

EXTENSION OF FINITE PROJECTIVE PLANES I. UNIFORM HJELMSLEV PLANES

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1. Introduction. In his paper (3) on finite H -planes, Kleinfeld has defined invariants s and t for an H -plane π as follows: let P and k be any point and line of π such that P is incident with k , then let s be the number of non-neighbour points to P on k and let t be the number of neighbour points to P on k . He has shown that s and t are independent of the choice of P and k , t divides s , π has $s^2 + st + t^2$ points (lines), π^* has order s/t , and, if $t \neq 1$, $s \leq t^2$. In the case $s = t^2$, π is called uniform and has the property that each pair of neighbour lines (points) has exactly t points (lines) in common.

The neighbour relation has been shown in (4) to be an equivalence relation on points as well as lines. Using this, Klingenberg constructed a projective plane π^* , as above, associated with each Hjelmslev plane π . The points (lines) of π^* are the equivalence classes of neighbour points (lines) of π . Furthermore, class \mathfrak{P} is incident with class \mathfrak{Q} in π^* if and only if there exist a P in \mathfrak{P} and l in \mathfrak{Q} such that P is incident with l in π .

Surely, we can find the incidence matrix A^* of π^* from the incidence matrix A of π by partitioning A into blocks of neighbours and by then replacing each of these submatrices by the appropriate 0 or 1. However, it has been unknown whether or not the incidence matrix A' of a finite projective plane could be extended or "blown up" to the incidence matrix A of an H -plane with $t \neq 1$.

In § 2, a subset \mathfrak{C} of the positive integers is defined and we show that $n \in \mathfrak{C}$ is a necessary and sufficient condition for a projective plane of order n to be extended to not only an H -plane but in fact a uniform H -plane. In § 3, this condition is removed as we show the equivalence of the existence question for projective planes to that of the uniform H -planes and also to the membership question for \mathfrak{C} .

2. Extension. The following definition was motivated by the structure of the incidence matrix of the uniform H -plane with $t = 2$, as in (1).

DEFINITION 1. $n \in \mathfrak{C}$ if and only if the positive integers $1, 2, \dots, n^2$ can be partitioned into n n -tuples in $n + 1$ distinct ways such that each pair of distinct numbers from $1, 2, \dots, n^2$ occurs in exactly 1 of the n -tuples. The set of the $n + 1$ partitions will be called a \mathfrak{C} -decomposition for n .

Received December 26, 1962.

DEFINITION 2. A projective plane π' can be extended to an H -plane π , if π^* is isomorphic to π' .

This definition does not seem to say that π' is necessarily isomorphic to a subspace of π .

THEOREM 1. Let π' be a projective plane of order n . Then π' can be extended to a uniform H -plane π with $t = n$, if $n \in \mathfrak{C}$.

Proof. Let $\mathfrak{P}_1, \mathfrak{P}_2, \dots, \mathfrak{P}_N$ and $\mathfrak{L}_1, \dots, \mathfrak{L}_N$ be the points and lines of π' , where $N = n^2 + n + 1$. Let A' be the incidence matrix of π' , i.e.

$$A' = [a_{ij}'],$$

where $a_{ij}' = 1$ if \mathfrak{P}_i is on \mathfrak{L}_j , and $a_{ij}' = 0$ if \mathfrak{P}_i is not on \mathfrak{L}_j .

Since $n \in \mathfrak{C}$, we can form a \mathfrak{C} -decomposition for n . For each partition in this decomposition, we form an $n^2 \times n^2$ matrix by letting the (i, j) th entry be 1 if i and j appear in the same n -tuple in that partition; otherwise let the entry be 0. After this is done for each partition we have $n + 1$ distinct $(0, 1)$ matrices, say M_1, \dots, M_{n+1} .

Now, we extend A' by replacing a zero entry by the zero square matrix of order n^2 and replace each 1 in A' by one of the matrices M_1, \dots , or M_{n+1} such that no two 1's in the same row (column) of A' are replaced by the same M_i . This is readily done by writing A' as the sum of $n + 1$ permutation matrices, $A' = A_1' + \dots + A_{n+1}'$. Then replace the 1's in A' that come from A_i' by M_i .

We now have a matrix $A = [a_{ij}]$ which can be considered as the incidence matrix for a structure π with points P_1, \dots, P_{Nn^2} and lines l_1, \dots, l_{Nn^2} , where P_i is on l_j if and only if $a_{ij} = 1$. We now show that π is a uniform H -plane by checking the axioms for an H -plane as they appear in (3).

I. Two points determine at least one line.

(a) If two points are in the same block, they have exactly n lines in common—the lines that result from the unique n -tuple they have in common.

(b) If two points are in different blocks, they have exactly one line in common—since two n -tuples in different partitions have exactly one number in common.

II. Two lines determine at least one point.

The dual of I holds as M_1, \dots, M_{n+1} are symmetric.

Note that two points (lines) are neighbours if and only if they belong to the same block.

III. If $l \circ k$ and $k \not\circ m$ and l, k, m all contain P , then $m \not\circ l$.

Surely m is in a different block than the block containing k and, hence, l .

IV. If $l \circ j$ and $j \not\circ k$, then $kl \circ kj$.

Since k is in a different block, \mathfrak{R} , than the block \mathfrak{L} , containing l and j , then kl and kj have to be in the same block, namely $\mathfrak{R}\mathfrak{L}$.

V. If $P \circ Q$ and $Q \not\circ R$, then $RP \circ RQ$.

This is the dual of IV.

VI. There exist points R_1, R_2, R_3 , and R_4 which are pairwise non-neighbour and $R_iR_j \not\circ R_iR_k$ for i, j , and k all distinct as $i, j, k = 1, 2, 3, 4$.

Pick four points from π' , say $\mathfrak{P}_{t_1}, \mathfrak{P}_{t_2}, \mathfrak{P}_{t_3}$, and \mathfrak{P}_{t_4} , such that no three are collinear. Then pick a point of π from each of these classes, say R_1, R_2, R_3 , and R_4 , where $R_j \in \mathfrak{P}_{t_j}$ for $j = 1, 2, 3, 4$.

These four points satisfy the axioms since:

(a) they are in different blocks and hence are non-neighbour,

(b) if $R_iR_j \circ R_iR_k$ for i, j , and k all distinct, then $\mathfrak{P}_{t_i}, \mathfrak{P}_{t_j}$, and \mathfrak{P}_{t_k} would be collinear—a contradiction.

Hence, π is an H -plane. Furthermore, each pair of neighbour points (lines) have $n = t$ lines (points) in common, which shows π is a uniform plane with $t = n$. Lastly, A' can be taken as the incidence matrix of π^* , i.e. π^* is isomorphic to π' . This completes the proof of Theorem 1.

THEOREM 2. *If π is a uniform H -plane with $t = n$, then $n \in \mathfrak{C}$.*

Proof. Label the points and lines of π such that they are in blocks of neighbours as in Theorem 1. Form the incidence matrix A of π and look at its $n + 1$ non-zero submatrices formed by the first row block and its incident column blocks. Each of these submatrices determines a partition of $1, \dots, n^2$ as follows: define the partition such that i and j are in the same n -tuple if and only if P_i and P_j have a line in common in this column block. Suppose, now, that some pair i and j never occur in the same n -tuple in any of these partitions. Then, for some k , i and k appear in at least two n -tuples. Hence, P_i and P_k have at least $2n = 2t$ lines in common—a contradiction. Therefore, these partitions form a \mathfrak{C} -decomposition for n .

Theorems 1 and 2 combine to yield the following theorem.

THEOREM 3. *Let n be the order of a projective plane π' . Then π' can be extended to a uniform H -plane if and only if $n \in \mathfrak{C}$.*

Furthermore, uniform H -planes are known to exist for all prime powers t (3). Therefore, we have the following corollary.

COROLLARY. *All projective planes of prime-power order can be extended to uniform H -planes.*

The extension of a projective plane to a uniform Hjelslev plane may not

be unique, but Theorem 2 points out the importance of the method of extension in Theorem 1.

3. Existence. The definition of \mathfrak{C} is similar to the definition of orthogonal latin squares where each ordered pair must appear exactly once. Recall that there exists a complete set of orthogonal latin squares of order n if and only if there exists a projective plane of order n (5). The following was a successful attempt to unite and use these concepts with the previous material.

DEFINITION 3. Let \mathfrak{D} be the set of all positive integers n such that there exists a set of $n^2 - n$ n -tuples of the numbers $1, 2, \dots, n$ where:

- (a) no two numbers will appear in the same respective positions in any two distinct n -tuples;
- (b) they can be listed one under the other, so as to yield $n - 1$ latin squares, also one under the other.

THEOREM 4. $\mathfrak{D} = \mathfrak{C}$.

Proof. Let $n \in \mathfrak{D}$. To show that this implies $n \in \mathfrak{C}$, list $n^2 - n$ n -tuples which satisfy the definition of $n \in \mathfrak{D}$. In the order they are listed, name them P_{n+1}, \dots, P_{n^2} .

Now start to construct a \mathfrak{C} -decomposition for n in the standard way. That is, construct

$$\begin{array}{ccc}
 (1, 2, \dots, n) & (n + 1, \dots, 2n) \dots & (\dots, n^2) \\
 (1, &) & (2, &) \dots (n, &) \\
 (1, &) & (2, &) \dots (n, &) \\
 & \cdot & & \cdot & \\
 & \cdot & & \cdot & \\
 & \cdot & & \cdot & \\
 (1, &) & (2, &) \dots & (n, &)
 \end{array}$$

Let $P_i = (a_{i1}, \dots, a_{in})$ for $i = n + 1, \dots, n^2$. Then, for each i , put i in the a_{ij} th “ n -tuple” of the $(j + 1)$ st partition.

Since $a_{ij} \neq a_{ik}$ for $j \neq k$, every number will appear once, and, therefore, only once, in each partition. Furthermore, since the P_i ’s form $n - 1$ latin squares, each “ n -tuple” will receive $n - 1$ new elements. Therefore, each partition will consist of n n -tuples of the numbers $1, 2, \dots, n^2$.

It remains to show that each pair of distinct numbers occurs in exactly one of the n -tuples.

If this is not the case, then some pair of distinct numbers, i and j , will appear in the same n -tuple in at least two different partitions. Surely neither i nor j can be less than $n + 1$. So we can assume that $i, j \geq n + 1$. Suppose they appear in the same n -tuple in both the k th and l th partitions, $k \neq l$. Then, by the construction, we have

$$a_{ik} = a_{jk} \quad \text{and} \quad a_{il} = a_{jl}.$$

However, this gives

$$\begin{aligned}
 P_i &= (\dots, a_{ik}, \dots, a_{il}, \dots), \\
 &\quad \quad \quad \parallel \quad \quad \parallel \\
 P_j &= (\dots, a_{jk}, \dots, a_{jl}, \dots),
 \end{aligned}$$

which contradicts part (a) of the definition of $n \in \mathfrak{D}$. Hence, this is a \mathfrak{C} -decomposition for n . Therefore, $\mathfrak{D} \subseteq \mathfrak{C}$.

To show that $\mathfrak{C} \subseteq \mathfrak{D}$ reverse the previous steps.

THEOREM 5. *There exists a complete set of orthogonal latin squares of order n if and only if $n \in \mathfrak{D}$.*

Proof. Assume we have a complete set of orthogonal latin squares, say A^1, \dots, A^{n-1} that are in normal form, i.e. the first row is $1\ 2\ 3\ \dots\ n$.

Form the following $(n - 1)$ -tuple for each position (i, j) , $i \neq 1$, of the set of latin squares:

$$(a_{ij}^1, a_{ij}^2, \dots, a_{ij}^{n-1}),$$

where a_{ij}^k is the element in the i th row and j th column of the square A^k .

These give a set of $n^2 - n$ $(n - 1)$ -tuples with entries from $1, \dots, n$ such that no two members appear in the same respective positions in any two of the $(n - 1)$ -tuples, since the squares are mutually orthogonal.

Now, extend these $(n - 1)$ -tuples to n -tuples by extending

$$(a_{ij}^1, a_{ij}^2, \dots, a_{ij}^{n-1})$$

to

$$(j, a_{ij}^1, a_{ij}^2, \dots, a_{ij}^{n-1}).$$

Suppose (a) of the definition for \mathfrak{D} was now not true. Then we would have the following setup: for some i, j, k , and $l, i \neq l$,

$$\begin{aligned}
 &(j, a_{ij}^1, \dots, a_{ij}^k, \dots), \\
 &(j, a_{il}^1, \dots, a_{il}^k, \dots),
 \end{aligned}$$

where $a_{ij}^k = a_{il}^k$. This, however, implies that the j th column of A^k has two entries the same—a contradiction. Therefore, (a) holds.

Now, list these n -tuples one under the other by the positions they came from in the following order:

$$(2, 1), (2, 2), \dots, (2, n), (3, 1), \dots, (3, n), \dots, (n, n).$$

The top n n -tuples form a latin square since

(i) the rows clearly have no repetitions;

(ii) if the same number appeared twice in the same column, say the i th column, then the second row of A^i would have a repetition—a contradiction.

Therefore, $n \in \mathfrak{D}$.

To prove the converse, reverse the previous argument.

We can now replace Theorem 3 by our main result.

THEOREM 6. *Every finite projective plane can be extended to a uniform H -plane.*

Proof. Let π be a projective plane of order n . Then $n \in \mathfrak{C}$ by applying first the remark at the beginning of this section and then Theorems 5 and 4.

Lastly, we can combine all of these results in the following Theorem.

THEOREM 7. *The following statements are equivalent:*

- (a) $n \in \mathfrak{C}$,
- (b) *there exists a projective plane of order n ,*
- (c) *there exists a uniform H -plane with $t = n$.*

Proof.

- (a) \Leftrightarrow (b) as in proof of Theorem 6.
- (b) \Rightarrow (c) by Theorem 6.
- (c) \Rightarrow (b) the associated projective plane satisfies this.

One of the most important unanswered questions dealing with projective planes is: For what n do projective planes of order n exist? The only known projective planes have prime-power order and there is a projective plane for each of these prime-power orders **(5)**. Moreover, Bruck and Ryser **(2)** have shown necessary conditions for n to be the order of a projective plane. However, there is a gap between the two as, for example, nothing is known for $n = 10$.

Theorem 7 itself does not add anything to the final solution of this question. However, it suggests new methods of attack that are worthy of consideration.

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