

Statement of Ownership, Management, and Circulation

1 Publication Title: **Business History Review**

2 Publication Number: **0 0 0 7 - 6 8 0 5**

3 Filing Date: **1 Oct., 2007**

4 Issue Frequency: **Quarterly**

5 Number of Issues Published Annually: **Four**

6 Annual Subscription Price: **\$30/\$50/\$130**

7 Complete Mailing Address of Known Office of Publication (Not printer) (Street, city, county, state, and ZIP+4):
**Cummock Hall, Room 232
 Harvard Business School, Soldiers Field
 Boston, MA 02163**

8 Complete Mailing Address of Headquarters or General Business Office of Publisher (Not printer):
**Walter A. Friedman
 617-495-1003**

9 Full Names and Complete Mailing Addresses of Publisher, Editor and Managing Editor (Do not leave blank):
**President and Fellows of Harvard College
 Cambridge, MA 02138**
**Editor (Name and complete mailing address):
 Geoffrey Jones and Walter Friedman
 Rock Center, Harvard Business School
 Boston, MA 02163**
**Managing Editor (Name and complete mailing address):
 Walter Friedman
 Rock Center 104, Harvard Business School
 Boston, MA 02163**

10 Owner (Do not leave blank. If the publication is owned by a corporation, give the name and address of the corporation immediately followed by the names and addresses of all individual owners. If not owned by a corporation, give the name and address of the individual owner. If owned by a partnership or other unincorporated firm, give its name and address, as well as those of each individual owner. If the publication is published by a nonprofit organization, give its name and address.)
**Complete Mailing Address
 Cambridge, MA 02138**

11 Known Bondholders, Mortgagees, and Other Security Holders Owning or Holding 1 Percent or More of Total Amount of Bonds, Mortgages, or Other Securities. If none check box None

12 Tax Status (For completion by nonprofit organizations authorized to mail at nonprofit rates) (Check one)
 Has Changed During Preceding 12 Months (Publisher must submit explanation of change with this statement)
 Not Changed During Preceding 12 Months (See instructions on Reverse)

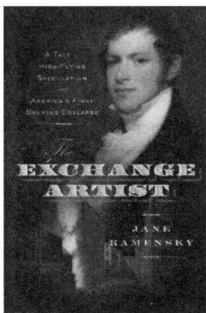
13 Publication Title	14 Issue Date for Circulation Data Below	15 Business History Review	Average No. Copies Each Issue During Preceding 12 Months	No. Copies of Single Issue Published Nearest to Filing Date
a. Total Number of Copies (Net press run)				
(1) Paid/Requested Outside-County Mail Subscriptions Stated on Form 3541 (Include advertiser's proof and exchange copies)	JUNE, 2007		2000	2000
(2) Paid In-County Subscriptions Stated on Form 3541 (Include advertiser's proof and exchange copies)			1000	1000
(3) Sales Through Dealers and Carriers, Street Vendors, Counter Sales, and Other Non-USPS Paid Distribution			50	50
(4) Other Classes Mailed Through the USPS			50	50
b. Total Paid and/or Requested Circulation (Sum of 15a, (1), (2), (3), and (4))				
			1100	1100
c. Free Distribution by Mail (Sum of 15b, (1), (2), (3), and (4))				
(1) Outside-County as Stated on Form 3541			100	100
(2) In-County as Stated on Form 3541			30	30
(3) Other Classes Mailed Through the USPS (any, and complete mailing address)				
(4) Free Distribution Outside the Mail (Carriers or other means)			30	30
f. Total Free Distribution (Sum of 15c and 15d)				
			160	160
g. Total Distribution (Sum of 15e and 15f)				
			1260	1260
h. Copies not Distributed				
			740	740
i. Total (Sum of 15g and h)				
			2000	2000
j. Percent Paid and/or Requested Circulation (15e divided by 15i, times 100)				
			80%	80%

16 Publication of Statement of Ownership: Publication required. Will be printed in the **FALLER 2007** issue of this publication. Publication not required.

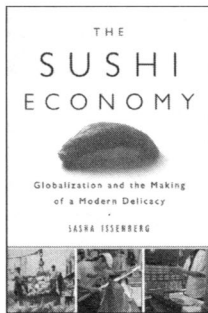
17 Signature and Title of Editor, Publisher, Business Manager, or Owner: **Walter A. Friedman**

Instructions to Publishers

- Complete and file one copy of this form with your postmaster annually on or before October 1. Keep a copy of the completed form for your records.
 - In cases where the stockholder or security holder is a trustee, include in items 10 and 11 the name of the person or corporation for whom the trustee is acting. Also include the names and addresses of individuals who are stockholders who own or hold 1 percent or more of the total amount of stock, or other securities of the publishing corporation. In item 11, if none, check the box. Use blank sheets if more space is required.
 - Be sure to furnish all circulation information called for in item 15. Free circulation must be shown in items 15a, e, and f.
 - Item 15h. Copies not Distributed, must include (1) newspaper copies originally stated on Form 3541, and returned to the publisher; (2) estimated returns from news agents, and (3) copies for office use, leftovers, spoiled, and all other copies not distributed.
 - If the publication had Periodicals authorization as a general or requester publication, the Statement of Ownership, Management, and Circulation must be printed in the issue in which it is required. It must be printed in any issue in October or, if the publication is not published during October, the first issue printed after October.
 - In item 16, indicate the date of the issue in which this Statement of Ownership will be published.
 - Item 17 must be signed.
- Failure to file or publish a statement of ownership may lead to suspension of Periodicals authorization.**



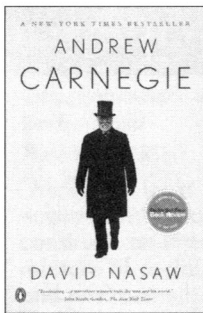
Jane Kamensky
The Exchange Artist
 A Story of Paper, Bricks,
 and Ash in Early National America
 "A dazzling, disturbing account of rising and
 falling in early America, a tale of towering
 ambition and catastrophic collapse."
 —Jill Lepore, Harvard University.
 Viking 448 pp. 978-0-670-01841-3 \$29.95
 Available February 2008



Sasha Issenberg
The Sushi Economy
 Globalization and the
 Making of a Modern Delicacy
 "A riveting and witty inquiry into the raw
 fish explosion. As a non-fiction stylist, he's
 first-rate. A must read!"
 —Douglas Brinkley, Tulane University.
 Gotham 352 pp. 978-1-59240-294-6 \$26.00

Dana Thomas
Deluxe: How Luxury Lost Its Luster
 "Documents in entertaining and sometimes
 heart-wrenching detail how the luxury
 industry evolved from a proudly diverse
 array of family-owned houses into a \$157
 billion-a-year mass market."
 —Los Angeles Times.
 Penguin Press 384 pp. 978-1-59420-129-5 \$27.95

David S. Landes
**Dynasties: Fortunes and Misfortunes
 of the World's Great Family Businesses**
 "Fascinating...an intriguing read."
 —BusinessWeek.
 Penguin 400 pp. 978-0-14-311247-1 \$16.00



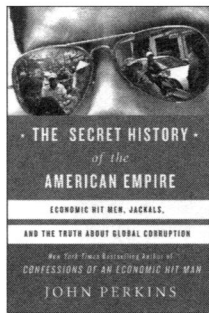
John Perkins
**The Secret History
 of the American Empire**
 Economic Hit Men, Jackals,
 and the Truth about Global Corruption
 "An important, disturbing look beyond the
 headlines of why America is at war."
 —Rocky Mountain News.
 Dutton 384 pp. 978-0-525-95015-8 \$25.95

David Nasaw
Andrew Carnegie
 "A vivid history of nineteenth-century
 capitalism."—Fortune.
 Penguin 896 pp. 978-0-14-311244-0 \$20.00

Tom Perkins
Valley Boy
 The Education of Tom Perkins
 The revealing memoir from the venture
 capitalist and Silicon Valley pioneer whose
 resignation from the Hewlett-Packard board
 of directors broke one of the biggest scandals
 in the history of corporate America.
 Gotham 304 pp. 978-1-59240-313-4 \$27.50

Also of Interest:
**NEW IDEAS FROM DEAD ECONOMISTS: An
 Introduction to Modern Economic Thought**
 Todd G. Buchholz Revised Edition
 Foreword by Martin Feldstein
 Plume 336 pp. 978-0-452-28844-7 \$16.00

**THINK INDIA: The Rise of the World's Next
 Superpower and What It Means for Every
 American** Vinay Rai & William L. Simon
 Dutton 336 pp. 978-0-525-95020-2 \$25.95

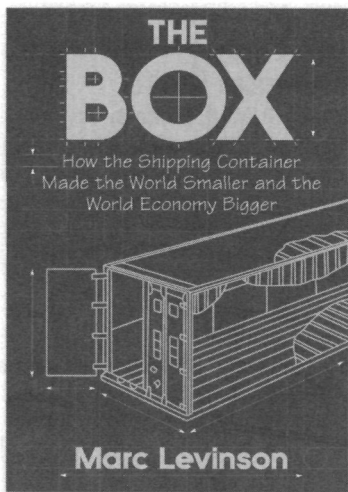


www.penguin.com/academic
 Academic Marketing Department

PENGUIN GROUP (USA)
 375 Hudson Street New York, NY 10014



New in paperback



Short-listed for the 2006 *Financial Times/Goldman Sachs Business Book of the Year*

Winner of the 2007 *Bronze Award in Finance/Investment/Economics, Independent Publisher*

Winner of the 2007 *Anderson Medal, Society for Nautical Research*

With a new preface by the author

The Box

How the Shipping Container Made the World Smaller and the World Economy Bigger

Marc Levinson

“[Levinson] makes a strong case that it was McLean’s thinking that led to modern-day containerization. It altered the economics of shipping and with that the flow of world trade. Without the container, there would be no globalization.”

—*Economist*

“[A] classic tale of trial and error, and of creative destruction.”

—Virginia Postrel, *New York Times*

“By artfully weaving together the nuts and bolts of what happened at which port with the grand sweep of economic history, Levinson has produced a marvelous read for anyone who cares about how the interconnected world economy came to be.”

—Neil Irwin, *Washington Post*

“[A] fascinating exposition of the romance of the steel container. I’ll never look at a truck in the same way again.”

—Howard Davies, *Times* (London)

“[An] ingenious analysis.”

—Stefan Stern, *Financial Times*

Paper \$14.95 978-0-691-13640-0 Due February



PRINCETON UNIVERSITY PRESS

800.777.4726
press.princeton.edu

New Books from YALE

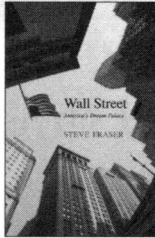
Wall Street

America's Dream Palace

STEVE FRASER

"A brilliant and vivid examination of how the Street came to inhabit and convulse America's collective psyche. No one has written about this essential American story with more subtlety, power, and insight."—Gary Gerstle

Icons of America series 6 illus. \$22.00



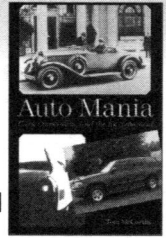
Auto Mania

Cars, Consumers, and the Environment

TOM MCCARTHY

"McCarthy looks at the environment broadly and constructs an interesting mixture of social, economic, political, and environmental history of the car not found elsewhere."—J. Brooks Flippen

52 illus. \$32.50



The Hamburger

A History

JOSH OZERSKY

In this **lively and entertaining** history of the hamburger, Ozersky uncovers an array of facts and stories about the hamburger's evolution and chronicles how the burger has reflected—and even shaped—American business and culture.

A Caravan Book: www.caravanbooks.org

Icons of America series 15 illus. \$22.00

Innovation and the State

Political Choice and Strategies for Growth in Israel, Taiwan, and Ireland

DAN BREZNITZ

"In this important book, Breznitz provides new insights into the very different strategies pursued by three very different countries—Israel, Taiwan and Ireland—that each used to post remarkable post-War growth records."—Robert E. Litan

11 illus. \$45.00

Origins of American Health Insurance

A History of Industrial Sickness Funds

JOHN E. MURRAY

"A strikingly original reconsideration of Progressive-era industrial sickness funds—their track record, their appeal for high-mobility American workers, and their political implications."—Gavin Wright

Yale Series in Economic and Financial History

22 illus. \$40.00

Hotel

An American History

A. K. SANDOVAL-STRAUSZ

"In this lucid and creative work, Sandoval-Strausz situates the rise of hotels within the history of the triumph of capitalism and of an increasingly mobile society. . . .

Fascinating."—*Publishers Weekly*

58 b/w + 93 color illus. \$37.50

A Revolution in Commerce

The Parisian Merchant Court and the Rise of Commercial Society in Eighteenth-Century France

AMALIA D. KESSLER

"An erudite, original, and compelling treatment of one of the great problems of modern historiography: the relationship between capitalism and merchant practices, on the one hand, and late eighteenth-century political revolution, on the other."—John Fabian Witt

5 illus. \$55.00

New in paper

The American Dream vs. The Gospel of Wealth

The Fight for a Productive Middle-Class Economy

NORTON GARFINKLE

"This fascinating guided tour of America's past reminds us of the moral dimension of economic policy—which used to loom large, but which lately has been submerged."—Alan Blinder

The Future of American Democracy Series

3 illus. \$16.00 **paperback**



YALE University Press • yalebooks.com

CAMBRIDGE

Understanding Multinationals: Then and Now

Leviathans

Multinational Corporations and
the New Global History

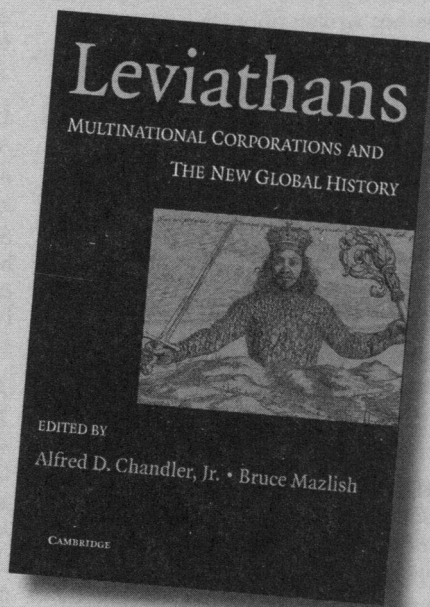
Edited by

Alfred D. Chandler, Jr.
Harvard University

Bruce Mazlish
Massachusetts Institute of Technology

"This book is a major contribution to our understanding of the origins and development of the multinational corporation... This collection will be of great interest to scholars in economics, economic history, and business and organizations."

— David J. Teece, Mitsubishi Bank
Professor of International Business
and Finance, Haas School of Business,
University of California, Berkeley



Leviathans is a path-breaking effort to look at multinational corporations in the round, emphasizing especially their scope, history and development, cultural and social implications, and governance problems. Starting with a primer on MNCs, there are eight chapters devoted to a variety of aspects, including global elites. Written by experts on various elements of the MNCs, the book weaves a coherent and compelling picture of the way these "businesses" affect almost all areas of our existence in society and how they play a major role — political, cultural, and social — in the globalization that is reshaping so much of our lives.

\$69.00: Hardback: 978-0-521-84061-3: 264 pp.

\$24.99: Paperback: 978-0-521-54993-6

Prices subject to change.

www.cambridge.org/us



CAMBRIDGE
UNIVERSITY PRESS

GUIDELINES FOR CONTRIBUTORS

GENERAL INFORMATION

Manuscripts are considered for publication on the understanding that they are not concurrently under consideration elsewhere and that the material—in substance as well as form—has not been previously published.

Manuscripts should be submitted by e-mail to bhr@hbs.edu.

Authors should identify themselves only on a separate title page that provides name, mailing address, and telephone number. Authors must also remember not to identify themselves in the body of the manuscript; specifically, references to their own work in the text should be in the third person, and citations should be written without possessive pronouns—not “See my”

It will save considerable work for all parties in the event of acceptance if authors follow a few rules from the beginning:

- In general, use as few formatting commands as possible.
- Left justify text.
- Do not hyphenate words at the end of lines.
- ALL material—including extracted quotations and notes—must be double spaced.
- Notes should be numbered consecutively and citations should be placed as footnotes or endnotes formatted as indicated by *The Chicago Manual of Style* (2003).
- Each table and figure must be accompanied by a complete source.

Each article should be accompanied by an abstract of 75 to 100 words outlining the main point(s) of the paper and placing the article in context. Subheads should be used to divide the manuscript into three or four sections (or more, depending on length). The manuscript length, including footnotes, should not exceed 10,000 words.

We are always eager to publish illustrations, but authors should not include originals of illustrative materials at the time of submission; photocopies of such material may be included. Authors are responsible for obtaining all illustrative materials and permissions for reproduction, and for writing captions.

Authors of accepted manuscripts will receive two copies of the issue in which the article appears and twenty-five offprints.

MANUSCRIPT PREPARATION

We use the 15th edition of *The Chicago Manual of Style* (2003) and spell and hyphenate words according to the 11th edition of *Merriam-Webster's Collegiate Dictionary*.

The journal encourages authors to use gender-neutral prose in all cases where it is not anachronistic to do so; male nouns and pronouns should not be used to refer to people of both sexes. We use the day-month-year form for dates, as in 11 Feb. 1998, in the notes, but in the text, dates are written month-day-year, as in February 11, 1998. Double quotation marks should be used for direct quotation; single quotation marks are used for quoted material inside quotations.

SAMPLE CITATION FORMS

Book: Alfred D. Chandler Jr., *The Visible Hand: The Managerial Revolution in American Business* (Cambridge, Mass., 1977), 321–22.

Journal: Charles Cheape, “Not Politicians but Sound Businessmen: Norton Company and the Third Reich,” *Business History Review* 62 (Autumn 1988): 444–66.

Note that we do not include the publisher in book citations. We do not use loc. cit., op. cit., or idem., but ibid. (not italicized) may be used.



Piazza del Duomo, Milan, c. 1953–54



0007-6805(200724)81:4;1-6