

# REPRESENTATION OF PRIMES BY BINARY QUADRATIC FORMS OF DISCRIMINANT $-256q$ AND $-128q$

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**Introduction.** Recently, P. Kaplan and K. S. Williams [10] considered (as an example) the representation of primes by binary quadratic forms of discriminant  $-768$ . These forms fall into 4 genera, each consisting of two classes. In particular, they considered the forms

$$F = 3X^2 + 64Y^2 \quad \text{and} \quad G = 12X^2 + 12XY + 19Y^2.$$

It follows from genus theory (as explained in [10]) that every prime  $p \equiv 19 \pmod{24}$  is represented by exactly one of the forms  $F$  and  $G$ . Based on numerical data, they conjectured that a prime  $p \equiv 19 \pmod{24}$  is represented by

$$\begin{cases} F, & \text{if } V_{(p+1)/4} \equiv 2 \pmod{p}, \\ G, & \text{if } V_{(p+1)/4} \equiv -2 \pmod{p}, \end{cases}$$

where

$$V_0 = 2, \quad V_1 = -4, \quad V_{n+2} = -4V_{n+1} - V_n \quad (n \geq 0).$$

In this note, we prove this criterion as a special case of a more general result using class field theory and the methods developed in [4].

**1. Notations and preliminaries.** We start by recalling some facts from Gauss' theory of binary quadratic forms and its relations with class field theory, cf. [1] and [2], part III.

Let  $D$  be a discriminant of positive definite primitive integral binary quadratic forms (i.e.,  $D \in \mathbb{Z}$ ,  $D < 0$ ,  $D \equiv 0$  or  $1 \pmod{4}$ ), and let  $\mathcal{H}(D)$  be the class group of such forms of discriminant  $D$  (with respect to proper equivalence) under Gauss' composition. The principal class of  $\mathcal{H}(D)$  will always be denoted by  $I$ , and we use the notation

$$[a, b, c] = aX^2 + bXY + cY^2 \in \mathbb{Z}[X, Y].$$

We say that a class  $C \in \mathcal{H}(D)$  represents an integer  $w$  and write  $C \rightarrow w$ , if  $w = f(x, y)$  for some form  $f \in C$  and  $x, y \in \mathbb{Z}$  such that  $\gcd(x, y) = 1$ . There is a canonical epimorphism

$$\phi_D: \mathcal{H}(4D) \rightarrow \mathcal{H}(D)$$

induced by  $[a, 2b, 4c] \mapsto [a, b, c]$ . If  $\bar{C} \in \mathcal{H}(4D)$  and  $w \in \mathbb{Z}$  is odd, then obviously  $\bar{C} \rightarrow w$  implies  $\phi_D(\bar{C}) \rightarrow w$ .

Every discriminant is of the form  $D = D_0 f_D^2$ , where  $D_0$  is the fundamental discriminant and  $f_D$  is the conductor associated with  $D$ . The group  $\mathcal{H}(D)$  is isomorphic to the ring class group modulo  $f_D$  in  $\mathbb{Q}(\sqrt{D_0})$ . If  $\tau$  denotes the complex conjugation, then  $\tau$  acts on the ring class group modulo  $f_D$  and hence on  $\mathcal{H}(D)$  by  $A^\tau = A^{-1}$ .

Associated with  $\mathcal{H}(D)$ , there is a ring class field  $k(D)$  over  $\mathbb{Q}(\sqrt{D_0})$  and an Artin isomorphism

$$((\cdot)): \begin{cases} \mathcal{H}(D) \simeq \text{Gal}(k(D)/\mathbb{Q}(\sqrt{D_0})) \\ A \mapsto ((A)) \end{cases}$$

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possessing the following two fundamental properties;

- 1)  $\text{Gal}(k(D)/\mathbb{Q})$  is given by the splitting group extension

$$1 \rightarrow \mathcal{H}(D) \xrightarrow{((\cdot))} \text{Gal}(k(D)/\mathbb{Q}) \rightarrow \langle \tau \rangle \rightarrow 1;$$

- 2) For a class  $C \in \mathcal{H}(D)$  and a rational prime  $p \nmid D$  we have  $C \rightarrow p$  if and only if  $((C)) \in \text{Gal}(k(D)/\mathbb{Q})$  is the Frobenius automorphism of some prime divisor  $\mathfrak{P}$  of  $p$  in  $k(D)$ .

We may assume that the Artin isomorphism is normalized in such a way that

$$((\bar{C})) \mid k(D) = ((\phi_D(\bar{C})))$$

for every class  $\bar{C} \in \mathcal{H}(4D)$  (observe that, by definition,  $((\bar{C})) \in \text{Gal}(k(4D)/\mathbb{Q})$  and  $k(4D) \supset k(D)$ ).

In this note, we shall mainly be concerned with the 2-parts of class groups. We consider the decomposition

$$\mathcal{H}(D) = \mathcal{H}_2(D) \times \mathcal{H}'(D),$$

where  $\mathcal{H}_2(D)$  is the 2-Sylow subgroup of  $\mathcal{H}(D)$ , and  $\mathcal{H}'(D)$  is of odd order. We set  $h(D) = \#\mathcal{H}(D)$ ,  $h'(D) = \#\mathcal{H}'(D)$ , and we denote by  $k_2(D) \subset k(D)$  the fixed field of  $\mathcal{H}'(D)$  (whence  $k_2(D)$  is the maximal 2-extension of  $\mathbb{Q}$  inside  $k(D)$ ). For a class  $A \in \mathcal{H}_2(D)$ , we set

$$[A] = ((A)) \mid k_2(D) \in \text{Gal}(k_2(D)/\mathbb{Q}(\sqrt{D_0})).$$

The following lemma collates the basic properties of the symbol  $[\cdot]$ .

LEMMA 1. i)  $[\cdot]: \mathcal{H}_2(D) \simeq \text{Gal}(k_2(D)/\mathbb{Q}(\sqrt{D_0}))$  is a group isomorphism, and  $\text{Gal}(k_2(D)/\mathbb{Q})$  is given by the splitting group extension

$$1 \rightarrow \mathcal{H}_2(D) \xrightarrow{[\cdot]} \text{Gal}(k_2(D)/\mathbb{Q}) \rightarrow \langle \tau \rangle \rightarrow 1.$$

- ii) Let  $C \in \mathcal{H}_2(D)$  be a class satisfying  $C^4 = 1$ , and let  $p$  be a rational prime not dividing  $D$ . Then we have  $C \rightarrow p^{h'(D)}$  if and only if the fixed field of  $[C]$  in  $k_2(D)$  is the decomposition field of  $p$  in  $k_2(D)$ .

- iii) If  $\bar{C} \in \mathcal{H}_2(4D)$ , then  $\phi_D(\bar{C}) \in \mathcal{H}_2(D)$  and  $[\bar{C}] \mid k_2(D) = [\phi_D(\bar{C})]$ .

*Proof.* i) The canonical epimorphism  $\mathcal{H}(D) \rightarrow \text{Gal}(k_2(D)/\mathbb{Q}(\sqrt{D_0}))$ , given by  $C \mapsto ((C)) \mid k_2(D)$ , has kernel  $\mathcal{H}'(D)$ ; now the assertion follows from the decomposition  $\mathcal{H}(D) = \mathcal{H}_2(D) \times \mathcal{H}'(D)$ .

ii) It suffices to consider primes  $p$  splitting in  $\mathbb{Q}(\sqrt{D_0})$ ; let  $\mathfrak{p}$  be a prime divisor of  $p$  in  $\mathbb{Q}(\sqrt{D_0})$  and  $\psi \in \text{Gal}(k(D)/k)$  the Frobenius automorphism of  $\mathfrak{p}$ . Then  $C \rightarrow p^{h'(D)}$  is equivalent to  $\psi^{h'(D)} = ((C))^{\pm 1}$ ; since both automorphisms,  $\psi^{h'(D)}$  and  $((C))^{\pm 1}$ , are of 2-power order, we have  $\psi^{h'(D)} = ((C))^{\pm 1}$  if and only if  $(\psi \mid k_2(D))^{h'(D)} = [C]^{\pm 1}$ . Since  $C^4 = 1$ , the last equality holds if and only if  $\psi \mid k_2(D)$  and  $[C]$  generate the same cyclic subgroup of  $\text{Gal}(k_2(D)/\mathbb{Q}(\sqrt{D_0}))$ . Since the fixed field of  $\psi \mid k_2(D)$  in  $k_2(D)$  is exactly the decomposition field of  $p$ , the assertion follows.

- iii)  $[\bar{C}] \mid k_2(D) = \{((\bar{C})) \mid k(D)\} \mid k_2(D) = ((\phi_D(\bar{C}))) \mid k_2(D) = [\phi_D(\bar{C})]$ .

**2. Class groups of discriminant  $-2'q$ .** From now on, we consider discriminants of the following two types:

(I)  $D = -256q$ ,  $q$  is a prime,  $q \equiv 3 \pmod{4}$ ;

(II)  $D = -128q$ ,  $q$  is a prime,  $q \equiv 3 \pmod{8}$

(for these discriminants,  $\mathcal{H}_2(D)$  has the same structure as for  $D = -768$ ).

The associated fundamental discriminant is given by

$$D_0 = \begin{cases} -q & \text{in case (I),} \\ -8q & \text{in case (II),} \end{cases}$$

and we set, for  $s \geq 0$ ,

$$D_s = 2^{2s}D_0,$$

which implies

$$D = \begin{cases} D_4 & \text{in case (I),} \\ D_2 & \text{in case (II).} \end{cases}$$

The group  $\mathcal{H}(D_s)$  is isomorphic to the ring class group modulo  $2^s$  in  $\mathbb{Q}(\sqrt{D_0})$ , and therefore there is an exact sequence

$$(*) \quad 1 \rightarrow \mathcal{P}_0(s) \rightarrow \mathcal{H}(D_s) \xrightarrow{\psi_s} \mathcal{H}(D_0) \rightarrow 1,$$

where  $\psi_s = \phi_{D_{s-1}} \circ \phi_{D_{s-2}} \circ \dots \circ \phi_{D_0}$ , and  $\mathcal{P}_0(s)$  is defined as follows: let  $\mathcal{P}(s)$  be the prime residue class group modulo  $2^s$  in  $\mathbb{Q}(\sqrt{D_0})$ ,  $\mathcal{P}_*(s)$  the subgroup of all  $(a \pmod{2^s}) \in \mathcal{P}(s)$ , where either  $a \in \mathbb{Z}$  or  $a$  is a root of unity, and set  $\mathcal{P}_0(s) = \mathcal{P}(s)/\mathcal{P}_*(s)$ . By [5],  $\mathcal{P}_0(s)$  is (for  $s \geq 2$ ) of type

$$\begin{aligned} &(2^{s-2}, 2), \quad \text{if } D_0 \equiv 1 \pmod{8} \text{ or } D_0 = -3, \\ &(2^{s-2}, 2, 3), \quad \text{if } D_0 \equiv 5 \pmod{8}, \quad D_0 \neq -3, \\ &(2^s), \quad \text{if } D_0 \equiv 0 \pmod{8}. \end{aligned}$$

In case (I),  $\mathcal{H}_2(D_0)$  is trivial, and therefore  $\mathcal{H}_2(D_s)$  is of type  $(2^{s-2}, 2)$  (for  $s \geq 2$ ). In case (II),  $\mathcal{H}_2(D_0)$  is of order 2; for  $s \geq 1$ ,  $\mathcal{H}_2(D_s)$  is not cyclic by genus theory, and therefore  $(*)$  splits. Hence  $\mathcal{H}_2(D_s)$  is of type  $(2^s, 2)$  in case (II).

In both cases,  $\mathcal{H}_2(D)$  is of type  $(4, 2)$  and  $\mathcal{H}_2(4D)$  is of type  $(8, 2)$ . We choose generators such that

$$\mathcal{H}_2(4D) = \langle \bar{A}, \bar{B} \rangle, \quad \bar{A}^8 = \bar{B}^2 = I,$$

and we set

$$A = \phi_D(\bar{A}), \quad B = \phi_D(\bar{B});$$

then we have

$$\mathcal{H}_2(D) = \langle A, B \rangle, \quad A^4 = B^2 = I.$$

By means of this normalization it is possible to identify the four ambiguous classes of  $\mathcal{H}_2(D)$ :  $A^2$  and  $I$  belong to the principal genus,  $A^2B$  and  $B$  not;  $B$  is the  $\phi_D$ -image of an ambiguous form of  $\mathcal{H}_2(4D)$ ,  $A^2B$  not.

For these reasons, the four ambiguous classes

$$I, A^2, B, A^2B$$

of  $\mathcal{H}^2(D)$  contain the forms

$$\begin{cases} [1, 0, 64q], [4, 4, 1 + 16q], [q, 0, 64], [4q, 4q, q + 16] & \text{in case (I),} \\ [1, 0, 32q], [4, 4, 1 + 8q], [q, 0, 32], [4q, 4q, q + 8] & \text{in case (II),} \end{cases}$$

respectively.

The classes of  $\mathcal{H}_2(D)$  fall into 4 genera:

$\mathcal{G}_1 = \{I, A^2\}$ , represents numbers  $a \equiv 1 \pmod 8$ ,

$\mathcal{G}_2 = \{B, A^2B\}$ , represents numbers  $a \equiv q \pmod 8$ ,

$\mathcal{G}_3 = \{A, A^3\}$  and  $\mathcal{G}_4 = \{AB, A^3B\}$ .

Let  $\alpha, \beta \in \mathbb{Z}$  be such that  $(\mathbb{Z}/8\mathbb{Z})^\times = \{\bar{1}, \bar{q}, \bar{\alpha}, \bar{\beta}\}$ . Since we are free to replace  $A$  by  $AB$ , we can normalize the generators in such a way, that  $\mathcal{G}_3$  represents numbers  $a \equiv \alpha \pmod 8$  and  $\mathcal{G}_4$  represents numbers  $a \equiv \beta \pmod 8$ .

From Lemma 1 and the given description of genera we obtain the following criterion (cf. the Example in [10]).

LEMMA 2. Let  $D$  be a discriminant of type (I) or (II) and  $p$  a rational prime satisfying

$$\left(\frac{D_0}{p}\right) = 1. \text{ Then } p^{h'(D)} \text{ is represented by}$$

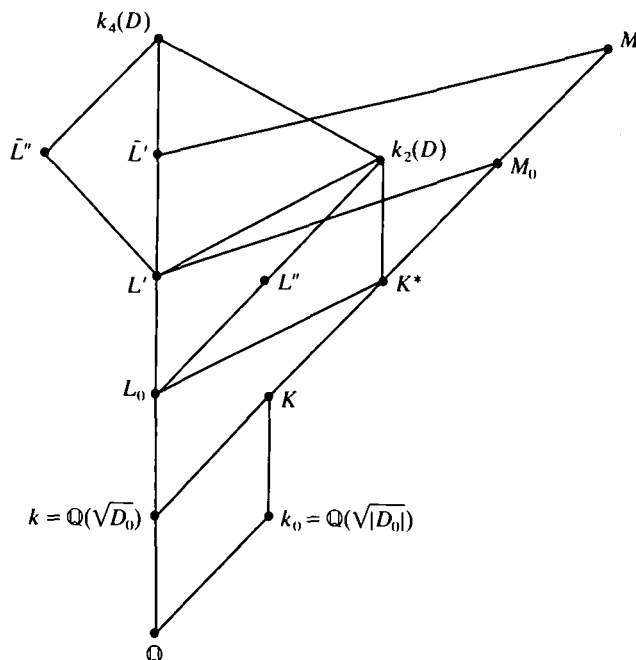
both  $A$  and  $A^3$ , if  $p \equiv \alpha \pmod 8$ ;

both  $AB$  and  $A^3B$ , if  $p \equiv \beta \pmod 8$ ;

exactly one of  $I$  and  $A^2$ , if  $p \equiv 1 \pmod 8$ ;

exactly one of  $B$  and  $A^2B$ , if  $p \equiv q \pmod 8$ .

In [9] (Corollary on p. 17), we proved a criterion for a prime  $p \equiv 1 \pmod 8$  to be represented either by  $I$  or by  $A^2$ . In the sequel we concentrate our attention to primes  $p \equiv q \pmod 8$ , and we start by describing the Galois theory of the field  $k_2(4D)$  for discriminants  $D$  as in (I) or (II).



By Lemma 1, we obtain

$$\text{Gal}(k_2(4D)/\mathbb{Q}) = \langle [\bar{A}], [\bar{B}], \tau \rangle,$$

and  $[\bar{A}]^8 = [\bar{B}]^2 = \tau^2 = \text{id}$ ,  $[\bar{A}][\bar{B}] = [\bar{B}][\bar{A}]$ ,  $[\bar{B}]\tau = \tau[\bar{B}]$ ,  $[\bar{A}]\tau = \tau[\bar{A}]^{-1}$ .  
 $k_2(4D)$  possesses 3 subfields on degree 16 containing  $k = \mathbb{Q}(\sqrt{-D_0})$ , namely:

- $k_2(D)$ , the fixed field of  $[\bar{A}]^4$ ;
- $\bar{L}'$ , the fixed field of  $[\bar{B}]$ ;
- $\bar{L}''$ , the fixed field of  $[\bar{A}^4\bar{B}]$ .

$\bar{L}'$  and  $\bar{L}''$  are Galois extensions of  $\mathbb{Q}$ , cyclic of degree 8 over  $k$  and having dihedral groups of order 16 as their absolute Galois groups.

Observing  $[\bar{A}] \mid k_2(D) = [A]$  and  $[\bar{B}] \mid k_2(D) = [B]$ , we obtain  $\text{Gal}(k_2(D)/\mathbb{Q}) = \langle [A], [B], \tau \rangle$ . The field  $k_2(D)$  possesses 3 subfields of degree 8 containing  $k$ , namely

- $K^*$ , the fixed field of  $[A]^2$ ;
- $L'$ , the fixed field of  $[B]$ ;
- $L''$ , the fixed field of  $[A^2B]$ .

$K^*$  is an absolutely abelian extension of type  $(2, 2, 2)$ , and a simple conductor calculation shows that  $K^* = \mathbb{Q}(\sqrt{q}, \sqrt{2}, \sqrt{-1})$ , cf. also [7].  $L'$  and  $L''$  are Galois extensions of  $\mathbb{Q}$ , cyclic of degree 4 over  $k$ , and having dihedral groups of order 8 as their absolute Galois groups. We are able to distinguish between  $L'$  and  $L''$ :  $L'$  is a subfield of a dihedral field of degree 16 over  $\mathbb{Q}$  (e.g.,  $\bar{L}'$  or  $\bar{L}''$ ), while  $L''$  is not.

Let  $L_0 \subset k_2(D)$  be the fixed field of  $\langle [A^2], [B] \rangle$ ; obviously,  $k \subset L_0 \subset L^*$ , and  $L_0 = L' \cap L''$ . Since  $L_0$  has an embedding in a dihedral field cyclic over  $k$  (namely  $L'$ ), it follows by [6], Satz 22 that

$$L_0 = \begin{cases} \mathbb{Q}(\sqrt{D_0}, \sqrt{2}), & \text{if } q \equiv 7 \pmod{8}, \\ \mathbb{Q}(\sqrt{D_0}, \sqrt{-2}), & \text{if } q \equiv 3 \pmod{8}. \end{cases}$$

There are two other subfields of  $K^*$  which are of interest, namely  $k_0 = \mathbb{Q}(\sqrt{|D_0|})$  and  $K = kk_0 = \mathbb{Q}(\sqrt{D_0}, \sqrt{-D_0})$ . Let  $\epsilon_0 > 1$  be the fundamental unit of  $k_0$ , and set

$$M = \begin{cases} K(\sqrt[8]{-\epsilon_0}), & \text{if } q \equiv 7 \pmod{8}, \\ K(\sqrt[8]{-4\epsilon_0}), & \text{if } q \equiv 3 \pmod{8}. \end{cases}$$

The field  $M$  was considered in [4], Sätze 1, 1a and 1b, where the following facts were proved:

$M/\mathbb{Q}$  is a Galois extension of degree 32,  $K^* \subset M$ ,  $M/K$  is cyclic of degree 8, and there exists a subfield  $L \subset M$  such that  $M = LK$ ,  $L/\mathbb{Q}$  is a Galois extension of degree 16 with a dihedral group as Galois group,  $k \subset L$ , and  $L/k$  is cyclic of degree 8.

Let  $M_0$  be the unique intermediate field between  $K^*$  and  $M$ . By [6], Satz 11,  $L$  is contained in a ring class field over  $k$ , and since  $M/k$  is unramified outside 2, we infer  $L \subset k_2(D_s)$  for some  $s \geq 2$ . It follows from the structure of  $\mathcal{H}_2(D_s)$  (determined above) that every cyclic extension of degree 8 over  $k$  contained in some  $k_2(D_s)$  is already contained in  $k_2(4D)$ . This implies  $L \in \{\bar{L}', \bar{L}''\}$ , and consequently  $M_0 = L'K$ .

The following lemma concerns the splitting type of primes  $p \equiv q \pmod{8}$  in  $M$ .

LEMMA 3. Let  $D$  be a discriminant of type (I) or (II) and  $p$  a rational prime satisfying  $\left(\frac{D_0}{p}\right) = 1$  and  $p \equiv q \pmod 8$ . Then  $p$  is inert in  $k_0$  and splits in  $M_0$  into primes of (absolute) degree 2. Moreover, exactly one of the following two assertions holds true:

- 1)  $p$  splits completely in  $L'$ , and the prime divisors of  $p$  in  $M$  are of degree 2.
- 2)  $p$  splits completely in  $L''$ , and the prime divisors of  $p$  in  $M$  are of degree 4.

*Proof.* Since  $(|D_0|/p) = -(D_0/p) = -1$ ,  $p$  is inert in  $k_0$ . For every subfield  $\Omega$  of  $M$ , we denote by  $f(\Omega)$  the degree of the prime divisors of  $p$  in  $\Omega$ . We have  $f(k) = 1$ ,  $f(k_0) = 2$ , and since  $K^*/\mathbb{Q}$  is of type  $(2, 2, 2)$ , we infer  $f(K^*) = 2$ . Since  $p \equiv 7 \pmod 8$  splits in  $\mathbb{Q}(\sqrt{2})$  and  $p \equiv 3 \pmod 8$  splits in  $\mathbb{Q}(\sqrt{-2})$ , we obtain  $f(L_0) = 1$ , and since  $M_0/L_0$  is of type  $(2, 2)$  and  $K^* \subset M_0$ , we obtain  $f(M_0) = 2$  as asserted.

$k_2(D)/L_0$  is an extension of type  $(2, 2)$  with intermediate fields  $L', L''$  and  $K^*$ . Since  $f(L_0) = 1$  and  $f(K^*) = 2$ , we obtain  $f(k_2(D)) = 2$ , and either  $f(L') = 1, f(L'') = 2$  or  $f(L') = 2, f(L'') = 1$ . If  $f(L') = 1$ , then we infer  $f(M) = 2$ , since  $M/L'$  is of type  $(2, 2)$ ,  $M_0 \subset M$  and  $f(M_0) = 2$ . If  $f(L') = 2$ , then we infer  $f(L') = f(L'') = 4$  since  $L'/L_0$  and  $L''/L_0$  are cyclic, and consequently  $f(M) = 4$  as asserted.

**3. Main results.**

THEOREM. Let  $D$  be a discriminant of type (I) or (II), i.e., either

- (I)  $D = -256q, q$  prime,  $q \equiv 3 \pmod 4$  or
- (II)  $D = -128q, q$  prime,  $q \equiv 3 \pmod 8$ .

Let  $p$  be a rational prime satisfying  $(D/p) = 1$  and  $p \equiv q \pmod 8$ . Let  $\epsilon_0 > 1$  be the fundamental unit of  $k_0 = \mathbb{Q}(\sqrt{|D|})$ .

- i)  $-\epsilon_0$  is a quartic residue modulo  $p$  in  $k_0$ , and exactly one of the classes  $A^2B$  and  $B$  represents  $p^{h'(D)}$ .
- ii)  $B \rightarrow p^{h'(D)}$  if and only if  $-\epsilon_0$  is an octic residue modulo  $p$  in  $k_0$ .

*Proof.* We set

$$\alpha_0 = \begin{cases} -\epsilon_0, & \text{if } q \equiv 7 \pmod 8, \\ -4\epsilon_0, & \text{if } q \equiv 3 \pmod 8, \end{cases}$$

whence  $M = k(\sqrt[8]{\alpha_0})$  and  $M_0 = K(\sqrt[4]{\alpha_0})$ . The prime  $p$  is inert in  $k_0$  and splits in  $M_0$  by Lemma 3, and therefore  $\alpha_0$  is a quartic residue modulo  $p$  in  $k_0$ .

By Lemma 2, exactly one of the classes  $B$  and  $A^2B$  represents  $p^{h'(D)}$ . By Lemma 1, we have  $B \rightarrow p^{h'(D)}$  if  $L'$  is the decomposition field of  $p$  in  $k_2(D)$ , and  $A^2B \rightarrow p^{h'(D)}$  if  $L''$  is it. By Lemma 3,  $p$  splits completely in exactly one of the fields  $L'$  and  $L''$ . Therefore we obtain  $B \rightarrow p^{h'(D)}$  if and only if  $p$  splits completely in  $L'$ . Again by Lemma 3,  $p$  splits completely in  $L'$  if and only if the prime divisors of  $p$  in  $K$  split completely in  $M/K$ , and since  $M = K(\sqrt[8]{\alpha_0})$ , this is the case if and only if  $\alpha_0$  is an octic residue modulo  $p$  in  $k_0$ . Thus we have proved:

$\alpha_0$  is a quartic modulo  $p$  in  $k_0$ , and  $B \rightarrow p^{h'(D)}$  if and only if  $\alpha_0$  is an octic residue modulo  $p$ .

To arrive at the assertions of the theorem, we must prove that, for  $q \equiv 3 \pmod 8$ , 2 is a quartic residue modulo  $p$  in  $k_0$  (then 4 is an octic residue); but this is easy, cf. [8], Lemma 2.

Finally we give an interpretation of the criterion stated in the theorem in terms of recurrent sequences.

PROPOSITION. Let  $m > 2$  be a square-free integer,  $u, v \in \mathbb{N}$ ,  $\epsilon = u + v\sqrt{m} > 1$  and  $u^2 - mv^2 = 1$ . Let  $p \equiv 3 \pmod{4}$  be a prime satisfying  $(m/p) = -1$ . Define the sequence  $(V_n)_{n \geq 0}$  by  $V_0 = 2$ ,  $V_1 = -2u$  and  $V_{n+2} = -2uV_{n+1} - (u^2 - mv^2)V_n$  ( $n \geq 0$ ).

- i) For any  $n \geq 0$ , we have  $V_n = (-u + v\sqrt{m})^n + (-u - v\sqrt{m})^n$ .
- ii)  $-\epsilon$  is a quadratic residue modulo  $p$  in  $\mathbb{Q}(\sqrt{m})$ , and  $V_{(p+1)/2} \equiv \pm 2 \pmod{p}$ .
- iii)  $-\epsilon$  is a quartic residue modulo  $p$  in  $\mathbb{Q}(\sqrt{m})$  if and only if  $V_{(p+1)/2} \equiv 2 \pmod{p}$ ; in this case we have  $V_{(p+1)/4} \equiv \pm 2 \pmod{p}$ .
- iv) Let  $-\epsilon$  be a quartic residue modulo  $p$  in  $\mathbb{Q}(\sqrt{m})$ . Then  $-\epsilon$  is an octic residue modulo  $p$  in  $\mathbb{Q}(\sqrt{m})$  if and only if  $V_{(p+1)/4} \equiv 2 \pmod{4}$ .

Proof. i) follows by induction.

For the proof of the remaining assertions, let  $F = \mathbb{Z}[\sqrt{m}]/(p)$  be the residue class field modulo  $p$ , and denote by  $\bar{y} \in F$  the residue class of an element  $y \in \mathbb{Z}[\sqrt{m}]$ .  $F$  is a field of  $p^2$  elements, containing the subfield  $F_0 = \mathbb{Z}/p\mathbb{Z}$  of rational residue classes. The non-trivial automorphism of  $F/F_0$  is induced by that of  $\mathbb{Q}(\sqrt{m})/\mathbb{Q}$  and is given by  $(\xi \mapsto \zeta^p)$ . Since  $\mathcal{N}(\epsilon) = u^2 - m^2v = 1$ , we obtain  $\bar{\epsilon}^{1+p} = \bar{1} \in F$ , and

$$\bar{V}_n = (-\bar{\epsilon})^n + (-\bar{\epsilon})^{-n} \quad (n \geq 0).$$

Therefore  $V_n \equiv \pm 2 \pmod{p}$  is equivalent with

$$[(-\bar{\epsilon})^n]^2 \mp 2[(-\bar{\epsilon})^n] + \bar{1} = \bar{0},$$

i.e.

$$(-\bar{\epsilon})^n = \pm \bar{1} \in F.$$

Let  $\omega \in \mathbb{Z}[\sqrt{m}]$  be a primitive root modulo  $p$ , i.e.  $F^\times = \langle \bar{\omega} \rangle$ , and set  $-\bar{\epsilon} = \bar{\omega}^l$  with  $l \in \mathbb{N}_0$ . Since  $\bar{\epsilon}^{1+p} = \bar{1}$ , we obtain  $l = (p-1)r$  for some  $r \in \mathbb{N}$ . If  $v \in \mathbb{N}_0$ ,  $2^v \mid p+1$ , then  $2^{v+1} \mid p^2 - 1$ , and consequently  $-\epsilon$  is a  $2^{v+1}$ th power residue modulo  $p$  if and only if  $2^v \mid r$ . If  $2^v \nmid p+1$ , then we have

$$(-\bar{\epsilon})^{(p+1)2^v} = \bar{\omega}^{(p^2-1)r/2^v} = \bar{1}$$

if and only if  $2^v \mid r$ , and in this case we obtain (provided that  $2^{v+1} \mid p+1$ )

$$(-\bar{\epsilon})^{(p+1)2^{v+1}} = \pm \bar{1}.$$

Applying these arguments for  $v \in \{0, 1, 2\}$ , the assertions of the Proposition follow.

REMARK 1. There are analogues of the proposition above concerning the residuacity character of  $\epsilon$  or  $\pm 2\epsilon$ . They also may be used together with the theorem to obtain criteria for the representation by  $A^2B$  or  $B$ .

REMARK 2. If  $m = 3$ , then  $A^2B$  contains the form  $[12, 12, 9]$  and  $B$  contains  $[3, 0, 64]$ ; we have  $\epsilon_0 = 2 + \sqrt{3}$ , and the theorem together with the proposition implies the conjecture of Kaplan and Williams.

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