

## Host-shaped segregation of the *Cryptosporidium parvum* multilocus genotype repertoire

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### SUMMARY

Cattle are among the major reservoirs of *Cryptosporidium parvum* in nature. However, the relative contribution of *C. parvum* oocysts originating from cattle to human disease burden is difficult to assess, as various transmission pathways – including the human to human route – can co-occur. In this study, multilocus genotype richness of representative samples of human and bovine *C. parvum* are compared statistically using analytical rarefaction and non-parametric taxonomic richness estimators. Results suggest that in the time and space frames underlying the analysed data, humans were infected with significantly wider spectra of *C. parvum* genotypes than cattle, and consequently, a significant fraction of human infections may not have originated from the regional bovine reservoirs. This study provides statistical support to the emerging idea of the existence of distinct anthroponotic *C. parvum* cycles that do not involve cattle.

### INTRODUCTION

The multi-host protozoan parasite *Cryptosporidium parvum* (formerly *C. parvum* ‘Type 2’) is a major cause of diarrhoea in humans and newborn calves worldwide. Due to the high incidence of early calf-hood infections and the large numbers of oocysts shed with faeces during natural infections [1–3], newborn calves are considered among the most efficient amplifiers of *C. parvum* in nature.

Direct calf-to-human *C. parvum* transmission has been repeatedly inferred from numerous case and case-control studies [4–13]. Yet, the relative contribution

of the environmental dispersal of *C. parvum* oocysts originating from cattle to overall human morbidity is difficult to assess, as various transmission pathways, including the human-to-human route, can co-occur.

Based on molecular epidemiological data, some authors have argued for the existence of anthroponotic *C. parvum* that do not cycle in cattle [13]. In support of this idea, Alves *et al.* recently observed that HIV-positive humans in Portugal were infected with a wider spectrum of *C. parvum* genetic lineages than cattle [14, 15]. Such inference is of considerable biological and public health interest, and challenges the generally held view that disease control measures should target livestock, in particular cattle, as the main reservoir for human infections. However, this model is supported by non-statistical inferences, and

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from the genetic characterization of *C. parvum* isolates recovered from HIV-positive patients over long periods of time [14, 15], and thus, its general validity needs to be corroborated.

In a study published in 2003, Mallon *et al.* applied a highly discriminatory multilocus genotyping scheme on a large battery of *C. parvum* clinical isolates from humans and cattle in the Scottish regions of Aberdeenshire and Dumfriesshire [16]. Forty-eight *C. parvum* multilocus genotypes (MLGs) were described, indicating an extensive genetic diversity of this parasite. Whereas a number of ubiquitous and highly abundant MLGs caused the majority of infections in both humans and cattle, there were many low abundance MLGs which were seen in one or both hosts or regions, featuring a superdiverse MLG distribution. Based on a dendrogram generated using the unweighted pair-group method with arithmetic mean (UPGMA), the authors hypothesized that some *C. parvum* that infect humans might not cycle in cattle [16]. Here, the results of an analysis of the MLG abundance data generated by Mallon *et al.* [16] are presented. The analysis applies taxonomic diversity statistical methods to test the hypothesis that humans are infected with a wider spectrum of *C. parvum* MLGs than cattle. The results are discussed in an epidemiological and public health context.

## MATERIAL AND METHODS

In this study, the *C. parvum* MLG abundance (i.e. the number of isolates in each MLG) of Aberdeenshire and Dumfriesshire originally reported by Mallon *et al.* [16, 17] were used. The original data from Orkney and Thurso were not included, as no human isolates were originally typed in these regions.

The aim of the analysis was to test the hypothesis that humans were infected with a wider spectrum of *C. parvum* MLGs than cattle. Hence, the MLG richness (i.e. the total number of MLGs) of the human and bovine *C. parvum* MLG assemblages were compared using established taxonomic diversity statistics, based on the working assumption that the isolates are independent [18]. To conform to this assumption, it was necessary to remove the bovine duplicates with the same MLG, originating from the same farm, as within-farm enzootic *C. parvum* has been repeatedly documented using molecular tools [19–21] and such duplicates could have biased the results. Therefore, the isolates' postcodes (probably corresponding to the farm of origin) were retrieved, and a new dataset

that included only one isolate per MLG postcode combination was generated. Hence, data were subjected to the following comparisons:

- (1) Comparison between human and bovine *C. parvum* MLG richness with no reference to the region of origin.
- (2) Comparison between human and bovine *C. parvum* MLG richness in Aberdeenshire.
- (3) Comparison between human and bovine *C. parvum* MLG richness in Dumfriesshire.
- (4) Comparison between MLG richness of bovine *C. parvum* from Aberdeenshire and Dumfriesshire.
- (5) Comparison between MLG richness of human *C. parvum* from Aberdeenshire and Dumfriesshire.
- (6) Comparison between MLG richness of Aberdeenshire and Dumfriesshire, with no reference to the host species.

MLG richness was compared by means of analytical rarefaction and the total richness estimators Chao1 and ACE1. Rarefaction is a statistical method for estimating the number of taxa expected to be present in a random sample of any size taken from a given collection [22]. The approach is useful to compare observed taxonomic richness among environments. Indeed, observed taxonomic richness can fluctuate stochastically due to sampling variation and is sample-size dependent [23]. In essence, the difference between taxonomic richness of samples taken from homogeneous (non-partitioned) populations should only reflect the combined effect of sampling variation and sample-size difference. In our case, rarefaction answered the question: What is the expected number of MLGs – and variance – in a random sample of the size of the small subsample taken from the large subsample of each comparison?

Richness estimations by analytical rarefaction were calculated using PAST software [24], which applies variance estimates given by Heck *et al.* [25]. Rarefaction curves of the subsamples in each comparison were constructed increasing the sample size by 1 each time using the 'step by 1' procedure of the rarefaction menu of PAST. In addition, MLG richness of the human and bovine samples – and the 95% confidence intervals (CI) – were compared using the non-parametric total richness estimator Chao1 [26] and the abundance coverage estimator ACE1 [27], which return theoretical estimates of the total population richness, including unseen MLGs.

Table 1. Distribution of *C. parvum* multilocus genotypes (MLGs) in Scotland, stratified by region (Aberdeenshire or Dumfriesshire), and host species (human or bovine *C. parvum*)

	Aberdeenshire MLGs	Dumfriesshire MLGs	Total isolates
Human sample	2 (1), 3 (1), 4 (1), 5 (1), 6 (8), 7 (3), 8 (14), 9 (1), 10 (3), 11 (1), 13 (2), 15 (1), 17 (1), 18 (1), 19 (1), 20 (1), 21 (1), 22 (3), 23 (1), 24 (6), 25 (5), 26 (1), 27 (1), 28 (1), 29 (1), 30 (3) Total isolates: 64	6 (8), 7 (1), 8 (6), 9 (2), 10 (1), 11 (3), 22 (4), 24 (1), 25 (1), 46 (1), 47 (1), 50 (1), 51 (1), 52 (1), 53 (3), 54 (1), 56 (1), 57 (1), 58 (1) Total isolates: 39	103
Bovine sample	6 (9), 7 (4), 8 (7), 9 (2), 10 (2), 11 (1), 12 (2), 13 (1), 14 (1), 16 (1), 22 (4), 23 (1), 24 (1), 30 (1), 31 (1) Total isolates: 38	6 (4), 7 (1), 8 (7), 9 (3), 11 (1), 22 (4), 23 (1), 24 (2), 27 (1), 48 (1), 55 (1) Total isolates: 26	64
Total isolates	102	65	167

MLGs are represented with numbers, as in Mallon *et al.* [16]. MLG abundances are in parentheses.

## RESULTS

Overall, 11 bovine duplicates were eliminated from the dataset. There were no missing postcodes of bovine *C. parvum* from Dumfriesshire, and 14 missing postcodes from Aberdeenshire, which proportionally correspond to the possible presence of only 2–3 bovine *C. parvum* duplicates for that region. No duplicates were seen in the human sample; there were 23 missing postcodes of human isolates. Yet, as will be discussed later, the possible presence of human *C. parvum* duplicates does not alter the inferences of this study. The final dataset, which consists of 167 isolates, is shown in Table 1. Twenty-five MLGs are represented in humans only, six in cattle only, and 12 MLGs were shared. Overall, and in each region separately, the human *C. parvum* subsamples are larger than the bovine *C. parvum* subsamples.

Nominal results of the analytical rarefaction, Chao1 and ACE1 total richness estimates and their 95% CIs, are reported in Table 2 and the Figure. Notice that, by rarefaction, the human subsamples have greater MLG richness than the bovine subsamples, overall, and in each individual region (Table 2, comparisons HB, HBA, and HBD). These features are not likely to be the result of stochastic sampling variation because the 95% lower confidence limits of the MLG richness of the rarefied human subsamples do not encompass the observed richness of the corresponding bovine subsamples. Conversely, the lower 95% boundary of the calculated richness

of the rarefied large subsamples in comparisons BB and HH largely overlap the observed richness of the small subsamples, indicating that there is no substantial difference in MLG richness between the regions in the human or bovine subsamples (Table 2). The rarefaction curves are shown in the Figure. Note that at a sample size of 64 in comparison HB, the rarefaction curve for the bovine sample almost reaches the asymptote, whereas the curve for the human samples is still steep. This suggests that there is a significant number of unseen human *C. parvum* MLGs, but at the same time, bovine *C. parvum* MLGs were relatively well sampled, i.e. a further increase in the size of the bovine sample would not be expected to greatly increase the number of new MLGs. Interestingly, the rarefaction curves in contrasts BB, HH, and AD largely overlap, which indicates that within each host, MLG richness does not differ between regions, nor does it differ among regions (Fig.). Chao1 and ACE1 total richness estimators of the human subsample are greater than the estimators for the bovine subsample. Interestingly, the 95% CIs of the Chao1 estimate of the human and bovine *C. parvum* samples do not overlap, and the CIs of the ACE1 estimator overlap slightly.

## DISCUSSION

The study reported by Mallon *et al.* [16] is one of the most significant genetic comparisons between human

Table 2. Rarefaction, Chao1 and ACE1 richness estimators, by comparison

Comparison	MLG richness of the small subsample	Richness (95% CI) of large samples rarefied at the respective small-sample size	Chao1 and ACE1 estimators (95% CI)
HB	18	26.8 (21.8–30.1)*	Chao1 H: 324 (87–1653) Chao1 B: 26 (20–56) ACE1 H: 150 (72–396) ACE1 B: 36 (22–96)
HBA	15	18.6 (15.2–22.08)*	n.c.
HBD	11	14.4 (11.7–17.2)*	n.c.
BB	11	12.1 (9.8–14.5)	n.c.
HH	19	19.9 (15.5–22.3)	n.c.
AD	23	23.5 (19.6–26.9)	n.c.

HB, Human vs. bovine *C. parvum*; HBA, bovine vs. human *C. parvum* in Aberdeenshire; HBD, human vs. bovine *C. parvum* in Dumfriesshire; BB, bovine Aberdeenshire *C. parvum* vs. bovine Dumfriesshire *C. parvum*; HH, human Aberdeenshire *C. parvum* vs. human Dumfriesshire *C. parvum*; AD, *C. parvum* from Aberdeenshire vs. *C. parvum* from Dumfriesshire.

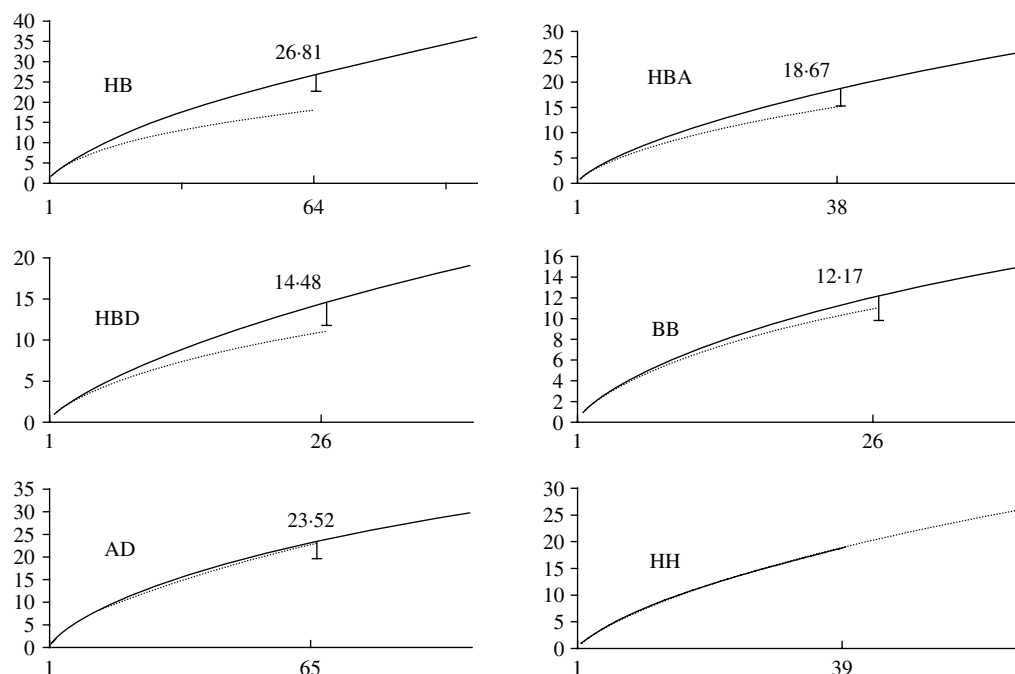
H, human *C. parvum* sample; B, bovine *C. parvum* sample; CI, confidence interval; n.c., not calculated.

\* Indicates 95% confidence intervals not encompassing the observed richness of the respective small samples.

and bovine *C. parvum* isolated from overt infections so far published. The original authors explored patterns of population genetic structure using allele linkage statistics and phenetic clustering methods. Here, the MLG abundances were modelled using the diversity statistical approach, which allowed an estimation of the total number of MLGs (seen and unseen MLGs) as a function of the number of isolates in the sample. To comply with the working assumption of the approach, it was necessary to remove non-independent duplicates that might have inflated the MLG abundances. The most obvious of such duplicates were the bovine isolates of identical MLGs, possibly originating from the same farms. Indeed, without removing such clusters the difference between the MLG richness of the human and bovine samples would have been greater. Other levels of spatial autocorrelation of MLGs, for example clustering due to animal trade between farms, could not be ruled out. However, such duplicates are also possible in the human sample, as the same MLG may have been transmitted to different households in course of point-source outbreaks. Conversely, although the presence of postcode duplicates in the human sample were possible (as not all the human postcodes were retrieved), this does not alter the results of this

study but on the contrary, if present, such duplicates only increase the sample size of human *C. parvum* without adding new MLGs, leading to a more stringent statistical test for the comparisons between hosts.

One of the most important findings of the original study was that most infections were caused by a relatively small number of highly abundant and ubiquitous MLGs that were shared by both host species. Our results indicate that the MLG excess seen in the human sample cannot be discounted on the basis of sampling variation alone and that it is beyond the expected stochastic variation determined by sample-size difference. Furthermore, a similar MLG excess was seen in the human sample in two different regions, but not between the subsamples originating from the same host species but from different regions, which provides a cross-validation against random type-1 error or sampling bias [23]. We therefore infer that in the time and space frames underlying the original study, humans were infected by a significantly wider spectrum of MLGs than cattle. These findings are in accordance with the inference by Alves *et al.* based on the genotyping of *Cryptosporidium* recovered from HIV-positive patients [14, 15], and support its extension to the general population. The occurrence



**Fig.** Rarefaction curves, by comparison. Sample sizes (starting from 1) are on horizontal axes and estimated richness on vertical axes. HB, human vs. bovine *C. parvum*; HBA, human vs. bovine *C. parvum* in Aberdeenshire; HBD, human vs. bovine *C. parvum* in Dumfriesshire; BB, bovine Aberdeenshire *C. parvum* vs. bovine Dumfriesshire *C. parvum*; AD, *C. parvum* from Aberdeenshire vs. *C. parvum* from Dumfriesshire; HH, human Aberdeenshire *C. parvum* vs. human Dumfriesshire *C. parvum*. Subsample sizes within each comparison are defined in Table 1. The long curves in each comparison represent either human or Aberdeenshire subsamples. The calculated rarefied richness is reported close to the lower 95% confidence interval bars. Rarefaction curves in comparison HH largely overlap, so no error bar is provided.

of an excess of low-abundance *C. parvum* MLGs that did not transcend the human boundary might indicate that certain MLGs infecting humans are not self-sustaining in cattle. Such an idea is in line with previous observations [13], and with the hypothesis of the occurrence of ‘human-only’ MLGs formulated by Mallon *et al.* based on a simple inspection of a UPGMA dendrogram [16]. Alternatively, it might merely reflect a wide reshuffling of the parasite’s genetic repertoire across the human ecosystems via complex social networks, or travel. Because this study analysed isolates collected from clinically overt cases, it could be claimed that some MLGs seen only in humans caused only subclinical infections or mild disease in cattle and thus, were not seen. Yet, such a possibility is difficult to reconcile biologically. Indeed, newborn calves – which obviously lack an acquired anti-*Cryptosporidium* immunity – should be considered more susceptible to *Cryptosporidium* disease than adult humans, which were widely represented in this study (data not shown). Furthermore, the possibility that MLG richness of bovine *C. parvum* was underestimated is equally valid for the human *C. parvum* sample.

In conclusion, in the time–space frame underlying the original study, humans were infected with a wider spectrum of *C. parvum* genotypes than cattle, indicating that a significant fraction of human infections was likely to have been caused by parasites that did not originate from the regional bovine reservoirs. These results do not provide evidence of the occurrence of host specificity in *C. parvum*, which in our view can only be tested *in vivo* in cattle using putative human-only MLGs. However, they do not conform to a simplistic model that considers all *C. parvum* as multi-host anthroponotic agents, and support statistically the emerging concept of the occurrence of distinct cycles that do not involve cattle. Such a phenomenon should be taken into account when assessing the potential benefits of various artificial barriers across the livestock–human interface on public health, as it is likely that such barriers would be ineffective in regions where anthroponotic *C. parvum* cycling is common.

Further epidemiological studies in different geographical regions in which humans and newborn cattle share the same environment, and *in vivo* in cattle, are warranted.



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## DECLARATION OF INTEREST

None.

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