

1 The Global Plastics Treaty: Understanding the present to guide
2 the future

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9

10 Abstract

11 To mitigate plastic pollution, Resolution 5/14 of the United Nations Environment
12 Assembly established an Intergovernmental Negotiating Committee (INC) tasked with
13 negotiating the Global Plastics Treaty, an ambitious treaty expected to take effect in
14 2025. This treaty's success in effectively reducing plastic pollution will depend on the
15 ongoing work of the committee and the existing literature. Herein, I review the literature
16 on the Global Plastics Treaty based on a search of the Web of Science. The data were
17 analyzed, mapped, and discussed in depth. The literature indicates an interdisciplinary
18 nature, where Environmental Sciences/Ecology and Government Law are the subject
19 areas with the highest contribution. Plastic pollution is the prominent emerging trend
20 and research topic. Notable gaps include the need for stronger connections among the
21 various directions in the literature and limited collaboration among authors. This work
22 may serve as a basis for other researchers aiming to enhance the literature on the Global
23 Plastics Treaty.

24

25 Keywords: Bibliometric analysis, bibliometric mapping, Global Plastics Treaty, UNEA
26 Resolution 5/14, plastic pollution.

27

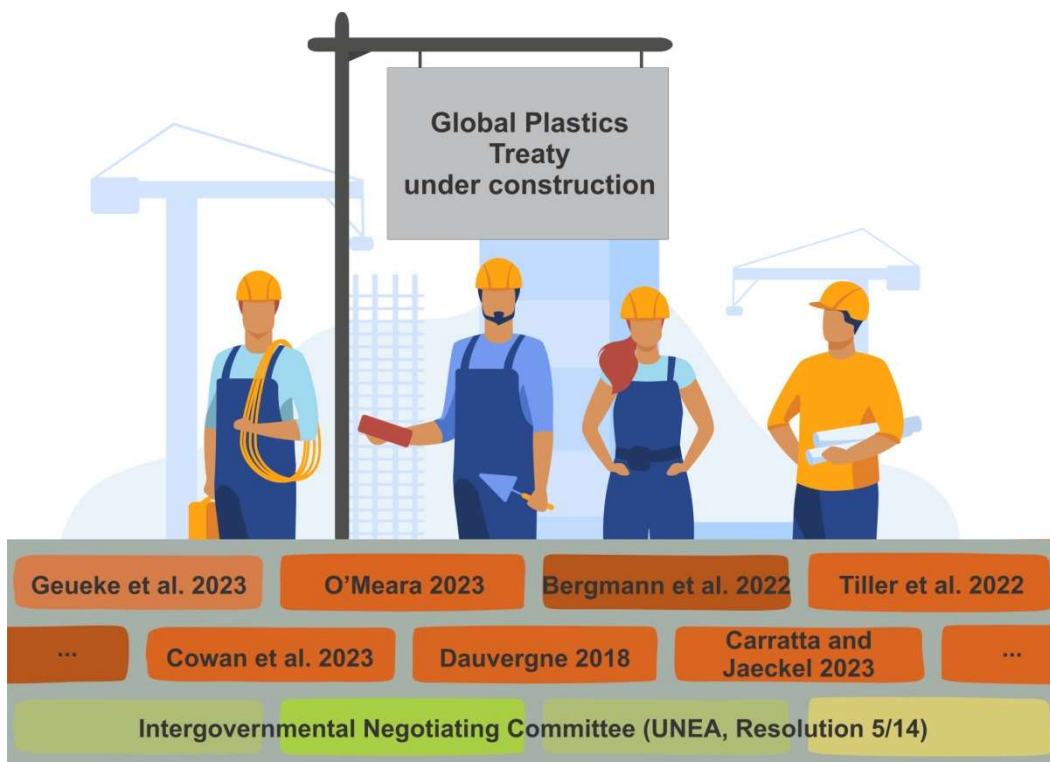
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28 Impact Statement

29 Plastic pollution is widespread. In this plastic era, we are witnessing and experiencing
30 significant adverse impacts on the environment and human health due to plastic
31 exposure throughout its entire life cycle. Despite the detrimental effects of plastic
32 pollution, the rate of plastic production continues to increase each year. Resolution 5/14
33 of the United Nations Environment Assembly established an Intergovernmental
34 Negotiating Committee (INC) to facilitate negotiations on the Global Plastics Treaty
35 aimed at addressing this global issue.

36 In the present work, an overview of the literature is provided through bibliometric
37 analysis and mapping. The outcomes can lay strong foundations and, therefore,
38 contribute to enhancing the literature on the Global Plastics Treaty.

39 Graphical abstract



40

41

42 1. Introduction

43 Global plastic production has increased significantly worldwide over time, rising from 2
44 Mt in 1950 (Geyer et al. 2017) to 400.3 Mt in 2022 (plastics used in the manufacture of
45 textiles, adhesives, sealants, coatings, paints, varnishes, waterproofing, as well as those
46 used in the production of cosmetics, pharmaceuticals, or chemical processes are not
47 included) (*Plastics – the fast facts 2023* 2023). In 2060, global plastic use is expected to
48 reach 1231 Mt (OECD 2022). Conversely, despite the escalating daily consumption of
49 plastic, there has been a need for corresponding progress in both effective plastic waste
50 management practices (de Sousa 2021a) and consumer awareness (de Sousa 2023a;
51 Northen *et al.* 2023). Presently, we are dealing with and experiencing the effects of the
52 triple planetary crisis—climate change, nature loss, and pollution—exacerbated by
53 plastic production and pollution (United Nations Environment Programme 2022a).

54 Plastics are ubiquitous, leading humanity to constant daily exposure to numerous
55 plastic-containing items. However, plastic exposure can be hazardous to human health.
56 Some hazardous additives, such as bisphenols, alkylphenol ethoxylates, perfluorinated
57 compounds, brominated flame retardants, phthalates, UV stabilizers, and metals, which
58 can be added to plastics to modify their properties, are endocrine-disrupting chemicals
59 (EDCs). The release of these EDCs from plastic materials is a matter of significant
60 concern due to their demonstrated ability to induce adverse effects on reproductive,
61 metabolic, thyroid, immunological, and neurological systems (Flaws *et al.* 2020).
62 Another concern is human exposure to microplastics (MPs) through ingestion (the main
63 route), dermal contact, and inhalation. It has been established that human MPs
64 consumption causes adverse effects such as intestinal inflammation and the acceleration
65 of viral arthritis (Rawle *et al.* 2022), toxicity, oxidative stress, and inflammation in
66 general (Huang *et al.* 2022, 2021; Junaid *et al.* 2022; Liu *et al.* 2022; Nikolic *et al.*
67 2022; Prata *et al.* 2020; Rawle *et al.* 2022; Tong *et al.* 2022; Weber *et al.* 2022; Xu *et al.*
68 2021; Yang *et al.* 2021; Yuan *et al.* 2022; Zhao *et al.* 2021; Zheng *et al.* 2021), and has a
69 potential association with immune system dysfunction and neurotoxicity (Prata *et al.*
70 2020).

71 Every year, approximately 11 Mt of plastic waste end up in the ocean, causing harm to
72 life and ecosystems (de Sousa 2023b; Reddy & Lau 2020). It is estimated that around
73 170 trillion plastic particles, primarily MPs, are floating in the world's oceans (Eriksen
74 *et al.* 2023). More than 800 marine and coastal species are affected by this waste in

75 various ways, including ingestion and entanglement (Secretariat of the Convention on
76 Biological Diversity 2016).

77 Concerning climate change, the objective is to limit global warming to 1.5°C (34.7°F).
78 Plastics release greenhouse gases (GHGs) that contribute to climate change at every
79 stage of their life cycle, from extraction to disposal (Ford *et al.* 2022). By 2050, GHGs
80 emissions from the production, use, and disposal of plastic are projected to account for
81 up to 15% of all emissions allowed (UNEP 2021).

82 The United Nations Environment Assembly (UNEA) Resolution 5/14 entitled “End
83 plastic pollution: Towards an international legally binding instrument” was adopted on
84 March 2, 2022 (United Nations Environment Programme 2022b) to mitigate plastic
85 pollution across its entire life cycle. An Intergovernmental Negotiating Committee
86 (INC) was established to reach a resolution by the conclusion of 2024, the Global
87 Plastics Treaty. The fourth session of the INC (Ottawa, 23-29 April 2024) resulted in a
88 revised draft of the international legally binding instrument on plastic pollution (UNEP
89 2024). Along with the INC, each article available in literature represents a "brick" in the
90 construction of a robust Global Plastics Treaty.

91 An internationally binding agreement, such as the Global Plastics Treaty, can help
92 mitigate this planetary crisis by promoting a transition to more sustainable and circular
93 plastic use: “A shift to a circular economy can reduce the volume of plastics entering
94 oceans by over 80% by 2040; reduce virgin plastic production by 55%; save
95 governments US\$70 billion by 2040; reduce GHGs emissions by 25%; and create
96 700,000 additional jobs – mainly in the Global South” (United Nations Environment
97 Programme 2022a).

98 The adoption of bibliometric analyses plays a crucial role in evaluating the literature
99 and guiding future works. The bibliometric analyses, due to their transparent, reliable,
100 replicable, and transdisciplinary nature, have gained widespread acceptance as methods
101 for evaluating literature (Aria *et al.* 2020; Carrión-Mero *et al.* 2021). By conducting
102 searches in electronic databases, researchers can systematically analyze data for patterns
103 and map interconnections using software (de Sousa 2021b, 2023b). Bibliometric
104 research, in this context, is essential for building a strong foundation that supports
105 significant and innovative contributions to a given field (Mukherjee *et al.* 2022).

106 I conducted a bibliometric analysis and mapping of the Global Plastics Treaty. Articles
107 in this field, written in English and published since 2018, were examined to provide an
108 overview of the subject based on sources, authors, affiliations, countries, publications,
109 and keywords. These outcomes can lay strong foundations and, therefore, contribute to
110 enhancing the literature on the Global Plastics Treaty.

111

112 2. Methodology

113 A Web of Science search was conducted on October 27, 2023. The words used were
114 “global plastic* treaty”, searching within all fields. The term “global plastic* treaty”
115 was used in the search to encompass the employed terms in the literature, i.e., Global
116 Plastic Treaty and Global Plastics Treaty.

117 The data from 31 articles in English from 2018 to October 2023 were exported to two
118 files, a BibTex and a RIS. The R-package Bibliometrix examined the BibTex file, and
119 VOSviewer version 1.6.18 was used to evaluate the RIS file. Graphs were created in
120 VOSviewer and Biblioshiny for Bibliometrix. The literature suggests that emerging
121 topics are addressed in articles (Garcia-Vazquez et al. 2021), which is why articles were
122 chosen for this study.

123 The analysis of the co-occurrence network (Bibliometrix) was based on the top 50
124 authors’ keywords and involved the application of the Louvain clustering algorithm. All
125 the isolated nodes have been removed. In VOSviewer, the keywords’ co-occurrence
126 network included 183 items (the minimum number of occurrences was one) and used
127 the full counting approach.

128 In the co-authorship analysis, the full counting method was adopted. Documents with
129 many authors (25) were ignored.

130 Possible limitations include publications from databases other than the Web of Science
131 and articles in languages other than English within the Web of Science. The Web of
132 Science database was selected because it has a more significant number of articles on
133 the topic than the Scopus database on the search date. While 31 articles were found in
134 the Web of Science, only 27 articles related to the Global Plastics Treaty were identified
135 in Scopus, with 17 articles being duplicated.

136

137 3. Results and discussion

138 Fig. S1(a) and (b) (Supporting Information) present the number of articles published per
139 year and the subject areas of these publications. Before 2018, the annual publication rate
140 was small (around 1 article per year). Since 2018, there has been a significant growth in
141 the number of publications per year, consisting in an annual growth rate of 39.77%.

142 The Global Plastics Treaty is of significant interest to various research areas. Therefore,
143 it is interdisciplinary. Among the articles analyzed, about half are in the areas of
144 Environmental sciences/Ecology (35.8%) and Government law (15.1%).

145

146 **Sources**

147 From the 24 sources identified, the most relevant journals in terms of the number of
148 published articles are as follows (with the number of published articles in parenthesis):
149 Environmental Science & Policy (3), Marine Policy (3), AJIL Unbound (2), Frontiers in
150 Marine Science (2), and Journal of Environmental Studies and Sciences (2). The
151 following journals have one publication each: American Journal of Agricultural
152 Economics, Asia-Pacific Journal of Ocean Law and Policy, Environmental Science &
153 Technology, Environmental Science & Technology Letters, European Journal of Legal
154 Studies, Global Environmental Change-Human and Policy Dimensions, International
155 Journal of Marine and Coastal Law, Journal of Hazardous Materials, Journal of
156 International Economic Law, Korean Journal of International and Comparative Law,
157 Marine Pollution Bulletin, Nature, One Earth, Photochemical & Photobiological
158 Sciences, PLOS One, Review of European Comparative & International Environmental
159 Law, Sustainability Science, and Water Research.

160 Concerning the most frequently cited local sources (i.e., those most cited from the
161 reference lists of the analyzed publications), the most significant ones are as follows
162 (with the number of local citations indicated in parenthesis): Marine Pollution Bulletin
163 (88), Science (77), Science of the Total Environment (51), Environmental Science &
164 Technology (45), Marine Policy (36), Frontiers in Marine Science (31), Proceedings of
165 the National Academy of Sciences-USA (29), Atmospheric Chemistry and Physics (28),
166 Science Advances (25), Environmental Pollution (24), Nature (24), Scientific Reports-
167 UK (24), Environmental Research Letters (23), and PLOS One (23).

168 As the primary goal of the Global Plastics Treaty is to mitigate plastic pollution,
169 particularly in aquatic environments, it is expected that a significant number of the most
170 relevant scientific journals focus on water and environmental sciences. As previously
171 discussed, these findings align with the subject matter of the published articles (See Fig.
172 S1(b) in Supporting Information).

173

174 **Authors, affiliations, and countries**

175 Approximately 150 authors contributed to the analyzed articles. The most productive
176 authors (with the number of published articles in parenthesis) are: Dauvergne (3),
177 Cowan (2), Eriksen (2), Stofen-O'Brien (2), Tiller (2), and Walker (2). All other authors
178 published a single article. Notably, Stofen-O'Brien and Tiller have the highest impact,
179 with an *h*-index of 2. Regarding local citations, the most frequently local cited authors
180 (with the number of local citations in parenthesis) are: Le Billon (4), Tessnow-Von
181 Wysocki (4), Tiller (3), and Nyman (2).

182 The sizes of the letters and circles in the co-authorship network (See Fig. S2,
183 Supporting Information) indicate the number of articles the author has published. The
184 distance between authors reflects the degree of connection they share, as determined by
185 co-occurrence links. Lines represent the strongest co-occurrences.

186 In the network (See Fig. S2 in Supporting Information), 24 clusters are displayed, each
187 represented by a different color. The most productive authors and their corresponding
188 number of links are as follows: Dauvergne (0), Cowan (4), Eriksen (20), Stofen-O'Brien
189 (0), Tiller (3), and Walker (3). Authors with a zero link are considered single authors.
190 Eriksen, who has the highest degree of connectivity, is positioned at the center, linking
191 two clusters: one blue and one yellow. In the yellow cluster, the author Walker is also
192 present. These authors are significant in the analyzed literature because of their central
193 positions on the map.

194 Regarding the authors' collaboration, there is a need for greater cooperation among the
195 authors from the various clusters. The average number of co-authors per article is 10.
196 There are 45.16% international co-authorships, and 10 articles have single authors.
197 However, all other articles are characterized by limited collaboration among authors
198 from different clusters, with the exception of the clusters containing the authors Walker

199 and Eriksen. Given the significance and interdisciplinary nature of the subject, it is
200 likely that the collaboration among authors will increase as the number of authors rises.

201 In the globe presented in Fig. S3 (Supporting Information), the most productive
202 countries are those with shaded in the darkest blue, i.e., the USA (38 articles) and the
203 UK (23 articles). In contrast, countries depicted in gray did not publish any articles. To
204 date, North America has produced the highest number of publications on the Global
205 Plastics Treaty. Given the importance of this topic, this perspective demonstrates that
206 research is being conducted worldwide, underscoring the global nature of the subject
207 (de Sousa 2021a, 2023d).

208 In further bibliometric analyses, China consistently emerged as one of the most
209 productive countries, regardless of the subject analyzed (de Sousa 2021b, 2021a,
210 2023d). In this work, China has only three articles published. China stands out in the
211 market as one of the largest producers of processed plastic items. In 2021, global plastic
212 production reached 390.7 Mt, and China represented 32% of this number (ABIPLAST
213 2023). Therefore, the limited number of publications discussing the Global Plastics
214 Treaty from the country seems unusual. Does this small number of publications indicate
215 a sense of apprehension?

216 Although the USA has published more articles on the subject, it also generates more
217 plastic waste than any other country (70.8 Mt per year), and only a small portion of that
218 amount is recycled (34.6%) (Montenegro *et al.* 2020). These conflicting statistics may
219 symbolize the beginning of the nation's transition.

220 The most relevant affiliations (with the number of published articles in parentheses) are:
221 the University of British Columbia in Canada (9), Duke University in the USA (5),
222 Lund University in Sweden (5), University of Portsmouth in England (5), Arctic
223 University in Norway (4), Dalhousie University in Canada (4), University of Lincoln in
224 the UK (4), and the World Maritime University in Sweden (4).

225

226 **Publications**

227 According to the Web of Science, the most relevant publications are as follows: Wang
228 and Praetorius (Wang & Praetorius 2022), Tessnow-von Wysocki and Le Billon
229 (Tessnow-von Wysocki & Le Billon 2019), O'Meara (O'Meara 2023), Cowan et al.

230 (Cowan *et al.* 2023b), and Filella and Turner (Filella & Turner 2023). Wang and
231 Praetorius (Wang & Praetorius 2022) discuss the possibility of integrating a chemical
232 perspective into the Global Plastics Treaty. Tessnow-von Wysocki and Le Billon
233 (Tessnow-von Wysocki & Le Billon 2019) list and discuss seven treaty design aspects
234 likely to boost the effectiveness of a future legally binding mechanism for managing
235 marine plastic pollution. O'Meara (O'Meara 2023) argues for the importance of
236 including human rights in the discussions. Cowan *et al.* (Cowan *et al.* 2023b) discuss
237 plastic governance. Filella and Turner (Filella & Turner 2023) also alert about inorganic
238 additives present in plastic formulations. This collection of articles has the potential to
239 influence the academic community (Oliveira *et al.* 2019).

240 Table 1 presents the 5 most important publications (top 5) based on the total number of
241 local citation score (LCS) and the 10 most important publications (top 10) based on the
242 total number of global citation score (GCS), as identified by Bibliometrix. This
243 approach is used to identify benchmark studies in a particular field (Andrews 2003).
244 LCS indicates how frequently an article was cited in the local dataset, i.e., in the Web of
245 Science search documents. The value of LCS represents the significance of a specific
246 publication on the Global Plastics Treaty; the higher the value, the more crucial it is.
247 Citation analysis assumes that authors cite key research documents. As a result,
248 commonly cited documents are likely to have exerted a more significant impact on the
249 subject (Ramos-Rodríguez & Ruíz-Navarro 2004). Therefore, the five articles in Table 1
250 are relevant to the field.

251 Tiller and Nyman (Tiller & Nyman 2018) argue that plastic pollution could be included
252 in the treaty to governing marine biodiversity in areas beyond national jurisdiction
253 (referred to as the BBNJ Conference), rather than waiting for a new treaty that would
254 take more time for discussion and ratification. Kirk (Kirk 2020) suggests that a plastics
255 treaty should be modeled on treaties such as the Montreal Protocol. Tiller *et al.* (Tiller *et al.*
256 *et al.* 2022) compare the evolution of marine plastics as an environmental governance
257 issue with that of other global problems. They use culture theory to explore how
258 individual's varying perception of risk influences their governance. Eriksen *et al.*
259 (Eriksen *et al.* 2023) offer an estimate of the change in plastic concentration over time
260 in the global ocean surface layer and a history of international policy actions to reduce
261 plastic inputs.

262 The GCS indicates the total number of citations of publications in the Web of Science
 263 database, but the cited publications may not be related to the Global Plastics Treaty.
 264 Dauvergne (Dauvergne 2018) is the most globally cited article and discusses the global
 265 governance of plastics. According to the author, “as pressures and complexities mount,
 266 the global governance of plastic—characterized by fragmented authority, weak
 267 international institutions, uneven regulations, uncoordinated policies, and business-
 268 oriented solutions—is failing to rein in marine plastic pollution.” Tessnow-von Wysocki
 269 and Le Billon (Tessnow-von Wysocki & Le Billon 2019) are locally and globally cited,
 270 being the second-top GCS, besides being among the most relevant articles.

271 Among the most cited articles, all discuss the future of plastic based on current treaties,
 272 collaborating to create an effective Global Plastics Treaty.

273

274 Table 1: Citation scores of the most relevant publications.

Group	Publication	LCS	GCS
Top 5 LCS	Tessnow-von Wysocki and Le Billon (Tessnow-von Wysocki & Le Billon 2019)	4	45
	Tiller and Nyman (Tiller & Nyman 2018)	2	29
	Kirk (Kirk 2020)	1	5
	Tiller et al. (Tiller <i>et al.</i> 2022)	1	2
	Eriksen et al. (Eriksen <i>et al.</i> 2023)	1	14
Top 10 GCS	Dauvergne (Dauvergne 2018)	0	209
	Tessnow-von Wysocki and Le Billon (Tessnow-von Wysocki & Le Billon 2019)	4	45
	Bernhard et al. (Bernhard <i>et al.</i> 2020)	0	41
	Tiller and Nyman (Tiller & Nyman 2018)	2	29
	Hassouni et al. (Hassouni <i>et al.</i> 2019)	0	24
	Ortuño Crespo et al. (Ortuño Crespo <i>et al.</i> 2020)	0	22
	Eriksen et al. (Eriksen <i>et al.</i> 2023)	1	14
	Khan (Khan 2020)	0	9
	Kirk (Kirk 2020)	1	5
	Finska and Howden (Finska & Howden 2018)	0	5

275 LCS: local citation score, GCS: global citation score.

276

277 Although it is not included in Table 1, some other works available in the literature are
 278 highly relevant to the topic. One notable example is the work by Cowan and Tiller

279 (Cowan & Tiller 2021), which presents a systematic review of a global plastic
280 governance agreement.

281

282 **Keywords**

283 In bibliometric analysis and mapping, keywords are beneficial as they indicate the most
284 essential content of a manuscript (Fujita & Tartarotti 2020) and provide an extensive
285 overview of the subject area (de Sousa 2022, 2023a), demonstrating its gaps, trends, and
286 directions.

287 From the 115 authors' keywords present in the analyzed articles, the 50 most common
288 are displayed in the word cloud (Fig. 1). The size of the letters indicates the frequency
289 of each keyword in the literature under study. The most common keywords in the
290 analyzed literature (with the number of occurrences in parentheses) are: plastic pollution
291 (5), pollution (5), plastic (4), plastics (4), Arctic (3), marine litter (3), circular economy
292 (2), climate change (2), litter (2), marine plastic pollution (2), monitoring (2), plastic
293 treaty (2), treaty (2), and UNCLOS (the United Nations Convention on the Law of the
294 Sea) (2). All other keywords occurred only once. The small number of occurrences
295 results from the limited number of articles analyzed. The keyword 'climate-change'
296 occurred once, and thus the keyword 'climate change' has 3 occurrences (i.e., 'climate
297 change' + 'climate-change'). As noted above, the keywords 'plastic' and 'plastics' can be
298 merged as 'plastic*', with the highest number of occurrences (8). Emerging trends or
299 hotspots are indicated by keyword frequency or density (de Sousa 2022; Garcia-
300 Vazquez *et al.* 2021; Tripathi *et al.* 2018). Emerging topics are present in articles
301 (Garcia-Vazquez *et al.* 2021). Therefore, the most common authors' keyword (i.e.,
302 'plastic pollution'), besides being an emerging trend and topic, constitutes the main
303 reason for the Global Plastics Treaty, aiming at mitigating plastic pollution.



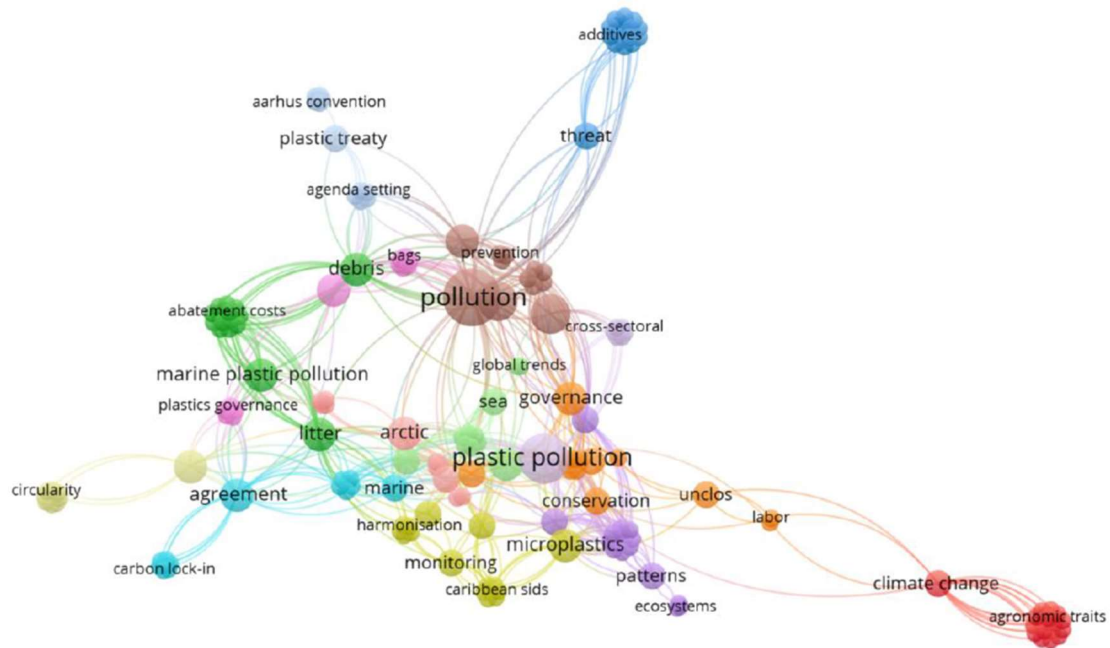
304

305 Figure 1: Word cloud containing the 50 most common authors' keywords.

306

307 A co-occurrence network of the authors' keywords highlights research topics in the
 308 studied research field (Kafi *et al.* 2023). Related keywords are presented as clusters,
 309 each representing a research topic related to the Global Plastics Treaty. The lines
 310 connecting the keywords indicate the strength of the correlation. Closer connections
 311 indicate stronger associations. If no lines connect the keywords, no connection has been
 312 established. The circle size indicates the number of keyword occurrences. There are
 313 more co-occurrences between keywords closer to the network map's center (Kafi *et al.*
 314 2023).

315 In the network (Fig. 2), 14 clusters are observed, each with a different color, and
 316 depicting a topic (direction) related to the Global Plastics Treaty. Details are presented
 317 in Table 2.



318

319 Figure 2: Keywords' co-occurrence network. The circle size indicates the number of
 320 keyword occurrences.

321

322 Table 2: Details about the keywords' co-occurrence network present in Fig. 2.

Cluster	Number of items	Color	Keywords	Direction
1	17	Red	Agronomic traits, climate change, drought resistance, dry matter, durum wheat, fertility, grain yield, heat stress, high-temperature stress, physiological traits, protein-composition, quantitative trait loci, resilience, technological quality, tolerance, triticum-aestivum l., yield	Effects of climate change on agronomy
2	16	Green	Abatement costs, choice experiment, choice experiments, debris, design, equity preferences, fairness, inequality aversion, insights, international environmental agreement, lessons, litter, marine plastic pollution, marine plastics, nonmarket valuation, policy	International policy design on plastics
3	16	Blue	Additives, biobased plastics, biodegradable plastics, challenges, durable plastics, esters, global plastic treaty, non-intentionally added substances (NIAS), opportunities, plants, plastic additives, plastic processing aids,	Threats and challenges

			plastic recycling, threat, waste pyrolysis oils, waste-to-energy	
4	16	Yellow	Accumulation, Caribbean SIDS, global plastics treaty, harmonization, marine debris, mesoplastics, microplastics, monitoring, plastic, plastic debris, retention, river, shorelines, the Bahamas, transport, water	Monitoring
5	15	Dark purple	Anthropogenic debris, corporate social responsibility, ecosystems, environment, fibers, framework, global environmental governance, ingestion, marine protected areas, marine reserve, microbeads, ocean governance, patterns, plastics industry, recycling	Environmental governance
6	14	Cyan	Added value, agreement, carbon lock-in, clean-up technology, climate, energy, externalities, industry, innovation policies, marine, plastics treaty, regulations, technology, transition	Technology
7	14	Orange	BBNJ, bycatch, climate-change, conservation, fisheries, global ocean, governance, impacts, labor, marine biodiversity, marine fisheries management maritime, protected areas, tuna, UNCLOS	Marine biodiversity
8	13	Brown	International regimes, Kyoto, Montreal protocol, negotiations, oceans, plastics, politics, pollution, prevention, production, regime formation, treaty, virgin	Global environmental politics
9	12	Purple	Activism, bags, civil society, distributive justice, global environmental politics, global south, international legal instruments, marginalized communities, need, plastics governance, policies, procedural justice	Distributive justice
10	11	Pink	Arctic, circular economy, extended producer responsibility, global plastic governance, international legally binding instrument on plastics, plastic waste, port reception facilities, regional action plans, shipping, stakeholder integration, United Nations environment assembly	Stakeholder integration
11	11	Light green	Abandoned lost or otherwise discarded fishing gear, anthropogenic litter, beach debris, citizen science, derelict fishing gear, global trends, increase, marine litter, mitigate, polar regions, sea	Anthropogenic litter
12	11	Light	Aarhus Convention, agenda setting,	Environmental

		blue	ideology, nano plastics, non-state actors, participation, plastic treaty, principle 10, Rio Declaration, risk, UNEA 5	law
13	10	Beige	Circularity, consumer perceptions, household waste generation, impact, perceptions, recycling rate, single-use, single-use plastics, sustainable consumption, waste	Consumption and plastic waste production
14	7	Light purple	Cross-sectoral, disaster lens, global instrument, health, life cycle, multi-instrument benefits, plastic pollution	Disaster lens

323

324 Each cluster related to the Global Plastics Treaty represents a direction in the analyzed
 325 literature. Thus, the directions are as follows: effects of climate change on agronomy,
 326 international policy design on plastics, threats and challenges, monitoring,
 327 environmental governance, technology, marine biodiversity, global environmental
 328 politics, distributive justice, stakeholder integration, anthropogenic litter, environmental
 329 law, consumption and plastic waste production, and disaster lens.

330 As depicted in Fig. S1 (Supporting Information), the Global Plastics Treaty is a highly
 331 interdisciplinary field, as supported by the findings of Fig. 2. The directions corroborate
 332 the five main topic areas of the published articles: Environmental Sciences/Ecology,
 333 Government Law, International Relations, Engineering, and Science Technology.

334 The absence of a clear structure in the keywords' co-occurrence network could be seen
 335 as a gap. Clusters 2, 4, 5, 7-11, 13, and 14 are highly interconnected. It concerns those
 336 key directions such as consumption and plastic waste production—which brings up
 337 keywords such as 'single-use plastics' and 'consumer perceptions'—and threats and
 338 challenges—which brings up keywords such as 'biobased plastics' and 'biodegradable
 339 plastics'—are placed far from the network's center. Such points highlight the significant
 340 potential for the development of literature and, consequently, present opportunities for
 341 future research.

342 It is important to note this series of keywords: circular economy, marine litter, plastic
 343 pollution, climate change, and marine biodiversity. The distance between the keywords
 344 indicates their relatedness. This expanded fraction of the network (See Fig. S4 in
 345 Supporting Information) demonstrates that the literature supports a strong association
 346 between plastic pollution, climate change, and their impacts on oceans and marine
 347 biodiversity. Similarly, there is a significant connection between the circular economy,

348 extended producer responsibility, marine litter, plastic pollution, and climate change.
349 The literature highlights the role of fishing nets (keyword 'abandoned lost or otherwise
350 discarded fishing gear') in the increase of plastic pollution in the oceans and subsequent
351 effects on marine biodiversity. Fishing-related items represent approximately 27% of
352 plastic marine litter (European Union 2019).

353 According to a recent study (de Sousa 2023b), plastic pollution and its corresponding
354 effects may be attributed to human behavior. This connection also emerged in this study,
355 due to the proximity among the keywords anthropogenic litter, marine litter, plastic
356 pollution, climate change, impacts, and marine biodiversity (See Fig. S4 in Supporting
357 Information).

358 Some keywords, such as 'treaty' and 'circular economy', are present in certain clusters.
359 Therefore, even if the keywords of these clusters have fewer links with other clusters,
360 they demonstrate greater participation and importance in the current literature (Fig. 3).
361 The association among some keywords in particular will be discussed in the following
362 lines.

363 In Fig. 3a, there is a strong association between keywords 'threat' and 'additives'.
364 Because the treaty emphasizes polymer recycling as part of the circular economy, some
365 additives, such as pro-degrading agents, can harm the recycling process and the quality
366 of the recycled material (please note the small distance between 'plastic additives' and
367 'plastic recycling' in Fig. 3b). These additives accelerate the degradation of the chemical
368 structure of fossil-based polymers, leading to the formation of inorganic particles and
369 molecules with lower molecular weight that are non-biodegradable and contribute to the
370 environmental pollution. These additives can degrade the polymer matrix in recycling
371 procedures, resulting in a decrease in the technical quality of the recycled materials
372 (European Commission 2018; Hann et al. 2016), as well as exposing workers to
373 hazardous additives, potentially causing illness (Wang & Praetorius 2022). Certain
374 entities within the plastics industry in Brazil (Associação Brasileira da Indústria do
375 Plástico - ABIPLAST) have taken a stance opposing the use of such chemicals
376 (ABIPLAST 2015). "Considering that degradation in the environment is not an
377 environmentally appropriate solution for waste management, ABIPLAST does not
378 recommend the use of plastic materials with pro-degrading additives in the manufacture
379 of bags or other plastic products, with the promise that they are 'environmentally
380 friendly'" (ABIPLAST 2015). Some scientists argue that chemicals found in plastics

381 must be considered an essential component for the efficiency of the Global Plastics
382 Treaty (UNEP 2022b, 2022a; Wang & Praetorius 2022). Furthermore, as mentioned
383 before, EDCs found in plastics, such as bisphenols, have been linked to health problems
384 in the reproductive, metabolic, thyroid, immunological, and neurological systems
385 (Flaws et al. 2020; Landrigan et al. 2023a).

386 Recycled plastics should not be used in certain applications, such as toys and food
387 packaging, due to the presence of hazardous chemicals (Geueke et al. 2023). Using
388 recycled plastics in food applications is particularly challenging due to non-intentionally
389 added substances (NIAS) such as reaction and degradation products and impurities.
390 Based on some authors (Geueke *et al.* 2018), NIAS levels can get higher in recycled
391 food packaging due to several reasons: (i) materials indicated to be recycled may
392 contain inherent contaminants such as dyes, additives, and their degradation products;
393 (ii) the material may degrade during use and/or recycling; (iii) chemicals can
394 accumulate when materials are recycled multiple times; (iv) unwanted and/or
395 unexpected contaminants may be present due to past misuse of the packaging; and (v)
396 non-food grade materials may enter the recycling stream.

397 According to Geueke et al. (Geueke *et al.* 2023), the chemical migration of additives in
398 plastic food contact materials is evident, but more information is required. Monomers of
399 some polymers may also migrate because of degradation during mechanical recycling.
400 So, “plastic reuse and recycling become vectors for spreading chemicals of concern”
401 (Geueke *et al.* 2023). Therefore, some formulations have a lower recycling rate, which
402 contributes to plastic pollution. Thus, it is essential to review the use of additive to
403 ensure that recycling and the use of recycled plastics are not compromised.
404 Uncontrolled utilization of additives might also affect the circular economy, which is
405 vital for mitigating plastic pollution (de Sousa 2024). The literature argues for the
406 inclusion of additives in the Global Plastics Treaty (Brander *et al.* 2024; Dey *et al.*
407 2022; Fernandez & Trasande 2023; Filella & Turner 2023; Grabiell *et al.* 2022;
408 Gündoğdu *et al.* 2024; Kurniaty *et al.* 2023; Landrigan *et al.* 2023b, 2023a; Maes *et al.*
409 2023; Stöfen-O’Brien 2022; Tilsted *et al.* 2023; Trasande *et al.* 2024; Wang *et al.* 2023;
410 Wang & Praetorius 2022).

411 In addition, keywords in the enlarged group (Fig. 3a), such as 'additives' and 'durable
412 plastics', are considered threats to the Montreal Protocol and Vienna Convention
413 (Andersen *et al.* 2021). The Montreal Protocol on Substances that Deplete the Ozone

414 Layer (Montreal Protocol) protects the Earth from climate change because ozone-
415 depleting substances (ODSs) are the strongest GHGs. By reducing the availability of
416 ODS and hydrofluorocarbon (HFC) feedstocks, there is a decrease in the production of
417 plastics, leading to a reduction in plastic pollution. Therefore, it is important to consider
418 limiting exemptions related to ODS and HFC feedstocks to address plastic pollution
419 during the manufacturing process (Andersen *et al.* 2021).

420 Regarding climate change, the subject is a concern of the literature analyzed (emerging
421 trend or hotspot, Fig. 1), and one of the detected directions addresses how climate
422 change affects agronomy (Fig. 2 and Table 2). As mentioned before, plastics emit GHGs
423 at every life cycle stage, from extraction to end-of-life (Ford *et al.* 2022). They
424 contribute approximately 4.5% of global GHGs emissions throughout their life cycle
425 (Cabernard *et al.* 2021). The plastic manufacturing industry contributes approximately
426 3.7% of the total GHGs emissions worldwide (Landrigan *et al.* 2023a). At the end-of-
427 life stage, plastics are responsible for approximately 9% of the total GHGs emissions
428 released over their entire lifespan (Zheng & Suh 2019). During the degradation of
429 plastics in water, they emit GHGs such as CO₂ (carbon dioxide) or CH₄ (methane),
430 which influence climate change. In the atmosphere, CH₄ has a global warming potential
431 that is 21 times greater than CO₂ (Ackerman 2000). Some plastics, such as
432 polyethylene, degrade and release ethylene and CH₄ when exposed to solar radiation,
433 which produces direct and indirect GHGs emissions. Polyethylene is the primary source
434 of both gases (Royer *et al.* 2018). Furthermore, MPs in the ocean may hamper the
435 ability of the ocean to fix carbon as an indirect contribution of plastics to climate change
436 (Shen *et al.* 2020). Degradation also affects the leaching of the additives present in
437 plastic formulations.

438 The anticipated increase in plastic manufacturing is expected to project approximately
439 56 billion Mt of carbon dioxide equivalent (CO₂e) in GHGs emissions between 2015
440 and 2050, accounting for 10-13% of the total remaining carbon budget (Hamilton &
441 Feit 2019). Therefore, if the expected rise in production takes place without intervention
442 (OECD 2022), there will be a corresponding surge in GHGs emissions, further
443 intensifying the effects of climate change. Thus, the literature proposes a 'cap' for the
444 manufacture of plastics (Bergmann *et al.* 2022; Cowan & Tiller 2021; Landrigan *et al.*
445 2023b, 2023a; Simon *et al.* 2021; Walker 2023).

446 All keywords containing the term 'treaty' were analyzed separately (Fig. 3b-f).

447 In the same group of keywords enlarged in Fig. 3a, there is a keyword related to the
448 term 'treaty', i.e., 'global plastic treaty'. It is located at the center of the group of
449 keywords present in Fig. 3b. Links a and b are links to the keyword 'threat' and
450 'pollution', respectively. In this group, some recycling possibilities are observed, with a
451 greater connection between the keywords 'waste pyrolysis oil', 'biodegradable plastics',
452 'plastic processing aids', and 'opportunities'. Thus, the current literature emphasizes
453 recycling as an opportunity for the Global Plastics Treaty.

454 Plastic recycling is a well-recognized solution for reducing the socio-environmental
455 issues caused by improper plastic disposal. Multiple choices are available for recycling
456 a given polymeric material, with each method having its own advantages and
457 disadvantages (de Sousa 2021a). According to the Minderoo Foundation (Charles &
458 Kimman 2023), mechanical recycling reduces cradle-to-grave emissions by at least 30-
459 40% compared to the production of polymers from fossil fuels. In other words, in terms
460 of GHGs emissions, the efficiency of producing new plastics from recycled plastic
461 packaging materials is more than three times higher than that of producing the same
462 products from original raw materials (Shen *et al.* 2020). However, some authors point
463 out many cons of plastics recycling, which will be briefly presented in the sequence.

464 Concerning mechanical recycling, despite being a sustainable practice, it can result in
465 low-quality plastics (virgin plastic material can only be recycled 2 to 3 times due to
466 thermal degradation, which reduces its strength with each recycling process (Singh *et*
467 *al.* 2017)), as well as is costly and energy-intensive (Zheng & Suh 2019). Therefore, it is
468 advisable to use renewable energy sources, which would also cause a 77% decrease in
469 GHGs emissions (Zheng & Suh 2019). Additionally, it usually generates odorous
470 emissions while processing waste plastics and soil contaminants that impact human and
471 environmental health (Gu *et al.* 2017). Another issue is that grinding, which is a part of
472 the process, releases plastic microparticles into the environment (Brown *et al.* 2023).
473 The main contributors to environmental impacts are extrusion and additives (Gu *et al.*
474 2017).

475 As illustrated in Fig. 3b and Table 2, the Global Plastics Treaty presents both
476 opportunities and challenges. Given its multidisciplinary nature, the entire scientific
477 community has the opportunity to collaborate to advance this field.

478 In Fig. 3c, the keyword 'global plastics treaty' has a strong connection with the
479 keywords 'mesoplastics', 'the Bahamas', and the Caribbean Small Island Developing
480 States (SIDS) (keyword 'Caribbean SIDS'). There are possibilities for developing
481 standardized monitoring of MPs and mesoplastics by the Caribbean SIDS to collect data
482 that might support the Global Plastics Treaty negotiations (Ambrose & Walker 2023).
483 The inclusion of MPs in the current negotiations of the plastics treaty among member
484 states of the United Nations is recognized at an international level (Ambrose & Walker
485 2023). Therefore, these keywords demonstrate the interest of SIDS in implementing an
486 ambitious Global Plastics Treaty to reduce plastic pollution (IUCN 2023). Additionally,
487 as observed in a recent work (de Sousa 2024), literature recommends that MPs be
488 included in negotiations and in the final treaty (Ambrose & Walker 2023; Eriksen *et al.*
489 2023; Landrigan *et al.* 2023b, 2023a; Stöfen-O'brien 2022).

490 Fig. 3d shows a strong association between keywords 'plastics treaty' and 'clean-up
491 technologies'. Observing the high correlation between the keywords 'treaty' and 'citizen
492 science' is interesting. Citizen science is the joint work of amateurs and professional
493 scientists to collect data for a scientific study. They do this using participatory methods
494 created by citizens or by working with professional researchers to involve more people
495 in environmental management (SiBBR n.d.). Moreover, in the background of Fig. 3d, it
496 is possible to observe the proximity between 'citizen science' and 'extended producer
497 responsibility'. Extended producer responsibility is an important aspect for achieving a
498 circular economy. The circular economy promotes the reduction of energy and raw
499 material inputs, closing cycles in industrial systems, and minimizing waste (Geueke *et al.*
500 2018). Reverse logistics operate sequentially, with the consumer playing a crucial
501 role in ensuring the effective operation of this process. The close relationship between
502 'extended producer responsibility' and 'citizen science' highlights the value of citizen
503 involvement in scientific efforts, leading to increased knowledge and active
504 participation in society. This involvement is achieved by fulfilling their roles in reverse
505 logistics and compliance with the extended producer responsibility.

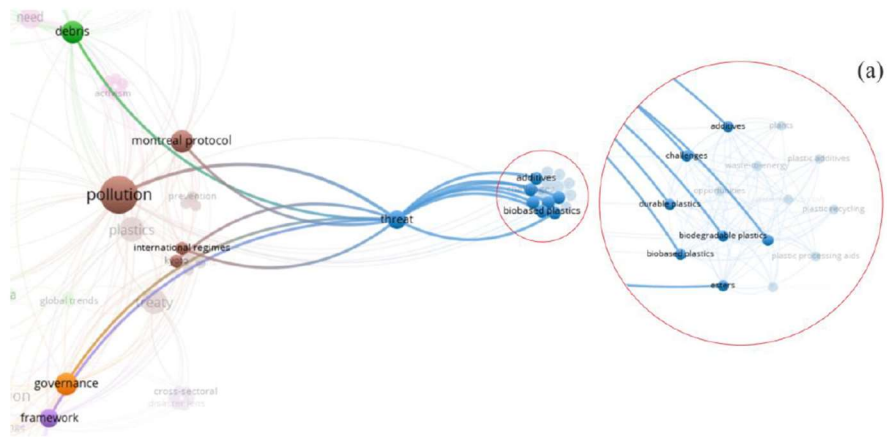
506 In Fig. 3e, the keyword 'plastic treaty' is mainly connected to the 'Aarhus Convention',
507 which is the United Nations Economic Commission for Europe (UNECE) Convention
508 on Access to Information, Public Participation in Decision-Making, and Access to
509 Justice in Environmental Matters (Aarhus Convention) (UNECE n.d.-b). This
510 Convention “protects every person’s right to live in an environment adequate to his or

511 her health and well-being” (UNECE n.d.-a). This segment of the network map addresses
512 the Global Plastics Treaty from an environmental justice perspective. Some authors
513 (Akrofi *et al.* 2022) argue that Principle 10 of the Rio Declaration, which lays down the
514 'pillars of environmental democracy' (i. access to environmental information, ii.
515 participation in decision-making processes on environmental issues, and iii. access to
516 administrative and judicial proceedings), is not implemented in any multilateral
517 environmental agreements. At this time, the most solid expression of Principle 10 was
518 found in the 1998 Aarhus Convention. Therefore, the Global Plastics Treaty may present
519 ideal opportunity to apply Principle 10 to address an intricate environmental governance
520 concern such as plastic pollution.

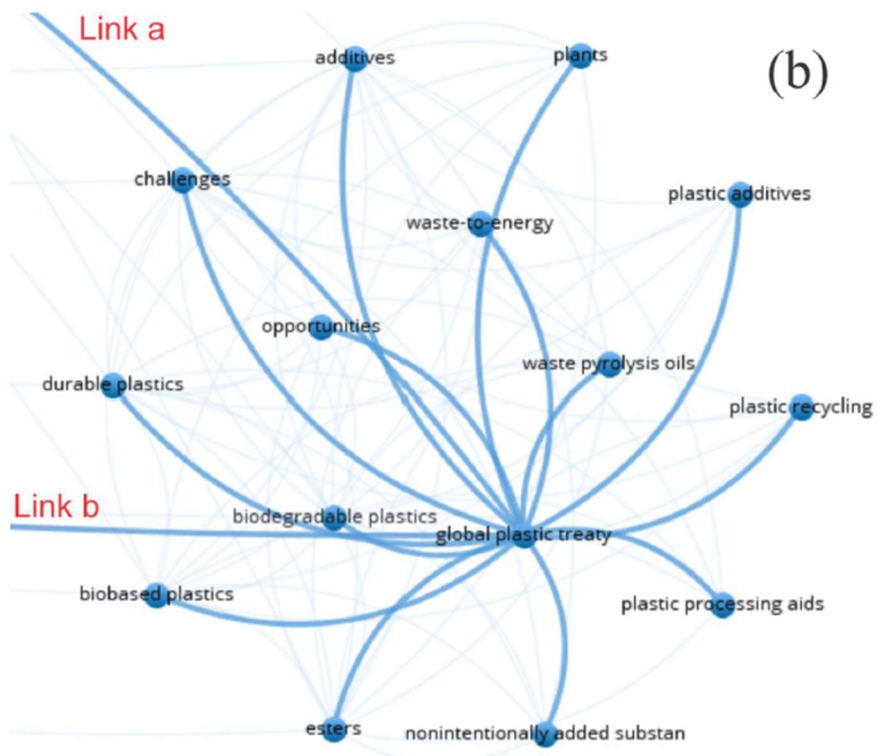
521 The keyword 'treaty' in Fig. 3f is close to the keyword 'pollution'. It shows the treaty
522 internationally, correlating with the Montreal Protocol, global trends, global
523 instruments, and international regimes. This also demonstrates the connection between
524 the life cycle of plastic materials and health. As it is a keyword highlighted in the
525 literature owing to its more centralized position on the map, it demonstrates that the
526 Global Plastics Treaty is understood as a solid opportunity to reduce plastic pollution.

527

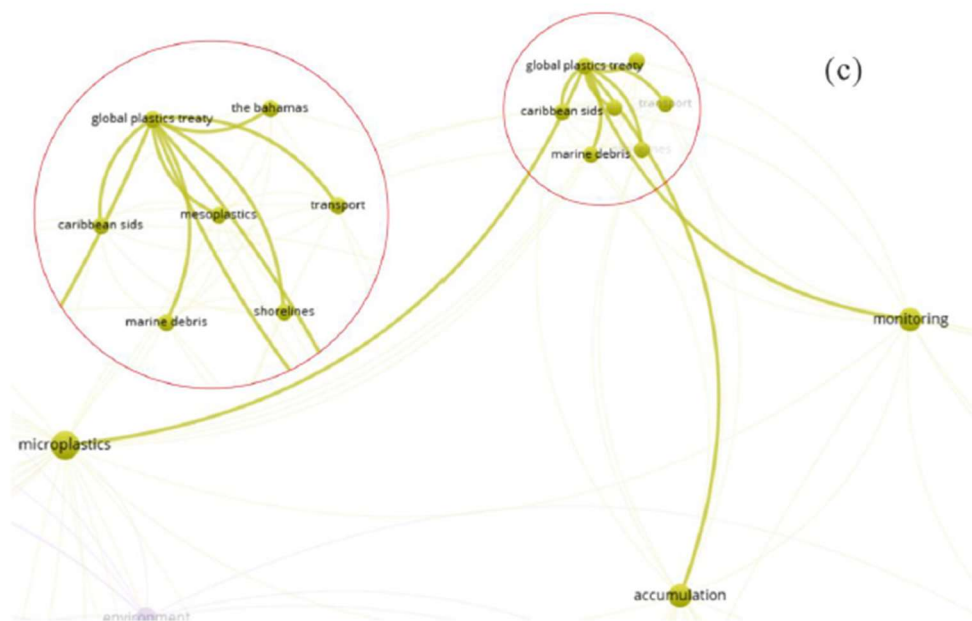
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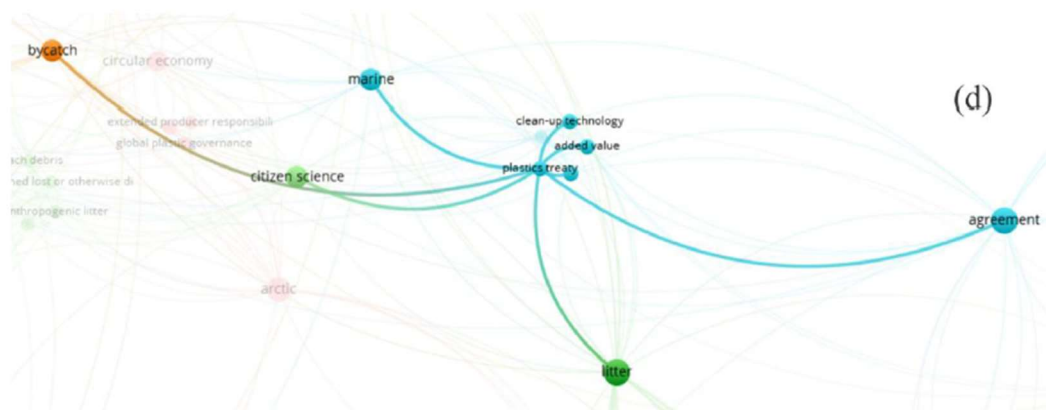
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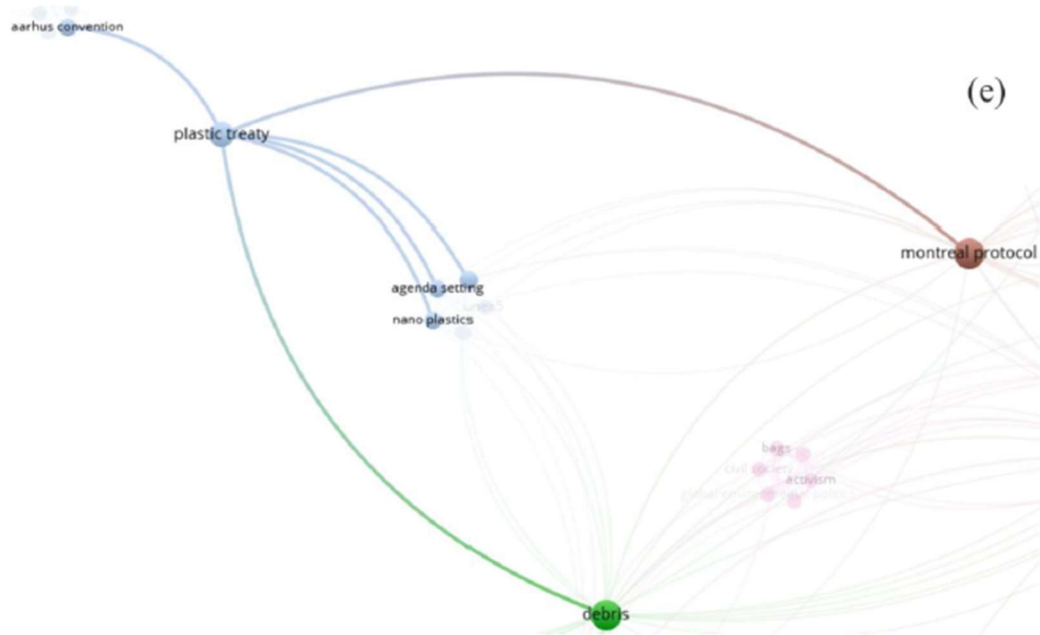
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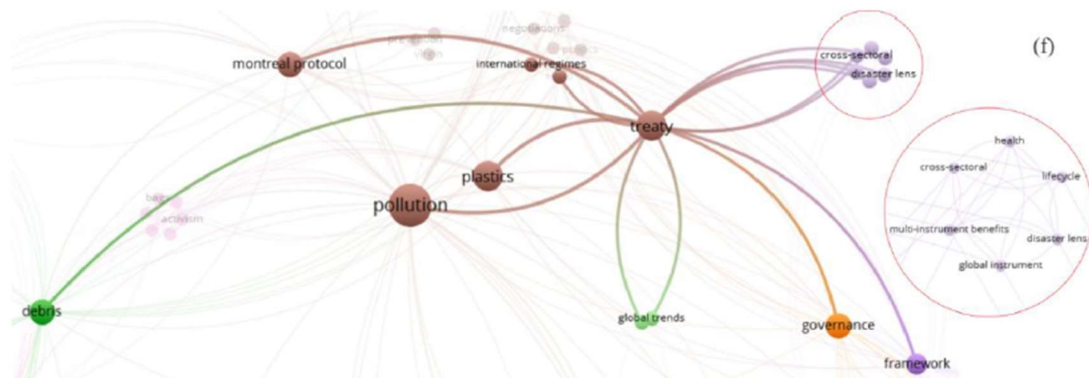
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533



534

535 Figure 3: Connections of the keywords: (a) threat, (b) global plastic treaty, (c) global
 536 plastics treaty, (d) plastics treaty, (e) plastic treaty, and (f) treaty.

537

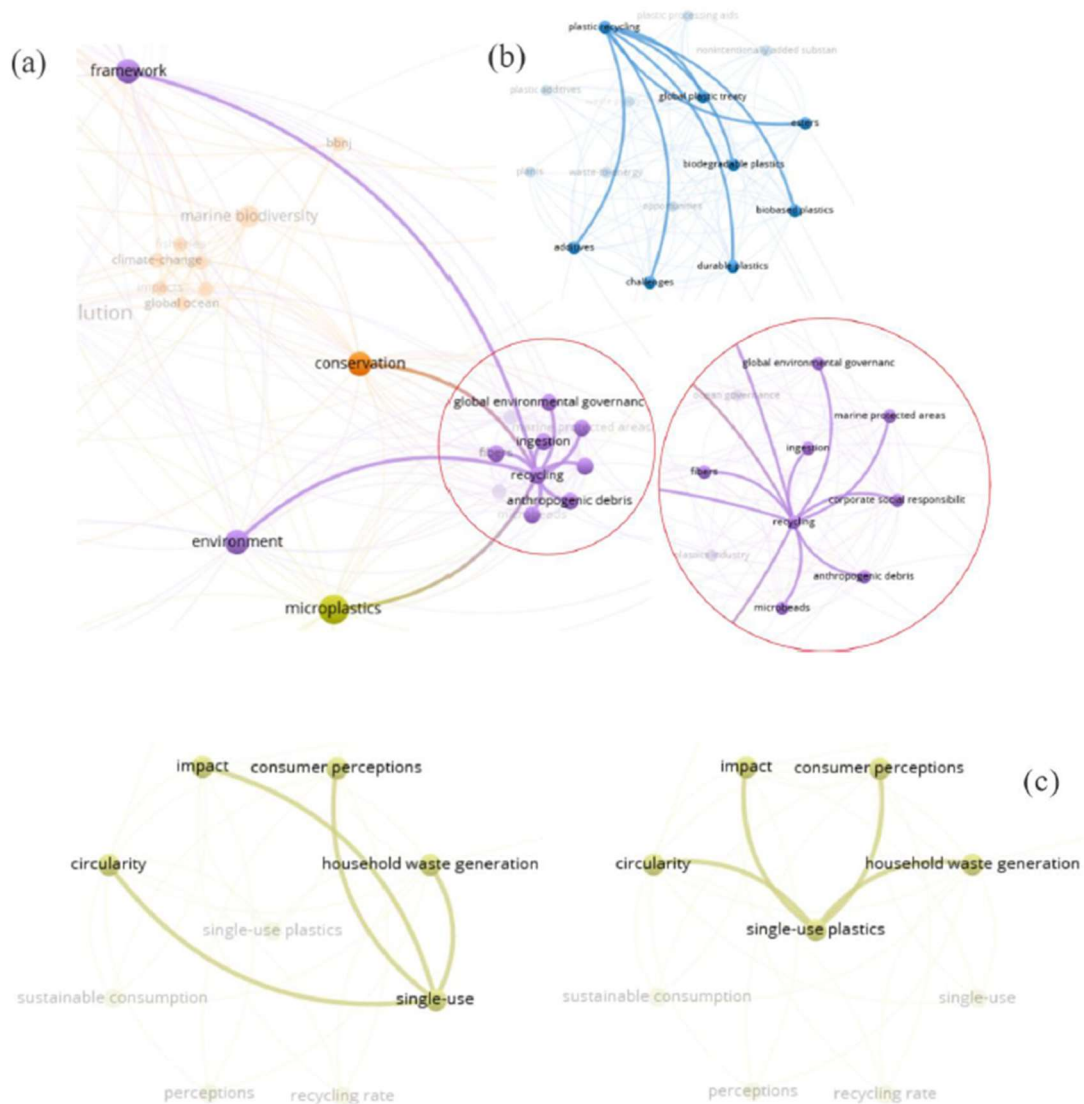
538 The keywords 'recycling' and 'plastic recycling' are present in clusters 5 and 3,
 539 respectively. As shown in Fig. 4a, there is a connection between the keywords
 540 'microplastic' and 'recycling', which means the presence of MPs in the waters may be a
 541 consequence of a lack of plastic recycling.

542 'Plastic recycling' (Fig. 4b) is very close to the keyword 'global plastic treaty', showing
 543 itself as an ally. However, recycling continues to be a marginal activity in the plastics
 544 industry. In general, plastic recycling still faces multiple challenges, as discussed

545 previously. The literature (direction Technology in Table 2) shows that technology needs
546 to be developed to improve the recycling processes of different types of plastic to have a
547 better overall advantage. Regardless, the most effective approach for mitigating plastic
548 pollution is to reduce its source.

549 The keywords 'single-use' and 'single-use plastics' are in cluster 13, a group of keywords
550 completely isolated from other clusters. These keywords (Fig. 4c) are connected to
551 keywords such as 'consumer perceptions', 'impact', 'circularity', and 'household
552 generation'. In addition, keywords presented in the same cluster, such as 'sustainable
553 consumption', 'perceptions', and 'recycling rates', are not connected with 'single-use' and
554 'single-use plastics'. Based on this, it is evident that the literature should take action on
555 this topic because the majority of plastic debris in water bodies comes from single-use
556 plastics, such as food and beverage containers (Börger *et al.* 2023). Single-use plastics
557 represent approximately 50% of all plastic marine litter (European Union 2019).
558 Additionally, as observed in a recent work (de Sousa 2024), literature recommends to be
559 included in the negotiations and final treaty, a clause that prohibits or significantly limits
560 the production and use of superfluous, preventable, and troublesome plastic products,
561 particularly single-use and synthetic microbeads (Andersen *et al.* 2021; Grabiél *et al.*
562 2022; Landrigan *et al.* 2023a; Smith *et al.* 2023; Tilsted *et al.* 2023). Thus, gaps in
563 plastic recycling have been identified concerning the Global Plastics Treaty, which
564 allows the scientific community to participate in expanding this area.

565



566

567

568 Figure 4: Connections of the keywords: (a) 'recycling', (b) 'plastic recycling', and (c)
 569 'single-use' and 'single-use plastics'.

570

571 In the thematic map of the authors' keywords (Fig. S5 in Supporting Information), four
 572 quadrants are shown: niche themes (upper left), motor themes (upper right), emerging or
 573 declining themes (lower left), and basic themes (lower right). This map presents the
 574 main research topics related to the Global Plastics Treaty, according to Bibliometrix
 575 (because the methodology is different from VOSviewer, the number of clusters differs
 576 from that in Fig. 2. However, the trend is the same). The dimensions of the spheres are
 577 proportional to the number of keywords or subjects in the cluster.

578 In Fig. S5, the motor themes are plastic, Arctic, marine litter, and circular economy
579 (green cluster); pollution, plastics, treaty, and UNCLOS (blue cluster); and plastic
580 pollution, litter, and monitoring (red cluster). These themes are well-developed and
581 important to the structure of the research field (Kafi *et al.* 2023). They are considered
582 hotspots in the literature on the Global Plastics Treaty. Circular economy has a high
583 degree of relevance and development. Therefore, it is a relevant point in the literature on
584 the Global Plastics Treaty because it is considered a possible solution to plastic
585 pollution (de Sousa 2021a, 2023c).

586 It is well established that the entire planet is experiencing adverse effects of plastic
587 pollution. Nevertheless, areas with fragile ecosystems, such as the Arctic, seem to be
588 heavily impacted (Vanderzwaag 2024). It is a region in the world where plastic
589 pollution tends to accumulate (Cowan *et al.* 2023a). Some authors have argued that,
590 only aluminum and glass are collected in separate containers in Svalbard, with plastic
591 and general waste collected together as burnable waste (Cowan *et al.* 2023a).

592 The emerging or declining themes are plastic treaty (brown cluster, Fig. S5); marine
593 plastic pollution (orange cluster, Fig. S5); and climate change (purple cluster, Fig. S5).
594 These themes are minimal and under-developed (Kafi *et al.* 2023). However, this
595 thematic map fails to show whether a study topic is emerging or declining (Wijaya *et al.*
596 2023).

597 In the overlay visualization (Fig. S6 in Supporting Information), the keywords in green
598 to yellow are novel or emerging themes, whereas those in blue to green are old or
599 declining. As observed in Fig. S5, plastic treaty, marine plastic pollution, and climate
600 change are in the emerging/declining quadrant. From the overlay visualization, it is
601 possible to observe that climate change is blue, so it is a declining theme; plastic treaty
602 (and all the keywords containing the term 'treaty' analyzed in Fig. 3) are green or
603 yellow, i.e., these themes are emerging, and marine plastic pollution is yellow, which is
604 also an emerging theme.

605 In general, the oldest themes (blue to green) are closer to each other, indicating a
606 stronger connection, while the youngest themes (green to yellow) are further apart (Fig.
607 S6 in Supporting Information). It could be argued that as negotiations on the treaty
608 progress, new concerns arise, resulting in the inclusion of novels from different fields.
609 Despite being an interdisciplinary field, there is still a need for collaboration across its

610 different clusters, particularly in the green to yellow directions (Fig. S6 in Supporting
611 Information), emphasizing substantial opportunities for future research endeavors.

612

613 Conclusions

614 The present study thoroughly analyzed the literature on the Global Plastics Treaty
615 available in the Web of Science database, identifying trends and gaps that require
616 further investigation. The main emerging trend and topic is plastic pollution, and
617 mitigation of plastic pollution constitutes the treaty's primary goal. The main observed
618 gaps are the overall lack of connections among the different directions of the literature
619 and the low cooperation among the authors as a whole. The directions include: effects of
620 climate change on agronomy, international policy design on plastics, threats and
621 challenges, monitoring, environmental governance, technology, marine biodiversity,
622 global environmental politics, distributive justice, stakeholder integration,
623 anthropogenic litter, environmental law, consumption and plastic waste production, and
624 disaster lens. Other gaps were also mentioned throughout the text in different literature
625 directions, and regardless of the direction, all the gaps may serve as a guide for future
626 studies.

627 In terms of sources, the most relevant journals regarding the number of articles
628 published are Environmental Science & Policy and Marine Policy. The USA, the UK,
629 and the University of British Columbia in Canada are the most productive countries and
630 affiliation. The most productive author is Dauvergne, but Stofen-O'Brien and Tiller have
631 the highest impact, with an *h*-index of 2. Regarding articles, all of the most often cited
632 articles analyze the future of plastic concerning current agreements.

633 In this area filled with possibilities and challenges, I hope that this work inspires
634 researchers to collaborate in developing literature related to the Global Plastics Treaty.

635

636 Author contribution

637 F. D. B. de Sousa wrote and proofread the manuscript for language editing.

638

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641

642 Conflict of interest statement

643 The author declares no competing interests.

644

645 Ethics statement

646 This article does not include human participants or biological material data.

647

648 Data availability statement

649 Not applicable.

650

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703 *2023.pdf?_gl=1*76ds6f*_ga*NTcwNTQ2MDMxLjE3MDAwNzc1MjM.*_ga_MF*
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