

# Periodic expansion of one by Salem numbers

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*Abstract.* We show that for a Salem number  $\beta$  of degree  $d$ , there exists a positive constant  $c(d)$  where  $\beta^m$  is a Parry number for integers  $m$  of natural density  $\geq c(d)$ . Further, we show  $c(6) > 1/2$  and discuss a relation to the discretized rotation in dimension 4.

Key words: beta expansion, periodicity, Salem number, discretized rotation

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## 1. Introduction

Let  $\beta > 1$ . Rényi [25] introduced the beta transformation on  $[0, 1)$  by

$$T_\beta(x) = \beta x - \lfloor \beta x \rfloor.$$

This map has long been applied in many branches of mathematics, such as number theory, dynamical system, coding theory, and computer sciences. The dynamical system  $([0, 1), T_\beta)$  admits the ‘Parry measure’  $\mu_\beta$ : a unique invariant measure equivalent to the Lebesgue measure [23]. The system is ergodic with respect to  $\mu_\beta$  and gives an important class of systems with explicit invariant density. The transformation  $T_\beta$  gives a representation of real numbers in a non-integer base (cf. [14]). Defining  $x_n = \lfloor \beta T_\beta^{n-1}(x) \rfloor$ , we obtain the ‘greedy’ expansion:

$$x = \frac{x_1}{\beta} + \frac{x_2}{\beta^2} + \cdots,$$

which is a generalization of decimal or binary ( $\beta = 10, 2$ ) representations by an arbitrary base  $\beta > 1$ . The word  $d_\beta(x) := x_1 x_2 \dots$  corresponding to  $x$  is an infinite word over  $\mathcal{A} = \{0, 1, \dots, \lceil \beta \rceil - 1\}$ . An infinite word  $x_1 x_2 \dots \in \mathcal{A}^\mathbb{N}$  is eventually periodic if it is written as  $x_1 x_2 \dots x_m (x_{m+1} \dots x_{m+p})^\infty$ . We choose the minimum  $m$  and  $p$  when such  $m$  and  $p$  exist and call it  $(m, p)$ -periodic. The dynamical properties of a piecewise



linear map are governed by the orbit of discontinuities. For  $T_\beta$ , there exists essentially only one discontinuity corresponding to the right end point 1. The expansion of 1 is the word  $d_\beta(1) := \lim_{\epsilon \downarrow 0} d_\beta(1 - \epsilon) = c_1c_2 \dots$ . For a fixed  $\beta$ , an element  $x_1x_2 \dots \in \mathcal{A}^\mathbb{N}$  is realized as  $d_\beta(x)$  with some  $x \in [0, 1)$  if and only if

$$\sigma^n(x_1x_2 \dots) <_{\text{lex}} c_1c_2 \dots$$

for any  $n \in \mathbb{N}$ . Here the shift is defined as  $\sigma((x_i)) := (x_{i+1})$  for a (one-sided or two-sided) infinite word  $(x_i) \in \mathcal{A}^\mathbb{N} \cup \mathcal{A}^\mathbb{Z}$  and  $<_{\text{lex}}$  is the lexicographic order. We say that  $x_1x_2 \dots \in \mathcal{A}^\mathbb{N}$  is admissible if this condition holds. A finite word  $x_1 \dots x_n \in \mathcal{A}^*$  is admissible if  $x_1 \dots x_n 0^\infty$  is admissible. An element of  $c_1c_2 \dots \in \mathcal{A}^\mathbb{N}$  is realized as  $d_\beta(1)$  with some  $\beta > 1$  if and only if

$$\sigma^n(c_1c_2 \dots) <_{\text{lex}} c_1c_2 \dots \tag{1}$$

for any  $n \geq 1$ , see [18, 23] for details. Given  $\beta > 1$ , the beta shift  $(X_\beta, \sigma)$  is a subshift consisting of the set of bi-infinite words  $(a_i)_{i \in \mathbb{Z}}$  over  $\mathcal{A}$  such that every subword  $a_n a_{n+1} \dots a_m$  is admissible. Beta shift  $X_\beta$  is sofic if and only if  $d_\beta(1)$  is eventually periodic, and  $X_\beta$  is a subshift of finite type if and only if  $d_\beta(1)$  is purely periodic, that is,  $d_\beta(1)$  is  $(0, p)$ -periodic, see [2, 9]. (In [23], expansion of one is defined formally by  $(\lfloor \beta T_\beta^n(1) \rfloor)_{n \in \mathbb{N}}$  and the purely periodic expansion  $d_\beta(1) = (c_1c_2 \dots c_{p-1}c_p)^\infty$  is expressed as a finite expansion  $c_1c_2 \dots c_{p-1}(1 + c_p)0^\infty$ .) The  $\beta$  is called a Parry number in the former case, and a simple Parry number in the latter case. When the topological dynamics  $(X_\beta, \sigma)$  is sofic,  $T_\beta$  belongs to an important class of interval maps; Markov maps by finite partition (see [10, 24]).

A Pisot number is an algebraic integer  $> 1$  so that all of whose conjugates have modulus less than one. A Salem number is an algebraic integer  $> 1$  so that all of whose conjugates have modulus not greater than one and at least one of the conjugates has modulus one. If  $\beta$  is a Pisot number, then  $\{T_\beta^i(x) \mid i \in \mathbb{N}\}$  is finite for  $x \in \mathbb{Q}(\beta)$ , that is, the word  $d_\beta(x)$  is eventually periodic, see [8, 26]. Consequently, a Pisot number is a Parry number. Schmidt [26] proved that if  $d_\beta(x)$  is eventually periodic for every  $x \in \mathbb{Q} \cap [0, 1)$ , then  $\beta$  is a Pisot or Salem number. Determining periodicity/non-periodicity of  $d_\beta(x)$  by a Salem number  $\beta$  and  $x \in \mathbb{Q}(\beta)$  remains a difficult problem. The main obstacle is that we do not have any idea until present to show that  $d_\beta(x)$  is *not* eventually periodic when  $\beta$  is a Salem number. Boyd [11] showed that a Salem number of degree 4 is a Parry number by classifying all shapes of  $d_\beta(1)$ . Since then, apart from a computational or heuristic discussion like Boyd [12], Hichri [15–17], we have very few results on the beta expansion by Salem numbers. In this paper, we make some additions to this direction.

**THEOREM 1.** *For a Salem number  $\beta$  of degree  $d$ , there exist infinitely many positive integers  $m$  where  $\beta^m$  is a Parry number. More precisely, there exists a positive constant  $c(d)$  depending only on  $d$  where*

$$\liminf_{M \rightarrow \infty} \frac{\text{Card}\{m \in [1, M] \cap \mathbb{Z} \mid d_{\beta^m}(1) \text{ is } (1, p)\text{-periodic with some } p \in \mathbb{N}\}}{M} \geq c(d).$$

Note that  $c(4) = 1$  was shown in [11]. Our method gives a rather small bound  $c(d) = (3d)^{-d}$ , see Remark 6. We can give a good lower bound when  $d = 6$ .

**THEOREM 2.** *Given a sextic Salem number  $\beta$ , for more than half of the positive integers  $m$ ,  $\beta^m$  is a  $(1, p)$ -periodic Parry number for some  $p \in \mathbb{N}$ .*

Finally, we discuss an interesting connection to four-dimensional discretized rotation.

2. *Preliminary*

We review the basic results on Salem numbers (cf. [7, 28]). It is easy to show that a Salem number has even degree  $2d \geq 4$  and its minimal polynomial is self-reciprocal. Thus  $d_\beta(1)$  cannot be purely periodic for a Salem number  $\beta$ , see [1]. Let  $P(x) \in \mathbb{Z}[x]$  be a monic irreducible self-reciprocal polynomial of even degree  $2d$ . Putting  $Q(x) := P(x)/x^{2d}$ , we have  $Q(x) \in \mathbb{R}[x + x^{-1}]$ . We write  $Q(x) = G(y)$  with  $y = x + x^{-1}$ . Then  $P(x)$  is a minimum polynomial of a Salem number if and only if  $G(2) < 0$  and  $G(y)$  has  $d - 1$  distinct roots in  $(-2, 2)$ . Here,  $G$  is coined a trace polynomial of  $P$  in [12]. The factorization

$$G(y) = (y - \gamma)(y + \alpha_1) \dots (y + \alpha_{d-1})$$

with  $\gamma > 2$  and  $\alpha_i \in (-2, 2)$  corresponds to the factorization of  $P(x)$  in  $\mathbb{R}[x]$ :

$$P(x) = (x - \beta) \left(x - \frac{1}{\beta}\right) \prod_{i=1}^{d-1} (x^2 + \alpha_i x + 1), \tag{2}$$

where  $\gamma = \beta + 1/\beta$  and  $x^2 + \alpha_i x + 1$  gives a root  $\exp(\theta_i \sqrt{-1}) = \cos(\theta_i) \pm \sin(\theta_i) \sqrt{-1}$  of  $P(x)$  with  $\alpha_i = -2 \cos(\theta_i)$  and  $\theta_i \in (0, \pi)$ . It is well known that  $1, \theta_1/\pi, \theta_2/\pi, \dots, \theta_{d-1}/\pi$  are linearly independent over  $\mathbb{Q}$ , that is,  $\exp(\theta_i \sqrt{-1})$  ( $i = 1, \dots, d - 1$ ) are multiplicatively independent. This is shown by applying a conjugate map to the possible multiplicative relation among them, cf. [13]. Note that this fact guarantees that  $\beta^m$  ( $m = 1, 2, \dots$ ) are Salem numbers of the same degree  $2d$ . Applying this linear independence, we see that

$$\left(\frac{m\theta_1}{2\pi}, \dots, \frac{m\theta_n}{2\pi}\right) \bmod \mathbb{Z}^n \tag{3}$$

is uniformly distributed in  $(\mathbb{R}/\mathbb{Z})^n$ , that is, for any parallelepiped

$$I = [a_1, b_1] \times [a_2, b_2] \times \dots \times [a_n, b_n],$$

we have

$$\lim_{M \rightarrow \infty} \frac{1}{M} \sum_{m=1}^M \chi_I \left( \left(\frac{m\theta_1}{2\pi}, \dots, \frac{m\theta_n}{2\pi}\right) \bmod \mathbb{Z}^n \right) = \prod_{i=1}^n (b_i - a_i),$$

where  $\chi_I$  is the characteristic function of  $I$ . Indeed, this is shown by the higher dimensional Weyl criterion [20]. Note that it is understood as unique ergodicity of the action

$$x \mapsto x + \left(\frac{\theta_1}{2\pi}, \dots, \frac{\theta_n}{2\pi}\right)$$

on  $(\mathbb{R}/\mathbb{Z})^n$ . Since  $(\mathbb{R}/\mathbb{Z})^n$  is a compact metric group, minimality and unique ergodicity are equivalent [30], and minimality is a little easier to show.

3. *Our strategy*

Our idea is to find a nice region so that if complex conjugates of a Salem number  $\beta > 1$  fall into this region and  $\beta$  is sufficiently large, then  $d_\beta(1)$  is eventually periodic. We realize this idea in a general form which can be applied to the dominant real root of self-reciprocal polynomials, not only Salem numbers. The statement seems useless for a single  $\beta$  (because it is easier to compute  $d_\beta(1)$  directly) but we will find a nice application in the following sections.

LEMMA 3. *Let us fix constants  $u, v$  with  $0 < u < v < 1$ . If a monic polynomial  $f(x) \in \mathbb{Z}[x]$  of degree  $2n + 2$  satisfies*

$$f(\beta) = 0, \quad f(0) = 1, \quad \beta > \max \left\{ \frac{2}{u}, \frac{1}{1-v} \right\}$$

and

$$\frac{f(x)}{(x - \beta)(x - 1/\beta)} = x^{2n} + 1 + \sum_{i=1}^{2n-1} g_i x^i$$

with  $u < g_i < v$  for  $i = 1, \dots, 2n - 1$ , then  $f(x)$  is self-reciprocal and  $d_\beta(1)$  is  $(1, 2n + 1)$ -periodic.

*Proof.* Set  $g_0 = g_{2n} = 1$ . Putting

$$f(x) = x^{2n+2} + 1 - \sum_{i=1}^{2n+1} c_i x^i,$$

we obtain

$$c_1 = \beta + 1/\beta - g_1, \quad c_{2n+1} = \beta + 1/\beta - g_{2n-1},$$

and

$$c_i = (\beta + 1/\beta)g_{i-1} - g_{i-2} - g_i$$

for  $i = 2, \dots, 2n$ . Since  $c_i \in \mathbb{Z}$ ,  $g_1$  and  $g_{2n-1}$  are uniquely determined by  $\beta + 1/\beta$ , and thus  $g_1 = g_{2n-1}$  and  $c_1 = c_{2n+1}$ . Moreover by induction, we see  $c_i = c_{2n+2-i}$  for every  $i = 1, \dots, 2n + 1$ , that is,  $f(x)$  is self-reciprocal. By assumption, we have

$$c_i \geq \beta u - 2 > 0, \quad c_1 - c_i \geq (\beta + 1/\beta)(1 - v) - 1 > 0$$

for  $i = 2, \dots, 2n$ . Therefore, we have

$$c_i \in \mathbb{Z}, \quad c_1 > c_j > 0 \quad (j = 2, \dots, 2n).$$

One can write

$$\beta^{2n+2} + 1 - \sum_{i=1}^{2n+1} c_i \beta^i = 0$$

as a representation of zero in base  $\beta$ :

$$(-1), c_1, c_2, \dots, c_n, c_{n+1}, c_n, \dots, c_2, c_1, (-1).$$

Adding its  $2n + 1$  shifted form, we see

$$(-1), c_1, c_2, \dots, c_{n+1}, \dots, c_2, c_1 - 1, c_1 - 1, c_2, \dots, c_{n+1}, \dots, c_2, c_1, (-1)$$

is another representation of zero. Iterating this shifted addition, we obtain an infinite expansion of 1:

$$c_1(c_2, c_3, \dots, c_n, c_{n+1}, c_n, \dots, c_2, c_1 - 1, c_1 - 1)^\infty,$$

which satisfies the lexicographic condition in equation (1). (This implies  $c_1 < \lceil \beta \rceil - 1$  as well.) Therefore,  $d_\beta(1)$  is  $(1, 2n + 1)$ -periodic. □

Logically we have to fix  $u, v$  at first. However, this is often impractical. When we apply Lemma 3 to a Salem number  $\beta$  of degree  $2d$ , we take a self-reciprocal monic polynomial  $R(x) \in \mathbb{Z}[x]$  and study  $f(x) = R(x)P(x)$  of degree  $2n + 2$ , where  $P(x)$  is the minimum polynomial of  $\beta$ . Note that one can take  $R(x) = 1$  as well. We check if there exist  $u$  and  $v$  which satisfy our requirements. Since

$$\frac{f(x)}{(x - \beta)(x - 1/\beta)} = \sum_{i=0}^{2n} g_i x^i = R(x) \prod_{i=1}^{d-1} (x^2 + \alpha_i x + 1)$$

with  $\alpha_i \in (-2, 2)$ , for a fixed  $R(x)$ , the problem is reduced to the set of solutions  $(\alpha_1, \dots, \alpha_{d-1}) \in \mathbb{R}^{d-1}$  for the system of inequalities

$$0 < g_i < 1 \ (i = 1, 2, \dots, 2n - 1), \quad -2 < \alpha_j < 2 \ (j = 1, \dots, d - 1),$$

over  $d - 1$  variables  $\alpha_1, \dots, \alpha_{d-1}$ . A self-reciprocal monic polynomial  $R(x)$  gives a certain choice of  $u, v$  if and only if the set of solutions contains an inner point in the space  $\mathbb{R}^{d-1}$ , and for every inner point, we can find  $u, v$ . Solving this set of inequalities is not an easy task in general, but it is feasible for degree 6 since all the inequalities are quadratic. In §5, we use this method to find good regions for  $\beta$ . It is also useful to solve a slightly wider set of inequalities:

$$0 < g_i \leq 1 \ (i = 1, 2, \dots, 2n - 1), \quad -2 < \alpha_j < 2 \ (j = 1, \dots, d - 1). \tag{4}$$

The solution may contain the case  $g_i = 1$ . The proof of Lemma 3 works in the same way under a little more involved assumptions and we have the following lemma.

LEMMA 4. *Let us fix constants  $u, v$  with  $0 < u < v < 1$ . If a monic polynomial  $f(x) \in \mathbb{Z}[x]$  of degree  $2n + 2$  satisfies*

$$f(\beta) = 0, \ f(0) = 1, \quad \beta > \max \left\{ \frac{2}{u}, \frac{1}{1 - v} \right\}$$

and

$$\frac{f(x)}{(x - \beta)(x - 1/\beta)} = x^{2n} + 1 + \sum_{i=1}^{2n-1} g_i x^i$$

with

$$u < g_i < v$$

or

$$(g_i = 1 \text{ and } g_1 < g_{i-1} + g_{i+1})$$

or

$$(g_i = 1 \text{ and } g_1 = g_{i-1} + g_{i+1} \text{ and } g_1 - g_{i+1} > u)$$

hold for  $i = 1, \dots, 2n - 1$ , then  $f(x)$  is self-reciprocal and  $d_\beta(1)$  is  $(1, 2n + 1)$ -periodic.

*Proof.* If  $g_i = 1$  and  $g_1 < g_{i-1} + g_{i+1}$  holds, then  $c_1 - c_{i+1} = g_{i-1} + g_{i+1} - g_1 > 0$ . If  $g_i = 1$  and  $g_1 = g_{i-1} + g_{i+1}$  and  $g_1 - g_{i+1} > u$  hold, then  $c_1 = c_{i+1}$  and  $c_2 - c_{i+2} = (\beta + 1/\beta)(g_1 - g_{i+1}) - 1 - g_2 + g_i + g_{i+2} > \beta u - 2 > 0$ . Therefore, the lexicographic condition in equation (1) holds in all cases. □

We shall see later that the effect of this small extension of Lemma 3 is pretty large both in theory and in practice. Indeed, Lemma 4 works very well with a discretized rotation algorithm, see the discussion in §6.

#### 4. Proof of Theorem 1

We start with the following lemma.

LEMMA 5. For a positive even integer  $2n$ , all roots of the self-reciprocal polynomial

$$P(x) = x^{2n} + d_{2n-1}x^{2n-1} + \dots + d_1x + 1 \quad (d_{2n-i} = d_i)$$

with  $d_i \in \mathbb{R}$  and  $|d_i| < 1/(2n - 2)$  are on the unit circle.

*Proof.* Let  $G_0(y) := (x^{2n} + 1)/x^n$  and  $G_1(y) := P(x)/x^n$  with  $y = x + x^{-1}$ . Since

$$G_0(2 \cos(\pi k/n)) = 2(-1)^k$$

for  $k = 0, 1, 2, \dots, n$ ,  $G_0(y)$  has  $n$  real roots  $\psi_i$  ( $i = 1, 2, \dots, n$ ) with

$$\begin{aligned} 2 > \psi_1 > 2 \cos\left(\frac{\pi}{n}\right) > \psi_2 > 2 \cos\left(\frac{2\pi}{n}\right) > \psi_3 > \dots \\ \dots > 2 \cos\left(\frac{(n-2)\pi}{n}\right) > \psi_{n-1} > 2 \cos\left(\frac{(n-1)\pi}{n}\right) > \psi_n > -2. \end{aligned}$$

From  $|d_i| < 1/(2n - 2)$ , we have  $G_1(2 \cos(\pi k/n)) < 0$  for odd  $k$  and  $G_1(2 \cos(\pi k/n)) > 0$  for even  $k$ . By intermediate value theorem,  $G_1(y)$  has  $n$  real roots  $\psi'_i$  ( $i = 1, \dots, n$ ) in  $(-2, 2)$  satisfying the same inequality as  $\psi_i$ . Therefore, we have

$$G_1(y) = \prod_{i=1}^n (y - \psi'_i).$$

Coming back to  $P(x)$ , we get the assertion. □

For degree 4, we have nothing to do since Boyd [11] showed that every Salem number of degree 4 is a  $(1, p)$ -periodic Parry number for some  $p \in \mathbb{N}$ . Consider a polynomial

$$h(x) = x^{2n} + \frac{1}{4(n-1)} \sum_{i=1}^{2n-1} x^i + 1.$$

By Lemma 5, we have

$$h(x) = \prod_{i=1}^n (x - \exp(\eta_i \sqrt{-1}))(x - \exp(-\eta_i \sqrt{-1}))$$

with

$$\begin{aligned} 2 > 2 \cos(\eta_1) > 2 \cos\left(\frac{\pi}{n}\right) > 2 \cos(\eta_2) > 2 \cos\left(\frac{2\pi}{n}\right) > 2 \cos(\eta_3) > \dots \\ \dots > 2 \cos\left(\frac{(n-2)\pi}{n}\right) > 2 \cos(\eta_{n-1}) > 2 \cos\left(\frac{(n-1)\pi}{n}\right) > 2 \cos(\eta_n) > -2. \end{aligned} \tag{5}$$

This type of discussion is called ‘interlacing’ and efficiently used in the construction of Salem numbers having desired properties, see [6, 22, 27] and its references.

Considering coefficients as a continuous function of roots, there exists a constant  $\varepsilon > 0$  that if

$$\psi_i \in [\eta_i - \varepsilon, \eta_i + \varepsilon]$$

for  $i = 1, 2, \dots, n$ , then we have

$$\prod_{i=1}^n (x - \exp(\psi_i \sqrt{-1}))(x - \exp(-\psi_i \sqrt{-1})) = x^{2n} + 1 + \sum_{i=1}^{2n-1} g_i x^i \tag{6}$$

with  $1/6(n-1) < g_i < 1/3(n-1)$ . See Remark 6 for the choice of  $\varepsilon$ .

Let  $\beta$  be a Salem number of degree  $2n + 2$  with  $n \geq 2$  and let  $\theta_i \in (0, \pi)$  ( $i = 1, \dots, n$ ) be the arguments of the conjugates of  $\beta$  on the unit circle determined as in equation (2). Since  $1, \theta_1/\pi, \dots, \theta_n/\pi$  are linearly independent over  $\mathbb{Q}$ , by Kronecker’s approximation theorem, we find infinitely many positive integers  $m$  such that

$$\frac{m\theta_i}{2\pi} \pmod{\mathbb{Z}} \in [\eta_i - \varepsilon, \eta_i + \varepsilon] \tag{7}$$

hold for  $i = 1, 2, \dots, n$ . For an integer  $m$  with this property, the minimum polynomial of  $\beta^m$  has the form

$$(x - \beta^m) \left(x - \frac{1}{\beta^m}\right) \left(x^{2n} + 1 + \sum_{i=1}^{2n-1} g_i^{(m)} x^i\right)$$

and  $1/6(n-1) < g_i^{(m)} < 1/3(n-1)$  for  $i = 1, 2, \dots, 2n-1$ . By Lemma 3, we see that  $d_{\beta^m}(1)$  is  $(1, 2n+1)$ -periodic for sufficiently large  $m$ . Finally, we show that

$$\{m \in \mathbb{N} \mid d_{\beta^m}(1) \text{ is } (1, 2n+1)\text{-periodic}\}$$

has positive lower natural density. Using the fact that equation (3) is uniformly distributed in  $(\mathbb{R}/\mathbb{Z})^n$ , we have

$$\liminf_{M \rightarrow \infty} \frac{1}{M} \text{Card}\{m \in [1, M] \cap \mathbb{N} \mid d_{\beta^m}(1) \text{ is } (1, 2n+1)\text{-periodic}\} \geq (2\varepsilon)^n$$

in view of equation (7), giving the lower bound of the natural density. □

*Remark 6.* We give a lower bound of  $c(d)$  from the above proof. First, we compute the asymptotic expansion of  $\eta_i$  with respect to  $n$ , when  $\eta_i$  is close to  $\pi/2$  (cf. [3]). If  $n$  is odd, then we have

$$\eta_{\lfloor n/2 \rfloor} = \frac{\pi}{2} + \frac{1}{8n^2} + \frac{9}{64n^3} + O\left(\frac{1}{n^4}\right),$$

which leads to  $\tan(\eta_{\lfloor n/2 \rfloor}) = -8n^2 + O(n)$ . Further, we have

$$\eta_{\lfloor n/2 \rfloor \pm 1} = \frac{\pi}{2} \pm \frac{\pi}{n} + \frac{1}{8n^2} + \frac{9 \mp 8\pi}{64n^3} + O\left(\frac{1}{n^4}\right)$$

and

$$\tan(\eta_i) = O(n)$$

for  $|i - \lfloor n/2 \rfloor| > 1$  in light of equation (5). If  $n$  is even, then we have

$$\eta_{n/2 \pm 1} = \frac{\pi}{2} \pm \frac{\pi}{2n} + \frac{1}{8n^2} + \frac{9 \mp 4\pi}{64n^3} + O\left(\frac{1}{n^4}\right).$$

Thus,  $\tan(\eta_i) = O(n)$  is valid for all  $i$  by equation (5).

Second, comparing the coefficients of  $\prod_{i=1}^n (x^2 + 2 \cos(\eta_i + \nu)x + 1)$  and those of  $\prod_{i=1}^n (x^2 + 2 \cos(\eta_i)x + 1)$ , we see that the desired inequality of equation (6) holds if

$$\frac{2}{3} < \prod_{i=1}^n \left| \frac{\cos(\eta_i + \nu)}{\cos(\eta_i)} \right| < \frac{4}{3} \tag{8}$$

for  $|\nu| < \varepsilon$ . Using an easy inequality

$$1 - |\tan(x)y| - \frac{y^2}{2} < \left| \frac{\cos(x+y)}{\cos(x)} \right| < 1 + |\tan(x)y|$$

for  $\cos(x) \neq 0$  and the above estimates on  $\eta_i$ , we see that there exists a positive constant  $\kappa$  so that if  $|\varepsilon| < \kappa/n^2$ , then equation (8) holds. Thus we obtain  $c(d) \geq (\kappa/n^2)^n$  with  $d = 2n + 2$ . Making explicit the implied constants of Landau symbols, we see that  $\kappa = 1/32$  suffices. Thus we have  $c(d) \geq (32(d/2)^2)^{-d/2} > (3d)^{-d}$ .

5. *Proof of Theorem 2*

For the rest of this paper, we deal with a Salem number  $\beta$  of degree 6. For simplicity of presentation, we prove that  $c(6) \geq 0.458$ . To show that  $c(6)$  exceeds 0.5, we have to use more polynomials and the computation becomes much harder, see Appendix A.

To apply the discussion after Lemma 3, there exists an efficient practical way to find  $R(x)$  which is similar to the shift radix system (cf. [4]). Pick a random  $(\alpha_1, \alpha_2) \in (-2, 2)^2$  and start with a coefficient vector  $(1, c_1, c_2, c_1, 1)$  of

$$(x^2 + \alpha_1 x + 1)(x^2 + \alpha_2 x + 1) = x^4 + c_1 x^3 + c_2 x^2 + c_1 x + 1,$$

that is,  $c_1 = \alpha_1 + \alpha_2, c_2 = \alpha_1 \alpha_2 + 2$ . We wish to iterate the shifted addition as

$$\begin{aligned} (1, c_1, c_2, c_1, 1) &\rightarrow (1, c_1, c_2, c_1, 1, 0) + k(0, 1, c_1, c_2, c_1, 1) \\ &= (1, c_1 + k, c_2 + c_1 k, c_1 + c_2 k, 1 + c_1 k, k), \end{aligned}$$



with  $k = -\lceil c_1 - 1 \rceil$ , to find a longer coefficient vector where all entries except the first and the last ones fall in  $(0, 1]$ , as in Lemma 4. To make this idea into an algorithm, set  $z_{-1} = (0, 0, 0, 0)$  and for  $z_n = (t_n(1), t_n(2), t_n(3), t_n(4))$ , we define

$$z_{n+1} := (t_n(2) + c_1 k_{n+1}, t_n(3) + c_2 k_{n+1}, t_n(4) + c_1 k_{n+1}, k_{n+1}) \tag{9}$$

with  $k_{n+1} = -\lceil t_n(1) - 1 \rceil$ . Thus we see  $k_0 = 1$  and  $z_0 = (c_1, c_2, c_1, 1)$ . We stop this iteration when  $0 < t_n(i) \leq 1$  for  $i = 1, 2, 3$  and  $t_n(4) = 1$  (that is,  $k_n = 1$ ) and obtain a candidate  $R(x) = \sum_{i=0}^n k_i x^i$ . It is natural to set  $k_{n+1} = k_{n+2} = k_{n+3} = k_{n+4} = 0$  and we obtain

$$(x^4 + c_1 x^3 + c_2 x^2 + c_1 x + 1)R(x) = \sum_{i=0}^{n+4} g_i x^i$$

with  $g_0 = g_{n+4} = 1$  and  $g_i = t_{i-1}(1) + k_i \in (0, 1]$  ( $i = 1, \dots, n + 3$ ). If  $n$  became larger than a given threshold, then we restart with a different  $(\alpha_1, \alpha_2)$ . Applying this random search, we find polynomials  $R_i(x)$  ( $i = 1, \dots, 18$ ) so that Lemma 3 gives relatively large regions  $\mathcal{R}_i$  ( $i = 1, \dots, 18$ ) defined by equation (4).

$$R_1 = 1,$$

$$R_2 = 1 + x,$$

$$R_3 = 1 + x^2,$$

$$R_4 = 1 + 2x + x^2,$$

$$R_5 = 1 + x + x^2 + x^3,$$

$$R_6 = 1 + 2x + 2x^2 + x^3,$$

$$R_7 = 1 - x + x^2 - x^3 + x^4,$$

$$R_8 = 1 + 2x + 2x^2 + 2x^3 + 2x^4 + x^5,$$

$$R_9 = 1 + x - x^2 - x^3 + x^4 + x^5,$$

$$R_{10} = 1 + 2x^2 + 2x^4 + x^6,$$

$$R_{11} = 1 + x + x^2 + 2x^3 + x^4 + x^5 + x^6,$$

$$R_{12} = 1 + x + x^2 + 2x^3 + 2x^4 + x^5 + x^6 + x^7,$$

$$R_{13} = 1 + x + 2x^2 + 2x^3 + 2x^4 + 2x^5 + x^6 + x^7,$$

$$R_{14} = 1 + 2x + x^2 - x^3 - x^4 + x^5 + 2x^6 + x^7,$$

$$R_{15} = 1 + x^2 - x^3 + x^4 - x^5 + x^6 + x^8,$$

$$R_{16} = 1 - 2x + 2x^2 - x^3 + x^4 - 2x^5 + 3x^6 - 2x^7 + x^8 - x^9 + 2x^{10} - 2x^{11} + x^{12},$$

$$R_{17} = 1 + 3x + 4x^2 + 3x^3 + x^4,$$

$$R_{18} = 1 + 3x + 4x^2 + 2x^3 - 2x^4 - 4x^5 - 2x^6 + 2x^7 + 4x^8 + 3x^9 + x^{10}.$$

For example,

$$(x^4 + c_1 x^3 + c_2 x^2 + c_1 x + 1)R_8 = x^9 + (c_1 + 2)x^8 + \dots + (c_1 + 2)x + 1$$

gives the coefficient vector

$$(1, c_1 + 2, 2c_1 + c_2 + 2, 3c_1 + 2c_2 + 2, 4c_1 + 2c_2 + 3, 4c_1 + 2c_2 + 3, 3c_1 + 2c_2 + 2, 2c_1 + c_2 + 2, c_1 + 2, 1)$$

which gives rise to a system of linear inequalities

$$0 < c_1 + 2 \leq 1, \quad 0 < 2c_1 + c_2 + 2 \leq 1, \quad 0 < 3c_1 + 2c_2 + 2 \leq 1, \quad 0 < 4c_1 + 2c_2 + 3 \leq 1.$$

Solving this system, we obtain a triangular region:

- $c_1 > \frac{-2c_2 - 3}{4}$  if  $c_2 \in \left(\frac{1}{2}, \frac{5}{2}\right)$ ;
- $c_1 \leq -1$  if  $c_2 \in \left(\frac{1}{2}, 1\right]$ ;
- $c_1 \leq \frac{-2c_2 - 1}{3}$  if  $c_2 \in \left(1, \frac{5}{2}\right)$ .

This collection of three sentences with • reads  $c_2$  must be in at least one of the intervals, and we take the logical ‘and’ of the three. Replacing  $(c_1, c_2)$  by  $(\alpha_1 + \alpha_2, \alpha_1\alpha_2 + 2)$ , we can confirm that  $-2 < \alpha_i < 2$  for  $i = 1, 2$  hold in this triangle. (For a general  $R(x)$ , we compute the intersection with the region  $-2 < \alpha_i < 2$  ( $i = 1, 2$ ). If this intersection is empty, then we have to restart with a different  $(\alpha_1, \alpha_2)$ .) Thus we find the two curvilinear triangles  $\mathcal{R}_8$  in Figure 1 bounded by segments and hyperbola. We also found polynomials  $L_i$  ( $i = 1, \dots, 5$ ) giving large regions  $\mathcal{L}_i$  ( $i = 1, \dots, 5$ ) where Lemma 3 does not apply and Lemma 4 is necessary.

$$\begin{aligned} L_1 &= 1 - x^2 + x^3 + x^4 - x^5 + x^7, \\ L_2 &= 1 - x + x^3 - x^5 + x^6, \\ L_3 &= 1 - x^2 + x^3 + x^6 - x^7 + x^9, \\ L_4 &= 1 - 2x + 2x^2 - 2x^4 + 3x^5 - 2x^6 + 2x^8 - 2x^9 + x^{10}, \\ L_5 &= 1 - x + x^3 - x^6 + x^7 + x^8 - x^9 + x^{12} - x^{14} + x^{15}. \end{aligned}$$

For example, the coefficients of  $x^3$  and  $x^8$  in

$$\begin{aligned} &(x^4 + c_1x^3 + c_2x^2 + c_1x + 1)L_1 \\ &= 1 + c_1x - x^2 + c_2x^2 + x^3 + 2x^4 + c_1x^4 - c_2x^4 - x^5 + c_2x^5 - x^6 + c_2x^6 \\ &\quad + 2x^7 + c_1x^7 - c_2x^7 + x^8 - x^9 + c_2x^9 + c_1x^{10} + x^{11} \end{aligned}$$

are equal to 1, so we have to use Lemma 4.

We can check directly that those 23 sets are mutually disjoint. We will see later in §6 that Lemma 4 works fine for any period and this disjointness is natural. Indeed, if two period cells share an inner point, then their periods of the corresponding discretized rotations must coincide, since  $d_{\beta^m}(1)$  for a Salem number  $\beta$  is uniquely determined by  $m$ . This implies

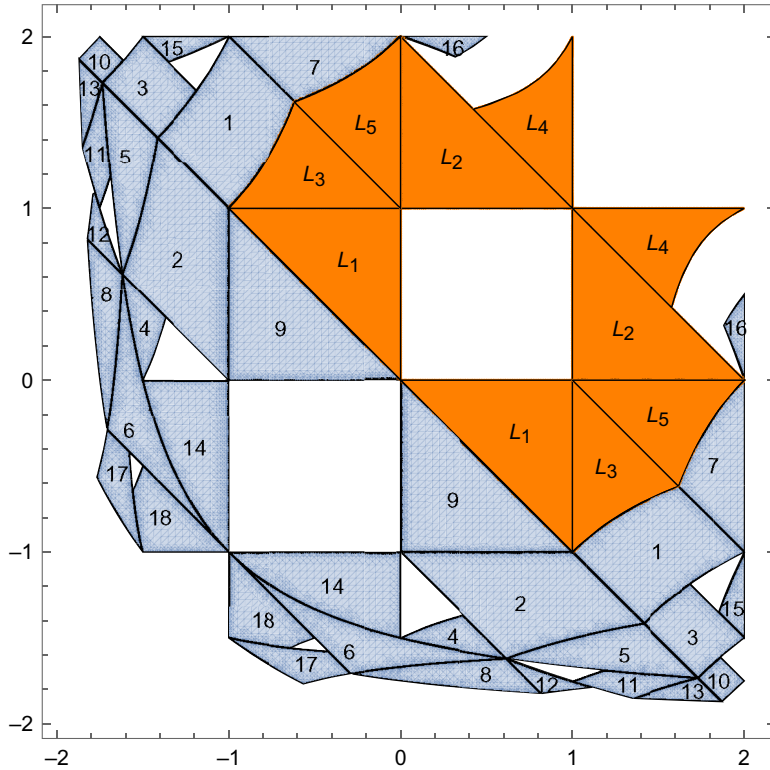


FIGURE 1. Period cells in  $(\alpha_1, \alpha_2)$  coordinate.

that the period cells must be identical. See Figure 1 and the explicit computation of period cells below.

Choose a small  $\varepsilon > 0$  and consider the subset  $\mathcal{R}_i(\varepsilon)$  of solutions of the system of inequalities

$$\varepsilon < g_i < 1 - \varepsilon \quad (i = 1, 2, \dots, 2n - 1), \quad -2 < \alpha_j < 2 \quad (j = 1, \dots, d - 1)$$

for a polynomial  $R_i$  ( $i = 1, \dots, 18$ ). We also define  $\mathcal{L}_i(\varepsilon)$  by the system of inequalities

$$\varepsilon < g_i < 1 - \varepsilon \quad (i \in \{j \in [1, 2n - 1] \mid g_j \neq 1\}), \quad -2 < \alpha_j < 2 \quad (j = 1, \dots, d - 1)$$

for a polynomial  $L_i$  ( $i = 1, \dots, 5$ ). Since  $g_i \in \mathbb{Z} + (\alpha_1 + \alpha_2)\mathbb{Z} + \alpha_1\alpha_2\mathbb{Z}$ ,  $g_i$  is a constant if and only if  $g_i = 1$ . Therefore, unless  $g_i = 1$ , the boundary equalities  $g_i = \varepsilon$  and  $g_i = 1 - \varepsilon$  give one-parameter families of (linear or hyperbolic) curves, which continuously move along  $\varepsilon$ . In light of Lemmas 3 and 4, there exist  $m_0 = m_0(\varepsilon) \in \mathbb{N}$  where if  $m \geq m_0$  and  $(-2 \cos(m\theta_1/2\pi), -2 \cos(m\theta_2/2\pi))$  falls into

$$S(\varepsilon) := \left( \bigcup_{i=1}^{18} \mathcal{R}_i(\varepsilon) \right) \cup \left( \bigcup_{i=1}^5 \mathcal{L}_i(\varepsilon) \right),$$

then  $d_{\beta^m}(1)$  is  $(1, p)$ -periodic with some  $p \in \mathbb{N}$ . Since  $(m\theta_1/2\pi, m\theta_2/2\pi) \pmod{\mathbb{Z}^2}$  is uniformly distributed and  $S(\varepsilon)$  is Jordan measurable, the induced probability measure is computed by

$$\frac{1}{\pi^2} \int \int_{S(\varepsilon)} \frac{d\alpha_1 d\alpha_2}{\sqrt{(4 - \alpha_1^2)(4 - \alpha_2^2)}},$$

since the normalized Lebesgue measure  $1/2\pi d\theta$  on the unit circle is projected 2 to 1 to the interval  $[-2, 2]$  by the map  $\theta \mapsto -2 \cos(\theta) =: \alpha$  and

$$\frac{2}{2\pi} d\theta = \frac{1}{\pi} d(\arccos(-\alpha/2)) = \frac{1}{\pi} d(\pi - \arccos(\alpha/2)) = \frac{1}{\pi} \frac{d\alpha}{\sqrt{4 - \alpha^2}}.$$

Let

$$S := \left( \bigcup_{i=1}^{18} \mathcal{R}_i \right) \cup \left( \bigcup_{i=1}^5 \mathcal{L}_i \right)$$

and  $\mu$  be the two-dimensional Lebesgue measure. Since both  $S(\varepsilon)$  and  $S$  have piecewise smooth boundaries, we have

$$\mu(S \setminus S(\varepsilon)) \rightarrow 0$$

as  $\varepsilon \rightarrow 0$ . Thus the above measure converges to

$$\frac{1}{\pi^2} \int \int_S \frac{d\alpha_1 d\alpha_2}{\sqrt{(4 - \alpha_1^2)(4 - \alpha_2^2)}} \approx 0.458895$$

which proves our theorem. The explicit forms of the regions  $\mathcal{R}_i$  ( $i = 1, 2, \dots, 18$ ) and  $\mathcal{L}_i$  ( $i = 1, 2, \dots, 5$ ) are listed below, using the coordinate  $(x, y) = (\alpha_1, \alpha_2)$  with  $y < x$ . Each collection of sentences with  $\bullet$  is read in the similar way as before.

$\mathcal{R}_1$ :

- $\bullet y \leq -\frac{1}{x}$  if  $x \in \left[ 1, \frac{1 + \sqrt{5}}{2} \right]$ ;
- $\bullet y \leq 1 - x$  if  $x \in \left[ \frac{1 + \sqrt{5}}{2}, 2 \right]$ ;
- $\bullet y > -x$  if  $x \in [1, \sqrt{2}]$ ;
- $\bullet y > -\frac{2}{x}$  if  $x \in [\sqrt{2}, 2]$ .

$\mathcal{R}_2$ :

- $\bullet y \leq -1$  if  $x \in [0, 1]$ ;
- $\bullet y \leq -x$  if  $x \in [1, \sqrt{2}]$ ;
- $\bullet y > -1 - x$  if  $x \in \left[ 0, \frac{\sqrt{5} - 1}{2} \right]$ ;
- $\bullet y > -\frac{2 + x}{1 + x}$  if  $x \in \left[ \frac{\sqrt{5} - 1}{2}, \sqrt{2} \right]$ .

$\mathcal{R}_3$ :

- $y \leq \frac{-2}{x}$  if  $x \in \left[ \sqrt{2}, \frac{1 + \sqrt{33}}{4} \right]$ ;
- $y \leq \frac{1}{2} - x$  if  $x \in \left[ \frac{1 + \sqrt{33}}{4}, 2 \right]$ ;
- $y > -x$  if  $x \in [\sqrt{2}, \sqrt{3}]$ ;
- $y > \frac{-3}{x}$  if  $x \in [\sqrt{3}, 2]$ .

$\mathcal{R}_4$ :

- $y \leq -\frac{3 + 2x}{2 + 2x}$  if  $x \in \left[ 0, \frac{\sqrt{3} - 1}{2} \right]$ ;
- $y \leq -1 - x$  if  $x \in \left[ \frac{\sqrt{3} - 1}{2}, \frac{\sqrt{5} - 1}{2} \right]$ ;
- $y > -\frac{3 + 2x}{2 + x}$  if  $x \in \left[ 0, \frac{\sqrt{5} - 1}{2} \right]$ .

$\mathcal{R}_5$ :

- $y \leq -\frac{2 + x}{1 + x}$  if  $x \in \left[ \frac{\sqrt{5} - 1}{2}, \sqrt{2} \right]$ ;
- $y \leq -x$  if  $x \in [\sqrt{2}, \sqrt{3}]$ ;
- $y > -\frac{3 + 2x}{2 + x}$  if  $x \in \left[ \frac{\sqrt{5} - 1}{2}, \sqrt{3} \right]$ .

$\mathcal{R}_6$ :

- $y \leq -\frac{3 + 2x}{2 + x}$  if  $x \in \left[ -1, \frac{\sqrt{5} - 1}{2} \right]$ ;
- $y > -2 - x$  if  $x \in \left[ -1, \frac{\sqrt{2} - 2}{2} \right]$ ;
- $y > -\frac{5 + 3x}{3 + 2x}$  if  $x \in \left[ \frac{\sqrt{2} - 2}{2}, \frac{\sqrt{5} - 1}{2} \right]$ .

$\mathcal{R}_7$ :

- $1 - x < y \leq \frac{2 - x}{2 + x}$  if  $x \in \left[ \frac{\sqrt{5} + 1}{2}, 2 \right)$ .

$\mathcal{R}_8$ :

- $y \leq -\frac{5 + 3x}{3 + 2x}$  if  $x \in \left[ \frac{\sqrt{2} - 2}{2}, \frac{\sqrt{5} - 1}{2} \right]$ ;
- $y \leq -1 - x$  if  $x \in \left[ \frac{\sqrt{5} - 1}{2}, \frac{\sqrt{7} - 1}{2} \right]$ ;
- $y > -\frac{7 + 4x}{4 + 2x}$  if  $x \in \left[ \frac{\sqrt{2} - 2}{2}, \frac{\sqrt{7} - 1}{2} \right]$ .

$\mathcal{R}_9$ :

- $-1 < y \leq -x$  if  $x \in (0, 1]$ .

$\mathcal{R}_{10}$ :

- $y \leq \frac{-3}{x}$  if  $x \in \left[ \sqrt{3}, \frac{1 + \sqrt{193}}{8} \right]$ ;
- $y \leq \frac{1}{4} - x$  if  $x \in \left[ \frac{1 + \sqrt{193}}{8}, 2 \right]$ ;
- $y > -x$  if  $x \in \left[ \sqrt{3}, \sqrt{\frac{7}{2}} \right]$ ;
- $y > \frac{-7}{2x}$  if  $x \in \left[ \sqrt{\frac{7}{2}}, 2 \right]$ .

 $\mathcal{R}_{11}$ :

- $y \leq -\frac{5+2x}{2+2x}$  if  $x \in \left[ \frac{\sqrt{111}-3}{8}, \frac{\sqrt{33}-1}{4} \right]$ ;
- $y \leq -\frac{3+2x}{2+x}$  if  $x \in \left[ \frac{\sqrt{33}-1}{4}, \sqrt{3} \right]$ ;
- $y > -\frac{4+3x}{3+x}$  if  $x \in \left[ \frac{\sqrt{111}-3}{8}, \frac{\sqrt{41}-1}{4} \right]$ ;
- $y > -\frac{3+x}{1+x}$  if  $x \in \left[ \frac{\sqrt{41}-1}{4}, \sqrt{3} \right]$ .

 $\mathcal{R}_{12}$ :

- $y \leq -\frac{4+3x}{3+x}$  if  $x \in \left[ \frac{\sqrt{5}-1}{2}, \frac{\sqrt{19}-1}{3} \right]$ ;
- $y > -1-x$  if  $x \in \left[ \frac{\sqrt{5}-1}{2}, \frac{\sqrt{7}-1}{2} \right]$ ;
- $y > -\frac{6+3x}{3+2x}$  if  $x \in \left[ \frac{\sqrt{7}-1}{2}, \frac{\sqrt{19}-1}{3} \right]$ .

 $\mathcal{R}_{13}$ :

- $y \leq -\frac{3+x}{1+x}$  if  $x \in \left[ \frac{\sqrt{41}-1}{4}, \sqrt{3} \right]$ ;
- $y \leq -x$  if  $x \in \left[ \sqrt{3}, \sqrt{\frac{7}{2}} \right]$ ;
- $y > -\frac{7+4x}{4+2x}$  if  $x \in \left[ \frac{\sqrt{41}-1}{4}, \sqrt{\frac{7}{2}} \right]$ .

 $\mathcal{R}_{14}$ :

- $-\frac{3+2x}{2+x} < y \leq -1$  if  $x \in [-1, 0]$ .

 $\mathcal{R}_{15}$ :

- $\frac{1}{2} - x < y \leq \frac{3-x}{1-x}$  if  $x \in \left[ \frac{\sqrt{41}+1}{4}, 2 \right)$ .

 $\mathcal{R}_{16}$ :

- $\frac{6-3x}{3-x} < y \leq \frac{7-4x}{4-3x}$  if  $x \in \left[ \frac{11 + \sqrt{61}}{10}, 2 \right)$ .

$\mathcal{R}_{17}$ :

- $y \leq -\frac{8+5x}{5+3x}$  if  $x \in \left[-1, \frac{\sqrt{2}-3}{3}\right]$ ;
- $y \leq -2-x$  if  $x \in \left[\frac{\sqrt{2}-3}{3}, \frac{\sqrt{2}-2}{2}\right]$ ;
- $y > -\frac{6+3x}{3+x}$  if  $x \in \left[-1, \frac{\sqrt{13}-7}{6}\right]$ ;
- $y > -\frac{5+3x}{3+2x}$  if  $x \in \left[\frac{\sqrt{13}-7}{6}, \frac{\sqrt{2}-2}{2}\right]$ .

$\mathcal{R}_{18}$ :

- $y \leq -2-x$  if  $x \in \left[-1, \frac{-1}{2}\right]$ ;
- $y > -\frac{8+5x}{5+3x}$  if  $x \in \left[-1, \frac{\sqrt{21}-11}{10}\right]$ ;
- $y > -\frac{7+5x}{5+4x}$  if  $x \in \left[\frac{\sqrt{21}-11}{10}, \frac{-1}{2}\right]$ .

$\mathcal{L}_1$ :

- $-x < y \leq 1$  if  $x \in (0, 1]$ .

$\mathcal{L}_2$ :

- $0 < y \leq 2-x$  if  $x \in (1, 2)$ .

$\mathcal{L}_3$ :

- $-\frac{1}{x} < y \leq 1-x$  if  $x \in \left(1, \frac{1+\sqrt{5}}{2}\right]$ .

$\mathcal{L}_4$ :

- $y \leq 1$  if  $x \in [1, 2]$ ;
- $y > 2-x$  if  $x \in \left[1, \frac{3+\sqrt{2}}{3}\right]$ ;
- $y > \frac{6-4x}{4-3x}$  if  $x \in \left[\frac{3+\sqrt{2}}{3}, 2\right]$ .

$\mathcal{L}_5$ :

- $y \leq 0$  if  $x \in [1, 2]$ ;
- $y > 1-x$  if  $x \in \left[1, \frac{1+\sqrt{5}}{2}\right]$ ;
- $y > \frac{2-x}{1-x}$  if  $x \in \left[\frac{1+\sqrt{5}}{2}, 2\right]$ .

*Remark 7.* By examining the beta expansion of 23 899 Salem numbers of degree 6 and trace at most 19, there are 18 250 (approximately 76%) Salem numbers that satisfy

$$-2 < \alpha_1 < 0 < \alpha_2 < 2.$$

They are Parry numbers with relatively small orbit size ( $\max(m, p) < 1000$ ).

A heavy computational effort may be required to substantially improve Theorem 2 (or Proposition B.2 in Appendix B. For example, for  $-r < \alpha_1 < 0 < \alpha_2 < r < 2 < \gamma$  with  $r > 1$  quite close to 1, the orbit size starts taking many different values. For instance, the following polynomials:

$x^6 - 7x^5 - 3x^4 - 11x^3 - 3x^2 - 7x + 1$ ,  $x^6 - 9x^5 - x^4 - 11x^3 - x^2 - 9x + 1$  and  $x^6 - 8x^5 + 10x^4 - 15x^3 + 10x^2 - 8x + 1$  satisfy respectively:  $\alpha_1 \approx -1.08$ ,  $\alpha_1 \approx -1.05$  and  $\alpha_2 \approx 1.1$ , but  $(m, p)$  equals to  $(6, 23)$ ,  $(6, 35)$  and  $(1, 119)$ , respectively.

6. Four-dimensional discretized rotation

Substituting the variables of the algorithm (9) in §5 by

$$\begin{pmatrix} t_n(1) \\ t_n(2) \\ t_n(3) \\ t_n(4) \end{pmatrix} = \begin{pmatrix} 1 & c_1 & c_2 & c_1 \\ 0 & 1 & c_1 & c_2 \\ 0 & 0 & 1 & c_1 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} k_{n-3} \\ k_{n-2} \\ k_{n-1} \\ k_n \end{pmatrix},$$

we obtain an integer sequence  $(k_n)_{n \geq -4}$  which satisfies

$$0 < k_{n+4} + c_1 k_{n+3} + c_2 k_{n+2} + c_1 k_{n+1} + k_n \leq 1, \tag{10}$$

where  $c_1 = \alpha_1 + \alpha_2$  and  $c_2 = \alpha_1 \alpha_2 + 2$ . Here  $\alpha_i \in (-2, 2)$  are arbitrary chosen constants. The bijective map  $T$  on  $\mathbb{Z}^4$ :

$$(k_n, k_{n+1}, k_{n+2}, k_{n+3}) \mapsto (k_{n+1}, k_{n+2}, k_{n+3}, -\lceil c_1 k_{n+3} + c_2 k_{n+2} + c_1 k_{n+1} + k_n - 1 \rceil)$$

is conjugate to equation (9). By bijectivity, the orbit is purely periodic if and only if it is eventually periodic. Moreover, the periodicity is equivalent to the boundedness of the orbit. We are interested in the recurrence of the orbit of  $(k_{-4}, k_{-3}, k_{-2}, k_{-1}) = (0, 0, 0, 0)$  by  $T$ . This map approximates a linear map  $\Phi$  defined by

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} \mapsto \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & -c_1 & -c_2 & -c_1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix}$$

for  $(x_1, x_2, x_3, x_4)^T \in \mathbb{R}^4$ . The map  $\Phi$  has four eigenvalues  $\exp(\pm\theta_i \sqrt{-1})$  with  $\alpha_i = -2 \cos(\theta_i)$  for  $i = 1, 2$ . Therefore, the map  $T : \mathbb{Z}^4 \rightarrow \mathbb{Z}^4$  is understood as a discretized version of rotation. A simpler case: the discretized rotation in  $\mathbb{Z}^2$  is extensively studied in the literature. It is defined similarly by a recurrence

$$0 \leq a_{n+2} + \lambda a_{n+1} + a_n < 1, \quad a_n \in \mathbb{Z}, \tag{11}$$

with a fixed  $\lambda \in (-2, 2)$ . A notorious conjecture states that any sequence produced by this recursion is periodic for any initial vector  $(a_0, a_1) \in \mathbb{Z}^2$ . The validity is known only for 11 values of  $\lambda$ , see [5, 19, 21].

Note that if  $\alpha_1 = \alpha_2$ , then there is an unbounded real sequence  $(b_n)_{n \in \mathbb{N}}$  which satisfies

$$b_{n+4} + c_1 b_{n+3} + c_2 b_{n+2} + c_1 b_{n+1} + b_n = 0$$



because of the shape of general terms of this recurrence. In particular, if  $\alpha_1 = \alpha_2 \in \{-1, 0, 1\}$ , then  $\{T^n(0, 0, 0, 0) \mid n \in \mathbb{N}\}$  is unbounded. Thus we can not expect the boundedness of the orbits of  $T$  when  $\alpha_1 = \alpha_2$ . (However, there are points with  $\alpha_1 = \alpha_2$  where the  $T$ -orbits of  $(0, 0, 0, 0)$  are periodic, e.g.,  $(\alpha, \alpha)$  with  $\alpha \in (1 - \sqrt{3}, -2/3] \cup [-1/4, -2/9]$ .) Excluding these cases, a natural generalization of the above conjecture for equation (11) would be the following conjecture.

*Conjecture 8.* If  $c_2 > 2|c_1| - 2$  and  $c_2 - 2 < c_1^2/4 < 4$ , then for any initial vector  $(k_1, k_2, k_3, k_4) \in \mathbb{Z}^4$ , the sequence satisfying equation (10) is periodic.

This is because  $c_2 > 2|c_1| - 2$  and  $c_2 - 2 < c_1^2/4 < 4$  is equivalent to  $\alpha_i \in (-2, 2)$  for  $i = 1, 2$  and  $\alpha_1 \neq \alpha_2$ .

We are pessimistic about its validity, due to the existence of very large orbits. However, even if Conjecture 8 may not hold, it could be true for almost all cases.

Let us restrict ourselves to the orbit of the origin. Since periodic orbits are often dominant in zero entropy systems, unbounded orbits may not give a contribution of positive measure in §5 and period cells would exhaust the total square  $(-2, 2)^2$  in measure. We propose a weaker conjecture.

*Conjecture 9.* Letting  $(k_{-4}, k_{-3}, k_{-2}, k_{-1}) = (0, 0, 0, 0)$ , the sequence satisfying equation (10) is periodic for all most all  $(\alpha_1, \alpha_2) \in (-2, 2)^2$  in measure.

Here our measure is equivalent to the two-dimensional Lebesgue measure. See Figure 2 for period cells. Black dots are the points where the orbit of the origin might be unbounded.

Note that once we find a period of  $T$  starting from the origin, Lemmas 3 and 4 give us a (possibly degenerated) period cell. This fact is clear when  $g_i$  does not visit 1 and we can apply Lemma 3 with

$$u = \frac{1}{2} \min_i g_i, \quad v = \frac{1}{2}(1 + \max_i g_i).$$

For Lemma 4, it looks like we have additional constraints. We shall show that this is not the case. Taking the period  $p \in \mathbb{N}$  with  $T^p((0, 0, 0, 0)) = (0, 0, 0, 0) = (k_{-4}, k_{-3}, k_{-2}, k_{-1})$ , we have

$$g_n = t_{n-1}(1) + k_n = k_{n-4} + c_1 k_{n-3} + c_2 k_{n-2} + c_1 k_{n-1} + k_n$$

for  $n = 0, \dots, p$  and

$$k_0 = 1, \quad k_1 = -[c_1 - 1].$$

If  $g_i = 1$  occurs as a polynomial of  $\mathbb{Z}[\alpha_1, \alpha_2]$  with  $1 \leq i \leq p - 1$ , then  $k_{i-2} = k_{i-3} + k_{i-1} = 0$  and  $k_{i-4} + k_i = 1$ . From  $g_{i\pm 1} \in (0, 1]$ , we have

$$0 < g_{i-1} = k_{i-5} + c_1 k_{i-4} + (c_2 - 1)k_{i-3} \leq 1$$

and

$$0 < g_{i+1} = (1 - c_2)k_{i-3} + c_1(1 - k_{i-4}) + k_{i+1} \leq 1.$$

These imply

$$k_{i-5} = -[c_1 k_{i-4} + (c_2 - 1)k_{i-3} - 1]$$

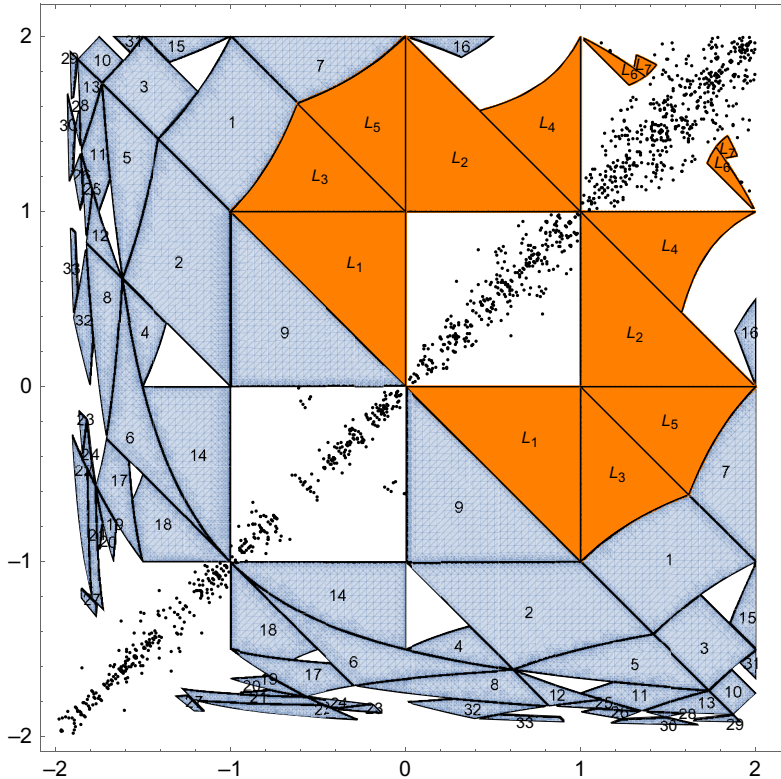


FIGURE 2. Period cells occupy more than half in measure. The orbit of the origin does not form a period until 50 000 iterations at the black dots.

and

$$k_{i+1} = -[(1 - c_2)k_{i-3} + c_1(1 - k_{i-4}) - 1].$$

We see

$$k_1 \leq k_{i-5} + k_{i+1}$$

from an easy fact

$$\lceil x \rceil + \lceil y \rceil - \lceil x + y + 1 \rceil \in \{-1, 0\}$$

for any  $x, y \in \mathbb{R}$ . Therefore, when  $g_i = 1$ , we have

$$g_{i-1} + g_{i+1} = k_{i-5} + c_1 + k_{i+1} \geq c_1 + k_1 = g_1.$$

Since  $g_1 = g_{i+1} + g_{i-1}$  implies  $g_1 > g_{i+1}$ , we can apply Lemma 4 in any case with

$$u = \frac{1}{2} \min \left( \min_i g_i, \min_{g_1 = g_{i-1} + g_{i+1}} (g_1 - g_{i+1}) \right), \quad v = \frac{1}{2} \left( 1 + \max_{g_i \neq 1} g_i \right).$$

Therefore, we can apply Lemma 4 for every period starting from the origin. Since we expect such period cells to cover  $(-2, 2)^2$  in measure, as in Conjecture 9, for any  $\varepsilon > 0$ ,

we will find a finite union of period cells whose measure is not less than  $1 - \varepsilon$ . Following the same proof as Theorem 2, we arrive at a plausible

*Conjecture 10.*  $c(6) = 1$ .

For general Salem numbers  $\beta$ , we numerically observe many  $(m, p)$ -periodic  $d_\beta(1)$  with  $m > 1$ . Interestingly, we find no role of  $(m, p)$ -periods with  $m > 1$  in the above discussion. Every period of  $T$  gives rise to  $(1, p)$ -periodic  $d_{\beta^m}(1)$  and other orbits of  $T$  are aperiodic if they exist. Of course this does not cause any contradiction, since we are studying sufficiently large  $\beta$  with respect to the location of the conjugates.

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A. Appendix

Additional polynomials to improve  $c(6)$ :

$$\begin{aligned}
 R_{19} &= 1 + 3x + 5x^2 + 5x^3 + 3x^4 + x^5, \\
 R_{20} &= 1 + 3x + 5x^2 + 6x^3 + 5x^4 + 3x^5 + x^6, \\
 R_{21} &= 1 + 3x + 5x^2 + 6x^3 + 6x^4 + 5x^5 + 3x^6 + x^7, \\
 R_{22} &= 1 + 3x + 5x^2 + 6x^3 + 6x^4 + 6x^5 + 6x^6 + 5x^7 + 3x^8 + x^9, \\
 R_{23} &= 1 + 3x + 4x^2 + 4x^3 + 4x^4 + 4x^5 + 3x^6 + x^7, \\
 R_{24} &= 1 + 3x + 4x^2 + 3x^3 + 2x^4 + 3x^5 + 4x^6 + 3x^7 + x^8, \\
 R_{25} &= 1 + x + x^2 + 2x^3 + 2x^4 + 2x^5 + 2x^6 + x^7 + x^8 + x^9, \\
 R_{26} &= 1 + x + x^2 + 2x^3 + 2x^4 + 2x^5 + 3x^6 + 2x^7 + 2x^8 + 2x^9 + x^{10} + x^{11} + x^{12}, \\
 R_{27} &= 1 + 4x + 8x^2 + 11x^3 + 11x^4 + 8x^5 + 4x^6 + x^7, \\
 R_{28} &= 1 + x + 2x^2 + 2x^3 + 2x^4 + 3x^5 + 2x^6 + 2x^7 + 2x^8 + x^9 + x^{10}, \\
 R_{29} &= 1 + x + 2x^2 + 2x^3 + 3x^4 + 3x^5 + 3x^6 + 3x^7 + 2x^8 + 2x^9 + x^{10} + x^{11}, \\
 R_{30} &= 1 + x + 2x^2 + 3x^3 + 3x^4 + 4x^5 + 4x^6 + 4x^7 + 4x^8 + 3x^9 + 3x^{10} \\
 &\quad + 2x^{11} + x^{12} + x^{13}, \\
 R_{31} &= 1 + 2x^2 - x^3 + 2x^4 - 2x^5 + 2x^6 - 2x^7 + 2x^8 - x^9 + 2x^{10} + x^{12}, \\
 R_{32} &= 1 + 2x + 2x^2 + 2x^3 + 3x^4 + 3x^5 + 2x^6 + 2x^7 + 2x^8 + x^9, \\
 R_{33} &= 1 + 2x + 2x^2 + 3x^3 + 4x^4 + 4x^5 + 4x^6 + 4x^7 + 3x^8 + 2x^9 + 2x^{10} + x^{11}, \\
 L_6 &= 1 - 3x + 5x^2 - 5x^3 + 3x^4 - 2x^6 + 2x^7 - 2x^9 + 3x^{10} - 2x^{11} + 2x^{13} - 2x^{14} \\
 &\quad + 3x^{16} - 5x^{17} + 5x^{18} - 3x^{19} + x^{20}, \\
 L_7 &= 1 - 3x + 6x^2 - 8x^3 + 8x^4 - 5x^5 + 5x^7 - 7x^8 + 5x^9 - 5x^{11} + 8x^{12} - 8x^{13} \\
 &\quad + 6x^{14} - 3x^{15} + x^{16}.
 \end{aligned}$$

One can check that these 40 regions are mutually disjoint by symbolic computation. This gives the estimate  $c(6) \geq 0.505254$ , see Figure 2.

In the list of the regions in §5, all the inequalities defining the period cells in  $y < x$  are of the form  $A < y$  or  $y \leq B$  with some  $A$  and  $B$ . This is no longer true for the polynomials  $L_6$  and  $L_7$ . The cell for  $L_6$  is an open set, and the common boundary of the two cells belongs to the one for  $L_7$ . Thus, an inequality of the form  $A \leq y$  is required for the cell for  $L_7$  in  $y < x$ . The point  $(\alpha_1, \alpha_2) = ((11 + \sqrt{2})/7, (11 - \sqrt{2})/2)$  is the end point of the common boundary but belongs to neither of them. Applying our algorithm at this point, we obtain a polynomial of degree 412 and the corresponding cell in  $y < x$  degenerates to a singleton  $(\alpha_1, \alpha_2)$ .

B. Appendix

Our strategy in this paper is to study sufficiently large  $\beta$ . However, for regions  $\mathcal{R}_9$  and  $\mathcal{L}_1$ , we can remove the adjective ‘sufficiently large’. Indeed, at the beginning of this study, we found Proposition B.2 below and then generalized it to our current setting. In particular, the formulation of Lemma 4 is inspired by the second case of Proposition B.2. Let  $P$  be the minimum polynomial of a sextic Salem number:

$$P(x) = x^6 - ax^5 - bx^4 - cx^3 - bx^2 - ax + 1. \tag{B.1}$$

We denote by  $Q$  its trace polynomial:

$$Q(y) = y^3 - ay^2 - (b + 3)y - (c - 2a) = (y - \gamma)(y - \alpha_1)(y - \alpha_2). \tag{B.2}$$

We say that  $\beta$  is *well-posed* if its trace polynomial  $Q(y)$  has three roots  $\gamma, \alpha_1, \alpha_2$  such that

$$-1 < \alpha_1 < 0 < \alpha_2 < 1 < 2 < \gamma.$$

Then we have the following lemma.

LEMMA B.1. *A real number  $\beta > 1$  is a well-posed Salem number of degree 6 if and only if  $\beta$  is the dominant root of the polynomial in  $\mathbb{Z}[x]$  of the form given by equation (B.1) satisfying the following conditions:*

- (i)  $2 - 2b < 2a + c$ ;
- (ii)  $c < 2a$ ;
- (iii)  $|b + 2| < c - a$ .

*Proof.* Since  $Q$  is cubic, the well-posedness is equivalent to  $Q(-1) < 0, Q(0) > 0, Q(1) < 0, Q(2) < 0$ . We see (i)  $\Leftrightarrow Q(2) < 0$ , (ii)  $\Leftrightarrow Q(0) > 0$ , and  $Q(\pm 1) < 0 \Leftrightarrow$  (iii). □

Classifying into  $b < -1$  and  $b \geq -1$ , we obtain the following Proposition, which gives a partial response to Problem 1 in [29].

PROPOSITION B.2. *Let  $\beta$  be a well-posed Salem number of minimum polynomial  $P$  in equation (B.1). Then  $\beta$  is Parry number and we have:*

- if  $2 \leq -b < c - a + 2$ , then

$$d_\beta(1) = a - 1(a + b + 1, c - a + b + 1, c - a - 1, 2a - c - 1, 2a - c - 1, c - a - 1, c - a + b + 1, a + b + 1, a - 2, a - 2)^\infty; \tag{B.3}$$

- if  $a - c + 2 < -b \leq 1$ , then

$$d_\beta(1) = a(b + 1, c - a - 1, a - 1, 2a + b - c + 1, c - a - 1, c - a - 1, 2a + b - c + 1, a - 1, c - a - 1, b + 1, a - 1, a - 1)^\infty. \tag{B.4}$$

*Proof.* Lemma B.1(iii) implies  $c - a \geq 1$  and Lemma B.1(ii) gives  $a \geq 2$  and  $c \geq 3$ . Starting from the representation of zero in base  $\beta$ :

$$-1, a, b, c, b, a, -1,$$

we can construct another representation as

-1		a		b		c		b		a		-1		-1		1		1		-1		-1
-1		-1		a		b		c		-b		-a		-b		-a		b		c		a
-1		a-1		a+b+1		c-a+b+1		c-a-1		2a-c-1		2a-c-1		c-a-1		c-a+b+1		a+b+1		a-1		-1

Performing recursive shifted addition of this new representation in base  $\beta$ , we obtain the infinite representation in equation (B.3). We can check the condition in equation (1) from Lemma B.1. Similarly, we have

-1		a		b		c		b		a		-1		-1		1		-1		-1		-1
-1		a		1		-a		-b		-c		-b		-a		a		b		c		a
-1		a		b+1		c-a-1		a-1		2a+b-c+1		c-a-1		c-a-1		2a+b-c+1		a-1		c-a-1		b+1

By recursive shifted addition, we obtain the representation in equation (B.4). The condition in equation (1) follows from Lemma B.1. □

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