



## Integrals of Borchers Forms

STEPHEN S. KUDLA<sup>★</sup>

Department of Mathematics, University of Maryland, College Park, MD 20742, USA.  
e-mail: ssk@math.umd.edu

(Received: 23 October 2001; accepted in final form: 15 April 2002)

**Abstract.** In his *Inventiones* papers in 1995 and 1998, Borchers constructed holomorphic automorphic forms  $\Psi(f)$  with product expansions on bounded domains  $D$  associated to rational quadratic spaces  $V$  of signature  $(n, 2)$ , starting from vector valued modular forms  $f$  of weight  $1 - n/2$  for  $\mathrm{SL}_2(\mathbb{Z})$  which are allowed to have poles at the cusp and whose nonpositive Fourier coefficients are integers  $c_\mu(-m)$ ,  $m \geq 0$ . In this paper, we use the Siegel–Weil formula to give an explicit formula for the integral  $\kappa(\Psi(f))$  of  $-\log \|\Psi(f)\|^2$  over  $X = \Gamma \backslash D$ , where  $\|\cdot\|^2$  is the Petersson norm. This integral is given by a sum for  $m > 0$  of quantities  $c_\mu(-m)\kappa_\mu(m)$ , where  $\kappa_\mu(m)$  is the limit as  $\mathrm{Im}(\tau) \rightarrow \infty$  of the  $m$ th Fourier coefficient of the second term in the Laurent expansion at  $s = n/2$  of a certain Eisenstein series  $E(\tau, s)$  of weight  $(n/2) + 1$  attached to  $V$ . The possible role played by the quantity  $\kappa(\Psi(f))$  in the Arakelov theory of the divisors  $Z_\mu(m)$  on  $X$  is explained in the last section.

**Mathematics Subject Classifications (2000).** 11F30, 14G40, 11G18.

**Key words.** Arakelov theory, Borchers forms, Siegel–Weil formula.

### 0. Introduction

Let  $V$  be a nondegenerate inner product space over  $\mathbb{Q}$  of signature  $(n, 2)$ , with  $n \geq 1$ , and let  $D$  be the space of oriented negative 2-planes in  $V(\mathbb{R})$ . In [2], Borchers constructed certain meromorphic modular forms  $\Psi(f)$  on  $D$  with respect to arithmetic subgroups  $\Gamma_M$  of  $G = \mathrm{O}(V)$  by regularizing the theta integral of vector valued elliptic modular forms  $f$  of weight  $1 - (n/2)$  for  $\mathrm{SL}_2(\mathbb{Z})$  with poles at the cusp, cf. also [1, 7, 8, 21]. The Borchers forms  $\Psi(f)$  can be viewed as meromorphic sections of powers of a certain line bundle  $\mathcal{L}$  on  $X = \Gamma_M \backslash D$ . Taking the standard Petersson metric  $\|\cdot\|$  on  $\mathcal{L}$ , it is of interest in Arakelov geometry to compute the integral:

$$\kappa(\Psi(f)) := -\mathrm{vol}(X)^{-1} \int_{\Gamma_M \backslash D} \log \|\Psi(z, f)\|^2 d\mu(z), \quad (0.1)$$

where  $d\mu(z)$  is a  $G(\mathbb{R})$ -invariant volume form on  $D$ . The integral (0.1) is always convergent provided  $V$  is not an isotropic space of dimension 3 or a split space of dimension 4. These two exceptional cases will be excluded from now on, cf. Proposition 1.4, Remark 1.5, and Remark 2.4.

<sup>★</sup>Partially supported by NSF grant DMS-9970506 and by a Max-Planck Research Prize from the Max-Planck Society and Alexander von Humboldt Stiftung.

In this paper, we give an explicit formula for  $\kappa(\Psi(f))$ . To describe it, suppose that  $M$  is a lattice in  $V$  such that the quadratic form  $Q(x) = \frac{1}{2}(x, x)$  is  $\mathbb{Z}$ -valued and let  $M^\sharp \supset M$  be the dual lattice. Recall that the modular form  $f$  used in Borcherds' construction is valued in the space  $\mathbb{C}[M^\sharp/M]$ , for a suitable choice of  $M$ , and has a Fourier expansion of the form

$$f(\tau) = \sum_{\mu \in M^\sharp/M} \sum_{m \in \mathbb{Q}} c_\mu(m) q^m \varphi_\mu, \tag{0.2}$$

where  $\tau \in \mathfrak{H}$ ,  $q^m = e(m\tau)$ , and where  $c_\mu(m)$  is zero unless  $m \in Q(\mu) + \mathbb{Z}$ . Moreover, if  $m \leq 0$ , then  $c_\mu(m) \in \mathbb{Z}$  and only a finite number of such negative Fourier coefficients are nonzero.

Let

$$\Gamma_M = \{\gamma \in \text{SO}(V)(\mathbb{Q}) \mid \gamma M = M \text{ and } \gamma \text{ acts trivially in } M^\sharp/M\}, \tag{0.3}$$

and let  $X = \Gamma_M \backslash D$ , so that  $X$  is a quasi-projective variety. For each  $m > 0$  and  $\mu \in M^\sharp/M$ , there is a divisor  $Z(m, \mu)$  on  $X$ , associated to the set of vectors  $x \in \mu + M$  with  $Q(x) = m$ . These divisors are called rational quadratic divisors or Heegner divisors in [2]. They include the Heegner points, for  $n = 1$ , the Hirzebruch–Zagier curves on Hilbert modular surfaces, for  $n = 2$ , and the Humbert surfaces on Siegel threefolds, for  $n = 3$ . They are also special cases of the cycles considered in [26, 29, 30], etc. A key fact, due to Borcherds [2], is that the divisor of the form  $\Psi(f)^2$ , which has weight  $c_0(0)$ , is an explicit linear combination of these cycles:

$$\text{div}(\Psi(f)^2) = \sum_{\mu} \sum_{m > 0} c_\mu(-m) Z(m, \mu). \tag{0.4}$$

First consider the generating function for the degrees of the cycles  $Z(m, \mu)$ . Let  $\Omega$  be the first Chern form of the metrized line bundle  $\mathcal{L}^\vee$  on  $X$ , dual to  $\mathcal{L}$ , and let

$$\text{deg}(Z(m, \mu)) = \int_{Z(m, \mu)} \Omega^{n-1} \tag{0.5}$$

be the volume of the cycle  $Z(m, \mu)$  with respect to  $\Omega$ . Similarly, let

$$\text{vol}(X) = \int_X \Omega^n. \tag{0.6}$$

Note that  $(-1)^n \text{vol}(X) > 0$ , cf. (4.49).

For simplicity here in the introduction, we assume that  $n \geq 3$ .

Using the Siegel–Weil formula [56] and results of [29–31], one can show the following:

**THEOREM I.** *For each  $\mu \in M^\sharp/M$ , there is an Eisenstein series  $E(\tau, s; \mu, \frac{n}{2} + 1)$ , for  $\tau \in \mathfrak{H}$  and  $s \in \mathbb{C}$ , of weight  $(n/2) + 1$  such that*

$$E\left(\tau, s_0; \mu, \frac{n}{2} + 1\right) = \delta_{\mu,0} + \text{vol}(X)^{-1} \sum_{m>0} \text{deg}(Z(m, \mu)) q^m, \tag{0.7}$$

where  $s_0 = n/2$ .

A similar result for the generating function for the volumes of certain real totally geodesic cycles was proved by Oda, [43, 44]. Analogous results for generating functions for cycles of higher codimension were proved for more general arithmetic quotients in [32]. For anisotropic  $V$ 's of signature  $(n, 2)$ , such cycles are discussed in [28], Section 3, and in [26].

Our main result is that the integral  $\kappa(\Psi(f))$  can be expressed using the *second term* in the Laurent expansion at  $s_0 = n/2$  of these Eisenstein series.

**MAIN THEOREM.** *For each  $\mu \in M^\sharp/M$ , the Fourier coefficients in the expansion*

$$E\left(\tau, s; \mu, \frac{n}{2} + 1\right) = \sum_m A_\mu(s, m, v) q^m$$

have Laurent expansion at  $s = s_0 = n/2$

$$A_\mu(s, m, v) = a_\mu(m) + b_\mu(m, v)(s - s_0) + O((s - s_0)^2).$$

Let

$$\kappa_\mu(m) = \begin{cases} \lim_{v \rightarrow \infty} b_\mu(m, v), & \text{if } m > 0, \\ \frac{1}{2}(\log(2\pi) - \gamma), & \text{if } m = 0, \\ 0, & \text{if } m < 0. \end{cases}$$

Then, for  $f$  with Fourier expansion (0.2),

$$\kappa(\Psi(f)) = \sum_\mu \sum_{m \geq 0} c_\mu(-m) \kappa_\mu(m).$$

In addition, we derive the useful relation

$$-\text{vol}(X) c_0(0) = \sum_\mu \sum_{m>0} c_\mu(-m) \text{deg}(Z(m, \mu)). \tag{0.8}$$

The quantities  $\kappa_\mu(m)$  can be calculated quite readily in any particular case; this will be done in a sequel [36].

*Remark 0.1.* In fact, an analogous identity is valid in the case  $n = 0$  where  $V$  is the two-dimensional quadratic space associated to an imaginary quadratic field  $k$ ,  $V = k$  with quadratic form given by a negative multiple of the norm form, and  $X$  has dimension 0. In this case, the Eisenstein series are the incoherent Eisenstein series of weight 1 considered in [34], the cycles  $Z(m, \mu)$  are empty, and both sides of (0.7) vanish identically. Since this case has a rather different flavor, we will exclude it from the present paper and plan to discuss it elsewhere.

As an illustration, consider the case where  $M = \mathbb{Z}^5$  with quadratic form of signature (3,2) defined by  $Q(x) = \frac{1}{2}xQx$  where

$$Q = \begin{pmatrix} & & & & 1 \\ & & & & \\ & & 1 & & \\ & & & 2 & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix}.$$

In this example, which is worked out in detail in Section 5,  $|M^\sharp/M| = 2$  and, labeling the cosets by  $\mu = 0, 1$ , we have

$$E\left(\tau, \frac{3}{2}; \mu\right) = \delta_{\mu,0} + \zeta(-3)^{-1} \sum_{\substack{m>0 \\ 4m \equiv \mu \pmod{4}}} H(2, 4m)q^m,$$

where  $H(2, N)$  is the  $N$ th coefficient in Cohen’s Eisenstein series of weight  $\frac{5}{2}$ , [11],

$$\mathcal{H}_2(\tau) = \zeta(-3) + \sum_{\substack{N>0 \\ N \equiv 0,1 \pmod{4}}} H(2, N)q^N.$$

In this case, as explained in [52] and [19],  $\Gamma_M \backslash D \simeq \mathrm{Sp}_4(\mathbb{Z}) \backslash \mathfrak{H}_2$  is the Siegel threefold of level 1,  $\mathrm{vol}(X) = \zeta(-1)\zeta(-3)$ , and  $Z(m, \mu)$ , for  $4m \equiv \mu \pmod{4}$ , is the Humbert surface  $\mathcal{G}_{4m}$ , in the notation of [52]. Thus, the result on degrees implies that

$$\mathrm{deg}(H_N) = -\frac{1}{12} H(2, N),$$

a relation due to van der Geer, [52]. Also, we find that, for  $m > 0$  with  $4m = n^2 d$  for a fundamental discriminant  $d$ , and with  $4m \equiv \mu \pmod{4}$ ,

$$\begin{aligned} \kappa_\mu(m) &= \zeta(-3)^{-1} H(2, 4m) \times \\ &\times \left[ \frac{4}{3} + 2 \frac{\zeta'(-3)}{\zeta(-3)} - \frac{1}{2} \log(d) - \frac{L'(-1, \chi_d)}{L(-1, \chi_d)} - \frac{1}{2} \log(4\pi) - \frac{1}{2} \gamma + \right. \\ &\left. + \sum_{p|n} \left( \log |n|_p - \frac{b'_p(n, -1)}{b_p(n, -1)} \right) \right]. \end{aligned}$$

If  $4m \not\equiv \mu \pmod{4}$ , then  $\kappa_\mu(m) = 0$ . Here  $L(s, \chi_d)$  is the L-series for the quadratic character  $\chi_d$  and the other quantities are explained in Section 5. It is shown by Gritsenko and Nikulin [19] that the Siegel cusp  $\Delta_5$  of weight 5 and quadratic character arises as a Borcherds form  $\Psi(\mathbf{f}_5) = 2^{-6} \Delta_5(z)$ , for a suitable meromorphic form  $\mathbf{f}_5$  of weight  $-\frac{1}{2}$  with expansion

$$\mathbf{f}_5(\tau) = (10 + 108q + 808q^2 + \dots) \varphi_0 + (q^{-\frac{1}{4}} - 64q^{\frac{3}{4}} - 513q^{\frac{7}{4}} + \dots) \varphi_1.$$

Thus, by the Main Theorem,

$$\begin{aligned}
 & - \operatorname{vol}(X)^{-1} \int_X \log(|\Delta_5(z)|^2 \det(y)^5) \cdot \Omega^3 \\
 & = 10 \left[ -\frac{4}{3} - 2 \frac{\zeta'(-3)}{\zeta(-3)} + \frac{\zeta'(-1)}{\zeta(-1)} + \frac{3}{2} \log(2) + \log(\pi) \right] - 7 \log(2).
 \end{aligned}$$

The key idea in the proof of the Main Theorem is the following. Recall that, in Borchers construction, it is essentially the quantity  $\log \|\Psi(f)\|^2$ , rather than the meromorphic form  $\Psi(f)$  itself, which arises as a regularized theta integral. Therefore, after some justification, we can compute the integral of this quantity by first integrating the theta kernel over  $X$  and then taking the regularized integral against  $f$ . This procedure is valid provided that the integral of the theta kernel is termwise absolutely convergent, and it is for this reason that the exceptional cases must be excluded. The Siegel–Weil formula then identifies the integral of the theta kernel as a special value of an Eisenstein series of weight  $(n/2) - 1$  at the point  $s_0 = n/2$ . The regularized integral of this series against  $f$  can then be evaluated by using a Maass operator, which shifts the weight to  $(n/2) + 1$ , and a Stokes theorem argument from Section 9 of [2].

In fact, the method used here should also be applicable to the calculation of the integrals of the functions arising via Borchers construction for more general signatures  $(p, q)$ , and it would be interesting to investigate such cases. Note, in particular, that the remarkable product formulas for the  $\Psi(f)$ ’s in the case of signature  $(n, 2)$  play no role.

Possible applications of the formula for  $\kappa(\Psi(f))$  to arithmetic geometry are discussed in Section 6. The main point is that there should be a close connection between the second term in the Laurent expansion of the Fourier coefficients of the Eisenstein series  $E(\tau, s; \mu)$  at  $s_0 = n/2$ , and the heights of the divisors  $Z(m, \mu)$  on  $X$ , after extension to a suitable integral model. Such a connection is also suggested by the results of joint work [35] with Michael Rapoport and Tonghai Yang in which we compute the heights of Heegner type divisors on the arithmetic surfaces  $\mathcal{X}$  defined by Shimura curves, the case  $n = 1$  with  $V$  anisotropic. In fact, for suitably defined classes  $\hat{\mathfrak{B}}(m, v) \in \widehat{\mathcal{CH}}^1(\mathcal{X})$ , the arithmetic Chow group of  $\mathcal{X}$ , and for a normalized version  $\mathcal{E}(\tau, s; \varphi)$  of the Eisenstein series  $E(\tau, s; \varphi)$  of weight  $\frac{3}{2}$ , we show that

$$\mathcal{E}'(\tau, \frac{1}{2}; \varphi) = \sum_m \langle \hat{\mathfrak{B}}(m, v), \hat{\omega} \rangle q^m,$$

where  $\tau = u + iv$ ,  $\hat{\omega} \in \widehat{\mathcal{CH}}^1(\mathcal{X})$  is an extension of the metrized line bundle  $\mathcal{L}^\vee$ , dual to  $\mathcal{L}$  to  $\mathcal{X}$ , and  $\langle \cdot, \cdot \rangle$  is the Gillet–Soulé height pairing. Thus, the second term in the Eisenstein series gives a generating functions for the ‘arithmetic volumes’, at least in this example.

Here is a summary of the contents of the present paper. In Section 1, we review the construction of the Borchers forms  $\Psi(f)$ . An adelic formulation of this construction is given, which allows us to work more easily for general lattices and to make use of the adelic formulation of the Siegel–Weil formula and representation theory.

Some explanation is given about how to pass back and forth between the adelic and classical version. In Section 2, we derive the formula for  $\kappa(\Psi(f))$ , assuming certain facts about Eisenstein series, the Siegel–Weil formula, and about convergence. In Section 3, we consider convergence questions and, in particular, justify the interchange of the integration of the theta kernel with the Borchers regularized integral. In Section 4, we first review the case of the Siegel–Weil formula which we need, including a refinement, already described by Weil, which is crucial in relating the integral over the orthogonal group occurring in this formula with the geometric integral we actually encounter. We then describe a general matching principle and apply it, together with the theory of [29–31], to prove that the degree generating function is given by the value of our Eisenstein series of weight  $(n/2) + 1$ . The main point here is that this matching principle implies the coincidence of theta integrals for different quadratic spaces. For example, it shows that the degrees of the cycles  $Z(m, \mu)$  occurring for spaces of signature  $(n, 2)$  always coincide with certain weighted representation numbers for spaces of signature  $(n + 2, 0)$ . This principle should have many other interesting applications. In Section 5, we discuss the example of signature  $(3, 2)$  described above. In Section 6, we give some speculations about the applications of the formulas for  $\kappa(\Psi(f))$ 's in arithmetic geometry.

### 1. Borchers Forms

In this section we give an adelic formulation of a result of Borchers on the construction of meromorphic modular forms. This formulation is convenient from the point of view of Hecke operators and Shimura varieties. Moreover, it is essential if we want to make use of the adelic version of the Siegel–Weil formula.

Let  $V$  be a vector space over  $\mathbb{Q}$  with a nondegenerate quadratic form of signature  $(n, 2)$ , and let  $H = \text{GSpin}(V)$ . We write  $(x, y) = Q(x + y) - Q(x) - Q(y)$  for the associated bilinear form. Let  $D$  be the space of oriented negative 2-planes in  $V(\mathbb{R})$ . Recall that  $D$  is isomorphic to the open subset  $Q_-$  of the quadric  $Q \subset \mathbb{P}(V(\mathbb{C}))$  defined by

$$Q_- = \{w \in V(\mathbb{C}) \mid (w, w) = 0, (w, \bar{w}) < 0\} / \mathbb{C}^\times.$$

The isomorphism is given by  $z \mapsto v_1 - iv_2 = w$ , where  $v_1, v_2$  is a properly oriented basis for  $z \in D$  with  $(v_1, v_1) = (v_2, v_2) = -1$  and  $(v_1, v_2) = 0$ . For a compact open subgroup  $K \subset H(\mathbb{A}_f)$ , the space

$$X_K = H(\mathbb{Q}) \backslash (D \times H(\mathbb{A}_f) / K) \tag{1.1}$$

is the set of complex points of a quasi-projective variety rational over  $\mathbb{Q}$  (via canonical models). This variety is projective if and only if  $V$  is anisotropic over  $\mathbb{Q}$ . It is smooth if the image of  $K$  in  $\text{SO}(V)(\mathbb{A}_f)$  is neat. Fix a connected component  $D^+$  of  $D$ , and write

$$H(\mathbb{A}) = \coprod_j H(\mathbb{Q})H(\mathbb{R})^+ h_j K, \tag{1.2}$$

where  $H(\mathbb{R})^+$  is the identity component of  $H(\mathbb{R}) \simeq \text{GSpin}(n, 2)$  and  $h_j \in H(\mathbb{A}_f)$ . Then

$$X_K = \coprod_j X_j, \quad X_j \simeq \Gamma_j \backslash D^+, \tag{1.3}$$

where  $\Gamma_j = H(\mathbb{Q}) \cap ( H(\mathbb{R})^+ h_j K h_j^{-1} )$ .

Let  $\mathcal{L}_D$  be the restriction to  $D \simeq Q_-$  of the tautological bundle on  $\mathbb{P}(V(\mathbb{C}))$ . The action of  $O(V)(\mathbb{R})$  on  $V(\mathbb{C})$  induces an action of  $H(\mathbb{R})^+$  on  $\mathcal{L}_D$ , and hence there is a holomorphic line bundle

$$\mathcal{L} = H(\mathbb{Q}) \backslash (\mathcal{L}_D \times H(\mathbb{A}_f) / K) \longrightarrow X_K. \tag{1.4}$$

This line bundle is also algebraic and has a canonical model over  $\mathbb{Q}$ , [20]. On the component  $\Gamma_j \backslash D^+$ ,  $\mathcal{L}$  has the form  $\Gamma_j \backslash \mathcal{L}_D$ . Define a Hermitian metric  $h_{\mathcal{L}}$  on  $\mathcal{L}_D$  by taking

$$h_{\mathcal{L}}(w_1, w_2) = -\frac{1}{2}(w_1, \bar{w}_2). \tag{1.5}$$

This metric is clearly invariant under the natural action of  $O(V)(\mathbb{R})$  and hence descends to  $\mathcal{L}$ .

For a Witt decomposition

$$V(\mathbb{R}) = V_0 + \mathbb{R}e + \mathbb{R}f, \tag{1.6}$$

where  $e$  and  $f$ , with  $(e, f) = 1$  and  $(e, e) = (f, f) = 0$ , span a hyperbolic plane with orthogonal complement  $V_0$ , note that  $\text{sig}(V_0) = (n - 1, 1)$  and let

$$C = \{y \in V_0 \mid (y, y) < 0\} \tag{1.7}$$

be the negative cone. Then  $D \simeq Q_-$  is isomorphic to the tube domain

$$D = \{z \in V_0(\mathbb{C}) \mid y = \text{Im}(z) \in C\}, \tag{1.8}$$

via the map

$$D \longrightarrow V(\mathbb{C}), \quad z \mapsto w(z) := z + e - Q(z)f. \tag{1.9}$$

composed with the projection to  $Q_-$ . The map  $z \mapsto w(z)$  can be viewed as a nowhere vanishing holomorphic section of  $\mathcal{L}_D$ . Note that this section has norm

$$\|w(z)\|^2 = -\frac{1}{2}(w(z), \bar{w}(z)) = -(y, y) =: |y|^2. \tag{1.10}$$

For  $h \in O(V(\mathbb{R}))$  or  $H(\mathbb{R})$ , we have

$$h \cdot w(z) = w(hz) j(h, z) \tag{1.11}$$

for a holomorphic automorphy factor

$$j: H(\mathbb{R}) \times D \longrightarrow \mathbb{C}^\times. \tag{1.12}$$

For  $k \in \mathbb{Z}$ , holomorphic sections of  $\mathcal{L}^{\otimes k}$  can be identified with holomorphic functions

$$\Psi: D \times H(\mathbb{A}_f) \longrightarrow \mathbb{C} \tag{1.13}$$

such that  $\Psi(z, hk) = \Psi(z, h)$  for all  $k \in K$  and

$$\Psi(\gamma z, \gamma h) = j(\gamma, z)^k \Psi(z, h) \tag{1.14}$$

for all  $\gamma \in H(\mathbb{Q})$ . The norm of the section  $(z, h) \rightarrow \Psi(z, h) \cdot w(z)^{\otimes k}$  associated to  $\Psi$  is then

$$\|\Psi(z, h)\|^2 = |\Psi(z, h)|^2 |y|^{2k}. \tag{1.15}$$

We will refer to this as the Petersson norm of  $\Psi$ . Note that, under the isomorphism (1.3),  $\Psi$  corresponds to the collection  $(\Psi(\cdot, h_j))_{\{j\}}$  of holomorphic functions on  $D^+$  automorphic of weight  $k$  with respect to the  $\Gamma_j$ 's.

*Remark.* In the case  $n = 1$ , so that  $\mathbb{D} = \mathfrak{H}^+ \cup \mathfrak{H}^-$ , the automorphy factor is

$$j(g, z) = \det(g)^{-1} (cz + d)^2,$$

so that the ‘classical weight’ of a section of  $\mathcal{L}^{\otimes k}$  is  $2k$ . □

We now give a version of Borcherds’ construction [2] of meromorphic sections of (a certain twist of)  $\mathcal{L}^{\otimes k}$ . These are obtained by a regularized theta lift for the dual pair  $(\mathrm{SL}_2, \mathrm{O}(V))$ .

The basic theta kernel is constructed as follows. Let  $S(V(\mathbb{A}))$ ,  $S(V(\mathbb{A}_f))$ , and  $S(V(\mathbb{R}))$  be the Schwartz spaces of  $V(\mathbb{A})$ ,  $V(\mathbb{A}_f)$ , and  $V(\mathbb{R})$  respectively. For  $z \in D$ , let  $\mathrm{pr}_z: V(\mathbb{R}) \rightarrow z$  be the projection with kernel  $z^\perp$ , and, for  $x \in V(\mathbb{R})$ , let

$$R(x, z) = -(\mathrm{pr}_z(x), \mathrm{pr}_z(x)) = |(x, w(z))|^2 |y|^{-2}. \tag{1.16}$$

Then the majorant associated to  $z$  is

$$(x, x)_z = (x, x) + 2R(x, z), \tag{1.17}$$

and the Gaussian is the function

$$\varphi_\infty \in S(V(\mathbb{R})) \otimes A^0(D), \quad \varphi_\infty(x, z) = e^{-\pi(x, x)_z}. \tag{1.18}$$

Here  $A^0(D)$  is the space of smooth functions on  $D$ . Note that, for  $h \in \mathrm{O}(V(\mathbb{R}))$ ,

$$\varphi_\infty(hx, hz) = \varphi_\infty(x, z). \tag{1.19}$$

Let  $G = \mathrm{SL}_2$  and let  $G'_\mathbb{A}$  be the 2-fold metaplectic cover of  $G(\mathbb{A})$ . Let  $G'_\mathbb{Q} \subset G'_\mathbb{A}$  be the image of  $G(\mathbb{Q})$  under the canonical splitting homomorphism. The group  $G'_\mathbb{A}$  acts in  $S(V(\mathbb{A}))$  via the Weil representation  $\omega$  (determined by the standard additive character  $\psi$  of  $\mathbb{A}/\mathbb{Q}$  such that  $\psi_\infty(x) = e(x) = e^{2\pi i x}$ ) and this action commutes with the linear action of  $\mathrm{O}(V)(\mathbb{A})$ . It will sometimes be convenient to write this linear action as  $\omega(h)\varphi(x) = \varphi(h^{-1}x)$ . For  $z \in D$ ,  $h \in \mathrm{O}(V)(\mathbb{A}_f)$  and  $g' \in G'_\mathbb{A}$ , we let  $\theta(g', z, h)$  be the linear functional on  $S(V(\mathbb{A}_f))$  defined by

$$\varphi \mapsto \theta(g', z, h; \varphi) = \sum_{x \in V(\mathbb{Q})} \omega(g')(\varphi_\infty(\cdot, z) \otimes \omega(h)\varphi)(x). \tag{1.20}$$

Then, for  $\gamma \in \mathrm{O}(V)(\mathbb{Q})$ , we have

$$\theta(g', \gamma z, \gamma h; \varphi) = \theta(g', z, h; \varphi). \tag{1.21}$$



Also, by Poisson summation, [55], for  $\gamma \in G'_Q$ ,

$$\theta(\gamma g', z, h; \varphi) = \theta(g', z, h; \varphi). \tag{1.22}$$

Finally, for  $g'_1 \in G'_{A_f}$  and  $h_1 \in O(V)(A_f)$ , we have

$$\theta(g'_1 g', z, h h_1; \varphi) = \theta(g', z, h; \omega(g'_1, h_1) \varphi). \tag{1.23}$$

In particular, if  $K \subset H(A_f)$  is as above, and if  $\varphi \in S(V(A_f))^K$ , then the function

$$(z, h) \mapsto \theta(g', z, h; \varphi) \tag{1.24}$$

on  $D \times H(A_f)$  descends to a function on  $X_K$ . We may view it as a linear functional on the space  $S(V(A_f))^K$  and, hence, we obtain

$$\begin{aligned} \theta: G'_Q \backslash G'_A \times X_K &\longrightarrow (S(V(A_f))^K)^\vee. \\ (g', z, h) &\mapsto \theta(g', z, h; \cdot). \end{aligned} \tag{1.25}$$

Note that this function is *not* holomorphic in  $z$ .

Let  $K'_\infty$  be the full inverse image of  $SO(2) \subset SL_2(\mathbb{R}) = G(\mathbb{R})$  in  $G'_R$ . For each  $r \in \frac{1}{2}\mathbb{Z}$ , let  $\chi_r$  be the character of  $K'_\infty$  such that

$$\chi_r(k')^2 = e^{2ir\theta}, \quad \text{if } k' \mapsto k_\theta = \begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix} \in SO(2) \tag{1.26}$$

under the covering projection. Let  $K' \subset G'_A$  be the full inverse image of  $SL_2(\hat{\mathbb{Z}}) \subset G(A_f)$ , and note that

$$G'_A = G'_Q G'_R K'. \tag{1.27}$$

The Gaussian (1.18) is an eigenfunction of  $K'_\infty$  with

$$\omega(k'_\infty) \varphi_\infty(x, z) = \chi_\ell(k'_\infty) \varphi_\infty(x, z), \tag{1.28}$$

for  $\ell = (n/2) - 1$ . It then follows from (1.23) that

$$\theta(g' k'_\infty k', z, h) = \chi_\ell(k'_\infty) (\omega(k')^\vee)^{-1} \theta(g', z, h) \tag{1.29}$$

for all  $k'_\infty \in K'_\infty$  and  $k' \in K'$ . In particular, the theta function has weight  $\ell = (n/2) - 1$ . Here  $\omega(k')^\vee$  denotes the action of  $K'$  on the space  $S(V(A_f))^\vee$  dual to its action on  $S(V(A_f))$ .

Now suppose that  $F: G'_Q \backslash G'_A \rightarrow S(V(A_f))^K$  is a function such that

$$F(g' k'_\infty k') = \chi_{-\ell}(k'_\infty) \omega(k')^{-1} F(g') \tag{1.30}$$

for all  $k'_\infty \in K'_\infty$  and  $k' \in K'$ . Then, as a function of  $g'$ , the  $\mathbb{C}$ -bilinear pairing

$$((F(g'), \theta(g', z, h))) = \theta(g', z, h; F(g')) \tag{1.31}$$

is left  $G'_Q$ -invariant and right  $K'_\infty K'$ -invariant. Its integral over  $G'_Q \backslash G'_A$ , defined in general by a suitable regularization, is a function

$$\Phi(z, h; F) = \int_{G'_Q \backslash G'_A} (( F(g'), \theta(g', z, h) )) dg' \tag{1.32}$$

on  $X_K$ .

The Borcherds forms [2] arise when  $F$  comes from a certain type of vector valued automorphic form *with possible poles at the cusps*. To describe these, it is convenient to pass to a point of view intermediate between that just explained and the classical formulation.

Observe that  $G'_Q \cap (G'_R K') \simeq \text{SL}_2(\mathbb{Z})$ . Let  $\Gamma'$  be the full inverse image of  $\text{SL}_2(\mathbb{Z}) \subset \text{SL}_2(\mathbb{R}) = G(\mathbb{R})$  in the metaplectic cover  $G'_R$ . Thus  $\Gamma'$  is an extension of  $\text{SL}_2(\mathbb{Z})$  by  $\{\pm 1\}$ . For each  $\gamma' \in \Gamma'$ , with image  $\gamma$  in  $\text{SL}_2(\mathbb{Z})$ , there is a unique element  $\gamma'' \in K'$  such that  $\gamma'\gamma'' = \gamma \in G'_Q \cap (G'_R K')$ . For  $\tau = u + iv \in \mathfrak{H}$ , the upper halfplane, let

$$g_\tau = \begin{pmatrix} 1 & u \\ & 1 \end{pmatrix} \begin{pmatrix} v^{\frac{1}{2}} & \\ & v^{-\frac{1}{2}} \end{pmatrix}, \tag{1.33}$$

and let  $g'_\tau = [g_\tau, 1] \in G'_R$ . We then have

$$\gamma' g'_\tau = g'_{\gamma(\tau)} k'_\infty(\gamma', \tau) \tag{1.34}$$

for a unique  $k'_\infty(\gamma', \tau) \in K'_\infty$ . For  $r \in \frac{1}{2}\mathbb{Z}$ , define an automorphy factor by

$$j_r: \Gamma' \times \mathfrak{H} \rightarrow \mathbb{C}^\times, \quad j_r(\gamma', \tau) = \chi_{-r}(k'_\infty(\gamma', \tau)) |c\tau + d|^r, \tag{1.35}$$

if  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ . For example, if  $r \in \mathbb{Z}$ ,  $j_r(\gamma', \tau) = (c\tau + d)^r$ .

**LEMMA 1.1.** *Suppose that  $(\rho, \mathcal{V})$  is a representation of  $K'$  and that  $\phi: G'_Q \backslash G'_A \rightarrow \mathcal{V}$  is a function such that  $\phi(g'k'_\infty k') = \chi_r(k'_\infty) \rho(k')^{-1} \phi(g')$ . Let  $f(\tau) = v^{-r/2} \phi(g'_\tau)$ . Then, for all  $\gamma = \gamma'\gamma'' \in \text{SL}_2(\mathbb{Z})$ ,  $f(\gamma(\tau)) = j_r(\gamma', \tau) \rho(\gamma'') f(\tau)$ .*

*Proof.* We have

$$\begin{aligned} f(\gamma(\tau)) &= v(\gamma(\tau))^{-r/2} \phi(g'_{\gamma(\tau)}) \\ &= |c\tau + d|^r v^{-r/2} \phi(\gamma g'_\tau k'_\infty(\gamma', \tau)^{-1} (\gamma'')^{-1}) \\ &= |c\tau + d|^r \chi_{-r}(k'_\infty(\gamma', \tau)) v^{-r/2} \rho(\gamma'') \phi(g'_\tau) \\ &= j_r(\gamma', \tau) \rho(\gamma'') f(\tau), \end{aligned} \tag{1.36}$$

as claimed. □

Note that we can view  $\mathcal{V}$  as a representation of  $\Gamma'$  by setting  $\rho(\gamma') = \rho(\gamma'')$ .

Applying Lemma 1.1, via (1.29) and (1.30), we obtain automorphic forms

$$\mathfrak{A}(\tau, z, h) = v^{-\ell/2} \theta(g'_\tau, z, h), \tag{1.37}$$

of weight  $\ell$ , and

$$f(\tau) = v^{\ell/2} F(g'_\tau), \tag{1.38}$$

of weight  $-\ell$ , valued in  $S(V(\mathbb{A}_f))^\vee$  and  $S(V(\mathbb{A}_f))^K$ , respectively. Note that  $\mathfrak{A}$  is not holomorphic in  $\tau$ . Then the quantity in (1.32) is given by

$$\Phi(z, h; F) = \int_{\text{SL}_2(\mathbb{Z}) \backslash \mathfrak{H}} ((f(\tau), \vartheta(\tau, z, h))) v^{-2} du dv \tag{1.39}$$

for a suitable choice of measure on  $G'_\mathbb{Q} \backslash G'_\mathbb{A}$ .

Let  $M$  be a  $\mathbb{Z}$ -lattice in  $V$ , on which the quadratic form  $Q(x) = \frac{1}{2}(x, x)$  takes integral values, and let  $M^\sharp$  be the dual lattice. Let  $S_M \subset S(V(\mathbb{A}_f))$  be the space of functions with support in  $\hat{M}^\sharp := M^\sharp \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}$  and which are constant on cosets of  $\hat{M} := M \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}$ . We will use the characteristic functions of cosets as a basis for this finite dimensional space. The space  $S_M$  is stable under the action of  $K'$ . The restriction to  $S_M$  of the theta function  $\vartheta(\tau, z, h)$ , viewed as a linear functional, defines a (non-holomorphic) modular form of weight  $\ell = (n/2) - 1$  valued in  $(\omega^\vee, S_M^\vee)$ , the dual of the representation  $(\omega, S_M)$  of  $K'$ . Note that

$$S(V(\mathbb{A}_f)) = \lim_{\substack{\longrightarrow \\ M}} S_M.$$

Suppose that  $F$  (and hence  $f$ ) takes values in  $S_M$  and is meromorphic at the cusp in the following sense. Write

$$f(\tau) = \sum_{\varphi} f_{\varphi}(\tau) \cdot \varphi, \tag{1.40}$$

where  $\varphi$  runs over the coset basis for  $S_M$ , and let

$$f_{\varphi}(\tau) = \sum_{m \in \mathbb{Q}} c_{\varphi}(m) q^m \tag{1.41}$$

be the Fourier expansion of  $f_{\varphi}$ , where  $q^m = e(m\tau)$ . We will sometimes write  $c_0(m)$  for the Fourier coefficients of  $f_{\varphi_0}$  where  $\varphi_0$  is the characteristic function of  $\hat{M}$ ; the constant term  $c_0(0)$  will play a crucial role. The Fourier coefficients  $c_{\varphi}(m)$  are nonzero only for  $m \in (1/N)\mathbb{Z}$ , for some integer  $N$ , and we require that only a finite number of  $c_{\varphi}(m)$ 's with  $m < 0$  are nonzero. Then the pairing

$$((f(\tau), \vartheta(\tau, z, h))) = \sum_{\varphi} f_{\varphi}(\tau) \vartheta(\tau, z, h; \varphi) \tag{1.42}$$

defines an  $\text{SL}_2(\mathbb{Z})$  invariant function on  $\mathfrak{H}$ . It can be very rapidly increasing on the standard fundamental domain for  $\Gamma = \text{SL}_2(\mathbb{Z})$ . The regularization used to define the integral (1.39) will be reviewed in detail below. Note that the pairing (1.42) does not depend on the choice of the lattice  $M$ .

A basic result of Borchers, [2], expressed in our present notation, is the following:

**THEOREM 1.2** (Theorem 13.3 of [2]). *Suppose that  $F$  (and hence  $f$ ) takes values in  $S_M^K$  and that the Fourier coefficients  $c_{\varphi}(m)$  for  $m \leq 0$  are integers. Then, for  $z \in D$  and  $h \in H(\mathbb{A}_f)$ , the regularized integral*

$$\Phi(z, h; F) = \int_{\Gamma \backslash \mathfrak{H}} ((f(\tau), \vartheta(\tau, z, h))) v^{-2} du dv$$

can be written in the form

$$\Phi(z, h; F) = -2 \log |\Psi(z, h; F)|^2 - c_0(0) (2 \log |y| + \log(2\pi) + \Gamma'(1))$$

for a meromorphic modular form  $\Psi(f)$  on  $D \times H(\mathbb{A}_f)$  of weight  $k = \frac{1}{2}c_0(0)$ .

More precisely, suppose that  $c_0(0)$  is even, so that  $k = \frac{1}{2}c_0(0) \in \mathbb{Z}$ . Then, there is a unitary character  $\zeta$  of  $H(\mathbb{Q})$  such that, for all  $\gamma \in H(\mathbb{Q})$ ,

$$\Psi(\gamma z, \gamma h; F) = \zeta(\gamma) j(\gamma, z)^k \Psi(z, h; F). \tag{1.43}$$

Moreover, as a function of  $h \in H(\mathbb{A}_f)$ ,  $\Psi(f)$  is right  $K$ -invariant for any compact open subgroup  $K \subset H(\mathbb{A}_f)$  for which the values of  $F$  lie in  $S_M \subset S(V(\mathbb{A}_f))^K$  and, hence,  $\Psi(f)$  defines a meromorphic section of the bundle  $\mathcal{L}^{\otimes k} \otimes \mathcal{V}_\zeta$ , where  $\mathcal{V}_\zeta$  is the flat bundle defined by  $\zeta$ . Since our calculations only involve  $\log \|\Psi(f)\|^2$ , the character  $\zeta$ , which, in fact, has finite order [4], will play no role in the present paper. If the coefficient  $c_0(0)$  is odd,  $\Psi(f)^2 = \Psi(2F)$  is an automorphic form of weight  $2k$ . Note that, in any case, it is the quantity  $2 \log |\Psi(z, h; F)|^2$  which occurs in  $\Phi(z, h; F)$ , so that the parity of  $c_0(0)$  will not matter.

Borcherds also determines the divisor of  $\Psi(f)$ . To describe this in our setup, we first recall the definition of the special cycles in  $X_K$ , from [26]. For  $x \in V(\mathbb{Q})$  with  $Q(x) > 0$ , let  $V_x = x^\perp$ , and

$$D_x = \{ z \in D \mid x \perp z \}. \tag{1.44}$$

Let  $H_x$  be the stabilizer of  $x$  in  $H$ , and note that  $H_x \simeq \text{GSpin}(V_x)$ . For  $h \in H(\mathbb{A}_f)$ , there is a natural map

$$\begin{aligned} H_x(\mathbb{Q}) \backslash D_x \times H_x(\mathbb{A}_f) / (H(\mathbb{A}_f) \cap hKh^{-1}) &\longrightarrow H(\mathbb{Q}) \backslash D \times H(\mathbb{A}_f) / K \\ (z, h_1) &\mapsto (z, h_1 h) \end{aligned} \tag{1.45}$$

which defines a divisor  $Z(x, h, K)$  on  $X_K$ . This divisor is rational over  $\mathbb{Q}$ . For a Schwartz function  $\varphi \in S(V(\mathbb{A}_f))^K$ , and a positive rational number  $m \in \mathbb{Q}_{>0}$ , we define a weighted linear combination  $Z(m, \varphi, K)$  of these divisors as follows. Let

$$\Omega_m = \{x \in V \mid Q(x) = m\} \tag{1.46}$$

be the quadric determined by  $m$ , and fix  $x_0 \in \Omega_m(\mathbb{Q})$ , assuming that  $\Omega_m(\mathbb{Q}) \neq \emptyset$ . Then  $\Omega_m(\mathbb{A}_f)$  is a closed subset of  $V(\mathbb{A}_f)$ , and we can write

$$\text{supp}(\varphi) \cap \Omega_m(\mathbb{A}_f) = \coprod_r K \cdot \xi_r^{-1} x_0 \tag{1.47}$$

for some finite set of  $\xi_r$ 's in  $H(\mathbb{A}_f)$ . Define

$$Z(m, \varphi, K) := \sum_r \varphi(\xi_r^{-1} x_0) Z(x_0, \xi_r, K). \tag{1.48}$$

If  $\Omega_m(\mathbb{Q})$  is empty, then  $Z(m, \varphi; K) = 0$ . These cycles, which are defined for arbitrary codimension in [26], include the Heegner points, Hirzebruch–Zagier curves, and Humbert surfaces as particular cases. Various nice properties of the weighted cycles are described in [26]. For example, if  $K' \subset K$  and if  $\text{pr}: X_{K'} \rightarrow X_K$  is the associated covering, then

$$\text{pr}^*Z(m, \varphi, K) = Z(m, \varphi, K'), \tag{1.49}$$

so that the special cycles are defined on the full Shimura variety associated to  $(H, D)$ , [41]. Because of this relation, we will frequently omit  $K$  and write simply  $Z(m, \varphi)$  in place of  $Z(m, \varphi, K)$ . Also, if  $h \in H(\mathbb{A}_f)$ , then right multiplication by  $h^{-1}$  defines a natural morphism, rational over  $\mathbb{Q}$ ,

$$r(h): X_K \longrightarrow X_{hKh^{-1}}, \tag{1.50}$$

and

$$r(h)_*Z(m, \varphi, K) = Z(m, \omega(h)\varphi, hKh^{-1}), \tag{1.51}$$

where  $\omega(h)\varphi(x) = \varphi(h^{-1}x)$ . This relation describes the compatibility of the special cycles with the Hecke operators. Finally, by Proposition 5.4 of [26], we can give an explicit description of these cycles with respect to the decomposition (1.3) of the space  $X_K$  as a disjoint union of arithmetic quotients of  $D^+$ :

$$\begin{aligned} Z(m, \varphi, K) &= \sum_j Z_j(m, \varphi, K), \tag{1.52} \\ Z_j(m, \varphi, K) &= \sum_{\substack{x \in \Omega_m(\mathbb{Q}) \\ \text{mod } \Gamma_j}} \varphi(h_j^{-1}x) \text{pr}_j(D_x), \end{aligned}$$

where  $\text{pr}_j: D^+ \rightarrow \Gamma_j \backslash D^+ \simeq X_j$  is the natural projection. Note that it follows from this formula that,

$$Z(m, \varphi; K) = Z(m, \varphi^\vee; K) \tag{1.53}$$

where  $\varphi^\vee(x) = \varphi(-x)$ .

**THEOREM 1.3** (Theorem 13.3 of [2]). *For  $f$  with Fourier expansion given by (1.40) and (1.41),*

$$\text{div}(\Psi(f)^2) = \sum_{\varphi} \sum_{m>0} c_{\varphi}(-m) Z(m, \varphi, K).$$

*Here  $\varphi$  runs over the coset basis for  $S_M$ .*

Finally, the following convergence result for the integral (1.0) will be proved in Section 3.

**PROPOSITION 1.4.** *Suppose that  $V$  is not an isotropic space of dimension 3 or a split space of dimension 4. Then, for every Borchers form  $\Psi(f)$ ,*

$$\log \|\Psi(f)\| \in L^1(X, d\mu(z)).$$

*Remark 1.5.* For elliptic modular forms  $\Psi$ , i.e., for the first case excluded in Proposition 1.4, the integral (0.1) will only converge when  $\Psi$  is nonzero at all cusps. In this case, the integrals  $\kappa(\Psi)$  were evaluated by Rohrlich, [50], via the Kronecker limit formula.

**2. Computation of a Regularized Integral**

Setting  $k = \frac{1}{2}c_0(0)$ , recall that the Petersson norm of the section defined by  $\Psi(f)$  is

$$||\Psi(z, h; F)||^2 = |\Psi(z, h; F)|^2 |y|^{2k}. \tag{2.1}$$

We view the function  $||\Psi(z, h_j; F)||^2$  as a function on the component  $X_j = \Gamma_j \backslash D^+$  of  $X_K$  and will write  $||\Psi(z; F)||^2$  for the resulting function on either  $X_j$  or the (possibly disconnected) complex manifold  $X_K$ . In what follows, we will write  $X$  for either  $X_K$  or for one of the  $X_j$ 's.

The basic problem is to compute the following integral:

$$\begin{aligned} \kappa_X(\Psi(f)) &:= -\frac{1}{\text{vol}(X)} \int_X \log ||\Psi(z; F)||^2 d\mu(z) \\ &= -\frac{1}{\text{vol}(X)} \int_X \log (|\Psi(z; F)|^2 |y|^{2k}) d\mu(z) \\ &= \frac{1}{2} \frac{1}{\text{vol}(X)} \int_X \Phi(z; F) d\mu(z) + k(\log(2\pi) + \Gamma'(1)) \\ &= \frac{1}{2} \frac{1}{\text{vol}(X)} \int_X \left( \int_{\Gamma \backslash \mathfrak{H}} (f(\tau), \vartheta(\tau, z)) v^{-2} du dv \right) d\mu(z) + k C_0 \\ &= \frac{1}{2} \int_{\Gamma \backslash \mathfrak{H}} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau; \varphi) v^{-2} du dv + k C_0, \end{aligned} \tag{2.2}$$

where  $C_0 = \log(2\pi) + \Gamma'(1)$ ,  $\text{vol}(X) = \text{vol}(X, d\mu(z))$  and

$$I_X(\tau; \varphi) = \frac{1}{\text{vol}(X)} \int_X \vartheta(\tau, z; \varphi) d\mu(z). \tag{2.3}$$

In fact, the last interchange of order of integration (where one of the integrals regularized!) will be justified in the next section, *provided* the theta integral (2.3) converges. We will discuss this point in a moment. Here  $d\mu(z)$  is a  $H(\mathbb{R})$ -invariant top degree form on  $D$ ; the quantity  $\kappa_X(\Psi(f))$  is independent of the normalization of this form.

We want to relate the integral  $I_X(\tau; \varphi)$ , over the complex manifold  $X = X_K$  or  $X_j$ , to a usual theta integral over an adelic coset space appearing in the Siegel–Weil formula. This is done in detail in section 4, below, cf. Theorem 4.1. Note that there is an exact sequence

$$1 \longrightarrow Z \longrightarrow H \longrightarrow \text{SO}(V) \longrightarrow 1$$

where  $H = \text{GSpin}(V)$ , as before. Let  $H_1 = \text{Spin}(V)$  be the kernel of the spinor norm  $v: H \rightarrow \mathbb{G}_m$ . Note that, for the decomposition (1.2), we have

$$H(\mathbb{Q})H(\mathbb{R})^+ h_j K = \{h \in H(\mathbb{A}) \mid v(h) \in \mathbb{Q}^\times \mathbb{R}_+^\times v(h_j)v(K)\},$$

so that the number of components of  $X_K$  is the index  $|\widehat{Z}^\times : v(K)|$ . For simplicity, we assume that the compact open subgroup  $K \subset H(\mathbb{A}_f)$  satisfies the condition:

$$Z_K := Z(\mathbb{A}_f) \cap K \simeq \hat{Z}^\times \tag{2.4}$$

under the natural identification  $Z(\mathbb{A}_f) \simeq \mathbb{A}_f^\times$ . A slight variant of the proof of Proposition 4.17 yields:

LEMMA 2.1. *Let  $\varphi_\infty$  be the Gaussian, as in (1.18) above. Then, for  $\varphi \in S(V(\mathbb{A}_f))^K$ ,*

(i)

$$\begin{aligned} I(g'; \varphi_\infty \otimes \varphi) &:= \int_{\mathcal{O}(V)(\mathbb{Q}) \backslash \mathcal{O}(V)(\mathbb{A})} \theta(g', h; \varphi_\infty \otimes \varphi) \, dh \\ &= \frac{1}{\text{vol}(X_K)} \int_{X_K} \theta(g', z; \varphi) \, d\mu(z). \end{aligned}$$

(ii) *If  $n > 2$ , and  $X = X_j$ , then*

$$\begin{aligned} I_1(g'; \varphi_\infty \otimes \varphi) &:= \int_{H_1(\mathbb{Q}) \backslash H_1(\mathbb{A})} \theta(g', h_1; \varphi_\infty \otimes \varphi) \, dh_1 \\ &= \frac{1}{\text{vol}(X)} \int_X \theta(g', z, h_j; \varphi) \, d\mu(z). \end{aligned}$$

Note that both sides are independent of the choice of  $d\mu(z)$ .

COROLLARY 2.2.  $I_X(\tau; \varphi) = v^{-\ell/2} I(g'_\tau; \varphi_\infty \otimes \varphi)$ .

COROLLARY 2.3. *Assume that  $F$  is valued in  $S(V(\mathbb{A}_f))^K$ . Then*

$$\begin{aligned} \kappa_X(\Psi(f)) &= \frac{1}{2} \int_{\Gamma \backslash \mathfrak{H}} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau; \varphi) v^{-2} \, du \, dv + k C_0 \\ &= \frac{1}{2} \int_{\Gamma \backslash \mathfrak{H}} \sum_{\varphi} f_{\varphi}(\tau) v^{-\ell/2} I(g'_\tau; \varphi_\infty \otimes \varphi) v^{-2} \, du \, dv + k C_0, \end{aligned}$$

with  $C_0 = \log(2\pi) + \Gamma'(1)$ . Here, if  $n \leq 2$ , then  $X = X_K$ .

*Remark 2.4.* By Weil’s criterion, [56], p.75, Proposition 8, the theta integral  $I(g'_\tau; \varphi_\infty \otimes \varphi)$  is absolutely convergent whenever  $n - r > 0$ , where  $r = 0, 1$ , or  $2$  is the Witt index of  $V(\mathbb{Q})$ , i.e., the dimension of a maximal isotropic subspace of  $V(\mathbb{Q})$ . Note that  $r = 0$  is only possible when  $n \leq 2$ . The only *exceptional cases* will thus be  $n = 1$  with  $V$  isotropic ( $r = 1$ ) and  $n = 2$  with  $V$  split ( $r = 2$ ). We will exclude these cases – although they can be handled by the regularization process used in [33].

We consider the regularized integral in the expression for  $\kappa_X(\Psi(f))$  in Corollary 2.3. Note that  $\kappa_X(\Psi(f))$  is independent of the choice of the lattice  $M$  and of  $K$ .

Recall that, for a  $\Gamma = \text{SL}_2(\mathbb{Z})$  invariant function  $\phi$  on  $\mathfrak{H}$ , the regularized integral

$$\int_{\Gamma \backslash \mathfrak{H}} \phi(\tau) \, d\mu(\tau), \tag{2.5}$$

used by Borchers, is defined by taking the constant term in the Laurent expansion at  $\sigma = 0$  of the function defined, for  $\text{Re}(\sigma)$  sufficiently large, by

$$\lim_{T \rightarrow \infty} \int_{\mathcal{F}_T} \phi(\tau) v^{-\sigma-2} du dv. \tag{2.6}$$

Here  $\mathcal{F}$  is the standard fundamental domain for the action of  $\Gamma$  on  $\mathfrak{H}$ , and  $\mathcal{F}_T$  is the intersection of this with the region  $\text{Im}(\tau) \leq T$ . This procedure can be applied provided that (i) the limit as  $T$  goes to infinity exists in a halfplane  $\text{Re}(\sigma) > \sigma_0$ , and (ii) the resulting holomorphic function of  $\sigma$  has a meromorphic analytic continuation to a neighborhood of the point  $\sigma = 0$ . In short,

$$\int_{\Gamma \backslash \mathfrak{H}} \phi(\tau) d\mu(\tau) := \text{CT}_{\sigma=0} \left\{ \lim_{T \rightarrow \infty} \int_{\mathcal{F}_T} \phi(\tau) v^{-\sigma} d\mu(\tau) \right\}, \tag{2.7}$$

where  $\text{CT}_{\sigma=0}$  denotes the constant term of the Laurent expansion at the point  $\sigma = 0$ . The following result will be proved in the next section.

PROPOSITION 2.5.

$$\begin{aligned} & \text{CT}_{\sigma=0} \left\{ \lim_{T \rightarrow \infty} \int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau; \varphi) v^{-\sigma-2} du dv \right\} \\ &= \lim_{T \rightarrow \infty} \left[ \int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau; \varphi) v^{-2} du dv - c_0(0) \log(T) \right]. \end{aligned}$$

Thus we need to evaluate the basic integral

$$\int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau; \varphi) v^{-2} du dv \tag{2.8}$$

where  $f_{\varphi}(\tau)$  is holomorphic on  $\mathcal{F}$  and where  $\sigma$  has been set equal to zero.

Following the suggestion of Section 9 of [2], we would like to define an automorphic function  $J(\tau; \varphi)$  on  $\mathfrak{H}$  for which

$$\frac{\partial}{\partial \bar{\tau}} \{J(\tau; \varphi)\} = I_X(\tau; \varphi) v^{-2}. \tag{2.9}$$

Then, by a simple Stokes' Theorem argument, we would have

$$\begin{aligned} & \int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau; \varphi) v^{-2} du \wedge dv \\ &= \frac{1}{2i} \int_{\mathcal{F}_T} d \left( \sum_{\varphi} f_{\varphi}(\tau) J(\tau; \varphi) d\tau \right) \\ &= \frac{1}{2i} \int_{\partial \mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) J(\tau; \varphi) d\tau \\ &= \frac{1}{2i} \int_{1/2+iT}^{-1/2+iT} \sum_{\varphi} f_{\varphi}(\tau) J(\tau; \varphi) du \\ &= -\frac{1}{2i} \text{ constant term of } \left( \sum_{\varphi} f_{\varphi}(\tau) J(\tau; \varphi) \right) \Big|_{v=T} \end{aligned} \tag{2.10}$$



In the next to last step, we have used the invariance of  $\sum_{\varphi} f_{\varphi}(\tau) J(\tau; \varphi) d\tau$  under  $\tau \mapsto \tau + 1$  and under  $\tau \mapsto -1/\tau$ .

To obtain a relation like (2.9), we apply the Maass operators and the Siegel–Weil formula. Let

$$X_{\pm} = \frac{1}{2} \begin{pmatrix} 1 & \pm i \\ \pm i & -1 \end{pmatrix} \in \mathfrak{sl}_2(\mathbb{C}). \tag{2.11}$$

Recall that, if  $\phi: G'_{\mathbb{R}} \rightarrow \mathbb{C}$  is a smooth function with  $\phi(g'k') = \chi_{\ell}(k')\phi(g')$ , i.e., of weight  $\ell$ , and if  $f(\tau) = v^{-\frac{\ell}{2}}\phi(g'_{\tau})$  is the corresponding function on  $\mathfrak{H}$ , then  $X_{\pm}\phi$  has weight  $\ell \pm 2$ , and the corresponding function on  $\mathfrak{H}$  is

$$v^{-\frac{1}{2}(\ell \pm 2)} X_{\pm} \phi(g'_{\tau}) = \begin{cases} \left( 2i \frac{\partial f}{\partial \tau} + \frac{\ell}{v} f \right) (\tau) & \text{for } +, \\ -2iv^2 \frac{\partial f}{\partial \bar{\tau}} (\tau) & \text{for } -. \end{cases} \tag{2.12}$$

We now take advantage of the Siegel–Weil formula; the facts we need are reviewed in the first part of section 4. For  $\varphi \in S(V(\mathbb{A}_f))$ , let  $E(g', s, \Phi_{\infty}^r \otimes \lambda(\varphi))$  be the Eisenstein series of weight  $r$  on  $G'_{\mathbb{A}}$  associated to  $\varphi$ . If  $\varphi_{\infty} \in S(V(\mathbb{R}))$  is the Gaussian, then

$$\lambda(\varphi_{\infty}) = \Phi_{\infty}^{\ell}(s_0), \tag{2.13}$$

where  $\ell = \frac{n}{2} - 1$ , as above. By the Siegel–Weil formula, Theorem 4.1, we have the following.

**PROPOSITION 2.6.** *Exclude the exceptional cases of Remark 2.4 above, so that the theta integral is absolutely convergent. Then*

$$I_X(\tau; \varphi) = v^{-\ell/2} I(g'_{\tau}; \varphi_{\infty} \otimes \varphi) = v^{-\ell/2} E(g'_{\tau}, s_0; \Phi_{\infty}^{\ell} \otimes \lambda(\varphi)),$$

where  $s_0 = n/2 = \ell + 1$ . Here, if  $n \leq 2$ ,  $X = X_K$ .

On the other hand, an easy computation in the induced representation  $I_{\mathbb{R}}(s, \chi)$  of  $G'_{\mathbb{R}}$  shows:

**PROPOSITION 2.7.** *Let  $\Phi'_{\infty}(s) \in I_{\mathbb{R}}(s, \chi)$  be the normalized eigenvector of weight  $r$  for the action of  $K'_{\mathbb{R}}$ . Then  $X_{\pm}\Phi'_{\infty}(s) = \frac{1}{2}(s + 1 \pm r)\Phi'^{\pm 2}_{\infty}(s)$ .*

Therefore, we have the basic relation

$$X_- E(g', s; \Phi_{\infty}^{\ell+2} \otimes \lambda(\varphi)) = \frac{1}{2}(s - \ell - 1) E(g', s; \Phi_{\infty}^{\ell} \otimes \lambda(\varphi)). \tag{2.14}$$

Pushing this down to  $\mathfrak{H}$ , we obtain

$$\begin{aligned} & -2iv^2 \frac{\partial}{\partial \bar{\tau}} \left\{ v^{-\frac{1}{2}(\ell+2)} E(g'_{\tau}, s; \Phi_{\infty}^{\ell+2} \otimes \lambda(\varphi)) \right\} \\ & = \frac{1}{2}(s - s_0) v^{-\frac{1}{2}\ell} E(g'_{\tau}, s; \Phi_{\infty}^{\ell} \otimes \lambda(\varphi)). \end{aligned} \tag{2.15}$$

For convenience, we now write

$$E(\tau, s; \varphi, \ell) = v^{-\ell/2} E(g'_{\tau}, s_0; \Phi_{\infty}^{\ell} \otimes \lambda(\varphi)), \tag{2.16}$$

so that (2.15) becomes

$$-2iv^2 \frac{\partial}{\partial \bar{\tau}} \{E(\tau, s; \varphi, \ell + 2)\} = \frac{1}{2}(s - s_0) E(\tau, s; \varphi, \ell). \tag{2.17}$$

Of course, the vanishing of the right hand side of (2.17) at  $s = s_0 = n/2$  just shows the holomorphy of the special value

$$E(\tau, s_0; \varphi, \ell + 2) = \varphi(0) + \text{vol}(X)^{-1} \sum_{m>0} \text{deg}(Z_X(m, \varphi)) \cdot q^m, \tag{2.18}$$

cf. Theorem 4.23. Here we have written  $\text{deg}(Z_X(m, \varphi))$  in place of  $\text{deg}_{\mathcal{L}^\vee}(Z_X(m, \varphi; K))$  and  $\text{vol}(X)$  in place of  $\text{vol}(X, \Omega^n)$  to lighten the notation.

*Remark 2.8.* The vanishing of the right side of (2.17) depends on the fact that  $E(\tau, s; \varphi, \ell)$  has no pole at  $s = s_0 = n/2$ . In the exceptional cases,  $n = 1, r = 1$  and  $n = 2, r = 2$  a pole can occur, and its residue accounts for a nonholomorphic component occurring in (2.14), cf. [16].

We write:

$$E(\tau, s; \varphi, \ell) v^{-2} = \frac{-4i}{s - s_0} \frac{\partial}{\partial \bar{\tau}} \{E(\tau, s; \varphi, \ell + 2)\}. \tag{2.19}$$

Now, to evaluate (2.8), we use the Siegel–Weil formula (Proposition 2.6) and write

$$\int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau; \varphi) v^{-2} du dv = \int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) E(\tau, s; \varphi, \ell) v^{-2} du \wedge dv \Big|_{s=s_0}.$$

Then, for general  $s$ , we can use the relation (2.19) and the Stoke’s Theorem argument (2.10) to obtain the following basic identity.

$$\begin{aligned} I(s, T) &:= \int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) E(\tau, s; \varphi, \ell) v^{-2} du \wedge dv \\ &= \frac{1}{2i} \int_{\mathcal{F}_T} d \left( \sum_{\varphi} f_{\varphi}(\tau) \frac{-4i}{s - s_0} E(\tau, s; \varphi, \ell + 2) d\tau \right) \\ &= \frac{-2}{s - s_0} \int_{\partial \mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) E(\tau, s; \varphi, \ell + 2) d\tau \\ &= \frac{-2}{s - s_0} \int_{1/2+iT}^{-1/2+iT} \sum_{\varphi} f_{\varphi}(\tau) E(\tau, s; \varphi, \ell + 2) du \\ &= \frac{2}{s - s_0} \cdot \text{const. term of} \left( \sum_{\varphi} f_{\varphi}(\tau) E(\tau, s; \varphi, \ell + 2) \right) \Big|_{v=T}. \end{aligned} \tag{2.20}$$

By Corollary 2.3, and Proposition 2.5,

$$\begin{aligned} &\kappa_X(\Psi(f)) \\ &= \frac{1}{2} \lim_{T \rightarrow \infty} \left[ \int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) I(\tau; \varphi) v^{-2} du dv - c_0(0) \log(T) \right] + k C_0 \\ &= \frac{1}{2} \lim_{T \rightarrow \infty} [ I(s_0, T) - c_0(0) \log(T) ] + k C_0, \end{aligned} \tag{2.21}$$

It will be convenient to introduce the following additional notation. Write

$$E(\tau, s; \varphi, \ell + 2) = \sum_m A_\varphi(s, m, v) q^m, \tag{2.22}$$

where the Fourier coefficients have Laurent expansions

$$A_\varphi(s, m, v) = a_\varphi(m) + b_\varphi(m, v)(s - s_0) + O((s - s_0)^2), \tag{2.23}$$

where the  $a_\varphi(m)$ 's are given by (2.16). With this notation,

$$\begin{aligned} I(s, T) &= \frac{2}{s - s_0} \text{constant term of} \left( \sum_\varphi f_\varphi(\tau) E(\tau, s; \varphi, \ell + 2) \right) \Big|_{v=T} \\ &= \frac{2}{s - s_0} \sum_\varphi \sum_m c_\varphi(-m) A_\varphi(s, m, T). \end{aligned} \tag{2.24}$$

We consider the individual terms. For  $m = 0$ , we have

$$\frac{2}{s - s_0} \sum_\varphi c_\varphi(0) (\varphi(0) + b_\varphi(0, T)(s - s_0)) + O(s - s_0). \tag{2.25}$$

so that the contribution of such terms to the constant coefficient in the Laurent expansion at  $s = s_0$  is

$$2 \sum_\varphi c_\varphi(0) b_\varphi(0, T). \tag{2.26}$$

We will return to the polar part occurring in (2.25) in a moment. Similarly, from the  $m < 0$  terms, we have the contribution

$$2 \sum_\varphi \sum_{m < 0} c_\varphi(-m) b_\varphi(m, T). \tag{2.27}$$

Finally, for the finite sum of terms with  $m > 0$ , we have, initially:

$$\begin{aligned} &\frac{1}{(s - s_0) \text{vol}(X)} \sum_\varphi \sum_{m > 0} c_\varphi(-m) \text{deg}(Z(m, \varphi)) + \\ &+ 2 \sum_\varphi \sum_{m > 0} c_\varphi(-m) b_\varphi(m, T) + O(s - s_0). \end{aligned} \tag{2.28}$$

Since our whole integral  $I(s, T)$  does not have a pole at  $s = s_0$ , the polar part here must cancel the one which occurred earlier, i.e., we must have

$$2 \sum_\varphi c_\varphi(0) \varphi(0) + \frac{2}{\text{vol}(X)} \sum_\varphi \sum_{m > 0} c_\varphi(-m) \text{deg}(Z_X(m, \varphi)) = 0. \tag{2.29}$$

Since

$$\text{div}_X(\Psi(f)^2) = \sum_{m > 0} c_\varphi(-m) Z_X(m, \varphi), \tag{2.30}$$

this amounts to

$$\deg(\operatorname{div}_X(\Psi(f)^2)) = \sum_{m>0} c_\varphi(-m) \deg(Z_X(m, \varphi)) = -\operatorname{vol}(X) c_0(0). \tag{2.31}$$

Recall that we are using the coset basis for  $S_M$ , so that  $\varphi_0(0) = 1$  and  $\varphi(0) = 0$  for  $\varphi \neq \varphi_0$ . Also note that, since  $\Omega$  is the negative of a Kähler form,  $\operatorname{vol}(X)$  and  $\deg(Z_X(m, \varphi))$  will have opposite signs (for a coset function  $\varphi$ ), cf. (4.49).

**EXAMPLE 2.9.** Suppose that  $n = 1$  and  $r = 0$ , i.e.,  $V$  is anisotropic over  $\mathbb{Q}$  of dimension 3 and  $X_K$  is a disjoint union of projective curves. Suppose that the image of  $K$  in  $\operatorname{SO}(V)(\mathbb{A}_f)$  is neat, so that all of the  $\Gamma_j$ 's act without fixed points on  $D^+ \simeq \mathfrak{S}$ . Then, since  $\Omega = -(1/2\pi)y^{-2} dx \wedge dy$ ,  $\operatorname{vol}(X_K) = 2 - 2g$ , where  $g$  is the genus of  $X_K$ , and hence we have

$$\deg(\operatorname{div}(\Psi(f)^2)) = 2(g - 1) c_0(0), \tag{2.32}$$

as expected. Here one must keep in mind the fact that  $\Psi(f)^2$  has ‘classical weight’  $2 c_0(0)$ .

Collecting the contributions of (2.26), (2.27), and (2.28), we obtain

**PROPOSITION 2.10.**

$$I(s_0, T) = \int_{\mathcal{F}_T} \sum_{\varphi} f_\varphi(\tau) I_X(\tau, \varphi) v^{-2} du dv = 2 \sum_{\varphi} \sum_m c_\varphi(-m) b_\varphi(m, T).$$

The following result will be proved in the next section.

**PROPOSITION 2.11.**

- (i) For  $m < 0$ ,  $b_\varphi(m, T)$  decays exponentially as  $T \rightarrow \infty$ .
- (ii)  $\lim_{T \rightarrow \infty} (2 \sum_{\varphi} \sum_{m<0} c_\varphi(-m) b_\varphi(m, T)) = 0$ .
- (iii) For  $m = 0$ ,

$$\lim_{T \rightarrow \infty} \left( b_0(0, T) - \frac{1}{2} \log(T) \right) = 0,$$

and, for  $\varphi \neq \varphi_0$ ,  $\lim_{T \rightarrow \infty} b_\varphi(0, T) = 0$ .

Thus, we obtain an explicit expression for the quantity  $\kappa(\Psi(f))$ . The following result summarizes the relations between the geometry of the Borcherds form  $\Psi(f)$  and the family of Eisenstein series  $E(\tau, s, ; \varphi, \ell + 2)$ . Recall that we have excluded the cases where  $\dim V = 3$ , of Witt index 1 or  $\dim V = 4$ , of Witt index 2.

**THEOREM 2.12.** For  $\varphi \in S(V(\mathbb{A}_f))$ , let

$$E(\tau, s; \varphi, \ell + 2) = \sum_m A_\varphi(s, m, v) q^m,$$

with

$$A_\varphi(s, m, v) = a_\varphi(m) + b_\varphi(m, v)(s - s_0) + O((s - s_0)^2)$$

be the Laurent expansion at the point  $s_0 = n/2 = \ell + 1$  of the associated Eisenstein series of weight  $n/2 + 1 = \ell + 2$ . Let  $K$  be a compact open subgroup  $K \subset H(\mathbb{A}_f)$  satisfying the condition (2.4), and let  $X_K = \coprod_j X_j$ , as in (1.3).

If  $n \leq 2$ , take  $X = X_K$ . If  $n > 2$ , then take  $X = X_K$  or  $X_j$ .

(i) Suppose that  $\varphi \in S(V(\mathbb{A}_f))^K$ . Then,

$$\begin{aligned} E(\tau, s_0; \varphi, \ell + 2) &= \varphi(0) + \text{vol}(X)^{-1} \sum_{m>0} \text{deg}_{\mathcal{L}^\vee}(Z_X(m, \varphi; K)) q^m. \end{aligned}$$

(ii) For any  $\varphi \in S(V(\mathbb{A}_f))$ , let

$$\kappa_\varphi(m) := \begin{cases} \lim_{T \rightarrow \infty} b_\varphi(m, T), & \text{if } m > 0, \text{ and} \\ \frac{1}{2} C_0 \varphi(0), & \text{if } m = 0, \end{cases}$$

where  $C_0 = \log(2\pi) + \Gamma'(1)$ . Suppose that  $f: \mathfrak{S} \rightarrow S(V(\mathbb{A}_f))^K$  is a modular form of weight  $1 - (n/2) = -\ell$  for  $\text{SL}_2(\mathbb{Z})$ , with Fourier expansion  $f(\tau) = \sum_\varphi \sum_m c_\varphi(m) q^m \varphi$  where  $\varphi$  runs over the coset basis with respect to some lattice  $M$  and where  $c_\varphi(m) \in \mathbb{Z}$  for  $m \leq 0$ . Let  $\Psi(f)$  be the associated Borchers form of weight  $c_0(0)/2$ . Then

$$\text{div}(\Psi(f)^2) = \sum_\varphi \sum_{m>0} c_\varphi(-m) Z(m, \varphi; K),$$

and

$$-\text{vol}(X) c_0(0) = \sum_\varphi \sum_{m>0} c_\varphi(-m) \text{deg}_{\mathcal{L}^\vee}(Z_X(m, \varphi; K)).$$

Moreover

$$\kappa_X(\Psi(f)) := -\frac{1}{\text{vol}(X)} \int_X \log \|\Psi(z; f)\|^2 d\mu(z) = \sum_\varphi \sum_{m \geq 0} c_\varphi(-m) \kappa_\varphi(m).$$

Here

$$\text{vol}(X) = \text{vol}(X, \Omega^n) \quad \text{and} \quad \text{deg}_{\mathcal{L}^\vee}(Z_X(m, \varphi; K)) = \int_{Z_X(m, \varphi; K)} \Omega^{n-1}$$

are computed with respect to the invariant  $(1, 1)$ -form  $\Omega = \text{dd}^c \log(\rho)$ , where  $\rho = \rho(z) = -\frac{1}{2}(w(z), w(\bar{z}))$ , cf. Proposition 4.10.

*Remark 2.13.* The quantity  $\kappa_X(\Psi(f))$  is completely determined by the collection of integers  $\{c_\varphi(-m)\}$  for  $m \geq 0$ . The universal quantities  $\kappa_\varphi(m)$  are independent of  $\Psi(f)$ . They can be computed explicitly, cf. Section 5 for an example and [36] for a more systematic discussion.

### 3. Convergence Estimates

In this section we prove the crucial fact that the integration over  $X$  can be interchanged with the Borcherds' regularization. In the process, we will prove Proposition 1.4 as well.

**THEOREM 3.1.** *Suppose that the integral of the theta function converges, i.e., suppose that  $V$  is not a ternary isotropic space of signature  $(1, 2)$  or a quaternary space of signature  $(2, 2)$  and  $\mathbb{Q}$ -rank 2, the exceptional cases of Remark 2.4 above. Then*

$$\begin{aligned} & \int_X \int_{\Gamma \backslash \mathfrak{H}} ((F(\tau), \mathfrak{g}(\tau, z))) \, d\mu(\tau) \, d\mu(z) \\ &= \int_{\Gamma \backslash \mathfrak{H}} ((F(\tau), \int_X \mathfrak{g}(\tau, z) \, d\mu(z))) \, d\mu(\tau), \end{aligned}$$

where  $\int^\bullet$  denotes the regularized integral, and both 'double integrals' are finite.

Writing  $\mathcal{F}_T = \mathcal{F}_1 \cup \mathcal{B}_T$ , where  $\mathcal{B}_T = \mathcal{F}_T - \mathcal{F}_1$ , we consider the first expression:

$$\begin{aligned} & \int_X \int_{\Gamma \backslash \mathfrak{H}} ((F(\tau), \mathfrak{g}(\tau, z))) \, d\mu(\tau) \, d\mu(z) \\ &= \int_X \text{CT} \left\{ \lim_{\sigma \rightarrow \infty} \int_{\mathcal{F}_T} ((F(\tau), \mathfrak{g}(\tau, z))) v^{-\sigma} \, d\mu(\tau) \right\} \, d\mu(z) \\ &= \int_X \text{CT} \left\{ \lim_{\sigma \rightarrow \infty} \int_{\mathcal{B}_T} ((F(\tau), \mathfrak{g}(\tau, z))) v^{-\sigma} \, d\mu(\tau) \right\} \, d\mu(z) + \\ & \quad + \int_X \int_{\mathcal{F}_1} ((F(\tau), \mathfrak{g}(\tau, z))) \, d\mu(\tau) \, d\mu(z) \\ &= \int_X \text{CT} \left\{ \lim_{\sigma \rightarrow \infty} \int_1^T C(v, z) v^{-\sigma-1} \, dv \right\} \, d\mu(z) + \\ & \quad + \int_{\mathcal{F}_1} \int_X ((F(\tau), \mathfrak{g}(\tau, z))) \, d\mu(z) \, d\mu(\tau), \end{aligned} \tag{3.1}$$

where

$$\begin{aligned} C(v, z) &= C(v, z, h) \\ &:= v^{-1} \int_{-\frac{1}{2}}^{\frac{1}{2}} ((F(\tau), \mathfrak{g}(\tau, z, h))) \, du \\ &= \sum_{\varphi} \sum_{m \in \mathbb{Q}} c_{\varphi}(-m) \sum_{\substack{x \\ Q(x)=m}} \varphi(h^{-1}x) e^{-2\pi v R(x, z)} \end{aligned} \tag{3.2}$$

is the constant term of  $v^{-1}((F(\tau), \mathfrak{g}(\tau, z)))$ . Here, in the term arising from integration over  $\mathcal{F}_1$ , we have used the integrability of  $\mathfrak{g}(\tau, z)$  over  $X$ . It now suffices to show that the term

$$A := \int_X \text{CT} \left\{ \lim_{\sigma \rightarrow \infty} \int_1^T C(v, z) v^{-\sigma-1} \, dv \right\} \, d\mu(z) \tag{3.3}$$

in the last expression can be rewritten as

$$B := \text{CT} \left\{ \lim_{\sigma=0} \int_X \int_1^T C(v, z) v^{-\sigma-1} dv d\mu(z) \right\}. \tag{3.4}$$

To see this, observe that the integral in  $B$  is then equal to

$$\begin{aligned} & \int_X \int_1^T C(v, z) v^{-\sigma-1} dv d\mu(z) \\ &= \int_X \int_{\mathcal{B}_T} ((F(\tau), \mathfrak{g}(\tau, z))) v^{-\sigma} d\mu(\tau) d\mu(z) \\ &= \int_{\mathcal{B}_T} \int_X ((F(\tau), \mathfrak{g}(\tau, z))) d\mu(z) v^{-\sigma} d\mu(\tau), \end{aligned} \tag{3.5}$$

again using the integrability of  $\mathfrak{g}(\tau, z)$ . Substituting the resulting expression for  $B$  in place of  $A$  in the last expression of (3.1), we obtain

$$\begin{aligned} & \text{CT} \left\{ \lim_{\sigma=0} \int_{\mathcal{B}_T} \int_X ((F(\tau), \mathfrak{g}(\tau, z))) d\mu(z) v^{-\sigma} d\mu(\tau) \right\} + \\ & \quad + \int_{\mathcal{F}_1} \int_X ((F(\tau), \mathfrak{g}(\tau, z))) d\mu(z) d\mu(\tau) \\ &= \text{CT} \left\{ \lim_{\sigma=0} \int_{\mathcal{F}_T} \int_X ((F(\tau), \mathfrak{g}(\tau, z))) d\mu(z) v^{-\sigma} d\mu(\tau) \right\} \\ &= \int_{\Gamma \backslash \mathfrak{H}} \int_X ((F(\tau), \mathfrak{g}(\tau, z))) d\mu(z) \end{aligned} \tag{3.6}$$

as required.

To show the equality of  $A$  and  $B$ , we break the function  $C(v, z)$  into pieces.

$$\begin{aligned} C_+(v, z) &:= \sum_{\varphi} \sum_{m>0} c_{\varphi}(-m) \sum_{\substack{x \\ Q(x)=m}} \varphi(x) e^{-2\pi v R(x,z)}, \\ C_0(v, z) &:= \sum_{\varphi} c_{\varphi}(0) \sum_{\substack{x \\ Q(x)=0, x \neq 0}} \varphi(x) e^{-2\pi v R(x,z)}, \\ C_{00}(v, z) &:= \sum_{\varphi} c_{\varphi}(0) \varphi(0) = c_0(0) \quad (\text{for the coset basis}), \\ C_-(v, z) &:= \sum_{\varphi} \sum_{m<0} c_{\varphi}(-m) \sum_{\substack{x \\ Q(x)=m}} \varphi(x) e^{-2\pi v R(x,z)}. \end{aligned} \tag{3.7}$$

We will write  $A_+, A_0, A_{00}$ , and  $A_-$  (resp.  $B_+$ , etc.) for the corresponding contributions to  $A$  (resp.  $B$ ).

For the  $C_{00}$  term, we have

$$\int_1^T v^{-\sigma-1} dv = \frac{1}{\sigma} (1 - T^{-\sigma}), \tag{3.8}$$

so that

$$\text{CT} \left\{ \lim_{\sigma=0} \int_1^T C_{00}(v, z) \, dv \right\} = 0. \tag{3.9}$$

This gives  $A_{00} = B_{00} = 0$ .

Next consider the quantities  $A_+$  and  $B_+$  arising from  $C_+(v, z)$ . Note that the sum on  $m > 0$  in  $C_+(v, z)$  is finite, since there are only finitely many nonvanishing negative Fourier coefficients  $c_\varphi(-m)$ . For a given coset representative  $h = h_j$ , we write  $\Gamma = \Gamma_j = H(\mathbb{Q}) \cap hKh^{-1}$ , so that  $\Gamma \backslash D^+$  is the associated component of  $X_K$ . For a fixed  $m > 0$  and  $\varphi$  and on the chosen component of  $X_K$ , the sum in  $C_+(v, z)$  involves

$$\{x \in V(\mathbb{Q}) \mid Q(x) = m, \varphi(h^{-1}x) \neq 0\}. \tag{3.10}$$

This set consists of a finite number of  $\Gamma$  orbits. The contribution to  $A$  of a single such orbit is  $c_\varphi(-m) \varphi(h^{-1}x)$  times the quantity

$$\int_{\Gamma \backslash D^+} \text{CT} \left\{ \lim_{\sigma=0} \int_1^T \sum_{\gamma \in \Gamma_x \backslash \Gamma} e^{-2\pi v R(x, \gamma z)} v^{-\sigma-1} \, dv \right\} \, d\mu(z). \tag{3.11}$$

To prove the finiteness of this expression, it will suffice to prove the finiteness of

$$\int_{\Gamma \backslash D^+} \lim_{T \rightarrow \infty} \int_1^T \sum_{\gamma \in \Gamma_x \backslash \Gamma} e^{-2\pi v R(x, \gamma z)} v^{-\sigma-1} \, dv \, d\mu(z), \tag{3.12}$$

for  $\sigma = \sigma_0$  for some real  $\sigma_0 < 0$ . Indeed, such finiteness implies that (3.12) defines a holomorphic function of  $\sigma$  in the half plane  $\text{Re}(\sigma) > \sigma_0$ . If  $z$  lies in the set

$$D - \bigcup_{\gamma \in \Gamma_x \backslash \Gamma} \gamma^{-1} D_x, \tag{3.13}$$

then none of the  $R(x, \gamma z)$ 's vanish and the limit on  $T$  inside the integral is finite. Note that the excluded set of  $z$ 's has measure zero. The following result will be proved at the end of this section.

**PROPOSITION 3.2.** *Let  $\beta_{\sigma+1}(t) = \int_1^\infty e^{-tv} v^{-\sigma-1} \, dv$ . Then, if  $Q(x) > 0$ , the integral*

$$\begin{aligned} & \int_{\Gamma \backslash D^+} \lim_{T \rightarrow \infty} \int_1^T \sum_{\gamma \in \Gamma_x \backslash \Gamma} e^{-2\pi v R(x, \gamma z)} v^{-\sigma-1} \, dv \, d\mu(z) \\ &= \int_{\Gamma \backslash D^+} \sum_{\gamma \in \Gamma_x \backslash \Gamma} \beta_{\sigma+1}(2\pi R(x, \gamma z)) \, d\mu(z) \\ &= \int_{\Gamma_x \backslash D^+} \beta_{\sigma+1}(2\pi R(x, z)) \, d\mu(z) \end{aligned}$$

*is holomorphic in the halfplane  $\text{Re}(\sigma) > -1$ .*



Recall that  $\beta_1(t) = O(-\log(t))$  as  $t \rightarrow 0$  and  $\beta_1(t) = O(e^{-t})$  as  $t \rightarrow \infty$ . Thus, when  $\sigma = 0$ , the integrand  $\beta_1(2\pi R(x, z))$  has a logarithmic singularity on the ‘waist’  $\Gamma_x \backslash D_x^+$  of the tube  $\Gamma_x \backslash D^+$ . Also note that this ‘waist’ can be noncompact.

**COROLLARY 3.3.**  $A_+ = B_+$ .

Next we consider the terms  $A_0$  and  $B_0$  associated to the nonzero null vectors. Again, for a given  $h$  and  $\varphi$ , the associated terms in  $C_0(v, z)$  will be

$$c_\varphi(0) \sum_{\substack{x \neq 0 \\ Q(x)=0}} \varphi(h^{-1}x) e^{-2\pi v R(x,z)}. \tag{3.14}$$

There are a finite number of  $\Gamma$  orbits in the space of null lines in  $V(\mathbb{Q})$ . For a given null line  $\ell \subset V$ , we have the contribution to  $A_0$ :

$$c_\varphi(0) \int_{\Gamma \backslash D^+} \text{CT}_{\sigma=0} \left\{ \lim_{T \rightarrow \infty} \int_1^T \sum_{\gamma \in \Gamma_\ell \backslash \Gamma} \sum_{x \in \ell(\mathbb{Q}), x \neq 0} \varphi(h^{-1}x) \times \right. \\ \left. \times e^{-2\pi v R(x,\gamma z)} v^{-\sigma-1} dv \right\} d\mu(z). \tag{3.15}$$

Again, the following result, to be proved below, will suffice.

**PROPOSITION 3.4.** *Suppose that  $n > 1$ . Then the integral*

$$\int_{\Gamma_\ell \backslash D^+} \sum_{x \in \ell(\mathbb{Q}), x \neq 0} \varphi(h^{-1}x) \beta_{\sigma+1}(2\pi v R(x, z)) d\mu(z)$$

*is holomorphic in the halfplane  $\text{Re}(\sigma) > -(n/2)$ .*

**COROLLARY 3.5.**  $A_0 = B_0$ .

Finally, we turn to the terms where  $m < 0$ . Note that the sum on  $m$  in  $C_-(v, z)$  is now infinite so that we will need information about the growth of the Fourier coefficients  $c_\varphi(-m)$ . In fact, these can grow very fast!

As before, we fix  $\varphi$  and  $h$ , and, taking the limit with respect to  $T$ , we consider

$$\int_{\Gamma \backslash D^+} \sum_{m < 0} c_\varphi(-m) \sum_{\substack{x \\ Q(x)=m}} \int_1^\infty \varphi(h^{-1}x) e^{-2\pi v R(x,z)} v^{-\sigma-1} dv d\mu(z). \tag{3.16}$$

Here we can push the integral over  $\Gamma \backslash D^+$  inside the sum on  $m$ , and again use the fact that, for each  $m$ , there are only a finite number of  $\Gamma$  orbits in the set

$$\{x \in V(\mathbb{Q}) \mid Q(x) = m, \varphi(h^{-1}x) \neq 0\}. \tag{3.17}$$

Thus, it will suffice to show:

**PROPOSITION 3.6.** *The sum*

$$\sum_{m < 0} c_\varphi(-m) \sum_{\substack{x \\ Q(x)=m \\ \text{mod } \Gamma}} \varphi(h^{-1}x) \int_{\Gamma_x \backslash D^+} \int_1^\infty e^{-2\pi v R(x,z)} v^{-\sigma-1} dv d\mu(z)$$

defines an entire function of  $\sigma$ .

**COROLLARY 3.7.**  $A_- = B_-$ .

*Proof of Proposition 3.2.* To show the finiteness of the integral

$$\int_{\Gamma_x \backslash D^+} \beta_{\sigma+1}(2\pi R(x, z)) d\mu(z) \tag{3.18}$$

in the case  $Q(x) > 0$ , we introduce coordinates. We choose a basis for  $V(\mathbb{R})$  so that the inner product has matrix  $I_{n,2}$  and so that  $x = 2\alpha v_1$  is a nonzero multiple of the first basis vector. Then  $\text{SO}(V)(\mathbb{R})^+ \simeq \text{SO}^+(n, 2) = G$  and the subgroup stabilizing  $x$  is isomorphic to  $\text{SO}^+(n - 1, 2) = G_x$ . Let  $z_0 \in D^+$  be the oriented negative 2-plane spanned by  $v_{n+1}$  and  $v_{n+2}$  and let  $K = \text{SO}(n) \times \text{SO}(2)$  be its stabilizer in  $\text{SO}^+(n, 2)$ . The plane spanned by  $v_1$  and  $v_{n+1}$ , the first negative basis vector, has signature  $(1, 1)$ . The identity component of the special orthogonal group of this plane is a 1-parameter subgroup

$$A = \{a_t \mid t \in \mathbb{R}\}, \tag{3.19}$$

where  $a_t v_1 = \cosh(t)v_1 + \sinh(t)v_{n+1}$ . Let  $A_+$  be the subset of  $a_t$ 's with  $t \geq 0$ . Then, from the general theory of semisimple symmetric spaces – a convenient reference is [13] – one has a double coset decomposition

$$G = G_x A_+ K \tag{3.20}$$

and the integral formula

$$\int_G \phi(g) dg = \int_{G_x} \int_{A_+} \int_K \phi(g_x a_t k) |\sinh(t)| \cosh(t)^{n-1} dg_x dt dk. \tag{3.21}$$

For  $z = g_x a_t \cdot z_0 \in D^+$ , we have

$$R(x, z) = 2m \sinh^2(t), \tag{3.22}$$

since  $Q(x) = 2\alpha^2 = m$ . Then, our integral becomes (up to a positive constant depending on normalization of invariant measures)

$$\begin{aligned} & \int_{\Gamma_x \backslash D^+} \beta_{\sigma+1}(2\pi R(x, z)) d\mu(z) \\ &= C \text{vol}(\Gamma_x \backslash G_x) \text{vol}(K) \int_0^\infty \beta_{\sigma+1}(4\pi m \sinh^2(t)) \sinh(t) \cosh(t)^{n-1} dt. \end{aligned} \tag{3.23}$$

**LEMMA 3.8.**

- (i) The function  $\beta_{\sigma+1}(t) = \int_1^\infty e^{-tu} u^{-\sigma-1} du$  is  $O(e^{-t})$  as  $t \rightarrow \infty$ .
- (ii) If  $\sigma < 0$ , then  $\beta_{\sigma+1}(t) = O(t^\sigma)$  as  $t \rightarrow 0$ .
- (iii) If  $\sigma = 0$ , then

$$\beta_1(t) = -\text{Ei}(-t) = -\log(t) + \gamma + \int_0^t \frac{e^u - 1}{u} \, du$$

is the exponential integral and this function has a logarithmic singularity,  $-\log(t)$ , as  $t \rightarrow 0$ .

(iv) If  $\sigma > 0$ , then  $\beta_{\sigma+1}(t) = O(1)$  as  $t \rightarrow 0$ .

The integral (3.23) is finite for  $\sigma > -1$ , since, near the lower endpoint it looks like

$$\int_0^t \sinh(t)^{2\sigma} \sinh(t) \cosh(t)^{n-1} \, dt = \int_0^t u^{2\sigma+1} (u^2 + 1)^{\frac{1}{2}(n-2)} \, du. \tag{3.24}$$

Note that the signature of  $x^\perp$  is  $(n - 1, 2)$ , so that the volume  $\text{vol}(\Gamma_x \backslash G_x)$  is also always finite. This proves Proposition 3.2.  $\square$

*Proof of Proposition 3.4.* Finally, we consider the integral

$$\int_{\Gamma_\ell \backslash D^+} \sum_{x \in \ell(\mathbb{Q}), x \neq 0} \varphi(h^{-1}x) \beta_{\sigma+1}(2\pi v R(x, z)) \, d\mu(z). \tag{3.25}$$

In this case, we choose a basis for  $V$  such that the matrix for the inner product is

$$\begin{pmatrix} & & & 1 \\ & & & \\ & I_{n-1,1} & & \\ 1 & & & \end{pmatrix} \tag{3.26}$$

and such that  $\ell$  is spanned by the first basis vector. Moreover, we assume that

$$\{x \in \ell(\mathbb{Q}) \mid \varphi(h^{-1}x) \neq 0\} \subset 2\mathbb{Z}v_1. \tag{3.27}$$

The parabolic subgroup  $P_\ell$  stabilizing the line  $\ell$  then has Levi decomposition  $P_1 = U_1 M A$  with  $A \simeq \text{GL}(\ell)$ ,  $M \simeq \text{SO}^+(n - 1, 1)$ , and unipotent radical  $U_1$ . We take  $z_0$  to be the oriented negative 2-plane spanned by  $\frac{1}{2}(v_1 - v_{n+2})$  and  $v_{n+1}$  and let  $K$  be its stabilizer. Then

$$G = \text{SO}^+(V)(\mathbb{R}) = U M A K \tag{3.28}$$

and we have the integral formula

$$\int_G \phi(g) \, dg = \int_U \int_M \int_A \int_K \phi(uma_r k) r^{-n-1} \, du \, dm \, dr \, dk, \tag{3.29}$$

where  $a_r v_1 = r v_1$ . For  $z = u m a_r \cdot z_0$ , and  $x = 2\alpha v_1$ ,

$$R(x, z) = R(a_r^{-1} x, z_0) = 2\alpha^2 r^{-2}, \tag{3.30}$$

since

$$a_r^{-1} x = 2\alpha r^{-1} v_1 = 2\alpha r^{-1} \left( \frac{1}{2}(v_1 + v_{n+2}) + \frac{1}{2}(v_1 - v_{n+2}) \right) \tag{3.31}$$

has  $\alpha r^{-1}(v_1 - v_{n+2})$  as its  $z_0$  component. The integral (3.25) is then majorized by a constant times

$$\begin{aligned} & \int_0^\infty \sum_{\alpha \in \mathbb{Z}, \alpha \neq 0} \beta_{\sigma+1}(4\pi\alpha^2 r^{-2}) r^{-n-1} dr \\ &= 2(4\pi)^{-n} \zeta(n) \int_0^\infty \beta_{\sigma+1}(r^2) r^{n-1} dr. \end{aligned} \tag{3.32}$$

The integral here is finite provided  $2\sigma + n \geq 0$ , so we obtain the required convergence provided  $n \geq 2$  and  $\text{Re}(\sigma) > -(n/2)$ , i.e., in all isotropic cases except  $n = 1$  (which was an exceptional case).  $\square$

*Proof of Proposition 3.6.* For  $x$  with  $Q(x) = m < 0$ , we write  $x = \text{pr}_z(x) + x'$  so that

$$R(x, z) = (x', x') - 2m \geq 2|m|. \tag{3.33}$$

We let  $R'(x, z) = (x', x')$ , and note that  $R'(x, z) = 0$  if and only if  $x \in z$ . Then we have the easy estimate:

$$\begin{aligned} \int_1^\infty e^{-2\pi v R(x,z)} v^{-\sigma-1} dv &\leq e^{-2\pi R'(x,z)} \int_1^\infty e^{-4\pi|m|v} v^{-\sigma-1} dv \\ &\leq e^{-2\pi R'(x,z)} \int_1^\infty e^{-\epsilon v} e^{(\epsilon-4\pi|m|)v} v^{-\sigma-1} dv \\ &\leq e^{-2\pi R'(x,z)} e^{\epsilon-4\pi|m|} \int_1^\infty e^{-\epsilon v} v^{-\sigma-1} dv \\ &\leq C(\epsilon, \sigma) e^{-2\pi R'(x,z)} e^{-4\pi|m|}, \end{aligned} \tag{3.34}$$

for any  $\epsilon$  with  $0 < \epsilon < 4\pi|m|$ , where the constant  $C(\epsilon, \sigma)$  is uniform in any  $\sigma$ -halfplane and independent of  $m$ . Note that there is a positive lower bound for the quantity  $|m|$  where  $m < 0$  has  $c_\varphi(-m) \neq 0$ . This leads to the expression

$$\sum_{m < 0} |c_\varphi(-m)| e^{-4\pi|m|} \sum_{\substack{x \\ Q(x)=m \\ \text{mod } \Gamma}} \varphi(h^{-1}x) \int_{\Gamma_x \backslash D^+} e^{-2\pi R'(x,z)} d\mu(z). \tag{3.35}$$

Recall that the modular form  $f_\varphi$  with Fourier coefficients  $c_\varphi(-m)$  has weight  $1 - (n/2)$ , with some real multiplier for a congruence subgroup of  $\text{SL}_2(\mathbb{Z})$ , and is holomorphic in the upper halfplane with possible poles at the cusps. Then it is known that

$$c_\varphi(-m) = O(|m|^{-\frac{n+1}{4}} e^{C\sqrt{|m|}}), \tag{3.36}$$

i.e., these coefficients grow at most subexponentially. The (explicit) constant  $C$  depends only on the order of the pole of  $f_\varphi$  and on the multiplier. If  $n > 2$ , so that  $f_\varphi$  has negative weight, this fact follows from the classical work of

Rademacher [46], Rademacher and Zuckermann [47], Zuckermann [58], and Petersson [45], cf. also Hejhal [22]. The cases  $n = 1$  and  $2$  are covered by Hejhal [22] and Niebur [42].

Finally, it remains to estimate the quantity

$$\sum_{\substack{x \\ Q(x)=m \\ \text{mod } \Gamma}} \varphi(h^{-1}x) \int_{\Gamma_x \backslash D^+} e^{-2\pi R'(x,z)} d\mu(z). \tag{3.37}$$

To estimate the integral here, we choose basis for  $V$  so that the inner product has matrix  $-I_{2,n}$  and such that  $x = 2\alpha v_1$ . Let  $z_0$  be the span of  $v_1$  and  $v_2$ , and let  $A = \{a_t\}$  be the 1-parameter subgroup which is the identity component of the special orthogonal group of the plane spanned by  $v_1$  and  $v_3$ . In this case  $a_t v_1 = \cosh(t)v_1 + \sinh(t)v_3$ . Again we have the decomposition (3.28) and an integral formula analogous to (3.29), but with the  $\cosh$  and  $\sinh$  switched in the modulus factor. For  $z = g_x a_t \cdot z_0$ , we also have

$$R(x, z) = 2|m| \cosh^2(t). \tag{3.38}$$

and

$$R'(x, z) = 2|m| \cosh^2(t) - 2|m| = 2|m| \sinh^2(t). \tag{3.39}$$

Then we have

$$\begin{aligned} & \int_{\Gamma_x \backslash D^+} e^{-2\pi R'(x,z)} d\mu(z) \\ &= C' \text{vol}(\Gamma_x \backslash G_x) \text{vol}(K) \int_0^\infty e^{-4\pi|m| \sinh^2(t)} \sinh(t)^{n-1} \cosh(t) dt \\ &= C' \text{vol}(\Gamma_x \backslash G_x) \text{vol}(K) \frac{1}{2} (4\pi|m|)^{-\frac{n}{2}} \Gamma\left(\frac{n}{2}\right). \end{aligned} \tag{3.40}$$

Using this in (3.37) and using (3.36) an upper bound for (3.35) is

$$\sum_{m < 0} |m|^{-\frac{3n+1}{4}} e^{C\sqrt{|m|}-4\pi|m|} \sum_{\substack{x \\ Q(x)=m \\ \text{mod } \Gamma}} \varphi(h^{-1}x) \text{vol}(\Gamma_x \backslash G_x). \tag{3.41}$$

Here  $m$  runs over the negative elements of  $N^{-1}\mathbb{Z}$  for a suitable  $N$  depending on  $\varphi$  and  $h$ . The resulting expression is finite since, [51],

$$\sum_{\substack{x \\ Q(x)=m \\ \text{mod } \Gamma}} \varphi(h^{-1}x) \text{vol}(\Gamma_x \backslash G_x) = O(|m|^{\frac{n}{2}+\epsilon}). \tag{3.42}$$

This completes the proof of Theorem 3.1. □

There are several more things which need to be proved.

*Proof of Proposition 2.5.* By (2.3), the left-hand side of the identity

$$\begin{aligned} & \text{CT} \left\{ \lim_{\sigma=0} \int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau, \varphi^{\text{ev}}) v^{-\sigma-2} \, du \, dv \right\} \\ &= \lim_{T \rightarrow \infty} \left[ \int_{\mathcal{F}_T} \sum_{\varphi} f_{\varphi}(\tau) I_X(\tau, \varphi^{\text{ev}}) v^{-2} \, du \, dv - c_0(0) \log(T) \right] \end{aligned}$$

to be proved can be written as

$$\begin{aligned} & \text{vol}(X)^{-1} \text{CT} \left\{ \lim_{\sigma=0} \int_X \int_{\mathcal{F}_T} ((f(\tau), \vartheta(\tau, z))) v^{-\sigma-2} \, du \, dv \, d\mu(z) \right\} \\ &= \text{vol}(X)^{-1} \int_X \int_{\mathcal{F}_1} ((f(\tau), \vartheta(\tau, z))) v^{-2} \, du \, dv \, d\mu(z) + \\ & \quad + \text{vol}(X)^{-1} \text{CT} \left\{ \lim_{\sigma=0} \int_X \int_1^T C(v, z) v^{-\sigma-1} \, dv \, d\mu(z) \right\}. \end{aligned}$$

The analysis made in the proof of Theorem 3.1 above shows that the integral

$$\int_X \int_1^{\infty} [C(v, z) - C_{00}(v, z)] v^{-\sigma-2} \, dv \, d\mu(z)$$

defines a holomorphic function of  $\sigma$  in the half plane  $\text{Re}(\sigma) > -1$ . Note that in the case  $n = 1$  there are no  $C_0$  terms, since  $V$  is then assumed to be anisotropic. The remaining term is

$$\begin{aligned} \text{vol}(X)^{-1} \int_X \int_1^T C_{00}(v, z) v^{-\sigma-1} \, dv \, d\mu(z) &= c_0(0) \frac{1}{\sigma} (1 - T^{-\sigma}) \\ &= c_0(0) \log(T) + O(\sigma). \end{aligned}$$

This term makes no contribution when we take the limit as  $T$  goes to infinity followed by the constant term at  $\sigma = 0$ . Thus, once the term  $c_0(0) \log(T)$  has been removed, we can pass to the limit on  $T$  with  $\sigma = 0$ , and this proves Proposition 2.5. □

*Proof of Proposition 2.11.* In the Fourier expansion (2.21) for  $E(\tau, s; \varphi, \ell + 2)$  for a factorizable function  $\varphi = \otimes_p \varphi_p \in S(V(\Delta_f))$ , the  $m$ th coefficient, for  $m \neq 0$ , has a product formula

$$E_m(\tau, s; \varphi, \ell + 2) = A_{\varphi}(s, m, v) q^m = W_{m, \infty}(\tau, s; \ell + 2) \cdot \prod_p W_{m, p}(s, \varphi_p).$$

The following facts are well known, cf. [36] for more details.

For  $s = s_0 = \ell + 1 = (n/2)$ ,

$$\begin{aligned} W_{m, \infty} \left( \tau, \frac{n}{2}; \frac{n}{2} + 1 \right) &= \frac{(-2i)^{\frac{n}{2}+1}}{\Gamma(\frac{n}{2} + 1)} m^{\frac{n}{2}} q^m \quad \text{if } m > 0, \\ W_{m, \infty} \left( \tau, \frac{n}{2}; \frac{n}{2} + 1 \right) &= 0, \quad \text{if } m < 0, \text{ and} \\ W'_{m, \infty} \left( \tau, \frac{n}{2}; \frac{n}{2} + 1 \right) &= \pi(-i)^{-\frac{n}{2}-1} 2^{-\frac{n}{2}} q^m v^{-\frac{n}{2}} \int_1^{\infty} e^{-4\pi|m|vr} r^{-\frac{n}{2}-1} \, dr. \end{aligned}$$

On the other hand, for any  $m \neq 0$ , the product over the finite primes is

$$C(m) := \left( \prod_p W_{m,p}(s, \varphi_p) \right)_{s=s_0} = O(1).$$

Therefore, for  $m < 0$ , we have

$$b_\varphi(m, v) = \pi(-i)^{-\frac{n}{2}-1} 2^{-\frac{n}{2}} C(m) v^{-\frac{n}{2}} \int_1^\infty e^{-4\pi|m|vr} r^{-\frac{n}{2}-1} dr,$$

where  $C(m) = O(1)$ . Thus

$$|b_\varphi(m, v)| = O(v^{-\frac{n}{2}-1} |m|^{-1} e^{-4\pi|m|v}).$$

Using (3.36), this proves part (i) and (ii) of Proposition 2.11.

Finally, the constant term has the form

$$E_0(\tau, s; \varphi, \ell + 2) = v^{\frac{1}{2}(s+1-\ell)} \varphi(0) + W_{0,\infty}(\tau, s; \ell + 2) \prod_p W_{0,p}(s, \varphi_p),$$

where

$$W_{0,\infty}(\tau, s; \ell + 2) = 2\pi v^{-\frac{1}{2}(s+\frac{n}{2})} \frac{2^{-s}(-i)^{\frac{n}{2}+1} \Gamma(s)\frac{1}{2}(s-\frac{n}{2})}{\Gamma(\frac{1}{2}(s+\frac{n}{2}+2))\Gamma(\frac{1}{2}(s-\frac{n}{2}+2))}.$$

Then, the derivative at  $s = s_0 = (n/2)$  is

$$E'_0\left(\tau, \frac{n}{2}; \varphi, \frac{n}{2} + 1\right) = \frac{1}{2} \log(v) \varphi(0) - i\pi (2iv)^{-\frac{n}{2}} \frac{\Gamma(\frac{n}{2})}{\Gamma(\frac{n}{2} + 1)} C(0).$$

This yields (iii) of Proposition 2.11. □

*Proof of Proposition 1.4.* It suffices to show that

$$\begin{aligned} \Phi(z; F) &= \int_{\Gamma \backslash \mathfrak{H}} (( F(\tau), \mathfrak{A}(\tau, z) )) d\mu(\tau) \\ &= \text{CT} \left\{ \lim_{\sigma \rightarrow 0} \int_1^T C(v, z) v^{-\sigma-1} dv \right\} + \int_{\mathcal{F}_1} (( F(\tau), \mathfrak{A}(\tau, z) )) d\mu(\tau), \end{aligned}$$

is integrable over  $X$ , where  $C(v, z)$  is given by (3.2). The second term here is clearly integrable, since  $\mathfrak{A}(\tau, z)$  is. We break up the first term into pieces  $\Phi_+$ ,  $\Phi_0$ ,  $\Phi_{00}$  and  $\Phi_-$  corresponding to the decomposition of  $C(v, z)$  in (3.7). By (3.9),  $\Phi_{00} = 0$ , while the integrability of  $\Phi_+$  (resp.  $\Phi_0$ ) (resp.  $\Phi_-$ ) is give by Proposition 3.2 (resp. Proposition 3.4) (resp. Proposition 3.6). □

#### 4. Formulas for Degrees

In this section, we explain how the Siegel–Weil formula can be applied to yield formulas for the degrees of certain divisors on the quasiprojective varieties attached

to orthogonal groups of signature  $(n, 2)$  over  $\mathbb{Q}$ . More precisely, these degrees occur as the Fourier coefficients of certain (special values of) Eisenstein series. This is analogous to a result of Oda, [44]. We would like to show that such identities between degrees and ‘class numbers’ arise in a very conceptual way. The basic idea is to apply the Siegel–Weil formula for *two different quadratic spaces to describe a special value of the same Eisenstein series!* Comparison of the Fourier coefficients of the two theta integrals and the Eisenstein series yields nontrivial identities, several of which occur in the classical literature, [11, 44, 52, 57].

#### 4.1. THE SIEGEL–WEIL FORMULA

For convenience of the reader, we briefly review the Siegel–Weil formula for the dual pair  $(\mathrm{SL}_2, \mathrm{O}(V))$  needed in this section and in section 2.

Let  $V$  be a nondegenerate quadratic space over  $\mathbb{Q}$ , and let  $G = \mathrm{SL}_2$ . As before, let  $G'_\mathbb{A}$  be the metaplectic cover of  $G(\mathbb{A}) = \mathrm{SL}_2(\mathbb{A})$ . We identify  $G'_\mathbb{A} = \mathrm{SL}_2(\mathbb{A}) \times \{\pm 1\}$ , where multiplication on the right is given by  $[g_1, \epsilon_1][g_2, \epsilon_2] = [g_1 g_2, \epsilon_1 \epsilon_2 c(g_1, g_2)]$ , for the cocycle as in [54], [17]. In particular, we have subgroups

$$N_\mathbb{A} = \{n = [n(b), 1] \mid b \in \mathbb{A}\}, \quad n(b) = \begin{pmatrix} 1 & b \\ & 1 \end{pmatrix}, \quad (4.1)$$

and

$$M_\mathbb{A} = \{\underline{m}(a) = [m(a), \epsilon] \mid a \in \mathbb{A}^\times, \epsilon = \pm 1\}, \quad m(a) = \begin{pmatrix} a & \\ & a^{-1} \end{pmatrix}. \quad (4.2)$$

An idele character  $\chi$  of  $\mathbb{Q}^\times \backslash \mathbb{A}^\times$  determines a character  $\chi^\psi$  of  $M_\mathbb{A}$  by

$$\chi^\psi([m(a), \epsilon]) = \epsilon \chi(a) \gamma(a, \psi)^{-1} \quad (4.3)$$

where  $\gamma(\cdot, \psi)$  is the global Weil index.

The group  $G'_\mathbb{A}$  acts on the Schwartz space  $S(V(\mathbb{A}))$  via the Weil representation  $\omega = \omega_\psi$  determined by our fixed additive character  $\psi$  of  $\mathbb{A}/\mathbb{Q}$ , and this action commutes with the linear action of  $\mathrm{O}(V)(\mathbb{A})$ . For  $g' \in G'_\mathbb{A}$ ,  $h \in \mathrm{O}(V)(\mathbb{A})$ , and  $\varphi \in S(V(\mathbb{A}))$ , the theta series

$$\theta(g', h; \varphi) = \sum_{x \in V(\mathbb{Q})} \omega(g') \varphi(h^{-1}x), \quad (4.4)$$

defines a smooth function on  $G'_\mathbb{A} \times \mathrm{O}(V)(\mathbb{A})$ , left invariant under  $G'_\mathbb{Q} \times \mathrm{O}(V)(\mathbb{Q})$ , and slowly increasing on the quotient  $(G'_\mathbb{Q} \times \mathrm{O}(V)(\mathbb{Q})) \backslash (G'_\mathbb{A} \times \mathrm{O}(V)(\mathbb{A}))$ .

By Weil’s criterion [27] in the present case, the theta integral

$$I(g'; \varphi) = \int_{\mathrm{O}(V)(\mathbb{Q}) \backslash \mathrm{O}(V)(\mathbb{A})} \theta(g', h; \varphi) dh, \quad (4.5)$$

where  $\mathrm{vol}(\mathrm{O}(V)(\mathbb{Q}) \backslash \mathrm{O}(V)(\mathbb{A}), dh) = 1$ , is absolutely convergent whenever either  $V$  is anisotropic or  $\dim(V) - r > 2$ , where  $r$  is the Witt index of  $V$ . The resulting



automorphic form  $I(\varphi)$  on  $G'_\mathbb{Q} \backslash G'_\mathbb{A}$  is identified, by the Siegel–Weil formula, with a special value of an Eisenstein series, defined as follows.

Let  $\chi = \chi_V$  be the quadratic character of  $\mathbb{A}^\times / \mathbb{Q}^\times$  defined by

$$\chi(x) = (x, (-1)^{m(m-1)/2} \det(V)), \tag{4.6}$$

where  $m = \dim(V)$  and  $\det(V) \in \mathbb{Q}^\times / \mathbb{Q}^{\times,2}$  is the determinant of the matrix for the quadratic form  $Q$  on  $V$ . For  $s \in \mathbb{C}$ , let  $I(s, \chi)$  be the principal series representation of  $G'_\mathbb{A}$  consisting of smooth functions  $\Phi(s)$  on  $G'_\mathbb{A}$  such that

$$\Phi(n \underline{m}(a) g', s) = \begin{cases} \chi^\psi(\underline{m}(a)) |a|^{s+1} \Phi(g', s), & \text{if } n \text{ is odd,} \\ \chi(\underline{m}(a)) |a|^{s+1} \Phi(g', s), & \text{if } n \text{ is even.} \end{cases} \tag{4.7}$$

There is then a  $G'_\mathbb{A}$  intertwining map

$$\lambda = \lambda_V: S(V(\mathbb{A})) \longrightarrow I(s_0, \chi_V), \quad \lambda(\varphi)(g') = \omega(g')\varphi(0), \tag{4.8}$$

where  $s_0 = (m/2) - 1$ . As in Section 1, let  $K'_\infty K'$  be the full inverse image of  $\text{SO}(2) \times \text{SL}_2(\hat{\mathbb{Z}})$  in  $G'_\mathbb{A}$ . A section  $\Phi(s) \in I(s, \chi)$  will be called standard if its restriction to  $K'_\infty K'$  is independent of  $s$ . By the Iwasawa decomposition  $G'_\mathbb{A} = N'_\mathbb{A} M'_\mathbb{A} K'_\infty K'$ , the function  $\lambda(\varphi) \in I(s_0, \chi)$  has a unique extension to a standard section  $\Phi(s) \in I(s, \chi)$ , where  $\Phi(s_0) = \lambda(\varphi)$ . The Eisenstein series, defined by

$$E(g', s; \Phi) = E(g', s; \varphi) = \sum_{\gamma \in P'_\mathbb{Q} \backslash G'_\mathbb{Q}} \Phi(\gamma g', s) \tag{4.9}$$

for  $\text{Re}(s) > 1$ , has a meromorphic analytic continuation to the whole  $s$ -plane.

**THEOREM 4.1** (Siegel–Weil formula). (i) *Assume that  $V$  is anisotropic or that  $\dim(V) - r > 2$ , where  $r$  is the Witt index of  $V$ , so that the theta integral (4.5) is absolutely convergent. Then  $E(g', s; \varphi)$  is holomorphic at the point  $s = s_0 = (m/2) - 1$ , where  $m = \dim(V)$ , and*

$$E(g', s_0; \varphi) = \kappa \cdot I(g'; \varphi),$$

where  $\kappa = 2$  when  $m \leq 2$  and  $\kappa = 1$  otherwise.

(ii) *Suppose, in addition, that  $m > 1$ . Then*

$$E(g', s_0; \varphi) = \kappa \cdot I(g'; \varphi) = \frac{\kappa}{2} \int_{\text{SO}(V)(\mathbb{Q}) \backslash \text{SO}(V)(\mathbb{A})} \theta(g', h; \varphi) dh,$$

where  $dh$  is Tamagawa measure on  $\text{SO}(V)(\mathbb{A})$ .

(iii) *Suppose that  $\dim(V) = m > 4$  and let  $H_1 = \text{Spin}(V)$ . Then, for any  $h \in H(\mathbb{A})$ ,*

$$E(g', s_0, \varphi) = \frac{1}{4} \int_{H_1(\mathbb{Q}) \backslash H_1(\mathbb{A})} \theta(g', h_1 h; \varphi) dh_1,$$

where  $\text{vol}(H_1(\mathbb{Q}) \backslash H_1(\mathbb{A}), dh_1) = 1$ . In particular, this integral is independent of  $h \in H(\mathbb{A})$ .

When  $m > 4$ , this is the classic result of Siegel and Weil, in Weil’s formulation. The variants for  $m \leq 4$  are also mostly classical, e.g., due to Hecke, Siegel, etc., We do not attempt to give systematic references. Of course, the analogous result holds for any number field  $F$ .

The point of (ii) (resp. (iii)) is that we can almost always replace the integral over  $O(V)(\mathbb{Q}) \backslash O(V)(\mathbb{A})$  with the integral over  $SO(V)(\mathbb{Q}) \backslash SO(V)(\mathbb{A})$  (resp.  $H_1(\mathbb{Q}) \backslash H_1(\mathbb{A})$ ). The later is much more convenient, since  $SO(V)$  is connected. In the range  $m > 4$ , this fact is again a very special case of the results of Weil, [56], pp.76–77, Théorèm 5.

We explain briefly why the improvements of parts (ii) and (iii) hold. Let  $I' : S(V(\mathbb{A})) \rightarrow \mathbb{C}$  be the linear functional given by

$$I'(\varphi) = \int_{SO(V)(\mathbb{Q}) \backslash SO(V)(\mathbb{A})} \theta(h; \varphi) dh, \tag{4.10}$$

where  $\theta(h; \varphi) = \theta(e, h; \varphi)$ , so that  $I'$  defines an element of

$$\text{Hom}_{SO(V)(\mathbb{A})}(S(V(\mathbb{A})), \mathbb{C}), \tag{4.11}$$

where  $SO(V)(\mathbb{A})$  acts trivially on  $\mathbb{C}$ . The group

$$C(\mathbb{A}_f) = O(V)(\mathbb{A})/SO(V)(\mathbb{A}) \simeq \mu_2(\mathbb{A}) \tag{4.12}$$

acts on the space of such functionals. In fact one has

**PROPOSITION 4.2.** *If  $\dim(V) > 1$ , then the action of  $C(\mathbb{A}_f)$  on the space of  $SO(V)(\mathbb{A})$ -invariant linear functionals on  $S(V(\mathbb{A}))$ , (4.11), is trivial.*

*Proof.* For any prime  $p \leq \infty$ , consider the analogous local space

$$\text{Hom}_{SO(V_p)}(S(V_p), \mathbb{C}), \tag{4.13}$$

with its action of  $C_p$ . If the sign character  $\epsilon_p$  of  $C_p = O(V_p)/SO(V_p)$  occurs, then the sign representation  $\text{sgn}_p$  of  $O(V_p)$  occurs in the local theta correspondence for the dual pair  $(\widetilde{SL}_2(\mathbb{Q}_p), O(V_p))$ . But it is known, [48], that the sign representation does not occur for such a dual pair if  $\dim(V) = m > 1$ . Thus  $C_p$  acts trivially on (4.13), and a standard argument then shows that  $C(\mathbb{A})$  acts trivially on (4.11), as claimed.  $\square$

On the other hand, it is clear that

$$\begin{aligned} I(g'; \varphi) &= \int_{C(\mathbb{Q}) \backslash C(\mathbb{A})} I'(\omega(g')\omega(h)\varphi) dc \\ &= \frac{1}{2} \int_{C(\mathbb{A})} I'(\omega(g')\omega(h)\varphi) dc \\ &= \frac{1}{2} I'(\omega(g')\varphi), \end{aligned} \tag{4.14}$$

where  $h \in O(V)(\mathbb{A})$  projects to  $c \in C(\mathbb{A})$  and where  $\text{vol}(C(\mathbb{A}), dc) = 1$ . The factor  $1/2$  occurs as the volume of  $C(\mathbb{Q}) \backslash C(\mathbb{A})$ . This explains (ii). The statement of (iii) is

obtained in the same way by considering the first occurrence of other nontrivial characters of  $O(V)$  in the local theta correspondence.

4.2. A MATCHING PRINCIPLE

For a nondegenerate quadratic space  $V$  over  $\mathbb{Q}$  of dimension  $m$ , let

$$\Pi(V) = \text{image}(\lambda_V) \subset I(s_0, \chi) \tag{4.15}$$

be the resulting  $G'_A$ -submodule of the principal series, where  $\chi = \chi_V$  and  $s_0 = (m/2) - 1$ . There are analogous local maps

$$\lambda_p : S(V_p) \longrightarrow I_p(s_0, \chi_p), \tag{4.16}$$

with images

$$\Pi_p(V_p) = \text{image}(\lambda_p) \subset I_p(s_0, \chi_p), \tag{4.17}$$

the local components of  $\Pi(V)$  for the corresponding local induced representations. Note that  $\Pi(V)$  and the  $\Pi_p(V_p)$ 's are not always irreducible. The key idea is that the Eisenstein series (4.9) associated to  $\varphi = \otimes_p \varphi_p \in S(V(\mathbb{A}))$  depends only on the collection  $\{\lambda_p(\varphi_p)\}$  of local components.

**DEFINITION 4.3.** Let  $V_p$  and  $V'_p$  be quadratic spaces over  $\mathbb{Q}_p$  of dimension  $m$  and fixed character  $\chi_{V_p} = \chi_{V'_p} = \chi_p$ . Functions  $\varphi_p \in S(V_p)$  and  $\varphi'_p \in S(V'_p)$  are said to *match* if  $\lambda_p(\varphi_p) = \lambda'_p(\varphi'_p)$ .

*Remark 4.4.* This matching is analogous to that which occurs in the trace formula and relative trace formula, and our identity of theta integrals can be viewed as an analogue of a comparison of trace formulas.

*Remark 4.5.* If  $m > 4$ , or if  $m = 4$  and  $\chi_p \neq 1$ , then the nonarchimedean local principal series  $I_p(s_0, \chi_p)$  are irreducible and hence, for any pair  $V_p$  and  $V'_p$ , every  $\varphi_p \in S(V_p)$  has a matching  $\varphi'_p \in S(V'_p)$ .

If  $m = 4$ , and  $\chi_p = 1$ , then  $s_0 = 1$  and  $I_p(s_0, \chi_p)$  has the special representation as irreducible submodule and the trivial representation as quotient. The split four dimensional quadratic space  $V_p$  has  $\Pi_p(V_p) = I_p(s_0, \chi_p)$ , while the anisotropic space  $V'_p$  given by the reduced norm on the division quaternion algebra over  $\mathbb{Q}_p$  has  $\Pi_p(V'_p)$  the irreducible special. Therefore the space of  $\varphi_p$ 's in  $S(V_p)$  which have matching  $\varphi'_p$ 's has codimension 1.

If  $m = 3$ , then  $s_0 = \frac{1}{2}$  and  $I_p(s_0, \chi_p)$  always has length 2 with a special representation of  $G'_p$  as the irreducible subrepresentation and an irreducible Weil representation – playing the role of the trivial representation for the metaplectic group  $G'_p$  – as irreducible quotient, [49]. The ternary quadratic space  $V_p$  of trace 0 elements in  $M_2(\mathbb{Q}_p)$  with a scalar multiple (determined by  $\chi_p$ ) of the determinant form has

$\Pi_p(V_p) = I_p(s_0, \chi_p)$ , and the analogous space  $V'_p$  of trace 0 elements in the division quaternion algebra over  $\mathbb{Q}_p$  has  $\Pi_p(V'_p) \subset I_p(s_0, \chi_p)$  the unique irreducible subrepresentation. Now the subspace of  $\varphi_p$ 's in  $S(V_p)$  which have matching  $\varphi'_p$ 's in  $S(V'_p)$  has infinite codimension.

*Remark 4.6.* If  $m = 2$  and  $\chi_p \neq 1$ , then the spaces  $\Pi_p(V_p)$  and  $\Pi_p(V'_p)$  are irreducible and distinct, while, if  $m = 1$ , there is a unique space with a given  $\chi_p$ , so the matching phenomenon of interest here will not occur globally.

Note that the cases  $m = 3$  and  $4$  are precisely those for which  $s_0$  is in or at the edge of the critical strip  $|\operatorname{Re}(s)| \leq 1$ .

Over  $\mathbb{R}$ , the situation is the following. For  $r \in \frac{1}{2}\mathbb{Z}$ , satisfying a suitable parity condition, let  $\Phi^r(s)$  be the (unique) function in  $I_\infty(s, \chi_\infty)$  such that

$$\Phi^r(k', s) = \chi_r(k'), \tag{4.18}$$

for the character  $\chi_r$  of  $K'_\infty$ . The space of  $K'_\infty$ -finite vectors in  $I_\infty(s, \chi_\infty)$  is then spanned by the  $\Phi^r(s)$ 's for  $r \in r_0 + 2\mathbb{Z}$ .

**LEMMA 4.7.** *Suppose that  $V_\infty$  and  $V'_\infty$  are quadratic spaces over  $\mathbb{R}$  of dimension  $m$  and with the same quadratic character, i.e., with signatures  $(p, q)$  and  $(p', q')$  with  $q \equiv q' \pmod{2}$ . Suppose that  $\varphi_\infty \in S(V_\infty)$  and  $\varphi'_\infty \in S(V'_\infty)$  are eigenfunctions for  $K'_\infty$  with eigencharacter  $\chi_r$  and with  $\varphi_\infty(0) = \varphi'_\infty(0)$ . Then  $\varphi_\infty$  and  $\varphi'_\infty$  match and  $\lambda_\infty(\varphi_\infty) = \lambda'_\infty(\varphi'_\infty) = \Phi^r(s_0)$ .*

**PROPOSITION 4.8 (Matching Principle).** *Suppose that  $V$  and  $V'$  are quadratic spaces over  $\mathbb{Q}$  of the same dimension and with the same quadratic character  $\chi_V = \chi_{V'} = \chi$ . Suppose that  $\varphi \in S(V(\mathbb{A}))$  and  $\varphi' \in S(V'(\mathbb{A}))$  match, i.e.,  $\lambda(\varphi) = \lambda'(\varphi') = \Phi(s_0)$ . Assume that the convergence condition of the Siegel–Weil formula is fulfilled by the spaces  $V$  and  $V'$ . Then  $I(g', \varphi) = E(g', s_0, \Phi) = I(g', \varphi')$ .*

*Remark 4.9.* The definition of matching and the resulting equality of theta integrals can be extended to dual pairs  $(\operatorname{Sp}(r), \operatorname{O}(V))$ ,  $(\operatorname{Sp}(r), \operatorname{O}(V'))$  for any  $r \geq 1$  over a number field, dual pairs for unitary groups, etc.

Of course, the matching principle is a trivial observation, but, while the Eisenstein series is built from purely local data, the theta integrals involved depend on global arithmetic. In particular, their equality can yield some highly nontrivial identities. We now describe one of these.

### 4.3. A GEOMETRIC EXAMPLE

Let  $V$  be a quadratic space over  $\mathbb{Q}$  of signature  $(n, 2)$ , and let  $V'$  be a quadratic space over  $\mathbb{Q}$  with  $\chi_{V'} = \chi_V = \chi$  but with signature  $(n + 2, 0)$ . Suppose that  $\varphi \in S(V(\mathbb{A}_f))$

and  $\varphi' \in S(V'(\mathbb{A}_f))$  are matching functions. By the discussion above, when  $n > 2$ , any  $\varphi$  has such a matching  $\varphi'$ . We next construct matching functions over  $\mathbb{R}$ .

As explained in Section 1 above, the Gaussian for  $V(\mathbb{R})$  is the function  $\varphi_\infty \in S(V(\mathbb{R})) \otimes A^{(0,0)}(D)$  given by

$$\varphi_\infty(x, z) = e^{-\pi(x,x)_z} = e^{-2\pi R(x,z)} e^{-2\pi Q(x)}. \tag{4.19}$$

It has weight  $\ell = (n/2) - 1$  and  $\varphi_\infty(0, z) = 1$ , so that

$$\lambda_\infty(\varphi_\infty) = \Phi^\ell(s_0). \tag{4.20}$$

Let  $V'(\mathbb{R})$  be a quadratic space of signature  $(n + 2, 0)$ . The Gaussian  $\varphi'_\infty \in S(V'(\mathbb{R}))$  is given by

$$\varphi'_\infty(x) = e^{-2\pi Q'(x)}. \tag{4.21}$$

It has weight  $(n + 2)/2 = \ell + 2$  and  $\varphi'_\infty(0) = 1$ , so that

$$\lambda'_\infty(\varphi'_\infty) = \Phi^{\ell+2}(s_0). \tag{4.22}$$

In particular, the Gaussians of  $V(\mathbb{R})$  and  $V'(\mathbb{R})$  *do not match*, and we will need to find another function for  $V(\mathbb{R})$ .

One of the main results of [29] was the construction of a Schwartz *form* for  $V$ ,

$$\varphi_{KM} \in S(V(\mathbb{R})) \otimes A^{(1,1)}(D), \tag{4.23}$$

where  $A^{(1,1)}(D)$  is the space of smooth  $(1, 1)$ -forms on  $D$ , with the following properties:

(i) For all  $h \in O(V(\mathbb{R}))$ ,

$$h^* \varphi_{KM}(h^{-1}x) = \varphi_{KM}(x), \tag{4.24}$$

where  $h^*$  indicated the action of  $h$  on the space  $A^{(1,1)}(D)$  by pullback.

(ii)  $\varphi_{KM}$  has weight  $\ell + 2$  for  $K'_\infty$ , i.e.,

$$\omega(k') \varphi_{KM} = \chi_{\ell+2}(k') \varphi_{KM}, \tag{4.25}$$

for the Weil representation action of  $K'$  on  $S(V(\mathbb{R}))$ .

(iii)  $\varphi_{KM}$  is closed:

$$d\varphi_{KM} = 0 \tag{4.26}$$

for exterior differentiation  $d$  on  $D$ .

Note that it follows from properties (i) and (iii) above that  $\varphi_{KM}(x) \in A^{(1,1)}(D)$  is a closed  $O(V(\mathbb{R}))_x$  invariant form. For example,

$$\Omega := \varphi_{KM}(0) \tag{4.27}$$

is an  $O(V(\mathbb{R}))$  invariant  $(1, 1)$ -form on  $D$ , which we will identify in a moment.

In the present situation,  $\varphi_{KM}$  is obtained as follows. Recall from Lemma 3.8 that for  $t \in \mathbb{R}_{>0}$ , the exponential integral  $\beta_1(t)$  has a logarithmic singularity,  $-\log(t)$ , as  $t \rightarrow 0$  and decays like  $e^{-t}$  as  $t \rightarrow \infty$ . For  $x \in V(\mathbb{R})$ ,  $x \neq 0$ , and  $z \in D$ , let

$$\xi(x, z) = \beta_1(2\pi R(x, z)) e^{-2\pi Q(x)}. \quad (4.28)$$

This function is smooth away from the incidence locus

$$\{[x, z] \in V(\mathbb{R}) \times D \mid \text{pr}_z(x) = 0\}. \quad (4.29)$$

For example, if  $x \in V(\mathbb{R})$  is fixed, then  $\xi(x)$  is a smooth function on  $D - D_x$ , where

$$D_x = \{z \in D \mid z \perp x\}, \quad (4.30)$$

as in (1.44). Moreover,  $\xi(x, z)$  decays exponentially as  $z$  goes to infinity away from  $D_x$ . Note that  $D_x$  is nonempty if and only if  $Q(x) > 0$ . The crucial fact then is that, for  $x \neq 0$ ,

$$\varphi_{KM}(x) = \text{dd}^c \xi(x), \quad (4.31)$$

where,  $\text{d}^c = (1/4\pi i)(\partial - \bar{\partial})$ . In fact, as in [27], we have the stronger assertion, whose proof we omit:

**PROPOSITION 4.10.** *As currents on  $D$ ,*

$$\text{dd}^c \xi(x) + e^{-2\pi Q(x)} \delta_{D_x} = [\varphi_{KM}(x)].$$

We can recover the explicit formula for  $\Omega$  from this result.

**PROPOSITION 4.11.** *On the tube domain  $\mathbb{D}$ , let  $\rho = \rho(z) = -\frac{1}{2}(w(z), w(\bar{z}))$ , be the norm of the section  $z \mapsto w(z)$  of  $\mathcal{L}_{\mathcal{D}}$ , as in (1.10). Then*

$$\begin{aligned} \Omega &= \text{dd}^c \log(\rho) \\ &= -\frac{1}{2\pi i} [-(y, y)^{-2}(y, dz) \wedge (y, d\bar{z}) + (y, y)^{-1} \frac{1}{2}(dz, d\bar{z})]. \end{aligned}$$

*Proof.* We compute

$$\begin{aligned} \text{dd}^c \xi(x) &= -\frac{1}{2\pi i} \partial \bar{\partial} \{\beta_1(2\pi R)\} e^{-2\pi Q(x)} \\ &= \frac{1}{2\pi i} \partial \{e^{-2\pi R} \bar{\partial} \log(R)\} e^{-2\pi Q(x)} \\ &= \frac{1}{2\pi i} [-2\pi \partial R \wedge \bar{\partial} \log(R) + \partial \bar{\partial} \log(R)] e^{-2\pi R - 2\pi Q(x)} \\ &= \varphi_{KM}(x). \end{aligned} \quad (4.32)$$

For a moment, we write  $\alpha = (x, w(z))$  and  $\rho = |y|^2 = -(y, y)$ , as in (1.10), so that, by (1.16),  $R = \rho^{-1}|\alpha|^2$ . Then

$$\partial \bar{\partial} \log(R) = -\partial \bar{\partial} \log(\rho), \quad (4.33)$$

and

$$\begin{aligned} &\partial R \wedge \bar{\partial} \log(R) \\ &= \rho^{-1} d\alpha \wedge d\bar{\alpha} - \rho^{-2} \bar{\alpha} d\alpha \wedge \bar{\partial} \rho - \rho^{-2} \alpha \partial \rho \wedge d\bar{\alpha} + \rho^{-3} |\alpha|^2 \partial \rho \wedge \bar{\partial} \rho. \end{aligned} \tag{4.34}$$

Notice that this last expression defines a smooth form on  $D$ .

Setting  $x = 0$ , we obtain:

$$\Omega = \varphi_{KM}(0) = dd^c \log(\rho). \tag{4.35}$$

But now, writing

$$\rho = -(y, y) = \frac{1}{4}(z - \bar{z}, z - \bar{z}), \tag{4.36}$$

we have

$$\begin{aligned} \Omega &= -\frac{1}{2\pi i} \partial \bar{\partial} \log(\rho) \\ &= -\frac{1}{2\pi i} [-\rho^{-2} \partial \rho \wedge \bar{\partial} \rho + \rho^{-1} \partial \bar{\partial} \rho] \\ &= -\frac{1}{2\pi i} [-(y, y)^{-2} (y, dz) \wedge (y, d\bar{z}) + (y, y)^{-1} \frac{1}{2} (dz, d\bar{z})] \end{aligned} \tag{4.37}$$

as claimed.

**COROLLARY 4.12.** *The form*

$$\Omega = \varphi_{KM}(0) = dd^c \log \|s\|^2$$

*on  $X_K$  is the first Chern form for the holomorphic line bundle  $\mathcal{L}^\vee$  dual to  $\mathcal{L}$ . In particular,  $-\Omega$  is an invariant Kähler form on  $\mathbb{D}$  and hence determines a Kähler form on  $X_K$ .*

**EXAMPLE 4.13.** In the case  $n = 1$  we have  $\mathbb{D} \simeq \mathbb{C} \setminus \mathbb{R} = \mathfrak{H}^+ \cup \mathfrak{H}^-$  and  $\Omega = -(1/2\pi) y^{-2} dx \wedge dy$ . In the case  $n = 2$ , we have  $\mathbb{D} \simeq \mathfrak{H} \times \mathfrak{H}$  and

$$\Omega = -\frac{1}{4\pi} (y_1^{-2} dx_1 \wedge dy_1 + y_2^{-2} dx_2 \wedge dy_2), \tag{4.38}$$

(compare [25], p. 104, [53], p. 102.)

We now return to the theta integral and its geometric meaning. Write

$$\varphi_{KM}(x) \wedge \Omega^{n-1} = \tilde{\varphi}_{KM}(x) \Omega^n, \tag{4.39}$$

for a function  $\tilde{\varphi}_{KM} \in S(V(\mathbb{R})) \otimes A^{(0,0)}(D)$ . Note that, since  $\Omega$  is  $O(V(\mathbb{R}))$ -invariant,

$$\tilde{\varphi}_{KM}(hx, hz) = \tilde{\varphi}_{KM}(x, z) \tag{4.40}$$

for all  $h \in O(V(\mathbb{R}))$ . Moreover,  $\tilde{\varphi}_{KM}$  also has weight  $\ell + 2$  for the Weil representation action of  $K'_\infty$ .

**LEMMA 4.14.** *For all  $z \in D$ ,*

$$\lambda(\varphi_{KM}(\cdot, z)) = \Phi^{\ell+2}(s_0) \Omega \quad \text{and} \quad \lambda(\tilde{\varphi}_{KM}(\cdot, z)) = \Phi^{\ell+2}(s_0).$$

**COROLLARY 4.15.** *For all  $z \in D$ , the functions  $\tilde{\varphi}_{KM}(\cdot, z) \in S(V(\mathbb{R}))$  and  $\varphi'_0 \in S(V'(\mathbb{R}))$  match.*

We now return to the global situation, so that, for the matching functions  $\varphi \in S(V(\mathbb{A}_f))$  and  $\varphi' \in S(V'(\mathbb{A}_f))$  above,

$$\lambda(\tilde{\varphi}_{KM} \otimes \varphi) = \lambda'(\varphi'_0 \otimes \varphi) = \Phi(s_0), \tag{4.41}$$

for a standard section  $\Phi(s) \in I(s, \chi)$ . Hence, we have an equality of Eisenstein series:

$$E(g', s, \lambda(\tilde{\varphi}_{KM} \otimes \varphi)) = E(g', s, \lambda'(\varphi'_0 \otimes \varphi)) = E(g', s, \Phi). \tag{4.42}$$

Applying the Siegel–Weil formula, we have

**COROLLARY 4.16.**

$$I(g', \tilde{\varphi}_{KM} \otimes \varphi; V) = I(g', \varphi'_0 \otimes \varphi'; V') = E(g', s_0, \Phi).$$

Here, in forming the theta integral of  $V$ , we use the theta function

$$\theta(g', h; \tilde{\varphi}_{KM} \otimes \varphi) = \sum_{x \in V(\mathbb{Q})} \omega(g') \tilde{\varphi}_{KM}(h_\infty^{-1}x, z_0) \varphi(h^{-1}x), \tag{4.43}$$

on  $G'_\mathbb{A} \times \mathrm{O}(V(\mathbb{A}))$ , where  $z_0 \in D$  is a fixed point. In particular, as a function on  $\mathrm{O}(V(\mathbb{A}))$  this function is right invariant under the stabilizer of  $z_0$  in  $\mathrm{O}(V(\mathbb{R}))$ .

Next we would like to explain the geometric content of the first of these expressions. The key point is to determine the relation between the integral of the theta function (4.5) over  $\mathrm{O}(V)(\mathbb{Q}) \backslash \mathrm{O}(V)(\mathbb{A})$  and the integral of the differential form  $\theta(g', \varphi_{KM} \otimes \varphi) \wedge \Omega^{n-1}$  over  $X_K$ .

**PROPOSITION 4.17.** *Assume that the compact open subgroup  $K \subset H(\mathbb{A}_f)$  satisfies:*

$$Z_K := K \cap Z(\mathbb{A}) \simeq \hat{Z}^\times$$

*under the isomorphism  $Z(\mathbb{A}) \simeq \mathbb{A}^\times$ . Then*

$$I(g', \tilde{\varphi}_{KM} \otimes \varphi; V) = (-1)^n \frac{1}{4} \mathrm{vol}(K) \int_{X_K} \theta(g', \varphi_{KM} \otimes \varphi) \wedge \Omega^{n-1}.$$

*Moreover, if  $m = n + 2 > 4$ , then, for  $c_K = |\hat{Z}^\times : v(K)|$ ,*

$$I_1(g', \tilde{\varphi}_{KM} \otimes \varphi; V) = (-1)^n \frac{1}{4} \mathrm{vol}(K) c_K \int_{X_j} \theta(g', h_j; \varphi_{KM} \otimes \varphi) \wedge \Omega^{n-1}.$$

*where, for any  $h \in H(\mathbb{A}_f)$ ,*

$$I_1(g'; \tilde{\varphi}_{KM} \otimes \varphi; V) = \int_{H_1(\mathbb{Q}) \backslash H_1(\mathbb{A})} \theta(g', h_1 h; \tilde{\varphi}_{KM} \otimes \varphi) dh_1,$$

*where  $\mathrm{vol}(H_1(\mathbb{Q}) \backslash H_1(\mathbb{A}), dh_1) = 1$ . In particular, the integral over  $X_j$  is independent of  $j$ .*



*Proof.* By (ii) of Theorem 4.1,

$$I(g'; \tilde{\varphi}_{KM} \otimes \varphi; V) = \frac{1}{2} \int_{\text{SO}(V)(\mathbb{Q}) \backslash \text{SO}(V)(\mathbb{A})} \theta(g', h; \tilde{\varphi}_{KM} \otimes \varphi) dh \tag{4.44}$$

where  $dh$  is Tamagawa measure on  $\text{SO}(V)(\mathbb{A})$ . A factorization  $dh = dh_\infty \times dh_f$  will be determined by the choice of  $dh'_\infty$  made below.

We fix the measure  $dz$  on  $Z_K$  with total mass 1, and we obtain a measure  $dk$  on  $K$  by requiring that  $dk/dz$  be the measure on the compact open subgroup  $K/Z_K \subset \text{SO}(V)(\mathbb{A}_f)$  induced by  $dh_f$ . This provides a normalization of the Haar measure on  $H(\mathbb{A}_f)$  and hence a measure  $dh'$  on  $Z(\mathbb{R}) \backslash H(\mathbb{A})$ . Continuing the calculation above, and noting that  $Z(\mathbb{A}) = Z(\mathbb{Q})Z(\mathbb{R})Z_K$ , we have

$$\begin{aligned} I(g'; \tilde{\varphi}_{KM} \otimes \varphi; V) &= \frac{1}{2} \int_{H(\mathbb{Q})Z(\mathbb{A}) \backslash H(\mathbb{A})} \theta(g', h'; \tilde{\varphi}_{KM} \otimes \varphi) dh \\ &= \frac{1}{2} \int_{H(\mathbb{Q})Z(\mathbb{R}) \backslash H(\mathbb{A})} \theta(g', h'; \tilde{\varphi}_{KM} \otimes \varphi) dh' \\ &= \frac{1}{2} \sum_j \int_{H(\mathbb{Q})Z(\mathbb{R}) \backslash H(\mathbb{Q})H(\mathbb{R})^+ h_j K h_j^{-1}} \theta(g', hh_j; \tilde{\varphi}_{KM} \otimes \varphi) dh' \\ &= \frac{1}{4} \text{vol}(K) \sum_j \int_{\Gamma_j Z(\mathbb{R}) \backslash H(\mathbb{R})^+} \theta(g', h_\infty h_j; \tilde{\varphi}_{KM} \otimes \varphi) dh_\infty. \end{aligned} \tag{4.45}$$

Here we have used the fact that  $\varphi$  is  $K$ -invariant. The extra factor of  $\frac{1}{2}$  in the last step arises from the fact that  $Z(\mathbb{Q}) \cap K \simeq \{\pm 1\}$ . Finally, we normalize the measure  $dh_\infty$  on  $Z(\mathbb{R}) \backslash H(\mathbb{R}) = \text{SO}(V)(\mathbb{R})$  by requiring that for  $\phi \in C_c(D)$ ,

$$\int_{Z(\mathbb{R}) \backslash H(\mathbb{R})} \phi(h_\infty z_0) dh_\infty = (-1)^n \int_D \phi \cdot \Omega^n, \tag{4.46}$$

where  $z_0 \in D$  is the base point used in (4.43). Then, using (4.39), we have

$$\begin{aligned} I(g'; \tilde{\varphi}_{KM} \otimes \varphi; V) &= (-1)^n \frac{1}{4} \text{vol}(K) \sum_j \int_{\Gamma_j \backslash D^+} \theta(g', h_j; \tilde{\varphi}_{KM} \otimes \varphi) \Omega^n \\ &= (-1)^n \frac{1}{4} \text{vol}(K) \sum_j \int_{\Gamma_j \backslash D^+} \theta(g', h_j; \varphi_{KM} \otimes \varphi) \wedge \Omega^{n-1} \\ &= (-1)^n \frac{1}{4} \text{vol}(K) \int_{X_K} \theta(g', \varphi_{KM} \otimes \varphi) \wedge \Omega^{n-1}. \end{aligned} \tag{4.47}$$

Let  $S = \mathbb{Q}^\times \mathbb{R}_+^\times \backslash \mathbb{A}^\times$ . Then, for a compactly supported function  $\phi$  on  $H(\mathbb{Q})Z(\mathbb{R}) \backslash H(\mathbb{A})$ ,

$$\int_{H(\mathbb{Q})Z(\mathbb{R}) \backslash H(\mathbb{A})} \phi(h) dh' = \int_S \int_{H_1(\mathbb{Q})Z_1(\mathbb{R}) \backslash H_1(\mathbb{A})} \phi(h_1 h_x) dh_1 d\alpha,$$

where  $v(h_x) = \alpha$  and  $d\alpha$  is the invariant measure on  $S$  for which  $\text{vol}(S, d\alpha) = 1$ . Applying this to the  $j$ th summand in the third expression in (4.45), we have

$$\begin{aligned} & \int_{H(\mathbb{Q})Z(\mathbb{R})\backslash H(\mathbb{Q})H(\mathbb{R})^+h_jK} \theta(g', h'h_j; \tilde{\varphi}_{KM} \otimes \varphi) dh' \\ &= \int_{v(K)} \int_{H_1(\mathbb{Q})Z_1(\mathbb{R})\backslash H_1(\mathbb{A})} \theta(g', h_1h_2h_j; \tilde{\varphi}_{KM} \otimes \varphi) dh_1 d\alpha \\ &= |\hat{Z}^\times : v(K)|^{-1} \int_{H_1(\mathbb{Q})Z_1(\mathbb{R})\backslash H_1(\mathbb{A})} \theta(g', h_1h; \tilde{\varphi}_{KM} \otimes \varphi) dh_1 \end{aligned}$$

since the inner integral in the second line is independent of  $h_2h_j$ . Here  $h \in H(\mathbb{A})$  is arbitrary. The claimed identity is obtained by identifying this with the  $j$ th term in the middle expression in (4.47).

*Remark 4.18.* The same unfolding argument yields

$$\begin{aligned} 1 &= \int_{\mathcal{O}(V)(\mathbb{Q})\backslash \mathcal{O}(V)(\mathbb{A})} dh \\ &= (-1)^n \frac{1}{4} \text{vol}(K) \sum_j \int_{\Gamma_j \backslash D^+} \Omega^n \\ &= (-1)^n \frac{1}{4} \text{vol}(K) \text{vol}(X_K, \Omega^n), \end{aligned} \tag{4.48}$$

and thus the useful formula

$$\text{vol}(K) = (-1)^n \frac{4}{\text{vol}(X_K, \Omega^n)}. \tag{4.49}$$

The sign in (4.44) has been introduced to make  $\text{vol}(K)$  positive.

Viewed as a differential form on  $D \times H(\mathbb{A}_f)/K$ , the theta form is given by

$$\theta(g', h; \varphi_{KM} \otimes \varphi) = \sum_{m \in \mathbb{Q}} \sum_{\substack{x \in V(\mathbb{Q}) \\ Q(x)=m}} \omega(g') \varphi_{KM}(x) \varphi(h^{-1}x), \tag{4.50}$$

on the set  $D \times hK$ . Here note that  $\omega(g')\varphi_{KM}(x)$  is a  $(1, 1)$ -form on  $D$  and that (4.50) is, in fact, the Fourier expansion of the theta form as a function on  $G'_\mathbb{A}$ . Let  $\theta_m(g'; \varphi_{KM} \otimes \varphi)$  be the  $m$ th Fourier coefficient, i.e., the partial sum over  $x \in V(\mathbb{Q})$  with  $Q(x) = m$ , and note that, since this form is itself  $H(\mathbb{Q})$ -invariant, it defines a  $(1, 1)$ -form on  $X_K$ .

We consider cycles both in  $X_K$  and in its individual components  $X_j$ , (1.3). We write  $X$  for either  $X_K$  or one of the  $X_j$ 's. Similarly, for  $m > 0$  and for  $\varphi \in S(V(\mathbb{A}_f))^K$ , write

$$Z_X(m, \varphi; K) = \begin{cases} Z(m, \varphi; K), & \text{if } X = X_K, \\ Z_j(m, \varphi; K), & \text{if } X = X_j, \end{cases}$$

for the divisor in  $X_K$  or the part of it in  $X_j$ , cf. (1.52). Also recall that the line bundle  $\mathcal{L}_D^\vee$  on  $D$  descends to a line bundle  $\mathcal{L}^\vee$  on  $X$ .

**DEFINITION 4.19.** The  $\mathcal{L}^\vee$ -degree of a cycle  $Z$  of codimension  $r$  in  $X$  is

$$\text{deg}_{\mathcal{L}^\vee}(Z) := \int_Z \Omega^{n-r},$$

where  $\Omega$  is the first Chern form of  $\mathcal{L}^\vee$ , as in Proposition 4.11 and Corollary 4.12.

Note that if  $X$  were compact and smooth, this would be simply  $c_1(\mathcal{L}^\vee)^{n-r}[Z]$ , for the first Chern class  $c_1(\mathcal{L}^\vee)$ .

Also observe that, for  $Z$  an irreducible subvariety,

$$(-1)^{n-r} \text{deg}_{\mathcal{L}^\vee}(Z) > 0 \tag{4.51}$$

since  $-\Omega$  is a Kähler form on  $X$ .

The following result is a consequence of the Thom form property of  $\varphi_{KM}$  (Theorem 4.1 of [30], and Theorem 2.1 of [31]). As before, take  $\tau = u + iv \in \mathfrak{S}$ , and write  $q^m = e(m\tau)$ .

**THEOREM 4.20.** *For  $m > 0$ , and for  $g'_\tau \in G'_\mathbb{R}$ ,*

$$\int_X \theta_m(g'_\tau, \varphi_{KM} \otimes \varphi) \wedge \Omega^{n-1} = v^{(\ell+2)/2} \text{deg}_{\mathcal{L}^\vee}(Z_X(m, \varphi; K)) \cdot q^m,$$

where  $\ell = (n/2) - 1$ .

*Remark 4.21.* A key point here is that the cycle  $Z_X(m, \varphi; K)$  always has finite volume and the invariant form  $\Omega^{n-1}$  is, in particular, bounded. Thus, Theorem 2.1 of [31] can be applied, even when  $X$  is noncompact. Alternatively, it is easy to obtain Theorem 4.20 by a direct calculation, using the integral formulas for the affine symmetric spaces, as used in the estimates in Section 3 above.

We now turn to the theta integral for the space  $V'$ .

We fix a compact open subgroup  $K' \subset \text{O}(V')(\mathbb{A}_f)$  such that  $\varphi' \in S(V'(\mathbb{A}_f))^{K'}$ , and write

$$\text{O}(V')(\mathbb{A}) = \prod_j \text{O}(V')(\mathbb{Q}) \text{O}(V')(\mathbb{R}) h_j K'. \tag{4.52}$$

Note that, since  $V'$  is positive definite, the group

$$\Gamma_j = \text{O}(V')(\mathbb{Q}) \cap (\text{O}(V')(\mathbb{R}) h_j K' h_j^{-1}) \tag{4.53}$$

is finite; we set  $e_j = |\Gamma_j|$ . Again, we have a standard calculation, where we note that the Gaussian  $\varphi'_0$  is invariant under  $\text{O}(V')(\mathbb{R})$ :

$$\begin{aligned} I(g', \varphi'_0 \otimes \varphi'; V') &= \int_{\text{O}(V')(\mathbb{Q}) \backslash \text{O}(V')(\mathbb{A})} \theta(g', h; \varphi'_0 \otimes \varphi') dh \\ &= \sum_j \int_{\Gamma_j \backslash \text{O}(V')(\mathbb{R}) h_j K'} \theta(g', h; \varphi'_0 \otimes \varphi') dh \\ &= \text{vol}(\text{O}(V')(\mathbb{R}) K') \sum_j e_j^{-1} \theta(g', h_j; \varphi'_0 \otimes \varphi') \end{aligned} \tag{4.54}$$

If we take  $g' = g'_\tau$ , then

$$\omega(g'_\tau)\phi'_0(x) = v^{(\ell+2)/2}e(Q'(x)\tau). \tag{4.55}$$

Note that, since

$$\begin{aligned} 1 &= \text{vol}(\mathcal{O}(V')(\mathbb{Q}) \backslash \mathcal{O}(V')(\mathbb{A}), dh) \\ &= \text{vol}(\mathcal{O}(V')(\mathbb{R})K') \sum_j e_j^{-1}, \end{aligned} \tag{4.56}$$

we have

$$\text{vol}(\mathcal{O}(V')(\mathbb{R})K') = \left( \sum_j e_j^{-1} \right)^{-1} := \mu(K'), \tag{4.57}$$

the mass of the  $K'$ -genus. Thus we obtain the classical expression

$$I(g'_\tau, \phi'_0 \otimes \phi'; V') = v^{(\ell+2)/2} \mu(K') \sum_j e_j^{-1} \theta(g', h_j; \phi'_0 \otimes \phi'). \tag{4.58}$$

**PROPOSITION 4.22.** *For  $m \in \mathbb{Q}$ , let  $q^m = e(m\tau)$ , and recall that  $\ell = (n/2) - 1$ . Then the Fourier expansion of  $I(g'_\tau, \phi'_0 \otimes \phi'; V')$  is given by*

$$I(g'_\tau, \phi'_0 \otimes \phi'; V') = v^{(\ell+2)/2} \sum_{m \geq 0} r_{\phi'}(m) q^m,$$

where

$$r_{\phi'}(m) = \mu(K') \sum_j e_j^{-1} \left( \sum_{\substack{x \in V'(\mathbb{Q}) \\ Q'(x)=m}} \phi'(h_j^{-1}x) \right).$$

In particular, the constant term is  $v^{(\ell+2)/2} \phi'(0) = v^{(\ell+2)/2} \phi(0)$ , via matching.

The matching identity now amounts to:

**THEOREM 4.23.** *For  $\phi \in S(V(\mathbb{A}_f))$  and  $\phi' \in S(V'(\mathbb{A}_f))$  matching, and for the corresponding standard section  $\Phi(s)$ , with  $\Phi(s_0) = \Phi_\infty^{\ell+2}(s_0) \otimes \lambda(\phi)$ ,*

$$\begin{aligned} v^{-\frac{\ell+2}{2}} E(g'_\tau, s_0, \Phi) &= \phi(0) + \frac{1}{\text{vol}(X, \Omega^n)} \sum_{m>0} \text{deg}_{\mathcal{L}^\vee}(Z_X(m, \phi; K)) \cdot q^m \\ &= \phi'(0) + \sum_{m>0} r_{\phi'}(m) q^m, \end{aligned}$$

where  $X = X_K$ . Moreover, if  $n > 2$ , then the same identity holds for each  $X = X_j$ , and, in addition,

$$\text{vol}(X_j, \Omega^n) = |\hat{\mathbb{Z}}^\times : \mathfrak{v}(K)|^{-1} \text{vol}(X_K, \Omega^n)$$

is independent of  $j$ .



and let  $M = \mathbb{Z}^5$  with quadratic form, of signature (3,2), defined by

$$Q(x) = \frac{1}{2}x^t Q x. \tag{5.2}$$

The dual lattice of  $M$  is  $M^\sharp = Q^{-1}M$  and  $|M^\sharp/M| = 2$ . Note that, if  $x \in M^\sharp$  with  $Q(x) = m$ , then  $m \in \frac{1}{4}\mathbb{Z}$  and  $4m \equiv 0, 1 \pmod{4}$ , depending on the  $M$  coset  $x + M$ .

As explained in [19], pp. 186–188, and [52], there are compatible isomorphisms

$$\mathrm{GSpin}(M_{\mathbb{R}}) \simeq \mathrm{Sp}_4(\mathbb{R}), \quad D^+ \simeq \mathfrak{H}_2, \tag{5.3}$$

such that

$$\Gamma = \mathrm{SO}^+(M) \simeq \mathrm{Sp}_4(\mathbb{Z})/\{\pm 1_4\}. \tag{5.4}$$

Let

$$X = \Gamma \backslash D^+ \simeq \mathrm{Sp}_4(\mathbb{Z}) \backslash \mathfrak{H}_2. \tag{5.5}$$

Recall that, in the tube domain model, our invariant form  $\Omega = \varphi_{KM}(0)$  is given by

$$\Omega = -\frac{1}{4\pi i} \left[ -2(y, y)^{-2} (y, dz) \wedge (y, d\bar{z}) + (y, y)^{-1} (dz, d\bar{z}) \right]. \tag{5.6}$$

In the case  $n = 3$ , we write

$$z = \begin{pmatrix} z_1 & z_2 \\ z_2 & z_3 \end{pmatrix} \in \mathfrak{H}_2 \tag{5.7}$$

and take the inner product of a pair of  $2 \times 2$  symmetric matrices to be  $(a, b) = -\mathrm{tr}(ab^t)$ , for  $t$  the main involution on  $M_2(\mathbb{Q})$ . By an easy computation, noting that  $(y, y) = -2 \det(y)$ , we find:

$$\Omega^3 = -\frac{3}{16\pi^3} \det(y)^{-3} \left(\frac{i}{2}\right)^3 dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2 \wedge dz_3 \wedge d\bar{z}_3 \tag{5.8}$$

and so, [52], p. 331,

$$\mathrm{vol}(X, \Omega^3) = \zeta(-1) \zeta(-3) = -\frac{1}{12} \zeta(-3) = -\frac{1}{1440}. \tag{5.9}$$

Let  $V = M \otimes_{\mathbb{Z}} \mathbb{Q}$  be the associated rational quadratic space, and let  $\varphi_0, \varphi_1 \in \mathcal{S}(V(\mathbb{A}_f))$  be the characteristic functions of the sets  $\hat{M} = M \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}$  and  $y_1 + \hat{M}$  respectively, where  $y_1$  is an element in  $M^\sharp \backslash M$ . As explained in [19] and [52], the divisors  $Z(m, \varphi_\mu)$ , for  $\mu = 0, 1$ , are then given by

$$Z(m, \varphi_\mu) = \begin{cases} \mathcal{G}_{4m}, & \text{if } 4m \equiv \mu \pmod{4}, \\ \emptyset, & \text{otherwise,} \end{cases} \tag{5.10}$$

where

$$\mathcal{G}_\Delta = \sum_{\substack{n \geq 1 \\ n^2 | \Delta}} v_{\Delta/n^2} \mathcal{H}_{\Delta/n^2}, \tag{5.11}$$

for  $\mathcal{H}_\Delta$  the Humbert surface of discriminant  $\Delta$  and with

$$v_\Delta = \begin{cases} \frac{1}{2}, & \text{if } \Delta = 1 \text{ or } 4, \\ 1, & \text{otherwise.} \end{cases} \tag{5.12}$$

We can define a vector valued Eisenstein series

$$\mathbf{E}(\tau, s; M) = \begin{pmatrix} E(\tau, s; \varphi_0) \\ E(\tau, s; \varphi_1) \end{pmatrix} \tag{5.13}$$

of weight  $5/2$ . The Fourier expansion of this series can be computed, [36], and from this it is easy to derive the following information. Write

$$E(\tau, s; \varphi_\mu) = \sum_m A_\mu(s, m, v) q^m \tag{5.14}$$

as in (2.21), where the Fourier coefficients have Laurent expansions

$$A_\mu(s, m, v) = a_\mu(m) + b_\mu(m, v)(s - s_0) + O((s - s_0)^2), \tag{5.15}$$

as in (2.22).

**PROPOSITION 5.1.** *The value of  $\mathbf{E}(\tau, \frac{3}{2}; M_0)$  at the point  $s_0 = \frac{3}{2}$  is given by the following expression.*

$$E(\tau, \frac{3}{2}; \varphi_0) = 1 + \zeta(-3)^{-1} \sum_{m=1}^\infty H(2, 4m) q^m$$

and

$$E(\tau, \frac{3}{2}; \varphi_1) = \zeta(-3)^{-1} \sum_{m-\frac{1}{4}=0}^\infty H(2, 4m) q^m$$

where  $H(2, N)$  are as in Cohen [11].

In particular, for the value, observe that

$$E(4\tau, \frac{3}{2}; \varphi_0) + E(4\tau, \frac{3}{2}; \varphi_1) = \zeta(-3)^{-1} \mathcal{H}_2(\tau), \tag{5.16}$$

is Cohen’s Eisenstein series of weight  $\frac{5}{2}$ . Also, for convenient reference, we recall some values from [11]:

$N:$	0	1	4	5	8	9	12	13	16	17	...
$-120 H(2, N):$	-1	10	70	48	120	250	240	240	550	480	...

(5.17)

Recall that the positive coefficients in Cohen’s Eisenstein series  $\mathcal{H}_r(\tau)$  of weight  $r + \frac{1}{2}$  are given by

$$H(r, 4m) = L(1 - r, \chi_d) \sum_{c|n} \mu(c) \chi_d(c) c^{r-1} \sigma_{2r-1}(n/c), \tag{5.18}$$

where  $4m = (-1)^r n^2 d$  for a field discriminant  $d \equiv 0, 1 \pmod{4}$ . The sum on  $c$  is a multiplicative function and it is easy to check that, in fact,

$$H(r, 4m) = L(1 - r, \chi_d) \prod_p b_p(n, 1 - r), \tag{5.19}$$

where  $b_p(n, s)$  is given by

$$b_p(n, s) = \frac{1 - \chi_d(p)X + \chi_d(p)p^k X^{2k+1} - p^{k+1} X^{2k+2}}{1 - pX^2}, \tag{5.20}$$

with  $X = p^{-s}$  and  $k = \text{ord}_p(n)$ .

By Theorem 4.23, we have

$$E(\tau, \frac{3}{2}, \varphi_\mu) = \varphi_\mu(0) + \text{vol}(X)^{-1} \sum_{m>0} \text{deg}(Z(m, \varphi_\mu)) q^m, \tag{5.21}$$

so we obtain, for  $4m \equiv \mu \pmod{4}$ ,

$$\text{deg}(Z(m, \varphi_\mu)) = \text{deg}(\mathcal{G}_{4m}) = -\frac{1}{12} H(2, 4m) \tag{5.22}$$

Thus, we recover the relation (1) of van der Geer, [52], p. 346, as well as his Theorem 8.1 on the generating function for the volumes of the Humbert surfaces.

A nice example of a Borcherds form  $\Psi(f)$  is discussed in [19].

Let  $\phi_{12,1}(\tau, w)$ ,  $\tau \in \mathfrak{H}_1$ ,  $w \in \mathbb{C}$  be the holomorphic Jacobi form of weight 12 and index 1 of Eichler and Zagier [12], pp. 38–39, so that

$$\phi_{12,1}(\tau, w) = \sum_{n,r} C_{12}(4n - r^2) q^n \zeta^r, \tag{5.23}$$

for  $q = e(\tau)$  and  $\zeta = e(w)$ , where  $c_{12}(n)$  is given by the table on p. 141 of [12]:

$n :$	0	3	4	7	8	11	12	15	16	...
$C_{12}(n) :$	0	1	10	-88	-132	1275	736	-8040	-2880	...

(5.24)

(We write  $C_{12}(n)$  in place of  $c_{12}(n)$  to avoid confusion with the coefficients  $c_\mu(m)$  which will occur in a moment.) Write

$$\phi_{12,1}(\tau, w) = \sum_{\mu=0,1} h_\mu(\tau) \theta_{1,\mu}(\tau, w), \tag{5.25}$$

where

$$h_\mu(\tau) = \sum_{m \equiv -\mu \pmod{4}} C_{12}(m) q^{\frac{m}{4}} \tag{5.26}$$

has weight  $\frac{23}{2}$  for  $\Gamma_0(4)$  and  $\theta_{1,\mu}(\tau, w)$  is the standard Jacobi theta series. Then, dividing by  $\Delta$  to shift the weight, we have

$$\frac{\phi_{12,1}(\tau, w)}{\Delta(\tau)} = \sum_{\mu=0,1} f_\mu(\tau) \theta_{1,\mu}(\tau, w), \tag{5.27}$$

where

$$f_\mu(\tau) = \sum_m c_\mu(m) q^m, \tag{5.28}$$



has weight  $-\frac{1}{2}$  and

$$\begin{aligned} f_0(\tau) &= 10 + 108q + 808q^2 + \dots, \\ f_1(\tau) &= q^{-\frac{1}{4}} - 64q^{\frac{3}{4}} - 513q^{\frac{7}{4}} + \dots. \end{aligned} \tag{5.29}$$

Associated to the vector valued form (see [2], Example 2.3, p. 500 and [12], Theorem 5.1, p. 59)

$$\mathbf{f}_5(\tau) = ((f_0(\tau), f_1(\tau)) = f_0(\tau)\varphi_0 + f_1(\tau)\varphi_1, \tag{5.30}$$

valued in  $\mathbb{C}[M^\sharp/M]$ , is a Borcherds form  $\Psi(f_5)$ , identified explicitly by Gritsenko and Nikulin:

$$\Psi(\mathbf{f}_5) = 2^{-6}\Delta_5(z), \tag{5.31}$$

where  $\Delta_5(z)$  is the Siegel cusp form of weight 5 (and character) for  $\text{Sp}_4(\mathbb{Z})$ . Then  $\Psi(\mathbf{f}_5)^2$  has weight 10 (and trivial character) and

$$\text{div}(\Psi(\mathbf{f}_5)^2) = Z(\frac{1}{4}, \varphi_1). \tag{5.32}$$

Similarly, for any positive integer  $t$ , we can consider the form  $j(\tau)^t \cdot f(\tau)$ . For example, for  $t = 1$ , we get

$$\begin{aligned} j(\tau)f_0(\tau) &= 10q^{-1} + 7548 + O(q), \\ j(\tau)f_1(\tau) &= q^{-\frac{5}{4}} + 680q^{-\frac{1}{4}} + O(q^{\frac{3}{4}}), \end{aligned} \tag{5.33}$$

so that the associated  $\Psi(f_{3774})^2$  has weight 7548 and divisor

$$10 Z(1, \varphi_0) + Z(\frac{5}{4}, \varphi_1) + 680 Z(\frac{1}{4}, \varphi_1). \tag{5.34}$$

For  $t = 2$ , we get

$$\begin{aligned} j(\tau)^2 f_0(\tau) &= 10q^{-2} + 14988q^{-1} + 9634552 + O(q), \\ j(\tau)^2 f_1(\tau) &= q^{-\frac{9}{4}} + 1424q^{-\frac{5}{4}} + 851559q^{-\frac{1}{4}} + O(q^{\frac{3}{4}}), \end{aligned} \tag{5.35}$$

so that the associated  $\Psi(\mathbf{f}_{4827376})^2$  has weight 9634552 and divisor

$$\begin{aligned} 10 Z(2, \varphi_0) + 14988 Z(1, \varphi_0) + Z(\frac{9}{4}, \varphi_1) + \\ + 1424 Z(\frac{5}{4}, \varphi_1) + 851559 Z(\frac{1}{4}, \varphi_1). \end{aligned} \tag{5.36}$$

It is amusing to check the weight/degree relation, (2.30),

$$\sum_{\mu} \sum_{m>0} c_{\mu}(-m) \frac{1}{12} H(2, 4m) = -\text{vol}(X) c_0(0), \tag{5.37}$$

i.e.,

$$-\sum_{\mu} \sum_{m>0} c_{\mu}(-m) 120 H(2, 4m) = c_0(0) \tag{5.38}$$

in these cases.

To compute the quantities  $\kappa(\Psi(f))$  for these Borcherds forms, we need to determine the quantities  $\kappa_\mu(m)$  derived from the second term in the Laurent expansion of  $\mathbf{E}(\tau, s; M)$  at the point  $s = \frac{3}{2}$ .

**THEOREM 5.2.** (i) For  $m > 0$ , write  $4m = n^2d$  for  $d$  the discriminant of the real quadratic field  $\mathbb{Q}(\sqrt{m})$ , and let  $\chi_d$  be the associated quadratic character<sup>\*</sup>. Then, for  $4m \equiv \mu \pmod{4}$ ,

$$b_\mu(m, v) = \zeta(-3)^{-1} H(2, 4m) \left[ \frac{4}{3} + 2 \frac{\zeta'(-3)}{\zeta(-3)} - \frac{1}{2} \log(d) - \frac{L'(-1, \chi_d)}{L(-1, \chi_d)} - C + \sum_{p|n} \left( \log|n|_p - \frac{b'_p(n, -1)}{b_p(n, -1)} \right) + \frac{1}{2} J\left(\frac{3}{2}, 4\pi mv\right) \right].$$

where

$$2C = \log(4\pi) + \gamma,$$

$$J\left(\frac{3}{2}, t\right) = \int_0^\infty e^{-tr} \frac{(1+r)^{\frac{3}{2}} - 1}{r} dr,$$

and for  $k = \text{ord}_p(n)$ ,

$$-\frac{1}{\log(p)} \frac{b'_p(n, -1)}{b_p(n, -1)} = \frac{2p^3}{1-p^3} + \frac{-\chi_d(p)p + \chi_d(p)(2k+1)p^{3k+1} - (2k+2)p^{3k+3}}{1 - \chi_d(p)p + \chi_d(p)p^{3k+1} - p^{3k+3}}.$$

(ii) For  $m < 0$ ,

$$b_\mu(m, v) = -\frac{\pi^2}{3} \frac{L(2, \chi_m)}{\zeta(4)} (\pi v)^{-\frac{3}{2}} \int_1^\infty e^{-4\pi|mv|r} r^{-\frac{3}{2}} dr.$$

(iii) For the constant term is given by

$$b_0(0, v) = \frac{1}{2} \log(v) - \frac{\pi}{6} \frac{\zeta(3)}{\zeta(4)} v^{-\frac{3}{2}}.$$

(iv) If  $4m \not\equiv \mu \pmod{4}$ , then  $b_\mu(m, v) = 0$ .

For  $m < 0$ , the  $L$ -series  $L(s, \chi_m)$  is a modified Dirichlet series analogous to that occurring in the definition of  $H(r, 4m)$ . In any case, it is clear that,  $\lim_{v \rightarrow \infty} b_\mu(m, v) = 0$  for  $m < 0$ . Similarly, for  $m > 0$ ,  $\lim_{v \rightarrow \infty} J(\frac{3}{2}, 4\pi mv) = 0$ .

**COROLLARY 5.3.** For  $m > 0$  with  $4m = n^2d$  and with  $4m \equiv \mu \pmod{4}$ ,

<sup>\*</sup>When  $4m = n^2$ , we take  $\mathbb{Q}(\sqrt{m}) = \mathbb{Q} \oplus \mathbb{Q}$ ,  $\chi_d = 1$  and  $L(s, \chi_1) = \zeta(s)$ .

$$\kappa_\mu(m) = \zeta(-3)^{-1} H(2, 4m) \left[ \frac{4}{3} + 2 \frac{\zeta'(-3)}{\zeta(-3)} - \frac{1}{2} \log(d) - \frac{L'(-1, \chi_d)}{L(-1, \chi_d)} - C + \sum_{p|n} \left( \log |n|_p - \frac{b'_p(n, -1)}{b_p(n, -1)} \right) \right].$$

If  $4m \not\equiv \mu \pmod{4}$ , then  $\kappa_\mu(m) = 0$ .

Now, in calculating  $\kappa(\Psi(\mathbf{f}))$  via Theorem 2.12, we can use the degree relation:

$$\begin{aligned} \kappa(\Psi(\mathbf{f})) &= \sum_{\mu} \sum_{m>0} c_\mu(-m) \kappa_\mu(m) + c_0(0) \frac{1}{2} C_0 \\ &= \sum_{\mu} \sum_{m>0} c_\mu(-m) 120 H(2, 4m) \times \\ &\quad \times \left[ -\frac{1}{2} \log(d) - \frac{L'(-1, \chi_d)}{L(-1, \chi_d)} + \sum_{p|n} \left( \log |n|_p - \frac{b'_p(n, -1)}{b_p(n, -1)} \right) \right] - \\ &\quad - c_0(0) \left[ \frac{4}{3} + 2 \frac{\zeta'(-3)}{\zeta(-3)} - C - \frac{1}{2} C_0 \right]. \end{aligned} \tag{5.39}$$

In the first example above, where  $m = \frac{1}{4}$ ,  $d = 1$ ,  $\chi_d = 1$  and  $L(s, \chi_d) = \zeta(s)$ , we obtain

$$\begin{aligned} \kappa(\Psi(\mathbf{f}_5)) &= \zeta(-3)^{-1} H(2, 1) \left[ \frac{4}{3} + 2 \frac{\zeta'(-3)}{\zeta(-3)} - \frac{\zeta'(-1)}{\zeta(-1)} - C \right] + 10 \cdot \frac{1}{2} C_0. \\ &= 10 \left[ -\frac{4}{3} - 2 \frac{\zeta'(-3)}{\zeta(-3)} + \frac{\zeta'(-1)}{\zeta(-1)} + \frac{3}{2} \log(2) + \log(\pi) \right]. \end{aligned} \tag{5.40}$$

Noting that  $|y|^2 = 2 \det(y)$  here, we have

$$\|\Psi(\mathbf{f}_5)(z)\|^2 = 2^{-12} |\Delta_5(z)|^2 2^5 \det(y)^5, \tag{5.41}$$

so that

$$\begin{aligned} &-\text{vol}(X)^{-1} \int_X \log (|\Delta_5(z)|^2 \det(y)^5) \cdot \Omega^3 \\ &= 10 \left[ -\frac{4}{3} - 2 \frac{\zeta'(-3)}{\zeta(-3)} + \frac{\zeta'(-1)}{\zeta(-1)} + \frac{3}{2} \log(2) + \log(\pi) \right] - 7 \log(2). \end{aligned} \tag{5.42}$$

In the second example, there are terms for  $m = \frac{5}{4}$ , 1 and  $\frac{1}{4}$ , and we obtain

$$\begin{aligned} \kappa(\Psi(\mathbf{f}_{3774})) &= 700 \left[ \frac{\zeta'(-1)}{\zeta(-1)} + \frac{b'_2(2, -1)}{b_2(2, -1)} + \log(2) \right] + \\ &\quad + 48 \left[ \frac{L'(-1, \chi_5)}{L(-1, \chi_5)} + \frac{1}{2} \log(5) \right] + \\ &\quad + 6800 \frac{\zeta'(-1)}{\zeta(-1)} + \\ &\quad + 7548 \left[ -\frac{4}{3} - 2 \frac{\zeta'(-3)}{\zeta(-3)} + \frac{3}{2} \log(2) + \log(\pi) \right], \end{aligned} \tag{5.43}$$

where

$$\frac{b'_2(2, -1)}{b_2(2, -1)} = -\frac{9}{11} \log(2). \tag{5.44}$$

and so on.

In the next section, we explain why the values  $\kappa_\mu(m)$  which occur here should be connected with the ‘arithmetic volumes’ of (suitable integral extensions of) the cycles  $Z(m, \varphi_\mu)$ .

### 6. Speculations

The integrals considered in this paper play a role in the arithmetic geometry of cycles on the  $\mathrm{GSpin}(n, 2)$  varieties discussed above. While these Shimura varieties have canonical models over  $\mathbb{Q}$ , for all  $n$ , we do not have a sufficient theory of the integral models to give a precise discussion of the integral extensions of the  $Z(m, \varphi)$ ’s for general  $n$ . In addition, even for the archimedean theory, due to the non-compactness of  $X_K$ , one will need a suitable theory of line bundles with singular metrics, Green’s currents with additional singularities, etc. Such problems are under consideration by Burgos, Kramer and Kühn [10]. For the case of arithmetic surfaces, i.e.,  $n = 1$ , see [5, 38]. Nonetheless, based on low dimensional calculations, it is possible to make some rough speculations, which provide a setting for the results of this paper.

A metrized line bundle  $\hat{\omega}$  on a projective arithmetic variety  $\mathcal{X}$  over  $\mathrm{Spec}(\mathbb{Z})$  defines a class  $\hat{\omega} \in \widehat{\mathrm{Pic}}(\mathcal{X}) \simeq \widehat{\mathrm{CH}}^1(\mathcal{X})$  and classes  $\hat{\omega}^r \in \widehat{\mathrm{CH}}^r(\mathcal{X})$ , the  $r$ th arithmetic Chow group of  $\mathcal{X}$ , with rational coefficients [18]. For a cycle  $\mathfrak{Z}$  on  $\mathcal{X}$  of codimension  $r$ , there is a height  $h_{\hat{\omega}}(\mathfrak{Z})$  with respect to  $\hat{\omega}$ , [6]. For example, for an integral horizontal  $\mathfrak{Z}$  of codimension  $r$ , with normalization  $j: \tilde{\mathfrak{Z}} \rightarrow \mathfrak{Z} \subset \mathcal{X}$ , assumed to be itself regular over  $\mathrm{Spec}(\mathbb{Z})$ ,

$$h_{\hat{\omega}}(\mathfrak{Z}) = \widehat{\mathrm{deg}} j^*(\hat{\omega}^{n+1-r}), \tag{6.1}$$

where  $\widehat{\mathrm{deg}}: \widehat{\mathrm{CH}}^{n+1-r}(\mathfrak{Z}) \rightarrow \mathbb{R}$  is the arithmetic degree map. Also, if  $(\mathfrak{Z}, g) \in \widehat{\mathrm{CH}}^r(\mathcal{X})$  is a codimension  $r$  cycle with Green’s current  $g$ , then, for the height pairing  $\langle \cdot, \cdot \rangle$  between  $\widehat{\mathrm{CH}}^r(\mathcal{X})$  and  $\widehat{\mathrm{CH}}^{n+1-r}(\mathcal{X})$ ,

$$\langle (\mathfrak{Z}, g), \hat{\omega}^{n+1-r} \rangle = h_{\hat{\omega}}(\mathfrak{Z}) + \frac{1}{2} \int_{\mathcal{X}(\mathbb{C})} g \cdot c_1(\hat{\omega})^{n+1-r}, \tag{6.2}$$

where  $c_1(\hat{\omega})$  is the first Chern form of  $\hat{\omega}$  on  $\mathcal{X}(\mathbb{C})$ .

For  $V$  of signature  $(n, 2)$ , let  $X = X_K$  be the canonical model over  $\mathbb{Q}$  of the arithmetic quotient  $\Gamma_K \backslash D^+$ . Here we are assuming that  $K$  is large enough so that  $X$  is geometrically irreducible. Suppose that we have a regular model  $\mathcal{X}$  of  $X$  over  $\mathrm{Spec}(\mathbb{Z})$ , with a regular compactification  $\tilde{\mathcal{X}}$ . Suppose that the metrized line bundle  $\mathcal{L}^\vee$  dual to  $\mathcal{L}$  (cf. (1.4) and (1.5)) on  $X$  is the restriction of a line bundle  $\hat{\omega}$  on  $\tilde{\mathcal{X}}$ , where the metric on  $\hat{\omega}$  is allowed to have singularities along  $\tilde{X}(\mathbb{C}) \setminus X(\mathbb{C})$ . Note that the first Chern form of  $\hat{\omega}$  is the form  $\Omega$  considered above. Suppose that one has a sufficiently extended theory of an arithmetic Chow ring (with rational coefficients)  $\widehat{\mathrm{CH}}^\bullet(\tilde{\mathcal{X}})$  so

that the height construction can be applied. Thus, in particular,  $\hat{\omega}$  defines a class in  $\widehat{CH}^1(\mathfrak{X})$  and powers  $\hat{\omega}^r \in \widehat{CH}^r(\mathfrak{X})$ , etc.

Next, we consider a Borchers form  $\Psi = \Psi(f)^2$  of weight  $c_0(0)$ . Then  $\Psi$  is meromorphic function on  $X(\mathbb{C}) \simeq \mathfrak{X}(\mathbb{C})$ , whose divisor is rational over  $\mathbb{Q}$ . We suppose that, in fact, there is a (rational) section  $\tilde{\Psi}$  of  $(\omega^{-1})^{c_0(0)}$  whose restriction to  $\mathfrak{X}(\mathbb{C}) \simeq X(\mathbb{C})$  is  $\Psi$ . It follows that  $\widehat{\text{div}}(\tilde{\Psi}) = -c_0(0)\hat{\omega} \in \widehat{CH}^1(\mathfrak{X})$ . Then, we would have

$$\begin{aligned} -c_0(0) \langle \hat{\omega}, \hat{\omega}^n \rangle &= \langle \widehat{\text{div}}(\tilde{\Psi}), \hat{\omega}^n \rangle \\ &= h_{\hat{\omega}}(\text{div}(\tilde{\Psi})) + \frac{1}{2} \int_{X(\mathbb{C})} \log \|\Psi\|^{-2} \Omega^n \\ &= h_{\hat{\omega}}(\text{div}(\tilde{\Psi})) + \frac{1}{2} \text{vol}(X) \kappa(\Psi). \end{aligned} \tag{6.3}$$

Recall that (Theorem 1.3), on  $X(\mathbb{C})$ ,

$$\text{div}_X(\Psi) = \text{div}_{\mathfrak{X}_{\mathbb{Q}}}(\Psi(f)^2) = \sum_{\varphi} \sum_{m>0} c_{\varphi}(-m) Z(m, \varphi). \tag{6.4}$$

Then, on the integral model, we would have

$$\text{div}_{\mathfrak{X}}(\tilde{\Psi}) = \sum_{\varphi} \sum_{m>0} c_{\varphi}(-m) \mathfrak{Z}(m, \varphi) + (\text{vertical components}), \tag{6.5}$$

where the  $\mathfrak{Z}(m, \varphi)$ 's have generic fibers  $\mathfrak{Z}(m, \varphi)_{\mathbb{Q}} = Z(m, \varphi)$ .

Using the expression in Theorem 2.12 for  $\kappa(\Psi) = 2\kappa(\Psi(f))$ , we obtain

$$\begin{aligned} -c_0(0) \langle \hat{\omega}, \hat{\omega}^n \rangle &= \sum_{\varphi} \sum_{m>0} c_{\varphi}(-m) [h_{\hat{\omega}}(\mathfrak{Z}(m, \varphi)) + \text{vol}(X) \kappa_{\varphi}(m)] + \\ &\quad + \text{vol}(X) c_0(0) \kappa_0(0) + \\ &\quad + \text{contributions of vertical components.} \end{aligned} \tag{6.6}$$

This (hypothetical) relation is suggestive. For example, if  $c_0(0) = 0$  so that  $\widehat{\text{div}}(\tilde{\Psi}) = 0$ , we obtain

$$\begin{aligned} 0 &= \sum_{\varphi} \sum_{m>0} c_{\varphi}(-m) [h_{\hat{\omega}}(\mathfrak{Z}(m, \varphi)) + \text{vol}(X) \kappa_{\varphi}(m)] + \\ &\quad + \text{contributions of vertical components,} \end{aligned} \tag{6.7}$$

which suggests a close relation between  $\kappa_{\varphi}(m)$  and the height  $h_{\hat{\omega}}(\mathfrak{Z}(m, \varphi))$ .

In our example for  $n = 5$  from Section 5, we can write

$$\begin{aligned} \kappa_{\mu}(m) &= \text{vol}(X)^{-1} \text{deg}(Z(m, \varphi)) \times \\ &\quad \times \left[ -\frac{1}{2} \log(d) - \frac{L'(-1, \chi_d)}{L(-1, \chi_d)} + \sum_{p|n} \left( \log |n|_p - \frac{b'_p(n, -1)}{b_p(n, -1)} \right) \right] + \\ &\quad + \text{vol}(X)^{-1} \text{deg}(Z(m, \varphi)) \left[ \frac{4}{3} + 2 \frac{\zeta'(-3)}{\zeta(-3)} - C \right], \end{aligned} \tag{6.8}$$

so that (6.6) can be written as

$$\begin{aligned}
 -c_0(0) \langle \hat{\omega}, \hat{\omega}^3 \rangle &= \sum_{\varphi} \sum_{m>0} c_{\varphi}(-m) \delta(m, \varphi_{\mu}) + \\
 &+ \text{vol}(X) c_0(0) \left[ \kappa_0(0) - \frac{4}{3} - 2 \frac{\zeta'(-3)}{\zeta(-3)} + C \right] + \\
 &+ \text{contributions of vertical components.} \tag{6.9}
 \end{aligned}$$

where

$$\begin{aligned}
 \delta(m, \varphi_{\mu}) &= h_{\hat{\omega}}(\mathfrak{Z}(m, \varphi_{\mu})) + \\
 &+ \text{deg}(Z(m, \varphi)) \left[ -\frac{1}{2} \log(d) - \frac{L'(-1, \chi_d)}{L(-1, \chi_d)} + \sum_{p|n} \left( \log|n|_p - \frac{b'_p(n, -1)}{b_p(n, -1)} \right) \right]. \tag{6.10}
 \end{aligned}$$

Again, this suggests that

$$\begin{aligned}
 h_{\hat{\omega}}(\mathfrak{Z}(m, \varphi_{\mu})) &\equiv -\text{deg}(Z(m, \varphi)) \left[ -\frac{1}{2} \log(d) - \frac{L'(-1, \chi_d)}{L(-1, \chi_d)} + \right. \\
 &\left. + \sum_{p|n} \left( \log|n|_p - \frac{b'_p(n, -1)}{b_p(n, -1)} \right) \right] \tag{6.11}
 \end{aligned}$$

and

$$\langle \hat{\omega}, \hat{\omega}^3 \rangle \equiv \text{vol}(X) \left[ \frac{4}{3} + 2 \frac{\zeta'(-3)}{\zeta(-3)} - \frac{3}{2} \log(2) - \log(\pi) \right], \tag{6.12}$$

where, in both relations, we have still to account for a possible linear combination of  $\log(p)$ 's coming from vertical components. In addition, it is possible to shift a term of the form

$$\text{vol}(X)^{-1} \text{deg}(Z(m, \varphi)) \cdot A, \tag{6.13}$$

where  $A$  is a constant independent of  $\mu$  and  $m$ , between the two terms in (6.8), so there is some further ambiguity. It seems reasonable to expect that  $A$  is a multiple of  $\zeta'(-1)/\zeta(-1)$ . This would be consistent with recent results of Bruinier and Kühn for certain Hilbert modular varieties, [9], Kühn's thesis [37], and conjectures of Maillot and Roessler, [40]. Recall that  $\text{vol}(X) = \zeta(-1)\zeta(-3)$ .

Of course, this discussion is too vague with respect to integral models, compactifications, an extended theory of arithmetic Chow rings, and vertical contributions. Nonetheless, it explains the motivation for considering the quantities  $\kappa_X(\Psi(f))$  and  $\kappa_{\varphi}(m)$  and their possible applications.

**Acknowledgements**

Work on the possibility of using Borchers' forms  $\Psi(f)$  in Arakelov theory began at the program on Arithmetic Geometry the Isaac Newton Institute during May–June

1998. The main steps in computing  $\kappa(\Psi(f))$  were done during a stay at Orsay in June of 1999. The examples in Section 5 were worked out during a visit to Humbolt University in Berlin in June of 2001. The speculations in Section 6 profited from discussions with Ulf Kühn at that time. I would like to thank these institutions and my hosts (J. Nekovar and C. Soulé in Cambridge, J.-B. Bost and G. Henniart in Orsay, and J. Kramer in Berlin) for providing a wonderful working environment.

I would like to thank A. Abbes, R. Borcherds, J.-B. Bost, Jens Funke, M. Harris, J. Kramer, Ulf Kühn, J. Millson, J. Nekovar, M. Rapoport, D. Rohrlich, E. Ullmo and T. Yang for stimulating discussions and valuable suggestions. I would particularly like to thank Tonghai Yang for allowing me to quote the results of our joint project on the derivatives of Fourier coefficients of Eisenstein series and for many incisive comments, which considerably improved this paper.

Finally, this work has been supported by NSF grant DMS-9970506 and by a Max-Planck Research Prize from the Max-Planck Society and the Alexander von Humboldt-Stiftung.

## References

1. Borcherds, R.: Automorphic forms on  $O_{s+2,2}(\mathbf{R})$  and infinite products, *Invent. Math.* **120** (1995), 161–213.
2. Borcherds, R.: Automorphic forms with singularities on Grassmannians, *Invent. Math.* **132** (1998), 491–562.
3. Borcherds, R.: The Gross–Kohnen–Zagier theorem in higher dimensions, *Duke Math. J.* **97** (1999), 219–233.
4. Borcherds, R.: Correction to: The Gross–Kohnen–Zagier theorem in higher dimensions, *Duke Math. J.* **105** (2000), 183–184.
5. Bost, J.-B.: Potential theory and Lefschetz theorems for arithmetic surfaces, *Ann. Sci. École Norm. Sup.* **32** (1999), 241–312.
6. Bost, J.-B., Gillet, H. and Soulé, C.: Heights of projective varieties and positive Green forms, *J. Amer. Math. Soc.* **7** (1994), 903–1027.
7. Bruinier, J. H.: Borcherds products and Chern classes of Hirzebruch–Zagier divisors, *Invent. Math.* **138** (1999), 51–83.
8. Bruinier, J. H.: Borcherds products on  $O(2,1)$  and Chern classes of Heegner divisors, Preprint (2000).
9. Bruinier, J. H. and Kühn, U.: in preparation.
10. Burgos, J., Kramer, J. and Kühn, U.: in preparation.
11. Cohen, H.: Sums involving the values at negative integers of L-functions of quadratic characters, *Math. Ann.* **217** (1975), 271–285.
12. Eichler, M. and Zagier, D.: *The Theory of Jacobi Forms*, Progr. in Math. 55, Birkhäuser, Basel, 1985.
13. Flensted-Jensen, M.: Discrete series for semisimple symmetric spaces, *Ann. of Math.* **111** (1980), 253–311.
14. Freitag, E. and Hermann, C. F.: Some modular varieties of low dimension, *Adv. in Math.* **152** (2000), 203–287.
15. Funke, J.: Rational quadratic divisors and automorphic forms, Thesis, University of Maryland, (1999).
16. Funke, J.: Heegner Divisors and nonholomorphic modular forms, *Compositio Math.* **133**(3) (2002), 289–321.

17. Gelbart, S.: *Weil's Representation and the Spectrum of the Metaplectic Group*, Lecture Notes in Math. 530, Springer, New York, 1976.
18. Gillet, H. and Soulé, C.: Arithmetic intersection theory, *Publ. Math. IHES* **72** (1990), 93–174.
19. Gritsenko, V. and Nikulin, V.: Siegel automorphic corrections of some Lorentzian Kac–Moody Lie algebras, *Amer. J. Math.* **119** (1997), 181–224.
20. Harris, M.: Arithmetic vector bundles and automorphic forms on Shimura varieties I, *Invent. Math.* **82** (1985), 151–189.
21. Harvey, J. and Moore, G.: Algebras, BPS states, and strings, *Nuclear Phys. B* **463** (1996), 315–368.
22. Hejhal, D.: *The Selberg Trace Formula for  $PSL(2, \mathbb{R})$ , Vol 2.*, Lecture Notes in Math. 1001, Springer, New York, 1983.
23. Hermann, C. F.: Some modular varieties related to  $\mathbb{P}^4$ , In: W. Barth, K. Hulek and H. Lange, (eds.), *Abelian Varieties*, Walter de Gruyter, Berlin, 1995, pp. 103–129.
24. Hermann, C. F.: New relations between the Fourier coefficients of modular forms of Nebentypus, with applications to quaternary quadratic forms, In: W. Barth, K. Hulek and H. Lange, (eds.), *Abelian Varieties*, Walter de Gruyter, Berlin, 1995, pp. 131–140.
25. Hirzebruch, F. and Zagier, D.: Intersection numbers of curves on Hilbert modular surfaces and modular forms of Nebentypus, *Invent. Math.* **36** (1976), 57–113.
26. Kudla, S.: Algebraic cycles on Shimura varieties of orthogonal type, *Duke Math. J.* **86** (1997), 39–78.
27. Kudla, S.: Central derivatives of Eisenstein series and height pairings, *Ann. of Math.* **146** (1997), 545–646.
28. Kudla, S.: Derivatives of Eisenstein series and generating functions for arithmetic cycles, *Sém. Bourbaki 876, Astérisque* **276** (2002), 341–368.
29. Kudla, S. and Millson, J.: The theta correspondence and harmonic forms I, *Math. Ann.* **274** (1986), 353–378.
30. Kudla, S. and Millson, J.: The theta correspondence and harmonic forms II, *Math. Ann.* **277** (1987), 267–314.
31. Kudla, S. and Millson, J.: Tubes, cohomology with growth conditions and an application to the theta correspondence, *Canad. J. Math.* **40** (1988), 1–37.
32. Kudla, S. and Millson, J.: Intersection numbers of cycles on locally symmetric spaces and Fourier coefficients of holomorphic modular forms in several complex variables, *Publ. Math. IHES* **71** (1990), 121–172.
33. Kudla, S. and Rallis, S.: A regularized Siegel–Weil formula: the first term identity, *Ann. of Math.* **139** (1994), 1–80.
34. Kudla, S., Rapoport, M. and Yang, T.: On the derivative of an Eisenstein series of weight 1, *Internat. Math. Res. Notices*, **7** (1999), 347–385.
35. Kudla, S., Rapoport, M. and Yang, T.: Derivatives of Eisenstein series and Faltings heights, Preprint (2001).
36. Kudla, S. and Yang, T.: in preparation.
37. Kühn, U.: Über die arithmetischen Selbstschnittzahlen zu Modulkurven und Hilbertschen Modulflächen, Dissertation, Humboldt–Universität zu Berlin, 1999.
38. Kühn, U.: Generalized arithmetic intersection numbers, *J. Reine Angew. Math.* **534** (2001), 209–236.
39. Lebedev, N. N.: *Special Functions and their Applications*, Dover, New York 1972.
40. Maillot, V. and Roessler, D.: Conjectures sur les dérivées logarithmiques des fonctions L d'Artin aux entiers négatifs, Preprint (2001).



41. Milne, J.: Canonical models of (mixed) Shimura varieties and automorphic vector bundles, In: *Automorphic Forms, Shimura Varieties and L-Functions*, Perspect. Math. 10, Academic Press, Boston, 1990, pp. 283–414.
42. Niebur, D.: A class of nonanalytic automorphic functions, *Nagoya Math. J.* **52** (1973), 133–145.
43. Oda, T.: On modular forms associated to indefinite quadratic forms of signature  $(2, n - 2)$ , *Math. Ann.* **231** (1977), 97–144.
44. Oda, T.: A note on a geometric version of the Siegel formula for quadratic forms of signature  $(2, 2k)$ , *Sci. Rep. Niigata Univ.* **20** (1984), 13–24.
45. Petersson, H.: Konstruktion der Modulformen und der zu gewissen Grenzkreisgruppen gehörigen automorphen Formen von positiver reeller Dimension und die vollständige Bestimmung ihrer Fourierkoeffizienten, *S.-B. Heidelberger Akad. Wiss. Math. Nat. Kl.* (1950), 417–494.
46. Rademacher, H.: The Fourier coefficients of the modular invariant  $J(\tau)$ , *Amer. J. Math.* **60** (1938), 501–512.
47. Rademacher, H. and Zuckermann, H.: On the Fourier coefficients of certain modular forms of positive dimension, *Ann. of Math.* **39** (1938), 433–462.
48. Rallis, S. On the Howe duality conjecture, *Compositio Math.* **51** (1984), 333–399.
49. Rallis, S. and Schiffmann, G.: Représentations supercuspidales du groupe métaplectique, *J. Math. Kyoto Univ.* **17** (1977), 567–603.
50. Rohrlich, D.: A modular version of Jensen’s formula, *Math. Proc. Cambridge Philos. Soc.* **95** (1984), 15–20.
51. Siegel, C. L.: *Lectures on Quadratic Forms*, TATA Institute, Bombay, 1957.
52. van der Geer, G.: On the geometry of a Siegel modular threefold, *Math. Ann.* **260** (1982), 317–350.
53. van der Geer, G.: *Hilbert Modular Surfaces*, Springer, New York, 1988.
54. Waldspurger, J.-L.: Correspondance de Shimura, *J. Math. Pures Appl.* **59** (1980), 1–132.
55. Weil, A.: Sur certains groupes d’opérateurs unitaires, *Acta Math.* **111** (1964), 143–211.
56. Weil, A.: Sur la formule de Siegel dans la théorie des groupes classiques, *Acta Math.* **113** (1965), 1–87.
57. Zagier, D.: Nombres de classes et formes modulaires de poids  $3/2$ , *C.R. Acad. Sci. Paris* **281** (1975), 883–886.
58. Zuckerman, H.: On the coefficients of certain modular forms belonging to subgroups of the modular group, *Trans. Amer. Math. Soc.* **45** (1939), 298–321.