$ ,  $m \in N$  such that  $m < n$ , and  $X \subseteq V$ , then each  $P^m$ -generator of  $P^m(X)$  is a  $P^n$ -generator of  $P^n(X)$ .*

PROPOSITION 6.

(a) *If  $n \in N$ ,  $m \in N$  and  $m < n$ , then each  $P^n$ -independent subset of  $V$  is  $P^m$ -independent.*

(b) *If  $n \in (N \cup \{\infty\})$ , then a subset  $X$  of  $V$  is  $P^n$ -independent if and only if  $X$  is  $P^m$ -independent for each  $m \in N$  such that  $m \leq n$ .*

The converse of (a) of Proposition 6 is not a theorem. Let  $R$  be the residue class ring of integers modulo a prime number  $p$ ,  $W = R^2$  and  $T$  be the collection of all sets  $\{r(x-y) + y : r \in R\}$ . Then  $(W, T)$  is a combinatorial geometry of rank three. Let  $Q$  be the line structure for  $(W, T)$ . Let  $X = \{(0, 0), (1, 0), (0, 1), (1, 1)\}$ . Then  $X$  is  $Q$ -independent. Also,  $Q^2(X - \{(0, 0)\})$  contains  $(0, 0)$ , so that  $X$  is not  $Q^2$ -independent.

PROPOSITION 7. *Suppose that  $U \subseteq V$ , and that  $n \in (N \cup \{\infty\})$ .*

(a) *If  $X \subseteq V$  such that  $X$  is a  $P^n$ -basis of  $P^n(U)$ , then  $X$  is a maximal  $P^n$ -independent subset of  $U$ .*

(b) *If  $P^n$  has the exchange property, then every maximal  $P^n$ -independent subset of  $U$  is a  $P^n$ -generator of  $P^n(U)$ .*

**Proof.** Since  $P^n$  is monotone, (a) follows. Assume that  $P^n$  has the exchange property while  $X$  is a maximal  $P^n$ -independent subset of  $U$ . It follows from (b) of Proposition 4 that  $U \subseteq P^n(X)$  while  $P^n(X) \subseteq P^n(U)$  [since  $P^n$  is monotone] and  $P^\infty(U)$  is line-closed [corollary to Proposition 1]. Therefore, it follows that  $P^\infty(X) = P^\infty(U)$ . (b) follows. The proof is complete.

**PROPOSITION 8.** *Suppose that  $n \in (N \cup \{\infty\})$ .*

(a) *Every subset of  $V$  has a maximal  $P^n$ -independent subset.*

(b) *If  $U \subseteq V$ , then every  $P^n$ -independent subset of  $U$  can be extended to a maximal  $P^n$ -independent subset of  $U$ .*

**Proof.** Assume that  $U \subseteq V$ , and that  $X$  is a  $P^n$ -independent subset of  $U$ . Let  $F$  be the collection of all  $P^n$ -independent subsets of  $U$ , and let  $F_1$  be the collection of all  $P^n$ -independent subsets  $Y$  of  $U$  such that  $X \subseteq Y$ . Then  $F$  contains  $\phi$  and  $F_1$  contains  $X$ . Since  $P^n$  is monotone and normal, it follows that each chain of  $F$  (ordered by set inclusion) has its union as an upper bound, and that each chain of  $F_1$  has its union as an upper bound. Hence, it follows from Zorn's lemma that  $F$  and  $F_1$  have maximal elements. The proposition follows.

Consider a structure  $Q$  in a set  $W$ . We shall say that  $Q$  has the *Steinitz exchange property* if the following condition is satisfied: If  $X \subseteq W$ ,  $Y$  and  $Z$  are  $Q$ -bases of  $Q(X)$  and  $A$  is a finite subset of  $X$ , then there is a finite subset  $B$  of  $Y$  such that  $|B| = |A|$  and  $(X - A) \cup B$  is a  $Q$ -basis of  $Q(X)$ . Also, we shall say that  $Q$  has the *dimension property* if the following condition is satisfied: If  $X \subseteq W$ , then any two  $Q$ -bases of  $Q(X)$  are in one-to-one correspondence. It is known (See, e.g., [2] and [6]) that

I. If  $Q$  is a closure structure having the exchange property, then  $Q$  has the Steinitz exchange property.

It is known ([4] and [6]) that the following conditions are satisfied:

II. If  $Q$  is a closure structure having the equivalence covering property,  $X \subseteq W$  and  $Y$  is a  $Q$ -basis of  $Q(X)$ , then  $|Y| \leq |X|^2$ .

III. If each subset  $X$  of  $W$  has a  $Q$ -basis of  $Q(X)$ , and if each two  $Q$ -independent subsets  $Y$  and  $Z$  of  $W$  such that  $Z$  is a  $Q$ -generator of  $Q(Y)$  are in one-to-one correspondence, then  $Q$  has the equivalence covering property.

Therefore, it follows from I, II and III that

IV. If  $Q$  is a closure structure having the exchange property, then  $Q$  has the dimension property if and only if  $Q$  has the equivalence covering property.

**PROPOSITION 9.** *If  $P^\infty$  has the exchange property, then  $P^\infty$  has the equivalence covering property.*

**Proof.** Suppose that  $P^\infty$  has the exchange property, and that  $X \subseteq V$ . Recall that  $P^\infty$  is a closure structure [(b) of Proposition 1]. If  $P^\infty(X)$  has a finite  $P^\infty$ -basis, then it follows from I that any two  $P^\infty$ -bases of  $P^\infty(X)$  are in one-to-one correspondence. If  $P^\infty(X)$  has an infinite  $P^\infty$ -basis, then it follows from II that any two

$P^\infty$ -bases of  $P^\infty(X)$  are in one-to-one correspondence. Therefore,  $P^\infty$  has the dimension property. It follows from IV that  $P^\infty$  has the equivalence covering property.

It was shown in [5] that monotonicity, extensiveness, idempotence,  $\alpha$ -character (with  $\alpha$  being a cardinal number), the exchange property and the equivalence covering property are independent. Therefore, Proposition 9 is not valid for all closure structures.

**3. Closing remarks.** Let  $V$  be a non-trivial vector space over a division ring  $F$  and  $S$  be the collection of all  $\{r(x-y)+y: r \in F \text{ such that } x \in V, y \in V \text{ and } x \neq y\}$ . Then  $(V, S)$  is a combinatorial geometry of rank three. Let  $P$  be the line structure for  $(V, S)$ . Let  $Q: 2^V \rightarrow 2^V$  be the structure in  $V$  such that if  $X \subseteq V$ , then  $Q(X)$  is the set of all finite linear combinations of elements of  $X$  whose coefficients sum to the multiplicative identity in  $F$ . Let  $p$  be the characteristic of  $F$  and  $\alpha$  be the dimension of  $V$ . Then

IVa. If  $p=0$ , then  $P^\infty=Q$ . If  $p \neq 0$ , then  $P^\infty(X)=Q(X)$  for each  $X \subseteq V$  such that  $|X| < p$ ; hence, if  $1 < \alpha < p$ , then  $P^\infty=Q$ .

It is obvious that  $P \subseteq Q$ , and that  $Q(X)$  is line-closed for each  $X \subseteq V$ . So  $P^\infty \subseteq Q$ . An inductive argument can be used to complete a proof of the assertion.

The following example shows that the condition  $p < \alpha$  is needed. Let  $F$  be the residue class ring of integers modulo 2. Let  $V=F^2$ . Let  $X=\{(0, 0), (1, 0), (0, 1)\}$ . Then  $P^\infty(X)=P(X)=X$  while  $Q(X)=V$ .

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