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# A modified dumbbell-shaped highly efficient broadband multifunctional polarizer for X and Ku band applications

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## **Abstract**

A novel metasurface offering polarization conversion characteristic in five bands is studied and developed in this paper. To provide the anisotropic feature to the structure, a diagonally placed tapered rod is combined with two semicircular stubs. Due to the controlling ability to convert horizontal to vertical polarization and vice versa, and linear to circular polarization (CP), it serves as a multifunctional polarization converter. Simulation results suggest that the proposed polarizer functions as cross polarizer over 4.74−5.12 and 9.12−13.48 GHz. Additionally, it exhibits a distinct type of rotational sense across 4.24−4.68, 5.24−8.64, and 13.72–15.14 GHz in its linear to CP conversion behavior. The axial ratio of the polarizer is well below 3 dB throughout overall CP bands due to the minimum tolerance level in reflection phases with respect to acceptable limits. Moreover, it is noticed that the sense of CP is left-handed in the first band while right-handed in the remaining two bands. Thus, the suggested polarizer has potential to be integrated with antennas for satellite, defense, industry applications for getting the desired type of polarization in the distinguished bands.

# **Introduction**

Polarization of the electromagnetic (EM) waves is a crucial factor to receive the EM waves efficiently by the receiving antennas. For a communication to be effective, the transmitting and receiving linear antennas must have the same type of polarization, which is not always the case in real-world situations. To overcome this, circular polarization (CP) antennas have been used at the front end of the receivers in various applications, but it is hard to achieve the CP feature over the wide range of frequencies. To meet these challenges, radio frequency engineers conceptualized the polarization converters, which are also known as polarizers. In fact, these are the periodic structures with the capability to transform the polarization state of an EM wave to get desired polarization in specific bands [\[1\]](#page-7-0). Hence, polarization converters find their applications in several areas including wireless communication, radar sensing, astronavigation, antennas, [\[2\]](#page-7-0) etc. Polarization conversion could be accomplished through traditional techniques: using birefringence wave plates [\[3\]](#page-7-0); liquid crystals [\[4\]](#page-7-0), Faraday effects [\[5\]](#page-7-0), etc. However, these techniques had drawbacks of large thickness and narrow bandwidth that restrict its practicality in various applications. Planar structures such as metasurfaces are then investigated successfully to mitigate the complexity of the wireless systems [\[6\]](#page-7-0). With the advancement, these planar surfaces are developed as polarization converters to serve multiple functions such as cross-polarization conversion (CPC) [\[3\]](#page-7-0), linear polarization to circular polarization (LPCP) conversion [\[7\]](#page-7-0), radar cross section (RCS) reduction  $[8]$ , and mutual coupling reduction of MIMO antennas  $[9]$ .

Depending on whether the receiver is placed on the same side of the polarizer or on the opposite side, polarization conversion can occur in both reflection and transmission modes.

However, since copper film is placed on the back side to guarantee no transmission, reflective-type polarization converters are simpler to design. Reflection-type polarization converters are popular for exhibiting larger bandwidth in LPCP conversion [\[10–12\]](#page-8-0), CPC for RCS reduction [\[13–15\]](#page-8-0), and hybrid polarization conversion [\[16,](#page-8-0) [17\]](#page-8-0). Literature studies suggest that the anisotropic metasurfaces are helpful to exhibit CP characteristics in specific bands [\[10–12\]](#page-8-0). Certain metasurfaces exhibit cross-polarization performance, e.g. double U-shaped metasurface [\[13\]](#page-8-0), double split-ring resonator metasurface [\[14\]](#page-8-0), and modified concentric double-square ring resonator [\[15\]](#page-8-0). In order to obtain RCS reduction properties, the Panchratnam Berry 2 bit coding metasurface is employed [\[14\]](#page-8-0). Frequency selective surfaces are also investigated to display multifunctional characteristics in different bands [\[16,](#page-8-0) [17\]](#page-8-0). For example, the identical structure can transform a linearly polarized wave into cross- and circularly polarized waves





**Figure 1.** (a) Side view, top view of the proposed polarization converter unit-cell. ( $a = 10$  mm,  $R_d = 4.2$  mm,  $L_d = 3.4$  mm,  $L_{arc} = 6.87$  mm, and  $h = 3.2$  mm), (b) Working methodology of the proposed polarizer surface.

in discrete bands. As a result, similar polarization converters are helpful for converting the polarization state across a wide bandwidth according to the needs of the applications. It is important to discuss the applications of polarization converters in satellite communications as well which is one of the most potential applications. In order to prevent interference, the satellite needs nonadjacent frequencies for both uplinking and downlinking, as well as a specific kind of handedness in CP.This is covered in the reflective-type converters [\[11\]](#page-8-0) and the transmissive-type converters [\[18\]](#page-8-0), and it has been made possible by the use of polarization converters integrated with antennas. Though tuning the bands for the designated frequency bands of satellite communications is an extremely difficult and complex task.

In this paper, a modified dumbbell-shaped unit-cell is chosen to develop metasurface-based polarization converter. The dumbbellshaped structure is placed diagonally with respect to the substrate to achieve anisotropic nature which is essential to change the state of polarization. Owing to its unique design, careful selection of the structure parameters after meticulous optimization, the proposed polarizer can convert the wave polarization in five different bands. It exhibits CPC (y-to-x or x-to-y) over two bands:  $4.74-5.12$  and 9.12–13.48 GHz, where polarization conversion efficiency (PCE) is found greater than 90%. It also shows LPCP behavior in the three bands: 4.24–4.68, 5.24–8.64, and 13.72–15.14 GHz considering 3 dB axial ratio (AR) bandwidth. Further, the study of the phase difference between co- and cross-polarized reflected waves in the CP bands demonstrates that left-handed CP (LHCP) is obtained in the first band while it is right-handed CP (RHCP) in last two bands. The effectiveness of the intended metasurface structure, which transforms linearly polarized wave either into its orthogonal counterpart or circularly polarized waves over the entire 4−16 GHz band, is what makes the proposed work novel.

# **Unit cell geometry and principle of polarization conversion**

#### **Unit cell geometry**

The proposed design is an amalgamation of three-layered structure. The top layer consists of a modified dumbbell-shaped structure kept diagonally over the top of the substrate. A tapered rod is terminated by semicircular stub on either sides and the resulting structure is termed as modified dumbbell. The curvature of the tapered rod ( $L_{arc}$ ) is the function of rod width ( $L_d$ ) and it increases with  $L_d$ . This structure is created on a 3.2-mm-thin FR4 glass epoxy substrate with relative permittivity of 4.4 and loss tangent equivalent to 0.02. The 35-μm-thin copper acts as bottom layer of the substrate and it is responsible for complete reflection of the waves from the other side of the converter. Figure 1 shows the top and side views of the proposed unit cell specifying different structural parts along with the design parameters whose optimized values are given in caption. The working methodology of the proposed polarization converter is illustrated with the help of Fig.  $1(b)$ . It presents a sheet containing an array of identical unit-cells as described in Fig. 1(a). When a  $y/x$ -polarized EM wave impinges on this sheet, reflected waves are converted into  $x/y$ -polarized (cross-polarized) wave in 4.74–5.12 and 9.12–13.48 GHz bands. In addition, it is transformed into LHCP reflected wave over the frequency band of 4.24–4.68 GHz, and RHCP waves can be acquired as reflected waves within 5.24−8.64 and 13.72−15.14 GHz ranges.

#### **Principle of operation**

The proposed unit-cell is excited via means of Floquet port and is surrounded by the master-slave boundaries which are employed to enforce periodicity. Owing to its highly anisotropic nature, the proposed unit-cell is capable to convert the state of wave polarization from  $y$ -to- $x$  and vice versa in two distinct bands. This condition is accomplished when there is high isolation between the magnitudes of co- and cross-polarized reflected waves. PCE accounts for the amount of the wave converted from  $y$ -to-x polarization [\[9\]](#page-8-0):

$$
PCE = \frac{r_{xy}^2}{r_{yy}^2 + r_{xy}^2} \times 100\%
$$
 (1)

where  $r_{xy} = E_r^x/E_i^y$  signifies the reflection coefficient of crosspolarized wave while  $r_{yy} = E_r^y / E_i^y$  corresponds to the reflection coefficient of the co-polarized wave. To ensure the effective functionality of the polarizer as cross polarization converter, the PCE should be above or equal to 90% in the given working band [\[17\]](#page-8-0).



Figure 2. (a) Reflection coefficient of co- and cross-polarized waves and (b) Polarization conversion efficiency of the proposed polarization converter.

In addition to this, proposed converter also exhibits LPCP characteristics in three relevant bands. This condition requires that the magnitude of the reflected co and cross-polarized waves should remain same, i.e.  $|r_{yy}| \approx |r_{xy}|$ , providing phase difference  $(\Delta \phi)$ between them should be an odd multiple of 90°, i.e.  $\Delta \phi = \phi_{yy} - \phi_{yy}$  $-\phi_{xy} = \pm 90^\circ$ . Here,  $\phi_{yy}$  and  $\phi_{xy}$  are the corresponding phases to the reflected waves. To evaluate the CP performance, AR is calculated in terms of the magnitudes of the reflectance and theirs phases and is given by the following equation [\[11\]](#page-8-0):

$$
AR = \sqrt{\left[\frac{|r_{yy}|^2 + |r_{xy}|^2 + \sqrt{x}}{|r_{yy}|^2 + |r_{xy}|^2 - \sqrt{x}}\right]}
$$
(2)

where

$$
x = |r_{yy}|^4 + |r_{xy}|^4 + 2 |r_{yy}|^2 |r_{xy}|^2 \cos(2\Delta\phi)
$$
 (3)

Considering the aforementioned ideal conditions discussed in the above paragraph (CP), (2) and (3) provide AR as 1 which is equivalent to 0 dB and it regarded as perfect CP; however, it is not always the case in practice. Practically, 3 dB is taken as reference for the most of the communication applications [\[18,](#page-8-0) [19\]](#page-8-0).

#### **Results and analysis**

Two figures of merits were examined in the preceding section to describe the suggested polarizer's polarization transformation performance. The corresponding numerical results for its capacity to convert LPCP and cross polarization separately are now shown in this section along with the parametric analysis and study of surface currents.

#### **Cross polarization conversion**

Figure 2(a) shows the reflection coefficient curve of both co- and cross-polarized waves in dB which has been calculated through 20log<sub>10</sub>  $|r_{yy}|$  and 20log<sub>10</sub>  $|r_{xy}|$ , respectively. To exhibit the crosspolarization characteristics, reflected wave must be oriented in orthogonal direction with respect to the direction of incident wave. Hence, high isolation is essential in amplitude levels of the co and cross-polarized reflected waves [\[20,](#page-8-0) [21\]](#page-8-0), which is reciprocating

in Fig.  $2(a)$ . To demonstrate the amount of polarization conversion from y-to-x-polarized wave, Fig.  $2(b)$  presents the simulated PCE, which has been calculated and plotted with the help of relation outlined in (1). It can be monitored that the proposed polarizer offers better than 90% PCE over the 4.74−5.12 and 9.12−13.48 GHz bands. In addition, maximum PCE of 99.31%, 99.94%, and 99.97% are obtained at 4.91, 10.48, and 12.49 GHz, respectively. This demonstrates that the proposed converter is capable to convert the state of linearly polarized wave into its orthogonal directions effectively in above two bands.

# **LPCP conversion**

The proposed polarization converter can also exhibit LPCP behavior in three different bands due to its highly anisotropic nature. After tuning the design parameters meticulously, the proposed polarization converter is capable to convert the linearly polarized wave to circularly polarized waves in 4.24−4.68, 5.24−8.64, and 13.72−15.14 GHz bands. Previous studies suggest that the phase difference between two orthogonally polarized waves and their amplitude plays an important role in determining the type of handedness in CP. The phase difference of +90° or −270° implies for the LHCP while −90° or +270° corresponds to the RHCP [\[17\]](#page-8-0). Hence, the ratio of reflectance of co- and cross-polarized reflected waves and phase difference between them have been calculated numerically and portrayed in Fig.  $3(a)$ . It is worth mentioning to note that ratio of magnitude of co- and cross-polarized reflectance is equivalent to the ratio of orthogonal electric fields as displayed in papers [\[22,](#page-8-0) [23\]](#page-8-0).

Simulation results indicate that the reflection ratio varies from 0.5 to 1.9 throughout all the CP bands. Moreover, the maximum tolerance level in reflection phase is only ±11<sup>∘</sup> for LHCP band and ±9 ∘ for both RHCP bands. This low level of tolerance in turn facilitates excellent CP characteristics, which guarantees LPCP performance practically without much deviation.

Above discussions indicate that the proposed polarizer must show the AR well below 3 dB. To verify the same, Fig.  $3(b)$  qualitatively represents the calculated AR of the intended polarizer by using the relation shown in (2) and (3). With an AR of  $\lt$ 3 dB

<span id="page-3-0"></span>

Figure 3. (a) Reflectance magnitude ratio and phase difference between co- and cross-polarized reflected waves and (b) Axial ratio of the proposed polarization converter.

and a phase difference of about odd multiples of  $\pm 90^{\circ}$  across these frequency bands, it is evident that the outstanding CP characteristics are attained in the 4.24−4.68, 5.24−8.64, and 13.72–15.14 GHz bands. Moreover, the least ARs of 0.51 dB, 0.09 dB, 0.01 dB, and 0.57 dB is achieved at 4.50, 6.05, 6.95, and 14.34 GHz, respectively which is closer to the 0 dB, the ideal condition.

#### **Parametric analysis**

To study the effect of the design parameters on the cross and LPCP conversion performance, AR and PCE are represented in the same plot corresponding to the variation in two key parameters − the diameter of the semicircular stubs  $(R_d)$  and the width of the tapered rod  $(L_d)$ . A close examination of [Fig. 4\(a\)](#page-4-0) reveals that the AR performance in the second band is dictated by the value of  $R_d$ . Moreover, it is also responsible for improving the bandwidth of the PCE. Hence, it can be stated that this parameter helps to control the anisotropy. The value of  $R_d$  has been selected as 4.2 mm considering optimum AR and PCE bandwidths.

Furthermore, the parameter  $L_d$  performs two functions: (a) it deals with the portion of semicircular stubs joined with the tapered rod; (b) it also accounts for tapering which increases with  $L_d$ . As a result of this, it plays crucial role in determining the PCE and AR bandwidths. Hence, this parameter is included in our analysis and its effect has been portrayed in Fig.  $4(b)$ . It has been found that raising the value of  $L_d$  considerably increases PCE bandwidth while concurrently reducing AR bandwidth.

Hence, there is trade-off between PCE and AR bandwidths. The value of  $L_d$  was chosen such that the proposed polarizer shall demonstrate the characteristics of cross polarization converter and LPCP converter in considerably wide range of frequencies.

#### **EM analysis using current distributions**

To reveal the cause behind the polarization conversion mechanism, current distributions are plotted on the top and bottom surfaces of the proposed converter at the frequencies at which PCE is nearly 100% and the frequencies at which AR is minimum. During literature studies, it was found that the resonances occur due to the symmetric and antisymmetric surface current between the top

patterned layer and bottom ground plane. These resonances may be electric resonance, magnetic resonance, or EM resonance subjected to the direction of currents on the top surface with respect to the ground plane at the bottom [\[11\]](#page-8-0).

In order to study the cross polarization behavior, surface current is plotted at the frequencies where PCE is nearly 100%, i.e. 4.91, 10.48, and 12.49 GHz, as shown in [Fig. 5.](#page-4-0) Figure  $5(a)$  shows that the current direction is parallel to the top dumbbell-shaped structure and most of the current is confined in the tapered middle part. On the other hand, the current direction is found in antiparallel direction in the ground plane. This forms a circulating loop hence it resembles the presence of the magnetic resonance. However, in Fig.  $5(b)$  and [\(c\),](#page-4-0) the current at the top and bottom are in the same direction, which suggests electric resonance. Hence, it can be inferred that the proposed converter is exhibiting the CPC behavior due to magnetic resonance in the first band and electric resonance in the second band.

Moreover, to showcase the CP conversion mechanism in the mentioned bands, surface current distribution is plotted at 4.5, 6.05, 6.95, and 14.35 GHz (least AR frequency points), as shown in [Figure 6.](#page-5-0) It is important to note that the current vectors are rotating orthogonally in the ground plane in contrasts with the above case where cross polarization took place and currents were con-fined in a single direction [\[17\]](#page-8-0). Figure  $6(a)$  reveals that the currents are rotating in the counterclockwise direction, providing LP wave is incident in the –z-direction. This confirms the LHCP behavior at 4.5 GHz offered (in the first band) as illustrated by the phase difference in the "LPCP conversion" section. Similarly, Fig. 6(b-d) represents the sense of rotation as right-handed due to the clockwise rotation of surface currents. Hence it is concluded that the proposed structure converts LP wave to LHCP wave in first band while converts into the RHCP wave for rest two higher bands.

## **Experimental results and discussion**

Proposed polarizer has been fabricated on a FR4 glass epoxy substrate of volume  $300 \times 300 \times 3.2$  mm<sup>3</sup> to realize the polarization conversion surface and accommodates 900 (30  $\times$  30) unit-cells of the suggested structure. Figure  $7(a)$  shows a snapshot of the constructed prototype along with a macroscopic view

<span id="page-4-0"></span>

**Figure 4.** AR and PCE performance of the proposed polarizer with respect to the variation in (a)  $R_d$  and (b)  $L_d$ 



Figure 5. Surface current distribution of the proposed polarizer at frequencies having close to 100% PCE. (a) at 4.91 GHz, (b) at 10.48 GHz, and (c) at 12.49 GHz.

and [Fig. 7\(b\)](#page-5-0) depicts the experimental configuration used to measure the polarization converter's intended performance. This setup is customized according to the requirement of measuring the reflection characteristics of the polarizer. To do this, first, both the horn antennas are oriented in the same polarization state to record the reflected co-polarized waves  $(r_{yy})$ . However, to measure the cross-polarized reflected waves  $(r_{xy})$ , the receiving horn antenna is rotated by 90<sup>∘</sup> . It is important to note that the prototype is placed at a distance of 1 m ( ${>}2\text{D}^2/\lambda$ ) from the horn antennas to reduce the

near-field effects and simultaneously ensure the incidence of plane wave on the metasurface. Here,  $D$  is the maximum aperture of the horn antennas and  $\lambda$  is the largest free-space wavelength.

[Figure 8\(a\)](#page-6-0) shows the measured results of reflection coefficient along with simulated ones to show the CPC capability. Measured results suggest that the proposed polarizer is capable to alter the polarization state from  $y$ -to- $x$  polarization and vice versa over 4.72−5.05 and 8.92−13.55 GHz. This corresponds to the fractional bandwidths (FBWs) of 6.77% and 41.22% considering

<span id="page-5-0"></span>

Figure 6. Surface current distribution of the proposed polarizer at the frequencies with least axial ratio. (a) at 4.50 GHz, (b) at 6.05 GHz, (c) at 6.95 GHz, (d) at 14.34 GHz.



Figure 7. Photograph of the (a) implemented polarization converter surface and (b) Customized anechoic chamber setup for reflection magnitude and phase measurement.

center frequency of 4.88 and 11.23 GHz, respectively. To further verify the CPC behavior, the simulated and measured PCE is also plotted in [Fig. 8\(b\).](#page-6-0) It can be monitored that the proposed converter is successful in maintaining the PCE above 90% over the above-mentioned bands. However, minor discrepancies can be observed between simulated and experimental results which are subjected to the measurement inaccuracy in the placement of the polarizer sheet.

Subsequently, the prototype's behavior in terms of LPCP conversion is analyzed. As mentioned in the "Unit cell geometry and principle of polarization conversion" section, the co- and crosspolarized reflected waves' reflection phases and magnitudes are also required for analyzing this behavior. [Figure 9\(a\)](#page-6-0) demonstrates the experimentally obtained magnitude ratio of  $r_{yy}$  to  $r_{xy}$  and the phase difference between them. Maximum tolerance of merely 13<sup>∘</sup> is monitored after careful examination in reflection phases.

<span id="page-6-0"></span>

**Figure 8.** Comparison of simulated and measured (a) reflection coefficients of co- and cross-polarized waves and (b) polarization conversion efficiency.



Figure 9. (a) Measured magnitude ratio of the reflectance and reflection phase difference of co- and cross-polarized waves, and (b) Measured and simulated axial ratio of the proposed polarization converter.

Using relation (2) and (3), the AR is then computed and plotted in Fig. 9(b). The data indicate that there is excellent agreement between the simulated and measured results due to the lowest tolerance level in phases and magnitude. These reliable results are the resultant of armored phase stable cables constructed for phase measurement purpose which ensures least level of tolerances in simulated and measure results. Finally, measured results suggest that the proposed converter is exhibiting LPCP performance in the three bands ranging from 4.12 to 4.55, 5.16 to 8.42, and 13.85 to 15.20 GHz which is equivalent to FBW of 9.81%, 48.01%, and 9.35% considering center frequency of 4.38, 6.79, and 14.44 GHz, respectively.

It is significant to observe from Figs. 8 and 9 that there is no overlap in the specified frequency bands corresponding to its CPC and LPCP conversion performance. Thus, the experimental results validate that the proposed converter can effectively convert the 4–16 GHz frequency range of linear polarization to either its cross counterpart or CP waves. This renders the suggested converter extremely effective.

In order to show the novelity and contribution of the proposed work, a qualitative comparison with the latest relevant works in the literature has been presented in [Table 1.](#page-7-0) It is worth mentioning that all the converters included in this table are offering CPC and LPCP behavior concurrently in different bands and are based on the reflective metasurfaces for fair comparison. Moreover, these works are compared with respect to the types of substrate, electrical size, thickness of the unit cell, number of bands, and their FBWs in terms of AR and PCE. This table suggests that the low cost FR4 substrate is a better choice to exhibit hybrid polarization conversion characteristics. It also demonstrates a study representing efficiency of the reflective surfaces to offer polarization conversion behavior which is investigated for the first time to the author's best knowledge. This study suggests that how effective a polarizer is capable to convert the polarization in either states over maximum available bandwidth such that it can be utilized efficiently. It has been noted that in certain bands, the majority of polarizers documented in the literature fail to change the polarization state. These bands can be identified as null operation zone (NOZ). It has

<span id="page-7-0"></span>**Table 1.** Comparison of different figure of merits with the recent reflective type hybrid polarization converters



 $\lambda_{\rm L}$   $\lambda_{\rm W}$ , and  $\lambda_{\rm 0}=$  length, width, and thickness, respectively, of the unit cells in terms of free-space wavelength calculated at the lower frequency of operation. <sup>a</sup>Operating band is not mentioned in the paper.

been calculated in the table by considering the PCE and AR band-widths. With the exception of paper [\[24\]](#page-8-0), it is perceived that all of the polarizers under comparison underutilize their bandwidths and do not change the polarization state with respect to its CPC or LPCP. However, this work [\[24\]](#page-8-0) is hindered by its large electrical size. Conversely, the suggested polarizer exhibits nearly no null operation region; that is, it functions as a CPC or LPCP converter within the 4–16 GHz frequency range. In addition, it offers largest AR and PCE bandwidths among all the compared works. The proposed polarizer's electrical size is also small and comparable to previous designs, making it compatible with antennas to change the polarization state of EM waves.

#### **Conclusion**

A modified dumbbell-shaped reflective type polarization converter with broadband LPCP and CPC characteristics is designed, developed and tested in this paper. Measured results demonstrate that the proposed converter is exhibiting the CPC characteristics in 4.72−5.05 and 8.92−13.55 GHz bands with PCE above 90%. It is also capable to convert LP waves to CP waves over 4.12−4.55, 5.16−8.42 GHz, and 13.85−15.20 GHz with AR well below 3 dB, due to the minimum tolerance in phase difference between coand cross-polarized reflected waves. Measured results follow the simulated patterns due to its simplified structure. Novelty of the proposed converter corresponds to the fact that it has almost zero NOZ in the frequency range of 4−16 GHz which is rarely been observed in the previously reported literatures. Moreover, its ultra-thin, miniaturized profile and multifunctional characteristics in five distinct bands suggest that it can be adopted for any

defense, satellite, or on-antenna applications over the wide range of frequencies.

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