

# Short Geodesics of Unitaries in the $L^2$ Metric

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*Abstract.* Let  $\mathcal{M}$  be a type  $\text{II}_1$  von Neumann algebra,  $\tau$  a trace in  $\mathcal{M}$ , and  $L^2(\mathcal{M}, \tau)$  the GNS Hilbert space of  $\tau$ . We regard the unitary group  $U_{\mathcal{M}}$  as a subset of  $L^2(\mathcal{M}, \tau)$  and characterize the shortest smooth curves joining two fixed unitaries in the  $L^2$  metric. As a consequence of this we obtain that  $U_{\mathcal{M}}$ , though a complete (metric) topological group, is not an embedded riemannian submanifold of  $L^2(\mathcal{M}, \tau)$

## 1 Introduction

Let  $\mathcal{M}$  be a type  $\text{II}_1$  von Neumann algebra with a faithful and normal tracial state  $\tau$ . Let  $L^2(\mathcal{M}, \tau)$  be the Hilbert space obtained by completion of  $\mathcal{M}$  with the norm  $\|x\|_2 = \tau(x^*x)^{1/2}$ . Denote by  $U_{\mathcal{M}}$  the group of unitaries of  $\mathcal{M}$ . Then  $U_{\mathcal{M}}$ , as a subset of  $L^2(\mathcal{M}, \tau)$ , is a complete metric space and a topological group. The Hilbert space norm induces on  $U_{\mathcal{M}}$  the strong operator topology. These are well-known facts (see [10]). In a previous note [1], we showed that  $U_{\mathcal{M}}$  cannot be embedded as a differentiable submanifold in a way which makes the product of unitaries a differentiable map. Here we show that the same question, dropping the requirement for the product, again has a negative answer:  $U_{\mathcal{M}} \subset L^2(\mathcal{M}, \tau)$  is not an embedded riemannian submanifold.

Hence, it makes sense to study the following: are there curves of unitaries of  $\mathcal{M}$  which have minimal length measured in the  $L^2$  metric? We measure the length of a curve of unitaries in the following way: let  $\mu(t)$  be a curve in  $U_{\mathcal{M}}$ , with  $\mu(0) = v$  and  $\mu(1) = u$ , which is piecewise  $C^1$  as a curve in  $L^2(\mathcal{M}, \tau)$ , then the length of  $\mu$  is

$$\ell(\mu) = \int_0^1 \|\dot{\mu}(t)\|_2 dt,$$

where, as is the usual notation,  $\|x\|_2 = \tau(x^*x)^{1/2}$ . The usual norm of  $\mathcal{M}$  is denoted by  $\|\cdot\|$ .

Suppose that we fix  $u$  and  $v$ . Is there a shortest curve joining  $u$  and  $v$  inside  $U_{\mathcal{M}}$ ? We obtain the following answer (Theorem 3.4):

*There exists  $x = x^* \in \mathcal{M}$  with  $\|x\| \leq \pi$  such that  $v^*u = e^{ix}$ . The curve*

$$\delta(t) = ve^{itx}$$

*has minimal length among piecewise  $C^1$  curves of unitaries joining  $u$  and  $v$ .*

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1. If  $\|x\| < \pi$ , then such  $x$  is uniquely determined and the curve  $\delta$  is unique among piecewise  $C^\infty$  minimizing curves.
2. Otherwise ( $\|x\| = \pi$ ),  $\delta$  is non unique. Other minimizing piecewise  $C^2$  curves are of the form  $\gamma(t) = ve^{it\xi}$ , with  $\xi = J\xi \in L^4(\mathcal{M}, \tau)$ .

In both cases, the shortest (piecewise  $C^1$ ) curve has length  $\|x\|_2$ .

The first condition defines a set of unitaries, namely:

$$\{u \in U_{\mathcal{M}} : v^*u = e^{ix} \text{ for } x^* = x \text{ with } \|x\| < \pi\},$$

which is an open neighbourhood of  $v$  in the norm topology, but not in the *strong operator* topology. In [7] Popa and Takesaki found what E. Michael [6] calls a geodesic structure for the unitary group of certain type  $\text{II}_1$  factors. Such a structure has strong topological implications, leading for example to a complete elucidation of the homotopy type of the unitary group for such factors, in the strong operator topology. We wanted to know if the naive “geodesic” curves, of the form  $\delta(t) = ve^{itx}$ , could be used to obtain a geodesic structure for all type  $\text{II}_1$  von Neumann algebras in the strong operator topology, as is the case in the norm topology for arbitrary  $C^*$ -algebras [2]. The result above proves that one cannot.

We call these curves  $\delta$  geodesics, because they are the geodesics of a covariant derivative defined in  $U_{\mathcal{M}}$  in a natural way. If  $U_{\mathcal{M}}$  were an embedded submanifold of  $L^2(\mathcal{M}, \tau)$ , this covariant derivative would be the Levi–Civita derivative. Therefore the result above also shows that  $U_{\mathcal{M}}$  is not a submanifold of  $L^2(\mathcal{M}, \tau)$ .

This study was inspired by the paper by Durán, Mata-Lorenzo and Recht [4] which studied minimal curves of projections for the  $p$ -norms.

## 2 Geodesics in $U_{\mathcal{M}}$

Let us first define the tangent spaces of  $U_{\mathcal{M}}$  in the  $L^2$  topology. Let  $J: L^2(\mathcal{M}, \tau) \rightarrow L^2(\mathcal{M}, \tau)$  be the involution, *i.e.*, the extension to  $L^2(\mathcal{M}, \tau)$  of the usual involution  $*$  of  $\mathcal{M}$ . Clearly  $J^2 = I$ . Let  $L^2(\mathcal{M}, \tau)_+ = \{\xi \in L^2(\mathcal{M}, \tau) : J\xi = \xi\}$  and  $L^2(\mathcal{M}, \tau)_- = \{\xi \in L^2(\mathcal{M}, \tau) : J\xi = -\xi\}$ , which are *real* Hilbert spaces.  $L^2(\mathcal{M}, \tau)_-$  is the completion in the  $L^2$  norm of the set of antihermitian elements of  $\mathcal{M}$  ( $x^* = -x$ ), which is the tangent space of  $U_{\mathcal{M}}$  at the identity 1 in the norm topology. Let us postulate  $T(U_{\mathcal{M}})_1 := L^2(\mathcal{M}, \tau)_-$ . For  $u \in U_{\mathcal{M}}$ , the map  $L_u: L^2(\mathcal{M}, \tau) \rightarrow L^2(\mathcal{M}, \tau)$ , defined on  $\mathcal{M} \subset L^2(\mathcal{M}, \tau)$  as  $L_u(x) = ux$  (*i.e.*, the GNS representation of  $u$  as an operator in  $L^2(\mathcal{M}, \tau)$ ) is a unitary operator. Then we choose  $T(U_{\mathcal{M}})_u = L_u(L^2(\mathcal{M}, \tau)_-)$ . Also, right multiplication  $R_u(x) = xu$  extends to a unitary operator in  $L^2(\mathcal{M}, \tau)$ . For brevity, we shall write  $u\xi$  and  $u(L^2(\mathcal{M}, \tau)_-)$  (resp.  $\xi u$  and  $(L^2(\mathcal{M}, \tau)_-)u$ ) instead of  $L_u\xi$  and  $L_u(L^2(\mathcal{M}, \tau)_-)$  (resp.  $R_u(\xi)$  and  $R_u(L^2(\mathcal{M}, \tau)_-)$ ).

Let  $\mu$  be a curve of unitaries which is  $C^1$  as a curve in the Hilbert space  $L^2(\mathcal{M}, \tau)$ , and let  $X$  be a differentiable vector field in a neighbourhood of  $\{\mu(t) : t \in [0, 1]\}$ , which takes values in  $TU_{\mathcal{M}}$  when restricted to  $U_{\mathcal{M}}$ , *i.e.*,  $X_{\mu(t)} \in \mu(t)L^2(\mathcal{M}, \tau)_-$ . For obvious reasons, such a field will be called a *tangent* vector field along  $\mu$ . The covariant derivative of  $X$  along  $\mu$  is given by:

$$\frac{DX}{dt} = \frac{1}{2}\{\dot{X} - \mu J(\dot{X})\mu\},$$

where  $\dot{X}$  denotes the usual derivative with respect to  $t$  in the Hilbert space  $L^2(\mathcal{M}, \tau)$ . This formula is obtained simply by projecting  $\dot{X}$  orthogonally (with respect to the inner product given by the real part of  $\tau$ ) onto  $T(U_{\mathcal{M}})_\mu$ . Note that if  $\mu(t)$  is a  $C^2$  curve in  $U_{\mathcal{M}}$ , then  $\dot{\mu}$  is a tangent vector field along  $\mu$  as usual. In particular,  $\mu$  is a geodesic if

$$0 \equiv \frac{D\dot{\mu}}{dt}$$

or equivalently

$$(1) \quad \ddot{\mu} = \mu J(\dot{\mu})\mu.$$

It is straightforward to verify that if  $x \in \mathcal{M}$  with  $x^* = x$ , and  $v \in U_{\mathcal{M}}$ , then  $\mu(t) = ve^{itx}$  is a  $C^\infty$  curve with  $\dot{\mu}(t) = ivxe^{itx}$ .

There are other exponentials which give curves in  $U_{\mathcal{M}}$ . If  $\xi \in L^2(\mathcal{M}, \tau)_+$ , then  $\xi$  induces a possibly unbounded selfadjoint operator  $L_\xi$  on  $L^2(\mathcal{M}, \tau)$ , affiliated to  $\mathcal{M}$  (see [3, 9]). Namely,  $L_\xi$  is the closure of the linear map  $L_\xi: \mathcal{M} \subset L^2(\mathcal{M}, \tau) \rightarrow L^2(\mathcal{M}, \tau)$  given by  $L_\xi(m) = Jm^*J\xi$ . Therefore  $\mu(t) = e^{itL_\xi}$  is a continuous curve in the  $L^2$  topology, which is differentiable in  $L^2(\mathcal{M}, \tau)$ . Indeed, the topological embedding  $U_{\mathcal{M}} \subset L^2(\mathcal{M}, \tau)$  can be regarded as evaluation at the vector  $1 \in L^2(\mathcal{M}, \tau)$ . Strictly speaking, one should write  $\mu(t) = e^{itL_\xi}1$ . Since  $1$  lies in the domain of the operator  $L_\xi$  [9], by Stone's theorem  $\mu(t)$  can be differentiated, and the derivative equals (see [8])

$$\dot{\mu}(t) = ie^{itL_\xi}\xi.$$

However, this curve  $\dot{\mu}(t)$  cannot be differentiated again (in  $L^2(\mathcal{M}, \tau)$ ) if  $\xi^2$  does not belong to  $L^2(\mathcal{M}, \tau)$ . It could be differentiated in  $L^1(\mathcal{M}, \tau)$ . Clearly it is not in general a  $C^\infty$  curve of  $L^2(\mathcal{M}, \tau)$ .

**Lemma 2.1** *Let  $\xi \in L^2(\mathcal{M}, \tau)_+$ , then the curve  $\mu(t) = e^{itL_\xi}$  is  $C^\infty$  if and only if  $L_\xi$  is bounded, i.e.,  $\xi \in \mathcal{M}$ .*

**Proof** The ‘‘if’’ part is clear. Suppose that  $\mu$  has derivatives of any order. This implies that all the powers  $L_\xi^k$ ,  $k \geq 1$  lie in  $L^2(\mathcal{M}, \tau)$ . Denote by  $m$  the probability measure on  $\mathbb{R}$  given by the trace of the spectral measure of  $L_\xi$ . Then

$$\infty > \|L_\xi^k 1\|_2^2 = \int_{\mathbb{R}} \lambda^{2k} dm(\lambda), \quad \text{for all } k \geq 1.$$

The above statement means that the map  $\mathbb{R} \rightarrow \mathbb{R}, \lambda \mapsto \lambda$  lies in  $L^\infty(\mathbb{R}, m)$ , i.e.,  $m$  has support contained in a bounded interval  $[-K, K]$ . This implies that  $L_\xi$  is bounded by  $K$ , and therefore lies in  $\mathcal{M}$ . ■

Note that if  $\xi$  lies in  $L^2(\mathcal{M}, \tau)$  but not in  $L^4(\mathcal{M}, \tau)$ , then  $\mu(t) = ve^{itL_\xi}$  is  $C^1$  but not  $C^2$ , etc. Indeed,  $\dot{\mu}(t) = iL_\xi e^{itL_\xi}$  is continuous in the  $L^2$  norm: if  $t \rightarrow t_0$ , then

$$\|\dot{\mu}(t) - \dot{\mu}(t_0)\|_2 = \|e^{i(t-t_0)L_\xi}\xi - \xi\|_2 \rightarrow 0.$$

Let us call a  $C^2$  curve a *geodesic* in  $U_{\mathcal{M}}$  if it is a solution of the differential equation (1).

**Proposition 2.2** *The  $C^\infty$  geodesics in  $U_{\mathcal{M}}$  are of the form  $\delta(t) = ve^{itx}$ , for  $x^* = x \in \mathcal{M}$ .*

**Proof** First note that if  $x^* = x$ , then  $\delta(t) = ve^{itx}$  satisfies (1). Let  $\mu$  be a  $C^\infty$  curve in  $L^2(\mathcal{M}, \tau)$  with values in  $U_{\mathcal{M}}$ , which is a solution of (1), parametrized in the interval  $[0, 1]$ , with  $\mu(0) = v$ . Let  $i\xi = \dot{\mu}(0)$  and  $\xi' = \ddot{\mu}(0)$ , which lie in  $L^2(\mathcal{M}, \tau)$  because  $\mu$  is  $C^\infty$ .

If  $\nu$  is a solution of (1), then  $v^*\nu$  is another solution. Since  $J(v^*\dot{\nu}) = J(\dot{\nu})v$ ,

$$v^*\nu J(v^*\dot{\nu})v^*\nu = v^*\nu J(\dot{\nu})\nu = v^*\dot{\nu} = v^*\nu.$$

Therefore we may suppose  $v = 1$  without loss of generality.

Differentiating the identity  $\mu(t)\mu^*(t) = 1$ , one obtains (we omit the parameter  $t$ )

$$\dot{\mu}\mu^* + \mu J(\dot{\mu}) = 0$$

( $\dot{\mu}$  may lie outside  $\mathcal{M}$ , so we find more appropriate to write  $J(\dot{\mu})$  instead of  $\dot{\mu}^*$ ). Differentiating again,

$$\ddot{\mu}\mu^* + 2\dot{\mu}J(\dot{\mu}) + \mu J(\ddot{\mu}) = 0.$$

At  $t = 0$  one obtains the relations

$$i\xi + J(i\xi) = 0, \quad \text{i.e. } \xi \in L^2(\mathcal{M}, \tau)_+$$

and

$$2\xi' + 2i\xi J(i\xi) = 0, \quad \text{i.e. } \xi' = -\xi J(\xi) = -\xi^2.$$

Consider the curve  $\gamma(t) = e^{itL\xi}$ . Then  $\dot{\gamma}(t) = ie^{itL\xi}\xi$  and  $\ddot{\gamma}(t) = e^{itL\xi}\xi'$ . Therefore  $\gamma$  is  $C^2$  ( $\xi' \in L^2(\mathcal{M}, \tau)$ ), and the relations above show that it is a solution of (1), satisfying

$$\dot{\gamma}(0) = i\xi = \dot{\mu}(0) \text{ and } \ddot{\gamma}(0) = \xi' = \ddot{\mu}(0).$$

We claim that these facts imply that  $\mu = \gamma$ . To prove this claim, one needs a result on uniqueness of solutions of second order differential equations on Banach spaces. Let us first obtain a new form for equation (1). Consider again the identity  $\dot{\mu}\mu^* + 2\dot{\mu}J(\dot{\mu}) + \mu J(\ddot{\mu}) = 0$  and multiply it on the right by  $\mu$

$$\ddot{\mu} + 2\dot{\mu}J(\dot{\mu})\mu + \mu J(\ddot{\mu})\mu = 0.$$

Then the identity (1)  $\dot{\mu} = \mu J(\dot{\mu})\mu$ , replaced above gives

$$(2) \quad \ddot{\mu} = -\dot{\mu}J(\dot{\mu})\mu,$$

which we shall adopt. We need a Banach space on which this equation will be considered. Our  $L^2(\mathcal{M}, \tau)$  is not appropriate, since the right-hand side of the equation does not make sense for arbitrary  $\mu(t)$  with derivatives in  $L^2(\mathcal{M}, \tau)$ , because  $\dot{\mu}J(\dot{\mu})\mu$  may lie outside  $L^2(\mathcal{M}, \tau)$ . We are not worried about existence—we already know

the solutions—we need a uniqueness result. Let us consider  $L^4(\mathcal{M}, \tau)$ . The map  $L^4(\mathcal{M}, \tau) \rightarrow L^2(\mathcal{M}, \tau), \xi \mapsto \xi J(\xi)$  is differentiable. It follows that the function

$$F(x, \xi) = -\xi J(\xi)x$$

with variables  $x \in \mathcal{M}$  and  $\xi \in L^4(\mathcal{M}, \tau)$  and values in  $L^2(\mathcal{M}, \tau)$ , satisfies a Lipschitz condition. Therefore the differential equation (2),  $\dot{\mu} = F(\mu, \dot{\mu})$  has unique local solutions for any given set of initial conditions. Note that any solution  $\mu$  of (2) should satisfy  $\dot{\mu} \in L^4(\mathcal{M}, \tau)$  anyway.

Therefore  $\mu(t) = e^{itL_\xi}$ . The fact that  $\mu$  is  $C^\infty$  implies, by the lemma above, that  $\xi = x$  is a selfadjoint element of  $\mathcal{M}$ . ■

**Remark 2.3** The same argument can be used to prove that the  $C^2$  geodesics are of the form  $\delta(t) = ve^{itL_\xi}$ , with  $\xi \in L^4(\mathcal{M}, \tau)$ .

Our next result is borrowed and adapted from [4]. There it is stated for variations of geodesics of the grassmannian manifold (*i.e.*, manifold of selfadjoint projections) of a  $C^*$ -algebra with trace. Also, there the  $p$ -length functionals are considered (induced by the  $p$ -norms  $\|x\|_p = \tau((x^*x)^{p/2})^{1/p}$ , for  $p = 2n$ . We are interested only in the case  $p = 2$ . Our exposition in the rest of this section follows [4] with slight modifications. We want to compute the extremals of the functional

$$\ell(\mu) = \int_0^1 \|\dot{\mu}(t)\|_2 dt.$$

Let  $U(t, s): [0, 1] \times (-\epsilon, \epsilon) \rightarrow U_{\mathcal{M}}$  be a variation of a curve  $\mu: [0, 1] \rightarrow U_{\mathcal{M}}$ , with fixed endpoints, *i.e.*,

$$U(t, 0) = \mu(t) \quad \text{for all } t \in [0, 1],$$

and

$$U(0, s) = \mu(0), \quad U(1, s) = \mu(1) \quad \text{for all } s \in [0, 1].$$

The variation is through piecewise  $C^2$  curves, *i.e.*, for each fixed  $s$ , the curve  $U(t, s)$  is piecewise  $C^2$  in the parameter  $t$ , and vice versa. Denote by  $\delta\ell(s)$  the *variation*

$$\delta\ell(s) = \frac{\partial}{\partial s} \int_0^1 \left\| \frac{\partial U}{\partial t} \right\|_2 dt.$$

The extremals of  $\ell$  are the curves  $\mu$  such that  $\delta\ell(0) = 0$  for any  $U(t, s)$  as above. Denote  $V = \frac{\partial U}{\partial t}$  and  $W = \frac{\partial U}{\partial s}$ . Let us compute

$$\delta\ell(s) = \frac{\partial}{\partial s} \int_0^1 \left\| \frac{\partial U}{\partial t} \right\|_2 dt = \int_0^1 \frac{\partial}{\partial s} \tau \left( J \left( \frac{\partial U}{\partial t} \right) \frac{\partial U}{\partial t} \right)^{1/2} dt.$$

An easy computation shows that if  $\xi(s) \neq 0$  is differentiable in  $L^2(\mathcal{M}, \tau)$ , then

$$\frac{d}{ds} \tau \left( J(\xi(s))\xi(s) \right)^{1/2} = \frac{1}{2\|\xi(s)\|_2} \tau \left( J \left( \frac{d\xi(s)}{ds} \right) x(s) + J(x(s)) \frac{d\xi(s)}{ds} \right).$$

In our case this gives

$$\delta\ell(s) = \int_0^1 \frac{1}{2\|V\|_2} \tau\left(\left[\frac{\partial}{\partial s} J(V)\right] V + J(V) \frac{\partial}{\partial s} V\right) dt.$$

We shall assume that the curve  $\mu$  is parametrized by a multiple of arc length. In other words,  $\|V\|_2$  is constant for  $s = 0$ . One should make the further assumption that  $V$  does not vanish for all  $s, t$ , in order that the above expression makes sense. Let us point out that at the final stages of this computation we put  $s = 0$ . Therefore it suffices to have that  $V(t, s)$  does not vanish for all  $t$  and small  $s$  (which is attained if we suppose  $\mu$  with constant speed).

Since  $U$  is (piecewise)  $C^2$  we may interchange

$$\frac{\partial}{\partial s} V = \frac{\partial}{\partial s} \left(\frac{\partial U}{\partial t}\right) = \frac{\partial}{\partial t} \left(\frac{\partial U}{\partial s}\right) = \frac{\partial}{\partial t} W.$$

Therefore the variation formula equals

$$\frac{1}{2} \int_0^1 \tau\left(J\left(\frac{\partial}{\partial t} W\right) \frac{V}{\|V\|_2} + J\left(\frac{V}{\|V\|_2}\right) \frac{\partial}{\partial t} W\right) dt.$$

Fix  $s$ , and let  $0 = t_0 < t_1 < \dots < t_n = 1$  be a partition of  $[0, 1]$  such that  $U(t, s)$  is  $C^2$  in the interior of the smaller intervals. We may integrate the above formula by parts in each interval  $[t_{i-1}, t_i]$  to obtain

$$\begin{aligned} \frac{1}{2} \int_{t_{i-1}}^{t_i} \tau\left(J\left(\frac{\partial}{\partial t} W\right) \frac{V}{\|V\|_2} + J\left(\frac{V}{\|V\|_2}\right) \frac{\partial}{\partial t} W\right) dt = \\ \frac{1}{2} \left\{ \tau\left(J(W) \frac{V}{\|V\|_2} + W J\left(\frac{V}{\|V\|_2}\right)\right) \right\} \Big|_{t_{i-1}}^{t_i} \\ - \frac{1}{2} \int_{t_{i-1}}^{t_i} \tau\left(J(W) \frac{\partial}{\partial t} \left(\frac{V}{\|V\|_2}\right) + W \frac{\partial}{\partial t} J\left(\frac{V}{\|V\|_2}\right)\right) dt. \end{aligned}$$

Recall from the beginning of this section the definition of the covariant derivative of a tangent vector field  $X$  along a curve  $\mu$  of unitaries:

$$\frac{DX}{dt} = \frac{1}{2} \{ \dot{X} - \mu J(\dot{X}) \mu \}.$$

In our case, for each fixed  $s$ , the field  $\frac{V}{\|V\|_2}$  is tangent along the curve  $U(t, s)$ , so we have

$$\frac{D}{dt} \frac{V}{\|V\|_2} = \frac{1}{2} \left\{ \frac{\partial}{\partial t} \frac{V}{\|V\|_2} - U J\left(\frac{\partial}{\partial t} \frac{V}{\|V\|_2}\right) U \right\}.$$

Now we differentiate the identity  $U^*U = 1$  with respect to  $t$ . It was pointed out in the introduction that the product of unitaries is not a differentiable map of the arguments in the  $L^2$  topology. However a product  $u(t)v(t)$  of  $C^2$  curves of unitaries

$u(t)$  and  $v(t)$  can be differentiated twice with respect to  $t$ . Indeed, the first derivative yields  $\dot{u}v + u\dot{v}$ . Since  $u$  and  $v$  are  $C^2$ , the norms  $\|\dot{v}(t)\|_2$  and  $\|\dot{u}(t)\|_2$  are uniformly bounded, and the second derivative can be computed. In our case, the derivative of the identity  $U^*U = 1$  gives

$$V = -UJ(V)U,$$

*i.e.*,

$$\frac{V}{\|V\|_2} = -UJ\left(\frac{V}{\|V\|_2}\right)U.$$

Before computing the second derivative we put  $s = 0$

$$\frac{\dot{\mu}}{\|\dot{\mu}\|_2} = -\mu J\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right)\mu.$$

Differentiating this expression with respect to  $t$  (recall that we assume that  $\mu$  is parametrized proportionally to arc length, *i.e.*,  $\|\dot{\mu}\|_2$  is constant)

$$\frac{\partial}{\partial t} \frac{\dot{\mu}}{\|\dot{\mu}\|_2} = -\dot{\mu}J\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right)\mu - \mu J\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right)\dot{\mu} - \mu J\left(\frac{\partial}{\partial t} \frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right)\mu.$$

Combining these one obtains

$$2\frac{\partial}{\partial t} \frac{\dot{\mu}}{\|\dot{\mu}\|_2} = 2\frac{D}{dt} \frac{\dot{\mu}}{\|\dot{\mu}\|_2} - \frac{\dot{\mu}J(\dot{\mu})}{\|\dot{\mu}\|_2}\mu - \mu \frac{J(\dot{\mu})\dot{\mu}}{\|\dot{\mu}\|_2},$$

with an analogous expression for  $2J\left(\frac{\partial}{\partial t} \frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right)$ . We add the integrals over the intervals  $[t_{i-1}, t_i]$ , and use these relations to obtain,

$$\begin{aligned} \delta\ell(s) &= \frac{1}{2} \sum_{i=1}^n \left\{ \tau\left( J(W) \frac{\dot{\mu}}{\|\dot{\mu}\|_2} + WJ\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right) \right) \right\} \Big|_{t_{i-1}}^{t_i} \\ &+ \frac{1}{2} \int_0^1 \tau\left( J(W)(\mu\dot{\mu}J\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right) - 2J(W)\frac{D}{dt} \frac{\dot{\mu}}{\|\dot{\mu}\|_2} \right. \\ &\quad \left. + W(\mu^*\dot{\mu}J\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right) + J\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}\dot{\mu}\mu^*\right) - 2J\left(\frac{D}{dt} \frac{\dot{\mu}}{\|\dot{\mu}\|_2}\right)) \right) dt. \end{aligned}$$

We can deal better with this expression if we relate it to the second differential of the map  $x \mapsto \tau(x^*x)$ , which is the (real) bilinear form

$$H: L^2(\mathcal{M}, \tau) \times L^2(\mathcal{M}, \tau) \rightarrow \mathbb{R}, \quad H(\xi, \eta) = \tau(\xi J(\eta) + J(\xi)\eta).$$

Then the expression for the variation of  $\ell$  becomes

$$\begin{aligned} \delta\ell(0) &= \frac{1}{2} \sum_{i=1}^n H\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}, W\right) \Big|_{t_{i-1}}^{t_i} \\ &+ \int_0^1 H\left(\mu^*W, \frac{1}{2\|\dot{\mu}\|_2}(J(\dot{\mu})\dot{\mu} - \dot{\mu}J(\dot{\mu}))\right) - H\left(\frac{D}{dt} \frac{\dot{\mu}}{\|\dot{\mu}\|_2}, W\right) dt. \end{aligned}$$

A fact used here is that the field  $W$  satisfies relations analogous as  $V$ , i.e.,  $U^*W = -J(W)U$ . A remark is in order. The element  $\dot{\mu}J(\dot{\mu})$  (resp.  $\dot{\mu}J(\dot{\mu})$ ) lies in  $L^2(\mathcal{M}, \tau)$ . This is a consequence of  $\mu$  being (piecewise)  $C^2$ , namely, its second derivatives, which involve such terms, lie in  $L^2(\mathcal{M}, \tau)$ .

Note that  $\frac{1}{\|\dot{\mu}\|_2}(J(\dot{\mu})\dot{\mu} - \dot{\mu}J(\dot{\mu}))$  lies in  $L^2(\mathcal{M}, \tau)_+$  (is “hermitian”) and  $\mu^*W$  lies in  $L^2(\mathcal{M}, \tau)_-$  (“antihermitian”). Indeed, the latter has just been remarked. The former holds because  $\dot{\mu}$  can be approximated by elements  $x$  of  $\mathcal{M}$ , and therefore  $J(\dot{\mu})\dot{\mu} - \dot{\mu}J(\dot{\mu})$  can be approximated by  $x^*x - xx^*$ . Now if  $\xi \in L^2(\mathcal{M}, \tau)_-$  and  $\eta \in L^2(\mathcal{M}, \tau)_+$ , it is clear that  $H(\xi, \eta) = 0$ . Therefore we arrive at our final expression for the variation

$$(3) \quad \delta\ell(0) = -\frac{1}{2} \sum_{i=1}^n H\left(\frac{\dot{\mu}}{\|\dot{\mu}\|_2}, W\right) \Big|_{t_{i-1}}^{t_i} - \int_0^1 H\left(\frac{D}{dt} \frac{\dot{\mu}}{\|\dot{\mu}\|_2}, W\right) dt.$$

Let us transcribe Theorem 3.3 by Durán, Mata-Lorenzo and Recht [4], which applies to our situation, with minor adaptations, once we have (3) analogous to their expression for the variation.

If a piecewise  $C^2$  curve  $\mu$  has minimal length among all the piecewise  $C^2$  curves of unitaries joining the same endpoints, then clearly  $\delta\ell(0)$  vanishes for any variation  $U$  of  $\mu$ . As is standard use, let us call a curve for which all variations make  $\delta\ell(0)$  vanish, an extremal of  $\ell$ .

**Theorem 2.4** *The extremals of  $\ell$  (among piecewise  $C^2$ -curves) are precisely the geodesics of  $U_{\mathcal{M}}$ .*

**Proof** Clearly a geodesic is an extremal of  $\ell$ . Suppose now that  $\mu$  is a piecewise  $C^2$  curve of unitaries. The converse is proven as in [4], by means of the following facts:

1. If  $\mu$  is an extremal of  $\ell$ , then for all  $t \in [0, 1]$  and every vector field  $W$  along  $\mu$

$$H\left(W(t), \frac{D}{dt} \frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2}\right) = 0.$$

2. If  $\mu$  is an extremal of  $\ell$ , then  $\mu$  is  $C^2$ .
3. If  $\mu$  is  $C^2$  and satisfies that for any vector field  $W$  along  $\mu$

$$H\left(W(t), \frac{D}{dt} \frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2}\right) = 0$$

then  $\mu$  is a geodesic.

For the first assertion, suppose that for some  $t_0$  (a point where  $\mu$  is  $C^2$ ) one has

$$H\left(W(t_0), \frac{D}{dt} \frac{\dot{\mu}(t_0)}{\|\dot{\mu}(t_0)\|_2}\right) > 0$$

for some variation  $U$ . Let us consider another variation

$$\tilde{U}(t, s) = U(t, \varphi(t)s),$$

where  $\varphi$  is a scalar function satisfying



1.  $0 \leq \varphi(t) \leq 1$ , with  $\varphi(0) = 1$  and  $\varphi(1) = 1$ .
2.  $\varphi(t_0) = 1$  and  $\varphi$  vanishes on small intervals around the points  $t_1, \dots, t_n$  where the derivative of  $\mu$  is not continuous.

Note that  $\tilde{U}(t, 0) = U(t, 0) = \mu(t)$ . Also the first condition above implies that  $\tilde{U}(0, s) = U(s, 0) = \mu(0)$  and  $\tilde{U}(1, s) = U(1, s) = \mu(1)$ . In other words,  $\tilde{U}$  is another variation of  $\mu$  with fixed endpoints. Moreover

$$\tilde{W}(t, s) = \frac{\partial \tilde{U}}{\partial s} = \frac{\partial U}{\partial s}(t, \varphi(t)s) = \varphi(t)W(t, \varphi(t)s),$$

and therefore  $\tilde{W}(t) = \tilde{W}(t, 0) = \varphi(t)W(t)$ . Note that since  $\varphi(t_0) = 1$ ,

$$H\left(\frac{D}{dt} \frac{\dot{\mu}(t_0)}{\|\dot{\mu}(t_0)\|_2}, \tilde{W}(t_0)\right) > 0.$$

We can further choose  $\varphi$  in order that

$$H\left(\frac{D}{dt} \frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2}, \tilde{W}(t)\right) = \varphi(t)H\left(\frac{D}{dt} \frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2}, W(t)\right) \geq 0.$$

Since  $\tilde{W}(t) = \varphi(t)W(t)$  vanishes at the points  $t_1, \dots, t_n$ , it follows that for  $\tilde{U}$  the variation is

$$\delta\ell(0) = -\frac{1}{2} \int_0^1 H\left(\frac{D}{dt} \frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2}, \tilde{W}(t)\right) dt > 0,$$

and therefore  $\mu$  is not an extremal.

To prove the second assertion, suppose that  $\mu$  is an extremal of  $\ell$ , and that  $t_0$  is a point where  $\dot{\mu}$  is not continuous. Denote by  $V_0^+$  and  $V_0^-$  the lateral limits of  $\frac{D}{dt} \frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2}$  at  $t = t_0$ . Note that  $V_0^+$  and  $V_0^-$  are unit vectors. Put

$$U(t, s) = e^{is\varphi(t)V_0^+},$$

where  $\varphi(t)$  is a smooth scalar function, which satisfies that  $0 \leq \varphi(t) \leq 1$ ,  $\varphi(t_0) = 1$  and  $\varphi$  vanishes on the other points where  $\dot{\mu}$  is not continuous. By the first assertion, the integral term in the expression of the variation of  $\mu$  vanishes. Moreover, by the choice of  $\varphi$ , one has

$$\delta\ell(0) = H(W(t_0), V_0^+) - H(W(t_0), V_0^-) = H(V_0^+, V_0^+) - H(V_0^+, V_0^-).$$

Now

$$H(V_0^+, V_0^+) = \tau(V_0^+ J(V_0^+) + J(V_0^+) V_0^+) = 2\|V_0^+\|_2^2 = 2.$$

On the other hand, the fact that  $\frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2}$  has a jump at  $t = t_0$  implies that the unit vectors  $V_0^+$  and  $V_0^-$  do not point in the same direction, *i.e.*, the Cauchy–Schwarz inequality is strict:

$$\tau(V_0^+ J(V_0^-)) < \|V_0^+\|_2 \|V_0^-\|_2 = 1,$$

and analogously  $\tau(J(V_0^+)V_0^-) < 1$ . It follows that

$$\delta\ell(0) > 0$$

for this  $U$ , and  $\mu$  is not an extremal.

The third assertion is straightforward. Since in our case, the form  $H$  is nondegenerate, the identity

$$H\left(W(t), \frac{D}{dt} \frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2}\right) = 0$$

for any field  $W$  implies that

$$\frac{D}{dt} \frac{\dot{\mu}(t)}{\|\dot{\mu}(t)\|_2} = 0$$

i.e.,  $\mu$  is a geodesic. ■

### 3 Short Curves

The key to our main result is the following:

**Lemma 3.1** *Let  $x$  be a selfadjoint element of  $\mathcal{M}$  with finite spectrum and  $\|x\| < \pi$ . Then  $\delta(t) = e^{itx}$  has minimal length amongst piecewise  $C^1$  curves joining 1 and  $e^{ix}$ , in the  $L^2$  metric.*

**Proof** The element  $x$  is of the form  $x = \sum_{i=1}^k \alpha_i p_i$ , where  $p_1, \dots, p_k$  are pairwise orthogonal projections and  $\alpha_1, \dots, \alpha_k$  are real numbers with  $|\alpha_i| < \pi$ . The length of the geodesic  $\delta$  is  $\|x\|_2 = (\sum_{i=1}^k \alpha_i^2 r_i)^{1/2}$ , where  $r_i = \tau(p_i)$ . Suppose that  $\mu$  is another piecewise  $C^1$  curve of unitaries with  $\mu(0) = 1$  and  $\mu(1) = e^{ix}$ . Then

$$\ell(\mu) = \int_0^1 (\tau(J(\dot{\mu})\dot{\mu}))^{1/2} dt = \int_0^1 \left( \sum_{i=1}^k \tau(p_i J(\dot{\mu})\dot{\mu} p_i) \right)^{1/2} dt.$$

For each  $1 \leq i \leq k$  denote by  $S_{r_i^{1/2}}$  the sphere of radius  $r_i^{1/2}$  in  $L^2(\mathcal{M}, \tau)$ ,

$$S_{r_i^{1/2}} = \{\xi \in L^2(\mathcal{M}, \tau) : \langle \xi, \xi \rangle = r_i\}.$$

Note that the curves  $p_i\delta$  and  $p_i\mu$  are curves in  $S_{r_i^{1/2}}$ . Indeed, for example

$$\langle p_i\mu, p_i\mu \rangle = \tau((p_i\mu)^* p_i\mu) = \tau(p_i) = r_i.$$

Moreover,  $p_i\delta$  is a geodesic of  $S_{r_i^{1/2}}$  with length strictly less than  $\pi r_i^{1/2}$ . An elementary spectral argument shows that

$$p_i\delta(t) = p_i e^{itx} = p_i e^{it\alpha_i},$$

which is clearly a geodesic of the sphere  $S_{r_i^{1/2}}$ . The length of  $p_i\delta$  is

$$\ell(p_i\delta) = \|\alpha_i p_i\|_2 = |\alpha_i| r_i^{1/2} < r_i^{1/2} \pi.$$

In other words,  $p_i\delta$  is the shortest curve in  $S_{r_i^{1/2}}$  joining its endpoints.

Consider the riemannian submanifold of  $L^2(\mathcal{M}, \tau)^k$

$$\mathcal{S} = S_{r_1^{1/2}} \times \cdots \times S_{r_k^{1/2}}$$

with its Levi–Civita connection. The curve  $\Delta(t) = (p_1\delta(t), \dots, p_k\delta(t))$  is a geodesic of  $\mathcal{S}$ , since it is a  $k$ -tuple of geodesics of the coordinates. Moreover, it is the shortest curve of  $\mathcal{S}$  joining its endpoints. Indeed, none of its coordinates could be replaced by a shorter curve. Therefore it is shorter than the curve  $M(t) = (p_1\mu(t), \dots, p_k\mu(t))$ . Now the length of  $M$  in  $\mathcal{S}$  is measured as follows:

$$\int_0^1 \langle \dot{M}(t), \dot{M}(t) \rangle^{1/2} dt = \int_0^1 \left( \sum_{i=1}^k \tau(p_i J(\dot{\mu}(t))) \dot{\mu}(t) \right)^{1/2} dt = \ell(\mu).$$

Analogously, the length of  $\Delta$  coincides with  $\ell(\delta)$ . It follows that

$$\ell(\mu) \geq \ell(\delta). \quad \blacksquare$$

**Lemma 3.2** *Let  $x \in \mathcal{M}$  be a selfadjoint element with  $\|x\| < \pi$ , and  $v \in U_{\mathcal{M}}$ . Then the geodesic  $\delta(t) = ve^{itx}$  has minimal length among piecewise  $C^1$  curves of unitaries joining its endpoints. It is unique among piecewise  $C^\infty$  curves with this property.*

**Proof** There is no loss in generality if we suppose  $v = 1$ . Indeed, for any curve  $\mu$  of unitaries,  $\ell(\mu) = \ell(v^* \mu)$ . Suppose that there exists a piecewise  $C^1$  curve of unitaries  $\mu$  which is strictly shorter than  $\delta$ ,  $\ell(\mu) < \ell(\delta) - \epsilon = \|x\|_2 - \epsilon$ . The element  $x$  can be approximated in the norm topology of  $\mathcal{M}$  by selfadjoint elements of  $\mathcal{M}$ , say  $z$ , with finite spectrum and the following conditions:

1.  $\|z\| \leq \|x\| < \pi$ .
2.  $\|x\|_2 - \epsilon/2 < \|z\|_2 \leq \|x\|_2$ .
3.  $\|e^{ix} - e^{iz}\| < 2$ .
4. There exists a  $C^\infty$  curve of unitaries joining  $e^{ix}$  and  $e^{iz}$  of length less than  $\epsilon/2$ .

The first three are clear. The fourth condition can be obtained as follows. By the third condition  $e^{-ix}e^{iz} = e^{iy}$ , with  $y^* = y \in \mathcal{M}$ . Moreover  $z$  can be adjusted so as to obtain  $y$  of arbitrarily small norm. Then the curve of unitaries  $\gamma(t) = e^{ix}e^{ity}$  is  $C^\infty$ , joins  $e^{ix}$  and  $e^{iz}$ , with length  $\|\gamma\|_2 \leq \|y\| < \epsilon/2$ .

Consider now the curve  $\mu'$ , which is the curve  $\mu$  followed by the curve  $e^{ix}e^{ity}$  above. Then clearly

$$\ell(\mu') \leq \ell(\mu) + \|\gamma\|_2 < \ell(\mu) + \epsilon/2.$$

Therefore  $\ell(\mu') < \|x\|_2 - \epsilon/2$ . On the other hand, since  $\mu'$  joins 1 and  $e^{iz}$ , by the lemma above, it must have length greater than or equal to  $\|z\|_2$ . It follows that

$$\|z\|_2 \leq \|x\|_2 - \epsilon/2,$$

a contradiction.

Let us now show that  $\delta$  is unique. Let  $\delta'$  be another piecewise  $C^\infty$  curve joining the same endpoints, parametrized proportional to arc length, with  $\ell(\delta) = \ell(\delta')$ . The minimality of  $\delta'$  implies, by Theorem 2.4, that it is a  $C^\infty$  geodesic. Then  $\delta'(t) = e^{itx'}$  for some  $x'^* = x' \in \mathcal{M}$ . We claim that  $x' = x$ .

Since  $\|x\| < \pi$ ,  $ix$  can be obtained as an analytic logarithm of  $e^{ix} = e^{ix'}$ . It follows that  $x$  and  $x'$  commute. Then  $e^{i(x-x')} = 1$  and therefore  $x - x'$  is a selfadjoint element with finite spectrum, contained in the discrete set  $\{2n\pi : n \in \mathbb{Z}\}$ . Then  $x' = x + \sum_{i=1}^k 2n_i\pi p_i$  with  $n_i \in \mathbb{Z}$  and  $p_i$  pairwise orthogonal projections in  $\mathcal{M}$ ,  $i = 1, \dots, k$ . Note that  $x p_i = 0$ . Therefore

$$\|x'\|_2^2 = \|x\|_2^2 + \sum_{i=1}^k 4n_i^2 \pi^2 \tau(p_i).$$

Now, since  $\|x\|_2 = \ell(\delta) = \ell(\delta') = \|x'\|_2$ , it follows that  $\tau(p_i) = 0$ , for each  $i = 1, \dots, k$ , i.e.,  $x = x'$ . ■

**Lemma 3.3** *Let  $x$  be a selfadjoint element of  $\mathcal{M}$  with  $\|x\| = \pi$ . Then  $\delta = ve^{ix}$  is the shortest curve joining its endpoints.*

**Proof** The proof is the same as the first part of the above lemma, approximating  $x$  with  $z$  of finite spectrum and  $\|z\| < \pi$ . Note that any unitary  $u \in U_{\mathcal{M}}$  is of the form  $u = e^{ix}$  with  $x^* = x$  and  $\|x\| \leq \pi$ . This element  $x$  is non unique. ■

We may summarize these lemmas in our main result.

**Theorem 3.4** *Let  $u, v$  be unitaries in  $\mathcal{M}$ , and  $x = x^* \in \mathcal{M}$  with  $\|x\| \leq \pi$ , such that  $v^*u = e^{ix}$ .*

1. *If  $\|x\| < \pi$ , then there exists a geodesic joining  $u$  and  $v$ , which has minimal length among piecewise  $C^1$  curves with these endpoints. It is unique with this property among piecewise  $C^\infty$  curves.*
2. *If  $\|x\| = \pi$ , there exist many minimal  $C^\infty$  geodesics joining  $u$  and  $v$ .*

**Remark 3.5** In case 2, the multiple  $C^\infty$  geodesics are of the form  $\delta(t) = ve^{itx}$  for diverse  $x = x^* \in \mathcal{M}$  with  $\|x\| = \pi$  such that  $v^*u = e^{ix}$ . If one only requires that the curves be  $C^2$ , other minimizing curves appear. Namely, by Remark 2.3 they are of the form  $\gamma(t) = ve^{itL\xi}$ , where  $\xi$  lies in  $L^4(\mathcal{M}, \tau)$ , and satisfies  $J\xi = \xi$  and  $v^*u = e^{iL\xi}$ .

The following corollary might be obtained in a more straightforward way.

**Corollary 3.6** *Let  $x, y \in \mathcal{M}$  be selfadjoint elements of norm less than or equal to  $\pi$  such that  $e^{ix} = e^{iy}$ . Then  $\tau(x^2) = \tau(y^2)$ .*

**Proof** Both  $\delta(t) = e^{itx}$  and  $\gamma(t) = e^{ity}$  are minimizing geodesics joining 1 and  $e^{ix}$ , therefore  $\ell(\delta) = \ell(\gamma)$ , i.e.,  $\tau(x^2) = \tau(y^2)$ . ■

#### 4 Non Embeddability of $U_{\mathcal{M}}$ in $L^2(\mathcal{M}, \tau)$

In this section we show that  $U_{\mathcal{M}}$  is not a riemannian submanifold of  $L^2(\mathcal{M}, \tau)$ . By this we mean that  $U_{\mathcal{M}}$  is not a riemannian manifold with the inner product of  $L^2(\mathcal{M}, \tau)$  at each tangent space. We also consider other aspects of the local structure of  $U_{\mathcal{M}}$ .

**Lemma 4.1** *There exists a sequence of selfadjoint elements  $a_n \in \mathcal{M}$  such that  $\|a_n\|_2 = \epsilon$  for a given  $\epsilon > 0$  and  $\|e^{ia_n} - 1\|_2$  tends to zero.*

**Proof** For each  $n \geq 1$  pick a projection  $p_n$  in  $\mathcal{M}$  such that  $\tau(p_n) = \frac{\epsilon^2}{n^2}$ . Put  $a_n = np_n$ . Note that  $\|a_n\|_2 = n\tau(p_n)^{1/2} = \epsilon$ . On the other hand

$$\|e^{ia_n} - 1\|_2^2 = 2 - \tau(e^{ia_n}) - \tau(e^{-ia_n}).$$

Clearly

$$\tau(e^{ia_n}) = 1 + \frac{\epsilon^2}{n^2}(e^{in} - 1),$$

which tends to 1. Analogously for  $\tau(e^{-ia_n})$ . ■

**Corollary 4.2**  *$U_{\mathcal{M}}$  is not a riemannian submanifold of  $L^2(\mathcal{M}, \tau)$ .*

**Proof** Consider  $u_n = e^{ia_n} \in U_{\mathcal{M}}$  as above. Then the sequence  $u_n$  tends to 1 in the  $L^2$  metric. If  $U_{\mathcal{M}}$  were a riemannian submanifold, then  $\delta_n(t) = e^{ita_n}$  would be a geodesic. If one adjusts  $\epsilon$  smaller than the radius of a normal neighbourhood around  $1 \in U_{\mathcal{M}}$ , then  $\delta_n$  would be a minimizing geodesic. It follows that the geodesic distance between 1 and  $e^{ia_n}$  equals  $\epsilon$  for all  $n$ . This leads to contradiction: in a riemannian manifold the topology given by the geodesic distance and the underlying topology are equivalent. ■

Note that  $\delta_n$  above is in fact not a minimizing geodesic, according to our discussion of the previous section. Indeed,  $\|a_n\| = n$ . If one tries to compute minimizing geodesics joining 1 and  $e^{ia_n}$ , one must replace the exponent  $a_n = np_n$  by  $x_n = (n - 2k_n\pi)p_n$ , where  $k_n$  is an integer such that  $|n - 2k_n\pi| \leq \pi$  (in this case it will be strictly smaller than  $\pi$ ). Such  $x_n$  satisfy

$$\|x_n\|_2^2 = (n - 2k_n\pi)^2 \frac{\epsilon^2}{n^2} \rightarrow 0 \text{ as } n \rightarrow \infty.$$

In other words, these minimizing geodesics have lengths which tend to 0.

Let us denote by  $d_g$  the geodesic distance in  $U_{\mathcal{M}}$ , i.e.,

$$d_g(u, v) = \inf\{\ell(\mu) : \mu \text{ piecewise } C^1 \text{ curve of unitaries with } \mu(0) = u, \mu(1) = v\}.$$

Since  $U_{\mathcal{M}}$  is not a riemannian manifold, we must prove the following:

**Proposition 4.3**  $d_g$  is a metric in  $U_{\mathcal{M}}$ .

**Proof** Clearly  $d_g(u, v) \geq 0$  and  $d_g(u, v) = 0$  imply  $u = v$ . Also it is clear that  $d_g(u, v) = d_g(v, u)$ . Let us verify that the triangle inequality holds. Let  $u, v, w \in U_{\mathcal{M}}$ . We need to show that

$$d_g(u, v) \leq d_g(u, w) + d_g(w, v).$$

By Theorem 3.4,  $u$  and  $w$  are joined by a minimizing geodesic  $\delta$  and  $w$  and  $v$  are joined by a minimizing geodesic  $\delta'$ , with both curves realizing the geodesic distance. The curve  $\delta$  followed by the curve  $\delta'$  is a piecewise  $C^1$  curve of unitaries joining  $u$  and  $v$ , with length  $d_g(u, w) + d_g(w, v)$ . Therefore  $d_g(u, v) \leq d_g(u, w) + d_g(w, v)$ . ■

**Proposition 4.4** The metrics  $d_g$  and  $\|\cdot\|_2$  are equivalent in  $U_{\mathcal{M}}$ .

**Proof** Both metrics are invariant by left translation with elements of  $U_{\mathcal{M}}$ , i.e.,  $d_g(u, v) = d_g(v^*u, 1)$  and  $\|u - v\|_2 = \|v^*u - 1\|_2$ . Therefore it suffices to compare  $d_g(u, 1)$  and  $\|u - 1\|_2$ , for  $u \in U_{\mathcal{M}}$ . Let  $x = x^* \in \mathcal{M}$  with  $\|x\| \leq \pi$  and  $u = e^{ix}$ . Then by Theorem 3.4

$$d_g(u, 1) = \|x\|_2 = \tau(x^2)^{1/2}.$$

On the other hand

$$\|u - 1\|_2^2 = 2 - \tau(e^{ix} + e^{-ix}) = 2 \left[ \frac{\tau(x^2)}{2} - \frac{\tau(x^4)}{4!} + \frac{\tau(x^6)}{6!} - \dots \right].$$

Note that for all  $n \geq 1$ ,

$$\frac{\tau(x^{2n})}{(2n)!} - \frac{\tau(x^{2n+2})}{(2n+2)!} \geq 0.$$

Indeed, it is apparent that this inequality is equivalent to  $(2n+2)(2n+1) \geq \frac{\tau(x^{2n+2})}{\tau(x^{2n})}$ .

Since  $x^2 \leq \pi^2$ ,

$$\frac{\tau(x^{2n+2})}{\tau(x^{2n})} = \frac{\tau(x^n x^2 x^n)}{\tau(x^{2n})} \leq \frac{\tau(x^n \pi^2 x^n)}{\tau(x^{2n})} = \pi^2,$$

and the above claim holds. First, note that with this inequality one has

$$\|u - 1\|_2^2 = 2 \left[ \frac{1}{2} \tau(x^2) - \left( \frac{\tau(x^4)}{4!} - \frac{\tau(x^6)}{6!} \right) - \dots \right] \leq \tau(x^2),$$

i.e.,  $\|u - 1\|_2 \leq d_g(u, 1)$ .

On the other hand, the same inequality proves that

$$\|u - 1\|_2^2 = 2 \left[ \frac{1}{2} \tau(x^2) - \frac{1}{4!} \tau(x^4) + \left( \frac{\tau(x^6)}{6!} - \frac{\tau(x^8)}{8!} \right) + \dots \right] \geq 2 \left[ \frac{1}{2} \tau(x^2) - \frac{1}{4!} \tau(x^4) \right].$$

Since  $1 - \frac{x^2}{12} \geq 1 - \frac{\pi^2}{12} > 0$ , it follows that

$$\frac{1}{2}\tau(x^2) - \frac{1}{4!}\tau(x^4) = \frac{1}{2}\tau(x^2(1 - \frac{1}{12}x^2)) \geq \frac{1}{2}\left(1 - \frac{\pi^2}{12}\right)\tau(x^2).$$

In other words,

$$\|u - 1\|_2 \geq Cd_g(u, 1),$$

for  $C = \sqrt{1 - \frac{\pi^2}{12}}$ . ■

Further properties of this metric  $d_g$  will be studied elsewhere.

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