

ARTICLE

China's zero-COVID policy and psychological distress: a spatial quasi-experimental design

Karl Yan¹, Shan Jiang², Lili Xia², Tianye Jin³, Anran Dai², Chudie Gu² and Angran Li⁴ 

¹Chinese University of Hong Kong, Shenzhen, China, ²Zhejiang University, Hangzhou, China, ³Northeastern University, Shenyang, China and ⁴Center for Applied Social and Economic Research (CASER), New York University-Shanghai, Shanghai, China

Corresponding author: Angran Li; Email: al8090@nyu.edu

(Received 26 July 2022; revised 27 June 2023; accepted 7 August 2023)

Abstract

Before the Omicron variant ran amok inside China in November 2022, the Chinese central government's dynamic zero-COVID policy effectively contained the spread of the coronavirus and its variants during multiple waves of outbreaks. However, it was not without cost. This study examines the impacts of stringent lockdown interventions on urban residents' mental health during the initial outbreak of the Omicron variant in the spring of 2022. Using survey data from 522 respondents within the same neighbourhood and a spatial quasi-experimental design, the results show that strict lockdown interventions are significantly related to higher levels of psychological distress after controlling for observed confounders and that lockdown interventions have further spillover effects on mental health for residents in adjacent residential compounds who are otherwise free. Moreover, the results show that the lack of material supplies and medical care plays a more salient role in explaining lockdown effects on psychological distress than residents' social interaction and trust levels of COVID-19 policy. Policy and intervention implications are also discussed.

Keywords: zero-COVID policy; psychological distress; social capital; political trust; China

Introduction

Before November 2022, China's "dynamic zero-COVID" policy (动态清零) played an essential role in containing the coronavirus and its variants during the pandemic. In contrast to lockdown policies in other countries, the Chinese government imposed stringent controls and regulations that had been reinforced through various technological upgrades and mobilised various layers of bureaucratic units to track, monitor and constrain people's movement (Mei, 2020; Li et al., 2021). The goal of the zero-COVID policy was to suppress the transmission of the virus within a designated geographical region and save more lives (Yang et al., 2022), yet its

© The Author(s), 2023. Published by Cambridge University Press. This is an Open Access article, distributed under the terms of the Creative Commons Attribution licence (<https://creativecommons.org/licenses/by/4.0/>), which permits unrestricted re-use, distribution, and reproduction in any medium, provided the original work is properly cited.

achievement is not without cost. For example, during the outbreak of the Omicron variant in the spring of 2022, in some reported cases, local authorities in Shanghai placed fences around residential buildings to prevent citizens from leaving; and several communities experienced limited access to food and medical care (Burki, 2022). In addition to spatial and material restrictions, these heavy-handed approaches led to unintended negative consequences for mental health.

Since the outbreak of coronavirus in early 2020, an increasing number of researchers have shown that the lockdown strategy could slow the spread of COVID-19, but its downside costs could not be neglected (Zhang et al., 2020). Lockdowns have been linked to various mental health problems, including emotional and behavioural disorders and psychophysical disturbance (Adams-Prassl et al., 2022; Bueno-Notivol et al., 2021; Daria & Islam, 2022; Ganesan et al., 2021; Kumar & Nayar, 2021; Newlove-Delgado et al., 2021). These mental health consequences were evidenced to vary according to the stringency of local lockdown measures in different countries since the degrees of compliance with lockdown measures differ (Hale et al., 2021).

Most of the foregoing literature focused on the overall impacts of lockdown policies, while little research has examined the direct effects of strict stay-at-home and quarantine orders by taking local neighbourhood spatial settings into account. The socio-spatial density and structure of neighbourhoods create an important venue to examine the patterns for the spread of viral diseases and provide a unique setting to understand how lockdown measures and policies affect mental health. Furthermore, there is less research investigating the mediation effects of lockdown-related factors, including restraints on material supply and medical care, neighbourhood social interaction and people's trust in COVID policy.

Against this backdrop, this study asks two research questions. First, how did the lockdown interventions affect urban residents' psychological distress, and how may they affect residents from adjacent non-lockdown communities? Second, how did the shortages of material supply and medical care, social interaction among neighbours and trust levels towards COVID policy explain the lockdown effects on psychological distress? To respond to the above questions, this study examines the direct impact of lockdowns on mental health under the dynamic zero-COVID policy in China. This study adopts a spatial quasi-experimental design to select locked and unlocked residential compounds as experimental and control groups within the same administrative district to examine the spillover effects of lockdowns. This research design allows us to rigorously quantify the psychological consequences of the COVID-19 lockdowns while also shedding light on the impact of neighbourhood spatial features on urban residents' mental health, as well as accounting for the effects of social connections, political trust and the local community's capacity in providing material supply and medical care for its restrained residents.

Theoretical background

The spillover effects of community lockdown on mental health

While lockdown policies are the most effective strategy to prevent and control the community transmission of COVID-19 (Cai et al., 2022), they have unintended

negative consequences on mental health. Many studies reported adverse effects of the lockdown on mental health, as manifested in increased levels of psychological distress and poor psychological well-being (Gao et al., 2020; Rossi et al., 2020; Torales et al., 2020; Zhang & Ma, 2020). The symptoms of lockdown-induced mental problems include depression, anxiety, anger, insomnia, emotional exhaustion, acute stress symptoms, bipolar disorders and even suicidal ideation (Brooks et al., 2020; Gan et al., 2022; Rajkumar, 2020; Rubin & Wessely, 2020).

Lockdown policies during the COVID-19 pandemic can have spillover impacts on the mental health of individuals in non-lockdown communities, both directly and indirectly. Residents in non-lockdown communities may experience stress and anxiety about the potential of community transmission from neighbouring lockdown communities to their communities due to physical proximity. However, though previous research on spatial spillover effects correctly indicated that surrounding areas would be affected by lockdowns, scholars failed to clearly identify the geographical limit of such effects. For instance, Yang et al., (2022) revealed that public panic during the pandemic showed hierarchical and neighbourhood diffusion, with stronger influences at the outbreak sites, economically developed areas, and surrounding areas. Similarly, Davis et al., (2011) and Liberman et al., (2007) noted the positive relationship between psychological distance and spatial distance, and this relationship directly contributes to an increase in mental health concerns. Therefore, neighbourhood lockdowns lead to fear and concern about non-lockdown residents' health and the health of their family members, which can negatively impact their mental health.

On the other hand, lockdown policies can directly lead to social isolation and disruption of routines, which can cause loneliness, depression and anxiety (Brooks et al., 2020; Daria & Islam, 2022; Rossi et al., 2020). For instance, residents in non-lockdown communities may be unable to see their friends and family members since they are restricted from and concerned about traveling to nearby lockdown areas due to the risk of infection. This can lead to feelings of social disconnection and loneliness, which are known risk factors for poor mental health. While lockdown policies are designed to protect public health in areas with COVID-19 transmission, they can have unintended consequences on neighbouring communities, particularly geographically adjacent ones. Therefore, we test the following hypothesis:

Hypothesis 1. *Lockdown is negatively associated with the mental health conditions of urban community residents, and it also has spillover effects on residents from neighbouring non-lockdown communities.*

Lockdown policies, material supply and medical shortages and mental health

The fear of being infected was the leading risk factor for mental health problems when COVID-19 initially broke out in late 2019 (Ren et al., 2020). However, people would be exposed to a broader range of lockdown-related stressors under quarantine settings, such as supply shortages, banned social gatherings, restrained activities and confined space, all of which adversely contribute to the mental health conditions of residents (Amerio et al., 2020; Brooks et al., 2020; Hou et al., 2020;

Wang et al., 2022). Safety nets to preserve livelihoods may be scarce during the epidemic, especially in developing countries (Fan et al., 2021; Cai et al., 2022). People under lockdowns were thrown into a survival status in which they needed to worry about food and primary medical care, as lockdowns raise the risk of income cessation and limited access to necessities with the suspension of production and outpatient care, the closure of stores and the disruption of supply chains (Hamadani et al., 2020). Inadequate distribution of daily necessities and supply shortages produced by the pandemic frustrate residents' physiological needs, thereby generating risks for their psychological conditions during a lockdown (Gloster et al., 2020; Pfefferbaum & North, 2020; Rahman et al., 2021).

Moreover, access to medical services, social services and other basic infrastructure, such as sanitation, is also significant in fostering mental health (Ranscombe, 2020). Regular lockdowns might exhaust the country's medical capabilities and put a massive strain on medical resources. The restraining regulations on medicines and the strict rules on physical movement also limited the access and delivery of non-COVID drugs and medical treatments. A lack of essential medical resources has been identified as a significant risk factor for psychological disorders under the COVID-19 pandemic and lockdown policies (Jia et al., 2021; Ren et al., 2020). In summary, we propose the following hypothesis:

Hypothesis 2. *A lack of material and medical supplies caused by the lockdown is negatively associated with the mental health conditions of community residents, which are important mediators that explain the lockdown effects.*

Social capital, political trust and mental health

Neighbourhoods comprise one of the most critical social ecosystems for individuals, especially in China, where collectivism is valued (Huang, 2006; Steele & Lynch, 2013). Previous literature has proposed the mechanisms through which neighbourhood-level processes promote individual mental health, one of which is community social capital (McElroy et al., 2019; Qiu et al., 2019). Social capital refers to the resources embedded in one's social networks, including both cognitive and structural components, with the former focusing on norms, beliefs and attitudes that promote cooperation and the latter highlighting the social relations and connections within a community (Coleman, 1988; Kawachi et al., 2008; Putnam, 1993; Wu, 2021).

Various indicators of community social capital, such as community-based collaboration and social support from neighbourhoods, positively predict individual mental health (Henderson & Whiteford, 2003; Wind et al., 2012). Social connection and social support, as representative forms of social capital, help individuals cope with stress, stay resilient, and sustain mental health (Pancani et al., 2021). Combating the COVID-19 epidemic collectively may promote individuals' mental health by generating solidarity and fostering social integration. However, the spread of the COVID-19 pandemic and lockdowns have disrupted face-to-face interactions, decreased social connectedness and imposed social isolation (Banerjee & Rai, 2020). To be specific, lockdowns decrease social capital by disrupting social contacts and limiting access to social support, which frustrate the psychological need for relatedness and induce an increased risk of internalising and externalising disorders

among people in COVID-19-affected communities (Bartscher et al., 2021; Luo et al., 2022).

In addition to social capital, people's trust, confidence and consensus in the government's capacity to quickly suppress community transmission also influence their mental health during the pandemic. Political trust can be broadly categorised to include five levels along the lines of 'political community, regime principles, regime performance, regime institutions, and political actors' (Norris, 1999). Considering the foregoing fivefold categorisation, this definition helps find some kernels of truth concerning the relationship between political trust and mental health. First and foremost, in the broader study on the role of political trust on mental health during the global pandemic, scholars agree that such trust shields people from threats; during COVID-19, those who trust their governments do not worry as much as those who do not exhibit political trust (Dryhurst et al., 2020; Lindstrom & Mohseni, 2009). Second, concerning depression, Choi et al. (2023) argue that political trust can play a protective role for 'those who worry more and feel more anxious about COVID-19'. Based on these studies, political trust is negatively associated with depression. Moreover, when individuals have high levels of political trust, they are more likely to have faith in the ability of their government to manage crises and address social problems effectively. This can lead to feelings of safety and security, therefore reducing stress and anxiety levels. By way of contrast, low levels of political trust can lead to feelings of uncertainty, fear and distrust, which can increase stress and anxiety levels. Therefore, the foregoing observation leads to our third hypothesis:

Hypothesis 3. *Neighbourhood social interaction and people's trust in the government's ability to suppress community transmission and consensus towards zero-COVID policy are related to lower levels of psychological distress and significantly explain the lockdown effects on mental health.*

Data and methods

Research site and data collection

Since November 2021, the Omicron variant of SARS-CoV-2 has swiftly supplanted the Delta variant as the dominant circulating strain, posing severe challenges for the world's epidemic control efforts. Since the Omicron variant has quickly spread across the country, the Chinese central government has reinforced the nationwide mobilisation for the 'dynamic zero-COVID policy'. To some extent, local citizens from all other major cities in which COVID cases have been identified have to go through the inconveniences of community lockdown. In February 2022, a new wave of Omicron infection rapidly emerged in Shanghai, causing the city to become the epicentre of this new variant. By May 4, 2022, the Shanghai Municipal Health Commission announced that 593,336 cases had been diagnosed, including 538,450 asymptomatic patients. As a result, Shanghai was poised to enter a 3-month-long strict lockdown for the entire city.

Our research team conducted a community-based online survey in April 2022 in Hangzhou, a major city known for its proximity to the epicentre of Shanghai. We retrospectively collected information on the lockdown interventions that had been

implemented by local officials in the selected neighbourhood (shequ, 社区) that included three residential compounds (xiaoqu, 小区). The selected neighbourhood in this study was established in 2016, which includes four residential compounds, and has approximately 3,200 households in 2022. Since one residential compound was built in 2020 and had a limited number of households, it was excluded from the study. As Hangzhou has undergone rapid urbanisation, it is a rising middle-class neighbourhood outside the downtown area of Hangzhou. In April 2022, the average housing price of the examined neighbourhood was approximately 40,000 RMB per square metre, which is above the average housing price for the city of Hangzhou, 34,000 RMB per square metre.

In the selected neighbourhood, one residential compound, as the treatment group, experienced a 2-week strict lockdown intervention from March 13 to 27, 2022. All residents were not allowed to enter or leave the compound since there was one identified case of COVID-19. The other two residential compounds that did not receive lockdown intervention were considered as control groups. Residents who joined their neighbourhood social media WeChat groups were invited to participate in the online survey. Survey respondents answered questions regarding their life experiences over the 2 weeks of lockdown. The survey asked respondents to evaluate their mental health conditions, trust level about the zero-COVID policy and social connections with their neighbours. Furthermore, they were asked to report sociodemographic information about themselves and their families. A total of 522 participants in three residential compounds completed the questionnaires. The treatment group consisted of 178 participants, and 344 participants were from the two control groups.

The initial research design attempted to randomly select residents from all households in three residential compounds for on-site questionnaire interviews. On-site interviews can help to increase the response rate. However, due to strict epidemic control, local community officials could not provide specific individual information on the sampling frames due to their protection of residents' privacy in sealed-off residential compounds. In addition, because of the zero-COVID policy, we could not conduct on-site interviews and only collect data through online questionnaires. As suggested by local community officials, we distributed the online questionnaires to the WeChat group in the community. During the epidemic control period, all households were asked to join and be included in a WeChat group created by local officials. These WeChat groups were used daily for communication purposes – informing residents of relevant information with regard to pandemic control and other important notices and messages from the local community. Through these WeChat groups, we could reach out to as many households as possible, thus improving the sample's representativeness. However, we cannot rule out the possibility of a low representation of the elderly population in the sample. They may have limited access to digital devices and the internet, resulting in sample selection bias.

Ethical concerns

When collecting COVID-related data in China, it is necessary to comply with the research ethics and to obtain permission and support from the local government. We submitted the questionnaire for review by the local government and community

officials and sought their approval. This is a prerequisite for the data collection process for our research project. According to the previous research, the initial questionnaire included a series of standardised scales measuring psychological distress, social capital and political attitudes. Because local officials deemed many questions on the standardised scales to be sensitive, we revised the questionnaire and the standardised scales. For example, they believed that the question of suicide could have a negative guiding effect on the residents, especially during the epidemic lockdown. They, therefore, deemed the initial design unsuitable for distribution. Therefore, we made corresponding adjustments to the original scales and questions, and the revised questionnaire passed the review by the local government and community officials. We acknowledge that this solution may affect the reliability and validity of the measurement, resulting in some measurement errors.

Overall, we have attempted to collect data from all possible sources; however, due to the pandemic and the restrictions placed on in-person research, the data we have collected is not perfect. We have attempted to use online sources to access more households, but the data is still limited due to censorship. However, as a valuable historical record, our data reflects the real reactions of urban community residents to the lockdown interventions during China's zero-COVID period.

Quasi-experimental design

Figure 1 shows the map of the studied neighbourhood and the spatial locations of treatment and control groups. Our research design yields two essential features. First, although we do not have control over the treatment and it is not randomly assigned, the confirmation of the first identified COVID case in the selected neighbourhood can be considered a random event, and the assignment of treatment resembles a natural quasi-experimental design. In addition, according to local community officials, residents of the studied neighbourhood are from similar sociodemographic backgrounds and receive the same community services, which yields a substantial homogeneity of background factors. Thus, the current design can account for systemic differences among treatment and control groups and avoid selection bias. Second, we purposefully select two control groups to directly examine the spillover effects of lockdown intervention on the mental health of residents in non-lockdown residential compounds. As shown in Figure 1, control group A is separated from the treatment group by a river and is close to a subway station, while control group B and the treatment group are adjacent to each other. We expect that the lockdown intervention will have a more substantial spillover effect on mental health when comparing the treatment group and the control group B. Consequently, our design reveals that the influences of lockdown depend on the neighbourhood's spatial settings.

Measurements

Outcome variable

A nine-item composite variable is constructed to measure respondents' psychological distress. Participants were asked to rate on a four-point Likert scale how tired, nervous, helpless, unrested, anxious, fearful and worrisome they felt during the past week (1 = not at all to; 4 = most of the time). The nine-item

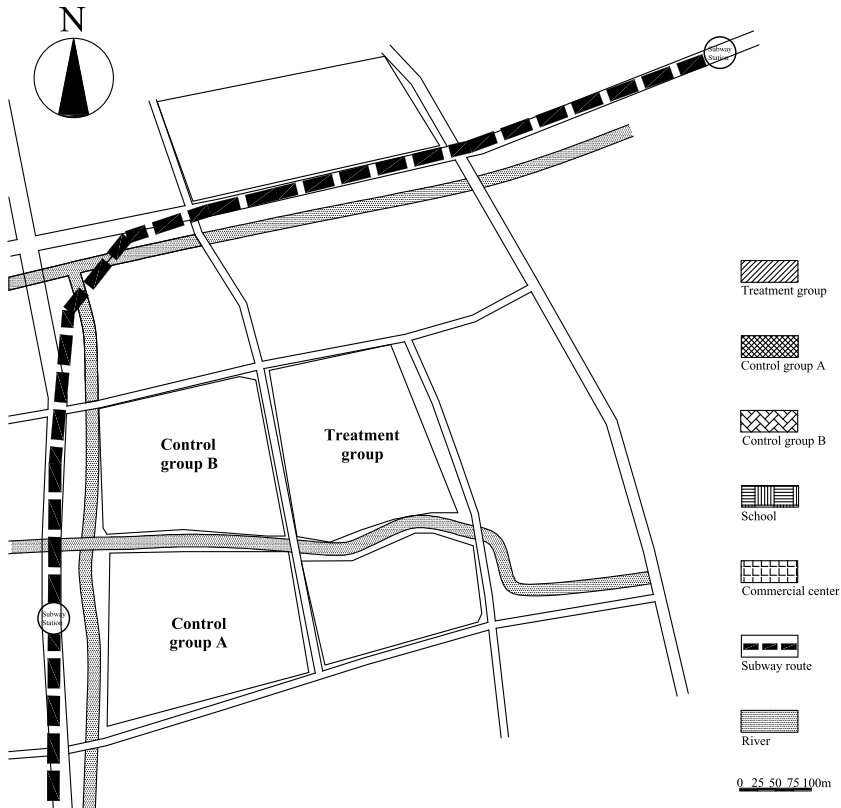


Figure 1. Illustration of spatial quasi-experimental design examining the lockdown effects on mental health.

measurement yields a high internal consistency. The Cronbach's alpha coefficient is 0.94. The factor analysis shows that the first extracted factor based on nine scale items through principal component analysis accounts for 68% of the total variance.

Key independent variables

We include a series of dummy variables to capture the treatment and control group status and examine the lockdown effects. The treatment group, the residential compound that experienced lockdown interventions, is the reference group.

Other covariates

As discussed above, we first control whether participants experienced material or medical supply problems during the 2-week lockdown period (1 = yes; 0 = no). Second, we control how often the respondent communicates with their neighbours within the residential compound during the past month (1 = never; 5 = talk with neighbour daily). Third, a four-item composite variable is constructed to measure the levels of trust and consensus that residents have toward the dynamic

zero-COVID policy. The Cronbach's alpha coefficient is 0.80. Respondents were asked to answer whether they agree with the following statements (1 = strongly disagree; 5 = strongly agree): (1) epidemic prevention and control are more important than economic development; (2) epidemic prevention and control are more important than personal freedom; (3) strict measures should be taken to prevent overseas import, even if it has a certain impact on daily life; (4) epidemic prevention and control are the most important task of the government at present. Finally, models include controls for sociodemographic factors. Control variables include gender (female = 1; male = 0), age, participants' household registration status (local Hukou = 1; non-local Hukou = 0), family annual income (1,000 RMB), educational attainment (junior high school or below as reference group; senior high school; junior college; university degree and above), household size, marital status (married = 1; unmarried = 0), and self-reported health status (poor = 1; excellent = 5).

Analytical strategies

The analyses proceed in three steps. First, descriptive statistics demonstrate the observed differences between treatment and control groups in the studied neighbourhood. Second, a series of Ordinary Least Squares (OLS) regression models are used to examine the association between lockdown interventions and psychological distress, with medical care and material support problems, communication with neighbours, and trust with COVID policy as important mediators of such relationships. More importantly, we examine the different effects of lockdown interventions on psychological distress by comparing different control groups accounting for the neighbourhood spatial setting to demonstrate the spillover effects. Third, we acknowledge that unobserved confounders may cause bias; that is, some unmeasured factors related to the lockdown interventions and psychological distress may explain their association, with no true causal effect. As a result, we conduct a sensitivity analysis to check the robustness of our findings. Sensitivity analysis considers how strongly the unmeasured confounder would have to be associated with the treatment and outcome variables for the treatment–outcome association not to be causal. As suggested by VanderWeele and Ding (2017), we calculate the E-value to perform the sensitivity analysis. The E-value is the minimum strength of correlation on the risk ratio scale that an unobserved confounder would need to have with both the lockdown interventions and psychological distress to fully explain away the estimated effect, net of observed confounders (VanderWeele & Ding, 2017).

Results

Table 1 shows descriptive statistics for the observed covariates from the total, treatment and two control samples. The results show that there is a statistically significant difference in psychological distress between the treatment and control group A, where the standardised score is .142 for respondents in the lockdown compound, and the score is $-.160$ for those in the control group A, with a .302 difference in the scale of standardised scores. However, although respondents in

Table 1. Descriptive Statistics by Community Lockdown Status

	Total Sample		Treatment Group		Control Group A		Control Group B	
	Mean	S.D.	Mean	S.D.	Mean	S.D.	Mean	S.D.
Psychological distress	.000	1.000	.142	1.057	-.160	.915*	.001	.998
Medical care problems	.500	.500	.573	.496	.497	.502	.432	.497*
Material supply problems	.519	.500	.601	.491	.465	.500*	.486	.501
Communication with neighbors	.000	1.000	-.022	1.044	.044	.981	-.016	.977
Agreement with COVID policy	.000	1.000	.023	1.006	-.029	1.023	.003	.979
Female	.646	.479	.607	.490	.717	.452	.622	.486
Age	40.709	9.535	40.685	9.877	40.887	9.740	40.578	9.059
Local Hukou status	.743	.437	.702	.459	.755	.432	.773	.420
Family income (1,000RMB)	227.490	151.713	227.247	151.715	219.025	151.925	235.000	151.962
Junior high school or below	.088	.284	.101	.302	.075	.265	.086	.282
Senior high school	.170	.376	.174	.380	.170	.377	.168	.374
Junior college	.261	.439	.287	.453	.270	.446	.227	.420
University degree or above	.481	.500	.438	.498	.484	.501	.519	.501
Household size	3.805	1.198	3.798	1.223	3.805	1.204	3.811	1.176
Married	.910	.287	.916	.279	.906	.293	.908	.290
Self-reported health status	.000	1.000	-.023	1.007	.047	.992	-.018	1.004
Sample size	522		178		159		185	

Note. Asterisks indicate a statistically significant difference of means between the treatment and designated control group.

* $p < .05$; ** $p < .01$; *** $p < .001$ (two-tailed).

control group B who did not experience lockdown have lower scores for psychological distress than their counterparts who suffered from the lockdown, this gap between the treatment group and control group B is insignificant. Furthermore, we do not find statistically significant differences in all other background factors among different residential compounds. This is consistent with our interviews with local community officials that residents in all studied residential compounds have similar sociodemographic backgrounds.

Table 2 presents results from OLS regression models examining the effects of lockdown on psychological distress. In Model 1, the results show that without adding background controls, there is a statistically significant difference in the

Table 2. OLS Regression Models Estimating the Effects of Lockdown on Psychological Distress

	Model 1		Model 2		Model 3	
	Coef.	S.E.	Coef.	S.E.	Coef.	S.E.
Control group A	-.301	(.107)**	-.291	(.107)**	-.247	(.103)*
Control group B	-.141	(.108)	-.132	(.105)	-.068	(.101)
Female			.010	(.093)	.129	(.089)
Age			-.180	(.047)***	-.136	(.047)**
Local Hukou status			-.067	(.096)	-.040	(.092)
Family income			-.078	(.049)	-.040	(.049)
Senior high school			-.053	(.169)	.017	(.160)
Junior college			.089	(.173)	.098	(.166)
University degree or above			.015	(.171)	-.023	(.166)
Household size			.080	(.046)	.093	(.045)*
Married			-.154	(.156)	-.147	(.138)
Self-reported health status			-.138	(.048)**	-.095	(.048)*
Medical care problems					.231	(.094)*
Material supply problems					.304	(.094)**
Communication with neighbors					-.071	(.045)
Agreement with COVID policy					-.097	(.052)
Intercept	.142	(.079)	.297	(.234)	-.112	(.219)
R-square	.015		.077		.140	

Note. N = 522. Robust standard errors are in parentheses. The treatment group and junior high school or below are the reference groups.

* $p < .05$; ** $p < .01$; *** $p < .001$ (two-tailed).

psychological distress scale between the treatment group and control group A, but not for the control group B. Compared to the residential compound that experienced lockdown interventions, the standardised psychological distress scale is .301 scores lower in the control group A, and .141 scores lower in the control group B. These results suggest that local community lockdown interventions have direct and negative impacts on mental health and may have a spillover effect on adjacent residential compounds.

Model 2 adds sociodemographic factors to the model. We see that younger respondents tend to have significantly higher levels of psychological distress compared to their older counterparts. Respondents who self-report better health status have lower levels of psychological distress. Model 3 further examines the influences of mediating variables. The results show that medical care and material supply problems significantly increase psychological distress. Communication with neighbours and respondents' trust levels of COVID policies are negatively associated with psychological distress, but their effects are not statistically significant. These results indicate that the lockdown interventions strongly and

Table 3. Mediation Analysis of Lockdown Effects on Psychological Distress

	Control Group A		Control Group B	
	Coef.	S.E.	Coef.	S.E.
Total effects	-.291	(.104)**	-.132	(.099)
Direct effects	-.247	(.104)*	-.068	(.100)
Indirect effects	-.044	(.040)	-.064	(.040)
Total % mediated	15.18%		48.70%	
Medical care problems	4.66%		23.32%	
Material supply problems	12.72%		24.97%	
Communication with neighbors	1.12%		1.04%	
Agreement with COVID policy	-3.32%		-0.63%	

Note. N = 522. Standard errors are in parentheses. Reference group is the treatment group. Models include controls for gender, age, local Hukou status, family income, educational attainment, household size, marital status, and self-reported health status.

* $p < .05$; ** $p < .01$; *** $p < .001$ (two-tailed).

negatively impact mental health after controlling for background factors. Compared to social capital and political trust, medical care and material supply shortages significantly explain the variation in psychological distress.

Table 3 reports results from the Karlson-Holm-Breen (KHB) decomposition to examine how the shortage of material and medical supplies, communication with neighbours and trust levels of COVID policies help to explain the lockdown effects on psychological distress. The KHB method is used to estimate the relative contributions of the shortage of material and medical supplies, communication with neighbours and trust levels of COVID policies to mediate the association between the community lockdown and psychological distress (Breen et al., 2018). The KHB method accounts for rescaling between non-linear models and can be applied to linear models. The results show that the shortage of material and medical supplies, communication with neighbours and trust levels of COVID policies explain 15.18% of the association between the lockdown and psychological distress when comparing the treatment group and control group A, and 48.70% of the association between the lockdown and mental health when comparing the treatment group and control group B. Across individual measures, shortage of material and medical supplies are the most influential mediators of the relationship between the lockdown and psychological distress, while communication with neighbours and trust levels of COVID policies play limited roles in explaining the lockdown effects.

To check the robustness of the effect of lockdown interventions, we conduct a sensitivity analysis and calculate the E-value (VanderWeele & Ding, 2017). As shown in Figure 2, the observed effect of .247 standard deviations could be explained away by an unmeasured confounder that was associated with both the treatment and the outcome by a risk ratio of 1.81-fold each, above and beyond the measured confounders, but a weaker confounding factor may not do so. The confidence interval could be moved to include the null by an unobserved confounder related to

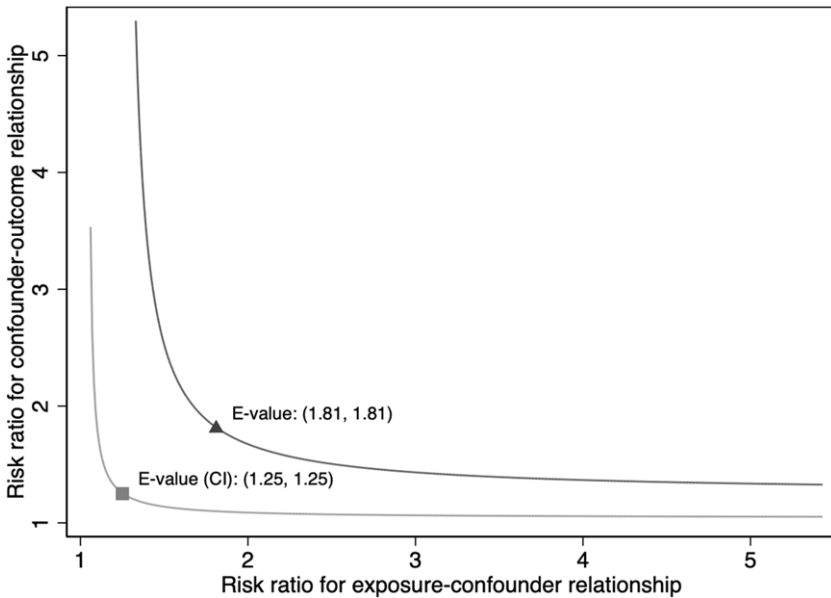


Figure 2. Sensitivity analysis on the OLS estimator of lockdown effects. *Note:* The E-value is the minimum strength of association, on the risk ratio scale, that an unmeasured confounder would need to have with both the treatment and outcome, conditional on the measured covariates, to explain away a treatment-outcome association.

both the treatment and the outcome by a risk ratio of 1.25-fold each, net of the observed confounders. As suggested by VanderWeele and Ding (2017), in the context of social sciences research, effect sizes greater than two- or three-fold occasionally occur but are not particularly common, and a variable that affects both treatment and outcome each by two- or three-fold would likely be even less common. Overall, these analyses provide some evidence to confirm the effect of lockdown interventions on mental health but cannot completely rule out the possibility of endogeneity.

Discussion and conclusion

Using a spatial quasi-experimental design, this study assesses the psychological consequences of COVID-19 pandemic lockdowns in the context of China. We found that lockdowns lead to more mental health problems among young urban dwellers and that strict lockdown measures tend to have spillover effects on adjacent communities. With all other variables controlled, the negative impact of the lockdown was strong and significant, and its effect size was nearly .25 in standardised units. This finding is consistent with previous studies, which demonstrated that many psychological disorders emerged due to the lockdown (Brooks et al., 2020; Gan et al., 2022; Rajkumar, 2020). In addition, the results reveal the spatial spillover effect of lockdown on the dwellers' mental health of the adjacent community, which sheds light on the strong impact of urban planning on people's

mental health. These results suggest that neighbourhood spatial arrangements and housing environments influence mental health and can further alter the impacts of lockdown interventions. This study not only confirms the existence of spatial spillover effects but also outlines how far, the physical distance, those effects can travel. Indeed, our research shows that the psychological distance of control group B was farther than control group A, because the former was separated from the outbreak site by a river, despite being in the same community. Overall, Hypothesis 1 was supported, suggesting the strong negative influence of lockdown on people's mental health.

Among the lockdown-related stressors, namely a lack of material supplies and medical care, social interaction and trust levels of COVID-19 policy, only the lack of material and medical support is a significant predictor of residents' mental health, which also are more important mediators for explaining the lockdown effects. Thus, Hypothesis 2 was supported by our empirical findings, while Hypothesis 3 was not. This finding also corresponds to a large cross-national study covering seventy-eight countries, which revealed that an insecure financial situation and limited access to basic supplies were the strongest predictors of unfavourable psychological outcomes (Gloster et al., 2020).

We found that social interaction was not significantly related to residents' mental health. This finding does not align with most previous literature indicating that social capital functioned as a protective factor against psychological distress during the COVID-19 pandemic (Rung et al., 2017; Wu, 2021). It may be due to our measure of social capital, which yields several limitations. While the frequency of social interaction can provide insights into the magnitude of interactions, it may not capture the quality of those interactions. Further, social capital is a multifaceted construct that encompasses various dimensions, including structural, cognitive and relational aspects (Cohen-Cline et al., 2018). While the frequency of social interaction may capture the structural dimension, it may not fully capture the cognitive and relational dimensions of social capital, such as shared norms, values and social support networks. Previous research has found that cognitive social capital, compared with structural one, had stronger associations with mental health (Cohen-Cline et al., 2018). In the present study, the items used to evaluate social capital mainly reflect structural rather than cognitive aspects of social capital. The measurement bias might be a possible reason for the non-significant results.

Moreover, in the early stage of the COVID-19 pandemic, dwellers in communities with high social capital tend to engage in socially desirable activities, whether willingly or as a result of the compulsory lockdown policy (Borgonovi et al., 2021), which may protect them from anxiety and panic when the information on the virus was less known. Nevertheless, when knowledge about the virus becomes available, the function of social capital might reduce as fear of infection is no longer a prominent predictor of individual mental health beyond the initial period of the pandemic (Borgonovi et al., 2021). Furthermore, the neighbourhood was relatively loose in urban communities where interactions between neighbours were not as frequent as in rural areas (Jia et al., 2021). The lockdown policy was implemented administratively in a top-down approach that each family was in the same situation. There was little space for residents' community participation and mutual support.

This study contributes to the body of knowledge on the consequences of COVID-19 lockdown policies. Several prior studies on COVID-19-related topics had endogeneity problems due to data restrictions. To ensure the internal validity of this study, we adopted a spatial quasi-experimental design involving experimental and control groups in a selected administrative district to examine the psychological consequences of lockdowns. This research design controls the disruptive and unexpected external shocks, therefore providing a more rigorous investigation of the effect of the lockdown on the mental health of community dwellers in China. Accordingly, this study provides strong empirical evidence for relevant policies and practices, based on which we proposed the following implications for policymakers, civil servants and social workers.

The results imply that the dynamic zero-COVID-19 policy affects residents' mental health in the quarantined communities. It may have lasting impacts on different layers of social lives. Addressing mental health problems during the pandemic period needs to rely on multi-level measures through the collaboration of multiple sectors. First, governments may initiate to explore and design a new model of epidemic prevention and control that could contain the virus's spread without resorting to disruptive lockdowns. Considering the significant effect of supply shortages on mental health, welfare provision should be targeted at residents with low socioeconomic status who suffer from deficiencies in primary material and medical resources to maintain their psychological well-being. Second, social services or intervention programmes must be designed to improve community integration by promoting mutual communication and maintaining social contacts in lockdowns. Because quarantine prevents face-to-face contact, digital-based interventions could be implemented to encourage sociability, provide online social support and sustain social connectedness among quarantined residents, all of which have been proven to be essential for mental health protection (Pancani et al., 2021). Third, the physical environment influences urban residents' mental health near the quarantined communities. Based on our empirical findings, lockdown arrangements, such as setting physical barriers and fences between quarantined and open communities, could be adopted to reduce the spread of the virus but could lead to more psychological distress for residents in adjacent communities. Finally, in contrast to lockdown interventions, vaccination is a long-term and effective strategy to build immunity against COVID-19 in the population, which helps to reduce the transmission of the virus by reducing the number of infected individuals who can transmit the virus to others. Thus, a holistic plan for promoting mental health is needed for the quarantined communities and the designated areas.

Several limitations need to be noted. Regarding the variable measurement, the current study used a self-design scale that may have measurement bias. Future research may adopt well-validated measurement tools incorporating multiple indicators to assess cognitive and structural components of social capital and political trust. Also, this study used items covering a series of negative emotional symptoms to measure the concept of psychological distress. Following the current trend of the dual-factor model of mental health with positive and negative indicators (Antaramian et al., 2010), future research could examine the effect of lockdown on psychological well-being and distress simultaneously. Furthermore, concerning the research design, despite the spatial quasi-experiment ensuring high internal validity when assessing the impact of lockdowns on mental health, longitudinal studies

could be conducted to collect pre- and post-test data and examine within-person changes before and after lockdowns to provide more rigorous evidence on the psychological impact of lockdowns. Finally, future research may explore the potential pathways underlying the relationship between lockdowns and mental health to enrich the influencing mechanisms. The heterogeneous effect of lockdowns for subgroups by gender, age, marital status and socioeconomic status could also be tested, which could be beneficial for providing specific evidence to deliver targeted services for each group.

Competing interests. The authors declare none.

Reference

- Adams-Prassl, A., Boneva, T., Golin, M., & Rauh, C. (2022). The impact of the coronavirus lockdown on mental health: Evidence from the United States. *Economic Policy*, 37(109), 139–155.
- Amerio, A., Brambilla, A., Morganti, A., Aguglia, A., Bianchi, D., Santi, F., Costantini, L., Odone, A., Costanza, A., Signorelli, C., Serafini, G., Amore, M., & Capolongo, S. (2020). COVID-19 lockdown: Housing built environment's effects on mental health. *International Journal of Environmental Research and Public Health*, 17(16), 5973–5982.
- Antaramian, S. P., Huebner, E. S., Hills, K. J., & Valois, R. F. (2010). A dual-factor model of mental health: Toward a more comprehensive understanding of youth functioning. *American Journal of Orthopsychiatry*, 80(4), 462.
- Banerjee, D., & Rai, M. (2020). Social isolation in Covid-19: The impact of loneliness. *International Journal of Social Psychiatry*, 66(6), 525–527.
- Bartscher, A. K., Seitz, S., Sieglöcher, S., Slotwinski, M., & Wehrhöfer, N. (2021). Social capital and the spread of Covid-19: Insights from European countries. *Journal of Health Economics*, 80, 102531.
- Borgonovi, F., Andrieu, E., & Subramanian, S. V. (2021). The evolution of the association between community level social capital and COVID-19 deaths and hospitalizations in the United States. *Social Science & Medicine*, 278, 113948.
- Breen, R., Karlson, K. B., & Holm, A. (2018). Interpreting and understanding logits, probits, and other nonlinear probability models. *Annual Review of Sociology*, 44, 39–54.
- Brooks, S. K., Webster, R. K., Smith, L. E., Woodland, L., Wessely, S., Greenberg, N., & Rubin, G. J. (2020). The psychological impact of quarantine and how to reduce it: Rapid review of the evidence. *The Lancet*, 395(10227), 912–920.
- Bueno-Notivol, J., Gracia-García, P., Olaya, B., Lasheras, I., López-Antón, R., & Santabárbara, J. (2021). Prevalence of depression during the COVID-19 outbreak: A meta-analysis of community-based studies. *International Journal of Clinical and Health Psychology*, 21(1), 100196.
- Burki, T. (2022). Dynamic zero COVID policy in the fight against COVID. *The Lancet Respiratory Medicine*, 10(6), e58–e59.
- Cai, J., Hu, S., Lin, Q., Ren, T., & Chen, L. (2022). China's dynamic zero COVID-19 strategy will face greater challenges in the future. *Journal of Infection*, 85(1), e13–e14.
- Choi, K. W., Jung, J. H., & Kim, H. H. S. (2023). Political trust, mental health, and the coronavirus pandemic: A cross-national study. *Research on Aging*, 45(2), 133–148.
- Cohen-Cline, H., Beresford, S. A., Barrington, W., Matsueda, R., Wakefield, J., & Duncan, G. E. (2018). Associations between social capital and depression: A study of adult twins. *Health & Place*, 50, 162–167.
- Coleman, J. S. (1988). Social capital in the creation of human capital. *American Journal of Sociology*, 94, 95–120.
- Daria, S., & Islam, M. R. (2022). Increased suicidal behaviors among students during COVID-19 lockdowns: A concern of student's mental health in Bangladesh. *Journal of Affective Disorders Reports*, 8, 100320.
- Davis, J. I., Gross, J. J., & Ochsner, K. N. (2011). Psychological distance and emotional experience: What you see is what you get. *Emotion*, 11(2), 438.
- Dryhurst, S., Schneider, C. R., Kerr, J., Freeman, A. L., Recchia, G., & Van Der Bles, A. M., (2020). Risk perceptions of COVID-19 around the world. *Journal of Risk Research*, 23(7–8), 994–1006.

- Fan, D., Li, Y., Liu, W., Yue, X. G., & Boustras, G. (2021). Weaving public health and safety nets to respond the COVID-19 pandemic. *Safety Science*, *134*, 105058.
- Gan, Y., Ma, J., Wu, J., Chen, Y., Zhu, H., & Hall, B. J. (2022). Immediate and delayed psychological effects of province-wide lockdown and personal quarantine during the COVID-19 outbreak in China. *Psychological Medicine*, *52*(7), 1321–1332.
- Ganesan, B., Al-Jumaily, A., Fong, K. N., Prasad, P., Meena, S. K., & Tong, R. K. Y. (2021). Impact of coronavirus disease 2019 (COVID-19) outbreak quarantine, isolation, and lockdown policies on mental health and suicide. *Frontiers in Psychiatry*, *12*, 565190.
- Gao, J., Zheng, P., Jia, Y., Chen, H., Mao, Y., Chen, S., Wang, Y., Fu, H., & Dai, J. (2020). Mental health problems and social media exposure during COVID-19 outbreak. *PLoS One*, *15*(4), e0231924.
- Gloster, A. T., Lamnisos, D., Lubenko, J., Presti, G., Squatrito, V., Constantinou, M., Nicolaou, C., Papacostas, S., Aydın, G., Chong, Y. Y., Chien, W. T., Cheng, H. Y., Ruiz, F. J., Garcia-Martin, M. B., Obando-Posada, D. P., Segura-Vargas, M. A., Vasiliou, V. S., McHugh, L., Höfer, S., ... Karekla, M. (2020). Impact of COVID-19 pandemic on mental health: An international study. *PLoS One*, *15*(12), e0244809.
- Hale, T., Angrist, N., Goldszmidt, R., Kira, B., Petherick, A., Phillips, T., Webster, S., Cameron-Blake, E., Hallas, L., Majumdar, S., & Tatlow, H. (2021). A global panel database of pandemic policies (Oxford COVID-19 Government Response Tracker). *Nature Human Behaviour*, *5*(4), 529–538.
- Hamadani, J. D., Hasan, M. I., Baldi, A. J., Hossain, S. J., Shiraji, S., Bhuiyan, M. S. A., Mehrin, F., Fisher, J., Tofail, F., Tipu, S. M. M. U., Grantham-Mcgregor, S., Biggs, B.-A., Braat, S., & Pasricha, S. R. (2020). Immediate impact of stay-at-home orders to control COVID-19 transmission on socioeconomic conditions, food insecurity, mental health, and intimate partner violence in Bangladeshi women and their families: An interrupted time series. *The Lancet Global Health*, *8*(11), 1380–1389.
- Henderson, S., & Whiteford, H. (2003). Social capital and mental health. *The Lancet*, *362*(9383), 505–506.
- Hou, W. K., Lai, F. T., Ben-Ezra, M., & Goodwin, R. (2020). Regularizing daily routines for mental health during and after the COVID-19 pandemic. *Journal of Global Health*, *10*(2), 020315.
- Huang, Y. (2006). Collectivism, political control, and gating in Chinese cities. *Urban Geography*, *27*(6), 507–525.
- Jia, Z., Xu, S., Zhang, Z., Cheng, Z., Han, H., Xu, H., Wang, M., Zhang, H., Zhou, Y., & Zhou, Z. (2021). Association between mental health and community support in lockdown communities during the COVID-19 pandemic: Evidence from rural China. *Journal of Rural Studies*, *82*, 87–97.
- Kawachi, I., Subramanian, S. V., & Kim, D. (2008). *Social capital and health*. Springer.
- Kumar, A., & Nayyar, K. R. (2021). COVID 19 and its mental health consequences. *Journal of Mental Health*, *30*(1), 1–2.
- Li, A., Liu, Z., Luo, M., & Wang, Y. (2021). Human mobility restrictions and inter-provincial migration during the COVID-19 crisis in China. *Chinese Sociological Review*, *53*(1), 87–113.
- Liberman, N., Trope, Y., & Stephan, E. (2007). Psychological distance. In A.W. Kruglanski, & E.T. Higgins (Eds.), *Social psychology: Handbook of basic principles* (2nd ed., pp.353–384). The Guilford Press.
- Lindstrom, M., & Mohseni, M. (2009). Social capital, political trust and self-reported psychological health: A population-based study. *Social Science & Medicine*, *68*(3), 436–443.
- Luo, M., Zhang, D., Shen, P., Yin, Y., Yang, S., & Jia, P. (2022). COVID-19 lockdown and social capital changes among youths in China. *International Journal of Health Policy and Management*, *11*(8), 1301–1306.
- McElroy, E., McIntyre, J. C., Bentall, R. P., Wilson, T., Holt, K., Kullu, C., Nathan, R., Kerr, A., Panagaki, K., McKeown, M., Saini, P., Gabbay, M., & Corcoran, R. (2019). Mental health, deprivation, and the neighborhood social environment: A network analysis. *Clinical Psychological Science*, *7*(4), 719–734.
- Mei, C. (2020). Policy style, consistency and the effectiveness of the policy mix in China's fight against COVID-19. *Policy and Society*, *39*(3), 309–325.
- Newlove-Delgado, T., McManus, S., Sadler, K., Thandi, S., Vizard, T., Cartwright, C., & Ford, T. (2021). Child mental health in England before and during the COVID-19 lockdown. *The Lancet Psychiatry*, *8*(5), 353–354.
- Norris, P. (1999). *Critical citizens. Global support for democratic governance*. Oxford University Press.
- Pancani, L., Marinucci, M., Aureli, N., & Riva, P. (2021). Forced social isolation and mental health: A study on 1,006 Italians under COVID-19 lockdown. *Frontiers in Psychology*, *12*, 1540.
- Pfefferbaum, B., & North, C. S. (2020). Mental health and the Covid-19 pandemic. *New England Journal of Medicine*, *383*(6), 510–512.

- Putnam, R. D.** (1993). *Making democracy work: Civic traditions in modern Italy*. Princeton: Princeton University Press.
- Qiu, Y., Liu, Y., Liu, Y., & Li, Z.** (2019). Exploring the linkage between the neighborhood environment and mental health in Guangzhou, China. *International Journal of Environmental Research and Public Health*, *16*(17), 3206.
- Rahman, T., Hasnain, M. G., & Islam, A.** (2021). Food insecurity and mental health of women during COVID-19: Evidence from a developing country. *PLoS One*, *16*(7), e0255392.
- Rajkumar, R. P.** (2020). COVID-19 and mental health: A review of the existing literature. *Asian Journal of Psychiatry*, *52*, 102066.
- Ranscombe, P.** (2020). Rural areas at risk during COVID-19 pandemic. *The Lancet. Infectious Diseases*, *20*(5), 545.
- Ren, X., Huang, W., Pan, H., Huang, T., Wang, X., & Ma, Y.** (2020). Mental health during the Covid-19 outbreak in China: A meta-analysis. *Psychiatric Quarterly*, *91*(4), 1033–1045.
- Rossi, R., Soggi, V., Talevi, D., Mensi, S., Niolu, C., Pacitti, F., Di Marco, A., Rossi, A., Siracusano, A., & Di Lorenzo, G.** (2020). COVID-19 pandemic and lockdown measures impact on mental health among the general population in Italy. *Frontiers in Psychiatry*, *11*, 790.
- Rubin, G. J., & Wessel, S.** (2020). The psychological effects of quarantining a city. *RMD Open*, *368*, m313.
- Rung, A. L., Gaston, S., Robinson, W. T., Trapido, E. J., & Peters, E. S.** (2017). Untangling the disaster-depression knot: The role of social ties after Deepwater Horizon. *Social Science & Medicine*, *177*, 19–26.
- Steele, L. G., & Lynch, S. M.** (2013). The pursuit of happiness in China: Individualism, collectivism, and subjective well-being during China's economic and social transformation. *Social Indicators Research*, *114*(2), 441–451.
- Torales, J., O'Higgins, M., Castaldelli-Maia, J. M., & Ventriglio, A.** (2020). The outbreak of COVID-19 coronavirus and its impact on global mental health. *International Journal of Social Psychiatry*, *66*(4), 317–320.
- VanderWeele, T. J., & Ding, P.** (2017). Sensitivity analysis in observational research: Introducing the E-value. *Annals of Internal Medicine*, *167*(4), 268–274.
- Wang, S., Kameråde, D., Bessa, I., Burchell, B., Gifford, J., Green, M., & Rubery, J.** (2022). The impact of reduced working hours and furlough policies on workers' mental health at the onset of COVID-19 pandemic: A longitudinal study. *Journal of Social Policy*, 1–25. <https://doi.org/10.1017/S0047279422000599>
- Wind, T. R., & Komproe, I. H.** (2012). The mechanisms that associate community social capital with post-disaster mental health: A multilevel model. *Social Science & Medicine*, *75*(9), 1715–1720.
- Wu, C.** (2021). Social capital and COVID-19: A multidimensional and multilevel approach. *Chinese Sociological Review*, *53*(1), 27–54.
- Yang, G., Ren, Z., Zou, Y., Xu, Q., Li, S., Pan, L., & Zha, L.** (2022). Antimicrobial stewardship in non-COVID-19 patients with fever and respiratory symptoms in outpatient settings: Lessons from the “dynamic zero-COVID policy” in mainland China. *Journal of Infection*, *84*(5), 39–41.
- Yang, Y., Zhang, Y., Zhang, X., Cao, Y., & Zhang, J.** (2022). Spatial evolution patterns of public panic on Chinese networks amidst the COVID-19 pandemic. *International Journal of Disaster Risk Reduction*, *70*, 102762.
- Zhang, Y., & Ma, Z. F.** (2020). Impact of the COVID-19 pandemic on mental health and quality of life among local residents in Liaoning Province, China: A cross-sectional study. *International Journal of Environmental Research and Public Health*, *17*(7), 2381.
- Zhang, X., Ji, Z., Zheng, Y., Ye, X., & Li, D.** (2020). Evaluating the effect of city lock-down on controlling COVID-19 propagation through deep learning and network science models. *Cities*, *107*, 102869.

Cite this article: Yan K, Jiang S, Xia L, Jin T, Dai A, Gu C, and Li A. China's zero-COVID policy and psychological distress: a spatial quasi-experimental design. *Journal of Social Policy*. <https://doi.org/10.1017/S0047279423000430>