

## $\mathbf{Z}_p$ -TOWERS IN DEMUŠKIN GROUPS

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ABSTRACT. In this note, we develop the notion of a  $\mathbf{Z}_p$ -tower in a Demuškin group, and apply the results of Koch and Wingberg on the uniqueness of so-called Demuškin formations to give a classification of such towers in the case  $p \neq 2$ .

**1. Introduction.** We first recall some well-known facts about Demuškin groups. For proofs, see Labute [6] or Serre [7], [8]. Let  $p$  be a prime, and let  $X$  be a Demuškin  $p$ -group. That is,  $X$  is a profinite  $p$ -group satisfying the axioms:

- (i)  $\dim_{\mathbf{F}_p} H^1(X, \mathbf{Z}/p\mathbf{Z}) = n < \infty$ ;
- (ii)  $\dim_{\mathbf{F}_p} H^2(X, \mathbf{Z}/p\mathbf{Z}) = 1$ ;
- (iii) the cup-product  $H^1(X, \mathbf{Z}/p\mathbf{Z}) \times H^1(X, \mathbf{Z}/p\mathbf{Z}) \rightarrow H^2(X, \mathbf{Z}/p\mathbf{Z})$  is a nondegenerate bilinear form.

With the exception of the trivial case  $p = 2, n = 1$ , these axioms suffice to show that the  $p$ -cohomological dimension of  $X(cd_p(X))$  is 2, and one can associate to  $X$  its "dualizing module":

$$I_X = \varinjlim_U \varprojlim_s H^2(U, \mathbf{Z}/p^s\mathbf{Z})^*,$$

where the  $*$  denotes the Pontrjagin dual, and where the direct limit is taken over all open subgroups  $U \leq X$  and over integers  $s \geq 1$ . It is well known ([7], I-52) that as an abelian group  $I_X \cong Q_p/\mathbf{Z}_p$ , and to  $X$  can be associated the character  $\chi : X \rightarrow \mathbf{Z}_p^\times$  giving the natural action of  $X$  on  $I_X : g \cdot \zeta = \chi(g)\zeta$  for all  $\zeta \in I_X$  and  $g \in X$ . The invariant  $\chi$  together with the rank  $n$  completely determine the isomorphism class of  $X$ ; in particular, the torsion invariant  $q = p^s$  of  $X$  (i.e., the order of  $X_{\text{tor}}^{\text{ab}}$ ) is the cardinality of  $I_X^X$ , the  $X$ -fixed points of  $I_X$ .

By a  $\mathbf{Z}_p$ -tower in the Demuškin group  $X$  we shall mean a continuous epimorphism  $\phi : X \rightarrow \mathbf{Z}_p$ ; if the torsion invariant of  $X$  is  $q$ , then  $X^{\text{ab}} \cong \mathbf{Z}_p^{n-1} \oplus \mathbf{Z}/q\mathbf{Z}$ , so that a good many such towers exist. We will call two such towers  $\phi_1$  and  $\phi_2$  equivalent if there is an automorphism  $\pi$  of  $X$  so that  $\phi_1 = \phi_2 \circ \pi$ . The object of this note is to classify  $\mathbf{Z}_p$ -towers in a given Demuškin group  $X$  up to equivalence.

**2. The Main Theorem.** Let  $\phi$  be a  $\mathbf{Z}_p$ -tower in the Demuškin group  $X$ , and define the  $m^{\text{th}}$  level subgroup of the tower to be  $X_m = \phi^{-1}(p^m\mathbf{Z}_p)$ . This gives a

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chain of normal subgroups  $X = X_0 \supset X_1 \supset \dots$  with  $X/X_m = G_m \cong \mathbf{Z}/p^m\mathbf{Z}$  and  $\bigcap X_m = V = \ker \phi$ .

We will assume from now on that the strict  $p$ -cohomological dimension of  $X$  ( $\text{scd}_p X$ ) is two. In this case, the image of the invariant  $\chi$  is infinite ([7], I-52) and possesses a unique quotient isomorphic to  $\mathbf{Z}_p$ . Explicitly, if  $q \neq 2$  is the torsion invariant of  $X$ , then  $\text{im } \chi = 1 +_q \mathbf{Z}_p \cong \mathbf{Z}_p$ . If  $q = 2$  and  $\text{im } \chi = \{\pm 1\} \times \{1 + 2^s \mathbf{Z}_2\}$  with  $s \geq 2$ , then projection onto the second factor will work. The remaining case has  $\text{im } \chi = \langle -1 + 2^s \rangle \cong \mathbf{Z}_p$ . In each case, we get (by choice of a topological generator) a  $\mathbf{Z}_p$ -tower  $\phi_X$ , which we call a basic tower.

LEMMA 1. *Let  $U \leq X$  be open. The  $U_{\text{tor}}^{\text{ab}} \cong I_X^U$ .*

PROOF. The exact sequence  $0 \rightarrow \mathbf{Z}/p'\mathbf{Z} \rightarrow Q_p/\mathbf{Z}_p \xrightarrow{p'} Q_p/\mathbf{Z}_p \rightarrow 0$  gives the long exact sequence

$$0 \rightarrow H^1(U, \mathbf{Z}/p'\mathbf{Z}) \rightarrow H^1(U, Q_p/\mathbf{Z}_p) \xrightarrow{p'} H^1(U, Q_p/\mathbf{Z}_p) \rightarrow H^2(U, \mathbf{Z}/p'\mathbf{Z}),$$

where the last map is surjective since  $\text{scd}_p U = 2$ . Dualizing gives the exact sequence

$$0 \rightarrow H^2(U, \mathbf{Z}/p'\mathbf{Z})^* \rightarrow U^{\text{ab}} \xrightarrow{p'} U^{\text{ab}}.$$

Thus for  $t$  large enough,  $U_{\text{tor}}^{\text{ab}} \cong H^2(U, \mathbf{Z}/p'\mathbf{Z})^*$ , and this latter group is easily seen to inject into  $I_X^U$ . Let  $V$  be the maximal subgroup of  $X$  which fixes  $I_X^U$  — since  $H^2(V, \mathbf{Z}/p'\mathbf{Z})^* \rightarrow H^2(U, \mathbf{Z}/p'\mathbf{Z})^*$  is injective,  $V^{\text{ab}} \rightarrow U^{\text{ab}}$  is injective, and it suffices to prove the lemma for  $V$ . Let the generator  $\zeta$  of  $I_X^V$  come from  $H^2(W, \mathbf{Z}/p'\mathbf{Z})^*$  for some open normal subgroup  $W$  of  $X$ , so that  $\zeta \in (W_{\text{tor}}^{\text{ab}})^V$ . By propositions 10 and 11 of [3], the transfer map  $\text{Ver} : V^{\text{ab}} \rightarrow (W^{\text{ab}})^V$  is an isomorphism, so that  $\zeta \in V^{\text{ab}}$  already. □

COROLLARY. *The level subgroups of the tower  $\phi_X$  are characteristic subgroups of  $X$ .*

PROOF. Let  $\zeta \in I_X$ , and  $W = C_X(\zeta)$  be the centralizer of  $\zeta$  in  $X$ . If  $I_X^W = \langle \zeta' \rangle$ , and if  $W' = C_X(\zeta')$ , then  $W = W'$ , so we may assume that  $\zeta = \zeta'$ . We claim that  $W$  is a characteristic subgroup of  $X$ : by Lemma 1,  $W_{\text{tor}}^{\text{ab}} \cong I_X^W$ . If  $\alpha \in \text{Aut}(X)$  and  $W' = \alpha(W)$ , then  $W'^{\text{ab}}$  and  $W^{\text{ab}}$  have isomorphic torsion subgroups, so that  $I_X^W = I_X^{W'}$ . By the maximality of  $W$ , it follows that  $W = W'$ . The intersection of these characteristic subgroups  $C_X(\zeta)$  is the characteristic subgroup  $V = \ker \chi$ .

If  $\text{im } \chi \cong \mathbf{Z}_p$ , we are done. If  $\text{im } \chi = \{\pm 1\} \times \{1 + 2^s \mathbf{Z}_2\}$ , then  $V_0 = \chi^{-1}(\pm 1)$  (which is the kernel of  $\phi_X$ ) is characteristic in  $X$  — this follows because  $V_0/V$  is the torsion subgroup of  $X/V$ . □

REMARK. The level subgroups of the tower  $\phi_X$  can be ‘constructed’ without explicitly referring to the dualizing module as follows (see the author’s thesis [9], §3.3, for details): let  $q$  be the torsion invariant of  $X$ , and let  $B$  be the Bockstein operator, defined

to be the connecting homomorphism  $H^1(X, \mathbf{Z}/q\mathbf{Z}) \rightarrow H^2(X, \mathbf{Z}/q\mathbf{Z})$  in the long exact sequence coming from the exact sequence  $0 \rightarrow \mathbf{Z}/q\mathbf{Z} \rightarrow \mathbf{Z}/q^2\mathbf{Z} \rightarrow \mathbf{Z}/q\mathbf{Z} \rightarrow 0$ . One checks that

$$\ker B = \{\psi \in H^1(X, \mathbf{Z}/q\mathbf{Z}) \mid \psi(x) = 0 \text{ for all } \bar{x} \in X_{\text{tor}}^{\text{ab}}\}.$$

Thus  $\ker B$  has co-dimension 1 in  $H^1(X, \mathbf{Z}/q\mathbf{Z})$ , and  $(\ker B)^\perp$  (the orthogonal complement with respect to the form given by the cup-product) is free of rank one over  $\mathbf{Z}/q\mathbf{Z}$ , generated by some homomorphism  $\theta$ . One can show that  $Y = \ker \theta$  is the (unique) subgroup of  $X$  of index  $q$  having maximal torsion invariant. If the image of the invariant  $\chi$  is cyclic, then  $\ker \theta$  is the  $\log_p q$ 'th level subgroup in the tower  $\phi_X$ . If  $\text{im}(\chi) \cong \mathbf{Z}_2 \oplus \mathbf{Z}/2\mathbf{Z}$ , let  $q' \neq 2$  be the torsion invariant of  $Y$  and use the above procedure to find  $\theta' \in H^1(Y, \mathbf{Z}/q\mathbf{Z})$  whose kernel is the  $\log_2 q'$ 'th level subgroup of  $Y$ . Then  $\theta'$  comes via restriction from  $H^1(X, \mathbf{Z}/q\mathbf{Z})$ , and its kernel (as a homomorphism on  $X$ ) is the  $\log_2 q'$ 'th level subgroup of the tower  $\phi_X$ .

Let  $\phi$  be a  $\mathbf{Z}_p$ -tower in the Demuškin group  $X$  which is not a basic tower, and let  $V = \ker \phi$ . Then,

$$I_X^V \cong \mathbf{Z}/p^s\mathbf{Z}$$

for some positive integer  $s$ , and we will call  $p^s$  the torsion invariant of the tower  $\phi$ . Identifying  $X/V$  with  $\mathbf{Z}_p$  (via  $\phi$ ) defines a character

$$\alpha : \mathbf{Z}_p \rightarrow (\mathbf{Z}/p^s\mathbf{Z})^\times$$

giving the action of  $X/V$  on  $I_X^V$ . Explicitly, if  $\gamma \in \mathbf{Z}_p$  and  $\phi(X) = \gamma$ , one has  $\alpha(\gamma) \equiv \chi(x) \pmod{p^s}$ . Our main result is the following theorem.

**THEOREM 1.** *Let  $X$  be a Demuškin group with rank  $n + 2$  such that  $\text{scd}_p X = 2$ , and let  $\phi$  and  $\psi$  be two  $\mathbf{Z}_p$ -towers in  $X$  having the same tower-invariants  $p^s \neq 2$  and  $\alpha$ . Then there is an automorphism  $\beta$  of  $X$  such that  $\phi \circ \beta = \psi$ .*

**3. Proof of the Theorem.** The proof of the theorem consists in showing that the pairs  $(X, \phi)$  and  $(X, \psi)$  are ‘‘Demuškin formations over  $\mathbf{Z}_p$ ’’ (described below; the idea is due to Koch [5]), and invoking the uniqueness theorem of Koch/Wingberg (Proposition 1 below) to determine the existence of the automorphism  $\beta$ .

Let  $\mathcal{G}'$  be the profinite group generated by elements  $\sigma$  and  $\tau$ , subject to the single relation:

$$\sigma\tau\sigma^{-1} = \tau^{p^f},$$

and let  $\mathcal{G}$  be any  $p$ -closed quotient thereof (i.e., any quotient whose order is divisible by  $p^\infty$ ). Let  $n, s \geq 1$  be integers, and let  $\alpha : \mathcal{G} \rightarrow (\mathbf{Z}/p^s\mathbf{Z})^\times$  be a given homomorphism. A Demuškin formation  $(X, \phi)$  over  $\mathcal{G}$  with invariants  $n, s$ , and  $\alpha$  is a surjective homomorphism  $\phi : X \rightarrow \mathcal{G}$  of topological groups, with a pro- $p$  kernel  $V$ , satisfying axioms (1), (2), and (3) below. For any  $\mathcal{H} \triangleleft \mathcal{G}$ ,  $\mathcal{H} \subset \ker(\alpha)$ ,  $G = \mathcal{G}/\mathcal{H}$ , and  $X_{\mathcal{H}} = \phi^{-1}(\mathcal{H})$ , we have:

- (1) the maximal pro- $p$  quotient  $\tilde{X}_{\mathcal{H}}$  of  $X_{\mathcal{H}}$  is a Demuškin group of rank  $n|G| + 2$ , having torsion invariant  $p^s$ ;
- (2) the symplectic space  $H^1(\mathcal{H}, \mathbf{Z}/p\mathbf{Z})^\perp / H^1(\mathcal{H}, \mathbf{Z}/p\mathbf{Z})$  is a free  $\mathbf{F}_p[G]$ -module of rank  $n$ , and the bilinear form (induced on this space by the cup-product) is hyperbolic: there exists a decomposition of this space into two totally isotropic submodules;
- (3)  $G$  acts on  $H^2(X_{\mathcal{H}}, \mathbf{Z}/p^s\mathbf{Z})^*$  by means of character  $\alpha$ .

In our situation,  $X$  is a Demuškin group,  $G = \mathbf{Z}_p$ , and  $p^s$  and  $\alpha$  are defined for the  $\mathbf{Z}_p$ -tower  $\phi$  as in §2 above. The subgroups  $\mathcal{H}$  are of the form  $p^m\mathbf{Z}_p$ , and we continue to write  $X_m$  in place of  $X_{\mathcal{H}}$ .

The rank statement of axiom (1) is well-known, following from a computation of Euler-Poincaré characteristics (see Serre, [8]; the paper [2] of Dummit and Labute is also of interest). The rest of axiom (1) and axiom (3) follow from the definition of  $\alpha$ . To show the second axiom holds, we need a few lemmas.

Let  $\phi_m \in H^1(X_m, \mathbf{Z}/p\mathbf{Z})$  be defined by

$$\phi_m(x) = \frac{1}{p^m} \text{Res}_{X_m} \phi(x) \pmod{p}.$$

LEMMA 2.  $\phi_m$  is fixed under the action of  $G = X/X_m$ .

PROOF. If  $\phi(y) = 1$ , then  $\{1, y, \dots, y^{p^m-1}\}$  is a complete set of coset representatives of  $X_m$  in  $X$ . Letting  $\sigma$  denote the coset  $yX_m$ , we have for any  $x \in X_m$ ,

$$\begin{aligned} \phi_m^\sigma(x) &= \phi_m(y^{-1}xy) = \frac{1}{p^m} \phi(y^{-1}xy) \pmod{p} \\ &= \frac{1}{p^m} \phi(x) \pmod{p} \\ &= \phi_m(x). \end{aligned} \quad \square$$

Let  $W$  be a profinite group, and let  $U$  be an open normal subgroup with quotient group  $H$ . Then for  $A$  a  $W$ -module, the composition

$$\text{Res} \circ \text{Cor} : H^i(U, A) \rightarrow H^i(W, A) \rightarrow H^i(U, A)$$

is the map on cohomology coming from the maps

$$M_W^U(A) \xrightarrow{\pi} A \xrightarrow{\iota} M_W^U(A).$$

Here  $M_W^U(A)$  is the induced module,  $\pi(f) = \sum gf(g^{-1})$  (where the sum runs over a set of representatives of  $U$  in  $W$ ), and  $\iota(a)(x) = x.a$  (see e.g., Serre [7], I-13). A direct computation shows that for  $i = 0$  this composition is just the group-ring trace operator  $\text{Tr}_H$ , and by dimension-shifting we find that this is also the case for general  $i$ .

LEMMA 3. For  $m \geq 1$ , the image of  $\phi_m$  under  $\text{Cor} : H^1(X_m, \mathbf{Z}/p\mathbf{Z}) \rightarrow H^1(X, \mathbf{Z}/p\mathbf{Z})$  is  $\phi_0$ .

PROOF. Since  $\text{Res} \circ \text{Cor}(\phi_m) = \text{Tr}_G \phi_m = |G|\phi_m = 0$ ,  $\text{Cor}(\phi_m) \in \ker(\text{Res})$ . On the other hand,  $\ker(\text{Res}) = \langle \phi_0 \rangle$ , so that  $\text{Cor}(\phi_m) = a\phi_0$  for some  $a \pmod p$  satisfying

$$a = \text{Cor}(\phi_m)(y) = \phi_m(\text{Ver}_{X \rightarrow X_m}(y)) = \phi_m \left( \prod_{i=0}^{p^m-1} \widetilde{yy_i^{-1}yy^i} \right) = \phi_m(y^{p^m}) = 1,$$

where  $\text{Ver}$  is the group-theoretic transfer map, and  $\tilde{z}$  is that element of the set  $\{1, y, \dots, y^{p^m-1}\}$  representing the coset  $zX_m$ . □

The nondegeneracy of the cup-product allows us to choose  $\eta \in H^1(X, \mathbf{Z}/p\mathbf{Z})$  so that  $\eta \cup \phi_0 = 1$ ; let  $V_0 = \langle \eta, \phi_0 \rangle^\perp$  be the orthogonal complement of the space generated by  $\eta$  and  $\phi_0$  — the restriction of the cup-product to  $V_0$  is a nondegenerate form. It should be remarked here that if  $p = 2$  and the rank of the Demuškin group  $X$  is odd, then the torsion invariant of every  $\mathbf{Z}_2$ -tower is 2; this case is excluded from Theorem 1. In particular, the possibility that  $\eta \in \langle \phi_0 \rangle$  does not arise for us.

Since  $\text{Cor}$  is an isomorphism of  $H^2(X_m, \mathbf{Z}/p\mathbf{Z})$  with  $H^2(X, \mathbf{Z}/p\mathbf{Z})$ , we have

$$\text{Res}(\eta) \cup \phi_m = \eta \cup \text{Cor}(\phi_m) = \eta \cup \phi_0 = 1,$$

from which it follows that  $\text{Res}(\eta)$  and  $\phi_m$  generate a  $G$ -stable subspace of  $H^1(X_m, \mathbf{Z}/p\mathbf{Z})$  on which the cup product is nondegenerate. Let  $V_m$  denote the orthogonal complement of this space —  $V_m$  is a  $G$ -stable vector space of dimension  $n|G| = np^m$  on which the cup-product restricts to a nondegenerate,  $G$ -invariant bilinear form.

LEMMA 4. *Let  $X$  be a Demuškin group with  $\text{scd}_p X = 2$ , and let  $\omega \in H^1(X, \mathbf{Z}/p\mathbf{Z})$ . If  $Y = \ker(\omega)$ , then one has an exact sequence*

$$H^1(Y, \mathbf{Z}/p\mathbf{Z}) \xrightarrow{\text{Cor}} H^1(X, \mathbf{Z}/p\mathbf{Z}) \xrightarrow{\cup \omega} H^2(X, \mathbf{Z}/p\mathbf{Z}) \longrightarrow 0.$$

PROOF. We first mention that  $\langle \omega \rangle$  is the kernel of  $\text{Res} : H^1(X, \mathbf{Z}/p\mathbf{Z}) \rightarrow H^1(Y, \mathbf{Z}/p\mathbf{Z})$ . The equality  $\text{Cor}(f \cup \text{Res}(g)) = \text{Cor}(f) \cup g$  gives the commutative diagram,

$$\begin{array}{ccccc} H^1(X, \mathbf{Z}/p\mathbf{Z}) \times H^1(X, \mathbf{Z}/p\mathbf{Z}) & \xrightarrow{\cup} & H^2(X, \mathbf{Z}/p\mathbf{Z}) \\ \text{Res} \downarrow & & \uparrow \text{Cor}_2 \\ H^1(Y, \mathbf{Z}/p\mathbf{Z}) \times H^1(Y, \mathbf{Z}/p\mathbf{Z}) & \xrightarrow{\cup} & H^2(Y, \mathbf{Z}/p\mathbf{Z}) \\ & & \uparrow \text{Cor}_1 \end{array}$$

where  $\text{Cor}_2$  is an isomorphism. Let  $W = \text{im}(\text{Cor}_1)$ ; given any  $\theta_1 \in W, \theta_1 \notin \langle \omega \rangle$ , there is a  $\theta_2 \in H^1(Y, \mathbf{Z}/p\mathbf{Z})$  so that

$$1 = \text{Res}(\theta_1) \cup \theta_2 = \theta_1 \cup \text{Cor}_1(\theta_2).$$

Hence either the radical of  $W$  is  $\langle \omega \rangle$ , or the restriction of the cup-product to  $W$  is nondegenerate. In the former case, let  $\psi \in H^1(X, \mathbf{Z}/p\mathbf{Z})$  such that  $\psi \cup \omega = 1$  and let

$W'$  be the space generated by  $W$  and  $\psi$ . Then the cup-product is nondegenerate on  $W'$ ; if  $\gamma \in W'^{\perp}$  is non-zero, then there is a  $\gamma' \in H^1(Y, \mathbf{Z}/p\mathbf{Z})$  so that  $\gamma \cup \text{Cor}_1(\gamma') = \text{Res}(\gamma) \cup \gamma' = 1$ , a contradiction. It follows that  $W$  is of codimension 1 in  $H^1(X, \mathbf{Z}/p\mathbf{Z})$  and clearly contained in  $\ker(\text{Cor}_1)$ , so in fact  $W = \ker(\text{Cor}_1)$ .

If the restriction of the cup-product to  $W$  is nondegenerate, then  $\omega \in W^{\perp}$ , and reasoning similar to the above shows that  $\omega$  and  $W$  generate all of  $H^1(X, \mathbf{Z}/p\mathbf{Z})$ . In particular,  $W$  is again of codimension 1, and must be all of  $\ker(\cdot \cup \omega)$ .  $\square$

LEMMA 5.  $\text{Cor} : V_m \rightarrow V_0$  is surjective.

PROOF. If  $W \leq Y \leq Z$  are pro- $p$  groups, then  $\text{Cor} : H^q(W, \mathbf{Z}/p\mathbf{Z}) \rightarrow H^q(Z, \mathbf{Z}/p\mathbf{Z})$  “factors through”  $H^q(Y, \mathbf{Z}/p\mathbf{Z})$  — this follows easily from an explicit calculation on cochains. Thus it suffices to show that  $\text{Cor} : V_m \rightarrow V_{m-1}$  is surjective. Given  $\theta \in V_{m-1}$ , lemma 4 allows us to find a  $\theta' \in H^1(X_m, \mathbf{Z}/p\mathbf{Z})$  such that  $\text{Cor}(\theta') = \theta$ , and we may write  $\theta' = a\phi_m + b\eta + c\gamma$  with  $\gamma \in V_m$ , and  $a, b, c \in \mathbf{Z}/p\mathbf{Z}$  (here we abuse notation by writing  $\eta$  in place of  $\text{Res}(\eta)$ ). We find that  $a = 0$  by examining  $\eta \cup \theta'$ , and since  $\text{Cor}(\theta') = \text{Cor}(\theta' - b\eta)$  it follows that  $\text{Cor}(c\gamma) = \theta$ , whence  $\text{Cor}(V_m) = V_{m-1}$ .  $\square$

Let  $\sigma$  be a generator of the group  $G$ . The group ring  $\mathbf{F}_p[G]$  is a local ring with principal maximal ideal generated by  $\pi = \sigma - 1$ , and the group ring trace  $\text{Tr}_G$  is just  $\pi^{q-1}$ , where  $q = p^m$  is the order of  $\sigma$ . It is well known that every indecomposable left  $\mathbf{F}_p[G]$ -module is isomorphic to a power of the maximal ideal; from this it follows that if  $M$  is a finitely generated  $\mathbf{F}_p[G]$ -module, and if  $r$  is the rank of a maximal free summand of  $M$ , then the  $\mathbf{F}_p$ -rank of  $\text{Tr}_G M = r$ .

Let  $M$  be an  $\mathbf{F}_p[G]$ -module with a  $G$ -invariant bilinear form  $\Phi$ . The  $G$ -invariance of  $\Phi$  is equivalent to the property that for any  $\gamma \in \mathbf{F}_p[G]$  and  $\theta, \theta' \in M$ ,  $\Phi(\lambda\theta, \theta') = \Phi(\theta, \lambda^*\theta')$ , where  $(\Sigma a_g g)^* = \Sigma a_g g^{-1}$  is the natural involution on the group ring  $\mathbf{F}_p[G]$ . We say that the form  $\Phi$  satisfies the “trace condition” if for any  $\theta \in M$ ,  $\Phi(\theta, \theta) = \Phi(\theta, \text{Tr}_G \theta)$ .

LEMMA 6. Let  $M$  be a free  $\mathbf{F}_p[G]$ -module of even rank  $n$ , with  $\Phi(\cdot, \cdot)$  a nondegenerate,  $G$ -invariant, alternating bilinear form on  $M$  which satisfies the trace condition. Then  $M$  possesses a hyperbolic decomposition into two totally isotropic submodules.

PROOF. Let  $\theta$  be an  $\mathbf{F}_p[G]$ -generator of  $M$ , and find a  $\theta' \in M$  so that  $\Phi(\theta', \text{Tr}_G \theta) \neq 0$ . It follows easily that  $\theta$  and  $\theta'$  generate a free rank two  $\mathbf{F}_p[G]$ -submodule of  $M$  on which the restriction of  $\Phi$  is nondegenerate, and any such subspace has a hyperbolic decomposition (see [9], propositions 1.1 and 1.2 ( $p \neq 2$ ) and proposition 1.3 ( $p = 2$ ) for the details). Applying this procedure to the orthogonal complement of this rank two space in  $M$  and proceeding inductively completes the lemma.  $\square$

LEMMA 7. The space  $V_m$  is a free  $\mathbf{F}_p[G]$ -module of rank  $n$ , and as a symplectic space possesses a hyperbolic decomposition.

PROOF. The composition  $\text{Res} \circ \text{Cor} : V_m \rightarrow V_0 \rightarrow V_m$  is the trace operator  $\text{Tr}_G$ , and the  $\mathbf{F}_p$ -rank of the image of this map is the rank of a maximal free  $\mathbf{F}_p[G]$ -summand

of  $V_m$ . Since  $\text{Cor}$  is surjective and  $\text{Res}$  is injective, this rank is  $n$ . It now follows by computing  $\mathbf{F}_p$ -ranks that in fact  $V_m$  is free as an  $\mathbf{F}_p[G]$ -module. The restriction of the cup-product to  $V_m$  is a nondegenerate, antisymmetric  $G$ -invariant bilinear form  $\Phi$ .

If  $p \neq 2$ , the cup-product is automatically alternating. If  $p = 2$ , then in our situation the torsion invariant  $2^s$  of the tower is not 2; the cup-product on  $H^1(X_m, \mathbf{Z}/2^s\mathbf{Z})$  thus gives a nondegenerate form into  $H^2(X_m, \mathbf{Z}/2^s\mathbf{Z}) \cong \mathbf{Z}/2^s\mathbf{Z}$  such that for any  $\theta \in H^1(X_m, \mathbf{Z}/2^s\mathbf{Z})$ ,  $2\theta \cup \theta = 0$ . The maps induced on cohomology from the surjections  $\mathbf{Z}/2^s\mathbf{Z} \rightarrow \mathbf{Z}/2\mathbf{Z}$  give the commutative diagram (see [4], Satz 3.26),

$$\begin{array}{ccc} H^1(X_m, \mathbf{Z}/2^s\mathbf{Z}) \times H^1(X_m, \mathbf{Z}/2^s\mathbf{Z}) & \rightarrow & H^2(X_m, \mathbf{Z}/2^s\mathbf{Z}) \\ \downarrow & & \downarrow \\ H^1(X_m, \mathbf{Z}/2\mathbf{Z}) \times H^1(X_m, \mathbf{Z}/2\mathbf{Z}) & \rightarrow & H^2(X_m, \mathbf{Z}/2\mathbf{Z}) \end{array}$$

the vertical maps being essentially reduction (mod 2). It follows that the cup product on  $V_m$  is alternating in this case also. Note that the restriction of the cup product to  $V_0$  is also alternating: if the torsion invariant of  $X$  is greater than 2, this follows from the above discussion. If the torsion invariant of  $X$  is 2, then it can be shown that  $\phi_0$  represents the homomorphism  $\theta \mapsto \theta \cup \theta$  on  $H^1(X, \mathbf{Z}/2\mathbf{Z})$  — hence  $\theta \cup \theta = \theta \cup \phi_0 = 0$  on  $V_0 \subset \langle \phi_0 \rangle^\perp$ .

Finally, the trace condition is satisfied on  $V_m$ : given  $\theta \in V_m$ , we have  $\Phi(\theta, \theta) = 0$ . On the other hand,

$$\Phi(\theta, \text{Tr}_G \theta) = \Phi(\theta, \text{Res} \circ \text{Cor}(\theta)) = \Phi'(\text{Cor}(\theta), \text{Cor}(\theta)) = 0,$$

where  $\Phi'(\cdot, \cdot)$  is the restriction of the cup-product to  $V_0$ . We may thus apply lemma 6. □

With  $\mathcal{H} = p^m\mathbf{Z}_p$  and  $X_m = \phi^{-1}(\mathcal{H})$ , we have a natural projection  $X_m \rightarrow \mathcal{H}$ ; on cohomology this induces the inflation map  $\text{inf} : H^1(\mathcal{H}, \mathbf{Z}/p\mathbf{Z}) \rightarrow H^1(X_m, \mathbf{Z}/p\mathbf{Z})$ , which is injective with image generated by  $\phi_m$ . It follows that

$$V_m \cong \frac{H^1(\mathcal{H}, \mathbf{Z}/p\mathbf{Z})^\perp}{H^1(\mathcal{H}, \mathbf{Z}/p\mathbf{Z})},$$

and the requirements of axiom (2) of Demuškin formation over  $\mathbf{Z}_p$ .

The proof of Theorem 1 is now a consequence of the following uniqueness theorem for Demuškin formations:

**PROPOSITION 1.** *Let  $(X, \phi)$  and  $(X, \psi)$  be two Demuškin formations over  $G$  with the same invariants  $n, p^s \neq 2$ , and  $\alpha$ . Then there exists an automorphism  $\beta$  of  $X$  such that  $\phi \circ \beta = \psi$ .*

**PROOF.** This uniqueness theorem was first stated by Koch for  $p \neq 2$  (§3 of [5]), and proved in detail by Wingberg ([10], Satz 1). The case  $p = 2$  and  $p^s \neq 2$  was proved by Diekert (§2 of [1]).

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