

## A PROJECTIVE DESCRIPTION OF THE SPACE OF HOLOMORPHIC GERMS

P. LAUBIN

*Université de Liège, Institut de Mathématique, Grande Traverse, 12,  
B-4000 Liège, Belgique* (P.Laubin@ulg.ac.be)

(Received 10 May 1999)

*Abstract* A natural topology on the set of germs of holomorphic functions on a compact subset  $K$  of a Fréchet space is the locally convex inductive limit topology of the spaces  $\mathcal{O}(\Omega)$  endowed with the compact open topology; here  $\Omega$  is any open subset containing  $K$ . Mujica gave a description of this space as the inductive limit of a suitable sequence of compact subsets. He used a set of intricate semi-norms for this. We give a projective characterization of this space, using simpler semi-norms, whose form is similar to the one used in the Whitney Extension Theorem for  $C_\infty$  functions. They are quite natural in a framework where extensions are involved. We also give a simple proof that this topology is strictly stronger than the topology of the projective limit of the non-quasi-analytic spaces.

*Keywords:* germs; projective description; holomorphic functions

AMS 2000 *Mathematics subject classification:* Primary 46A13; 46F15

### 1. Introduction

Locally convex inductive limits are a very important construction in functional analysis and its applications. However, the natural definition of their continuous semi-norms is not explicit and often difficult to handle. This can be seen as a drawback for their use. Characterizations of the continuous semi-norms and projective descriptions are natural and important tasks in this framework (see, for example, [1]).

In the context of holomorphic functions, a natural inductive limit is the set  $\mathcal{O}(S)$  of germs of holomorphic functions near an arbitrary subset  $S$  of  $\mathbb{R}^n$ . Of course, this limit is generally uncountable. Using abstract functional techniques, Martineau [10] proved that this space is the projective limit of the spaces of germs of holomorphic functions on the compact subsets of  $S$ .

On the other hand, in [11], Mujica gave a characterization of the topology of the space of germs of holomorphic functions on a compact subset  $K$  of a complex Fréchet space endowed with the  $\tau_0$  inductive limit topology. In general, two types of semi-norms are needed. One type is quite natural but the other one is more intricate. The semi-norms of this second type are formed to glue together several Taylor expansions at points where some ambiguity can occur. They involve unnatural sequences of points as well as estimates

on limited Taylor expansions approximating the function outside the compact set  $K$ . For a locally connected compact subset  $K$ , the first type of semi-norms can be used alone.

The same semi-norms were used in [3]. Rusek showed in [12] that for  $L$ -connected compact subsets of  $\mathbb{C}^n$ , semi-norms of the first type generate the topology. However, Example 2.57 in [3], which is due to Aron, shows that the first type of semi-norms cannot be used alone for a very simple compact subset of  $\mathbb{C}$ . A more detailed discussion of this is given in [3, p. 107].

Here we introduce semi-norms of a new type which generate the topology of  $\mathcal{O}(K)$  for an arbitrary compact subset  $K$  of a complex Fréchet space. They have a form analogous to the one used in the Whitney Extension Theorem for  $C_\infty$  functions in  $\mathbb{R}^n$ . As in this problem, they only constrain the error in the Taylor expansions between two points of  $K$ . Since the proof can be obtained easily from our constructions, we also show that in the locally connected case, the first type of semi-norms can be used alone.

The set of holomorphic functions in an open subset can also be endowed with a topology induced by the non-quasi-analytic classes. However, the projective limit topology defined in this way is strictly weaker than the previous topology. This was presented as an open question in [4] and solved in [13]. We give a simple proof of this.

## 2. Notation and main results

If  $\Omega$  is an open subset of a complex Fréchet space  $E$ , we endow the space  $\mathcal{O}(\Omega)$  of holomorphic functions in  $\Omega$  with the usual compact open topology  $\tau_0$  (see, for example, [3]). For a compact subset  $K$  of  $E$ , we consider the inductive limit of locally convex spaces

$$\mathcal{O}(K) = \text{ind}_{\Omega \supset K} \mathcal{O}(\Omega),$$

where  $\Omega$  runs over all open subsets of  $E$  containing  $K$ . Denote by  $\mathcal{V}(E)$  the set of all open, convex and balanced neighbourhoods of 0 in  $E$ .

If  $f$  is a holomorphic function in a neighbourhood of a compact subset  $K$  of  $E$ ,  $x \in K$  and  $k \in \mathbb{N}$ , there is a unique  $k$ -linear symmetric form  $D^k f(x)$  on  $E$  such that

$$D^k f(x)(h, \dots, h) = D_t^k [f(x + th)]|_{t=0}.$$

If  $j \leq k$ , we denote by  $D^k f(x)h^{(j)}$  the  $(k - j)$ -linear form on  $E$  defined by

$$(D^k f(x)h^{(j)})(v, \dots, v) = D^k f(x)(h, \dots, h, v, \dots, v),$$

with  $j$  copies of  $h$  and  $k - j$  copies of  $v$ . If  $k = j$ , this is just an element of  $E$ .

If  $A \subset E$  is bounded, let

$$\|D^k f(x)\|_A = \sup_{h \in A} |D^k f(x)h^{(k)}|.$$

It is well known (see [3, p. 5]) that if  $A$  is a bounded, convex and balanced subset of  $E$ , then

$$\sup_{h_1, \dots, h_k \in A} |D^k f(x)(h_1, \dots, h_k)| \leq \frac{k^k}{k!} \|D^k f(x)\|_A. \quad (2.1)$$

If  $k \geq 0$ , we also use the notation

$$\|D^k f\|_{K,A} = \sup_{x \in K} \sup_{h \in A} |D^k f(x)h^{(k)}|.$$

Of course, if  $A$  is open and  $\|D^k f\|_{K,A} \leq C^{1+k}k!$  for every  $k$ , then the Taylor expansion of  $f$  at any point  $x$  of  $K$  converges in  $x + A/C$ .

Consider the following semi-norms of Whitney type

$$\|f\|_{K,M,k} = \frac{\|D^k f\|_{K,M}}{k!} + \sup_{\substack{0 \leq \ell < k, \\ 0 < \rho < 1}} \sup_{\substack{x, x+h \in K, \\ h \in \rho M}} \frac{1}{\ell! \rho^{k-\ell}} \left\| D^\ell f(x+h) - \sum_{j < k-\ell} D^{j+\ell} f(x) \frac{h^{(j)}}{j!} \right\|_M.$$

Here  $k \geq 0$  and  $M$  is any compact subset of  $E$ . These semi-norms are simpler than the ones used in [11] and only constrain limited Taylor expansions between two points of  $K$ . Note that  $\|f\|_{K,M,k}$  is increasing with  $M$  and that  $\|f\|_{K,\lambda M,k} = \lambda^k \|f\|_{K,M,k}$  if  $\lambda > 0$ .

They are natural in problems where extension properties occur. Using the Cauchy inequalities, one easily sees that these semi-norms are continuous on  $\mathcal{O}(K)$ . More details are contained in the first part of the proof of Theorem 2.1. In a finite-dimensional space, we can fix  $M$  equal to the closed unit ball and omit it in the notation. Then we obtain the semi-norms

$$\|f\|_{K,k} = \frac{\|D^k f\|_K}{k!} + \sup_{0 \leq \ell < k} \sup_{x, x+h \in K} \frac{1}{\ell! \|h\|^{k-\ell}} \left\| D^\ell f(x+h) - \sum_{j < k-\ell} D^{j+\ell} f(x) \frac{h^{(j)}}{j!} \right\|,$$

with

$$\|D^k f\|_K = \sup_{x \in K} \sup_{\|h\| \leq 1} |D^k f(x)h^{(k)}|.$$

Our main result is the following projective description of  $\mathcal{O}(K)$ .

**Theorem 2.1.** *If  $K$  is a compact subset of a Fréchet space  $E$ , then the topology of  $\mathcal{O}(K)$  is defined by the semi-norms*

$$p(f) = \sup_{k \in \mathbb{N}} \epsilon_k^k \|f\|_{K,M,k},$$

where  $M$  runs over all compact subsets of  $E$  and  $(\epsilon_k)_{k \geq 0}$  over all sequences of real numbers decreasing to 0. Moreover, if  $K$  is locally connected, then one can replace  $\|f\|_{K,M,k}$  by  $\|D^k f\|_{K,M}/k!$  in the definition of the semi-norms  $p$ .

An easy inspection of the proof of this theorem shows that in the definition of  $\|f\|_{K,M,k}$ , it is possible to replace  $\ell!$  by any sequence  $a_\ell$  satisfying  $a_\ell \geq \ell!$ . This is not a surprise since the control on the growth of the derivatives is already performed by the first part. However, the previous semi-norms are the natural ones since they correspond to the usual estimation of the error in the Taylor expansion of a holomorphic function.

A classical example (see [3] or [11]) shows that, for the set  $K = \{1/k : k \in \mathbb{N}_0\} \cup \{0\}$ , one cannot replace  $\|f\|_{K,k}$  by  $\|D^k f\|_K/k!$ .

The next result shows that the set of sequences  $(\epsilon_k)_{k \geq 0}$  cannot easily be relaxed. Let  $L = (L_k)_{k \in \mathbb{N}}$  be an increasing sequence of real positive numbers. If  $\Omega$  is an open subset

of  $\mathbb{R}^n$ , we denote by  $C^{(L)}(\Omega)$  the set of all  $f \in C_\infty(\Omega)$  such that for any compact subset  $K$  of  $\Omega$ , we have

$$\|D^k f\|_K \leq A^{1+k} L_k^k$$

for every  $k$  and some  $A > 0$ . This space is endowed with the semi-norms

$$p(f) = \sup_{k \in \mathbb{N}} \epsilon_k^k \frac{\|D^k f\|_K}{L_k^k},$$

where  $K$  runs over all compact subsets of  $\Omega$  and  $(\epsilon_k)_{k \geq 0}$  over all sequences of real numbers decreasing to 0.

$L$  or  $C^{(L)}$  is said to be quasi-analytic if  $u \in C^{(L)}(\Omega)$  and  $D^\alpha u(x) = 0$  for every  $\alpha \in \mathbb{N}^n$  and some  $x \in \Omega$  imply  $u = 0$ . A classical theorem of Denjoy–Carleman says that  $C^{(L)}(\Omega)$  is non-quasi-analytic if and only if  $\sum_{k=0}^{+\infty} 1/L_k < +\infty$ . It is well known that, as a set,

$$\mathcal{O}(\Omega) = \bigcap_L C^{(L)}(\Omega),$$

where the intersection runs over all non-quasi-analytic sequences  $L$ .

**Theorem 2.2.** *If  $\Omega$  is a non-void open subset of  $\mathbb{R}^n$ , then the topology of  $\mathcal{O}(\Omega)$  is strictly stronger than the projective limit topology of the non-quasi-analytic spaces  $C^{(L)}(\Omega)$ .*

Note that this projective limit topology is defined by the semi-norms

$$p(f) = \sup_{k \in \mathbb{N}} \eta_k^k \|D^k f\|_K,$$

where  $K$  runs over all compact subsets of  $\Omega$  and  $(\eta_k)_{k \geq 0}$  over all sequences of real numbers decreasing to 0 and satisfying

$$\sum_{k=0}^{+\infty} \eta_k < +\infty.$$

This follows easily from the fact that, if the sequence  $\eta_k$  is summable, there is a sequence  $n_k$  converging to  $+\infty$  such that  $n_k \eta_k$  is still summable.

### 3. Proofs of the main results

We need a lemma proved in [11]. For the sake of completeness, we give a slightly different and shorter proof.

**Lemma 3.1.** *Let  $K$  be a locally connected compact subset of a Fréchet space  $E$ . Then for every  $V \in \mathcal{V}(E)$  there is  $W \in \mathcal{V}(E)$  such that every function  $f \in \mathcal{O}(K)$  satisfying*

$$\|D^k f\|_{K,V} \leq k!$$

*for every  $k$  can be extended as a holomorphic function in  $K + W$ .*

**Proof.** Let  $V \in \mathcal{V}(E)$ . Since  $K$  is compact and locally connected, one can find some elements  $z_1, \dots, z_N \in K$  and  $W_1, \dots, W_N \in \mathcal{V}(E)$  satisfying the following properties:

$$K \subset \bigcup_{j=1}^N (z_j + W_j)$$

(i) , and

(ii) for any  $j$ , there is an open set  $U_j \subset \frac{1}{2}V$  containing  $2W_j$  such that  $(z_j + U_j) \cap K$  is connected.

Let  $W$  be the intersection of the sets  $\frac{1}{2}W_j, j = 1, \dots, N$ .

Consider a function  $f \in \mathcal{O}(K)$  satisfying  $\|D^k f\|_{K,V} \leq k!$  for every  $k$ . Its Taylor expansion at any point  $x$  of  $K$  converges in  $x + V$ . To prove the lemma, it is enough to show that if  $x, y \in K$ , then the Taylor expansions  $f_x$  and  $f_y$  of  $f$  at  $x$  and  $y$  coincide in  $(x + W) \cap (y + W)$ .

Assume that this intersection is not empty and that  $f$  is holomorphic in an open neighbourhood  $U$  of  $K$ . Choose  $j$  such that  $x \in z_j + W_j$  and denote by  $\omega$  the connected component of  $(x + V) \cap U$  that contains  $x$ . The set  $(z_j + U_j) \cap K$  is connected, contains  $x$  and is included in  $(x + V) \cap U$  since  $z_j + U_j \subset x + W_j + U_j \subset x + 2U_j \subset x + V$ . Hence it is included in  $\omega$ . We have  $y \in x + 2W \subset z_j + W_j + 2W \subset z_j + 2W_j \subset z_j + U_j$ , hence  $y \in \omega$ . Since  $f_x = f$  near  $x$ , it follows that  $f_x = f = f_y$  in a neighbourhood of  $y$ . Now,  $f_x$  and  $f_y$  are holomorphic in the open and convex set  $(x + 2W) \cap (y + W)$  and coincide near  $y$ . This proves the lemma. □

**Proof of Theorem 2.1.** We first show that the semi-norms of Theorem 2.1 are continuous on the inductive limit  $\mathcal{O}(K)$ . Let  $U$  be an open neighbourhood of  $K$  in  $E$  and  $M$  be a compact subset of  $E$ . Replacing  $M$  by a larger compact set, we can assume that it is convex and balanced. Choose  $V \in \mathcal{V}(E)$  such that  $K + V \subset U$ . Since  $M$  is compact, there is  $\rho_0 > 0$  such that  $2\rho_0 M \subset V$ . Assume that  $x, x + h \in K, h \in \rho M$  with  $0 < \rho < \rho_0$  and  $0 \leq t \leq 1$ . Using the Cauchy inequalities, we obtain

$$\|D^k f(x + th)\|_M \leq k! \rho_0^{-k} \|f\|_{K+2\rho_0 M}$$

for every  $f \in \mathcal{O}(U)$ . This proves that the first term in the definition of  $\|f\|_{K,M,k}$  leads to a continuous semi-norm and that we can assume  $0 < \rho < \rho_0$  is the second one. Using Taylor expansion and (2.1), we obtain

$$\begin{aligned} \frac{1}{\ell! \rho^{k-\ell}} \left\| D^\ell f(x + h) - \sum_{j < k-\ell} D^{j+\ell} f(x) \frac{h^{(j)}}{j!} \right\|_M & \leq \frac{1}{\ell! \rho^{k-\ell}} \left\| \int_0^1 \frac{(1-t)^{k-\ell-1}}{(k-\ell-1)!} D^k f(x + th) h^{(k-\ell)} dt \right\|_M \\ & \leq \frac{k^k}{k!} \frac{k!}{\ell! (k-\ell)!} \rho_0^{-k} \|f\|_{K+2\rho_0 M} \leq \left( \frac{2e}{\rho_0} \right)^k \|f\|_{K+2\rho_0 M}. \end{aligned}$$

This proves the continuity of the semi-norms.

To prove the converse, we consider a continuous semi-norm  $p$  on the inductive limit  $\mathcal{O}(K)$ . Let  $V_j \in \mathcal{V}(E)$ ,  $j \geq 1$ , be a fundamental sequence of neighbourhoods of 0 in  $E$  satisfying  $2V_{j+1} \subset V_j$ .

**(A)** Let us prove that there are  $\epsilon \in ]0, \frac{1}{2}[$ , an integer  $N_1$  and a compact subset  $A_1$  of  $V_1$  such that

$$f \in \mathcal{O}(K + V_1), \quad \|f\|_{K+V_1} \leq 1 \quad \text{and} \quad \|D^k f\|_{K,A_1} \leq \epsilon k!, \quad \text{if } 0 \leq k < N_1$$

imply  $p(f) < 1$ .

Since by definition of the inductive limit the restriction of  $p$  to  $\mathcal{O}(K + V_1)$  is continuous, there is  $\epsilon \in ]0, \frac{1}{2}[$  and a compact subset  $A_1$  of  $V_1$  such that

$$p(f) \leq \frac{1}{\epsilon} \|f\|_{K+A_1}, \quad f \in \mathcal{O}(K + V_1).$$

Since  $A_1$  is compact, there is  $\rho > 1$  such that  $\rho A_1 \subset V_1$ . Choose  $N_1 > 0$  such that

$$\sum_{k=N_1}^{+\infty} \rho^{-k} \leq \epsilon/2.$$

Let  $f \in \mathcal{O}(K + V_1)$  be such that  $\|f\|_{K+V_1} \leq 1$  and

$$\|D^k f\|_{K,A_1} \leq \frac{\epsilon k!}{2N_1}, \quad \text{if } 0 \leq k < N_1.$$

By the Cauchy inequalities, we have

$$\|D^k f\|_{K,A_1} \leq \rho^{-k} k! \|f\|_{K+V_1} \leq \rho^{-k} k!$$

for any  $k$  since  $\rho A_1 \subset V_1$ . Using Taylor expansion, we get

$$\begin{aligned} \|f\|_{K+A_1} &= \sup_{x \in K} \sup_{h \in A_1} \left| \sum_{k=0}^{+\infty} \frac{1}{k!} D^k f(x) h^{(k)} \right| \\ &\leq \sum_{k=0}^{N_1-1} \frac{\epsilon}{2N_1} + \sum_{k=N_1}^{+\infty} \rho^{-k} \leq \epsilon. \end{aligned}$$

This proves the assertion.

**(B)** Let  $N_0 = 0$  and  $V_0 = 2V_1$ . There is a strictly increasing sequence of integers  $N_2, N_3, \dots$ , and a sequence of compact subsets  $A_2, A_3, \dots$ , of  $E$  such that, for any  $j \geq 1$ ,  $A_j \subset 2V_{j-1}$  and

$$f \in \mathcal{O}(K + V_j), \quad \|f\|_{K+V_j} \leq j \quad \text{and} \quad \|f\|_{K,A_\ell,k} \leq \epsilon, \quad \text{if } N_{\ell-1} \leq k < N_\ell, \quad 1 \leq \ell \leq j$$

imply  $p(f) < 1$ .

The proof is by induction on  $j$ . If  $j = 1$  this follows from (A). Assume that  $N_1, \dots, N_{j-1}$  have been constructed. If no suitable  $N_j$  and  $A_j$  exist, then for every positive integer  $N$

and every compact subset  $A$  of  $V_j$  the set  $e_{N,A}$  of the functions  $f \in \mathcal{O}(K + V_j)$  such that  $p(f) \geq 1$ ,

$$\|f\|_{K+V_j} \leq j, \quad \|f\|_{K,A_\ell,k} \leq \epsilon, \quad \text{if } N_{\ell-1} \leq k < N_\ell, \quad 1 \leq \ell < j,$$

and

$$\|f\|_{K,A,k} \leq \epsilon, \quad \text{if } N_{j-1} \leq k < N$$

is not empty. Since  $\mathcal{O}(K + V_j)$  is a semi-Montel space and each finite intersection of such sets is also not empty, there is a function  $f$  in their intersection. We have  $p(f) \geq 1$ ,

$$\|f\|_{K,A_\ell,k} \leq \epsilon, \quad \text{if } N_{\ell-1} \leq k < N_\ell, \quad 1 \leq \ell < j,$$

and  $\|f\|_{K,A,k} \leq \epsilon$  for every  $k$  and every compact subset  $A$  of  $2V_{j-1}$ . Hence, using the same notation as before, though  $2V_{j-1}$  is not compact, we get  $\|f\|_{K,2V_{j-1},k} \leq \epsilon$  for any  $k$ .

Let us prove that  $f$  extends as a holomorphic function in  $K + V_{j-1}$ . Since

$$\|D^k f\|_{K,2V_{j-1}} \leq \epsilon k!$$

for every  $k$ , the Taylor expansion  $f_x$  of  $f$  at a point  $x \in K$  converges in  $x + 2V_{j-1}$ . We have to prove that if  $x, y \in K$ , then  $f_x$  and  $f_y$  coincide in the intersection of  $x + V_{j-1}$  and  $y + V_{j-1}$ .

Assume that this intersection is not empty and write  $y = x + h$ . Here  $h \in 2V_{j-1}$ . Let  $\rho \in ]0, 1[$  such that  $h \in 2\rho V_{j-1}$ . Since  $\|f\|_{K,2V_{j-1},k} \leq \epsilon$  for every  $k$ , we have

$$\left\| D^\ell f(x+h) - \sum_{j < k - \ell} D^{j+\ell} f(x) \cdot \frac{h^{(j)}}{j!} \right\|_{2V_{j-1}} \leq \epsilon \ell! \rho^{k-\ell}$$

if  $0 \leq \ell < k$ . For any  $\ell$ , the right-hand side converges to 0 if  $k$  converges to  $+\infty$ . This proves that  $f_x$  and  $f_y$  coincide to infinite order at  $y$ . Hence they are equal in  $(x + 2V_{j-1}) \cap (y + V_{j-1})$ .

Moreover, using again Taylor expansion, we obtain

$$\begin{aligned} \|f\|_{K+V_{j-1}} &\leq \sup_{x \in K} \sup_{h \in V_{j-1}} \left| \sum_{k=0}^{+\infty} \frac{1}{k!} D^k f(x) h^{(k)} \right| \\ &\leq \sum_{k=0}^{+\infty} \|f\|_{K,V_{j-1},k} \leq \epsilon \sum_{k=0}^{+\infty} 2^{-k} \leq 2\epsilon \leq j - 1. \end{aligned}$$

From the induction hypothesis we get  $p(f) < 1$ . This is an absurdity.

(C) Let  $\epsilon_k = 2^{-j/2}$  if  $N_{j-1} \leq k < N_j$ . This sequence converges to 0. Define

$$M = \{0\} \cup \bigcup_{j=1}^{\infty} 2^{j/2} A_j.$$

This is a compact subset of  $E$  since each  $A_j$  is compact,

$$A_j \subset 2V_{j-1} \subset V_{j-2} \subset \dots \subset 2^{-[j/2]}V_{j-[j/2]-2} \subset 2^{-j/2}V_{j-[j/2]-3}$$

for  $j$  large enough and the  $V_j$  form a fundamental sequence of neighbourhoods of 0 in  $E$ . Here  $[j/2]$  denotes the integer part of  $j/2$ .

If  $f \in \mathcal{O}(K)$  and

$$\sup_{k \in \mathbb{N}} \epsilon_k^k \|f\|_{K,M,k} \leq \epsilon,$$

then  $p(f) \leq 1$ . Indeed, there is some  $j$  such that  $f \in \mathcal{O}(K + V_j)$  and  $\|f\|_{K+V_j} \leq j$ . Moreover, if  $N_{\ell-1} \leq k < N_\ell$  and  $1 \leq \ell \leq j$  we have

$$\|f\|_{K,A_\ell,k} \leq 2^{-k\ell/2} \|f\|_{K,M,k} = \epsilon_k^k \|f\|_{K,M,k} \leq \epsilon,$$

since  $A_\ell \subset 2^{-\ell/2}M$  and  $\|f\|_{K,\lambda M,k} = \lambda^k \|f\|_{K,M,k}$  if  $\lambda > 0$ . By (B) this proves that  $p(f) \leq 1$ . The first part of the theorem is proved.

**(D)** If  $K$  is locally connected, we proceed along the same lines with the following modifications. Before (A), we choose the  $V_j$  in such a way that every function  $f \in \mathcal{O}(K)$  satisfying

$$\|D^k f\|_{K,V_j} \leq k!$$

for every  $k$  can be extended as a holomorphic function in  $K + V_{j+1}$ . This can be done using Lemma 3.1. In (B) and (C), we replace  $\|f\|_{K,A_\ell,k}$  by  $\|D^k f\|_{K,A_\ell}/k!$  everywhere. Moreover, in (B) we replace the condition  $A_j \subset 2V_{j-1}$  by  $A_j \subset V_{j-2}$ .

In (C), the extension of  $f$  as a holomorphic function in  $K + V_{j-1}$  follows from the construction of the  $V_j$  and not from the argument presented there. □

**Proof of Theorem 2.2.** Let  $\epsilon_k$  be a decreasing sequence of real numbers satisfying  $\epsilon_k = 2e/\ln(\ln(k))$  for  $k \geq 3$  and let  $K$  be a non-empty compact subset of  $\Omega$ . Let us show that the semi-norm

$$p(f) = \sup_{k \in \mathbb{N}} \epsilon_k^k \frac{\|D^k f\|_K}{k!}$$

is not continuous for the topology of the projective limit of the spaces  $C^{(L)}(\Omega)$ . Consider the functions  $f_m(x) = e^{imx^1}$ . Choosing  $k$  as the integer part of  $m/\ln(\ln(m))$ , we obtain

$$\begin{aligned} p(f_m) &\geq \sup_{k \in \mathbb{N}} \frac{\epsilon_k^k}{k^k} m^k \\ &\geq \left( \frac{2e \ln(\ln(m))}{\ln(\ln(m)) - \ln(\ln(\ln(m)))} \right)^{(m/\ln(\ln(m))) - 1} \\ &\geq e^{(m/\ln(\ln(m))) - 1} \end{aligned}$$

if  $m$  is large enough.

If  $p$  is continuous, it follows that there are  $C, N > 0$  and a decreasing summable sequence  $(\eta_k)_{k \geq 0}$  such that

$$e^{m/\ln(\ln(m))} \leq C \sup_{k \in \mathbb{N}} \eta_k^k m^k$$



if  $m \geq N$ . Multiplying the sequence  $\eta_k$  by a constant, we can assume that  $C = 1$ . There is a sequence  $k_m$  such that

$$\frac{m}{\ln(\ln(m))} \leq k_m \ln(m\eta_{k_m})$$

if  $m$  is large enough. It follows that the sequence  $k_m$  converges to  $\infty$ . Moreover,  $\eta_{k_m} \geq 1/m$  and

$$\frac{m}{\ln(\ln(m))} \leq k_m \ln(m)$$

for  $m$  large. Denote by  $m_j$  the smallest integer such that  $j \leq k_{m_j}$ . The sequence  $m_j$  converges also to  $+\infty$ . If  $j$  is large enough, we have

$$\frac{m_j - 1}{\ln(m_j - 1) \ln(\ln(m_j - 1))} \leq k_{m_j - 1} < j \leq \frac{J}{\ln(J) \ln(\ln(J))}$$

with  $J = 2j \ln(j) \ln(\ln(j))$ . The function  $x/(\ln(x) \ln(\ln(x)))$  is increasing if  $x$  is large enough. It follows that  $m_j \leq 1 + 2j \ln(j) \ln(\ln(j))$ . Therefore,

$$\eta_j \geq \eta_{k_{m_j}} \geq \frac{1}{m_j} \geq \frac{1}{1 + 2j \ln(j) \ln(\ln(j))}.$$

This is an absurdity since the sequence  $\eta_j$  is summable.  $\square$

**Acknowledgements.** The author thanks Professor J. Schmets for fruitful discussions.

## References

1. K. D. BIERSTEDT, An introduction to locally convex inductive limits, in *Functional analysis and its applications*, pp. 35–133 (World Scientific, 1988).
2. S. DINEEN, Holomorphic germs on compact subsets of locally convex spaces, in *Functional analysis, holomorphy and approximation theory*, Springer Lecture Notes in Mathematics, vol. 843, pp. 247–263 (Springer, 1981).
3. S. DINEEN, *Complex analysis in locally convex spaces*, North-Holland Mathematical Studies, vol. 57 (Amsterdam: North-Holland, 1981).
4. L. EHRENPREIS, Solution of some problems of division, Part IV, *Am. J. Math.* **82** (1960), 522–588.
5. H. G. GARNIR, M. DE WILDE AND J. SCHMETS, Analyse fonctionnelle, III, Espaces fonctionnels usuels, Math. Reihe 45 (Birkhäuser, 1973).
6. A. HIRSCHOWITZ, Bornologie des espaces de fonctions analytiques en dimension infinie, *Séminaire Pierre Lelong 1969/1970*, Springer Lectures Notes in Mathematics, vol. 205, pp. 21–33 (Springer, 1970).
7. L. HÖRMANDER, *An introduction to complex analysis in several variables* (Amsterdam: North-Holland, 1973).
8. L. HÖRMANDER, The analysis of linear partial differential operators, I, Grundle. der math. Wiss. 256 (Springer, 1983).
9. F. MANTOVANI AND S. SPAGNOLO, Funzionali analitici reali e funzioni armoniche, *Annli Scuola Norm. Sup. Pisa* **18** (1964), 475–513.
10. A. MARTINEAU, Sur la topologie des espaces de fonctions holomorphes, *Math. Annln* **163** (1966), 62–88.

11. J. MUJICA, A Banach–Dieudonné theorem for germs of holomorphic functions, *J. Funct. Analysis* **57** (1984), 31–48.
12. K. RUSEK, A new topology in the space of germs of holomorphic functions on a compact set in  $\mathbb{C}^n$ , *Bull. Acad. Polon. Sci.* **25** (1977), 1227–1232.
13. P. SCHAPIRA, Sur les ultra-distributions, *Annls Sci. Ec. Norm. Sup.* **1** (1968), 395–415.