SEMIGROUPS OF OPERATORS IN C(S)

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1. Our study in this paper is two-fold: One is that of a semigroup of linear operators on the space C(S) of bounded continuous functions on a locally compact Hausdorff space S, while the other is that of a transition function of measures in the Banach space M(S) of bounded regular Borel measures on S. It will be seen that an informative and essentially non-restrictive theory of the former can be obtained when C(S) is given the strict topology rather than the usual supremum norm topology and that, in this setting, the natural relationship between semigroups and transition functions obtained when S is compact is maintained, essentially because the dual of C(S) with the strict topology is M(S).

More specifically, we determine conditions under which the general theory of semigroups in locally convex spaces applies to our situation and establish a one-to-one relationship between semigroups on C(S) and certain transition functions in M(S). We show, furthermore, that such semigroups are uniquely determined by the infinitesimal generator of the semigroup of adjoint operators in the Banach space M(S).

2. Definitions and notation. Our notation and terminology is that of [10] with a few exceptions which we now point out. If T is a topological space, then a mapping $\lambda \colon T \to M(S)$ will be called a continuous kernel if for each $f \in C(S)$ and $x \in T$ the function $\lambda(f)(x) = \int_S f(y)\lambda(x) \ (dy) = \int_S f(y) \ \lambda(x, dy)$ is bounded and continuous on T. From the uniform boundedness principle, $||\lambda|| = \sup\{||\lambda(x)|| \colon x \in T\} < \infty$. Departing from our terminology in [10] we say that the mapping λ is *tight* if for each compact subset Q of T, $\{\lambda(x) \colon x \in Q\}$ is an equicontinuous subset of M(S) as the dual of $C(S)_\beta$ (i.e., C(S) with the strict topology). According to [2, Theorem 2.2], this is equivalent to the statement that for each $\epsilon > 0$ there is a compact subset K of S such that $|\lambda(x)|(S\backslash K) = |\lambda|(x, S\backslash K) < \epsilon$ for all $x \in Q$. Furthermore, we note in reference to [3; 10] that it has been shown in [4] that the β and β' topologies are equivalent topologies on C(S). We also note from [1] that a collection B of operators in $C(S)_\beta$ is equicontinuous if given $\psi \in C_0(S)$ there is a $\phi \in C_0(S)$ such that $||\phi f|| \le 1$ implies $||\psi T f|| \le 1$ for all $T \in B$.

A semigroup of operators on a locally convex space X is a collection $\{T_t: t > 0\}$ of linear operators on X into itself such that $T_tT_s = T_{t+s}$ for all t, s > 0. A transition function on S is a collection $\{\lambda_t: t > 0\}$ of kernels on S

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into M(S) such that $\lambda_{t+s}(x, E) = \int_S \lambda_t(y, E) \lambda_s(x, dy)$ for all $t, s > 0, x \in S$, and Borel sets E. These integrals exist according to [10]. All terminology related to semigroups is taken from [7; 12].

3. Preliminary results. In this section we restate, for ease of reference, results already contained in [10].

THEOREM 3.1. (a) If A is a continuous operator on $C(S)_{\beta}$ into $C(T)_{\beta}$, then there is a unique tight continuous kernel $\lambda: T \to M(S)$ such that $Af = \lambda(f)$ for all $f \in C(S)$, $A^*\nu = \lambda(\nu)$ for $\nu \in M(S)$ (where $\lambda(\nu)(E) = \int_T \lambda(x, E)\nu(dx)$) and $A^{**}f = \lambda(f)$ for any bounded Borel measurable function on S.

- (b) If $\lambda: T \to M(S)$ is a tight continuous kernel, then the formula $Af = \lambda(f)$ defines a continuous operator on $C(S)_{\beta}$ into $C(T)_{\beta}$.
- (c) If S is a paracompact space, then any continuous kernel $\lambda \colon T \to M(S)$ is tight.

We note that the hypothesis in (b) can be weakened. If λ is tight and $\lambda(f) \in C(T)$ for $f \in C_0(S)$, then by [10, Theorem 4], λ is a continuous kernel. Finally, Theorem 3.1 implies that $\sup\{||Af||: f \in C(S), ||f|| \leq 1\} = ||\lambda|| < \infty$. We set $||A|| = ||\lambda||$ so that ||A|| is the usual operator norm on A on C(S).

Applying Theorem 3.1 to semigroups on C(S), we obtain the following representation and uniqueness theorem.

THEOREM 3.2. (a) If $\{T_t: t > 0\}$ is a semigroup of continuous operators on $C(S)_{\beta}$, then there is a unique transition function $\{\lambda_t: t > 0\}$ of tight continuous kernels such that $T_t f = \lambda_t(f)$ for all $f \in C(S)$.

(b) Conversely, any transition function $\{\lambda_t: t > 0\}$ of tight continuous kernels gives rise to a semigroup of continuous operators on $C(S)_{\beta}$ by way of the formula $T_t f = \lambda_t(f)$.

For a proof, apply Theorem 3.1 to each operator T_t and note that $\lambda_{t+s}(x, E) = (T_{t+s} * \tilde{x})(E) = T_t * (T_s * \tilde{x})(E)$

$$= \lambda_t(\lambda_s(\tilde{x}))(E) = \int_S \lambda_t(y, E) \lambda_s(x, dy),$$

where \tilde{x} is the unit point measure concentrated at x.

We single out for special attention the following result.

THEOREM 3.3. If S is paracompact and $\{\lambda_t: t > 0\}$ is a transition function of continuous kernels, then $T_t f = \lambda_t(f)$ is a semigroup of continuous linear operators in $C(S)_{\beta}$.

In particular then, if S is paracompact and if the semigroup arises from a transition function, as in the study of Markov processes, and leaves C(S) invariant, then it is a fortiori a semigroup of continuous operators in $C(S)_{\beta}$. Hence for most transition functions considered in the literature, a study of the induced semigroup in $C(S)_{\beta}$ is natural and non-restrictive.

One can also relate semigroups in $C(S)_{\beta}$ with Markov processes in S. For with the extension of Kolmogorov's work on extending probabilities found in

- [8, p. 82], it follows that each transition function in M(S), with $||\lambda_t|| = 1$ and $\lambda_t(x)$ non-negative for all t and x, gives rise to a Markov process, since we are dealing with regular measures. Hence a semigroup of positive strictly continuous operators of norm one must arise from a Markov process.
- **4. Semigroups of class** (C_0) in $C(S)_{\beta}$. In this section we impose the "usual" conditions (that is, conditions analogous to those assumed when S is compact or when one studies semigroups in the Banach space $C_0(S)$) on the semigroup $\{T_t: t > 0\}$ of continuous operators in $C(S)_{\beta}$ and relate this situation to the general theory found in [12; 7].

More specifically, we will say that the semigroup $\{T_t: t > 0\}$ in C(S) is of class (C) if:

- (1) $\lim_{t\to 0} T_t f(x) = f(x)$ for each $x \in S$ and each $f \in C_0(S)$,
- (2) there exists a number a > 0 such that $\{T_i : t \leq a\}$ is equicontinuous in $C(S)_{\beta}$.

We note that Yosida [12] defines a semigroup on a sequentially complete locally convex space to be of class (C_0) if $\lim_{t\to t_0} T_t x = T_{t_0} x$ for all $t_0 \ge 0$ and all $x \in X$ and if $\{T_i: t > 0\}$ is equicontinuous, where T_0 is the identity operator. In the notation of [7], a class (C_0) semigroup is referred to as a (τ, τ) -semigroup. Note by Theorem 3.2 (a), that any class (C) semigroup is given by a unique transition function.

It is evident that a class (C) semigroup need not be class (C_0) , the translation semigroup $[T_t f](x) = f(t+x)$ in $C[0, \infty)$ being a simple example of this

We begin with a generalization of a result found in [6, p. 36].

THEOREM 4.1. Let X be a locally convex space and let $\{T_t: t > 0\}$ be a semigroup of linear operators in X such that $\{T_t: t \leq a\}$ is equicontinuous for some a > 0. Let $X_0 = \{x \in X: T_t x \to x \text{ as } t \to 0\}, X_1 = \{x \in X: T_t x \to x \text{ weakly as } t \to 0\}$. Then, $X_0 = X$ if and only if $X_1 = X$.

Proof. Clearly we need only show that $X_1 = X$ implies $X_0 = X$.

Fix $x^* \in X^*$ and let $f(t) = \langle T_t x, x^* \rangle$ for a fixed $x \in X_1$. Then f is continuous from the right at each number t > 0, and consequently the function $t \to T_t x$ is weakly measurable for each $x \in X = X_1$.

We claim that the values of this function $t \to T_t x$ lie in a separable subspace of X save for all t in a set of Lebesgue measure 0. Let L be the closure of $\{\sum_{i=1}^n a_i T_{\tau_i} x: r_i \text{ is rational and } a_i \text{ is a complex number with rational real and imaginary parts}\}$. Hence L is a closed, separable subspace of X. If $T_t x \notin L$ for some t > 0, then there is an $x^* \in X^*$ such that $\langle T_t x, x^* \rangle = 1$ while $\langle y, x^* \rangle = 0$ for all $y \in L$, a clear contradiction.

It now follows from [11, Remark 1] and the hypothesis of equicontinuity that $t \to T_t x$ is continuous in X at all points t > 0. Hence $X_0 \supset \{T_t x: t > 0\}$. Furthermore, X_0 is a closed subspace of X and consequently by an argument similar to the above, $X = X_0$.

Our principal result is the following.

THEOREM 4.2. Let $\{T_t: t>0\}$ be a semigroup of operators in $C(S)_\beta$ with transition function $\{\lambda_t: t>0\}$. Let T_0 denote the identity operator on C(S) and let λ_0 be its kernel. Finally, for a>0 let $W_a=[0,a]\times S$. The following are equivalent:

- (a) $\{T_t: t > 0\}$ is of class C;
- (b) The mapping $\mu: W_a \to M(S)$ given by $\mu(t, x) = \lambda_t(x)$ is tight and $\lim_{t\to 0} T_t f = f$ in the strict topology for all $f \in C(S)$;
 - (c) μ is a tight continuous kernel;
 - (d) μ is tight and $\mu(f) \in C(W_a)$ for all $f \in C_0(S)$;
- (e) $[Bf](t,x) = [T_t f](x)$ is a continuous linear operator on $C(S)_{\beta}$ into $C(W_{\alpha})_{\beta}$.

When S is paracompact, these are equivalent to:

(c') μ is a continuous kernel; i.e., the mapping $(t, x) \to [T_t f](x)$ is a bounded continuous function on W_a for each $f \in C(S)$.

Proof. Suppose that (a) holds. By the semigroup property, $\{T_t: t \leq a\}$ is equicontinuous for any a > 0. If $Q \subset [0, a] \times K$ with K compact in S, let $\phi \in C_0(S)$, $\phi \equiv 1$ on K. Then there is a β -neighbourhood V of zero such that $||\phi T_t f|| \leq 1$ for all $f \in V$ and $t \leq a$. Hence if $(t, x) \in Q$, then $|\mu(f)(t, x)| = |\lambda_t(f)(x)| = |\phi(x)[T_t f](x)| \leq 1$ for all $f \in V$. Consequently, μ is tight. To complete the proof that (b) follows from (a), fix $x \in S$ and let $\nu(t, E) = \mu((t, x), E)$. Then ν is tight and by (a), $\nu(f)$ is continuous at 0 for all $f \in C(S)$. It follows from the remark following Theorem 3.1 that $\nu(f)$ is continuous at 0 for all $f \in C(S)$. Hence $[T_t f](x) \to f(x)$ for all $f \in C(S)$ and $x \in S$ as $t \to 0$. Since $\{T_t f: t \leq a\}$ is bounded in the supremum norm, $T_t f \to f$ weakly as $t \to 0$ for each $f \in C(S)_{\beta}$. Applying Theorem 4.1, we obtain (b).

To see that (b) implies (c), note first that by (b) and the semigroup property, $\lim_{t\to t_0^+} T_t f = T_{t_0} f$ uniformly on compact subsets of S. Let K be a compact subset of S. Then by (b) there is a β -neighbourhood V of 0 such that if $g \in V$, then $|\mu(g)(t,x)| < \epsilon$ for all $(t,x) \in [0,a] \times K$. Furthermore, there is a $\delta > 0$ such that

$$T_{t_0-t}f - f \in V \quad \text{for } 0 < t_0 - t < \delta.$$

Hence if $x \in K$ and $0 < t_0 - t < \delta$, then

$$|[T_t f](x) - [T_{t_0} f](x)| = |T_t [f - T_{t_0 - t} f](x)| = |\mu(f - T_{t_0 - t} f)(t, x)| < \epsilon.$$

Therefore, $\lim_{t\to t_0^-} T_t f = T_{t_0} f$ uniformly on compact subsets of S. Since S is locally compact, this proves (c).

Clearly (c) implies (d). By Theorem 3.1(b) along with the remark following it, (d) implies (e). Finally, (e) implies (a) since continuity of Bf at (0, x) implies that $T_t f \to f$ pointwise on S while continuity of B implies that $\{T_t: t \leq a\}$ is equicontinuous.

To complete the proof, note that Theorem 3.1(c) yields the equivalence of (c) and (c').

Before making use of these results, we need the following lemma.

LEMMA 4.3. Let $\{T_t: t \geq 0\}$ be a class (C) semigroup of operators in $C(S)_{\beta}$. Then there exist numbers $M, \gamma > 0$ such that $||T_t|| \leq Me^{\gamma t}$ for t > 0.

Proof. We consider the semigroup as a semigroup of bounded operators in the Banach space C(S) with the supremum norm topology. According to (12, p. 232), it suffices to show that $\{\log ||T_t||: 0 < t \le a\}$ is bounded above for each number a > 0. However, since the supremum norm is bounded and the strictly bounded sets in C(S) coincide, according to [1], and since $\{T_t: 0 < t \le a\}$ is equicontinuous in $C(S)_{\beta}$, it follows that $\sup_{0 < t \le a} ||T_t|| < \infty$.

We will call the semigroup $\{T_t: t>0\}$ bounded if $\sup_{t>0}||T_t||<\infty$. By Lemma 4.3, any class (C) semigroup in $C(S)_{\beta}$ can be converted into a bounded semigroup upon multiplication by $e^{-\gamma t}$. Our next result generalizes the result of Dorroh [3, Theorem 2.5] and shows that if γ is only slightly larger, we obtain a bounded class (C_0) semigroup in $C(S)_{\beta}$.

THEOREM 4.4. Let $\{T_t: t > 0\}$ be a bounded semigroup in $C(S)_{\beta}$ of class (C) with transition function $\{\lambda_t: t > 0\}$. Then $\{e^{-\alpha^t}T_t: t > 0\}$ is a class (C_0) semigroup in $C(S)_{\beta}$ for every number $\alpha > 0$.

Proof. Let $T = [0, \infty) \times S$ and define $\mu: T \to M(S)$ by $\mu(t, x) = \lambda_t(x)$. Since the semigroup is of class (C), Theorem 4.2(b) holds for all a > 0 and consequently μ is tight. Furthermore, by property (c) and the assumption that $\sup ||T_t|| = \sup_t ||\lambda_t|| < \infty$, we have $\mu(f) \in C(T)$ for each $f \in C(S)$. Hence $Af = \mu(f)$ is a continuous linear operator on $C(S)_{\beta}$ into $C(T)_{\beta}$. Consequently, if $\phi \in C_0(S)$, there is a β -neighbourhood V of 0 such that $|e^{-\alpha t}\phi(x)\mu(f)(t,x)| \leq 1$ for all $(t,x) \in T$ and $f \in V$. Hence, $||\phi e^{-\alpha t}T_t f|| \leq 1$ for all $f \in V$ and t > 0. That is, $\{e^{-\alpha t}T_t: t > 0\}$ is equicontinuous in $C(S)_{\beta}$. Finally, Theorem 4.2(b) also means that $\lim_{t\to 0} e^{-\alpha t}T_t f = f$ in the strict topology, completing the proof.

This result tells us then that one can always convert the usual kind of semi-group in C(S) into a class (C_0) semigroup in $C(S)_{\beta}$ to which the general theory in [7; 12] applies.

As a second application of Theorem 4.4, we consider a semigroup of maps in S as defined in [3] and obtain certain results therein (see [3, Theorems 2.2, 2.4, 2.5, and 2.6]) quite easily.

THEOREM 4.5. Let $\{\phi_t: t \geq 0\}$ be a semigroup of maps in S. Let $[T_t f](x) = f(\phi_t(x))$. The following are equivalent:

- (a) $\{T_t: t > 0\}$ is of class (C);
- (b) $\{e^{-\alpha t}T_t: t>0\}$ is of class (C_0) for each $\alpha>0$;
- (c) The map $t \to \phi_t(x)$ is continuous on $[0, \infty)$ for each $x \in S$;

- (d) The map $(t, x) \to \phi_t(x)$ is continuous on $[0, \infty) \times S$;
- (e) $\lim_{t\to 0} \phi_t(x) = x$ for each $x \in S$, and for each a > 0 and each compact set $K \subset S$, $\bigcup_{t \leq a} \phi_t(K)$ is compact;
- (f) $\lim_{t\to 0} \phi_t(x) = x$ and $\{T_t: t \leq a\}$ is equicontinuous in $C(S)_\beta$ for some a > 0.

Proof. From Theorem 4.4, with $\lambda_t(x) = \phi_t(x)$, (a) implies (b). To see that (b) implies (c), fix (t_0, x_0) , let U be a neighbourhood of $\phi_{t_0}(x_0)$ and let $f \in C_0(S)$ be one at $\phi_{t_0}(x_0)$ and zero on $S \setminus U$. Then there is a $\delta > 0$ such that $|t - t_0| < \delta$ implies $||f \cdot (T_t f - T_{t_0} f)|| < \frac{1}{2}$ and hence that $|f \cdot (\phi_t(x_0) - 1)| < \frac{1}{2}$ and therefore that $\phi_t(x_0) \in U$.

We obtain the implication (c) implies (a) by restricting the semigroup $\{T_t: t > 0\}$ to the invariant subspace $C_0(S)$ and applying Theorem 4.1 under condition (c) to obtain $\lim_{t \to t_0} ||T_t f - T_{t_0} f|| = 0$ for all $f \in C_0(S)$. This, along with an argument similar to the above, yields (d).

Clearly (d) implies (e). To see that (e) implies (f), let $\phi \in C_0(S)$, $K_n = \{x: |\phi(x)| \ge 1/n\}$, $\epsilon_1 = 1/||\phi||$, and $\epsilon_n = n-1$, for $n \ge 2$. Let $Q_n = \bigcup_{t \le a} \phi_t(K_n)$ and let $V = \{f \in C(S): ||f||_{Q_n} \le \epsilon_n\}$. Then V is a β -neighbourhood of 0 and $f \in V$ implies $|\phi(x)f(\phi_t(x))| \le 1$ for $t \le a$. That is, $f \in V$ implies $||\phi T_t f|| \le 1$ for $t \le a$ so that $\{T_t: t \le a\}$ is equicontinuous in $C(S)_{\beta}$. Clearly (f) implies (a), completing the proof.

5. The infinitesimal generator of the class (C) semigroup. In this section we relate the class (C) semigroup to its infinitesimal generator as well as to the infinitesimal generator of the related semigroup of adjoint operators. We first use Theorem 4.4 and the Hille-Yosida theorem to characterize the infinitesimal generator (see [7]) of a class (C) semigroup.

THEOREM 5.1. The linear operator A defined on a subspace D_A of C(S) is the infinitesimal generator of a uniquely determined class (C) semigroup of continuous operators in $C(S)_{\beta}$ if and only if

- (a) D_A is dense in $C(S)_{\beta}$,
- (b) A is sequentially closed in $C(S)_{\beta}$, and
- (c) for some $\alpha > 0$, there is an unbounded sequence $\lambda_n \ge 0$ such that $(\lambda_n + \alpha A)^{-1}$ exists and

$$\left\{ \left(I - \frac{A - \alpha}{\lambda_n}\right)^{-m} : n, m = 1, 2, \ldots \right\}$$

is equicontinuous.

Proof. Suppose that A satisfies (a), (b), and (c). The linear operator $A - \alpha$, defined on D_A , is the infinitesimal generator of a unique class (C_0) semigroup of continuous operators in $C(S)_{\beta}$, according to [7, Propositions 3.9 and 6.1], which we denote by $\{S_t: t \geq 0\}$. Clearly then $\{e^{at}S_t: t \geq 0\}$ is a class (C) semigroup in $C(S)_{\beta}$ with infinitesimal generator $\alpha + (A - \alpha) = A$. Furthermore, suppose that A generates another class (C) semigroup $\{T_t: t > 0\}$.

By Lemma 4.3 and Theorem 4.4, $\{e^{-\alpha t}e^{-\gamma t}T_t: t>0\}$ is a class (C_0) semigroup whose generator is $A-\alpha-\gamma$. However, $\{e^{-\gamma t}S_t: t>0\}$ is also a class (C_0) semigroup whose generator is $A-\alpha-\gamma$. Hence $T_t=e^{\alpha t}S_t$ for all t>0, proving uniqueness.

Conversely, if A is the generator of a class (C) semigroup, then again appealing to Lemma 4.3 and Theorem 4.4 there is a number $\alpha > 0$ such that $\{e^{-\alpha t}T_t: t > 0\}$ is a class (C_0) semigroup. An appeal to [7, Proposition 6.1] completes the proof.

We will now show that the class (C) semigroup is also uniquely determined by the infinitesimal generator of the semigroup of adjoint operators in M(S). This result is indicated by [6, Theorem 2.6] and its development.

THEOREM 5.2. Let $\{T_t: t > 0\}$ be a class (C) semigroup in $C(S)_{\beta}$ and let $\{U_t: t > 0\}$ be the semigroup of adjoint operators in M(S). The infinitesimal generator of $\{U_t: t > 0\}$ uniquely determines $\{T_t: t > 0\}$.

Proof. Let A be the infinitesimal generator of $\{U_t: t > 0\}$ and also of some other semigroup $\{V_t: t > 0\}$ of adjoint operators of a class (C) semigroup $\{S_t: t > 0\}$. Referring to [7, Proposition 4.1], let τ be the norm topology on M(S) and let σ be the β -weak* topology on M(S); i.e., the weak* topology on M(S) as the dual of $C(S)_{\beta}$. It follows that

$$X_0 = \{\mu \in M(S) : \tau - \lim_{t \to 0} U_t \mu = \mu\} = \{\mu \in M(S) : \tau - \lim_{t \to 0} V_t \mu = \mu\}$$

is the norm closure of D_A in M(S). The Hille-Yosida theorem then tells us that the operator A uniquely determines a semigroup in the Banach space X_0 . Hence $H = \{\mu \colon U_t \mu = V_t \mu \text{ for all } t > 0\} \supset X_0$.

Let $Y_0 = \{ \mu \in M(S) : \sigma\text{-}\lim_{t\to 0} ((U_t\mu - \mu)/t) \text{ exists} \}$. From the uniform boundedness principle, $Y_0 \subset X_0$. Furthermore, Y_0 is β weak* dense in M(S). To see this, let $\mu \in M(S)$ and note that

$$\frac{U_h\mu_a-\mu_a}{h}=\frac{1}{h}\int_a^{a+h}U_t\mu\ dt\ -\frac{1}{h}\int_0^hU_t\mu\ dt,$$

where $\mu_a = \int_0^a U_t \mu \, dt$ and where these integrals exist in the sense of Theorem 3.1(a) by virtue of the fact that $\{T_t: t > 0\}$ is of class (C); this follows upon letting $\lambda(t) = U_t \mu$ for $0 < t \le a + h$ and $\lambda(0) = \mu$.

It now follows that $\mu_a \in Y_0$ and σ - $\lim_{a\to 0} \mu_a = \mu$. Hence Y_0 is weak* dense in M(S) and since H is weak* closed and contains Y_0 , it follows that H = M(S) and finally that $T_t = S_t$ on C(S) for all t > 0.

We close this section with an easily obtainable sufficient condition that a class (C) semigroup have a transition function consisting of probability measures.

THEOREM 5.3. Let $\{T_t: t>0\}$ be a class (C) semigroup in C(S) with $||T_t|| \le 1$ for t>0. If A is the infinitesimal generator of $\{T_t: t>0\}$ and if the identically

one function $1 \in D_A$ and A1 = 0, then $\{T_i: t > 0\}$ is a semigroup of positive operators in C(S) whose transition function consists of probability measures.

Proof. From [7, Proposition 3.10],

$$\lambda_{t}(x, S) - 1 = T_{t}1 - 1 = \int_{0}^{t} T_{s}A(1) ds = 0.$$

Hence each measure $\lambda_t(x)$ assigns the measure 1 to S and has norm less than or equal to $||T_t|| \leq 1$. However, if $\mu \in M(S)$ and $||\mu|| = \mu(S) = 1$, then as is well known, μ is a probability measure.

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