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# ON THE DISTRIBUTION OF THE RANK STATISTIC FOR STRONGLY CONCAVE COMPOSITIONS

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#### Abstract

A strongly concave composition of *n* is an integer partition with strictly decreasing and then increasing parts. In this paper we give a uniform asymptotic formula for the rank statistic of a strongly concave composition introduced by Andrews *et al.* ['Modularity of the concave composition generating function', *Algebra Number Theory* 7(9) (2013), 2103–2139].

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#### 1. Introduction

A partition of a positive integer *n* is a sequence of nonincreasing positive integers whose sum equals *n*. Let  $p(n)$  be the number of integer partitions of *n*. To explain Ramanujan's famous partition congruences with modulus 5, 7 and 11, the rank and crank statistic for integer partitions was introduced and investigated by Dyson [\[9\]](#page-8-0) and Andrews and Garvan  $[2, 11]$  $[2, 11]$  $[2, 11]$ . Let  $N(m, n)$  and  $M(m, n)$  be the number of partitions of *n* with rank *m* and crank *m*, respectively. It is well known that

$$
\sum_{n\geq 0} N(m,n)q^n = \frac{1}{(q;q)_{\infty}} \sum_{n\geq 1} (-1)^{n-1} q^{n(3n-1)/2 + |m|n} (1-q^n)
$$

and

$$
\sum_{n\geq 0} M(m,n)q^n = \frac{1}{(q;q)_{\infty}} \sum_{n\geq 1} (-1)^{n-1} q^{n(n-1)/2 + |m|n} (1-q^n),
$$

where  $(a; q)_{\infty} = \prod_{j\geq 0} (1 - aq^j)$  for any  $a \in \mathbb{C}$  and  $|q| < 1$ .<br>In [10] Dyson conjectured an asymptotic formula for

In [\[10\]](#page-8-3), Dyson conjectured an asymptotic formula for the crank statistic for integer partitions:

<span id="page-0-0"></span>
$$
M(m,n) \sim \frac{\pi}{4\sqrt{6n}} \mathrm{sech}^2\left(\frac{\pi m}{2\sqrt{6n}}\right) p(n), \quad n \to +\infty.
$$
 (1.1)

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Bringmann and Dousse [\[4\]](#page-8-4) proved that  $(1.1)$  holds for all  $|m| \le (\sqrt{\sqrt{\}})$ *<sup>n</sup>* log *<sup>n</sup>*)/(π √ 6). In [\[8\]](#page-8-5), Dousse and Mertens proved the same result for *<sup>N</sup>*(*m*, *<sup>n</sup>*). For more results on the asymptotics of the rank and crank statistic for integer partitions, see [\[6,](#page-8-6) [7,](#page-8-7) [13,](#page-8-8) [14\]](#page-8-9).

A concave composition  $\lambda$  of *n* is a nonnegative integer sequence  $\{a_r\}_{r=1}^s$  of the form

$$
a_1 \ge a_2 \ge \cdots \ge a_{k-1} > a_k < a_{k+1} \le \cdots \le a_{s-1} \le a_s
$$

and with sum *n* for some  $s \in \mathbb{Z}_+$ . Here  $a_k$  is called the central part of  $\lambda$ . If all the  $\langle s \rangle$  and  $\langle s \rangle$  are replaced by  $\langle s \rangle$  and  $\langle s \rangle$  respectively we refer to a strongly concave '≥' and '≤' are replaced by '>' and '<', respectively, we refer to a strongly concave composition. The rank of  $\lambda$  is defined as  $rk(\lambda) := s - 2k + 1$ ; it is the analogue of the rank statistic for integer partitions and measures the position of the central part.

Let  $\mathcal{V}(n)$  and  $\mathcal{V}_d(n)$  be the sets of all concave compositions and all strongly concave compositions, respectively, of the nonnegative integer *n*. Also, let  $V(n) = #V(n)$ and  $V_d(n) = #V_d(n)$  be the numbers of concave compositions and strongly concave compositions of *n*, respectively. Andrews [\[1\]](#page-8-10) found the generating functions

$$
v(q):=\sum_{n\geq 0}V(n)q^n=\sum_{n\geq 0}\frac{q^n}{(q^{n+1};q)_\infty^2}
$$

and

$$
v_d(q) := \sum_{n\geq 0} V_d(n)q^n = \sum_{n\geq 0} (-q^{n+1}; q)^2_{\infty} q^n.
$$

Andrews *et al.* [\[3\]](#page-8-11) proved that  $v(q)$  is a mixed mock modular form. More precisely, they established the following modularity properties.

THEOREM 1.1. Let 
$$
q = e^{2\pi i \tau}
$$
 with  $\tau \in \mathbb{C}$  and  $\mathfrak{I}(\tau) > 0$ . Define  $f(\tau) = q(q; q)_{\infty}^{3} v(q)$  and  
\n
$$
\hat{f}(\tau) = f(\tau) - \frac{i}{2} \eta(\tau)^{3} \int_{-\bar{\tau}}^{i\infty} \frac{\eta(z)^{3}}{(-i(z + \tau))^{1/2}} dz + \frac{\sqrt{3}}{2\pi i} \eta(\tau) \int_{-\bar{\tau}}^{i\infty} \frac{\eta(z)}{(-i(z + \tau))^{3/2}} dz,
$$

*where the Dedekind η*-function is given by  $\eta(\tau) = q^{1/24}(q; q)_{\infty}$ . Then the function  $\hat{f}$  *transforms as a modular form of weight* 2 for SL  $\alpha(\mathbb{Z})$ *transforms as a modular form of weight* 2 *for*  $SL_2(\mathbb{Z})$ *.* 

For  $v_d(q)$ , Andrews [\[1\]](#page-8-10) proved that

$$
v_d(q) = 2(-q;q)_\infty^2 \sum_{n\geq 0} \left(\frac{-12}{n}\right) q^{(n^2-1)/24} - \sum_{n\geq 0} (-1)^n q^{n(n+1)/2},
$$

where (:) is the Kronecker symbol, that is,  $v_d(q) + \sum_{n\geq 0} (-1)^n q^{n(n+1)/2}$  is essentially a modular function multiplied by a false theta function. So, we may expect  $V_d(n)$  to be simpler to study than  $V(n)$ , but not to yield such precise results. For example, Andrews *et al.* [\[3\]](#page-8-11) obtained an asymptotic formula with a polynomial error for  $V(n)$  by using the circle method of Bringmann and Mahlburg [\[5\]](#page-8-12). They also gave an asymptotic expansion for  $V_d(n)$  which is technically easier to establish:<sup>[1](#page-1-0)</sup>

<span id="page-1-1"></span>
$$
V_d(N) \sim 2^{-1/4} 3^{-5/4} N^{-3/4} e^{2\pi \sqrt{N/6}} \left( 1 + \sum_{n \ge 1} c_n N^{-n/2} \right)
$$
 (1.2)

for  $N \to +\infty$ , where the  $c_n \in \mathbb{R}, n \in \mathbb{Z}_+$ , are computable constants.

<span id="page-1-0"></span><sup>&</sup>lt;sup>1</sup>Note that the leading coefficient of the asymptotic expansion [\(1.2\)](#page-1-1) is  $2^{-1/4}3^{-5/4}$  rather that 2 ·  $2^{-1/4}3^{-5/4}$  as stated in [\[3,](#page-8-11) Theorem 1.5].

232 N. H. Zhou  $[3]$ 

Let  $V_d(m, n)$  be the number of strongly concave compositions of *n* with rank equal to *m*. Andrews *et al.* [\[3\]](#page-8-11) proved that<sup>[1](#page-2-0)</sup>

<span id="page-2-2"></span>
$$
\sum_{n\geq 0} \sum_{m\in \mathbb{Z}} V_d(m,n)x^m q^n = -\sum_{n\geq 0} (-1)^n q^{n(n+1)/2} x^{2n+1} + (-x;q)_{\infty} (-x^{-1}q;q)_{\infty} \sum_{n\geq 0} \left(\frac{-12}{n}\right) x^{(n-1)/2} q^{(n^2-1)/24}.
$$
 (1.3)

In this paper we investigate the asymptotics of  $V_d(m, n)$  as *n* tends to infinity with arbitrary  $m$ , motivated by the questions in  $\left[3\right]$ , pages 2108–2109] for the more complex behaviour of the distribution of concave compositions.

The first result of this paper is the following proposition.

<span id="page-2-1"></span>Proposition 1.2. *Let p*(*n*) *be the number of integer partitions of a nonnegative integer n* and let  $p(-\ell) = 0$  *for*  $\ell \in \mathbb{Z}_+$ *. Then, for*  $N, \ell \in \mathbb{Z}$ *,* 

<span id="page-2-4"></span>
$$
V_d\left(\ell, N + \frac{|\ell|(|\ell|+1)}{2}\right) = \sum_{n\geq 0} \left(\frac{-3}{2n+1}\right) p\left(N - \frac{2n(n+1)}{3} - n|\ell|\right).
$$
 (1.4)

*In particular, for m, n*  $\in \mathbb{Z}$  *with*  $0 \le n < \frac{1}{2}|m|(|m| + 5) + 4$ *,* 

<span id="page-2-5"></span>
$$
V_d(m, n) = p\left(n - \frac{|m|(|m| + 1)}{2}\right).
$$
 (1.5)

From Proposition [1.2,](#page-2-1) we derive the following uniform asymptotics for  $V_d(m, n)$  as  $n \rightarrow +\infty$ .

<span id="page-2-6"></span>THEOREM 1.3. *Uniformly for all*  $\ell \in \mathbb{Z}$  *and*  $N \to +\infty$ *,* 

<span id="page-2-7"></span>
$$
V_d\left(\ell, N + \frac{|\ell|(|\ell|+1)}{2}\right) = p(N)F\left(\frac{\pi|\ell|}{\sqrt{6N}}\right)(1 + O(N^{-1/10})),\tag{1.6}
$$

*where the implied constant is absolute and*

$$
F(\alpha) = \frac{1 + e^{-\alpha}}{1 + e^{-\alpha} + e^{-2\alpha}}.
$$

In particular, if the integer m satisfies  $m = o(N^{3/8})$ , then

<span id="page-2-3"></span>
$$
\frac{V_d(m,N)}{V_d(N)} \sim \frac{1}{(24N)^{1/4}} \exp\left(-\frac{\pi m^2}{\sqrt{24N}}\right).
$$
 (1.7)

Finally, we give a limiting distribution for the rank statistic for strongly concave compositions. Define the real function  $\Psi_d(x)$  by

$$
\Psi_d(x) = \lim_{N \to +\infty} \frac{1}{V_d(N)} \# \Big\{ \lambda \in \mathcal{V}_d(N) : \frac{\text{rk}(\lambda)}{(6N/\pi^2)^{1/4}} \le x \Big\} \quad \text{for } x \in \mathbb{R}.
$$

<span id="page-2-0"></span><sup>&</sup>lt;sup>1</sup>We correct some sign errors in the statement of  $(1.3)$  in [\[3\]](#page-8-11).

It is clear that

$$
\Psi_d(x) = \lim_{N \to +\infty} \frac{1}{V_d(N)} \sum_{\substack{m \in \mathbb{Z} \\ m \le (6N/\pi^2)^{1/4}x}} \sum_{\substack{\lambda \in V_d(N) \\ \text{rk}(\lambda) = m}} 1 = \lim_{N \to +\infty} \sum_{\substack{m \in \mathbb{Z} \\ m \le (6N/\pi^2)^{1/4}x}} \frac{V_d(m, N)}{V_d(N)}
$$

and that  $\Psi_d(-\infty) = 0$  and  $\Psi_d(+\infty) = 1$ . Hence, by using [\(1.7\)](#page-2-3) and the fact that  $V_d(m, N) = V_d(|m|, N)$ , it is easy to deduce the following corollary by Abel's summation formula.

<span id="page-3-0"></span>COROLLARY 1.4. *The distribution function*  $\Psi_d(x)$  *is the standard normal distribution on* R*, that is,*

$$
\Psi_d(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^x e^{-x^2/2} dx.
$$

### 2. Proofs of the results

## 2.1. The proof of Proposition [1.2.](#page-2-1) By the Jacobi triple product formula,

$$
(q;q)_{\infty}(-xq;q)_{\infty}(-x^{-1};q)_{\infty}=\sum_{n\in\mathbb{Z}}q^{n(n+1)/2}x^n,
$$

the basic properties of the Kronecker symbol and [\(1.3\)](#page-2-2),

$$
\sum_{\substack{n\geq 0\\ m\in \mathbb{Z}}} V_d(m,n)x^m q^n = -\sum_{n\geq 0} (-1)^n q^{n(n+1)/2} x^{2n+1} + \frac{1}{(q;q)_{\infty}} \sum_{\ell\in \mathbb{Z}} q^{\ell(\ell+1)/2} x^{-\ell} \sum_{n\geq 0} \left(\frac{-12}{2n+1}\right) x^n q^{n(n+1)/6}.
$$

This yields, for integer  $r \geq 0$ ,

$$
\sum_{n\geq 0} V_d(-r,n)q^n = \frac{1}{(q;q)_{\infty}} \sum_{\substack{\ell-n=r\\ \ell \in \mathbb{Z}, n\geq 0}} \left(\frac{-3}{2n+1}\right) \left(\frac{2}{2n+1}\right)^2 q^{(1/6)n(n+1)+(1/2)\ell(\ell+1)}
$$
\n
$$
= \sum_{k\geq 0} p(k)q^k \sum_{n\geq 0} \left(\frac{-3}{2n+1}\right) q^{(1/6)n(n+1)+(1/2)(n+r)(n+r+1)}
$$
\n
$$
= \sum_{N\geq 0} q^N \sum_{n\geq 0} \left(\frac{-3}{2n+1}\right) p\left(N - \frac{2n(n+1)}{3} - rn - \frac{r(r+1)}{2}\right)
$$

which means that

$$
V_d\left(-\ell, N + \frac{\ell(\ell+1)}{2}\right) = \sum_{n\geq 0} \left(\frac{-3}{2n+1}\right) p\left(N - \frac{2n(n+1)}{3} - n\ell\right)
$$

for all integers  $\ell \ge 0$ . Since  $V_d(-m, n) = V_d(m, n)$ , we have proved [\(1.4\)](#page-2-4) in Proposition [1.2.](#page-2-1) Further, if  $2\ell + 4 > N$ , then

$$
V_d\bigg(\ell,N+\frac{|\ell|(|\ell|+1)}{2}\bigg)=p(N),
$$

which gives  $(1.5)$  in Proposition [1.2.](#page-2-1)

,

234 N. H. Zhou  $\sim$  N. H. Zhou  $\sim$  [5]

**2.2.** Asymptotic results for  $p(n)$ . We need the following asymptotic result for  $p(n)$ proved by Hardy and Ramanujan in [\[12\]](#page-8-13).

<span id="page-4-0"></span>LEMMA 2.1. *For*  $n \in \mathbb{Z}_+$ ,

$$
p(n) - \hat{p}(n - 1/24) = O(n^{-1}e^{B\sqrt{n}/2}),
$$

where  $B = 2\pi / \sqrt{6}$  and

$$
\hat{p}(x) = \frac{e^{B\sqrt{x}}}{4\sqrt{3}x} \left(1 - \frac{1}{B\sqrt{x}}\right).
$$

(These definitions for *B* and  $\hat{p}(x)$  are used throughout this section.)

<span id="page-4-1"></span>We also need the following approximation for  $p(X + r)$  with  $r = o(X^{3/4})$ .

LEMMA 2.2. *For*  $r = o(X^{3/4})$  *and X* sufficiently large,

$$
\frac{p(X+r)}{p(X)} = e^{Br/2\sqrt{X}} \Big( 1 + O\Big(\frac{1}{X} + \frac{|r|}{X} + \frac{|r|^2}{X^{3/2}}\Big)\Big).
$$

PROOF. From Lemma [2.1,](#page-4-0) it is clear that

$$
\frac{\hat{p}(X+r)}{\hat{p}(X)} = e^{B(\sqrt{X+r}-\sqrt{X})} \left(1+O\left(\frac{|r|}{X}\right)\right)
$$

$$
= e^{Br/2\sqrt{X}+O(r^2/X^{3/2})} \left(1+O\left(\frac{|r|}{X}\right)\right)
$$

$$
= e^{Br/2\sqrt{X}} \left(1+O\left(\frac{|r|}{X}+\frac{|r|^2}{X^{3/2}}\right)\right)
$$

by the generalised binomial theorem. Since

$$
\frac{p(N)}{\hat{p}(N)} = 1 + O\left(\frac{1}{N}\right)
$$

for all  $N \geq 1$ ,

$$
\frac{p(X+r)}{p(X)} = e^{Br/2\sqrt{X}} \Big( 1 + O\Big(\frac{1}{X} + \frac{|r|}{X} + \frac{|r|^2}{X^{3/2}}\Big)\Big),\,
$$

which completes the proof of the lemma.

## 2.3. The proof of Theorem [1.3.](#page-2-6)

2.3.1.  $Case |l| >$ √  $\overline{N}(\log N)^2$ . Define

<span id="page-4-2"></span>
$$
F(\ell, N) := V_d \bigg(\ell, N + \frac{|\ell|(|\ell| + 1)}{2}\bigg). \tag{2.1}
$$

For  $N/2 \geq |\ell| >$ √  $\overline{N}(\log N)^2$ , from Proposition [1.2](#page-2-1) and Lemma [2.2,](#page-4-1)

$$
F(\ell, N) = \sum_{\substack{n \geq 0 \\ 2n(n+1)/3 + n|\ell| \leq N}} \left( \frac{-3}{2n+1} \right) p \left( N - \frac{2n(n+1)}{3} - n|\ell| \right)
$$
  
=  $p(N) + O\left( \sum_{\substack{n \geq 2 \\ 2n(n+1)/3 + n\ell \leq N}} p(N - n|\ell|) \right)$   
=  $p(N) + O(\sqrt{N}p(N - 2|\ell|)) = p(N) + O(\sqrt{N}p(N - \lfloor \sqrt{N}(\log N)^2 \rfloor))$   
=  $p(N) \left( 1 + O\left( \sqrt{N} \exp\left( - \frac{B\lfloor \sqrt{N}(\log N)^2 \rfloor}{2\sqrt{N}} \right) \right) \right),$ 

where  $\lfloor \cdot \rfloor$  is the greatest integer function. Hence, for  $N/2 \ge |\ell| >$  $\frac{N}{2} \geq |\ell| > \sqrt{N} (\log N)^2,$ 

$$
F(\ell, N) = p(N)(1 + O(N^{-\sqrt{\log N}})).
$$
\n
$$
2.3.2. \text{ Case } |\ell| \le \sqrt{N} (\log N)^2. \text{ Since}
$$
\n
$$
\ell = \frac{1}{N} \left( \frac{1}{N} \right)^{N/2}.
$$
\n
$$
\frac{1}{N} \left( \frac{1}{N} \right)^{N/2} = \frac{1}{N} \left( \frac{1}{N} \right)^{N/2}.
$$
\n
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\frac{1}{N} \left( \frac{1}{N} \right)^{N/2} = \frac{1}{N} \left( \frac{1}{N} \right)^{N/2}.
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\frac{1}{N} \left( \frac{1}{N} \right)^{N/2} = \frac{1}{N} \left( \frac{1}{N} \right)^{N/2}.
$$
\n
$$
\frac{1}{N} \left( \frac{1}{N} \right)^{N/2} = \frac{1}{N} \left( \frac{1}{N} \right)^{N/2
$$

<span id="page-5-0"></span>
$$
\left(\frac{-3}{2n+1}\right) = \begin{cases} 1 & \text{if } n \equiv 0 \text{ mod } 3, \\ 0 & \text{if } n \equiv 1 \text{ mod } 3, \\ -1 & \text{if } n \equiv 2 \text{ mod } 3 \end{cases}
$$

for  $0 \leq \ell \leq$ √  $\overline{N}(\log N)^2,$ 

$$
F(\ell, N) = \sum_{n\geq 0} \left(\frac{-3}{2n+1}\right) p\left(N - \frac{2n(n+1)}{3} - n\ell\right)
$$
  
= 
$$
\sum_{n\geq 0} [p(N - Q_1(n, \ell)) - p(N - Q_2(n, \ell))],
$$

where

 $Q_1(n, \ell) = 2n(3n + 1) + 3n\ell$  and  $Q_2(n, \ell) = Q_1(n, \ell) + (8n + 4 + 2\ell).$ We split the sum into two parts:

$$
\frac{F(\ell, N)}{p(N)} = \frac{1}{p(N)} \sum_{\substack{n \geq 0 \\ n^2 + n\ell > \sqrt{N}(\log N)^2}} [p(N - Q_1(n, \ell)) - p(N - Q_2(n, \ell))] + \frac{1}{p(N)} \sum_{\substack{n \geq 0 \\ n^2 + n\ell \leq \sqrt{N}(\log N)^2}} [p(N - Q_1(n, \ell)) - p(N - Q_2(n, \ell))] =: R + I.
$$

Noting that  $Q_2(n, \ell) \ge Q_1(n, \ell) \ge n^2 + n\ell$  for all  $n \ge 0$ , we can estimate *R* by

$$
|R| \le \frac{2}{p(N)} \sum_{\substack{n \ge 0 \\ n^2 + n\ell > \sqrt{N}(\log N)^2 \\ n \ge 0}} p(N - Q_1(n, \ell))
$$
  
\$\le \frac{2}{p(N)} \sum\_{\substack{n \ge 0 \\ n^2 + n\ell > \sqrt{N}(\log N)^2}} p(N - (n^2 + n\ell)) \le 2\sqrt{N} \frac{p(N - \lfloor \sqrt{N}(\log N)^2 \rfloor)}{p(N)}\$.

Thus, from Lemma [2.2,](#page-4-1)

$$
R \ll \sqrt{N}e^{-B\lfloor \sqrt{N}(\log N)^2 \rfloor/2\sqrt{N}} \ll N^{-\sqrt{\log N}}.
$$

To estimate *I*, we note that

$$
0 \le Q_1(n,\ell) \le Q_2(n,\ell) \le 16(n^2+n\ell) + 2\ell + 4 = O(\sqrt{N}(\log N)^2)
$$

for  $n \geq 0$  and  $n^2 + n\ell \leq \sqrt{n}$ *N*(log *N*) 2 . By Lemma [2.2,](#page-4-1)

$$
I = \sum_{n\geq 0} \left( e^{-BQ_1(n,\ell)/2\sqrt{N}} - e^{-BQ_2(n,\ell)/2\sqrt{N}} \right)
$$
  
- 
$$
\sum_{\substack{n\geq 0 \\ n^2 + n\ell > \sqrt{N}(\log N)^2}} \left( e^{-BQ_1(n,\ell)/2\sqrt{N}} - e^{-BQ_2(n,\ell)/2\sqrt{N}} \right)
$$
  
+ 
$$
O\left( \sum_{i=1}^2 \sum_{\substack{n\geq 0 \\ n^2 + n\ell \leq \sqrt{N}(\log N)^2}} e^{-BQ_i(n,\ell)/2\sqrt{N}} \left( \frac{1}{N} + \frac{Q_i(n,\ell)}{N} + \frac{Q_i(n,\ell)^2}{N^{3/2}} \right) \right) = I_M + I_R
$$

with

$$
I_M = \sum_{n\geq 0} \left( e^{-BQ_1(n,\ell)/2\sqrt{N}} - e^{-BQ_2(n,\ell)/2\sqrt{N}} \right)
$$

and

$$
I_R \ll \sum_{\substack{n \geq 0 \\ n^2 + n\ell > \sqrt{N}(\log N)^2}} e^{-B(n^2 + \ell n)/\sqrt{N}} + \sum_{\substack{n \geq 0 \\ n^2 + n\ell \leq \sqrt{N}(\log N)^2}} \frac{(\log N)^4}{N^{1/2}} e^{-B(n^2 + n\ell)/2\sqrt{N}}
$$
  

$$
\ll N^{-\sqrt{\log N}} + N^{-1/2} (\log N)^4 \sum_{\substack{n \geq 0 \\ n^2 + n\ell \leq \sqrt{N}(\log N)^2}} 1 \ll N^{-1/4} (\log N)^5.
$$

We conclude that

<span id="page-6-1"></span>
$$
F(\ell, N) / p(N) = I_M + O(N^{-1/5})
$$
\n(2.3)

for  $0 \leq \ell \leq$ √  $\overline{N}(\log N)^2$ . To estimate  $I_M$ , we need the following lemma.

<span id="page-6-0"></span>LEMMA 2.3. Let  $0 \leq \ell = o(\alpha^{-1})$ . Then, as  $\alpha \to 0^+$ ,

$$
f(\alpha) := \alpha \sum_{n \ge 0} (4n + \ell) e^{-2\alpha n^2 - \alpha n \ell} = 1 + O(\sqrt{\alpha} + |\alpha \ell|).
$$

PROOF. By Abel's summation formula, or integration by parts for a Riemann-Stieltjes integral,

$$
f(\alpha) = 4\alpha \sum_{n\geq 0} (n + \ell/4) e^{-2\alpha(n+\ell/4)^2 + \alpha \ell^2/8}
$$
  
\n
$$
= 4\alpha e^{\alpha \ell^2/8} \int_{0-}^{\infty} e^{-2\alpha(x+\ell/4)^2} d\left(\sum_{0 \leq n \leq x} (n + \ell/4)\right)
$$
  
\n
$$
= 4\alpha e^{\alpha \ell^2/8} \Biggl(\int_{0}^{\infty} e^{-2\alpha(x+\ell/4)^2} d\left(\frac{x^2}{2} + \frac{x\ell}{4}\right) + O\Biggl(\alpha \int_{0}^{\infty} (x + \ell/4)^2 e^{-2\alpha(x+\ell/4)^2} dx\Biggr)\Biggr)
$$
  
\n
$$
= 4\alpha e^{\alpha \ell^2/8} \Biggl(\int_{\ell/4}^{\infty} x e^{-2\alpha x^2} dx + O\Biggl(\alpha \int_{\ell/4}^{\infty} x^2 e^{-2\alpha x^2} dx\Biggr)\Biggr)
$$
  
\n
$$
= e^{\alpha \ell^2/8} \int_{\alpha \ell^2/8}^{\infty} e^{-x} dx + O\Biggl(\sqrt{\alpha} e^{\alpha \ell^2/8} \int_{\alpha \ell^2/8}^{\infty} x^{1/2} e^{-x} dx\Biggr) = 1 + O(\sqrt{\alpha} + |\alpha \ell|),
$$

which completes the proof of the lemma.

We now evaluate  $I_M$ . By the definitions of  $F(\alpha)$  and  $I_M$ , for  $\ell \ge N^{3/8}$ ,

$$
I_M = \sum_{0 \le n \le N^{1/5}} (e^{-BQ_1(n,\ell)/2\sqrt{N}} - e^{-BQ_2(n,\ell)/2\sqrt{N}}) + O(N^{-\sqrt{\log N}})
$$
  
\n
$$
= \sum_{0 \le n \le N^{1/5}} e^{-B(3n+1)n/\sqrt{N}} (1 - e^{-B(\ell+4n)/\sqrt{N}}) e^{-3Bn\ell/2\sqrt{N}} + O(N^{-\sqrt{\log N}})
$$
  
\n
$$
= (1 + O(N^{-1/10})) \sum_{0 \le n \le N^{1/5}} (1 - e^{-B(\ell+4n)/\sqrt{N}}) e^{-3Bn\ell/2\sqrt{N}} + O(N^{-\sqrt{\log N}})
$$
  
\n
$$
= (1 + O(N^{-1/10})) \frac{1 - e^{-B\ell/\sqrt{N}}}{1 - e^{-3B\ell/2\sqrt{N}}} = (1 + O(N^{-1/10})) F\left(\frac{B\ell}{2\sqrt{N}}\right)
$$

and, for  $0 \leq \ell \leq N^{3/8}$ ,

$$
I_M = \sum_{0 \le n \le N^{2/5}} \left( e^{-Bn/\sqrt{N}} - e^{-B(5n+\ell)/\sqrt{N}} \right) e^{-B(6n^2+3n\ell)/2\sqrt{N}} + O(N^{-\sqrt{\log N}})
$$
  
\n
$$
= (1 + O(N^{-1/10})) \sum_{0 \le n \le N^{2/5}} \frac{B(4n+\ell)}{\sqrt{N}} e^{-B(6n^2+3n\ell)/2\sqrt{N}} + O(N^{-\sqrt{\log N}})
$$
  
\n
$$
= (1 + O(N^{-1/10})) \frac{B}{\sqrt{N}} \sum_{n \ge 0} (4n+\ell) e^{-B(6n^2+3n\ell)/2\sqrt{N}} + O(N^{-\sqrt{\log N}})
$$
  
\n
$$
= \frac{2}{3} (1 + O(N^{-1/10}))(1 + O(N^{-1/4} + \ell N^{-1/2})) = (1 + O(N^{-1/10}))F\left(\frac{B\ell}{2\sqrt{N}}\right)
$$

by the use of Lemma [2.3.](#page-6-0) Thus, for  $0 \le \ell \le$  $\overline{N}(\log N)^2,$ 

<span id="page-7-0"></span>
$$
F(\ell, N) = p(N)F\left(\frac{\pi\ell}{\sqrt{6N}}\right)(1 + O(N^{-1/10}))
$$
\n(2.4)

from [\(2.3\)](#page-6-1) and the definition  $B = 2\pi/\sqrt{6}$ .<br>Finally by using (2.1) (2.2) (2.4) and

Finally, by using [\(2.1\)](#page-4-2), [\(2.2\)](#page-5-0), [\(2.4\)](#page-7-0) and the fact that  $V_d(m, n) = V_d(|m|, n)$ , we finish the proof of  $(1.6)$ . By using  $(1.2)$ ,  $(1.6)$  and Lemma [2.1,](#page-4-0) we obtain the proof of  $(1.7)$ , which completes the proof of Theorem [1.3.](#page-2-6)

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